

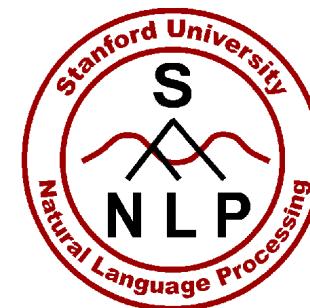


@ukhndlwl

# Sharp Nearby, Fuzzy Far Away: How Neural Language Models Use Context

Urvashi Khandelwal

Stanford University



Bay Area Research in NLP and ML Meetup  
March 28, 2019

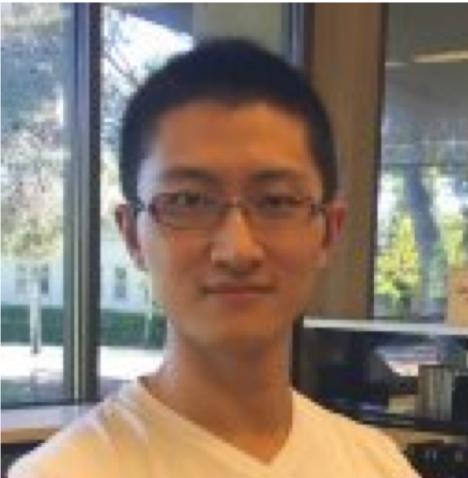
Presented at ACL 2018



# Collaborators



He He  
Amazon/NYU



Peng Qi  
Stanford



Dan Jurafsky  
Stanford



# Language Models

*assign probabilities to sequences of words*

$$P(w_1, \dots, w_T) = \prod_{t=1}^T P(w_t | \underbrace{w_{t-1}, \dots, w_1}_{\text{Context}})$$



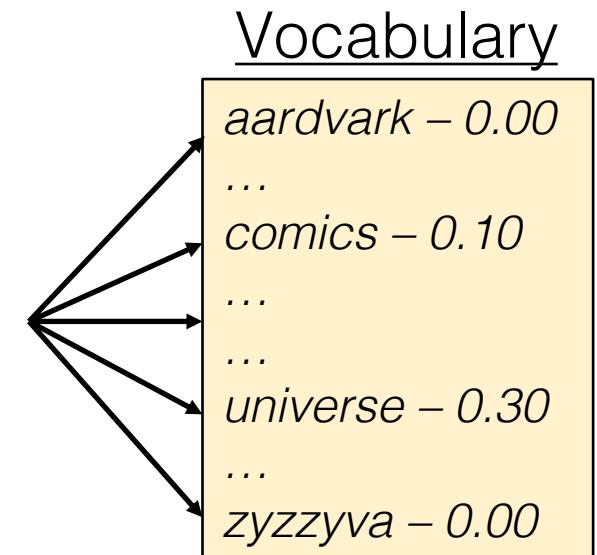
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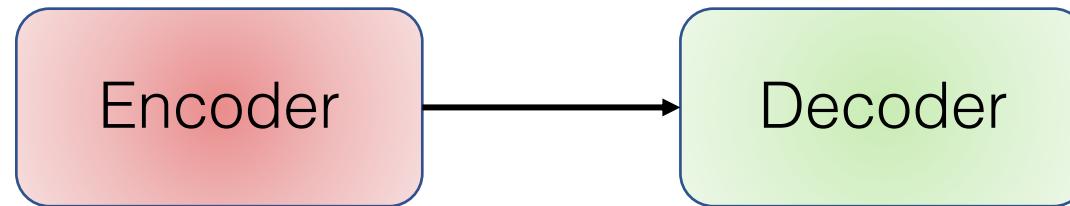
*Iron Man is a character in the Marvel*

???  
\_\_\_\_\_





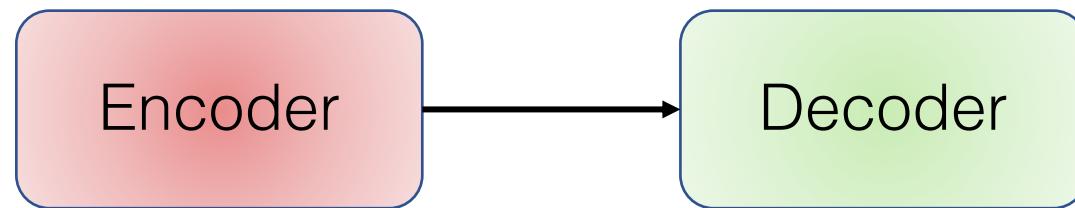
# Language Models – for Generation



Sequence to Sequence



# Language Models – for Generation

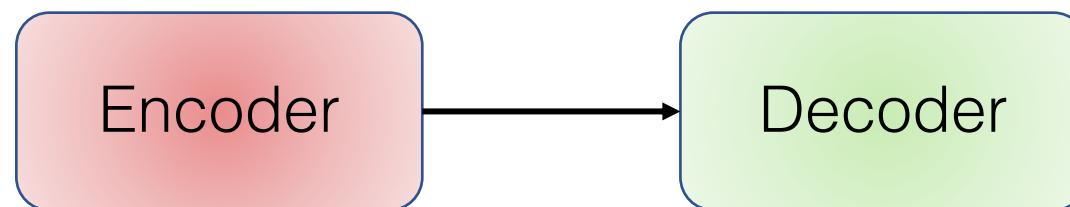
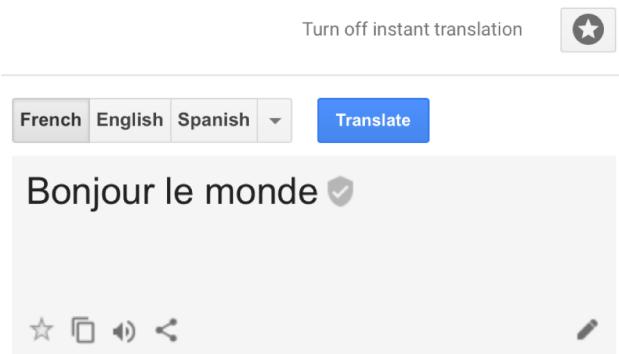


Sequence to Sequence

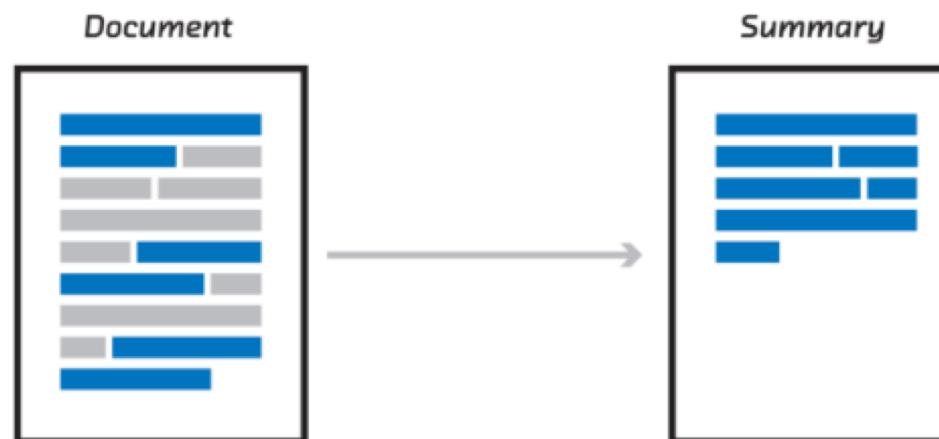




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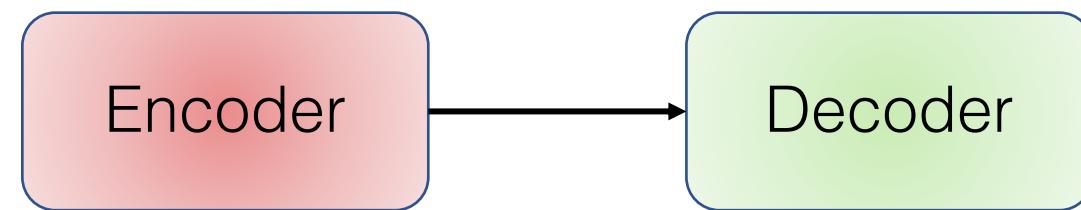
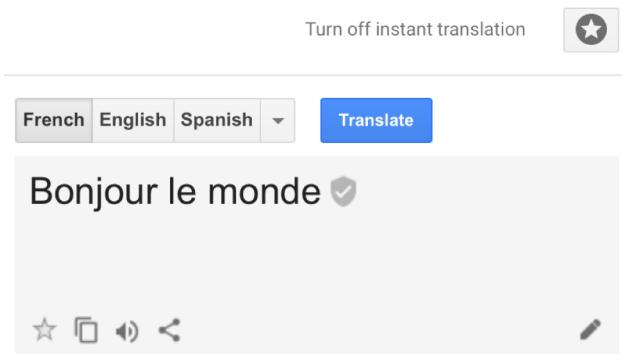


Sequence to Sequence

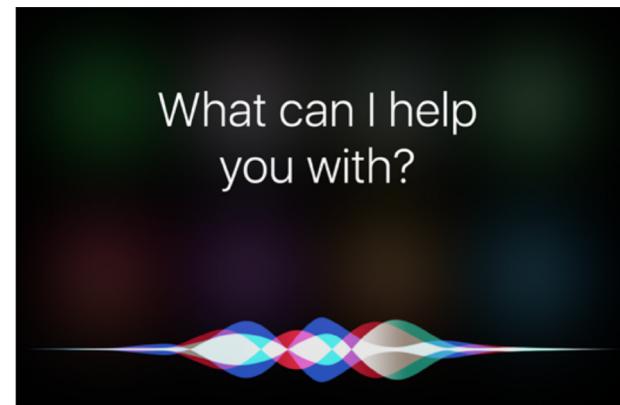




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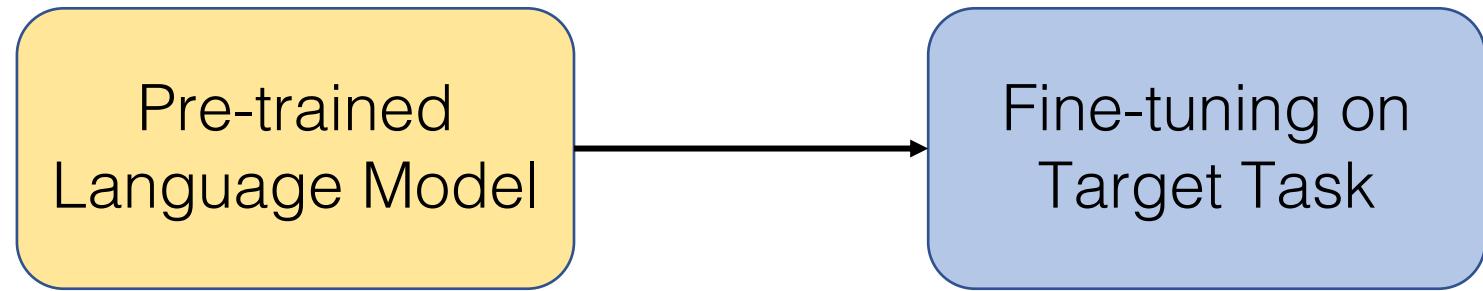


