

# Scientific Computation Project 1

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This is my own work unless stated otherwise.

March 2, 2021

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## Question 1

### 1(a)

**func1A:** it is a recursive function that takes in a list of  $N$  length- $M$  lists with elements sorted in ascending order (note that the lists do not have to be of the same length), then checks the length of the list (i.e. number of lists it contains). If it is of length 1, then our work is done as it consists of only one list with elements in ascending order - it returns that sublist. If the length of the list is above 1, then there is work to be done: we merge the second-to-last and last element (that we get by removing it from the list at the same time) of the list into one sorted list using the `merge()` function, reassigning this to be the last element of the list. Then we call the `func1A` using the new list of length-1 as we merged and sorted the second-to-last and last sublists. The function repeats this process until we are left with one element in the list which we return as the sorted list.

Computational cost (in the worst case):

1) get length of the list and check if it is 1: 2 FLOPS,  $O(1)$

2) merge two lists: the first one is the length- $M$  second-to-last element of the list and the second one is the popped last length- $M$  element of the list (the cost of pop is  $O(1)$ ). The cost of `merge()` grows from  $O(M)$  to  $O(MN)$  (the way to calculate is in the lectures) as the last element of the list grows in length. We take  $O(MN)$  as the worst case scenario.

3) repeat step 1)  $N$  times and step 2)  $N-1$  times (as in the last step we are done and just return the sublist).

The total cost is  $2O(N) + (N - 1)O(MN) \approx O(MN^2)$ .

**func1B:** it is a recursive function that takes in a list of  $N$  length- $M$  lists with elements sorted in ascending order. If its length is 1, then our work is done as it consists of only one list with elements in ascending order - it returns that sublist. If its length is 2, then it merges the two elements and returns the merged list  $M$ . If it is of larger length, then we apply `func1B()` again and thus split it until we get to two lists which we merge (as  $N = 2$  there), and then build our way back up by merging the lists of sorted elements. The last merge is as follows: if  $MN$  is even, we merge two length- $MN/2$ ; if  $MN$  is odd, then the first list is of length  $(MN - 1)/2$  and the second one is of length  $(MN + 1)/2$ .

Computational cost:

1) get length of the list:  $O(1)$

2) if length of list 1 (1 comparison), return the sublist:  $O(1)$

3) if length of list 2 (1 comparison), merge the two sublists (the sum of number of elements amounts to  $MN$ ):  $O(1) + O(MN)$

4) else: we start by calling `func1B()` on the list that is split in two, and keep 'dividing' it by calling `func1B()` until we reach  $N = 2$  and then we start merging:

$\frac{N}{2}$  merges of length- $M$  sublists: cost  $\frac{N}{2}O(2M)$ ,  $\frac{N}{4}$  merges of length- $2M$  sublists: cost  $\frac{N}{4}O(4M)$ ,  $\frac{N}{8}$  merges of length- $4M$  sublists: cost  $\frac{N}{8}O(8M)$ , ..., until we get to a list with two elements, i.e.,  $N = 2$ . Importantly, note that we do this recursive call  $\log_2 N$  times.

The total cost is  $NO(1) + (O(1) + O(MN)) + (\log_2 N)O(MN) \approx O(MN \log_2 N)$ . Therefore, `func1B()` is computationally cheaper than `func1A()`.

## 1(b)

The cost for `func1A()` is  $O(MN^2)$ , and for `func1B()` is  $O(MN \log_2 N)$ . We produce three figures to check how the execution time varies for different values of  $N$  and  $M$ . As we vary  $N$ , and keep  $M$  fixed, we see that the time it takes to compute `func1A()` increases quadratically while for `func1B()` it is linear (the  $\log_2 N$  is almost negligible for these sizes of  $N$ ). As we fix  $N$  and increase  $M$ , we see that the computation time for both functions increases linearly. Finally, when we increase both  $M$  and  $N$ , we see a cubic increase for `func1A()` and a quadratic increase for `func1B()`. We see that the experiments justify our conclusion in part (a) that `func1B()` is computationally cheaper than `func1A()`. It is because `func1B()` only requires  $\log_2 N$  levels of recursion, whilst `func1A()` requires a number that is of order  $N$ .

