

# UMAT Crystal Plasticity

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## Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Crystal plasticity</b>	<b>4</b>
2.1	Slip systems . . . . .	4
2.1.1	BCC (phase no. 1) . . . . .	4
2.1.2	FCC (phase no. 2) . . . . .	4
2.1.3	HCP (phase no. 3) . . . . .	5
2.1.4	alpha-uranium (phase no. 4) . . . . .	6
2.2	Crystal plasticity kinematics . . . . .	7
2.3	Constitutive laws . . . . .	11
2.4	Forward-gradient method . . . . .	12
2.4.1	Incremental formulation . . . . .	13
2.4.2	Time integration . . . . .	17
2.4.3	Material Tangent . . . . .	21
2.5	Explicit method . . . . .	22
2.6	Implicit method . . . . .	23
2.7	Material tangent . . . . .	24
2.8	Orientation and state updates . . . . .	24
<b>3</b>	<b>Slip models</b>	<b>26</b>
3.1	sinh law . . . . .	26
3.2	double exponent law . . . . .	28
3.3	power law . . . . .	28
<b>4</b>	<b>Creep models</b>	<b>29</b>
4.1	model-1 . . . . .	29
<b>5</b>	<b>Irradiation models</b>	<b>30</b>
5.1	model-1 . . . . .	30
5.2	model-2 . . . . .	31
<b>6</b>	<b>CRSS</b>	<b>32</b>
6.1	bcc / fcc / hcp . . . . .	32
6.2	alpha-uranium . . . . .	32

<b>7</b>	<b>Strain hardening</b>	<b>33</b>
7.1	no hardening (hardeningmodel=0)	33
7.2	Voce type hardening (hardeningmodel=1)	34
7.3	Linear hardening (hardeningmodel=2)	35
7.4	Kocks-Mecking hardening (hardeningmodel=3)	36
7.5	Kocks-Mecking hardening with substructure (hardeningmodel=4)	37
<b>8</b>	<b>Strain gradients</b>	<b>38</b>
8.1	Derivation of GND calculation	38
8.1.1	Burgers circuit	38
8.1.2	Curl of a second rank tensor	39
8.1.3	Nye tensor	40
8.1.4	Lattice curvature	41
8.1.5	Small strains	41
8.1.6	Rate form	42
8.2	GND calculation methods (options)	44
8.2.1	No GNDs (gndmodel=0)	44
8.2.2	Curl of Fp followed by SVD inversion (gndmodel=1)	44
8.2.3	Total form with Gurtin's correction (gndmodel=2)	45
8.2.4	Rate form with direct projection (gndmodel=3)	45
8.2.5	Slip gradients (gndmodel=4)	45
<b>9</b>	<b>Element library</b>	<b>46</b>
9.1	2D elements	46
9.1.1	CPS4/CPE4	46
9.1.2	CPS6/CPE6	47
9.1.3	CPS8/CPE8	48
9.1.4	CPS8R/CPE8R = CPS4/CPE4	48
9.2	3D elements	49
9.2.1	C3D8	49
9.2.2	C3D10	50
9.2.3	C3D15	51
9.2.4	C3D20	52

# 1 Introduction

This is a UMAT code written for crystal plasticity calculations at University of Oxford for DBF project.

This code is rewrite of UMAT by Ed Tarleton and Nicolo Grilli which was based on UEL by Fionn Dunne 2007.

Major changes are:

- Forward-gradient Euler predictor scheme for better convergence
- Option for implicit state update
- Multiple materials with different phases can co-exist in a mesh
- Modular code for flexible constitutive model development
- GND calculations:
  - Multiple options for GND calculation (i.e. curlFp or slip gradients)
  - Option for element center homogenization
  - Different element types for GND calculation
- 2D plane stress/strain problems

*Unit system of the code*

Dimension unit:  $\mu\text{m}$

Stress unit: MPa

## 2 Crystal plasticity

This section describes the technical part involving the solution of crystal plasticity problem.

Similar symbols as in the code is used in this document.

### 2.1 Slip systems

#### 2.1.1 BCC (phase no. 1)

Table 1: Slip systems for BCC material (1-12).

$\mathbf{s}^\alpha$	$[\bar{1}11]$	$[1\bar{1}1]$	$[111]$	$[\bar{1}\bar{1}1]$	$[111]$	$[11\bar{1}]$	$[111]$	$[\bar{1}11]$	$[1\bar{1}1]$	$[\bar{1}\bar{1}1]$	$[111]$	$[\bar{1}11]$	$[11\bar{1}]$
$\mathbf{n}^\alpha$	(110)	(110)	( $\bar{1}$ 01)	( $\bar{1}$ 01)	( $\bar{1}$ 10)	( $\bar{1}$ 10)	(01 $\bar{1}$ )	(01 $\bar{1}$ )	(011)	(011)	(101)	(101)	(101)

Table 2: Slip systems for BCC material (13-24).

$\mathbf{s}^\alpha$	$[\bar{1}1\bar{1}]$	$[1\bar{1}1]$	$[\bar{1}11]$	$[111]$	$[\bar{1}\bar{1}1]$	$[11\bar{1}]$	$[111]$	$[\bar{1}11]$	$[\bar{1}11]$	$[111]$	$[11\bar{1}]$	$[1\bar{1}1]$
$\mathbf{n}^\alpha$	(112)	( $\bar{1}$ 12)	(1 $\bar{1}$ 2)	(11 $\bar{2}$ )	(121)	( $\bar{1}$ 21)	(1 $\bar{2}$ 1)	(12 $\bar{1}$ )	(211)	( $\bar{2}$ 11)	(2 $\bar{1}$ 1)	(21 $\bar{1}$ )

#### 2.1.2 FCC (phase no. 2)

Table 3: Slip systems for FCC material (1-12).

$\mathbf{n}^\alpha$	(111)			( $\bar{1}$ 11)			(1 $\bar{1}$ 1)			(11 $\bar{1}$ )		
$\mathbf{s}^\alpha$	$[1\bar{1}0]$	$[01\bar{1}]$	$[\bar{1}10\bar{1}]$	$[110]$	$[01\bar{1}]$	$[101]$	$[110]$	$[011]$	$[10\bar{1}]$	$[1\bar{1}0]$	$[011]$	$[101]$

Table 4: Slip systems for FCC material - for cubic slip (13-18).

sys. no.	$\mathbf{s}^\alpha$	$\mathbf{n}^\alpha$
13	$[011]$	(100)
14	$[01\bar{1}]$	(100)
15	$[101]$	(010)
16	$[10\bar{1}]$	(010)
17	$[110]$	(001)
18	$[1\bar{1}0]$	(001)

### 2.1.3 HCP (phase no. 3)

Table 5: Slip systems for HCP material - for cubic slip (1-30).

system	type	$\mathbf{s}^\alpha$	$\mathbf{n}^\alpha$
1	basal	$[2\bar{1}\bar{1}0]$	$(0001)$
2	basal	$[\bar{1}2\bar{1}0]$	$(0001)$
3	basal	$[\bar{1}\bar{1}20]$	$(0001)$
4	prismatic	$[2\bar{1}\bar{1}0]$	$(01\bar{1}0)$
5	prismatic	$[\bar{1}2\bar{1}0]$	$(\bar{1}010)$
6	prismatic	$[\bar{1}\bar{1}20]$	$(1\bar{1}00)$
7	1st order pyramidal $\langle a \rangle$	$[\bar{1}2\bar{1}0]$	$(10\bar{1}1)$
8	1st order pyramidal $\langle a \rangle$	$[\bar{2}110]$	$(01\bar{1}1)$
9	1st order pyramidal $\langle a \rangle$	$[\bar{1}\bar{1}20]$	$(\bar{1}101)$
10	1st order pyramidal $\langle a \rangle$	$[1\bar{2}10]$	$(\bar{1}011)$
11	1st order pyramidal $\langle a \rangle$	$[2\bar{1}\bar{1}0]$	$(0\bar{1}11)$
12	1st order pyramidal $\langle a \rangle$	$[11\bar{2}0]$	$(1\bar{1}01)$
13	1st order pyramidal $\langle c+a \rangle$	$[\bar{2}113]$	$(10\bar{1}1)$
14	1st order pyramidal $\langle c+a \rangle$	$[\bar{1}\bar{1}23]$	$(10\bar{1}1)$
15	1st order pyramidal $\langle c+a \rangle$	$[\bar{1}\bar{1}23]$	$(01\bar{1}1)$
16	1st order pyramidal $\langle c+a \rangle$	$[1\bar{2}13]$	$(01\bar{1}1)$
17	1st order pyramidal $\langle c+a \rangle$	$[1\bar{2}13]$	$(\bar{1}101)$
18	1st order pyramidal $\langle c+a \rangle$	$[2\bar{1}\bar{1}3]$	$(\bar{1}101)$
19	1st order pyramidal $\langle c+a \rangle$	$[2\bar{1}\bar{1}3]$	$(\bar{1}011)$
20	1st order pyramidal $\langle c+a \rangle$	$[11\bar{2}3]$	$(\bar{1}011)$
21	1st order pyramidal $\langle c+a \rangle$	$[11\bar{2}3]$	$(0\bar{1}11)$
22	1st order pyramidal $\langle c+a \rangle$	$[\bar{1}2\bar{1}3]$	$(0\bar{1}11)$
23	1st order pyramidal $\langle c+a \rangle$	$[\bar{1}2\bar{1}3]$	$(1\bar{1}01)$
24	1st order pyramidal $\langle c+a \rangle$	$[\bar{2}113]$	$(1\bar{1}01)$
25	2nd order pyramidal $\langle c+a \rangle$	$[\bar{1}\bar{1}23]$	$(11\bar{2}2)$
26	2nd order pyramidal $\langle c+a \rangle$	$[1\bar{2}13]$	$(\bar{1}2\bar{1}2)$
27	2nd order pyramidal $\langle c+a \rangle$	$[2\bar{1}\bar{1}3]$	$(\bar{2}112)$
28	2nd order pyramidal $\langle c+a \rangle$	$[11\bar{2}3]$	$(\bar{1}\bar{1}22)$
29	2nd order pyramidal $\langle c+a \rangle$	$[\bar{1}2\bar{1}3]$	$(1\bar{2}12)$
30	2nd order pyramidal $\langle c+a \rangle$	$[\bar{2}113]$	$(2\bar{1}\bar{1}2)$

#### 2.1.4 alpha-uranium (phase no. 4)

Table 6: Slip systems for  $\alpha$ -uranium material (1-8).

system	$\mathbf{s}^\alpha$	$\mathbf{n}^\alpha$
1	[1 0 0]	(0 1 0)
2	[1 0 0]	(0 0 1)
3	[a $\bar{b}$ 0]	(b a 0)
4	[a b 0]	(b $\bar{a}$ 0)
5	[a $\bar{b}$ 2c]	(0 c b/2)
6	[ $\bar{a}$ $\bar{b}$ 2c]	(0 c b/2)
7	[a b 2c]	(0 c $\bar{b}/2$ )
8	[a $\bar{b}$ 2 $\bar{c}$ ]	(0 c $\bar{b}/2$ )

## 2.2 Crystal plasticity kinematics

The total deformation gradient,  $\mathbf{F}_{tot}$ , consists of mechanical,  $\mathbf{F}$ , and thermal parts,  $\mathbf{F}_{th}$  as in Eq. (2.1). We used the decomposition similar in references [1, 2, 3]. This is schematically shown in Fig. 1.

$$\mathbf{F}_{tot} = \mathbf{F}_e \mathbf{F}_p \mathbf{F}_{th}. \quad (2.1)$$

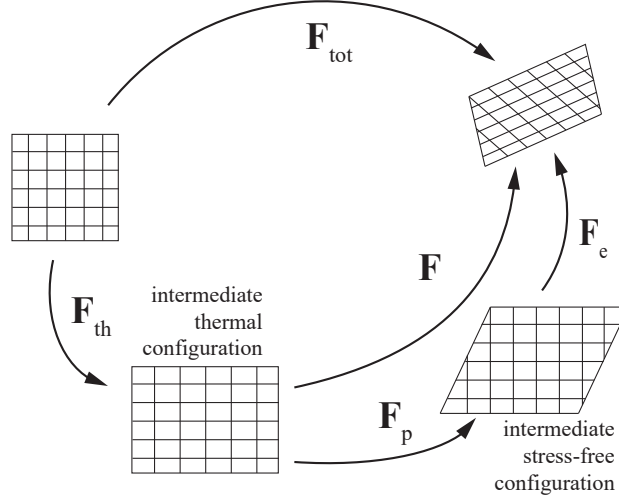


Figure 1: Multiplicative decomposition of total deformation.

The thermal part of the strain increment at the crystal lattice is computed using Eq. (2.2) in which  $\alpha$  is the thermal expansion coefficient,  $\Delta T$  represents the temperature increment, and  $\Delta t$  is the time increment:

$$(\Delta \mathbf{E}_{th})_0 = \alpha \Delta T \Delta t \quad (2.2)$$

The thermal expansion coefficient is generalized as in Eq. (2.3) in which  $\alpha_1, \alpha_2, \alpha_3$  are the thermal expansion coefficients in the principal cartesian directions in the lattice frame:

$$\alpha = \begin{bmatrix} \alpha_1 & 0 & 0 \\ 0 & \alpha_2 & 0 \\ 0 & 0 & \alpha_3 \end{bmatrix} \quad (2.3)$$

This is then transformed to sample reference using the crystal-to-sample transformation,  $\mathbf{g}^T$ , using Eq. (2.4):

$$\Delta \mathbf{E}_{th} = \mathbf{g}^T (\Delta \mathbf{E}_{th})_0 \mathbf{g} \quad (2.4)$$

The thermal deformation gradient initiates with identity matrix  $\mathbf{I}$  and computed incrementally using Eq. (2.5):

$$\mathbf{F}_{th} = (\mathbf{F}_{th})_t + \Delta \mathbf{E}_{th} \quad (2.5)$$

Mechanical part of the deformation gradient is computed from Eq. (2.6):

$$\mathbf{F} = \mathbf{F}_{tot} \mathbf{F}_{th}^{-1}. \quad (2.6)$$

Total velocity gradient,  $\mathbf{L}$ , is computed from the mechanical part of the deformation gradient using Eq. (2.7):

$$\mathbf{L} = \frac{\mathbf{F} + \mathbf{F}^T}{2}. \quad (2.7)$$

The total stretch rate is then computed according to (2.8):

$$\mathbf{D} = \frac{\mathbf{L} + \mathbf{L}^T}{2}. \quad (2.8)$$

which is used to compute the total strain increment in Eq. (2.9):

$$\delta\boldsymbol{\varepsilon} = \mathbf{D}\Delta t. \quad (2.9)$$

Total spin is computed from the mechanical part of the deformation gradient using Eq. (2.10):

$$\boldsymbol{\Omega} = \frac{\mathbf{L} - \mathbf{L}^T}{2}. \quad (2.10)$$

Multiplicative decomposition of mechanical deformation into elastic and plastic strains through Eq. (2.11):

$$\mathbf{F} = \mathbf{F}_e \mathbf{F}_p. \quad (2.11)$$

with volume during plastic deformation remaining constant, Eq. (2.12):

$$\det(\mathbf{F}_p) = 1. \quad (2.12)$$

Plastic velocity gradient is the sum of the slip rates in which dyadic product of slip direction and slip plane normal, Schmid tensor at the intermediate configuration, (2.13):

$$\mathbf{L}_p = \sum_a \dot{\gamma}^a \mathbf{s}^a \otimes \mathbf{n}^a. \quad (2.13)$$

Eq. (2.14) transforms the slip direction to deformed reference:

$$\mathbf{s}_e^a = \mathbf{F}_e \mathbf{s}^a. \quad (2.14)$$

Eq. (2.15) transforms the slip plane normal to deformed reference:

$$\mathbf{n}_e^a = \mathbf{F}_e^{-T} \mathbf{n}^a = \mathbf{n}^a \mathbf{F}_e^{-1}. \quad (2.15)$$

Derivation of Eq. (2.15):

Slip direction and slip plane normal are perpendicular to each other giving Eq. (2.16):

$$\mathbf{s}^a \cdot \mathbf{n}^a = 0. \quad (2.16)$$

To maintain the equality in Eq. (2.16), let the deformed vectors map with arbitrary  $\mathbf{A}$  and  $\mathbf{B}$  mappings such that deformed slip direction and slip plane normal become as in Equations (2.17) and (2.18), respectively:

$$\mathbf{s}_e^a = \mathbf{A} \mathbf{s}^a. \quad (2.17)$$

$$\mathbf{n}_e^a = \mathbf{B} \mathbf{n}^a. \quad (2.18)$$

Substituting Eq. (2.17) and Eq. (2.18) to Eq. (2.16) gives (2.19):

$$(\mathbf{A} \mathbf{s}^a) \cdot (\mathbf{B} \mathbf{n}^a) = 0 \quad (2.19)$$

The transpose property gives Eq. (2.20):

$$(\mathbf{s}^a \mathbf{A}^T) \cdot (\mathbf{B} \mathbf{n}^a) = 0. \quad (2.20)$$



Further simplification gives Eq. (2.21):

$$\mathbf{s}^a (\mathbf{A}^T \cdot \mathbf{B}) \mathbf{n}^a = 0. \quad (2.21)$$

In order for Eq. (2.16) to hold, Eq. (2.22) must be satisfied:

$$\mathbf{A}^T \cdot \mathbf{B} = \mathbf{I}. \quad (2.22)$$

giving Eq. (2.23):

$$\mathbf{B} = \mathbf{A}^{-T}. \quad (2.23)$$

Deformation gradient directly map the slip directions giving (2.24):

$$\mathbf{A} = \mathbf{F}_e. \quad (2.24)$$

Therefore, slip planes map with Eq. (2.25):

$$\mathbf{B} = \mathbf{F}_e^{-T}. \quad (2.25)$$

Velocity gradient is given by Eq. (2.26):

$$\mathbf{L} = \dot{\mathbf{F}} \mathbf{F}^{-1}. \quad (2.26)$$

Velocity gradient can be expressed as the sum of symmetric stretch,  $\mathbf{D}$ , and anti-symmetric spin tensors,  $\mathbf{\Omega}$ , as in Eq. (2.27):

$$\mathbf{L} = \mathbf{D} + \mathbf{\Omega}. \quad (2.27)$$

The stretch can be decomposed into its elastic and plastic parts as in Eq. (2.28):

$$\mathbf{D} = \mathbf{D}_e + \mathbf{D}_p. \quad (2.28)$$

similar to spin in Eq. (2.29):

$$\mathbf{\Omega} = \mathbf{\Omega}_e + \mathbf{\Omega}_p. \quad (2.29)$$

Note the elastic velocity gradient in the deformed configuration becomes in Eq. (2.30):

$$\mathbf{L}_e = \mathbf{\Omega}_e + \mathbf{D}_e. \quad (2.30)$$

Similarly plastic part of the velocity can be expressed with Eq. (2.31) in which  $\mathbf{s}_e^a$  and  $\mathbf{n}_e^a$  are deformed slip vectors:

$$\mathbf{\Omega}_p + \mathbf{D}_p = \sum_a \dot{\gamma}^a \mathbf{s}_e^a \otimes \mathbf{n}_e^a = \sum_a \dot{\gamma}^a \mathbf{S}_e^a. \quad (2.31)$$

Schmid tensor or dyadic in the deformed configuration,  $\mathbf{S}_e^a$ , for the slip system  $a$  is obtained by Eq. (2.32). Schmid tensor transforms the slip (simple shear) in the slip reference frame that is prescribed by the slip direction,  $\mathbf{s}_e^a$ , and slip plane normal,  $\mathbf{n}_e^a$ , to the crystal reference:

$$\mathbf{S}_e^a = \mathbf{s}_e^a \otimes \mathbf{n}_e^a. \quad (2.32)$$

Derivation of Schmid tensor [4]:

Slip is in the form of simple shear, hence the strain in the slip reference,  $\mathbf{\epsilon}^s$ , is given by Eq. (2.33):

$$\mathbf{\epsilon}^s = \begin{bmatrix} 0 & \gamma & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}. \quad (2.33)$$

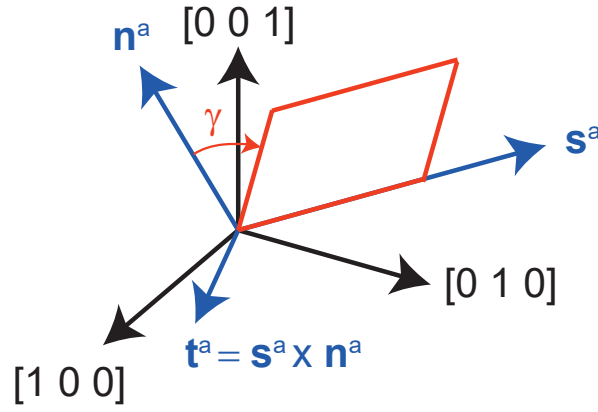


Figure 2: Slip in simple shear form (red color) on slip reference (blue color) and crystal reference (black color).

