

# Chapter 0

## Prologue

### Solutions

**0.1.** In each of the following situations, indicate whether  $f = O(g)$ , or  $f = \Omega(g)$ , or both (in which case  $f = \Theta(g)$ ).

	$f(n)$	$g(n)$	
a	$n - 100$	$n - 200$	$f = \Theta(g)$
b	$n^{1/2}$	$n^{3/2}$	$f = O(g)$
c	$100n + \log n$	$n + (\log n)^2$	$f = \Theta(g)$
d	$n + \log n$	$10n \log 10n$	$f = \Theta(g)$
e	$\log 2n$	$\log 3n$	$f = \Theta(g)$
f	$10 \log n$	$\log(n^2)$	$f = \Theta(g)$
g	$n^{1.01}$	$n (\log^2 n)$	$f = \Omega(g)$
h	$n^2 / \log n$	$n (\log n)^2$	$f = \Omega(g)$
i	$n^{0.1}$	$(\log n)^{10}$	$f = O(g)$
j	$(\log n)^{\log n}$	$n / \log n$	$f = \Omega(g)$
k	$\sqrt{n}$	$(\log n)^3$	$f = \Omega(g)$
l	$n^{1/2}$	$5^{\log_2 n}$	$f = O(g)$
m	$n2^n$	$3^n$	$f = O(g)$
n	$2^n$	$2^{n+1}$	$f = \Theta(g)$

o	$n!$	$2^n$	$f = \Omega(g)$
p	$(\log n)^{\log n}$	$2^{(\log_2 n)^2}$	$f = O(g)$
q	$\sum_{i=1}^n i^k$	$n^{k+1}$	If $k = 0, f = \Theta(g)$ If $k < 0, f = O(g)$ If $k > 1, f = \Omega(g)$ If $k = 1, f = \Theta(g)$ See working below

- If  $k = 0$ ,

$$f(n) = 1^0 + 2^0 + 3^0 + \dots + n^0 = 1 + 1 + 1 + \dots + 1, \text{ total } n \text{ terms}$$

$$f(n) = n$$

$$g(n) = n^{0+1} = n^1 = n$$

$$\text{Hence } f = \Theta(g)$$

- If  $k < 0$ ,

$$f(n) = 1 + \frac{1}{2^k} + \frac{1}{3^k} + \dots + \frac{1}{n^k}, \text{ the sum converges towards } 1$$

$$g(n) = \frac{1}{n^{k+1}}$$

$$\text{Hence } f = O(g)$$

- If  $k > 1$ ,

$$f(n) = 1 + 2^k + 3^k + \dots + n^k$$

$$g(n) = n^{k+1}$$

$$\text{Hence } f = \Omega(g)$$

- If  $k = 1$ ,

$$f(n) = 1 + 2^1 + 3^1 + \dots + n^1, \text{ sum of first } n \text{ natural numbers}$$

$$f(n) = \frac{n(n+1)}{2}$$

$$g(n) = n^2$$

$$\text{Hence } f = \Theta(g)$$

## 0.2 Show that, if $c$ is a positive real number, then

$g(n) = 1 + c + c^2 + \dots + c^n$  is:

### a. $\Theta(1)$ if $c < 1$ .

Let's assume  $c = 0.5$ , which is less than 1. The sequence will become:

$$g(n) = 1 + 0.5^1 + 0.5^2 + \dots + 0.5^n$$

$$g(n) = 1 + 0.5 + 0.25 + 0.0625 + \dots + 0.5^n$$

As we expand more terms value is decreasing i.e. become closer to 0. So the sequence is bound by the first value. So asymptotically  $g(n) = \Theta(1)$

### b. $\Theta(n)$ if $c = 1$ .

For  $c = 1$  the sequence is:

$$g(n) = 1 + 1 + 1^2 + \dots + 1^n$$

$$g(n) = 1 + 1 + 1 + \dots + 1, n \text{ terms}$$

$$g(n) = n$$

Hence  $g(n) = \Theta(n)$

### (c) $\Theta(c^n)$ if $c > 1$ .

For  $c > 1$ , each power of  $c$  will be greater than 1. Hence  $c^n$  will be the dominating term.

So sum of sequence will be bounded by last term i.e.  $c^n$

Therefore  $g(n) = \Theta(c^n)$

## 0.3. The Fibonacci numbers $F_0, F_1, F_2, \dots$ , are defined by the rule

$$F_0 = 0, F_1 = 1, F_n = F_{n-1} + F_{n-2}.$$

**In this problem we will confirm that this sequence grows exponentially fast and obtain some bounds on its growth.**

### (a) Use induction to prove that $F_n \geq 2^{0.5n}$ for $n \geq 6$ .

Consider the base case first.

$$n = 6$$

$$F_6 \geq 2^{0.5 \times 6}$$

$$8 \geq 8, \text{ Hence true.}$$

$$n = 7$$

$$F_7 \geq 2^{0.5 \times 7}$$

$$F_7 \geq 2^{3.5}$$

$13 \geq 11.313$ , Hence true.

