




# 1 IonDiff: command-line tool to identify ionic diffusion 2 events and hopping correlations in molecular dynamics 3 simulations

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## 9 Summary

10 Molecular dynamics (MD) simulations of fast-ion conductors render the trajectories of the  
11 atoms conforming them. However, extracting meaningful insights from this data is often a  
12 challenge since most common analysis rely on active supervision of the simulations and definition  
13 of arbitrary material-dependent parameters, thus frustrating high throughput screenings. In  
14 particular, to the best of our knowledge, determining exact ionic migrating paths and the level  
15 of coordination between mobile particles in diffusive events have not been previously addressed  
16 in a systematic and quantitative manner, despite its central role in the understanding and design  
17 of high performance solid-state electrolytes. Here, we introduce a completely unsupervised  
18 approach for analysing ion-hopping events in MD simulations. Based on k-means clustering,  
19 our algorithm identifies with precision which and when particles diffuse during a simulation,  
20 thus identifying their exact migrating paths. This analysis allows also for the quantification  
21 of correlations between many diffusing ions as well as of key atomistic descriptors like the  
22 duration/length of diffusion events and residence times, to cite some examples. Moreover,  
23 the present implementation introduces an optimized code for computing the full ion diffusion  
24 coefficient, that is, entirely considering ionic correlations, thus going beyond the dilute limit  
25 approximation.

## 26 Statement of need

27 Fast-ion conductors (FIC) are materials in which some of their constituent atoms diffuse with  
28 large drift velocities comparable to those found in liquids ([Hull, 2004](#); [Sagotra & Cazorla, 2017](#)).  
29 FIC are the pillars of many energy conversion and storage technologies like solid-  
30 state electrochemical batteries and fuel cells. Molecular dynamics (MD) simulations is a  
31 computational method that employs Newton's laws to evaluate the trajectory of ions in  
32 complex atomic and molecular systems. MD simulations of FIC are highly valuable since they  
33 can accurately describe the diffusion and vibration of the ions conforming them. Nevertheless,  
34 there is a painstaking lack of handy computational tools for analyzing the outputs of FIC  
35 MD simulations in an unsupervised and materials-independent manner, thus frustrating the  
36 fundamental understanding and possible rational design of FIC.

## 37 IonDiff

38 **IonDiff** efficiently addresses the challenge described above by implementing unsupervised  
39 machine learning approaches in a repository of Python scripts designed to extract the exact

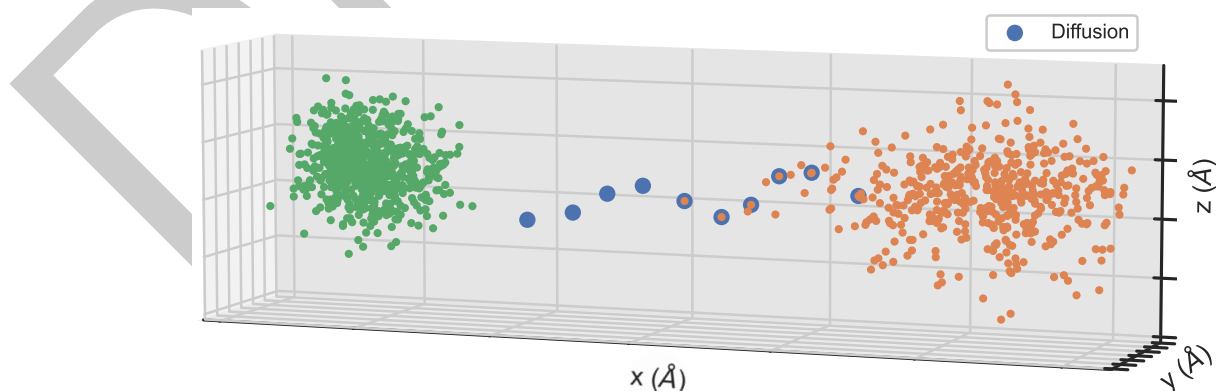
40 migrating paths of diffusive particles from MD simulations, along with other physically relevant  
 41 quantities like the degree of correlation between diffusive ions, ionic residence times in metastable  
 42 positions and the length and duration of ionic hops. Additionally, IonDiff efficiently and  
 43 seamlessly evaluates full ion diffusion coefficients, which in contrast to tracer ion diffusion  
 44 coefficients fully encompass ionic correlations. Periodic boundary conditions are fully accounted  
 45 for by IonDiff.

46 The repository is divided into three independent functionalities:

- 47 ▪ *identify\_diffusion*: extraction of the migrating paths from a given MD simulation. It  
 48 generates a **DIFFUSION** file in the folder containing the inputs and outputs of the MD  
 49 simulation. This file contains all the necessary atomistic information for the following  
 50 analysis of ionic diffusion events.
- 51 ▪ *analyze\_correlations*: analysis of the correlations between ionic diffusion events extracted  
 52 from a series of MD simulations (the **DIFFUSION** file for each of these simulations will  
 53 be generated if it does not exist yet). A more technically detailed description of this  
 54 functionality can be found in the Methods section and in (López et al., 2024b).
- 55 ▪ *analyze\_descriptors*: extraction and analysis of spatio-temporal descriptors involving  
 56 the ionic diffusion events identified in the MD simulations. In this library, an optimized  
 57 approach for computing the full ionic diffusion coefficient (i.e., including ionic cross  
 58 correlations, proven to be non-negligible in FIC (López et al., 2024b; Molinari et al.,  
 59 2021; Sasaki et al., 2023)) is implemented. A technically detailed description of this  
 60 functionality can be found in (López et al., 2024b).