Sequence to Sequence





# Language Models – for Transfer Learning



BERT

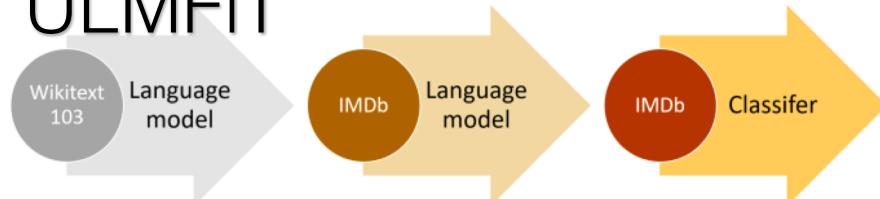


GPT

ELMo

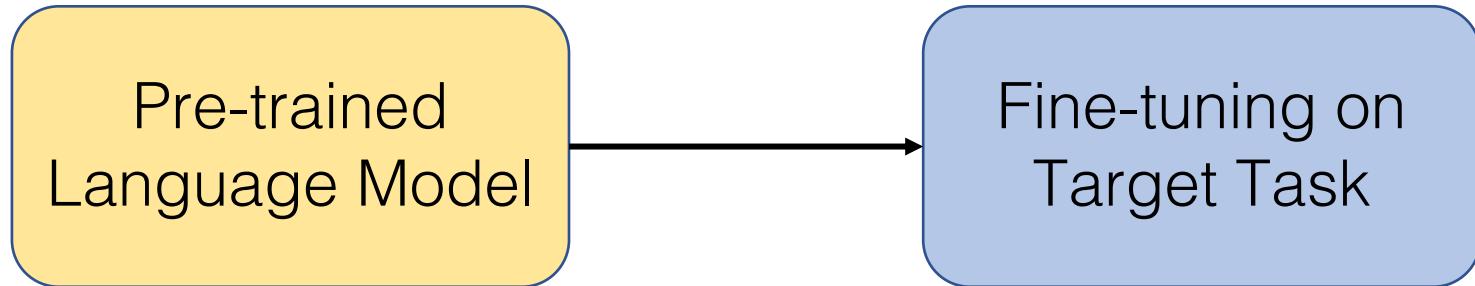


ULMFiT





# Language models – for Transfer Learning



- Large amounts of unlabeled data
- Good downstream task performance without fine-tuning  
(Radford et al., 2019) or without adding too many task-specific parameters (Devlin et al., 2019)



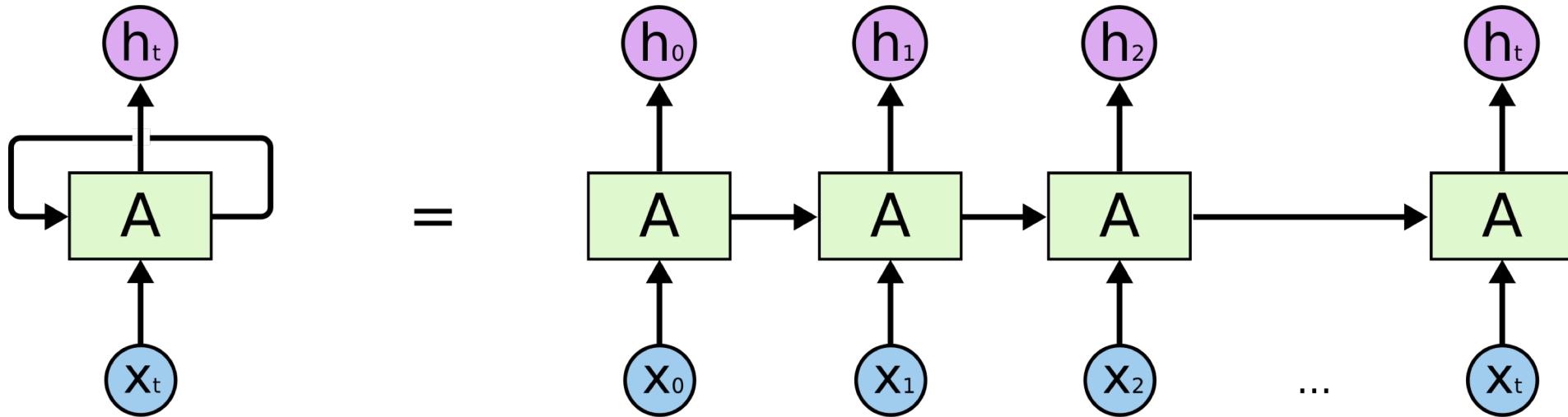
# Analysis of Language Models

Understanding how language models operate allows us to

- Create architectures that encode inductive biases better
- Build explainable models
- Address some legal and policy concerns



# Language Models - LSTMs



Language models assign probabilities to sequences of words

Language models assign ... words



# Language Models

*assign probabilities to sequences of words*

$$P(w_1, \dots, w_T) = \prod_{t=1}^T P(w_t | \underbrace{w_{t-1}, \dots, w_1}_{\text{Context}})$$



# Language Models

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$$P(w_1, \dots, w_T) = \prod_{t=1}^T P(w_t | \underbrace{w_{t-1}, \dots, w_1}_{\text{Context}})$$

N-gram LMs

$$P(w_1, \dots, w_T) = \prod_{t=1}^T P(w_t | w_{t-1}, \dots, w_{t-n+1}) \quad \text{Context Size} = n - 1$$



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## LSTM LMs

$$P(w_1, \dots, w_T) = \prod_{t=1}^T P(w_t | w_{t-1}, \dots, w_1) \quad \text{Context Size} = \infty$$



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## LSTM LMs

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# Some things we know about LSTMs

- LSTMs can remember properties such as sentence lengths, word identity and word order  
*(Adi et al., 2017)*
- LSTMs can capture syntactic information such as subject-verb agreement  
*(Linzen et al., 2016)*
- ...and more.



# Our goal is to study...

*...how LSTM LMs use contextual features, such as word order or word identities, while modeling long sequences.*





# Our approach

*Measure changes in LSTM performance, as a result of perturbing contextual features of the input, during evaluation.*





# Key Questions



- How much context is used by LSTM LMs?  
*About 200 tokens.*
- Are nearby and long-range contexts represented differently?  
*Yes!*
- How do copy mechanisms help the model?  
*By copying words from far away.*



# Setup

- Perturbations applied only during evaluation.
- Datasets (English only): Penn Treebank (PTB) and Wikitext-2 (Wiki).
- Standard LSTM LM (*Merity et al., 2018*).
- All results are reported on the development set.





# Evaluation of LMs

- Loss = Negative Log Likelihood (NLL)

$$\text{NLL} = -\frac{1}{T} \sum_{t=1}^T \log P(w_t | w_{t-1}, \dots, w_1)$$

- Perplexity =  $\exp(\text{NLL})$



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# How much context?

**Effective Context Size:** number of tokens of context such that

$$-\frac{1}{T} \sum_{t=1}^T \log P(w_t | w_{t-1}, \dots, w_{\text{ecs}}) \approx -\frac{1}{T} \sum_{t=1}^T \log P(w_t | w_{t-1}, \dots, w_1)$$