Let's say  $k \geq 7$ , then replacing  $n$  by  $k$  our inequality becomes.

$$F_k \geq 2^{0.5k} \text{ ---- 1}$$

Now we have to prove that our inequality holds for  $k + 1$  too. Replacing  $k$  by  $k + 1$  we get:

$$F_{k+1} \geq 2^{0.5(k+1)} \text{ ---- 2}$$

Similarly for  $k - 1$ ,

$$F_{k-1} \geq 2^{0.5(k-1)} \text{ ---- 3}$$

Adding inequality 1 and 3 will yield

$$F_{k+1} = F_k + F_{k-1} \geq 2^{0.5k} + 2^{0.5(k-1)}$$

$$F_{k+1} \geq 2^{0.5k} + 2^{0.5(k-1)}$$

$$F_{k+1} \geq 2^{0.5k} + 2^{0.5k-0.5}$$

$$F_{k+1} \geq 2^{0.5k} + \frac{2^{0.5k}}{2^{0.5}}$$

$$F_{k+1} \geq 2^{0.5k} \left( 1 + \frac{1}{2^{0.5}} \right)$$

The term  $\left( 1 + \frac{1}{2^{0.5}} \right) = 1.707106781$ . Which is approximately equal to

$$2^{0.5} = 1.41421356.$$

$$F_{k+1} \geq 2^{0.5k} \times 2^{0.5}$$

$$F_{k+1} \geq 2^{0.5k+0.5}$$

$$F_{k+1} \geq 2^{0.5(k+1)}$$

Hence proved.

**c. Find a constant  $c < 1$  such that  $F_n \leq 2^{cn}$  for all  $n \geq 0$ . Show that your answer is correct**

$$F_n \leq 2^{cn} \text{ ---- 1}$$

For finding the value of  $c$  replace  $n$  by  $n - 1$  in above inequality we get:

$$F_{n-1} \leq 2^{c(n-1)}$$

Similarly replacing  $n$  by  $n + 1$  we get:

$$F_{n+1} \leq 2^{c(n+1)}$$

The original inequality  $F_n \leq 2^{cn}$  will become:

$$F_{n-1} + F_{n-2} \leq 2^{cn-c} + 2^{cn-2c}$$

$$F_n \leq 2^{cn-c} + 2^{cn-2c}$$

$$F_n \leq \frac{2^{cn}}{2^c} + \frac{2^{cn}}{2^{2c}}$$

$$F_n \leq 2^{cn} \left( \frac{1}{2^c} + \frac{1}{2^{2c}} \right)$$

For initial condition (inequality 1) to hold the expression  $\left( \frac{1}{2^c} + \frac{1}{2^{2c}} \right)$  must be equal to 1. So that when multiplied with  $2^{cn}$ , the result remains the same.

Solving for  $\left( \frac{1}{2^c} + \frac{1}{2^{2c}} \right) = 1$

$$\frac{2^{2c} + 2^c}{2^{3c}} = 1$$

$$2^{2c} + 2^c = 2^{3c}$$

Taking  $2^c$  common on both sides

$$2^c(2^c + 1) = 2^c(2^{2c})$$

$$2^{2c} = 2^c + 1$$

$$2^{2c} - 2^c - 1 = 0$$

$$(2^c)^2 + (-1)(2^c) + (-1) = 0$$

$$\text{Let } x = 2^c$$

$$x^2 + (-1)(x) + (-1) = 0$$

Hence we have a quadratic equation of the form  $ax^2 + bx + c = 0$ , such that

$$a = 1, b = -1, c = -1$$

$$x = \frac{-(-1) \pm \sqrt{(-1)^2 - 4(1)(-1)}}{2(1)}$$

$$x = \frac{1 \pm \sqrt{5}}{2}$$

$$x = \frac{1 \pm 2.236}{2}$$

$x = \frac{1+2.236}{2}$ $x = 1.618$ <p>Substituting value of <math>x</math></p> $2^c = 1.618$ <p>Taking log on both sides</p> $\log_2(2^c) = \log_2(1.618)$ $c = \log_2(1.618)$ $c = 0.694$	$x = \frac{1-2.236}{2}$ $x = -0.618$ <p>Substituting value of <math>x</math></p> $2^c = -0.618$ <p>Since log on -ve values are not defined. So we can not conclude the value of <math>c</math> from this path</p>
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So value of  $c$  is defined as :  $0.694 \leq c < 1$

We can rewrite 1 as:  $F_n \leq 2^{0.694n} \text{ --- 2}$

To prove that our approximation is correct we will use induction.