The transformation from slip reference to the crystal reference in Fig. 2) is given by Eq. (2.34):

$$\mathbf{g}^{s2c} = \begin{bmatrix} \mathbf{s}^a & \mathbf{n}^a & \mathbf{t}^a \end{bmatrix}. \quad (2.34)$$

Note that  $\mathbf{g}_{slip2crystal}$  is 3x3 matrix that transforms the slip vector in slip reference to the crystal reference with Eq. (2.35):

$$\mathbf{g}^{s2c} \begin{Bmatrix} 1 \\ 0 \\ 0 \end{Bmatrix} = \begin{bmatrix} \mathbf{s}^a & \mathbf{n}^a & \mathbf{t}^a \end{bmatrix} \begin{Bmatrix} 1 \\ 0 \\ 0 \end{Bmatrix} = \mathbf{s}^a. \quad (2.35)$$

Similarly the slip plane normal in slip reference transforms to the crystal reference according to Eq. (2.36) with  $\mathbf{g}_{s2c}$ :

$$\mathbf{g}^{s2c} \begin{Bmatrix} 0 \\ 1 \\ 0 \end{Bmatrix} = \begin{bmatrix} \mathbf{s}^a & \mathbf{n}^a & \mathbf{t}^a \end{bmatrix} \begin{Bmatrix} 0 \\ 1 \\ 0 \end{Bmatrix} = \mathbf{n}^a. \quad (2.36)$$

Therefore we can use  $\mathbf{g}^{s2c}$  to transform the slip strain on the slip reference to the crystal reference. But this time we strain as a second rank tensor hence transformation has to be applied twice. Using index notation the slip in crystal transforms with Eq. (2.37):

$$\epsilon_{ij}^c = g_{im}^{s2c} \epsilon_{mn}^s g_{nj}^{s2c}. \quad (2.37)$$

Since there is only  $\epsilon_{12}^s$  term, Eq. (2.38) can be concluded:

$$\epsilon_{ij}^c = g_{i1}^{s2c} \epsilon_{12}^s g_{2j}^{s2c}. \quad (2.38)$$

Note that the following identities hold in Eq. (2.39) and Eq. (2.40):

$$g_{i1}^{s2c} = s_i^a. \quad (2.39)$$

$$g_{2j}^{s2c} = n_j^a. \quad (2.40)$$

Substituting these identities, the transformation becomes as in Eq. (2.41) knowing that  $\epsilon_{12}^s = \gamma$ :

$$\epsilon_{ij}^c = s_i^a n_j^a \gamma. \quad (2.41)$$

$s_i^a n_j^a$  represents the dyadic product of the slip direction and slip plane normal vectors which gives the Schmid tensor, Eq. (2.42):

$$S_{ij}^a = s_i^a n_j^a = \mathbf{S}^a = \mathbf{s}^a \otimes \mathbf{n}^a. \quad (2.42)$$

Therefore, Schmid tensor is the transformation required to find the correspondent strain at the in the crystal reference due to slip in a slip system.

### 2.3 Constitutive laws

Elasticity relates rotation-free objective Jaumann rate of stress to elastic stretch through elasticity as in Eq. (2.43):

$$\overset{\nabla}{\boldsymbol{\sigma}}_e \approx \mathbf{C} : \mathbf{D}_e. \quad (2.43)$$

A more accurate relation is provided with Eq. (2.44) according to Hill and Rice [5]:

$$\overset{\nabla}{\boldsymbol{\sigma}}_e + \boldsymbol{\sigma}(\mathbf{I} : \mathbf{D}_e) = \mathbf{C} : \mathbf{D}_e. \quad (2.44)$$

where  $\mathbf{C}$  is the elasticity matrix in the deformed configuration and it is obtained by the transformation of elasticity in the crystal reference to the sample or deformed reference using Eq. (2.45):

$$\mathbf{C} = \mathbf{R} \mathbf{C}_0 \mathbf{R}^T. \quad (2.45)$$

$\mathbf{R}$  is a 6x6 special transformation matrix that is constructed from the crystal to sample transformation matrix,  $\mathbf{g}^T$  as shown in Eq. (2.46) according to the ref. [6].

$$\mathbf{R} = \begin{bmatrix} (g_{11}^T)^2 & (g_{12}^T)^2 & (g_{13}^T)^2 & 2g_{11}^T g_{12}^T & 2g_{13}^T g_{11}^T & 2g_{12}^T g_{13}^T \\ (g_{21}^T)^2 & (g_{22}^T)^2 & (g_{23}^T)^2 & 2g_{21}^T g_{22}^T & 2g_{23}^T g_{21}^T & 2g_{22}^T g_{23}^T \\ (g_{31}^T)^2 & (g_{32}^T)^2 & (g_{33}^T)^2 & 2g_{31}^T g_{32}^T & 2g_{33}^T g_{31}^T & 2g_{32}^T g_{33}^T \\ g_{11}^T g_{21}^T & g_{12}^T g_{22}^T & g_{13}^T g_{23}^T & g_{11}^T g_{22}^T + g_{12}^T g_{21}^T & g_{13}^T g_{21}^T + g_{11}^T g_{23}^T & g_{12}^T g_{23}^T + g_{13}^T g_{22}^T \\ g_{31}^T g_{11}^T & g_{32}^T g_{12}^T & g_{33}^T g_{13}^T & g_{11}^T g_{32}^T + g_{12}^T g_{31}^T & g_{13}^T g_{31}^T + g_{11}^T g_{33}^T & g_{12}^T g_{33}^T + g_{13}^T g_{32}^T \\ g_{21}^T g_{31}^T & g_{22}^T g_{32}^T & g_{23}^T g_{33}^T & g_{22}^T g_{31}^T + g_{21}^T g_{32}^T & g_{21}^T g_{33}^T + g_{23}^T g_{31}^T & g_{22}^T g_{33}^T + g_{23}^T g_{32}^T \end{bmatrix}. \quad (2.46)$$

The elasticity in the crystal reference is given by Eq. (2.47) for a cubic material with three independent elastic constants of  $C_{11}$ ,  $C_{12}$ , and  $C_{44}$ :

$$\mathbf{C}_0 = \begin{bmatrix} C_{11} & C_{12} & C_{12} & 0 & 0 & 0 \\ C_{12} & C_{11} & C_{12} & 0 & 0 & 0 \\ C_{12} & C_{12} & C_{11} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & C_{44} \end{bmatrix}. \quad (2.47)$$

Similarly, the elasticity in the crystal reference is given by Eq. (2.47) for a hexagonal material with five independent elastic constants of  $C_{11}$ ,  $C_{12}$ ,  $C_{13}$ ,  $C_{33}$ , and  $C_{44}$ :

$$\mathbf{C}_0 = \begin{bmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ C_{12} & C_{11} & C_{13} & 0 & 0 & 0 \\ C_{13} & C_{13} & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{C_{11} - C_{12}}{2} \end{bmatrix}. \quad (2.48)$$

Initial (undeformed) sample to crystal transformation matrix,  $\mathbf{g}_0$ , is computed using the Euler angles (Bunge convention) as in Eq. (2.49).  $\phi_1$ ,  $\Phi$ , and  $\phi_2$  represent the rotation angles about Z, rotated X', and rotated-rotated Z'' axes, respectively. Alternative definitions for this transformation can be found.

$$\mathbf{g}_0 = \begin{bmatrix} \cos \phi_1 \cos \phi_2 - \sin \phi_1 \sin \phi_2 \cos \Phi & \sin \phi_1 \cos \phi_2 - \cos \phi_1 \sin \phi_2 \cos \Phi & \sin \phi_2 \sin \Phi \\ -\cos \phi_1 \sin \phi_2 - \sin \phi_1 \cos \phi_2 \cos \Phi & -\sin \phi_1 \sin \phi_2 + \cos \phi_1 \cos \phi_2 \cos \Phi & \cos \phi_2 \sin \Phi \\ \sin \phi_1 \sin \Phi & -\cos \phi_1 \sin \Phi & \cos \Phi \end{bmatrix} \quad (2.49)$$

## 2.4 Forward-gradient method

Forward-gradient method is a non-iterative single step solution for the crystal plasticity problem. This scheme helps in two important ways:

- an accurate initial guess for the iterative method and,
- an alternative solution incase the iterative method diverges

The scheme uses co-rotational stress that represents the stress state rotating with the crystal reference is in Eq. (2.50):

$$\overset{\nabla}{\sigma}_e = \dot{\sigma} - \Omega_e \sigma + \sigma \Omega_e. \quad (2.50)$$

Co-rotational stress on axes that rotate with the material is given by Eq. (2.51):

$$\overset{\nabla}{\sigma} = \dot{\sigma} - \Omega \sigma + \sigma \Omega. \quad (2.51)$$

Subtraction of Eq. (2.51) from Eq. (2.50) gives Eq. (2.52):

$$\overset{\nabla}{\sigma}_e = \overset{\nabla}{\sigma} + (\Omega - \Omega_e) \sigma - \sigma (\Omega - \Omega_e). \quad (2.52)$$

which is also as in Eq. (2.53) using the identity in Eq. (2.29):

$$\overset{\nabla}{\sigma}_e = \overset{\nabla}{\sigma} + \Omega_p \sigma - \sigma \Omega_p. \quad (2.53)$$

Eq. (2.28) also gives Eq. (2.54):

$$\mathbf{D}_e = \mathbf{D} - \mathbf{D}_p. \quad (2.54)$$

Using the identity in Eq. (2.54), the trace can be decomposed into elastic and plastic stretch components as in Eq. (2.55):

$$\text{tr}(\mathbf{D}) = \text{tr}(\mathbf{D}_e + \mathbf{D}_p) = \text{tr}(\mathbf{D}_e) + \text{tr}(\mathbf{D}_p). \quad (2.55)$$

Since volumetric changes due to plasticity is assumed to vanish (isochoric deformation) giving Eq. (2.56):

$$\text{tr}(\mathbf{D}_p) = 0. \quad (2.56)$$

Using the properties in Eq. (2.56) together with Eq. (2.55) gives Eq. (2.57):

$$\text{tr}(\mathbf{D}) = \text{tr}(\mathbf{D}_e). \quad (2.57)$$

Substituting the identities in Eq. (2.54), Eq. (2.57) and Eq. (2.53) to the Eq. (2.44) in order to replace the expressions for  $\mathbf{D}_e$  and  $\overset{\nabla}{\boldsymbol{\sigma}}_e$  with  $\mathbf{D}$  and  $\overset{\nabla}{\boldsymbol{\sigma}}$ , respectively, gives Eq. (2.58):

$$\overset{\nabla}{\boldsymbol{\sigma}} + \Omega_p \boldsymbol{\sigma} - \boldsymbol{\sigma} \Omega_p + \boldsymbol{\sigma} \text{tr}(\mathbf{D}) = \mathbf{C} : (\mathbf{D} - \mathbf{D}_p). \quad (2.58)$$

Re-arranging terms, Eq. (2.58) can be written as in Eq. (2.59):

$$\overset{\nabla}{\boldsymbol{\sigma}} = \mathbf{C} : \mathbf{D} - \boldsymbol{\sigma} \text{tr}(\mathbf{D}) - \mathbf{C} : \mathbf{D}_p - \Omega_p \boldsymbol{\sigma} + \boldsymbol{\sigma} \Omega_p. \quad (2.59)$$

#### 2.4.1 Incremental formulation

Multiplying both sides of the Eq. (2.59) with the time increment gives the increment in stress as in Eq. (2.60):

$$\Delta \boldsymbol{\sigma} = \mathbf{C} : \Delta \boldsymbol{\varepsilon} - \boldsymbol{\sigma} \text{tr}(\Delta \boldsymbol{\varepsilon}) - (\mathbf{C} : \mathbf{D}_p + \Omega_p \boldsymbol{\sigma} - \boldsymbol{\sigma} \Omega_p) \Delta t. \quad (2.60)$$

The symmetric and anti-symmetric parts of this tensor defines the stretch and spin tensors as in Eq. (2.61) and Eq. (2.62):

$$\mathbf{P}^a = \frac{1}{2} (\mathbf{s}_e^a \otimes \mathbf{n}_e^a + \mathbf{n}_e^a \otimes \mathbf{s}_e^a). \quad (2.61)$$

$$\mathbf{W}^a = \frac{1}{2} (\mathbf{s}_e^a \otimes \mathbf{n}_e^a - \mathbf{n}_e^a \otimes \mathbf{s}_e^a). \quad (2.62)$$

Therefore, the corresponding plastic stretch and plastic spin tensors are computed using the slip rates as in Eq. (2.63) and Eq. (2.64):

$$\mathbf{D}_p = \sum_a \mathbf{P}^a \dot{\gamma}^a. \quad (2.63)$$

$$\Omega_p = \sum_a \mathbf{W}^a \dot{\gamma}^a. \quad (2.64)$$

The incremental forms when multiplied with the time step to represent in terms of slip increments  $\Delta \gamma^a$  as in Eq. (2.65) and Eq. (2.66):

$$\mathbf{D}_p \Delta t = \sum_a \mathbf{P}^a \Delta \gamma^a. \quad (2.65)$$

$$\Omega_p \Delta t = \sum_a \mathbf{W}^a \Delta \gamma^a. \quad (2.66)$$

Substituting Eq. (2.65) and Eq. (2.66) to the expression for the stress increment in Eq. (2.60) gives Eq. (2.67):

$$\Delta \boldsymbol{\sigma} = \mathbf{C} : \Delta \boldsymbol{\varepsilon} - \boldsymbol{\sigma} \text{tr}(\Delta \boldsymbol{\varepsilon}) - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) \Delta \gamma^a. \quad (2.67)$$

Similarly, the increment in resolved shear stress (RSS) is necessary. RSS is given by the projection of stress on the slip system, Eq. (2.68):

$$\tau^a = \mathbf{S}^a : \boldsymbol{\sigma} = \mathbf{s}_e^a \cdot \boldsymbol{\sigma} \cdot \mathbf{n}_e^a. \quad (2.68)$$

Taking time derivative of Eq. (2.68) and using chain rule gives Eq. (2.69):

$$\dot{\tau}^a = \dot{\mathbf{s}}_e^a \cdot \boldsymbol{\sigma} \cdot \mathbf{n}_e^a + \mathbf{s}_e^a \cdot \dot{\boldsymbol{\sigma}} \cdot \mathbf{n}_e^a + \mathbf{s}_e^a \cdot \boldsymbol{\sigma} \cdot \dot{\mathbf{n}}_e^a. \quad (2.69)$$

Derivation of the expression for  $\dot{\mathbf{s}}^a$ :

---

The deformed slip direction is computed using Eq. (2.70):

$$\mathbf{s}_e^a = \mathbf{F}_e \mathbf{s}^a. \quad (2.70)$$

Time rate of change of slip direction is given by Eq. (2.71) since the time rate of change of constant undeformed vectors is zero:

$$\dot{\mathbf{s}}_e^a = \dot{\mathbf{F}}_e \mathbf{s}^a. \quad (2.71)$$

Eq. (2.72) is obtained by simply inverting Eq. (2.70):

$$\mathbf{s}^a = \mathbf{F}_e^{-1} \mathbf{s}_e^a. \quad (2.72)$$

Substituting the expression for  $\mathbf{s}^a$  by using Eq. (2.72) into Eq. (2.71) gives Eq. (2.73):

$$\dot{\mathbf{s}}_e^a = \dot{\mathbf{F}}_e \mathbf{F}_e^{-1} \mathbf{s}_e^a. \quad (2.73)$$

Using the same property in Eq. (2.26), the elastic part of the velocity gradient can be found as in Eq. (2.74). In some references [7] the elastic stretch part is neglected to give the elastic spin instead.