*... Language models assign probabilities to sequences of words*



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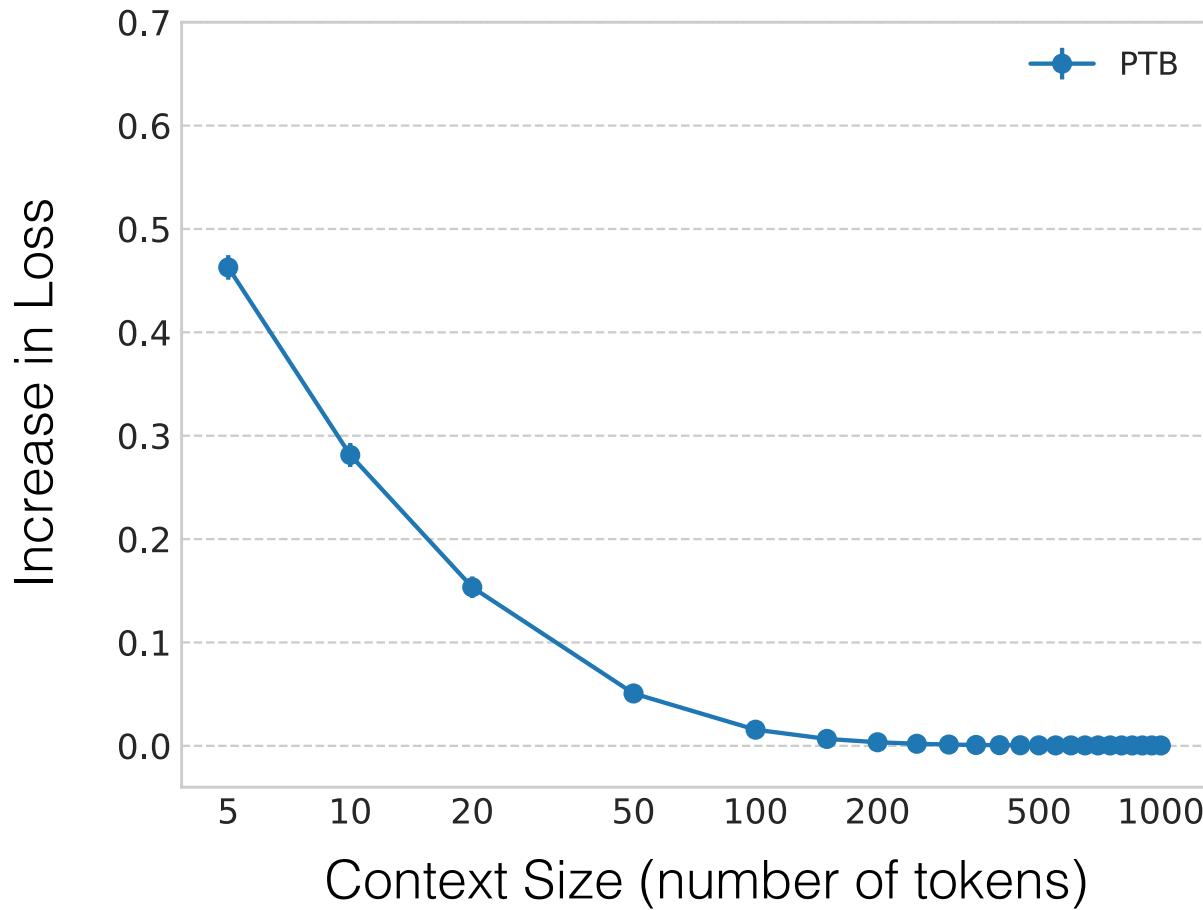
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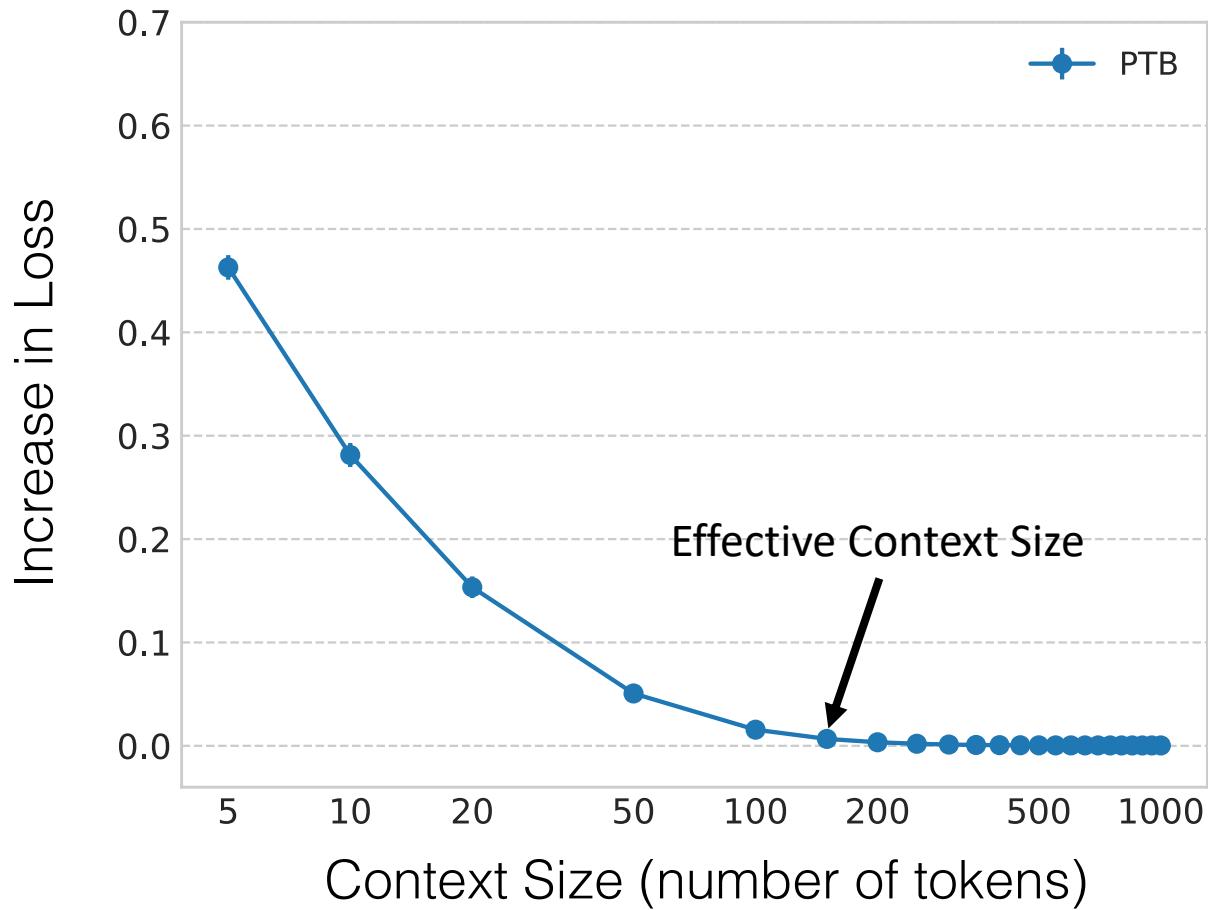