## Figures

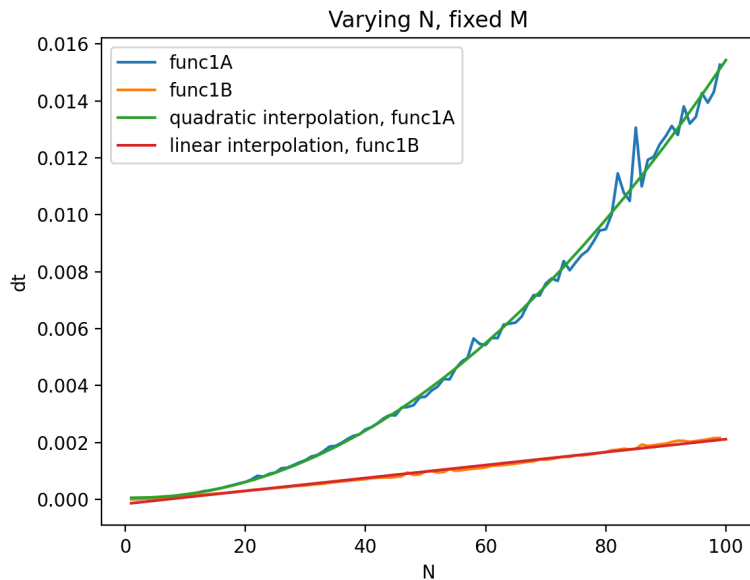


Figure 1: Figure for question 1, varying  $N$

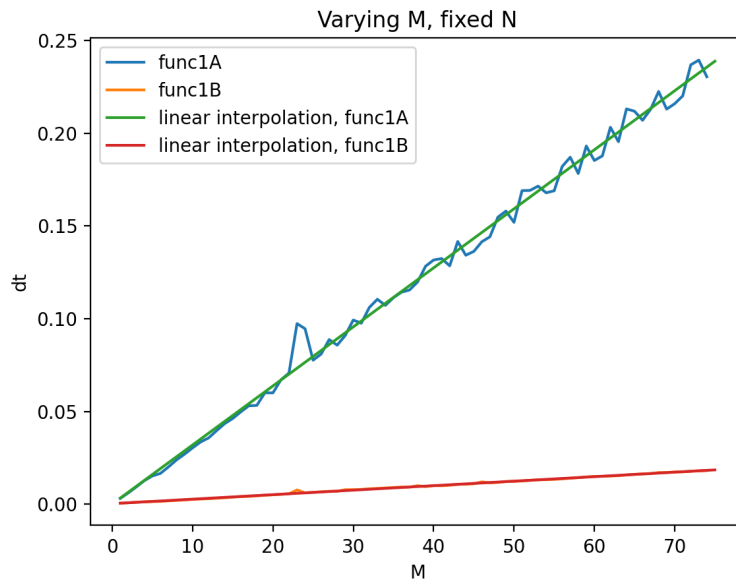


Figure 2: Figure for question 1, varying M

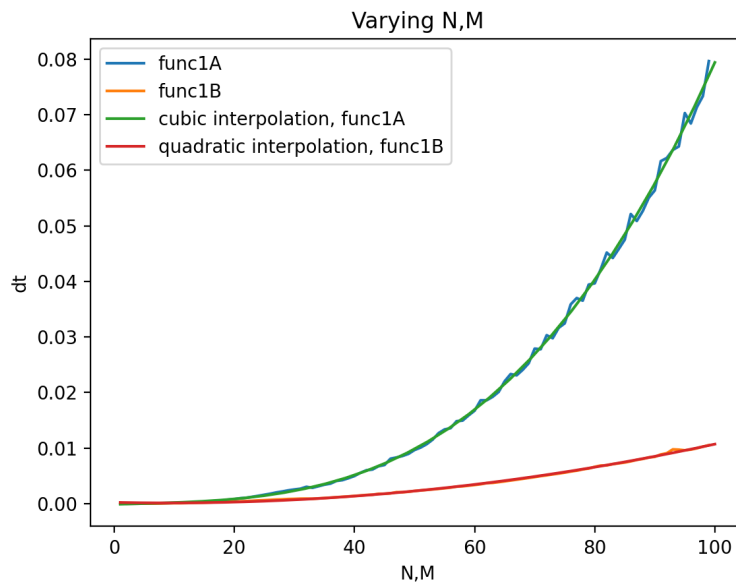


Figure 3: Figure for question 1, varying N and M

## Question 2

### 2(a)

The code is in the file `project1.py`, the relevant functions are `gene1()`, which calls `h_eval()` and `char2base()` which are defined outside `gene1()` for easier reading of the code.

