



@ukhndlwl

# Generalization through Memorization: Nearest Neighbor Language Models

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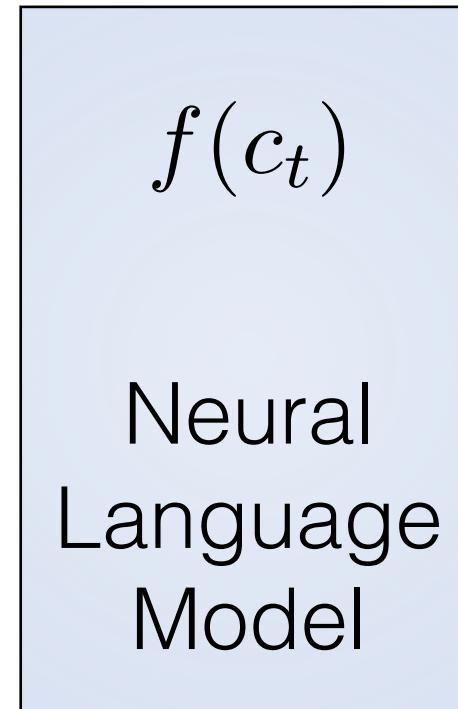


# Neural Autoregressive Language Models

*Given prior context, estimate the probability for the target token*

$$c_t = (w_1, \dots, w_{t-1}) \longrightarrow$$

*Obama was born in*



$P(w_t | c_t)$

- Illinois (0.5)*
- Chicago (0.25)*
- Hawaii (0.1)*
- Congress (0.02)*
- surfing (0.000009)*
- ...

# Language Models

*Lots of text is very easily available, so we train models on large amounts of data.*

*But improving LM performance or scaling to larger datasets, by training bigger and bigger models with billions of parameters, requires massive amounts of GPU compute.. ☹*

*Instead, can explicitly **memorizing** data make LMs **generalize** better without the added cost of training?*

# Nearest Neighbor Language Models



# Key Results



*Explicitly memorizing the training data helps generalization.*

*LMs can **scale** to larger text collections without the added cost of training.*

*A single LM can **adapt** to multiple domains without any in-domain training.*

# Nearest Neighbor Language Models (kNN-LM)

# kNN-LM: Intuition

*Test Context: Obama's birthplace is ???*

<i>Previously Seen Contexts</i>	<i>Targets</i>
<i>Obama was senator for</i>	<i>Illinois</i>
<i>Barack is married to</i>	<i>Michelle</i>
<i>Obama was born in</i>	<i>Hawaii</i>
...	...
<i>Obama is a native of</i>	<i>Hawaii</i>

Given a new test context...

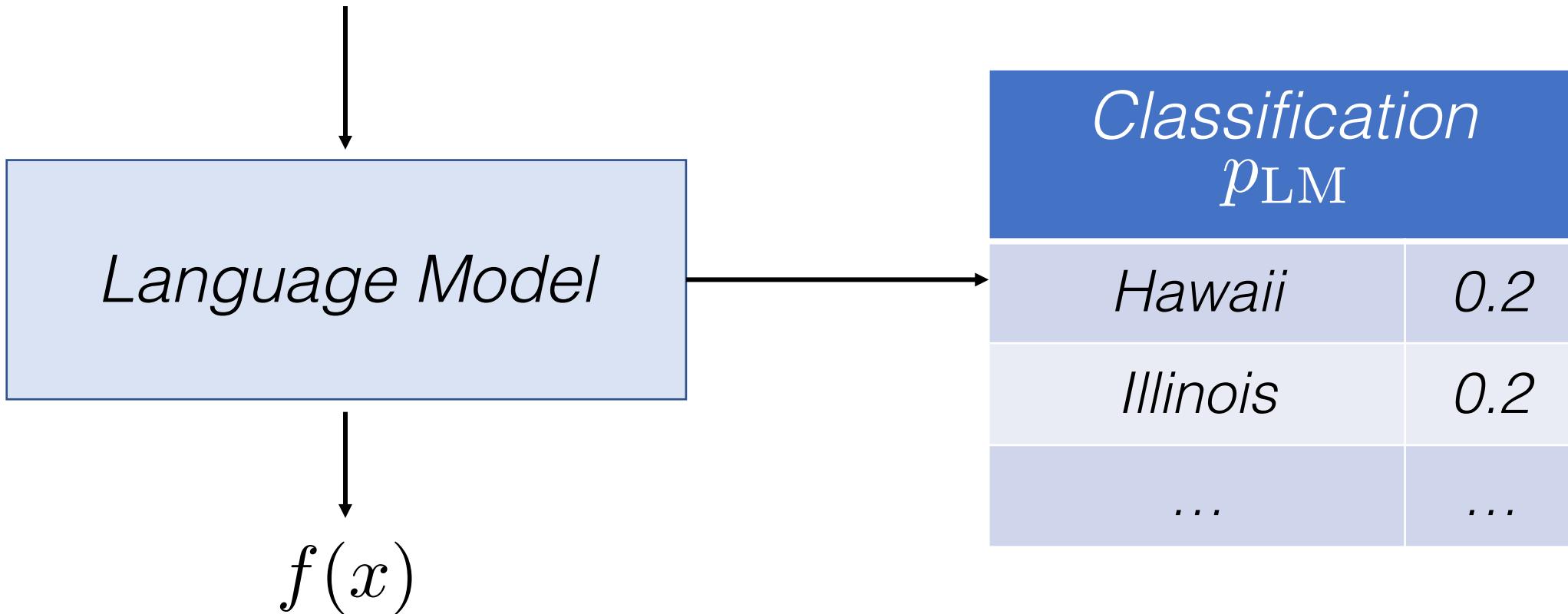
$x = \text{Obama's birthplace is } \underline{\hspace{2cm}}$