LSTM language models have an effective context size of about 200 on average



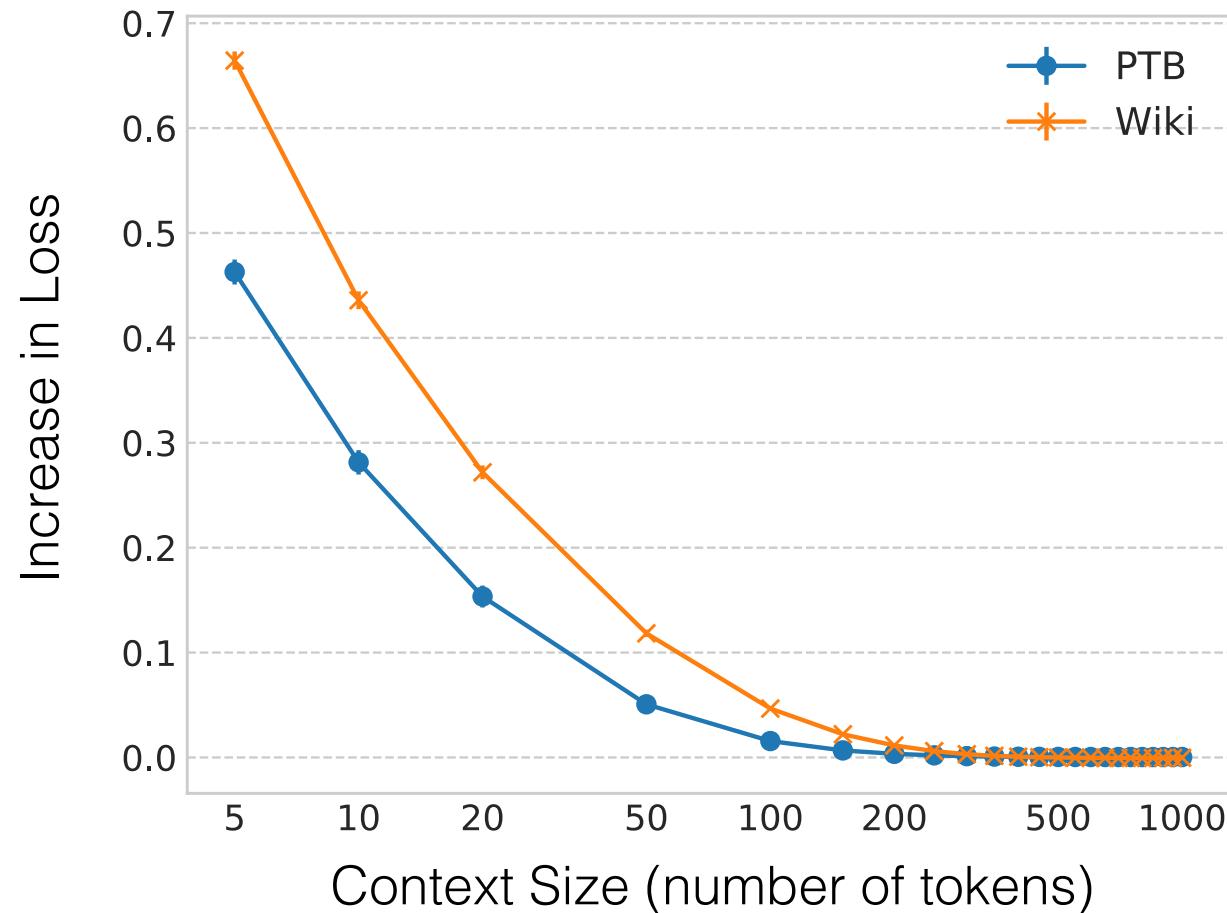


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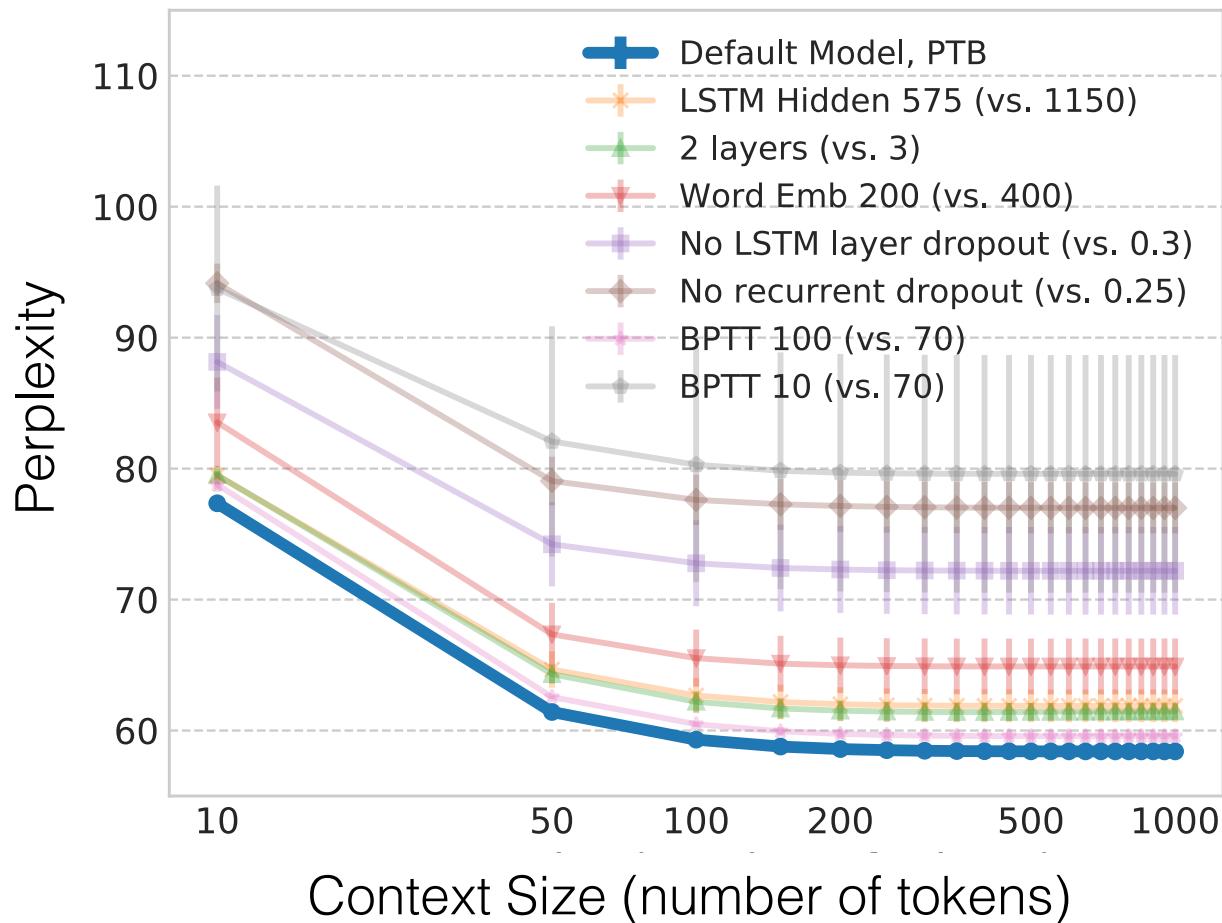
# What about hyperparameters?

The model is trained with specific hyperparameters. But what if we changed them?

- Does the amount of dropout matter?
- Does the size of the hidden states or the word embeddings matter?
- Does the number of timesteps used backpropagation matter?



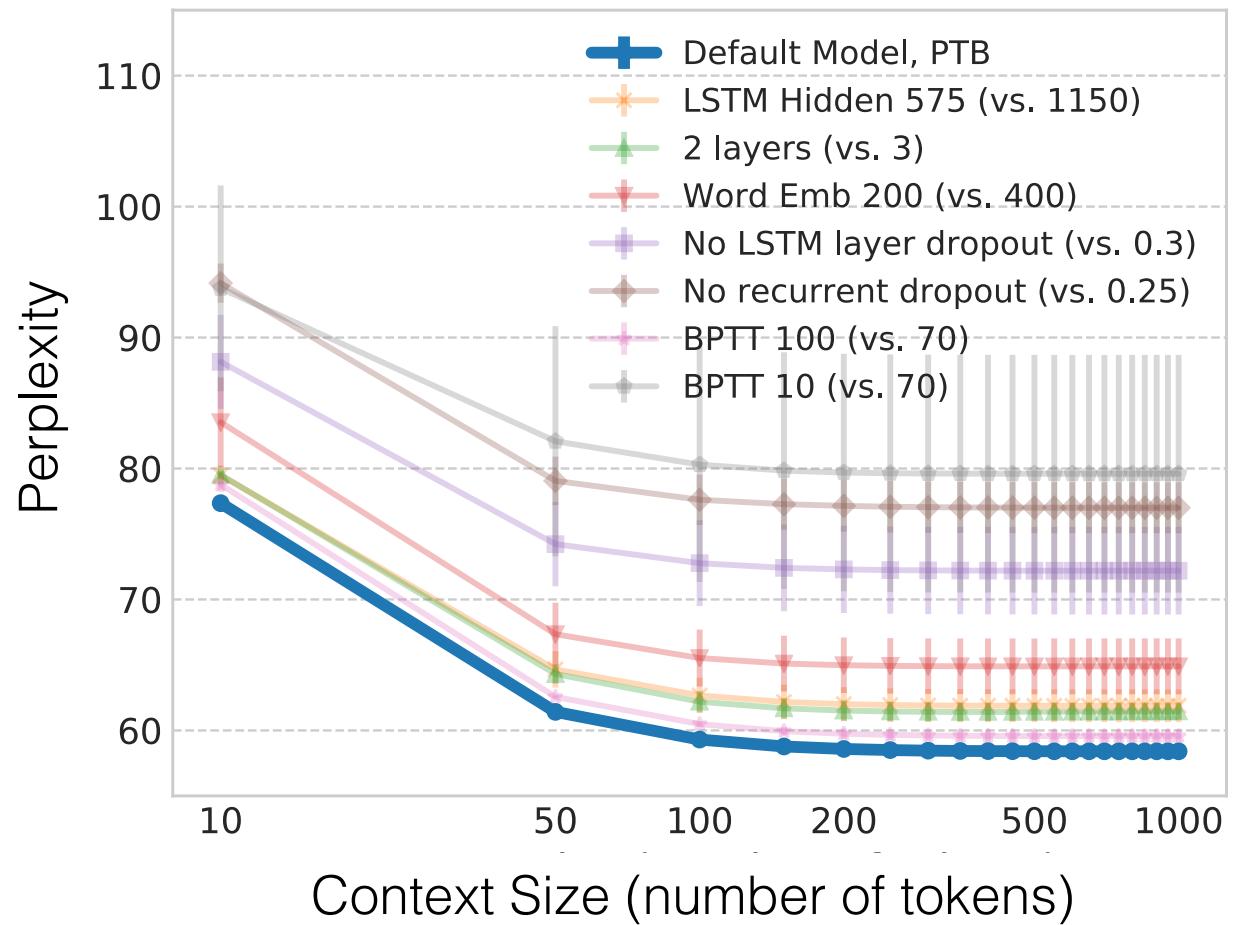
# Changing the model hyperparameters does not change the effective context size





# Changing the model hyperparameters does not change the effective context size

- Default model has best performance.
- Changing hyperparameters changes perplexity – models are clearly different
- Trend for effective context size remains the same