$$\dot{\mathbf{s}}_e^a = \mathbf{L}_e \mathbf{s}_e^a. \quad (2.74)$$


---

Derivation of the expression for  $\dot{\mathbf{n}}^a$ :

---

Similarly, the slip plane normal is mapped with Eq. (2.15). Rewriting that gives Eq. (2.75):

$$\mathbf{n}_e^a = \mathbf{n}^a \mathbf{F}_e^{-1}. \quad (2.75)$$

Taking time derivative of Eq. (2.75) gives Eq. (2.76):

$$\dot{\mathbf{n}}_e^a = \dot{\mathbf{n}}^a \overline{\mathbf{F}_e^{-1}}. \quad (2.76)$$

Using properties for the derivative of inverse<sup>1</sup> gives Eq. (2.77):

$$\dot{\mathbf{n}}_e^a = -\mathbf{n}^a \mathbf{F}_e^{-1} \dot{\mathbf{F}}_e \mathbf{F}_e^{-1}. \quad (2.77)$$

Also taking the inverse of Eq. (2.75) gives Eq. (2.78):

$$\mathbf{n}^a = \mathbf{n}_e^a \mathbf{F}_e. \quad (2.78)$$

Substituting Eq. (2.78) to Eq. (2.77) reads Eq. (2.79):

$$\dot{\mathbf{n}}_e^a = -\mathbf{n}_e^a \mathbf{F}_e \mathbf{F}_e^{-1} \dot{\mathbf{F}}_e \mathbf{F}_e^{-1}. \quad (2.79)$$

which simplifies to Eq. (2.80):

$$\dot{\mathbf{n}}_e^a = -\mathbf{n}_e^a \dot{\mathbf{F}}_e \mathbf{F}_e^{-1}. \quad (2.80)$$

---

<sup>1</sup>Differentiating the expression:  $\mathbf{A} \mathbf{A}^{-1} = \mathbf{I}$ , gives:  $\partial \mathbf{A} \mathbf{A}^{-1} + \mathbf{A} \partial \mathbf{A}^{-1} = \mathbf{0}$  and by re-arranging terms the relation for the differential for the inverse can be obtained as:  $\partial \mathbf{A}^{-1} = -\mathbf{A}^{-1} \partial \mathbf{A} \mathbf{A}^{-1}$

or using the identity in Eq. 2.26 becomes as Eq. (2.81):

$$\dot{\mathbf{n}}_e^a = -\mathbf{n}_e^a \mathbf{L}_e. \quad (2.81)$$

Substituting the derived Equations; Eq. (2.74) and Eq. (2.81) to the overall expression for the time rate of change of RSS in Eq. (2.69) gives Eq. (2.82):

$$\dot{\tau}^a = \mathbf{L}_e \mathbf{s}_e^a \cdot \boldsymbol{\sigma} \cdot \mathbf{n}_e^a + \mathbf{s}_e^a \cdot \dot{\boldsymbol{\sigma}} \cdot \mathbf{n}_e^a - \mathbf{s}_e^a \cdot \boldsymbol{\sigma} \cdot \mathbf{n}_e^a \mathbf{L}_e. \quad (2.82)$$

Using the symmetric property of Cauchy stress ( $\sigma_{ij} = \sigma_{ji}$ ) Eq. (2.83) can be obtained:

$$\dot{\tau}^a = \mathbf{n}_e^a \cdot \boldsymbol{\sigma} \cdot \mathbf{L}_e \mathbf{s}_e^a + \mathbf{s}_e^a \cdot \dot{\boldsymbol{\sigma}} \cdot \mathbf{n}_e^a - \mathbf{n}_e^a \mathbf{L}_e \cdot \boldsymbol{\sigma} \cdot \mathbf{s}_e^a. \quad (2.83)$$

Further simplification gives Eq. (2.84):

$$\dot{\tau}^a = \mathbf{s}_e^a \cdot (\dot{\boldsymbol{\sigma}} - \mathbf{L}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \mathbf{L}_e) \cdot \mathbf{n}_e^a. \quad (2.84)$$

Velocity gradient consists of stretch and spin parts that can be decomposed as in Eq. (2.85):

$$\mathbf{L}_e = \mathbf{D}_e + \boldsymbol{\Omega}_e. \quad (2.85)$$

Therefore, the expression on the right hand side of Eq. (2.84) becomes as in Eq. (2.86):

$$\begin{aligned} & -\mathbf{L}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \mathbf{L}_e = \\ & -(\mathbf{D}_e + \boldsymbol{\Omega}_e) \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot (\mathbf{D}_e + \boldsymbol{\Omega}_e) = \\ & -\mathbf{D}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \mathbf{D}_e - \boldsymbol{\Omega}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \boldsymbol{\Omega}_e. \end{aligned} \quad (2.86)$$

Therefore, the overall expression for the time rate of change of RSS becomes as in Eq. (2.87):

$$\dot{\tau}^a = \mathbf{s}_e^a \cdot [(\dot{\boldsymbol{\sigma}} - \boldsymbol{\Omega}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \boldsymbol{\Omega}_e) - \mathbf{D}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \mathbf{D}_e] \cdot \mathbf{n}_e^a. \quad (2.87)$$

Using the expression for Jaumann rate of stress from Eq. (2.50) together with Eq. (2.87) gives Eq. (2.88):

$$\dot{\tau}^a = \mathbf{s}_e^a \cdot \left( \overset{\nabla}{\boldsymbol{\sigma}}_e - \mathbf{D}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \mathbf{D}_e \right) \cdot \mathbf{n}_e^a. \quad (2.88)$$

Or by using Schmid tensor, the same identity becomes as in Eq. (2.89):

$$\dot{\tau}^a = \mathbf{S}^a : \left( \overset{\nabla}{\boldsymbol{\sigma}}_e - \mathbf{D}_e \cdot \boldsymbol{\sigma} + \boldsymbol{\sigma} \cdot \mathbf{D}_e \right). \quad (2.89)$$

Using the symmetric properties of the Cauchy stress and stretch tensor Eq. (2.90) is obtained:

$$(\boldsymbol{\sigma} \mathbf{D}_e)^T = \mathbf{D}_e^T \cdot \boldsymbol{\sigma}^T = \mathbf{D}_e \cdot \boldsymbol{\sigma}. \quad (2.90)$$

or similarly Eq. (2.91) can be obtained:

$$(\mathbf{D}_e \boldsymbol{\sigma})^T = \boldsymbol{\sigma}^T \cdot \mathbf{D}_e^T = \boldsymbol{\sigma} \cdot \mathbf{D}_e. \quad (2.91)$$

Multiplication of all the terms in the paranthesis in Eq. (2.89) gives Eq. (2.92):

$$\dot{\tau}^a = \mathbf{S}^a : \overset{\nabla}{\boldsymbol{\sigma}}_e - \mathbf{S}^a : \mathbf{D}_e \cdot \boldsymbol{\sigma} + \mathbf{S}^a : \boldsymbol{\sigma} \cdot \mathbf{D}_e. \quad (2.92)$$

Using the property of transpose gives Eq. (2.93):

$$\dot{\tau}^a = \mathbf{S}^a : \overset{\nabla}{\sigma}_e - \sigma^T \cdot \mathbf{D}_e^T : (\mathbf{S}^a)^T + \mathbf{S}^a : \sigma \cdot \mathbf{D}_e. \quad (2.93)$$

Rearranging terms and using the symmetry property in Eq. (2.91) gives Eq. (2.94):

$$\dot{\tau}^a = \mathbf{S}^a : \overset{\nabla}{\sigma}_e - (\mathbf{S}^a)^T : \sigma \cdot \mathbf{D}_e + \mathbf{S}^a : \sigma \cdot \mathbf{D}_e. \quad (2.94)$$

Further simplification of the terms gives Eq. (2.95):

$$\dot{\tau}^a = \mathbf{S}^a : \overset{\nabla}{\sigma}_e + [\mathbf{S}^a - (\mathbf{S}^a)^T] : \sigma \cdot \mathbf{D}_e. \quad (2.95)$$

Note that using Eq. (2.62), Schmid tensor can be replaced with the mapping for slip spin as in Eq. (2.96):

$$\mathbf{S}^a - (\mathbf{S}^a)^T = 2\mathbf{W}^a. \quad (2.96)$$

Substituting Eq. (2.96) to Eq. (2.95) gives Eq. (2.97):

$$\begin{aligned} \dot{\tau}^a &= \mathbf{S}^a : \overset{\nabla}{\sigma}_e + 2\mathbf{W}^a : \sigma \cdot \mathbf{D}_e \\ &= \mathbf{S}^a : \overset{\nabla}{\sigma}_e + \mathbf{W}^a : \sigma \cdot \mathbf{D}_e + (\mathbf{D}_e)^T \cdot (\sigma)^T : (\mathbf{W}^a)^T \\ &= \mathbf{S}^a : \overset{\nabla}{\sigma}_e + \mathbf{W}^a : \sigma \cdot \mathbf{D}_e - \mathbf{D}_e \cdot \sigma : \mathbf{W}^a \\ &= \mathbf{S}^a : \overset{\nabla}{\sigma}_e + \mathbf{W}^a \sigma : \mathbf{D}_e - \mathbf{D}_e : \sigma \mathbf{W}^a \\ &= \mathbf{S}^a : \overset{\nabla}{\sigma}_e + (\mathbf{W}^a \sigma - \sigma \mathbf{W}^a) : \mathbf{D}_e. \end{aligned} \quad (2.97)$$

Using elasticity in Eq. (2.43) in conjunction with Eq. (2.97) reveals (2.98):

$$\dot{\tau}^a = \mathbf{S}^a : \mathbf{C} : \mathbf{D}_e + (\mathbf{W}^a \sigma - \sigma \mathbf{W}^a) : \mathbf{D}_e. \quad (2.98)$$

Further simplification reduces to (2.99):

$$\dot{\tau}^a = (\mathbf{S}^a : \mathbf{C} + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) : \mathbf{D}_e. \quad (2.99)$$

Note that from Eq. (2.32), (2.61), and (2.62), the Schmid tensor can be expressed as Eq. (2.100):

$$\mathbf{S}^a = \mathbf{P}^a + \mathbf{W}^a. \quad (2.100)$$

Note the elasticity tensor has symmetric properties which reveals Eq. (2.101):

$$\mathbf{C} : \mathbf{S}^a = \mathbf{C} : (\mathbf{S}^a)^T. \quad (2.101)$$

Substituting the property in Eq. (2.100) gives Eq. (2.102):

$$\mathbf{C} : \mathbf{P}^a + \mathbf{C} : \mathbf{W}^a = \mathbf{C} : (\mathbf{P}^a)^T + \mathbf{C} : (\mathbf{W}^a)^T. \quad (2.102)$$

which simplified to Eq. (2.103):

$$\mathbf{C} : \mathbf{P}^a + \mathbf{C} : \mathbf{W}^a = \mathbf{C} : \mathbf{P}^a - \mathbf{C} : \mathbf{W}^a. \quad (2.103)$$

We know the following property in Eq. (2.104) can only be true when it is equal to zero.

$$\mathbf{C} : \mathbf{W}^a = -\mathbf{C} : \mathbf{W}^a = 0 \quad (2.104)$$

Therefore, a related conclusion from this property is that the antisymmetric/rotation part of the deformation does not contribute to the work. Therefore, the overall expression reduces to Eq. (2.105):

$$\dot{\tau}^a = (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) : \mathbf{D}_e. \quad (2.105)$$



The elastic strains can be computed from the total strains as shown earlier in Eq. (2.28) giving Eq. (2.106):

$$\mathbf{D}_e = \mathbf{D} - \mathbf{D}_p. \quad (2.106)$$

Substituting Eq. (2.106) to Eq. (2.105) gives the final expression as in Eq. (2.107):

$$\dot{\boldsymbol{\tau}}^a = (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : (\mathbf{D} - \mathbf{D}_p). \quad (2.107)$$

Again substituting the expression for the plastic deformation as the sum of slip rates Eq. (2.63) reduces the Eq. (2.107) to Eq. (2.108):

$$\dot{\boldsymbol{\tau}}^a = (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : (\mathbf{D} - \sum_a \mathbf{P}^b \dot{\boldsymbol{\gamma}}^b). \quad (2.108)$$

Finally multiplying with the time step gives the expression for the increment in RSS with respect to the increments of total strain and slip as in Eq. (2.109):

$$\Delta \boldsymbol{\tau}^a = (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : (\Delta \boldsymbol{\varepsilon} - \sum_a \mathbf{P}^b \Delta \boldsymbol{\gamma}^b). \quad (2.109)$$

#### 2.4.2 Time integration

Slip increment,  $\Delta \boldsymbol{\gamma}^a$ , is simply the difference between the current slip,  $\boldsymbol{\gamma}^a$ , and the slip at the former time step,  $\boldsymbol{\gamma}_t^a$  as in Eq. (2.110):

$$\Delta \boldsymbol{\gamma}^a = \boldsymbol{\gamma}^a - \boldsymbol{\gamma}_t^a. \quad (2.110)$$

The solution is approximated with linear interpolation using a scalar variable  $\theta$  as in Eq. (2.111). Note if  $\theta$  is zero the solution is an Euler solution and if  $\theta$  is one it is an implicit solution:

$$\Delta \boldsymbol{\gamma}^a = \Delta t [(1 - \theta) \dot{\boldsymbol{\gamma}}_t^a + \theta \dot{\boldsymbol{\gamma}}^a]. \quad (2.111)$$

Taylor series expansion of slip rates about the current RSS and CRSS ignoring higher order terms gives Eq. (2.112):

$$\dot{\boldsymbol{\gamma}}^a = \dot{\boldsymbol{\gamma}}_t^a + \frac{\partial \dot{\boldsymbol{\gamma}}^a}{\partial \boldsymbol{\tau}^a} \Delta \boldsymbol{\tau}^a + \frac{\partial \dot{\boldsymbol{\gamma}}^a}{\partial \tau_c^a} \Delta \tau_c^a. \quad (2.112)$$

Substituting the current slip rate term in Eq. (2.111) using Eq. (2.112) gives Eq. (2.113):

$$\Delta \boldsymbol{\gamma}^a = \Delta t \left[ (1 - \theta) \dot{\boldsymbol{\gamma}}_t^a + \theta \left( \dot{\boldsymbol{\gamma}}_t^a + \frac{\partial \dot{\boldsymbol{\gamma}}^a}{\partial \boldsymbol{\tau}^a} \Delta \boldsymbol{\tau}^a + \frac{\partial \dot{\boldsymbol{\gamma}}^a}{\partial \tau_c^a} \Delta \tau_c^a \right) \right]. \quad (2.113)$$

Simplifies to Eq. (2.114):

$$\Delta \boldsymbol{\gamma}^a = \Delta t \left( \dot{\boldsymbol{\gamma}}_t^a + \theta \frac{\partial \dot{\boldsymbol{\gamma}}^a}{\partial \boldsymbol{\tau}^a} \Delta \boldsymbol{\tau}^a + \theta \frac{\partial \dot{\boldsymbol{\gamma}}^a}{\partial \tau_c^a} \Delta \tau_c^a \right). \quad (2.114)$$

Note that we have already calculated  $\Delta \boldsymbol{\tau}^a$  in Eq. (2.108) earlier. The  $\Delta \tau_c$  can also be calculated from strain hardening equations analytically. For example assuming a three-parameter hardening expression in Eq. (2.115) in which  $h_0$ ,  $s_s$ ,  $n$ ,  $\mathbf{H}_b^a$  represent the hardening rate, saturation value of CRSS, hardening exponent, and latent hardening matrix, respectively.

$$\tau_c^a = \mathbf{H}_b^a \left( 1 - \frac{\tau_c^a}{s_s} \right)^n |\dot{\boldsymbol{\gamma}}^b|. \quad (2.115)$$

The increment form of CRSS is simply obtained by multiplying with the time step, Eq. (2.116):

$$\Delta \tau_c^a = \mathbf{H}_b^a \left( 1 - \frac{\tau_c^a}{s_s} \right)^n \text{sign}(\dot{\boldsymbol{\gamma}}_t^b) \Delta \boldsymbol{\gamma}^b. \quad (2.116)$$

The overall expression for hardening can be simplified by  $\mathbf{h}_b^a$  as in Eq. (2.117):

$$\Delta\tau_c^a = \mathbf{h}_b^a \text{sign}(\dot{\gamma}_t) \Delta\gamma^b. \quad (2.117)$$

Substituting the expressions  $\Delta\tau^a$  in Eq. (2.108) and  $\Delta\tau_c^a$  in Eq. (2.117) to the forward gradient solution for slip increments  $\Delta\gamma^a$  in Eq. (2.114) gives Eq. (2.118):

$$\Delta\gamma^a = \Delta t \left[ \dot{\gamma}_t^a + \theta \frac{\partial \dot{\gamma}^a}{\partial \tau^a} (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : (\Delta\boldsymbol{\varepsilon} - \sum_a \mathbf{P}^b \Delta\gamma^b) + \theta \frac{\partial \dot{\gamma}^a}{\partial \tau_c^a} \mathbf{h}_b^a \text{sign}(\dot{\gamma}_t) \Delta\gamma^b \right]. \quad (2.118)$$

Rearranging terms by taking the unknown slip increments  $\Delta\gamma^b$  to one side of the equation reveals Eq. (2.119) in which  $\delta_b^a$  is the identity matrix or similar to Kronecker delta with slip dimensions:

$$\left[ \delta_b^a + \theta \Delta t \frac{\partial \dot{\gamma}^a}{\partial \tau^a} (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : \mathbf{P}^b - \theta \Delta t \frac{\partial \dot{\gamma}^a}{\partial \tau_c^a} \mathbf{h}_b^a \text{sign}(\dot{\gamma}_t) \right] \{ \Delta\gamma^b \} = \left\{ \Delta t \dot{\gamma}_t^a + \theta \Delta t \frac{\partial \dot{\gamma}^a}{\partial \tau^a} (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : \Delta\boldsymbol{\varepsilon} \right\}. \quad (2.119)$$