*Language Model*

Given a new test context...

$x = \text{Obama's birthplace is } \underline{\quad}$



Given a new test context...

$x = \text{Obama's birthplace is } \underline{\hspace{1cm}}$

$q = f(x) = \text{[black dot, grey dot, grey dot, dark grey dot, light grey dot, dark grey dot]}$



*Nearest Neighbors  
Datastore*

Given a new test context...

$x = \text{Obama's birthplace is } \underline{\hspace{2cm}}$

$q = f(x) = \text{[ } \bullet \text{ ]}$



<u>Keys</u>	<u>Values</u>
$f(\text{Obama was senator for})$	<i>Illinois</i>
$f(\text{Obama was born in})$	<i>Hawaii</i>
...	...

# Constructing the datastore

# Constructing the datastore

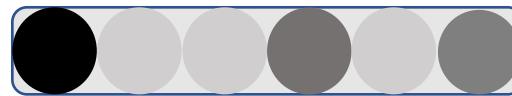
<i>Training Contexts</i> $c_i$	<i>Targets</i> $v_i$
<i>Obama was senator for</i>	<i>Illinois</i>
<i>Barack is married to</i>	<i>Michelle</i>
<i>Obama was born in</i>	<i>Hawaii</i>
...	...
<i>Obama is a native of</i>	<i>Hawaii</i>

# Constructing the datastore

<i>Training Contexts</i> $c_i$	<i>Representations</i> $k_i = f(c_i)$	<i>Targets</i> $v_i$
<i>Obama was senator for</i>		<i>Illinois</i>
<i>Barack is married to</i>		<i>Michelle</i>
<i>Obama was born in</i>		<i>Hawaii</i>
...	...	...
<i>Obama is a native of</i>		<i>Hawaii</i>