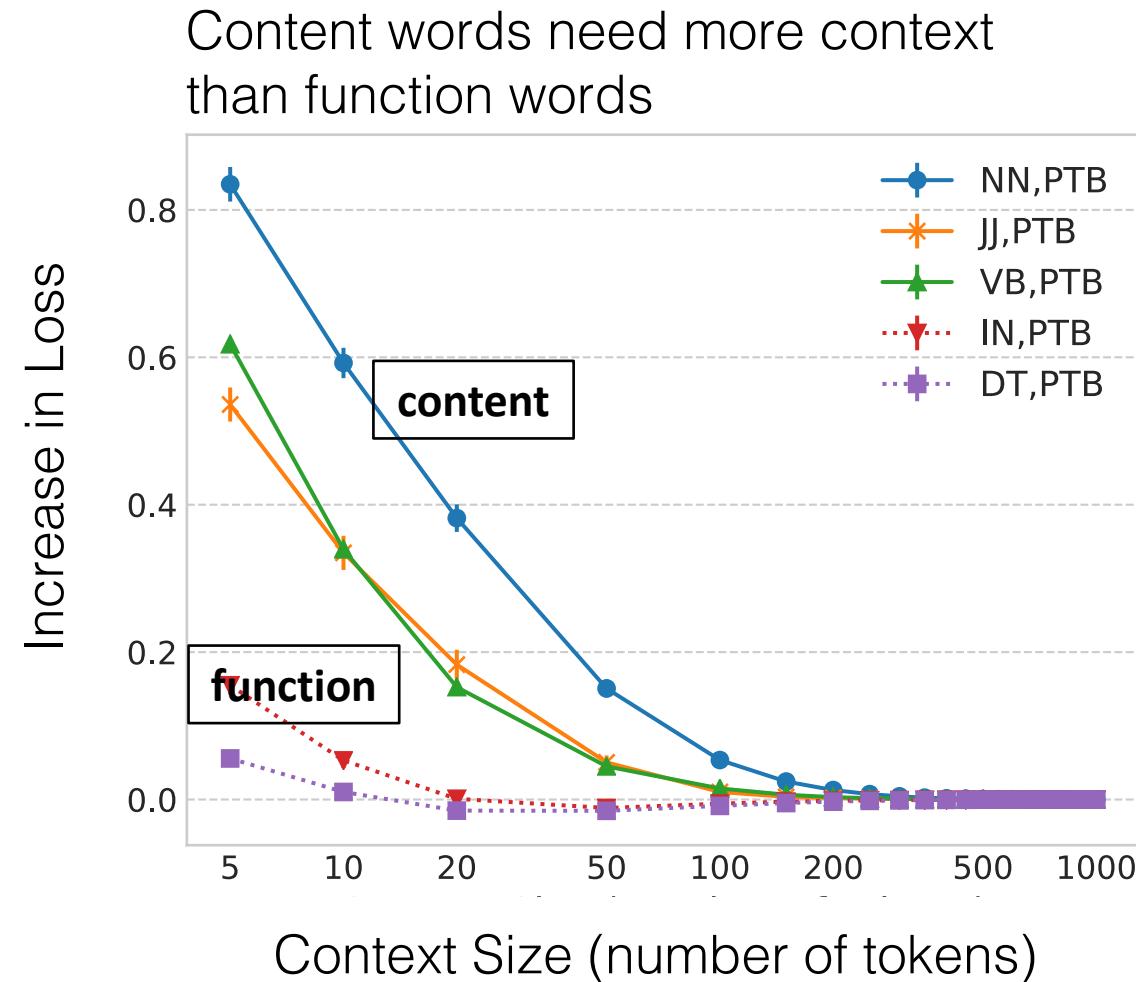


# Does the target word's type matter?

*Nouns are not the same as determiners. Does the model know this?*



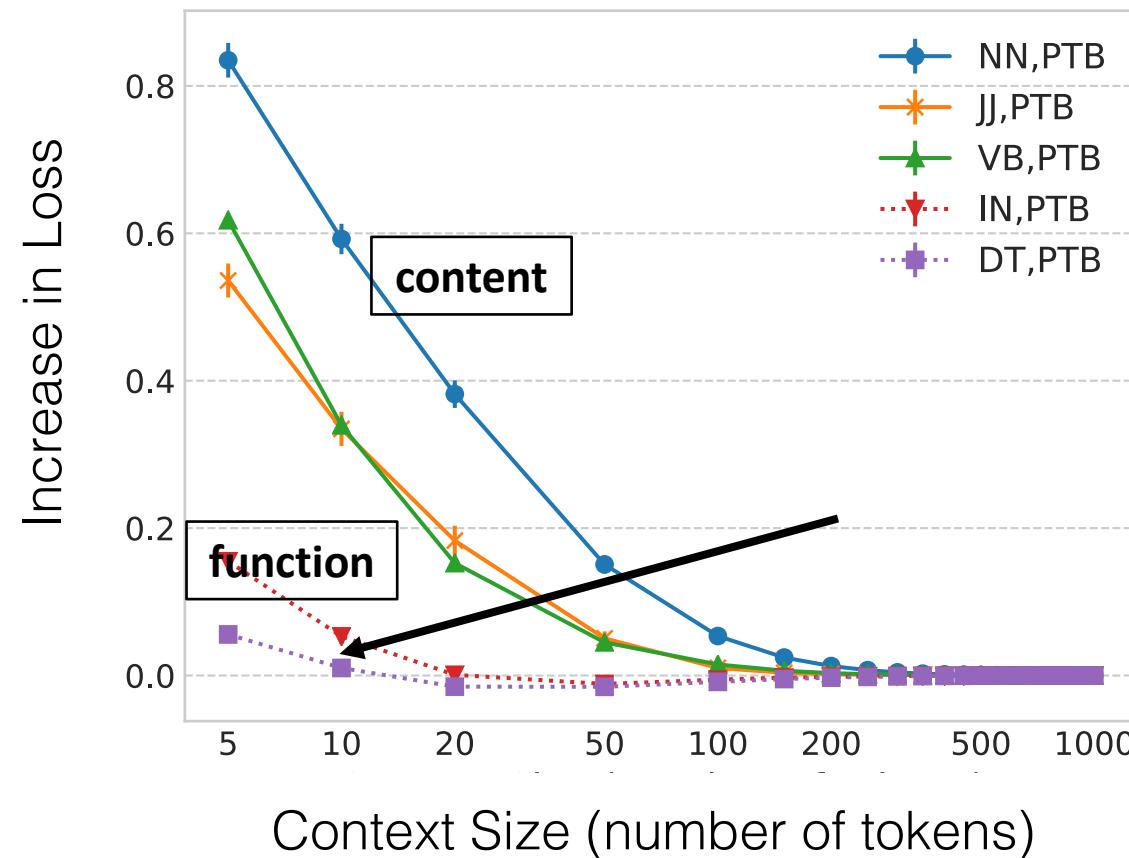
# The LSTM's effective context size is dynamic and depends on the target word





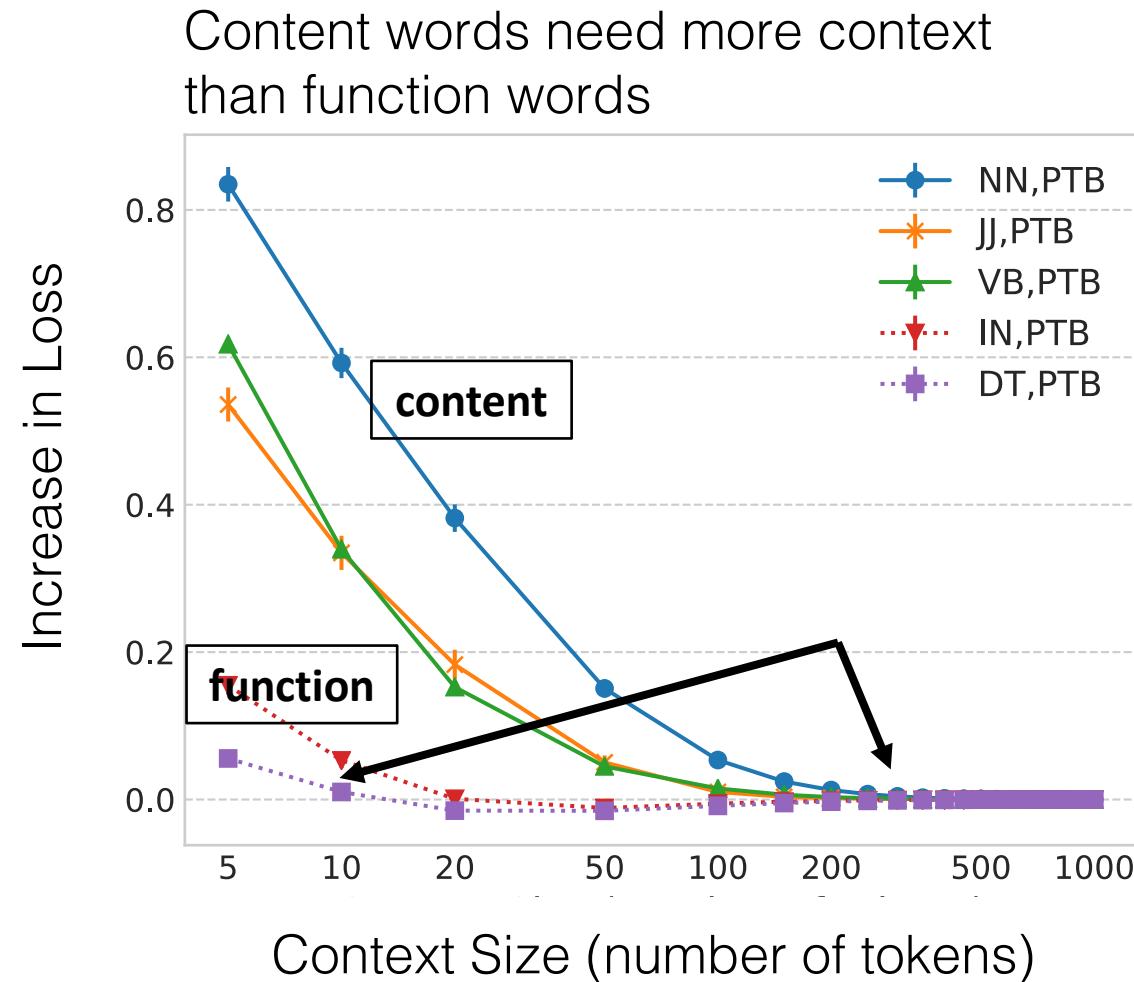
# The LSTM's effective context size is dynamic and depends on the target word

Content words need more context than function words





# The LSTM's effective context size is dynamic and depends on the target word





# Key Questions



- How much context is used by LSTM LMs?

*About 200 tokens.*

*Agnostic to changes in hyperparameters.*

*Context use is dynamic.*

- Are nearby and long-range contexts represented differently?

*Yes!*

- How do copy mechanisms help the model?

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# Does word order matter?

**Local Word Order:** Order within 20 token spans (about the length of a sentence)

*In this analytic study , we investigate the use of context by LSTM language models ,  
using ablations . A language model assigns probabilities to sequences of words*



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**Global Word Order:** Order within the entire context