Therefore, the forward gradient solution becomes a linear expression in the form in Eq. (2.120):

$$[\mathbf{N}_b^a] \{ \Delta\gamma^b \} = \{ \mathbf{x}^a \}. \quad (2.120)$$

where  $[\mathbf{N}_b^a]$  is the known coefficient matrix in Eq. (2.121):

$$[\mathbf{N}_b^a] = \left[ \delta_b^a + \theta \Delta t \frac{\partial \dot{\gamma}^a}{\partial \tau^a} (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : \mathbf{P}^b - \theta \Delta t \frac{\partial \dot{\gamma}^a}{\partial \tau_c^a} \mathbf{h}_b^a \text{sign}(\dot{\gamma}_t) \right]. \quad (2.121)$$

and  $\{ \mathbf{x}^a \}$  is the known slip vector in Eq. (2.122):

$$\{ \mathbf{x}^a \} = \left\{ \Delta t \dot{\gamma}_t^a + \theta \Delta t \frac{\partial \dot{\gamma}^a}{\partial \tau^a} (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \boldsymbol{\sigma} - \boldsymbol{\sigma} \mathbf{W}^a) : \Delta\boldsymbol{\varepsilon} \right\}. \quad (2.122)$$

Solution for slip increments is simply achieved by Eq. (2.123):

$$\{ \Delta\gamma^b \} = [\mathbf{N}_b^a]^{-1} \{ \mathbf{x}^a \}. \quad (2.123)$$

Once the slip increments are computed, the plastic velocity gradient is computed using Eq. (2.124):

$$\mathbf{L}_p = \sum_a \Delta\gamma^a / \Delta t \mathbf{s}^a \otimes \mathbf{n}^a. \quad (2.124)$$

The plastic part of the deformation gradient is computed using  $\mathbf{L}_p$  as in Eq. (2.125):

$$\mathbf{F}_p = (\mathbf{I} - \mathbf{L}_p \Delta t)^{-1} (\mathbf{F}_p)_t. \quad (2.125)$$

Derivation of Eq. (2.125):

---

The time rate of change of plastic part of the deformation gradient can be approximated as in Eq. (2.126):

$$\dot{\mathbf{F}}_p = \frac{\mathbf{F}_p - (\mathbf{F}_p)_t}{\Delta t}. \quad (2.126)$$

Multiplying both sides of the equation with  $\mathbf{F}_p^{-1}$  reveals the expression for the plastic part of the velocity gradient as in Eq. (2.127):

$$\dot{\mathbf{F}}_p \mathbf{F}_p^{-1} = \mathbf{L}_p = \frac{\mathbf{F}_p - (\mathbf{F}_p)_t}{\Delta t} \mathbf{F}_p^{-1}. \quad (2.127)$$

Rearranging the terms gives Eq. (2.128)

$$\mathbf{L}_p \Delta t = \mathbf{F}_p \mathbf{F}_p^{-1} - (\mathbf{F}_p)_t \mathbf{F}_p^{-1}. \quad (2.128)$$

Further simplification gives Eq. (2.129):

$$(\mathbf{F}_p)_t \mathbf{F}_p^{-1} = \mathbf{I} - \mathbf{L}_p \Delta t. \quad (2.129)$$

Leaving  $\mathbf{F}_p^{-1}$  on one side of the equation, Eq. (2.130):

$$\mathbf{F}_p^{-1} = [(\mathbf{F}_p)_t]^{-1} (\mathbf{I} - \mathbf{L}_p \Delta t). \quad (2.130)$$

Inverting the whole expression gives  $\mathbf{F}_p$  in Eq. (2.131):

$$\mathbf{F}_p = (\mathbf{I} - \mathbf{L}_p \Delta t)^{-1} (\mathbf{F}_p)_t. \quad (2.131)$$

Stress increments are computed according to Eq. (2.67) and added to the former value of the stress as in Eq. (2.132):

$$\boldsymbol{\sigma} = \boldsymbol{\sigma}_t + \Delta \boldsymbol{\sigma}. \quad (2.132)$$

The orientations<sup>2</sup> are updated using rotation increments which is computed from the elastic spin increment as in Eq. (2.133):

$$\mathbf{g}^T = \mathbf{g}_t^T + \Delta \Omega_e \mathbf{g}_t^T. \quad (2.133)$$

Derivation of Eq. (2.133):

Deformation can be decomposed into its rotation and stretch parts, Eq. (2.134):

$$\mathbf{F} = \mathbf{R}\mathbf{U}. \quad (2.134)$$

Taking the time derivative in an effort to obtain rotation rates, Eq. (2.135):

$$\dot{\mathbf{F}} = \dot{\mathbf{R}}\mathbf{U} + \mathbf{R}\dot{\mathbf{U}}. \quad (2.135)$$

Similarly, the inverse of the deformation will be necessary that is given by Eq. (2.136):

$$\mathbf{F}^{-1} = \mathbf{U}^{-1} \mathbf{R}^T. \quad (2.136)$$

The velocity gradient,  $\mathbf{L}$ , is given by Eq. (2.137):

$$\mathbf{L} = \dot{\mathbf{F}}\mathbf{F}^{-1} = (\dot{\mathbf{R}}\mathbf{U} + \mathbf{R}\dot{\mathbf{U}}) \mathbf{U}^{-1} \mathbf{R}^T. \quad (2.137)$$

Further algebra reveals Eq. (2.138):

$$\mathbf{L} = \dot{\mathbf{R}}\mathbf{R}^T + \mathbf{R}\dot{\mathbf{U}}\mathbf{U}^{-1}\mathbf{R}^T. \quad (2.138)$$

Spin can be computed using the velocity gradient using Eq. (2.139):

$$\boldsymbol{\Omega} = \frac{\mathbf{L} - \mathbf{L}^T}{2}. \quad (2.139)$$

Substituting the expression for the velocity Eq. (2.140):

$$\boldsymbol{\Omega} = \left[ \dot{\mathbf{R}}\mathbf{R}^T + \mathbf{R}\dot{\mathbf{U}}\mathbf{U}^{-1}\mathbf{R}^T - (\dot{\mathbf{R}}\mathbf{R}^T + \mathbf{R}\dot{\mathbf{U}}\mathbf{U}^{-1}\mathbf{R}^T)^T \right] / 2. \quad (2.140)$$

<sup>2</sup>Crystal to sample transformation indicated with the transpose,  $\mathbf{g}^T$  where the conventional orientation matrix,  $\mathbf{g}$ , is the general expression used in material science using different forms: Bunge angles, angle-axis pair, Rodriguez vectors, or quaternions, etc.

Further simplification reveals Eq. (2.141):

$$\Omega = [\dot{\mathbf{R}}\mathbf{R}^T + \mathbf{R}\dot{\mathbf{U}}\mathbf{U}^{-1}\mathbf{R}^T - \mathbf{R}\dot{\mathbf{R}}^T + \mathbf{R}^T\mathbf{U}^{-T}\dot{\mathbf{U}}^T\mathbf{R}] / 2. \quad (2.141)$$

Rotations are orthogonal, Eq. (2.142):

$$\mathbf{R}\mathbf{R}^T = \mathbf{I}. \quad (2.142)$$

Taking the rates gives Eq. (2.143):

$$\dot{\mathbf{R}}\mathbf{R}^T + \mathbf{R}\dot{\mathbf{R}}^T = \mathbf{0}, \quad (2.143)$$

giving Eq. (2.144):

$$\dot{\mathbf{R}}\mathbf{R}^T = -\mathbf{R}\dot{\mathbf{R}}^T. \quad (2.144)$$

Substituting Eq. (2.144) to Eq. (2.141) gives Eq. (2.145):

$$\Omega = \dot{\mathbf{R}}\mathbf{R}^T + (\mathbf{R}\dot{\mathbf{U}}\mathbf{U}^{-1}\mathbf{R}^T + \mathbf{R}^T\mathbf{U}^{-T}\dot{\mathbf{U}}^T\mathbf{R}) / 2. \quad (2.145)$$

Rotations update similar to deformation gradient using Eq. (2.146) by assuming small elastic stretches and plastic strains do not causing changes in the crystal rotation (Equation 3.49 in the reference [8]):

$$\Omega = \dot{\mathbf{R}}\mathbf{R}^T. \quad (2.146)$$

Therefore, the increment of rotation is given by Eq. (2.147):

$$\Delta\mathbf{R} = \Delta\Omega\mathbf{R}. \quad (2.147)$$

The rigid body rotations that change the crystal orientation are stored in the elastic part of the spin that gives Eq. (2.148):

$$\Delta\mathbf{R} = \Delta\Omega_e\mathbf{R}. \quad (2.148)$$

Note that the rotation takes a vector from the intermediate configuration (or crystal reference) and maps to deformed configuration (or sample reference) that directly applies to crystal to sample transformation  $\mathbf{g}^T$ , which is approximately Eq. (2.149) by using the crystal orientation in the former time step:

$$\Delta\mathbf{g}^T = \Delta\Omega_e\mathbf{g}_t^T. \quad (2.149)$$

Summing with the former time value gives Eq. (2.150):

$$\mathbf{g}^T = \mathbf{g}_t^T + \Delta\Omega_e\mathbf{g}_t^T. \quad (2.150)$$

For a given total rotation or spin,  $\Delta\Omega$ , that is prescribed by the total deformation,  $\mathbf{F}$ , the elastic spin computed by subtraction of the plastic spin from the total as in Eq. (2.151):

$$\Delta\Omega_e = \Delta\Omega - \Delta\Omega_p. \quad (2.151)$$

which is given in terms of the slip increments as in Eq. (2.152):

$$\Delta\Omega_p = \sum_a \mathbf{W}^a \Delta\gamma^a. \quad (2.152)$$

Total spin can be computed from the velocity gradient according to Eq. (2.153):

$$\Delta\Omega = \frac{\mathbf{L} - \mathbf{L}^T}{2} \Delta t. \quad (2.153)$$

### 2.4.3 Material Tangent

Rewriting the expression for stress increment in Eq. (2.154):

$$\Delta\sigma = \mathbf{C} : \Delta\varepsilon - \sigma \operatorname{tr}(\Delta\varepsilon) - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) \Delta\gamma^a. \quad (2.154)$$

Differentiating stress increment,  $\Delta\sigma$ , with respect to strain increment,  $\Delta\varepsilon$ , gives Eq. (2.155):

$$\frac{\partial\Delta\sigma}{\partial\Delta\varepsilon} = \mathbf{C} - \frac{\partial}{\partial\Delta\varepsilon} [\sigma \operatorname{tr}(\Delta\varepsilon)] - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) \frac{\partial\Delta\gamma^a}{\partial\Delta\varepsilon}. \quad (2.155)$$

Note that  $\Delta\gamma^a$  and  $\Delta\varepsilon$  are already related through the expression in Eq. (2.123) and by differentiating Eq. (2.156) is obtained:

$$\frac{\partial\Delta\gamma^b}{\partial\Delta\varepsilon} = [\mathbf{N}_b^a]^{-1} \frac{\partial\mathbf{x}^a}{\partial\Delta\varepsilon}. \quad (2.156)$$

The term  $\mathbf{x}^a$  directly related to the increment as shown earlier in Eq. (2.122). Hence differentiating that expression gives Eq. (2.157):

$$\frac{\partial\mathbf{x}^a}{\partial\Delta\varepsilon} = \theta \Delta t \frac{\partial\dot{\gamma}^a}{\partial\tau^a} (\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a). \quad (2.157)$$

Substituting Eq. (2.157) to Eq. (2.156) gives the differential term of slip increments with respect to total strain increments, Eq. (2.158):

$$\frac{\partial\Delta\gamma^b}{\partial\Delta\varepsilon} = \theta \Delta t \frac{\partial\dot{\gamma}^a}{\partial\tau^a} [\mathbf{N}_b^a]^{-1} \{\mathbf{P}^a : \mathbf{C} + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a\}. \quad (2.158)$$

Going back again to the overall expression and simplifying, the jacobian becomes as in Eq. (2.159):

$$\frac{\partial\Delta\sigma}{\partial\Delta\varepsilon} = \mathbf{C} - \frac{\partial\Delta\sigma}{\partial\Delta\varepsilon} \operatorname{tr}(\Delta\varepsilon) - \sigma \frac{\partial\operatorname{tr}(\Delta\varepsilon)}{\partial\Delta\varepsilon} - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) \frac{\partial\Delta\gamma^a}{\partial\Delta\varepsilon}. \quad (2.159)$$

Re-arranging terms reads Eq. (2.160):

$$\frac{\partial\Delta\sigma}{\partial\Delta\varepsilon} [1 + \operatorname{tr}(\Delta\varepsilon)] = \mathbf{C} - \sigma \frac{\partial\operatorname{tr}(\Delta\varepsilon)}{\partial\Delta\varepsilon} - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) \frac{\partial\Delta\gamma^a}{\partial\Delta\varepsilon}. \quad (2.160)$$

Derivation for derivative of trace:

Differentiating trace is expressed as in Eq. (2.161):

$$\frac{\partial\operatorname{tr}(\mathbf{A})}{\partial\mathbf{A}}. \quad (2.161)$$

In index notation this becomes as in Eq. (2.162):

$$\frac{\partial\operatorname{tr}(\mathbf{A})}{\partial\mathbf{A}} = \frac{\partial A_{ii}}{\partial A_{mn}} \quad (2.162)$$

which becomes as in Eq. (2.163):

$$\frac{\partial A_{ii}}{\partial A_{mn}} = \delta_{im} \delta_{in} = \delta_{mn}. \quad (2.163)$$

the result is actually identity matrix in direct/matrix notation as in Eq. (2.164):

$$\frac{\partial\operatorname{tr}(\mathbf{A})}{\partial\mathbf{A}} = \mathbf{I}. \quad (2.164)$$

Using the property for the derivative of trace, the jacobian becomes as in Eq. (2.165):

$$\frac{\partial \Delta \sigma}{\partial \Delta \varepsilon} [1 + \text{tr}(\Delta \varepsilon)] = \mathbf{C} - \sigma \otimes \mathbf{I} - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) \frac{\partial \Delta \gamma^a}{\partial \Delta \varepsilon}. \quad (2.165)$$

in which the dyadic multiplication  $\sigma \otimes \mathbf{I}$  in direct notation is shown in Eq. (2.166) for clarity:

$$\sigma \otimes \mathbf{I} = \begin{bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{23} \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}. \quad (2.166)$$

Finally by rearranging terms reveal the material tangent, Eq. (2.167) with  $\frac{\partial \Delta \gamma^a}{\partial \Delta \varepsilon}$  term given in Eq. (2.158):

$$\frac{\partial \Delta \sigma}{\partial \Delta \varepsilon} = \frac{\mathbf{C} - \sigma \otimes \mathbf{I} - \sum_a (\mathbf{C} : \mathbf{P}^a + \mathbf{W}^a \sigma - \sigma \mathbf{W}^a) \frac{\partial \Delta \gamma^a}{\partial \Delta \varepsilon}}{1 + \text{tr}(\Delta \varepsilon)}. \quad (2.167)$$

## 2.5 Explicit method

This method is based on the crystal plasticity solution method explained in the reference [9].

The formulation is based on deformed vectors. The stress at the deformed configuration, Cauchy stress, is given by Eq. (2.168) in which  $\mathbf{C}$  is the elasticity in the deformed configuration given by Eq. (2.45):

$$\sigma = \mathbf{C} : \varepsilon_e. \quad (2.168)$$

The elastic strain is obtained by summing up the former elastic strain with the elastic strain increment as in Eq. (2.169):

$$\varepsilon_e = \varepsilon_e(t) + \Delta \varepsilon_e. \quad (2.169)$$

The elastic strain increment can further be represented as the total strain increment minus the plastic strain increment in Eq. (2.170):

$$\Delta \varepsilon_e = \Delta \varepsilon - \Delta \varepsilon_p. \quad (2.170)$$

Substituting gives the trial stress and corrector scheme in Eq. (2.171):

$$\sigma = \mathbf{C} : (\varepsilon_e(t) + \Delta \varepsilon) - \mathbf{C} : \Delta \varepsilon_p. \quad (2.171)$$

The residual,  $\psi$ , that will be minimized by Newton-Raphson method, is Cauchy stress Eq. (2.172):

$$\psi = \sigma - \sigma_{tr} + \mathbf{C} : \Delta \varepsilon_p = \mathbf{0}. \quad (2.172)$$

The plastic strain increment is the sum of the slip increments in the deformed reference, but considering the stretch (symmetric) part of the velocity gradient giving Eq. (2.173):

$$\Delta \varepsilon_p = \text{sym} \left( \sum_a \dot{\gamma}^a \mathbf{S}^a \right) \Delta t. \quad (2.173)$$

Differentiation of the residual with respect to the Cauchy stress gives Eq. (2.174):

$$\frac{\partial \psi}{\partial \sigma} = \mathbf{I} + \mathbf{C} \mathbf{P}. \quad (2.174)$$

where  $\mathbf{P}$  is obtained by differentiating  $\Delta\varepsilon_p$  with respect to  $\sigma$  and using chain-rule of differentiation as in Eq. (2.175):

$$\mathbf{P} = \frac{\partial \sum_a \dot{\gamma}^a \mathbf{S}^a}{\partial \sigma} \Delta t = \sum_a \frac{\partial \dot{\gamma}^a}{\partial \tau^a} \mathbf{S}^a \frac{\partial \tau^a}{\partial \sigma} \Delta t. \quad (2.175)$$

Note the derivative of resolved shear stress with respect to the stress is simply the Schmid tensor, Eq. (2.176):

$$\frac{\partial \tau^a}{\partial \sigma} = \mathbf{S}^a. \quad (2.176)$$

Substituting Eq. (2.176) to Eq. (2.175) gives the expression for the  $\mathbf{P}$  matrix in Eq. (2.177):

$$\mathbf{P} = \Delta t \sum_a \frac{\partial \dot{\gamma}^a}{\partial \tau^a} \mathbf{S}^a \otimes \mathbf{S}^a. \quad (2.177)$$

Newton-Raphson solution involves the function value and its derivative to obtain a new solution. Applying this to the residual and its derivative gives the stress increment as in Eq. (2.178):

$$\Delta \sigma = - \left[ \frac{\partial \psi}{\partial \sigma} \right]^{-1} \{ \psi \}. \quad (2.178)$$

Substituting Eq. (2.172) and Eq. (2.174) to Eq. (2.178), gives the expression for the stress increment, Eq. (2.179):

$$\Delta \sigma = - [\mathbf{I} + \mathbf{C} : \mathbf{P}]^{-1} \left\{ \sigma - \sigma_{tr} + \mathbf{C} : \Delta t \sum_a \frac{\partial \dot{\gamma}^a}{\partial \tau^a} \mathbf{S}^a \otimes \mathbf{S}^a \right\}. \quad (2.179)$$

Therefore, the stress is updated using Eq. (2.180) until the increments gets smaller than a tolerance:

$$\begin{aligned} \sigma^{k+1} &= \sigma^k + \Delta \sigma^k, \\ \|\Delta \sigma\| &< 10^{-8} \text{ MPa}. \end{aligned} \quad (2.180)$$

Note that the initial guess for the solver is also very important since "Newton-Raphson method converges iff there is a good guess!". The initial guess is obtained by a weighted average of the fully-elastic ( $\sigma_{tr}$ ) and fully-plastic ( $\sigma(t)$ ) guesses as in Eq. (2.181):

$$\sigma^0 = (1 - \phi) \sigma_{tr} + \phi \sigma(t). \quad (2.181)$$

Once the slip rates are computed, the corresponding states (hardening or softening) are updated explicitly.