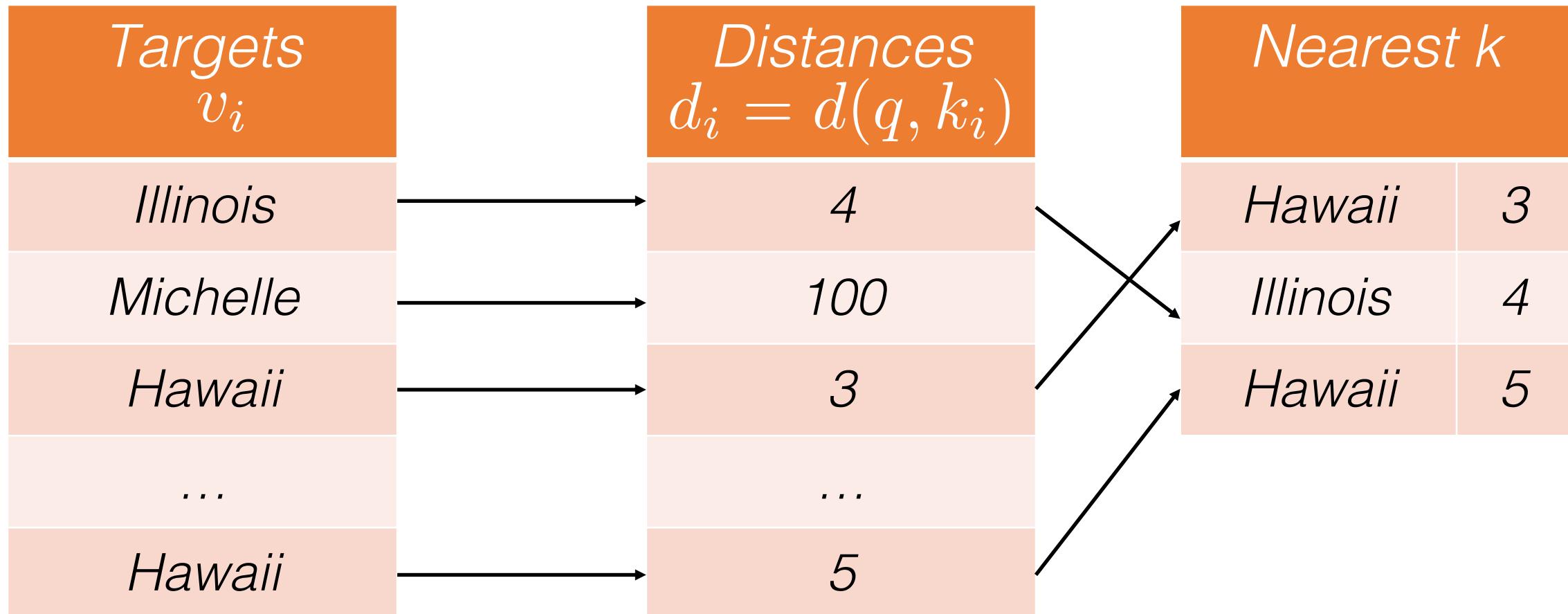
Back to inference!

# The k-nearest neighbors for $q = f(x)$



<i>Representations</i> $k_i = f(c_i)$	<i>Targets</i> $v_i$	<i>Distances</i> $d_i = d(q, k_i)$
	<i>Illinois</i>	4
	<i>Michelle</i>	100
	<i>Hawaii</i>	3
...	...	...
	<i>Hawaii</i>	5

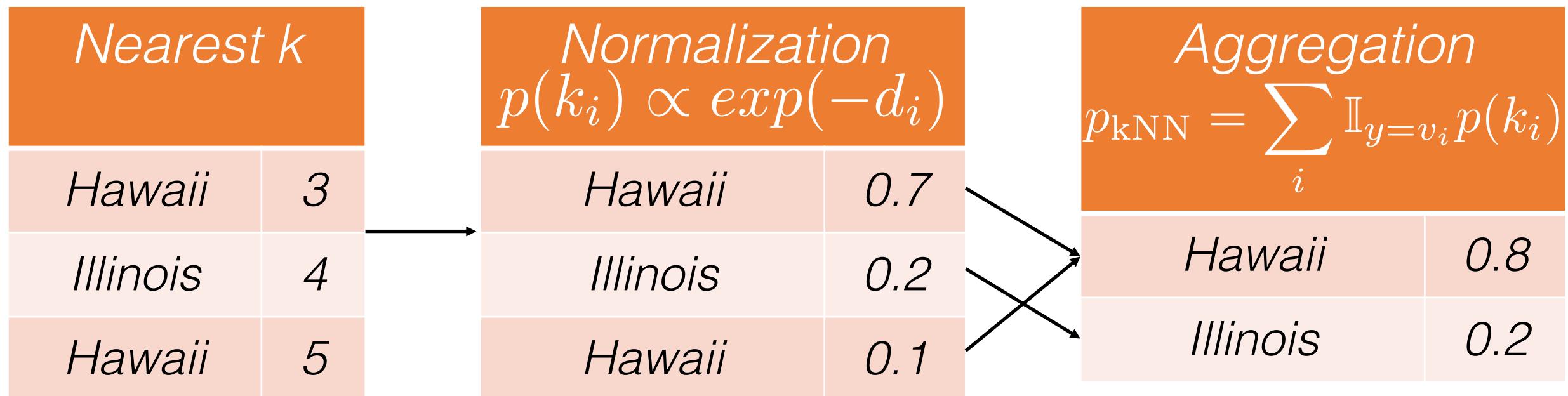
# The k-nearest neighbors for $q = f(x)$



# The kNN distribution

Nearest $k$		<i>Normalization</i> $p(k_i) \propto \exp(-d_i)$	
Hawaii	3	Hawaii	0.7
Illinois	4	Illinois	0.2
Hawaii	5	Hawaii	0.1

# The kNN distribution



# Given a new test context...

$x = \text{Obama's birthplace is } \underline{\hspace{2cm}}$

Language Model	
Hawaii	0.2
Illinois	0.2
...	...

k-Nearest Neighbors	
Hawaii	0.8
Illinois	0.2
...	...



kNN-LM $(1 - \lambda) p_{LM} + \lambda p_{kNN}$	
Hawaii	0.6
Illinois	0.2
...	...