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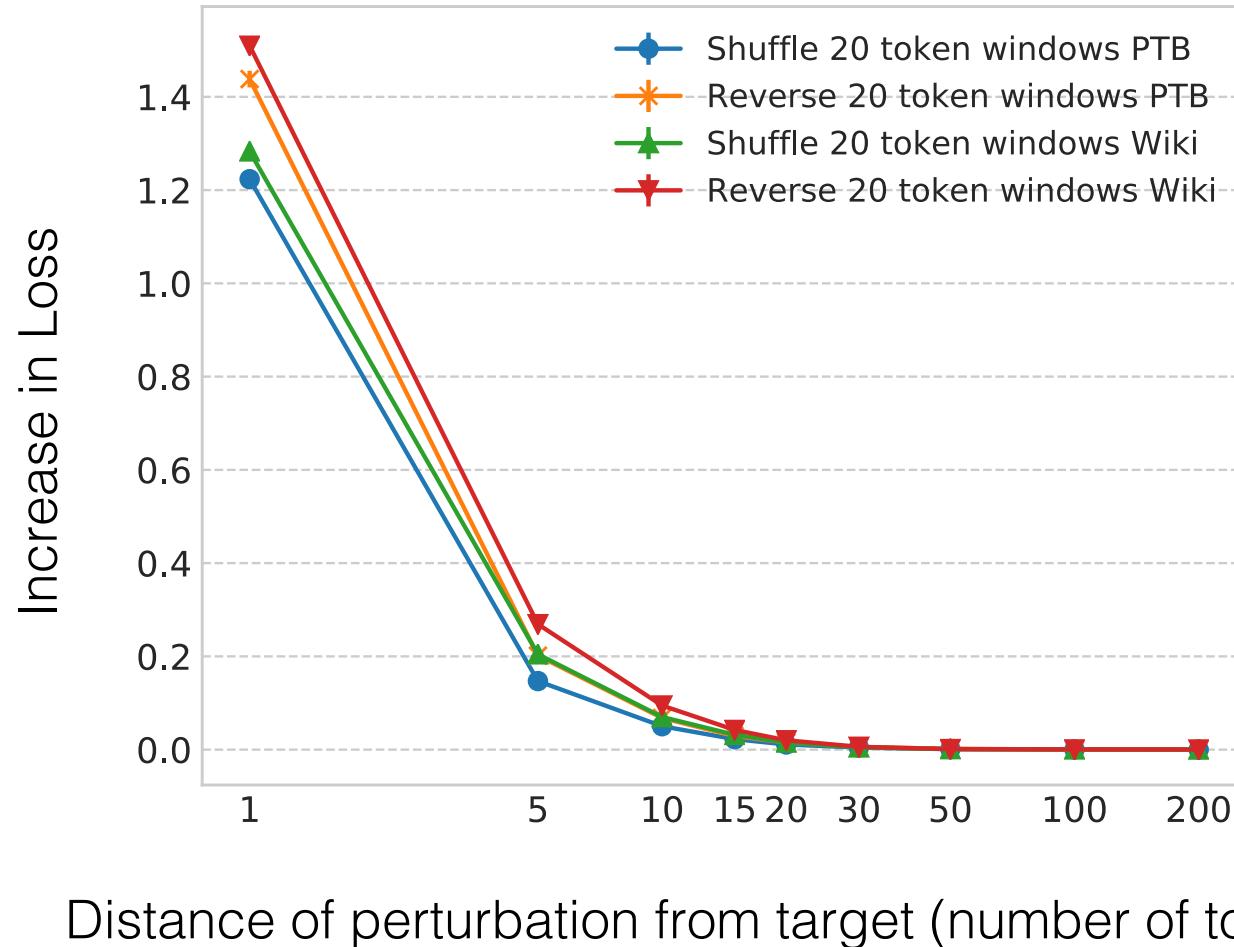
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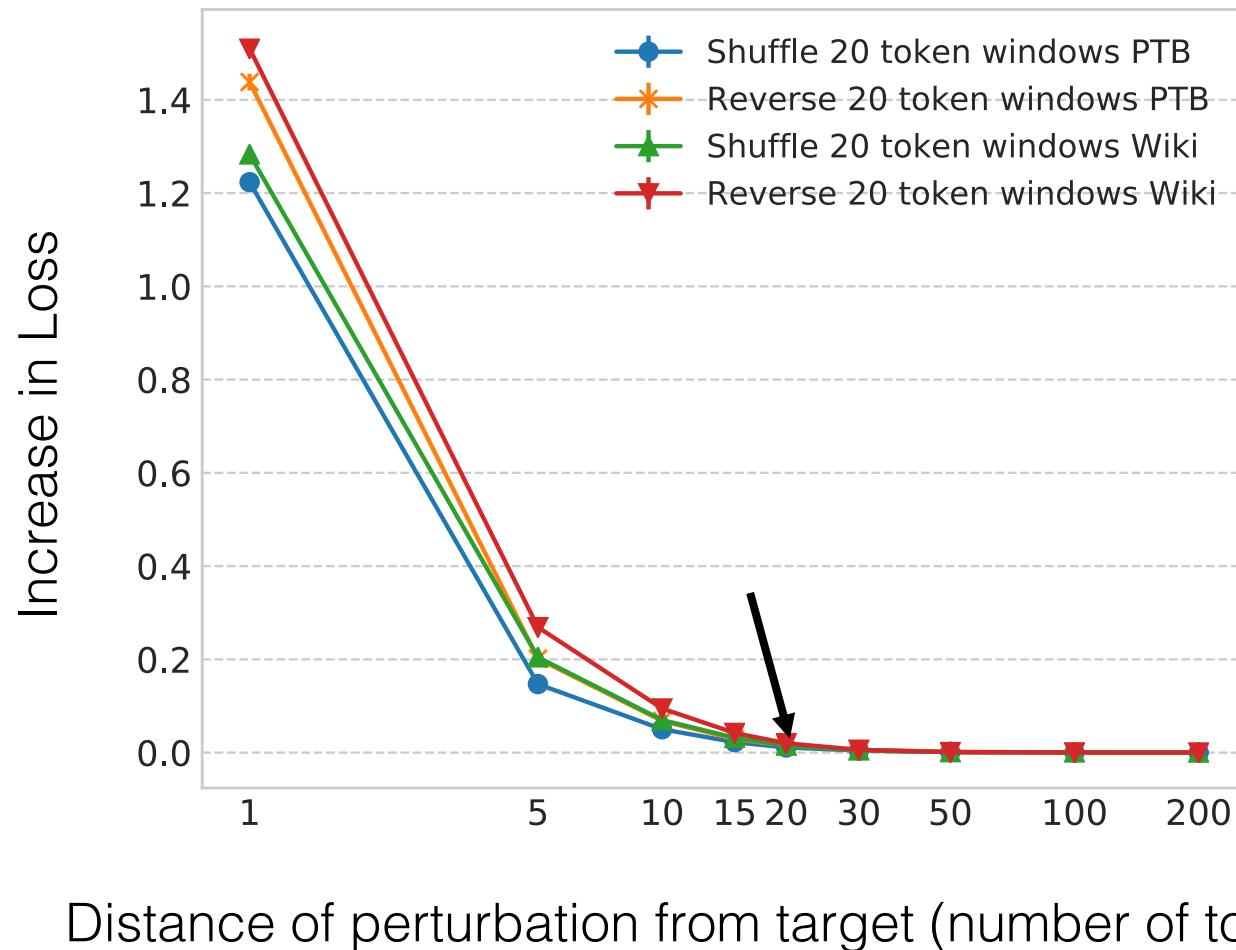