## 2.6 Implicit method

The implicit integration method is similar to the explicit except the calculation of states are performed within a second loop. When the state variable increment exceeds the tolerance, states are updated and stress solution is performed again. This gives rise to avoid the jumps in the stress-strain curve in the case of strain hardening or softening.

After stress calculation converges, slip rates can be obtained. With those slip rates, the increment of state variables, i.e.  $\tau_c^a$ , is calculated using Eq. (2.182):

$$\Delta \tau_c^a = \mathbf{h}_b^a |\dot{\gamma}^b| \Delta t. \quad (2.182)$$

and the states are updated according to Euler integration with Eq. (2.183):

$$(\tau_c^a)^{l+1} = (\tau_c^a)^l + (\Delta\tau_c^a)^l \quad (2.183)$$

The outer loop iteration converges when Eq. (2.184) is fulfilled:

$$\Delta\tau_c^a < \text{tol.} \quad (2.184)$$

A similar example implicit (semi-implicit) method can be found in the reference [10].

## 2.7 Material tangent

Material tangent is simply the derivative of stress increment with respect to the total strain increment, Eq. (2.185). It does not have an effect on the accuracy of the solution but it determines the rate of convergence:

$$\frac{\partial\sigma}{\partial\Delta\varepsilon}. \quad (2.185)$$

Taking the variation of stress increment in Eq. (2.172) gives Eq. (2.186):

$$\partial\sigma - \partial\sigma_{tr} + \mathbf{C} : \partial\Delta\varepsilon_p = \mathbf{0}. \quad (2.186)$$

The variation of trial stress becomes, Eq. (2.187):

$$\partial\sigma_{tr} = \mathbf{C} : \partial[\varepsilon_e(t) + \Delta\varepsilon] = \mathbf{C} : \partial\Delta\varepsilon. \quad (2.187)$$

The variation of plastic strain increment becomes, Eq. (2.188):

$$\partial\Delta\varepsilon_p = \mathbf{C}\mathbf{P}\partial\sigma. \quad (2.188)$$

Substituting Eq. (2.187) and Eq. (2.188) to Eq. (2.186) gives Eq. (2.189):

$$\partial\sigma - \mathbf{C} : \partial\Delta\varepsilon + \mathbf{C}\mathbf{P}\partial\sigma = \mathbf{0}. \quad (2.189)$$

Re-arranging terms reveals Eq. (2.190) for the material tangent:

$$\frac{\partial\sigma}{\partial\Delta\varepsilon} = [\mathbf{I} + \mathbf{C}\mathbf{P}]^{-1} \mathbf{C}. \quad (2.190)$$

## 2.8 Orientation and state updates

Once the solution converges, all the states are updated using evolution rates obtained from the constitutive laws.

The total slip at the end of the time step (at  $t + \Delta t$ ) is computed according to Eq. (2.191):

$$\gamma^a = \int_0^{t+\Delta t} |\dot{\gamma}^a| dt. \quad (2.191)$$

Cumulative slip reads Eq. (2.192)

$$\Gamma = \sum_a \gamma^a \quad (2.192)$$

The crystal orientation in the main solver is updated according to Eq. (2.193):

$$\mathbf{g}^T = \Delta\mathbf{R}^{-1} \mathbf{g}_t^T. \quad (2.193)$$

In which the rotation increment is defined as in Eq. (2.194):

$$\Delta\mathbf{R} = (\mathbf{I} - \Delta\Omega_e \Delta t). \quad (2.194)$$



This equation seems different to the one used in the Forward-Gradient method but it is not. Taking the inverse of Eq. (2.194) gives Eq. (2.195):

$$\Delta \mathbf{R}^{-1} = \Delta \mathbf{R}^T = (\mathbf{I} + \Delta \Omega_e \Delta t). \quad (2.195)$$

Substituting Eq. (2.195) to Eq. (2.193) gives Eq. (2.196) which is the same expression that is used to update the orientations in Forward-Gradient method Eq. (2.133):

$$\mathbf{g}^T = (\mathbf{I} + \Delta \Omega_e \Delta t) \mathbf{g}_t^T. \quad (2.196)$$

### 3 Slip models

#### 3.1 sinh law

$$\dot{\gamma}^a = \tilde{\alpha} \sinh \tilde{\beta} (|\tau^a| - \tau_c^a) \operatorname{sgn}(\tau^a) \quad (3.1)$$

if the material is in HCP phase

$$\tilde{\alpha} = \left(\frac{c}{a}\right)^2 \alpha \quad (3.2)$$

$$\tilde{\beta} = \left(\frac{c}{a}\right)^2 \beta \quad (3.3)$$

else

$$\tilde{\alpha} = \alpha \quad (3.4)$$

$$\tilde{\beta} = \beta \quad (3.5)$$

Calculation of  $\alpha$ :

if a constant value for  $\alpha$  is defined,  $\alpha_0 \neq 0$

$$\alpha = \alpha_0 \quad (3.6)$$

else

$$\alpha^a = \rho_m (b^a)^2 v_0 \exp\left(-\frac{\Delta F^a}{K_B T}\right) \quad (3.7)$$

$$\rho_m = \psi \rho_m^0 \quad (3.8)$$

\*Irradiation alters the fraction of mobile dislocations by the factor  $\psi$ .

Calculation of  $\beta$ :

if a constant value for  $\beta$  is defined,  $\beta_0 \neq 0$

$$\beta^a = \beta_0 \quad (3.9)$$

else

for a general case with state variables per slip system, <sup>a</sup>

$$\beta^a = \frac{\Delta V^a}{K_B T} \quad (3.10)$$

Calculation of  $\Delta V^a$ :

if a constant multiplier of  $\Delta V_0$  for the activation volume is defined,  $\Delta V_0 \neq 0$

$$\Delta V^a = \Delta V_0 (b^a)^3 \quad (3.11)$$

else<sup>3</sup>

$$\Delta V^a = \gamma_0 \lambda^a (b^a)^2 \quad (3.12)$$

$$\lambda^a = \frac{1}{\sqrt{\rho_{for}^a}} \quad (3.13)$$

---

<sup>3</sup>In this case, the initial dislocation density (SSD density) shall have a non-zero value in order to end up with non-zero values for the activation volume and hence slip.

Model parameters:

parameter	definition	unit	variable
$\alpha_0$	constant value	1/s	slipparam(1)
$\beta_0$	constant value	1/MPa	slipparam(2)
$\psi$	fraction of mobile dislocations	-	slipparam(3)
$\rho_m^0$	reference mobile dislocation density	$1/\mu\text{m}^2$	slipparam(4)
$\Delta F$	activation energy for slip	J	slipparam(5)
$v_0$	attempt frequency	1/s	slipparam(6)
$\gamma_0$	scaling factor for the jump distance ( $\times$ Burgers vector)	-	slipparam(7)
$\Delta V_0$	factor for activation volume ( $\times b^3$ )	-	slipparam(8)

**Ex.** To obtain constant  $\alpha$  of 0.2 and constant  $\beta$  of 0.3, we can use the following values for model parameters:

parameter	value	unit	variable
$\alpha_0$	0.2	1/s	slipparam(1)
$\beta_0$	0.3	1/MPa	slipparam(2)
$\psi$	0	-	slipparam(3)
$\rho_m^0$	0	$1/\mu\text{m}^2$	slipparam(4)
$\Delta F$	0	J	slipparam(5)
$v_0$	0	1/s	slipparam(6)
$\gamma_0$	0	-	slipparam(7)
$\Delta V$	0	$\mu\text{m}^3$	slipparam(8)

### 3.2 double exponent law

$$\dot{\gamma}^a = \dot{\gamma}_0 \exp \left\{ -\frac{\Delta F}{K_B T} \left[ 1 - \left( \frac{|\tau^a|}{\tau_c^a} \right)^{p \cdot q} \right] \right\} \text{sgn}(\tau^a) \quad (3.14)$$

Model parameters:

parameter	definition	unit	variable
$\dot{\gamma}_0$	reference slip rate	1/s	slipparam(1)
$p$	inner exponent	-	slipparam(2)
$q$	outer exponent	-	slipparam(3)
$\Delta F_{oct}$	activation energy for octahedral slip	J	slipparam(4)
$\Delta F_{cub}$	activation energy for cubic slip	J	slipparam(5)

### 3.3 power law

$$\dot{\gamma}^a = \dot{\gamma}_0 \left( \frac{|\tau^a|}{\tau_c^a} \right)^n \text{sgn}(\tau^a) \quad (3.15)$$

in which

$$n = n_0 + \frac{\partial n}{\partial T} T \quad (3.16)$$

Model parameters:

parameter	definition	unit	variable
$\dot{\gamma}_0$	reference slip rate	1/s	slipparam(1)
$n_0$	constant exponent	-	slipparam(2)
$\frac{\partial n}{\partial T}$	slope of exponent wrto temperature	1/K	slipparam(3)

## 4 Creep models

### 4.1 model-1

$$\dot{\gamma}^a = \dot{\gamma}_C \exp\left(b_C |\tau^a| - \frac{Q_C}{RT}\right) \text{sign}(\tau^a) + \gamma^a \dot{\gamma}_D \exp\left(b_D |\tau^a| - \frac{Q_D}{RT}\right) \text{sign}(\tau^a) \quad (4.1)$$

$$\gamma^a = \int_0^t |\dot{\gamma}^a| dt \quad (4.2)$$

Model parameters:

<b>parameter</b>	<b>definition</b>	<b>unit</b>	<b>variable</b>
$\dot{\gamma}_C$	reference creep rate	1/s	creepparam(1)
$b_C$	creep stress multiplier	1/MPa	creepparam(2)
$Q_C$	activation energy for creep	J/mol	creepparam(3)
$\dot{\gamma}_D$	reference damage rate	1/s	creepparam(4)
$b_D$	damage stress multiplier	1/MPa	creepparam(5)
$Q_D$	activation energy for damage	J/mol	creepparam(6)

## 5 Irradiation models

### 5.1 model-1

Irradiation increases the flow stress

$$\tau_c^a = (\tau_c^a)_0 + \tau_s \quad (5.1)$$

which decays with slip strain

$$\tau_s = (\tau_s)_0 \exp\left(-\frac{\Gamma}{\gamma_s}\right) \quad (5.2)$$

where  $\Gamma$  represents the cumulative slip over time and over slip systems.

$$\Gamma^a = \int_0^t \dot{\gamma}^a dt \quad (5.3)$$

with

$$\Gamma = \sum_a \Gamma^a \quad (5.4)$$

Fraction of mobile dislocation gets less (app. 50%) for irradiated materials.

$$\rho_m = \psi_{irr} \rho_m^0 \quad (5.5)$$

Model parameters:

parameter	definition	unit	variable
$(\tau_s)_0$	initial value of solute strength	MPa	irradiationparam(1)
$\gamma_s$	saturation value of slip strain	-	irradiationparam(2)
$\psi_{irr}$	fraction of mobile dislocations	-	irradiationparam(3)

## 5.2 model-2

The irradiation slip resistance is computed with number density of dislocation loops per volume,  $N_i$ , and the average diameter of these loops,  $d_i$  from reference [11]:

$$\tau_{loop} = Gb^a \sqrt{\sum_i (S_i^a)^2 N_i d_i} \quad (5.6)$$

The areal density of loops is used as the state variable. Three different defect populations are active in the model ( $i = 1, 2, 3$ ):

$$\rho_{loop}^i = N_i d_i \quad (5.7)$$

$H_i^a$  is a matrix that describes the obstacle strengths for different type of defects. It is defined as a 30 by 3 matrix for HCP material directly.

The evolution of loop density is governed by the ODE in which  $H_i^a$  represents the softening (hardening) interactions between the defects and slip systems:

$$\dot{\rho}_{loop}^i = \sum_a H_i^a \sqrt{\rho_{loop}^i} \frac{|\dot{\gamma}^a|}{b^a} \quad (5.8)$$

Model parameters:

parameter	definition	unit	variable
$n$	total number of different types of defects	-	irradiationparam(1)
$N_1$	initial number density of type-1 defects	$1/\mu\text{m}^3$	irradiationparam(2)
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$N_n$	initial number density of type-n defects	$1/\mu\text{m}^3$	irradiationparam(n+1)
$d_1$	diameter of type-1 defects	$\mu\text{m}$	irradiationparam(n+2)
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$d_n$	diameter of type-n defects	$\mu\text{m}$	irradiationparam(2*n+2)
$\mathbf{r}_1$	cryst. direction of type-1 defects	-	irradiationparam(2n+3)
$\vdots$	$\vdots$	$\vdots$	$\vdots$
$\mathbf{r}_n$	cryst. direction of type-n defects	-	irradiationparam(3*n+3)
$as_1$	strength int. coeff. when $a > 0$	-	irradiationparam(3*n+4)
$as_2$	strength int. coeff. when $a = 0$	-	irradiationparam(3*n+5)
$ah_1$	hardening int. coeff. when $a > 0$	-	irradiationparam(3*n+6)
$ah_2$	hardening int. coeff. when $a = 0$	-	irradiationparam(3*n+7)

## 6 CRSS

### 6.1 bcc / fcc / hcp

Overall strength relation is a function of initial slip resistance which is a fraction of  $(1 - X)$  friction stress, impenetrable obstacle strength (the first term in the square root), forest density ( $\rho_{for}^a$ ) with geometric factor of  $\zeta$ , and strength related with the irradiation ( $r_i^a$  - irradiationmodel=2) with a different types of defect represented with the subscript  $i$ . There is a separate model to account for irradiation resistance using solute strength ( $\tau_s$  - irradiationmodel=1). Note the superscript  $a$  stands for the slip system:

The overall strength relation is a function of initial slip resistance ( $\tau_c^0$ ) and forest dislocation density ( $\rho_{for}^a$ ) with geometric factor of  $\zeta$ . Two alternative irradiation models are available. Irradiation model-2, the crss related with the type of defect represented with the subscript  $i$ . Alternatively, Irradiation model-1 accounts for irradiation resistance using solute strength ( $\tau_s$ ). The superscript  $a$  represents the slip system:

$$\tau_c^a = \underbrace{\tau_c^0}_{\text{initial crss}} + Gb^a \sqrt{\underbrace{\zeta^2 \rho_{for}^a}_{\text{cut-through}} + \underbrace{\sum_i (S_i^a)^2 \rho_{loop}^i}_{\text{irr. model-2}}} + \underbrace{\tau_s}_{\text{irr. model-1}} \quad (6.1)$$

Cut-through strength is obtained by forest dislocations which is obtained by the projection of total dislocations:

$$\rho_{for}^a = \xi_b^a \rho_{tot}^b \quad (6.2)$$

$\xi_b^a$  is the forest projection of a dislocation with line direction,  $\mathbf{t}^b$  onto a slip system with slip plane normal  $\mathbf{n}^a$ .

$$\xi_b^a = |\mathbf{n}^a \cdot \mathbf{t}^b| \quad (6.3)$$

Total dislocation density is simply the sum of SSD and GND densities that becomes a square norm due to the sign of the GNDs:

$$\rho_{tot}^a = \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2 + (\rho_{SSD}^a)^2} \quad (6.4)$$

### 6.2 alpha-uranium

User-defined



## 7 Strain hardening

**Important Note:** Initial values of the state variables are assumed to be zero. The initial dislocation density and its effect is taken care within the crss definition  $(\tau_c^a)^0$  that exists for all materials.