### 2(b)

The function `gene1()` takes as input the length- $N$  string  $S$  that is the gene sequence - a combination of four characters A,C,G,T;  $L_{in}$  which is a list that contains  $P$  sequences of length  $M$ ; and  $x$ , the location

at which the mutation of each of the  $P$  sequences in  $L_{in}$  occurs.

Description of implementation of the function `gene1()`:

- convert  $S$  to a list of base 4 integers using `char2base4()`, get its length
- create an empty list  $L_{out}$  to store the lists that contain the locations of the mutations of each element of  $L_{in}$
- create a for loop, iterate over each element of  $L_{in}$ : convert the element of  $L_{in}$  into a list of base 4 integers using `char2base4()`, create an empty list to store its mutations
- now consider  $x$ , if it is -1, then append the non-mutated list to the list created to store mutations, if it is not -1, then modify it and append three mutations at location  $x$
- create a list that collects the indices of the mutations, choose  $base=4$ , a large prime and apply the Robin Karp algorithm, updating the hash function (as opposed to calculating it at every index when iterating through  $S$ ) and directly checking the strings when the hash values of the mutation and the substring of  $S$  are equal (we have seen direct comparison is quicker in the week 4 lab)
- the lists of indices where the matches occur for each mutation are appended to  $L_{out}$
- after we have iterated through  $L_{in}$ , we get  $L_{out}$  which is a list of lists that contain three elements that are lists of indices of each of the three mutations in ascending order. We apply `func1B()` to each element of  $L_{out}$  and thus get the list  $L_{out}$  such that the  $i$ th element contains the indices where there are point- $x$  mutations of the  $i$ th length- $M$  sequence stored in  $L_{in}$ , and this is the output of the function.

We check the running time in seconds with respect to variable changes: increasing  $N$ , that is running it on substrings of  $S$  and increasing  $M$ , the number of elements of  $L_{in}$ . The graphs below show that the increase in running time is linear in both cases. This suggests that the cost of the algorithm is  $O(NP)$ .

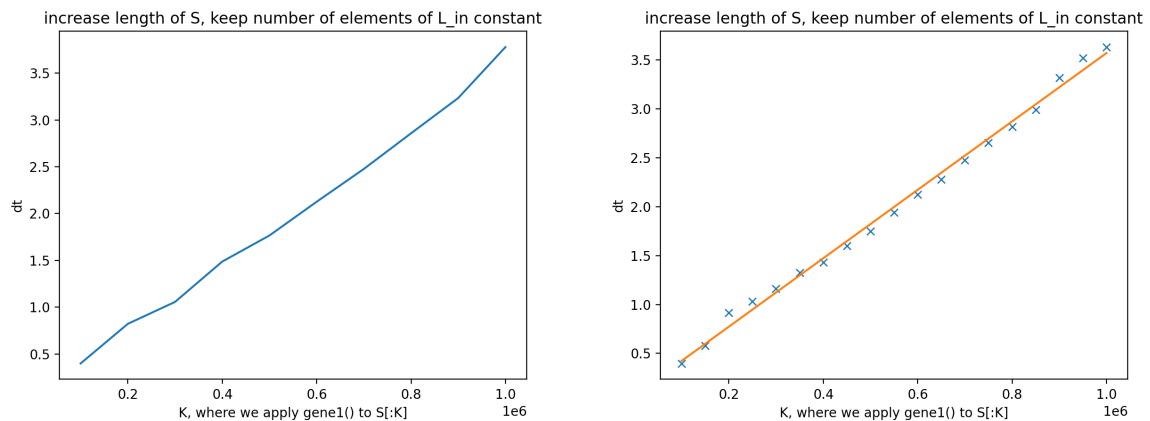


Figure 4: Increasing the length  $N$  of  $S$ , keeping  $M$  and  $P$  fixed. On the right the values with linear interpolation.