# Local word order only matters for the first 20 tokens



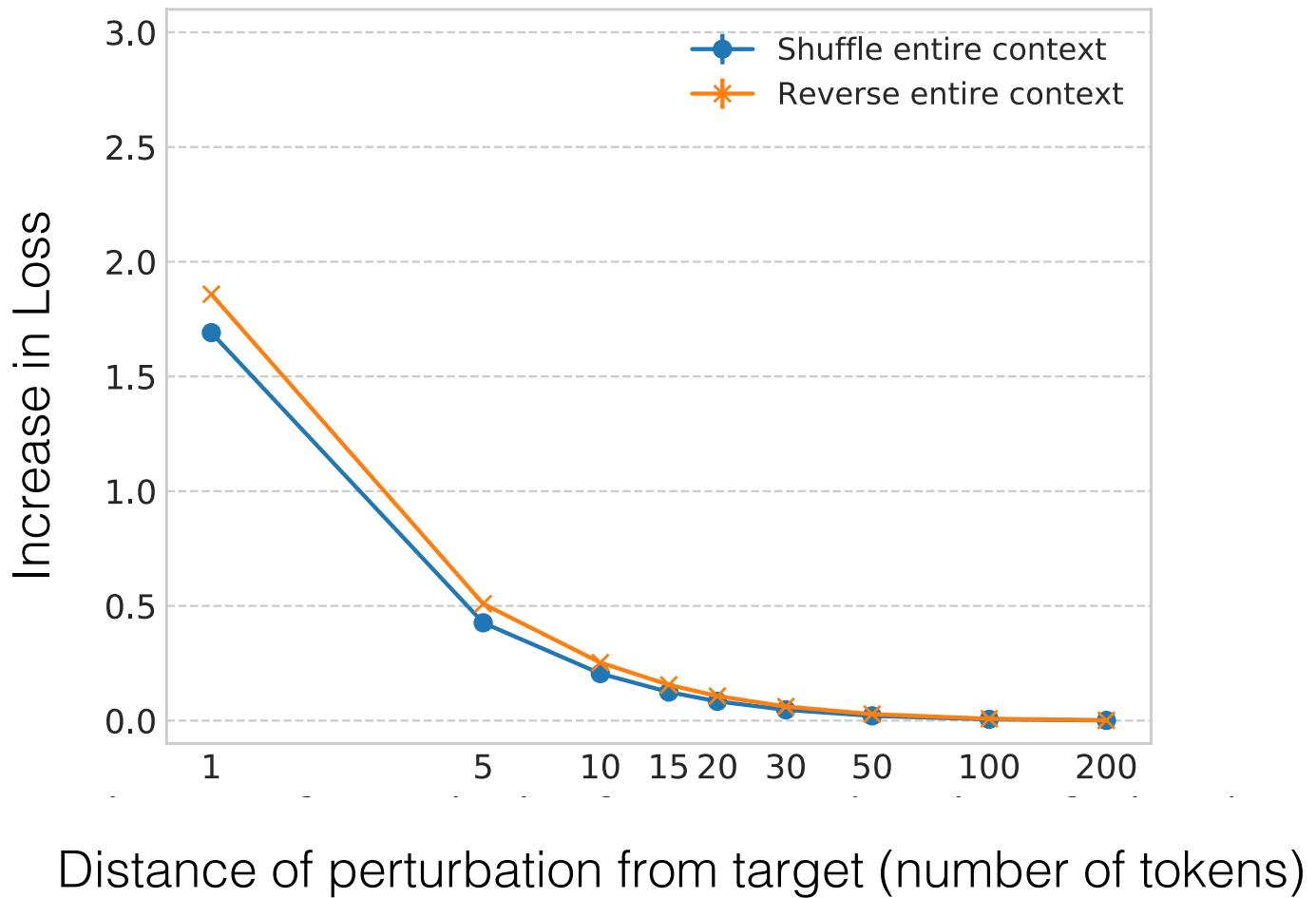


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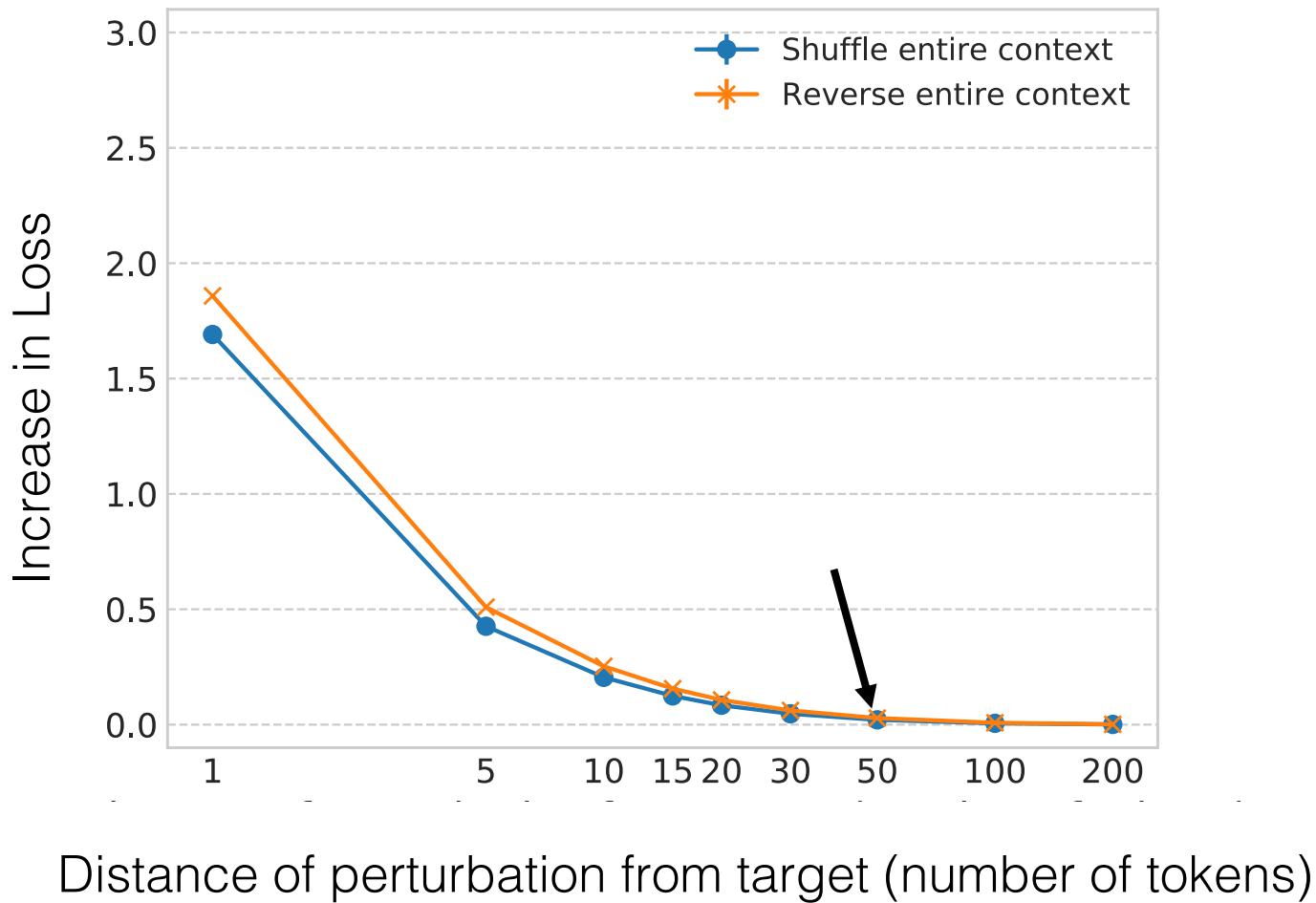


# Global word order only matters for the most recent 50 tokens





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# Replace context with random train set sequence

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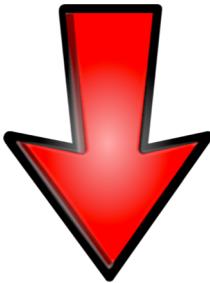
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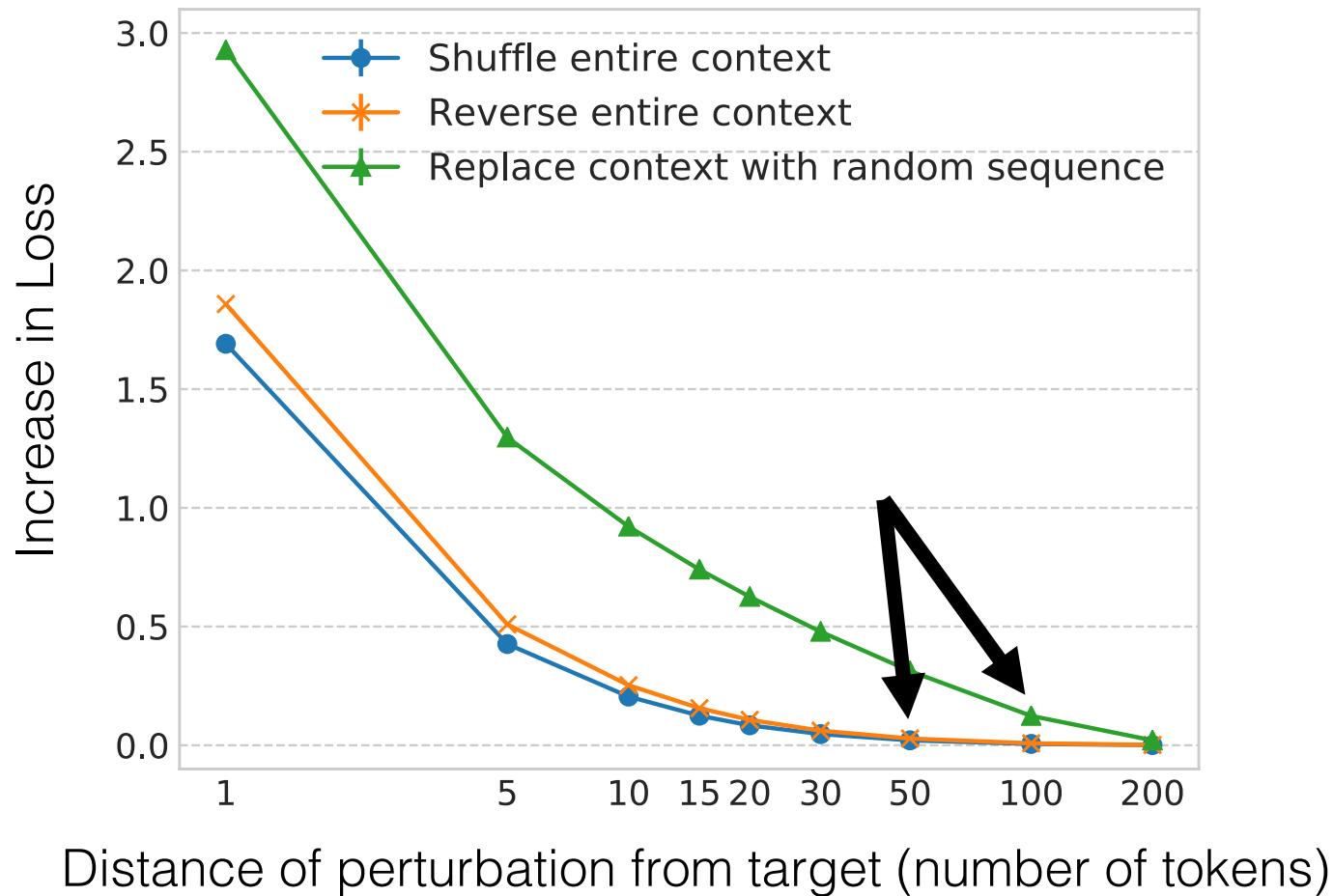
*In this analytic study , we investigate the use of context by LSTM language models , using ablations . A language model assigns probabilities to sequences of words*



*Iron Man is a character in the Marvel universe . He joined forces with other Marvel characters to form the Avengers – Earth 's mightiest heroes of words*



# Global word order only matters for the most recent 50 tokens





# Key Questions



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# Can LSTMs copy words without external copy mechanisms?

... on sequences of **words** most of the time ... probabilities to sequences of words

A dashed black arrow originates from the word 'words' in the first part of the sentence and points towards the word 'words' in the second part of the sentence.



# Can LSTMs copy words without external copy mechanisms?

... on sequences of **words** most of the time ... probabilities to sequences of words

A dashed black arrow originates from the word "Attention" in the heading and points towards the word "words" in the explanatory text below. The word "Attention" is circled with a red marker.



# Three classes of target words

1. Appear in their own **nearby** context (within 50 tokens).

*... Langauge models operate on sequences of **words** most of the time . A language model assigns probabilities to sequences of words*



# Three classes of target words

1. Appear in their own **nearby** context (within 50 tokens).
2. Appear only in their **long-range** context (beyond 50 tokens).

*... **words** ... deep ... hype ... <token 51, token 50, token 49> ... assigns probabilities to sequences of words*



# Three classes of target words

1. Appear in their own **nearby** context (within 50 tokens).
2. Appear only in their **long-range** context (beyond 50 tokens).
3. Never appear in their own context, ever (**none**).