### 7.1 no hardening (hardeningmodel=0)

Valid for all phases except alpha-uranium

Only the effect of solute hardening will be considered in the presence of irradiation

$$\Delta\rho_{SSD}^a = 0 \quad (7.1)$$

$$\Delta\tau_c^a = 0 \quad (7.2)$$

Forest dislocations are computed using proper projections (assuming that GND calculation is ON.)

$$\rho_{for}^a = \sum_b |\mathbf{n}^a \cdot \mathbf{t}_e^b| |\rho_{GND,e}^b| + |\mathbf{n}^a \cdot \mathbf{s}_s^b| |\rho_{GND,s}^b| \quad (7.3)$$

Total density is computed based on GNDs (assuming that GND calculation is ON.)

$$\rho_{tot}^a = \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2} \quad (7.4)$$

$$\Delta\rho_{tot} = \sum_a \rho_{tot}^a \quad (7.5)$$

## 7.2 Voce type hardening (hardeningmodel=1)

State variable:  $\tau_c^a$

$$\Delta\tau_c^a = \sum_b \mathbf{H}_b^a \Delta h^b \quad (7.6)$$

$$\Delta h^b = h_0 \left(1 - \frac{\tau_c^b}{s_s}\right)^m |\dot{\gamma}^b| \Delta t \quad (7.7)$$

Model parameters:

parameter	definition	unit	variable
$h_0$	hardening rate	MPa	hardeningparam(1)
$s_s$	saturation slip strength	MPa	hardeningparam(2)
$m$	hardening exponent	-	hardeningparam(3)
$q$	latent hardening exponent	-	hardeningparam(4)

Forest dislocations are computed using proper projections (assuming that GND calculation is ON.)

$$\rho_{for}^a = \sum_b |\mathbf{n}^a \cdot \mathbf{t}_e^b| |\rho_{GND,e}^b| + |\mathbf{n}^a \cdot \mathbf{s}_s^b| |\rho_{GND,s}^b| \quad (7.8)$$

Total density is computed based on GNDs (assuming that GND calculation is ON.)

$$\rho_{tot}^a = \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2} \quad (7.9)$$

$$\Delta\rho_{tot} = \sum_a \rho_{tot}^a \quad (7.10)$$

### 7.3 Linear hardening (hardeningmodel=2)

Per slip system value

$$\Delta\rho_{SSD}^a = k |\dot{\gamma}^a| \Delta t \quad (7.11)$$

Total value based on Von-Mises equivalent strain rate,  $\dot{p}$

$$\Delta\rho_{SSD} = k \dot{p} \Delta t \quad (7.12)$$

Model parameter:

parameter	definition	unit	variable
$k$	linear hardening rate	$1/\mu\text{m}^2$	hardeningparam(1)

Forest dislocations are computed using proper projections (assuming that GND calculation is ON.)

$$\rho_{for}^a = \sum_b |\mathbf{n}^a \cdot \mathbf{t}_e^b| |\rho_{GND,e}^b| + \rho_{SSD,e}^b + |\mathbf{n}^a \cdot \mathbf{s}_s^b| |\rho_{GND,s}^b| + \rho_{SSD,s}^b \quad (7.13)$$

The edge and screw components from SSD density is computed using a proper mapping,  $\chi$ , that maps the slip system densities into edge and screw families:

$$\begin{Bmatrix} \rho_{SSD,e} \\ \rho_{SSD,s} \end{Bmatrix} = [\chi] \{\rho_{SSD}\} \quad (7.14)$$

Total density is computed based on GNDs (assuming that GND calculation is ON.)

$$\rho_{tot}^a = \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2 + (\rho_{SSD}^a)^2} \quad (7.15)$$

$$\rho_{tot} = \rho_{SSD} + \sum_a \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2} \quad (7.16)$$

## 7.4 Kocks-Mecking hardening (hardeningmodel=3)

Per slip system evolution for SSD density

$$\Delta \rho_{SSD}^a = \left( k_1 \frac{\sqrt{\rho_{for}^a}}{b^a} - k_2(\dot{\epsilon}, T) \rho_{SSD}^a \right) |\dot{\gamma}^a| \Delta t \quad (7.17)$$

If  $k_2$  is not defined as a constant, it is calculated parametrically. The annihilation constant is computed using

$$k_2 = k_1 \frac{\zeta b^a}{g^a} \left( 1 - \frac{K_B T}{D^a (b^a)^3} \ln \frac{\dot{\epsilon}}{\dot{\epsilon}_0} \right) \quad (7.18)$$

Total value for SSD density

$$\Delta \rho_{SSD} = \sum_a \Delta \rho_{SSD}^a \quad (7.19)$$

Model parameters:

parameter	definition	unit	ex. value	variable
$k_1$	hardening constant	1/ $\mu\text{m}$	200	hardeningparam(1)
$k_1$	softening constant	-	0	hardeningparam(2)
$\zeta$	dislocation interaction parameter	-	0.9	hardeningparam(3)
$g^a$	effective activation enthalpy	-	$1.5 \times 10^{-3}$	hardeningparam(4)
$D^a$	drag stress	MPa	300	hardeningparam(5)
$\dot{\epsilon}_0$	reference slip rate	1/s	$10^7$	hardeningparam(6)

Forest dislocations are computed using proper projections (assuming that GND calculation is ON.)

$$\rho_{for}^a = \sum_b |\mathbf{n}^a \cdot \mathbf{t}_e^b| |\rho_{GND,e}^b| + \rho_{SSD,e}^b + |\mathbf{n}^a \cdot \mathbf{s}_s^b| |\rho_{GND,s}^b| + \rho_{SSD,s}^b \quad (7.20)$$

The edge and screw components from SSD density is computed using a proper mapping,  $\chi$ , that maps the slip system densities into edge and screw families:

$$\begin{Bmatrix} \rho_{SSD,e} \\ \rho_{SSD,s} \end{Bmatrix} = [\chi] \{ \rho_{SSD} \} \quad (7.21)$$

Total density is computed based on GNDs (assuming that GND calculation is ON.)

$$\rho_{tot}^a = \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2 + (\rho_{SSD}^a)^2} \quad (7.22)$$

$$\rho_{tot} = \rho_{SSD} + \sum_a \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2} \quad (7.23)$$

## 7.5 Kocks-Mecking hardening with substructure (hardeningmodel=4)

In this model, two state variables are used [12].

Per slip system evolution for forest density

$$\Delta \rho_{for}^a = \left( k_1 \sqrt{\rho_{for}^a} - k_2(\dot{\epsilon}, T) \rho_{for}^a \right) |\dot{\gamma}^a| \Delta t \quad (7.24)$$

The annihilation constant is computed using

$$k_2 = k_1 \frac{X b^a}{g^a} \left( 1 - \frac{K_B T}{D^a (b^a)^3} \ln \frac{\dot{\epsilon}}{\dot{\epsilon}_0} \right) \quad (7.25)$$

Forest strength;

$$\tau_{for}^a = \zeta G^a b^a \sqrt{\rho_{for}^a} \quad (7.26)$$

Evolution for substructure density

$$\Delta \rho_{sub} = q \sum_a f^a \sqrt{\rho_{sub}} k_2 \rho_{for}^a |\dot{\gamma}^a| \Delta t \quad (7.27)$$

Substructure strength is given by the following equation.  $\ln$  represents the natural logarithm;

$$\tau_{sub} = k_{sub} G^a b^a \sqrt{\rho_{sub}} \ln \left( \frac{1}{b^a \sqrt{\rho_{sub}}} \right) \quad (7.28)$$

Overall strength;

$$\tau_c^a = (\tau_c^a)^0 + \tau_{for}^a + \tau_{sub} \quad (7.29)$$

Model parameters:

parameter	definition	unit	ex. value	variable
$k_1$	hardening constant	1/ $\mu\text{m}$	200	hardeningparam(1)
$\zeta$	dislocation interaction parameter	-	0.9	hardeningparam(2)
$g^a$	effective activation enthalpy	-	$1.5 \times 10^{-3}$	hardeningparam(3)
$D^a$	drag stress	MPa	300	hardeningparam(4)
$\dot{\epsilon}_0$	reference slip rate	1/s	$10^7$	hardeningparam(5)
$q$	rate coefficient	-	4	hardeningparam(6)
$f^a$	fraction of recovery rate	-	450	hardeningparam(7)
$k_{sub}$	empirical parameter	-	0.086	hardeningparam(8)

Forest dislocations are computed using proper projections (assuming that GND calculation is ON.)

$$\rho_{for}^a = \rho_{for}^a + \sum_b |\mathbf{n}^a \cdot \mathbf{t}_e^b| |\rho_{GND,e}^b| + |\mathbf{n}^a \cdot \mathbf{s}_s^b| |\rho_{GND,s}^b|$$

Total density is computed based on GNDs (assuming that GND calculation is ON.)

$$\rho_{tot}^a = \rho_{sub} + \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2} \quad (7.30)$$

$$\rho_{tot} = \rho_{sub} + \sum_a \sqrt{(\rho_{GND,e}^a)^2 + (\rho_{GND,s}^a)^2} \quad (7.31)$$

**Note:** The same model was used for alpha-uranium (phase-id = 4, material-id = 9). The hardening parameters however were defined by built-in constants for alpha-uranium only.

## 8 Strain gradients

There are three different parameters that must set before the GND calculations. Those are listed in the below:

1. **gndmodel**: Strain gradients can be computed by five different methods which are presented as option into the model. There are four different options which are explained in the below.
2. **gndhomogenization**: This parameter determines whether the GNDs will be calculated at each integration point of an element separately ( $\text{gndhomogenization}=0$ ) or, they will be computed just at the centroid of the elements ( $\text{gndhomogenization}=1$ ) assuming the same value for the integration points.
3. **gndlinear**: This parameter determines whether the linear ( $\text{gndlinear}=1$ ) or quadratic ( $\text{gndlinear}=0$ ) interpolation functions will be used for the calculation of strain gradients. This parameter is **ONLY** valid for quadratic elements.

### 8.1 Derivation of GND calculation

#### 8.1.1 Burgers circuit

Burgers circuit is used to get the lattice incompatibility as net Burger vector. For that, a counterclockwise loop is constructed around any arbitrary area normal  $\mathbf{r}$  for the lattice. Net amount of incompatibility is the amount of loop disclosure. This is shown for screw and edge type of dislocations in Fig. 3.

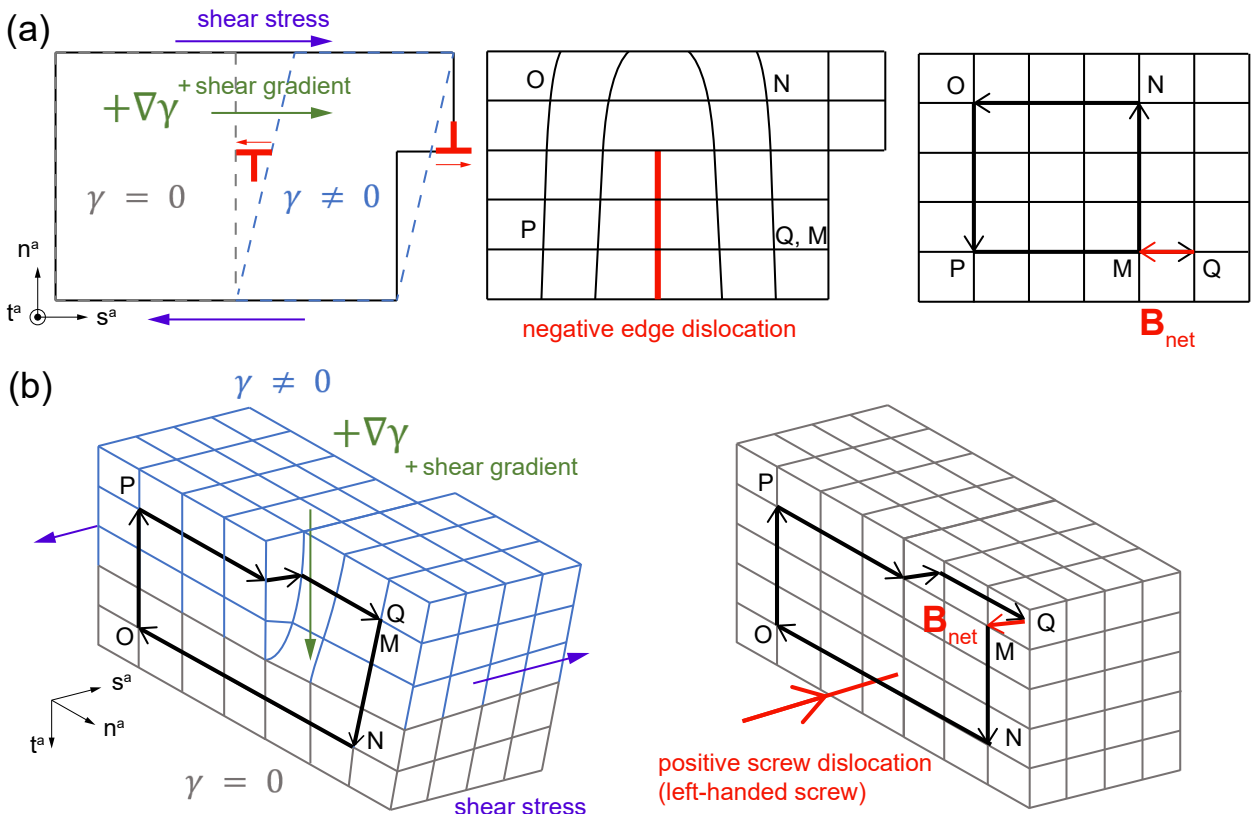


Figure 3: Construction of Burgers circuit around (a) edge and (b) screw type of dislocations for a positive shear gradient with corresponding signs of negative edge and positive screw dislocation.

Net amount of lattice incompatibility for both cases in Fig. 3:

$$|\mathbf{OO}'| = \mathbf{B}_{net} \quad (8.1)$$

For a deformed lattice in terms of the deformed vectors,  $\mathbf{Y}$ , Burgers circuit on the deformed lattice,  $C'$ , gives the closure failure as:

$$\mathbf{B}_{net} = - \oint_{C'} d\mathbf{Y} \quad (8.2)$$

In terms of the undeformed vectors, this expression reduces to a loop around the undeformed lattice,  $C$ , in terms of the undeformed vectors,  $\mathbf{X}$ :

$$\mathbf{B}_{net} = - \oint_C \mathbf{F}_p d\mathbf{X} \quad (8.3)$$

since

$$d\mathbf{Y} = \mathbf{F}_p d\mathbf{X} \quad (8.4)$$

Using Stokes theorem, line integral is converted to curl as in Eq. (8.5). The transpose will be explained in Section 8.1.6.

$$\mathbf{B}_{net} = - \int_S (\nabla \times \mathbf{F}_p)^T \cdot \mathbf{rdS} \quad (8.5)$$

### 8.1.2 Curl of a second rank tensor

The curl of a second rank tensor is given in two different references:

- Research paper [13], page 1545
- Wikipedia link: [https://en.wikipedia.org/wiki/Tensor\\_derivative\\_\(continuum\\_mechanics\)](https://en.wikipedia.org/wiki/Tensor_derivative_(continuum_mechanics))

For all constant vectors,  $\mathbf{c}$ :

*According to research paper [13]:*

$$(\nabla \times \mathbf{A}) \cdot \mathbf{c} = \nabla \times (\mathbf{A}^T \cdot \mathbf{c}) \quad (8.6)$$

$$\nabla \times (\mathbf{A}^T \cdot \mathbf{c}) = \nabla \times (A_{ij} \hat{\mathbf{e}}_j \otimes \hat{\mathbf{e}}_i \cdot c_m \hat{\mathbf{e}}_m) \quad (8.7)$$

$$\nabla \times (\mathbf{A}^T \cdot \mathbf{c}) = \nabla \times (c_i A_{ij} \hat{\mathbf{e}}_j) \quad (8.8)$$

$$\nabla \times (\mathbf{A}^T \cdot \mathbf{c}) = \frac{\partial}{\partial X_k} \hat{\mathbf{e}}_k \times (c_i A_{ij} \hat{\mathbf{e}}_j) \quad (8.9)$$

$$\nabla \times (\mathbf{A}^T \cdot \mathbf{c}) = \varepsilon_{qkj} c_i A_{ij,k} \hat{\mathbf{e}}_q \quad (8.10)$$

$$\nabla \times (\mathbf{A}^T \cdot \mathbf{c}) = (\varepsilon_{qkj} A_{ij,k} \hat{\mathbf{e}}_q \otimes \hat{\mathbf{e}}_i) \cdot c_m \hat{\mathbf{e}}_m = (\nabla \times \mathbf{A}) \cdot \mathbf{c} \quad (8.11)$$

$$(\nabla \times \mathbf{A})_{qi} = \varepsilon_{qkj} A_{ij,k} \hat{\mathbf{e}}_q \otimes \hat{\mathbf{e}}_i \quad (8.12)$$

Or more simply:

$$(\nabla \times \mathbf{A})_{qi} = \varepsilon_{qkj} A_{ij,k} \quad (8.13)$$

The result is obtained as in the reference [13], by just changing the symbols used for the indices ( $q \rightarrow i$ ,  $i \rightarrow j$ ,  $j \rightarrow s$ , and  $k \rightarrow r$ ):

$$(\nabla \times \mathbf{A})_{ij} = \varepsilon_{irs} A_{js,r} \quad (8.14)$$

According to Wikipedia:

$$(\nabla \times \mathbf{T}) \cdot \mathbf{c} = \nabla \times (\mathbf{c} \cdot \mathbf{T}) \quad (8.15)$$

This is indeed using the property of transpose:

$$(\nabla \times \mathbf{T}) \cdot \mathbf{c} = \nabla \times (\mathbf{T}^T \cdot \mathbf{c}) \quad (8.16)$$

Both methods are consistent, revealing the curl of a second rank tensor as:

$$(\nabla \times \mathbf{T})_{km} = \varepsilon_{ijk} T_{mj,i} \hat{\mathbf{e}}_k \otimes \hat{\mathbf{e}}_m \quad (8.17)$$

Or more simply:

$$(\nabla \times \mathbf{T})_{km} = \varepsilon_{ijk} T_{mj,i} \quad (8.18)$$

### 8.1.3 Nye tensor

The lattice incompatibility needs to be full-filled by dislocations that are called geometrically-necessary dislocations unless there is a discontinuity like a crack or void.

Nye defined the dislocation tensor as the dislocations that pierce through an arbitrary area normal contribute as much as their projected Burgers vector [14] as in Eq. (8.19):

$$\mathbf{B}_{net} = \int_S \left( \sum_a \rho_{GND}^a b^a \mathbf{s}^a \otimes \mathbf{t}^a \right) \cdot \mathbf{r} dS \quad (8.19)$$

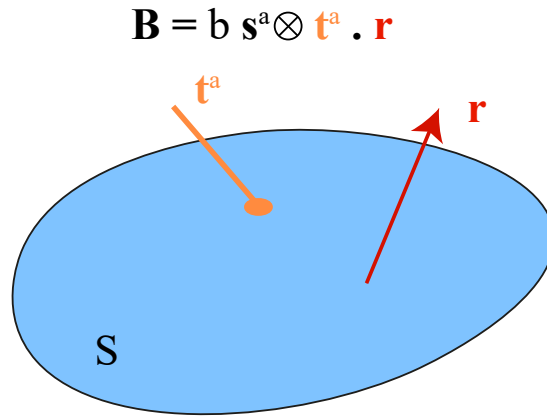


Figure 4: Sketch to explain physical meaning of dislocation tensor.

Fig. 4 shows the contribution of any dislocation to the incompatibility based on its line direction  $\mathbf{t}^a$  and area normal at which Burgers circuit is constructed.