# Experiments

*Our Base LM is the **Transformer LM** from Baevski and Auli (2019).*

# Key Results



*Explicitly memorizing the training data helps generalization.*

*LMs can scale to larger text collections without the added cost of training, by simply adding the data to the datastore.*

*A single LM can adapt to multiple domains without the in-domain training, by adding domain-specific data to the datastore.*

# Memorizing with Wikitext-103

*Standard LM benchmark, 103 million tokens*

<i>Model</i>	<i>Perplexity</i>
<i>Previous Best (Luo et al., 2019)</i>	17.40
<i>Base LM</i>	18.65

# Memorizing with Wikitext-103

*Datastore contains 103M examples,  $\lambda = 0.25$*

<i>Model</i>	<i>Perplexity</i>
<i>Previous Best (Luo et al., 2019)</i>	17.40
<i>Base LM</i>	18.65
<i>kNN-LM</i>	16.12



# Memorizing with Wikitext-103

*Datastore contains 103M examples,  $\lambda = 0.25$*

<i>Model</i>	<i>Perplexity</i>
<i>Previous Best (Luo et al., 2019)</i>	17.40
<i>Base LM</i>	18.65
<i>kNN-LM</i>	16.12
<i>kNN-LM + Cont. Cache*</i>	15.79



# Key Results



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# Scaling up from Wiki-100M to Wiki-3B

<i>LM Training Data</i>	<i>Datastore</i>	<i>Perplexity</i>
<i>Wiki-3B</i>	-	15.17
<i>Wiki-100M</i>	-	19.59

# Scaling up from Wiki-100M to Wiki-3B

<i>LM Training Data</i>	<i>Datastore</i>	<i>Perplexity</i>
<i>Wiki-3B</i>	-	15.17
<i>Wiki-100M</i>	-	19.59
<i>Wiki-100M</i>	<i>Wiki-3B</i>	13.73

# Scaling up from Wiki-100M to Wiki-3B

<i>LM Training Data</i>	<i>Datastore</i>	<i>Perplexity</i>
<i>Wiki-3B</i>	-	15.17
<i>Wiki-100M</i>	-	19.59
<i>Wiki-100M</i>	<i>Wiki-3B</i>	13.73

*Retrieving nearest neighbors from the corpus outperforms training on it!*

# Key Results



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*A single LM can **adapt** to multiple domains without the in-domain training, by adding domain-specific data to the datastore.*

# Domain Adaptation from Wiki to Books

<i>LM Training Data</i>	<i>Datastore</i>	<i>Perplexity on Books</i>
<i>Books</i>	-	11.89
<i>Wiki-3B</i>	-	34.84

# Domain Adaptation from Wiki to Books

<i>LM Training Data</i>	<i>Datastore</i>	<i>Perplexity on Books</i>
<i>Books</i>	-	11.89
<i>Wiki-3B</i>	-	34.84
<i>Wiki-3B</i>	<i>Books</i>	20.47

# Domain Adaptation from Wiki to Books

<i>LM Training Data</i>	<i>Datastore</i>	<i>Perplexity on Books</i>
<i>Books</i>	-	11.89
<i>Wiki-3B</i>	-	34.84
<i>Wiki-3B</i>	<i>Books</i>	20.47

A single LM can be useful in multiple domains by simply adding a domain-specific datastore!

# kNN-LM



*Explicitly memorizing the training data helps generalization.*

*LMs can **scale** to larger text collections without the added cost of training, by simply adding the data to the datastore.*

*A single LM can **adapt** to multiple domains without the *in-domain* training, by adding domain-specific data to the datastore.*

# Thanks!

*Explicitly memorizing the training data helps generalization.*

*LMs can **scale** to larger text collections without the added cost of training, by simply adding the data to the datastore.*

*A single LM can **adapt** to multiple domains without the in-domain training, by adding domain-specific data to the datastore.*



"To make a long story short, what it all boils down to in the final analysis is that what you should take away from this is..."

Paper:

<https://arxiv.org/pdf/1911.00172.pdf>

Code:

<https://github.com/urvashik/knnlm>