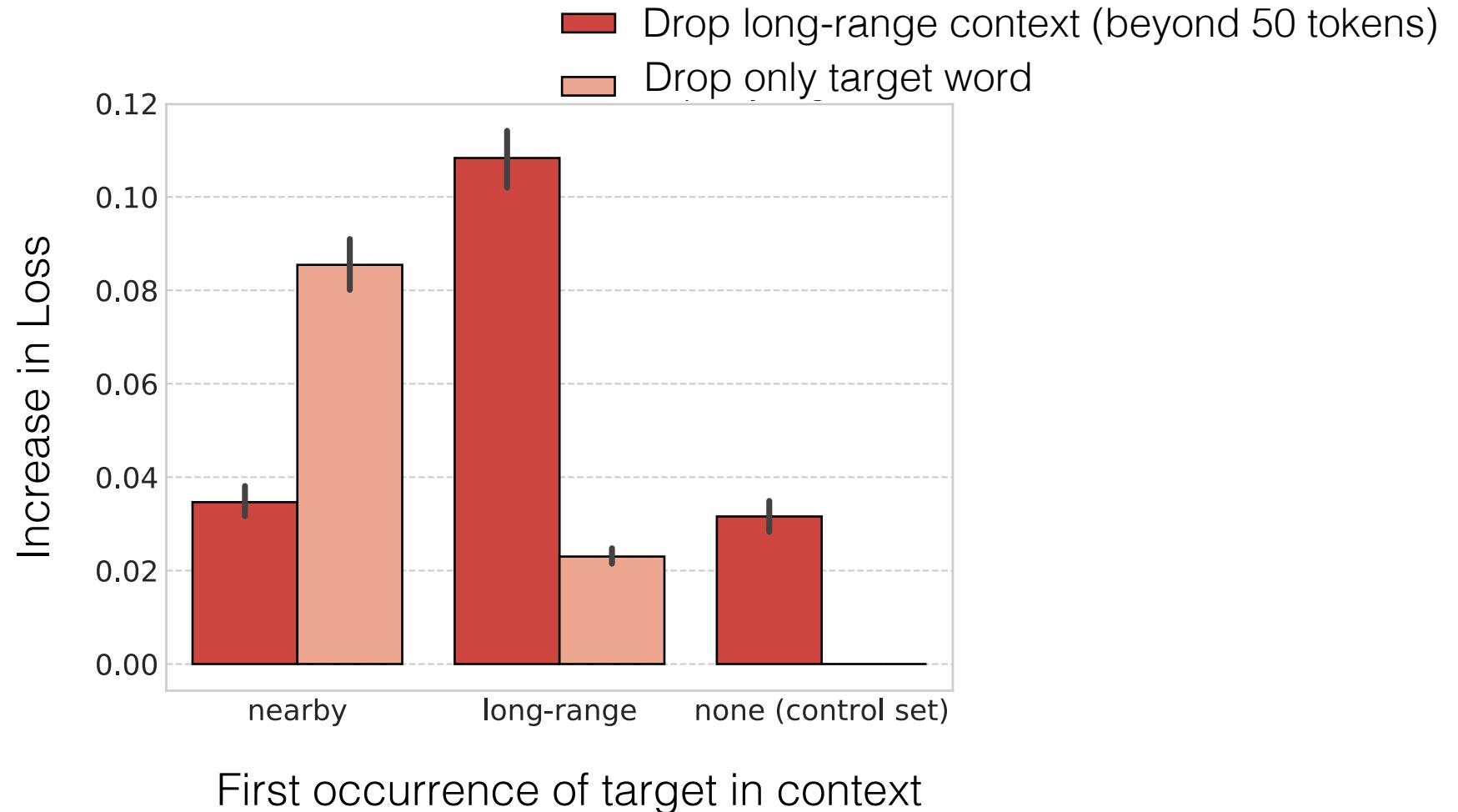
# Drop target words

... *words* ... *words* ... operate on sequences of *words* most of the time . A language model assigns probabilities to sequences of *words*

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# LSTM LMs can regenerate words seen in nearby context



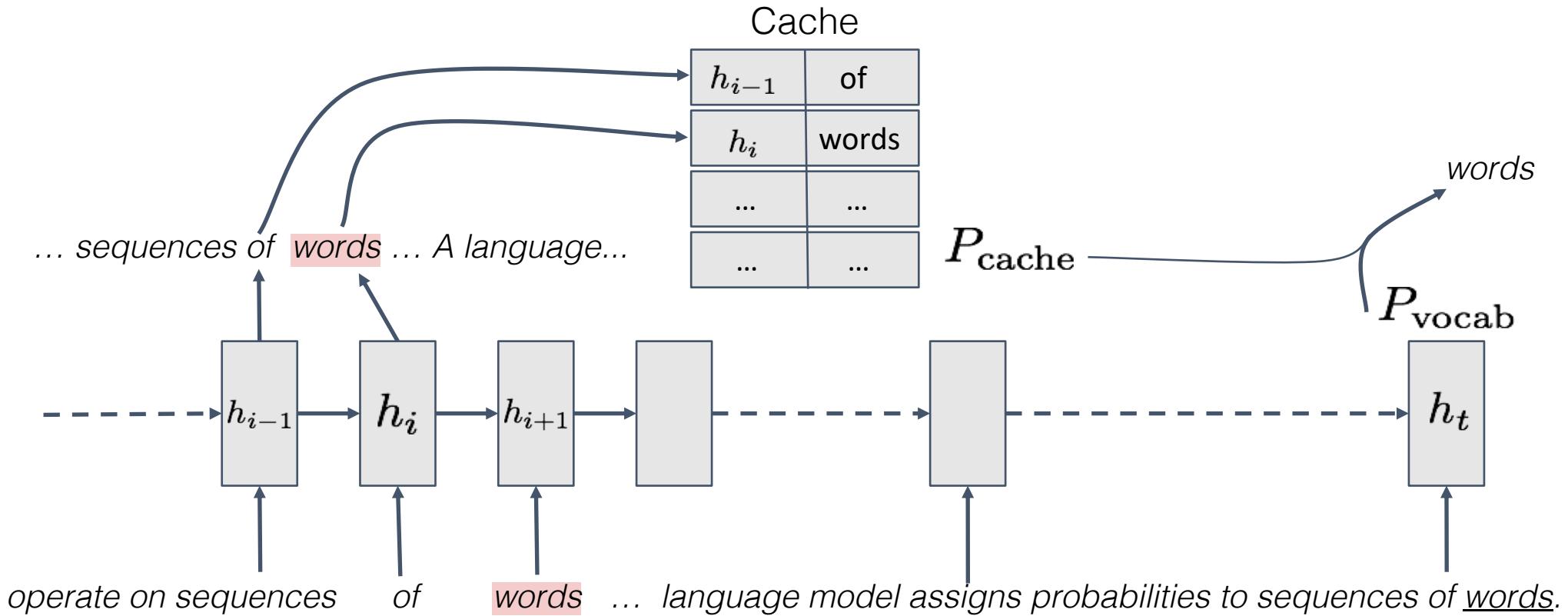


# How do external copy mechanisms help?

*In this study, we consider the Neural Caching Model (Grave et al., 2017)*



# Neural Caching Model (Grave et al., 2017)



$$P_{cache}(w_t | w_{t-1}, \dots, w_1; h_t, \dots, h_1) \propto \sum_{i=1}^{t-1} \mathbb{1}[w_i = w_t] \exp(\theta h_i^T h_t)$$



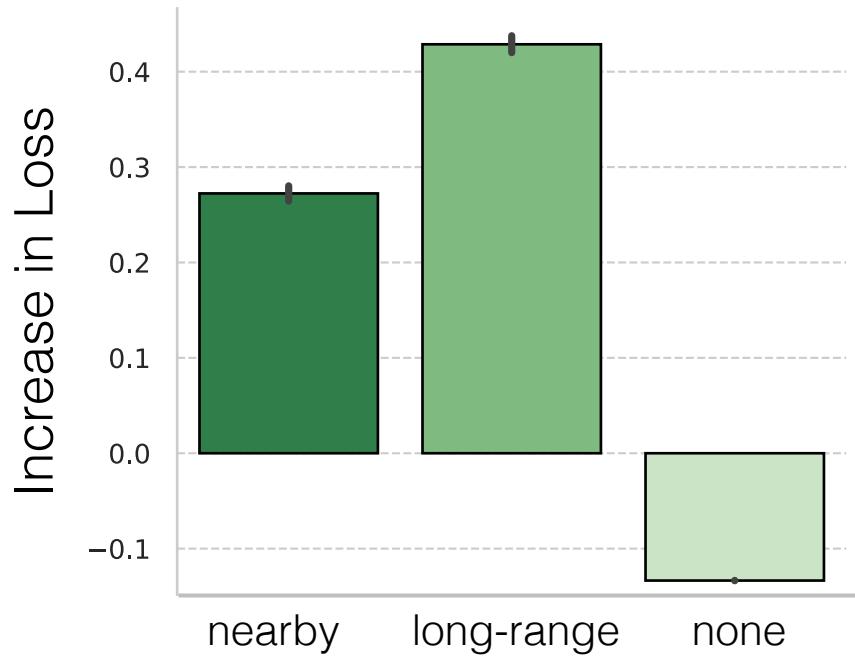
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# Caches help words that can be copied from long-range context, the most

Dataset = Wiki, Cache Size = 3,875 timesteps



First occurrence of target in context



# Neural Cache Success and Failure Examples

Success:

La **Fortuna** , Mexico . UNK just off the coast of Mexico , the system interacted with land and began weakening . UNK later , convection rapidly diminished as dry air became entrained in the circulation . In response to quick degradation of the system 's structure , the NHC downgraded UNK to a tropical storm . Rapid weakening continued throughout the day and by the evening hours , the storm no longer had a defined circulation . Lacking an organized center and deep convection , the final advisory was issued on UNK . The storm 's remnants persisted for several more hours before dissipating roughly 175 mi ( 280 km ) southwest of Cabo Corrientes , Mexico . </s> </s> = = Preparations and impact = = </s> </s> Following the classification of Tropical Depression Two @-@ E on June 19 , the Government of Mexico issued a tropical storm warning for coastal areas between UNK and Manzanillo . A hurricane watch was also put in place from UNK de UNK to Punta San UNK . Later that day , the tropical storm warning was upgraded to a hurricane warning and the watch was extended westward to La **Fortuna**

Failure:

) . Standing roughly 15 metres ( 49 ft ) away , the cadres now raised their weapons . " You have taken our land , " one of them said . " Please don 't shoot us ! " one of the passengers cried , just before they were killed by a sustained burst of automatic gunfire . </s> Having collected water from the nearby village , UNK and his companions were almost back at the crash site when they heard the shots . UNK it was personal ammunition in the luggage exploding in the heat , they continued on their way , and called out to the other passengers , who they thought were still alive . This alerted the insurgents to the presence of more survivors ; one of the guerrillas told UNK 's group to " come here " . The insurgents then opened fire on their general location , prompting UNK and the others to flee . Hill and the UNK also ran ; they revealed their positions to the fighters in their UNK , but successfully hid themselves behind a ridge . After Hill and the others had hidden there for about two **hours**



# Key Questions



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*About 200 tokens.*  
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*Word order matters nearby.*  
*Long-range context is modeled as a rough semantic field/topic.*
- How do copy mechanisms help the model?  
*LSTM LMs can regenerate words from nearby.*  
*Neural cache can copy from far away.*



# What's next?



- Improving existing models.
- Compare model classes on more than test set perplexities.
- Can we decouple the data from the models?
  - Experiment with a variety of model classes
  - Experiment on many different languages
- Theoretical justifications for LSTM behavior.



# Thank You!

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"To make a long story short, what it all boils down to in the final analysis is that what you should take away from this is..."

Paper: <https://nlp.stanford.edu/pubs/khandelwal2018lm.pdf>  
 Code: <https://github.com/urvashik/lm-context-analysis>