Setting the two equations; Eq. (8.5) and Eq. (8.19) equal to each other gives:

$$\mathbf{B}_{net} = - \int_S (\nabla \times \mathbf{F}_p)^T \cdot \mathbf{r} dS = \int_S \left( \sum_a \rho_{GND}^a b^a \mathbf{s}^a \otimes \mathbf{t}^a \right) \cdot \mathbf{r} dS \quad (8.20)$$

Cancelling out the integral expressions on both sides gives the overall incompatibility measure as:

$$\Lambda = -(\nabla \times \mathbf{F}_p)^T = \sum_a \rho_{GND}^a b^a \mathbf{s}^a \otimes \mathbf{t}^a \quad (8.21)$$

Therefore, Nye tensor for incompatibility becomes:

$$\Lambda = \sum_a \rho_{GND}^a b^a \mathbf{s}^a \otimes \mathbf{t}^a \quad (8.22)$$



Similarly, the incompatibility measure using the curl becomes:

$$\Lambda = -(\nabla \times \mathbf{F}_p)^T \quad (8.23)$$

In index notation:

$$\Lambda_{lk} = -\varepsilon_{ijk} (\mathbf{F}_p)_{lj,i} \quad (8.24)$$

Note that the incompatibility tensor can be written as the sum of dislocation families; screws (their line direction being along slip direction,  $\mathbf{s}^a$ ) and edges (their line direction being along the tangent direction  $\mathbf{t}^a$ ):

$$\Lambda = \sum_a \rho_{GND,e}^a b^a \mathbf{s}^a \otimes \mathbf{t}^a + \rho_{GND,s}^a b^a \mathbf{s}^a \otimes \mathbf{s}^a \quad (8.25)$$

#### 8.1.4 Lattice curvature

Curvature tensor is computed using the incompatibility according to Eq. (8.26):

$$\kappa_{ij} = -\Lambda_{ji} + \frac{1}{2} \delta_{ij} \Lambda_{kk} \quad (8.26)$$

This equation ignores elastic strain gradients and computes curvature using total lattice incompatibility obtained by rotations [15].

After the calculation of incompatibility, either in incremental or total form, curvature is computed using the total incompatibility and stored as a state variable in the code.

#### 8.1.5 Small strains

Consider small strains ( $\|\gamma\| \ll 1$ ). The plastic part of the deformation gradient reduces to:

$$\mathbf{F}_p = \sum_a \gamma^a \mathbf{s}^a \otimes \mathbf{n}^a + \mathbf{I} \quad (8.27)$$

Substitute the simplified plastic deformation gradient expression to incompatibility with the curl:

$$\Lambda = -(\nabla \times \mathbf{F}_p) = -\left[ \nabla \times \left( \sum_a \gamma^a \mathbf{s}^a \otimes \mathbf{n}^a + \mathbf{I} \right)^T \right]^T \quad (8.28)$$

$\nabla \times \mathbf{I}$  is zero because gradient of a constant tensor simply vanishes giving:

$$\Lambda = -\left( \nabla \times \sum_a \gamma^a \mathbf{s}^a \otimes \mathbf{n}^a \right)^T \quad (8.29)$$

The summation can be taken out the parenthesis since it is independent of the curl operation giving:

$$\Lambda = -\sum_a [\nabla \times (\gamma^a \mathbf{s}^a \otimes \mathbf{n}^a)]^T \quad (8.30)$$

The gradient operation can be simplified taking directional derivatives along slip direction, slip plane normal and line (tangent) direction, respectively. These derivatives are indicated with the comma symbols with the following expressions:

$$\nabla \gamma^a = \gamma_{,s^a}^a \mathbf{s}^a + \gamma_{,n^a}^a \mathbf{n}^a + \gamma_{,t^a}^a \mathbf{t}^a \quad (8.31)$$

The same definition for the calculation of a curl of the second rank tensor is used here<sup>4</sup>. The curl applies to the rightmost vector component of the dyadic and the result of the cross-product is assigned to the leftmost vector component of the dyadic. Substituting these directional derivatives to compute the curl expression:

$$\Lambda = -\sum_a \left( \gamma_{,s^a}^a \mathbf{s}^a \times \mathbf{n}^a \otimes \mathbf{s}^a + \gamma_{,n^a}^a \mathbf{n}^a \times \mathbf{n}^a \otimes \mathbf{s}^a + \gamma_{,t^a}^a \mathbf{t}^a \times \mathbf{n}^a \otimes \mathbf{s}^a \right)^T \quad (8.32)$$

<sup>4</sup>Note that there are mainly three different types of curl [16]. In this reference the curl of a second-rank tensor,  $\mathbf{B}$ , is calculated as follows:  $\mathbf{A} = \nabla \times \mathbf{B}$  in index notation giving:  $A_{ij} = \varepsilon_{irs} B_{js,r}$ .

Note that the second expression vanishes ( $\mathbf{n}^a \times \mathbf{n}^a = \mathbf{0}$ ) and taking the cross-products simplifies the overall expression to:

$$\Lambda = -\sum_a (\gamma_{,s^a}^a \mathbf{t}^a \otimes \mathbf{s}^a - \gamma_{,t^a}^a \mathbf{s}^a \otimes \mathbf{s}^a)^T \quad (8.33)$$

Further simplification with the signs:

$$\Lambda = \sum_a (\gamma_{,t^a}^a \mathbf{s}^a \otimes \mathbf{s}^a - \gamma_{,s^a}^a \mathbf{t}^a \otimes \mathbf{s}^a)^T \quad (8.34)$$

Taking the transpose:

$$\Lambda = \sum_a \gamma_{,t^a}^a \mathbf{s}^a \otimes \mathbf{s}^a - \gamma_{,s^a}^a \mathbf{s}^a \otimes \mathbf{t}^a \quad (8.35)$$

This equation is equal to the dislocation tensor given considering the two families of dislocations as in Eq. (8.25) giving:

$$\Lambda = \sum_a \gamma_{,t^a}^a \mathbf{s}^a \otimes \mathbf{s}^a - \gamma_{,s^a}^a \mathbf{s}^a \otimes \mathbf{t}^a = \sum_a \rho_{GND,e}^a b^a \mathbf{s}^a \otimes \mathbf{t}^a + \rho_{GND,s}^a b^a \mathbf{s}^a \otimes \mathbf{s}^a \quad (8.36)$$

Therefore, the individual dislocation densities per slip system can be computed as:

$$b^a \rho_{GND,e}^a = -\gamma_{,s^a}^a \quad (8.37)$$

$$b^a \rho_{GND,s}^a = \gamma_{,t^a}^a \quad (8.38)$$

Directional derivatives can also be written as:

$$\gamma_{,s^a}^a = \nabla \gamma^a \cdot \mathbf{s}^a \quad (8.39)$$

$$\gamma_{,t^a}^a = \nabla \gamma^a \cdot \mathbf{t}^a \quad (8.40)$$

Therefore, the edge dislocations become the gradient of slip along the slip direction (negative sign is due to the dislocation convention):

$$\rho_{GND,e}^a = -\frac{1}{b^a} \nabla \gamma^a \cdot \mathbf{s}^a \quad (8.41)$$

While, the screw dislocations become the gradient of slip along the transverse direction:

$$\rho_{GND,s}^a = \frac{1}{b^a} \nabla \gamma^a \cdot \mathbf{t}^a \quad (8.42)$$

### 8.1.6 Rate form

The rate form has advantage to respond sudden changes in the strain or the strain path causing less changes. In addition, this formulation uses the curl of a vector instead of using the curl second rank tensor which has different forms in the literature to avoid confusion [16].

The rate form the incompatibility is obtained by simply taking the time derivative of the expression in Eq. (8.23) giving:

$$\dot{\Lambda} = -(\nabla \times \dot{\mathbf{F}}_p)^T \quad (8.43)$$

Note that  $\dot{\mathbf{F}}_p$  can be computed in terms of the velocity gradient as:

$$\dot{\mathbf{F}}_p = \mathbf{L}_p \mathbf{F}_p \quad (8.44)$$

The plastic velocity gradient:

$$\mathbf{L}_p = \sum_a \dot{\gamma}^a \mathbf{s}^a \otimes \mathbf{n}^a \quad (8.45)$$

Substituting the  $\mathbf{L}_p$  to the rate of change of plastic deformation gradient:

$$\dot{\mathbf{F}}_p = \left( \sum_a \dot{\gamma}^a \mathbf{s}^a \otimes \mathbf{n}^a \right) \mathbf{F}_p \quad (8.46)$$

The multiplication can be placed inside the summation as:

$$\dot{\mathbf{F}}_p = \sum_a (\dot{\gamma}^a \mathbf{s}^a \otimes \mathbf{n}^a \cdot \mathbf{F}_p) \quad (8.47)$$

Refer to the right most term as another vector to avoid confusion:

$$\mathbf{x}^a = \dot{\gamma}^a \mathbf{n}^a \cdot \mathbf{F}_p \quad (8.48)$$

Again, substituting the above expression to the incompatibility rate equation:

$$\dot{\Lambda} = - \left\{ \nabla \times \left( \sum_a \mathbf{s}^a \otimes \mathbf{x}^a \right) \right\}^T \quad (8.49)$$

This expression is simply taking the curl of a second rank tensor as shown earlier:

$$\dot{\Lambda} = - \left[ \sum_a (\nabla \times \mathbf{x}^a) \otimes \mathbf{s}^a \right]^T \quad (8.50)$$

The summation can be taken out the operations giving an incompatibility rate measure per slip system:

$$\dot{\Lambda}^a = - [(\nabla \times \mathbf{x}^a) \otimes \mathbf{s}^a]^T \quad (8.51)$$

Substituting back the expression for the simplified vector  $\mathbf{x}^a$ :

$$\dot{\Lambda}^a = - [(\nabla \times \mathbf{n}^a \mathbf{F}_p \dot{\gamma}^a) \otimes \mathbf{s}^a]^T \quad (8.52)$$

Therefore, the outermost transpose can be taken to give:

$$\dot{\Lambda}^a = -\mathbf{s}^a \otimes (\nabla \times \mathbf{n}^a \mathbf{F}_p \dot{\gamma}^a) \quad (8.53)$$

Using Eq. (8.22), the very same incompatibility rate needs to be satisfied using Nye's dislocation tensor considering different components of GNDs including edge dislocation density along the tangential direction  $\dot{\rho}_{GND,et}^a$ , edge dislocation density along the slip plane normal,  $\dot{\rho}_{GND,en}^a$ , and screw dislocations,  $\dot{\rho}_{GND,s}^a$ . Equating compatibility rate measures we obtain:

$$\dot{\Lambda}^a = b^a \dot{\rho}_{GND,et}^a \mathbf{s}^a \otimes \mathbf{t}^a + b^a \dot{\rho}_{GND,en}^a \mathbf{s}^a \otimes \mathbf{n}^a + b^a \dot{\rho}_{GND,s}^a \mathbf{s}^a \otimes \mathbf{s}^a \quad (8.54)$$

Equating the incompatibility rate measures in Eq. (8.53) and (8.54) gives:

$$\begin{aligned} \dot{\Lambda}^a &= -\mathbf{s}^a \otimes \nabla \times \dot{\gamma}^a \mathbf{n}^a \mathbf{F}_p \\ &= b^a \dot{\rho}_{GND,et}^a \mathbf{s}^a \otimes \mathbf{t}^a + b^a \dot{\rho}_{GND,en}^a \mathbf{s}^a \otimes \mathbf{n}^a + b^a \dot{\rho}_{GND,s}^a \mathbf{s}^a \otimes \mathbf{s}^a \end{aligned} \quad (8.55)$$

Note that the slip direction vector can be cancelled out on both sides of the equations to give a simplified expression:

$$-\nabla \times \dot{\gamma}^a \mathbf{n}^a \mathbf{F}_p = b^a \dot{\rho}_{GND,et}^a \mathbf{t}^a + b^a \dot{\rho}_{GND,en}^a \mathbf{n}^a + b^a \dot{\rho}_{GND,s}^a \mathbf{s}^a \quad (8.56)$$

Simply using the vector projections and re-arranging terms, three equations for different families of dislocations can be computed:

$$\dot{\rho}_{GND,et}^a = -\frac{1}{b^a} (\nabla \times \dot{\gamma}^a \mathbf{n}^a \mathbf{F}_p) \cdot \mathbf{t}^a \quad (8.57)$$

$$\dot{\rho}_{GND,en}^a = -\frac{1}{b^a} (\nabla \times \dot{\gamma}^a \mathbf{n}^a \mathbf{F}_p) \cdot \mathbf{n}^a \quad (8.58)$$

$$\dot{\rho}_{GND,s}^a = -\frac{1}{b^a} (\nabla \times \dot{\gamma}^a \mathbf{n}^a \mathbf{F}_p) \cdot \mathbf{s}^a \quad (8.59)$$

The formulations explained here can also be found in the reference [17]. Several different references use exactly the same formulation to compute GNDs [9, 18, 19].

## 8.2 GND calculation methods (options)

Different strain gradient calculation options available within the code excluding no GND calculation option. These are described in the following:

### 8.2.1 No GNDs (gndmodel=0)

GNDs are not computed if this option is selected.

### 8.2.2 Curl of $\mathbf{F}_p$ followed by SVD inversion (gndmodel=1)

The incompatibility measure is given as (Note that it is not in its rate form):

$$\Lambda = -(\nabla \times \mathbf{F}_p)^T \quad (8.60)$$

In index notation using the same definition for the curl of the second rank tensor we find :

$$\Lambda = -\left[\varepsilon_{ijk} (\mathbf{F}_p)_{lj,i} \hat{\mathbf{e}}_k \otimes \hat{\mathbf{e}}_l\right]^T \quad (8.61)$$

Taking the transpose gives:

$$\Lambda_{lk} = -\varepsilon_{ijk} (\mathbf{F}_p)_{lj,i} \hat{\mathbf{e}}_l \otimes \hat{\mathbf{e}}_k \quad (8.62)$$

Or more simply:

$$\Lambda_{lk} = -\varepsilon_{ijk} (\mathbf{F}_p)_{lj,i} \quad (8.63)$$

Check the curl as used in [20]:

---

The curl without the negative sign is used in the reference [20]:

$$(\Lambda^*)^T = \nabla \times \mathbf{F}_p \quad (8.64)$$

In index notation it is given as on page 79 of the reference [20] as:

$$\Lambda_{lk}^* = \varepsilon_{ijk} (\mathbf{F}_p)_{lj,i} \quad (8.65)$$

We have found the the incompatibility in index notation is given as in Eq. (8.63) which is checked for consistency using the small strain case:

$$\Lambda_{lk} = -\varepsilon_{ijk} (\mathbf{F}_p)_{lj,i} \quad (8.66)$$

Therefore, the two expressions are not the same, indeed it is equal to the negative and transpose of it:

$$\Lambda = -\Lambda^* \quad (8.67)$$


---

GND density is computed using the equation in the reference [15]:

$$[\mathbf{A}] \{\rho_{GND}\} = \{\Lambda\} \quad (8.68)$$

$\mathbf{B}$  is computed from the Nye's tensor  $\Lambda$  as:

$$\{\rho_{GND}\} = [\mathbf{B}] \{\Lambda\} \quad (8.69)$$

where  $\mathbf{B}$  is obtained using inversion, but the inversion is rank deficit giving large densities:

$$\mathbf{B} = \mathbf{A}^T (\mathbf{A} \mathbf{A}^T)^{-1} \quad (8.70)$$

The difference from the former method lies in the calculation of  $[\mathbf{A}]$  matrix. The non-active slip systems that have a total slip less than  $10^{-10}$  are eliminated from the Nye tensor. The solution is obtained by singular value decomposition (SVD) method to invert the  $\mathbf{B}$  matrix to solve for GND densities.

### 8.2.3 Total form with Gurtin's correction (gndmodel=2)

The same procedure is used as in gndmodel=2. The only difference lies in the calculation incompatibility measure, which takes into account the areal changes in large deformations [13]:

$$\Lambda = -(\nabla \times \mathbf{F}_p)^T \mathbf{F}_p^T \quad (8.71)$$

### 8.2.4 Rate form with direct projection (gndmodel=3)

Curl of  $\mathbf{n}^a \mathbf{F}_p$  is directly projected to slip systems to the slip systems.

Rate of change of incompatibility measure is, which is a vector  $\dot{\lambda}^a$ :

$$\dot{\lambda}^a = -\nabla \times (\dot{\gamma}^a \mathbf{n}^a \mathbf{F}_p) \quad (8.72)$$

GND density rates are obtained by projections to the slip systems:

$$\dot{\rho}_{GND,et}^a = \dot{\lambda}^a \cdot \mathbf{t}^a \quad (8.73)$$

$$\dot{\rho}_{GND,en}^a = \dot{\lambda}^a \cdot \mathbf{n}^a \quad (8.74)$$

$$\dot{\rho}_{GND,s}^a = \dot{\lambda}^a \cdot \mathbf{s}^a \quad (8.75)$$

### 8.2.5 Slip gradients (gndmodel=4)

The GNDs are computed directly from slip gradients assuming small strains:

$$\dot{\rho}_{GND,e}^a = -\nabla \dot{\gamma}^a \cdot \mathbf{s}^a \quad (8.76)$$

$$\dot{\rho}_{GND,s}^a = \nabla \dot{\gamma}^a \cdot \mathbf{t}^a \quad (8.77)$$

## 9 Element library

DBF code can use various element types which is important for GND calculation.

Some important remarks about element types are as follows:

- The element names have the same as in ABAQUS library (i.e. C3D8 is defined in DBF-code as C3D8.)
- The element types shall be the same and single type only within the mesh
- The number of elements must be prescribed in the userinputs.f
- ABAQUS uses g, h, r as the identifies for the isoparametric coordinates for 3D, and g, h for 2D.

### 9.1 2D elements

2D elements are distinguished as plane stress or plane strain. The same interpolation functions are used for both cases.