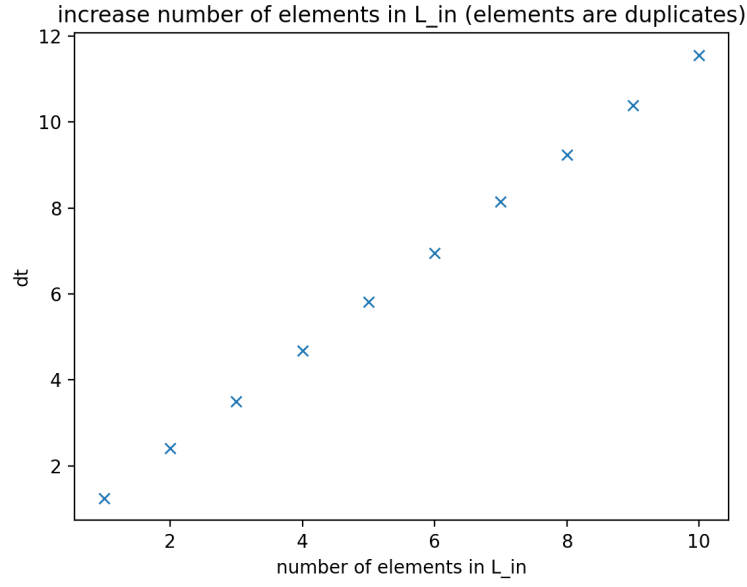


Figure 5: Increasing  $P$ , the number of elements of  $L_{in}$ , keeping  $M$  and  $N$  fixed - linear increase in running time

Below is the running time when we simultaneously increase  $N$  and  $P$ , which clearly displays a quadratic increase and is verified by a quadratic polynomial fit. This shows that the suggestion that the cost of the algorithm is  $O(NP)$  holds.

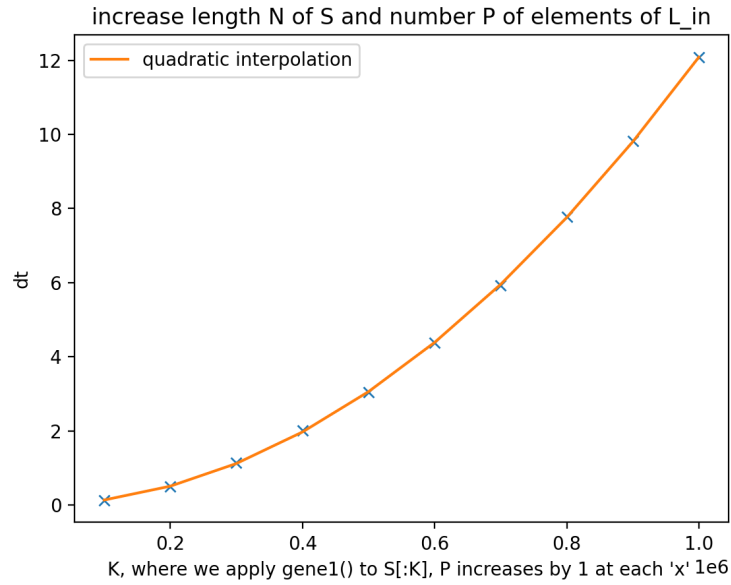


Figure 6: Increasing  $N$  and  $P$ , observe quadratic growth in computation time

This figure is the variations in running time when increasing the length of the strings we look for,  $M$ , and it seems that there is no clear relationship, only perhaps an indication that there may be an optimal range (in terms of running time) for the length of the (non-)mutated substrings that we look for in  $S$ .

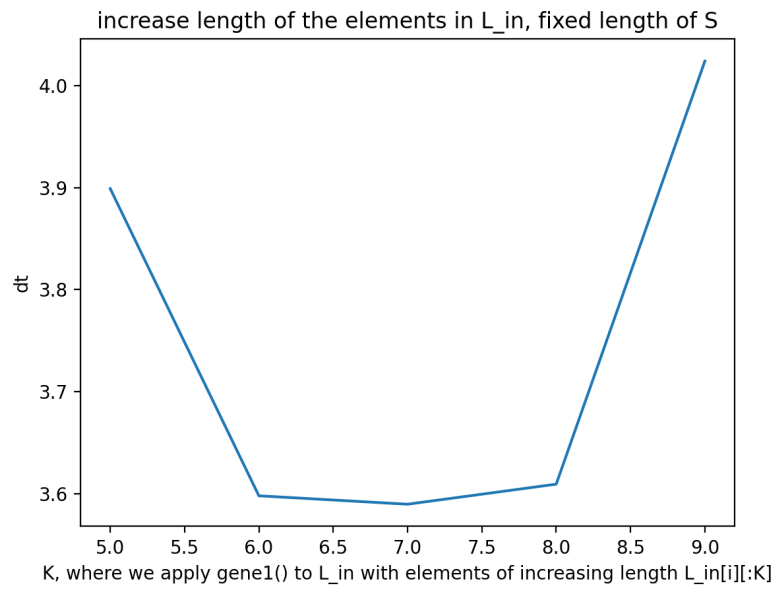


Figure 7: Increasing  $M$ , keeping  $N$  and  $P$  fixed