Consider the bases cases:

$$\text{For } n = 0, F_0 \leq 2^0 \Rightarrow 0 \leq 1 \text{ Hence true}$$

$$\text{For } n = 1, F_1 \leq 2^{0.694} \Rightarrow 1 \leq 1.6 \text{ Hence true}$$

$$\text{For } n = 2, F_2 \leq 2^{0.694 \cdot 2} \Rightarrow 1 \leq 2.617$$

Let's assume  $k \geq 2$  we can rewrite 2 as:

$$F_k \leq 2^{0.694k} \text{ --- 3}$$

Now we need to prove for  $k + 1$ , we will show that  $F_{k+1} = 2^{c(k+1)}$

$$F_{k+1} = F_k + F_{k-1}$$

$$F_k \leq 2^{0.694k} \text{ and } F_{k-1} \leq 2^{0.694(k-1)}$$

$$F_{k+1} \leq 2^{0.694k} + 2^{0.694k-0.694}$$

$$F_{k+1} \leq 2^{ck} (1 + 2^{-c})$$

Since  $c = 0.694$ ,  $(1 + 2^{-c}) = 1.618 = 2^c = 2^{0.694}$

$$F_{k+1} \leq 2^{0.694k} \times 2^{0.694}$$

$$F_{k+1} \leq 2^{ck} \times 2^c$$

$$F_{k+1} \leq 2^{c(k+1)}$$

Hence proved.

**0.4. Is there a faster way to compute the  $n$ th Fibonacci number than by fib2 (page 13)? One idea involves matrices. We start by writing the equations  $F_1 = F_1$  and  $F_2 = F_0 + F_1$  in matrix notation:**

$$\begin{pmatrix} F_1 \\ F_2 \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \cdot \begin{pmatrix} F_0 \\ F_1 \end{pmatrix}$$

Similarly,

$$\begin{pmatrix} F_2 \\ F_3 \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \cdot \begin{pmatrix} F_1 \\ F_2 \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^2 \cdot \begin{pmatrix} F_0 \\ F_1 \end{pmatrix}$$

and in general

$$\begin{pmatrix} F_n \\ F_{n+1} \end{pmatrix} = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}^n \cdot \begin{pmatrix} F_0 \\ F_1 \end{pmatrix}$$

So, in order to compute  $F_n$ , it suffices to raise this  $2 \times 2$  matrix, call it  $X$ , to the  $n$ th power.

**(a) Show that two  $2 \times 2$  matrices can be multiplied using 4 additions and 8 multiplications.**

Consider the following matrices:

$$A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \text{ and } B = \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$

$$X = A \cdot B = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot \begin{bmatrix} e & f \\ g & h \end{bmatrix}$$

$$X = \begin{bmatrix} ae + bg & af + bh \\ ce + dg & cf + dh \end{bmatrix}$$

8 multiplications that are required are :  $ae, bg, af, bh, ce, dg, cf$  and  $dh$ .

4 additions that are required are :  $ae + bg, af + bh, ce + dg$  and  $cf + dh$

**b. Show that  $O(\log n)$  matrix multiplications suffice for computing  $X^n$ .  
(Hint: Think about computing  $X^8$ .)**

Since we know that matrix multiplication is not commutative i.e.  $AB \neq BA$  but it is associative i.e.  $ABC = (AB)C = A(BC)$ .

Let's start with the hint

$$X^8 = X \cdot X \cdot X \cdot X \cdot X \cdot X \cdot X \cdot X$$

To calculate this apparently we need 8 matrix multiplications but considering associativity we can avoid some recalculations.

$$X^8 = X^4 \cdot X^4 \text{ ---- 1 multiplication will be done here}$$

To compute  $X^4$

$$X^4 = X^2 \cdot X^2 \text{ ---- 1 multiplication will be done here}$$

Similarly to compute  $X^2$

$$X^2 = X \cdot X \text{ ---- 1 multiplication will be done here}$$

So to calculate  $X^8$ , we performed at most 3 multiplications. Hence  $X^8$  can be computed in at most  $O(\log n) = O(\log_2 8) = 3$  matrix multiplications.

Similarly we can use halving technique to calculate  $X^n$  using at most  $O(\log n)$  matrix multiplications.