#### 9.1.1 CPS4/CPE4

4-noded linear quadrilateral elements

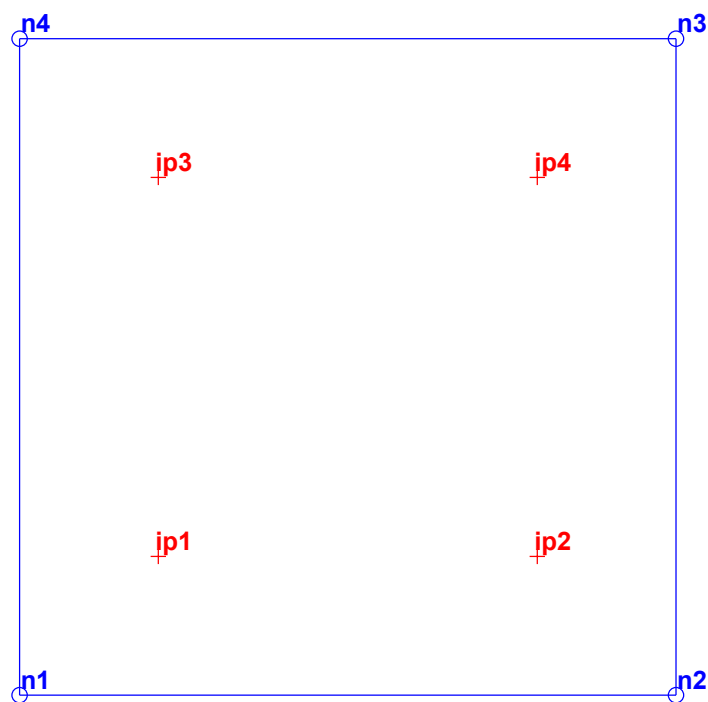


Figure 5: Node (n) and integration point (ip) numbering.

$$N_1 = \frac{1}{4}(1-g)(1-h) \quad (9.1)$$

$$N_2 = \frac{1}{4}(1+g)(1-h) \quad (9.2)$$

$$N_3 = \frac{1}{4}(1+g)(1+h) \quad (9.3)$$

$$N_4 = \frac{1}{4}(1-g)(1+h) \quad (9.4)$$

$$(9.5)$$

## 9.1.2 CPS6/CPE6

6-node quadratic triangular elements

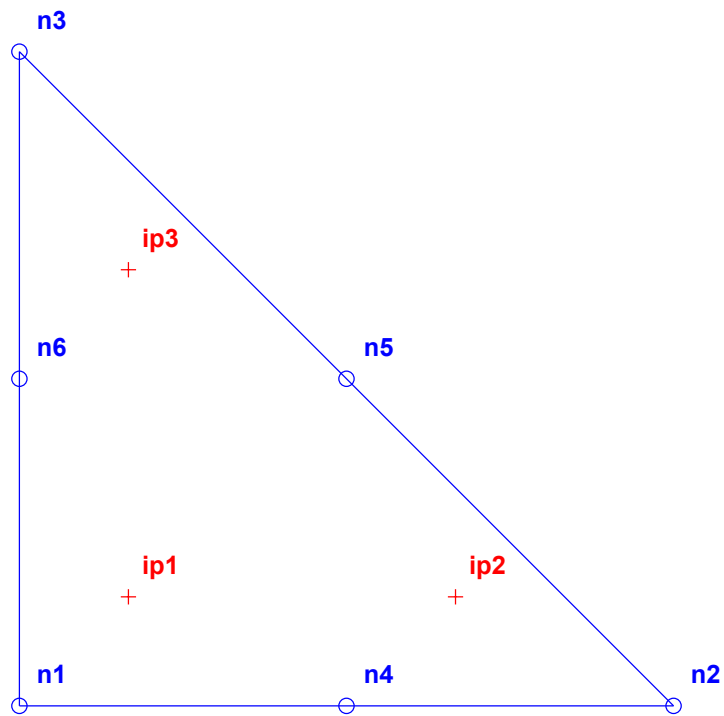


Figure 6: Node (n) and integration point (ip) numbering.

$$N_1 = 2\left(\frac{1}{2} - g - h\right)(1 - g - h) \quad (9.6)$$

$$N_2 = 2g\left(g - \frac{1}{2}\right) \quad (9.7)$$

$$N_3 = 2h\left(h - \frac{1}{2}\right) \quad (9.8)$$

$$N_4 = 4g(1 - g - h) \quad (9.9)$$

$$N_5 = 4gh \quad (9.10)$$

$$N_6 = 4h(1 - g - h) \quad (9.11)$$

### 9.1.3 CPS8/CPE8

8-noded quadrilateral elements

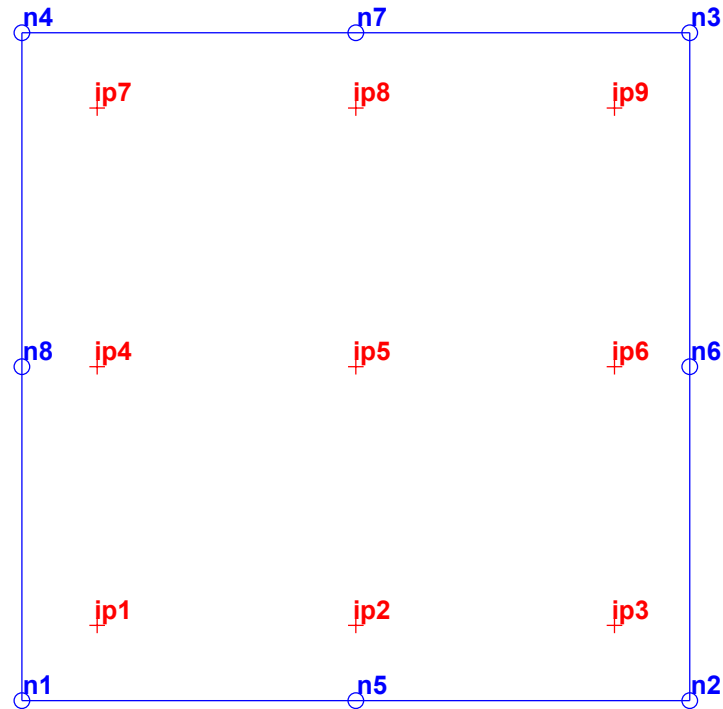


Figure 7: Node (n) and integration point (ip) numbering.

$$N_1 = -\frac{1}{4}(1-g)(1-h)(1+g+h) \quad (9.12)$$

$$N_2 = -\frac{1}{4}(1+g)(1-h)(1-g+h) \quad (9.13)$$

$$N_3 = -\frac{1}{4}(1+g)(1+h)(1-g-h) \quad (9.14)$$

$$N_4 = -\frac{1}{4}(1-g)(1+h)(1+g-h) \quad (9.15)$$

$$N_5 = \frac{1}{2}(1-g)(1+g)(1-h) \quad (9.16)$$

$$N_6 = \frac{1}{2}(1-h)(1+h)(1+g) \quad (9.17)$$

$$N_7 = \frac{1}{2}(1-g)(1+g)(1+h) \quad (9.18)$$

$$N_8 = \frac{1}{2}(1-h)(1+h)(1-g) \quad (9.19)$$

$$(9.20)$$

### 9.1.4 CPS8R/CPE8R = CPS4/CPE4

8-node quadrilateral with reduced integration

For this element type, the same node numbering and interpolation functions are used as for CPS4/CPE4 type elements.



## 9.2 3D elements

### 9.2.1 C3D8

8-node linear solid brick elements

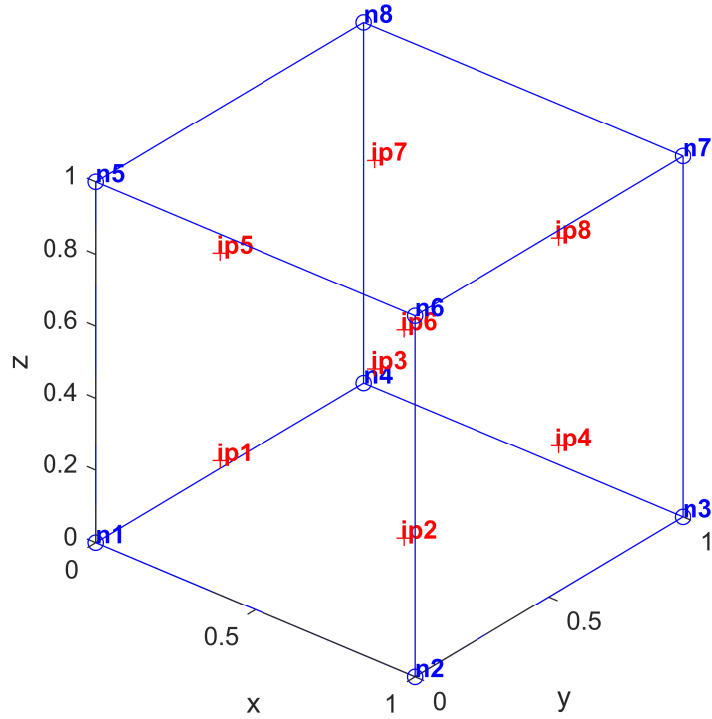


Figure 8: Node (n) and integration point (ip) numbering.

$$N_1 = \frac{1}{8}(1-g)(1-h)(1-r) \quad (9.21)$$

$$N_2 = \frac{1}{8}(1+g)(1-h)(1-r) \quad (9.22)$$

$$N_3 = \frac{1}{8}(1+g)(1+h)(1-r) \quad (9.23)$$

$$N_4 = \frac{1}{8}(1-g)(1+h)(1-r) \quad (9.24)$$

$$N_5 = \frac{1}{8}(1-g)(1-h)(1+r) \quad (9.25)$$

$$N_6 = \frac{1}{8}(1+g)(1-h)(1+r) \quad (9.26)$$

$$N_7 = \frac{1}{8}(1+g)(1+h)(1+r) \quad (9.27)$$

$$N_8 = \frac{1}{8}(1-g)(1+h)(1+r) \quad (9.28)$$

$$(9.29)$$

## 9.2.2 C3D10

10-node quadratic tetrahedron elements

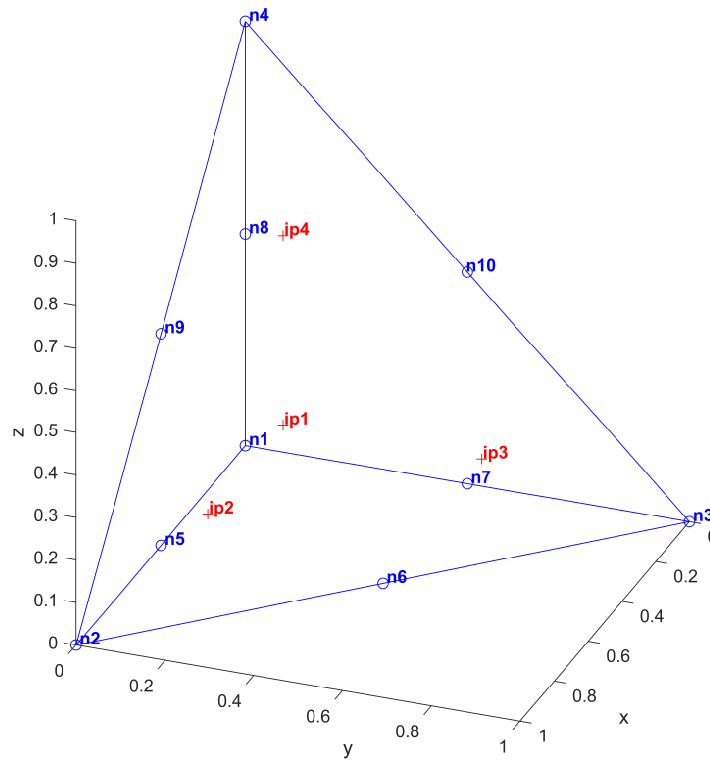


Figure 9: Node (n) and integration point (ip) numbering.

$$N_1 = (2(1-g-h-r)-1)(1-g-h-r) \quad (9.30)$$

$$N_2 = (2g-1)g \quad (9.31)$$

$$N_3 = (2h-1)h \quad (9.32)$$

$$N_4 = (2r-1)r \quad (9.33)$$

$$N_5 = 4(1-g-h-r)g \quad (9.34)$$

$$N_6 = 4gh \quad (9.35)$$

$$N_7 = 4(1-g-h-r)h \quad (9.36)$$

$$N_8 = 4(1-g-h-r)r \quad (9.37)$$

$$N_9 = 4gr \quad (9.38)$$

$$N_{10} = 4hr \quad (9.39)$$

$$(9.40)$$

### 9.2.3 C3D15

15-node quadratic wedge elements

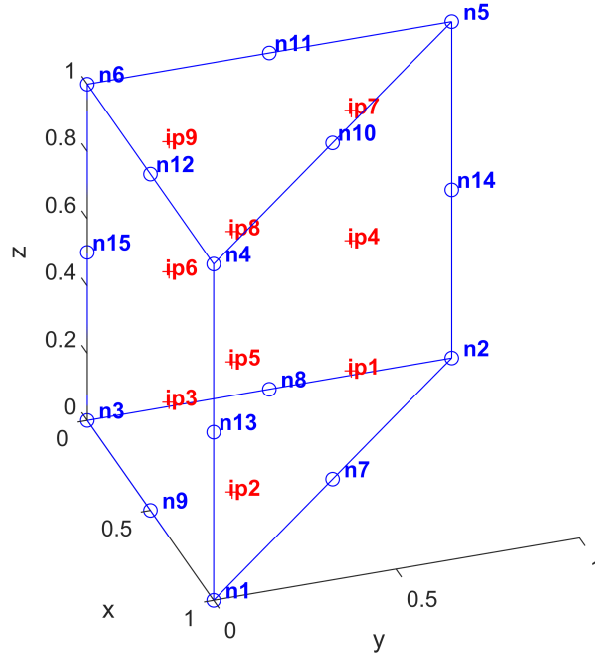


Figure 10: Node (n) and integration point (ip) numbering.

$$N_1 = \frac{1}{2} [(1-g-h)(2(1-g-h)-1)(1-r) - (1-g-h)(1-r^2)] \quad (9.41)$$

$$N_2 = \frac{1}{2} [g(2g-1)(1-r) - g(1-r^2)] \quad (9.42)$$

$$N_3 = \frac{1}{2} [h(2h-1)(1-r) - h(1-r^2)] \quad (9.43)$$

$$N_4 = \frac{1}{2} [(1-g-h)(2(1-g-h)-1)(1+r) - (1-g-h)(1-r^2)] \quad (9.44)$$

$$N_5 = \frac{1}{2} [g(2g-1)(1+r) - g(1-r^2)] \quad (9.45)$$

$$N_6 = \frac{1}{2} [h(2h-1)(1+r) - h(1-r^2)] \quad (9.46)$$

$$N_7 = 2(1-g-h)g(1-r) \quad (9.47)$$

$$N_8 = 2gh(1-r) \quad (9.48)$$

$$N_9 = 2h(1-g-h)(1-r) \quad (9.49)$$

$$N_{10} = 2(1-g-h)g(1+r) \quad (9.50)$$

$$N_{11} = 2gh(1+r) \quad (9.51)$$

$$N_{12} = 2h(1-g-h)(1+r) \quad (9.52)$$

$$N_{13} = (1-g-h)(1-r^2) \quad (9.53)$$

$$N_{14} = g(1-r^2) \quad (9.54)$$

$$N_{15} = h(1-r^2) \quad (9.55)$$

$$(9.56)$$

## 9.2.4 C3D20

20-node quadratic solid brick elements

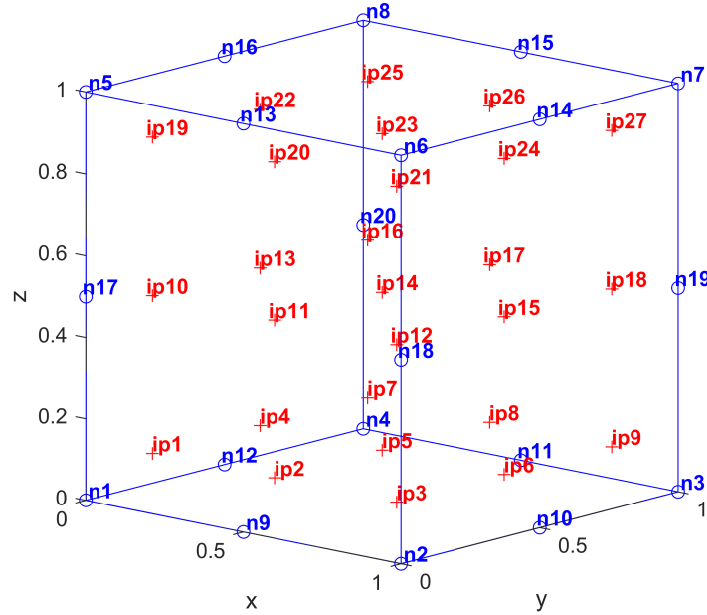


Figure 11: Node (n) and integration point (ip) numbering.

$$N_1 = (g/8 - 1/8)(h - 1)(r - 1)(g + h + r + 2) \quad (9.57)$$

$$N_2 = -(g/8 + 1/8)(h - 1)(r - 1)(h - g + r + 2) \quad (9.58)$$

$$N_3 = -(g/8 + 1/8)(h + 1)(r - 1)(g + h - r - 2) \quad (9.59)$$

$$N_4 = -(g/8 - 1/8)(h + 1)(r - 1)(g - h + r + 2) \quad (9.60)$$

$$N_5 = -(g/8 - 1/8)(h - 1)(r + 1)(g + h - r + 2) \quad (9.61)$$

$$N_6 = -(g/8 + 1/8)(h - 1)(r + 1)(g - h + r - 2) \quad (9.62)$$

$$N_7 = (g/8 + 1/8)(h + 1)(r + 1)(g + h + r - 2) \quad (9.63)$$

$$N_8 = (g/8 - 1/8)(h + 1)(r + 1)(g - h - r + 2) \quad (9.64)$$

$$N_9 = -(g/4 - 1/4)(g + 1)(h - 1)(r - 1) \quad (9.65)$$

$$N_{10} = (h/4 - 1/4)(g + 1)(h + 1)(r - 1) \quad (9.66)$$

$$N_{11} = (g/4 - 1/4)(g + 1)(h + 1)(r - 1) \quad (9.67)$$

$$N_{12} = -(h/4 - 1/4)(g - 1)(h + 1)(r - 1) \quad (9.68)$$

$$N_{13} = (g/4 - 1/4)(g + 1)(h - 1)(r + 1) \quad (9.69)$$

$$N_{14} = -(h/4 - 1/4)(g + 1)(h + 1)(r + 1) \quad (9.70)$$

$$N_{15} = -(g/4 - 1/4)(g + 1)(h + 1)(r + 1) \quad (9.71)$$

$$N_{16} = (h/4 - 1/4)(g - 1)(h + 1)(r + 1) \quad (9.72)$$

$$N_{17} = -(r/4 - 1/4)(g - 1)(h - 1)(r + 1) \quad (9.73)$$

$$N_{18} = (r/4 - 1/4)(g + 1)(h - 1)(r + 1) \quad (9.74)$$

$$N_{19} = -(r/4 - 1/4)(g + 1)(h + 1)(r + 1) \quad (9.75)$$

$$N_{20} = (r/4 - 1/4)(g - 1)(h + 1)(r + 1) \quad (9.76)$$

$$(9.77)$$

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