61 The minimal input needed (besides the file containing the actual atomistic trajectories) consists  
 62 in an **INCAR** file with the **POTIM** and **NBLOCK** flags (indicating the simulation time step  
 63 and the frequency with which the configurations are written, respectively). After installation,  
 64 all routines are easily controlled from the command line. More detailed information can be  
 65 found in the documentation of the project (including specific **READMEs** within each folder).

66 The script allows graphing the identified diffusion paths for each simulated particle and provides  
 67 the confidence interval associated with the results retrieved by the algorithm. An example of the  
 68 analysis performed on an *ab initio* MD (AIMD) simulation based on density functional theory  
 69 (DFT) is shown in Figure 1. The AIMD configurations file employed in this example is available  
 70 online at (López et al., 2024a), along with many other AIMD simulations comprehensively  
 71 analyzed in two previous works (López et al., 2023, 2024b).



**Figure 1:** Example of the performance of our unsupervised algorithm at extracting the diffusive path for an arbitrary particle in an AIMD simulation of  $\text{SrCoO}_{3-x}$  at a temperature of 400K. Green and orange dots reproduce two different ionic vibrational centers while the blue dots represent the ion diffusion path between them.

72 Moreover, users may find information regarding their previous executions of the scripts in the

73 *logs* folder, which should be used to track possible errors on the data format and more. Finally,  
 74 a number of tests for checking out all **IonDiff** functions can be found in the *tests* folder.

75 Mainly, our code is based on the sklearn (Pedregosa et al., 2011) implementation of the k-means  
 76 clustering method. The default values of the sklearn hyperparameters are the ones used by  
 77 IonDiff, although these can be varied at wish by the user. Additionally, the python libraries  
 78 numpy (Harris et al., 2020) and matplotlib (Hunter, 2007) are used to perform numerical  
 79 analysis and plotting, respectively. The current IonDiff version reads information from VASP  
 80 (Kresse & Furthmüller, 1996) simulations; future releases, already under active development,  
 81 will extend its scope to simulation data obtained from other quantum and classical molecular  
 82 dynamics packages.

## 83 Methods

### 84 Ionic conductivity

85 The (full) ionic diffusion coefficient consists on two parts (Molinari et al., 2021; Sasaki et  
 86 al., 2023), one that involves the mean-square displacement of a particle with itself ( $MSD_{self}$ )  
 87 and another that represents the mean-squared displacement of a particle with all others  
 88 ( $MSD_{distinct}$ ).  $MSD_{distinct}$  accounts for the influence of many-atoms correlations in ionic  
 89 diffusive events. Typically, the distinct part of the MSD is neglected in order to accelerate the  
 90 estimation and convergence of diffusion coefficients. However, many-ions correlations have  
 91 been recently demonstrated to be essential in FIC (López et al., 2024b) hence should not  
 92 be disregarded in practice. IonDiff provides a novel implementation of the full ionic diffusion  
 93 coefficient, exploiting the matricial representation of this calculation.

94 The ionic conductivity ( $\sigma$ ) is computed like (Sasaki et al., 2023):

$$\sigma = \lim_{\Delta t \rightarrow \infty} \frac{e^2}{2n_d V k_B T} \left[ \sum_i z_i^2 \langle [\mathbf{r}_i(t_0 + \Delta t) - \mathbf{r}_i(t_0)]^2 \rangle_{t_0} + \right. \\ \left. + \sum_{i,j \neq i} z_i z_j \langle [\mathbf{r}_i(t_0 + \Delta t) - \mathbf{r}_i(t_0)] \cdot [\mathbf{r}_j(t_0 + \Delta t) - \mathbf{r}_j(t_0)] \rangle_{t_0} \right] \quad (1)$$

95 where  $e$ ,  $V$ ,  $k_B$ , and  $T$  are the elementary charge, system volume, Boltzmann constant, and  
 96 temperature of the MD simulation, respectively,  $z_i$  the ionic charge and  $\mathbf{r}_i = x_{1i}\hat{i} + x_{2i}\hat{j} + x_{3i}\hat{k}$   
 97 the Cartesian position of particle  $i$ ,  $n_d$  the number of spatial dimensions,  $\Delta t$  the time window,  
 98 and  $t_0$  the temporal offset of  $\Delta t$ . Thus, for those simulations in which only one atomic species  
 99 diffuses, the three-dimensional ionic diffusion coefficient reads:

$$D = \lim_{\Delta t \rightarrow \infty} \frac{1}{6\Delta t} \left[ \sum_i \langle [\mathbf{r}_i(t_0 + \Delta t) - \mathbf{r}_i(t_0)]^2 \rangle_{t_0} + \right. \\ \left. + \sum_{i,j \neq i} \langle [\mathbf{r}_i(t_0 + \Delta t) - \mathbf{r}_i(t_0)] \cdot [\mathbf{r}_j(t_0 + \Delta t) - \mathbf{r}_j(t_0)] \rangle_{t_0} \right] = \quad (2) \\ = \lim_{\Delta t \rightarrow \infty} \frac{1}{6\Delta t} [MSD_{self}(\Delta t) + MSD_{distinct}(\Delta t)]$$

100 All the ionic displacements appearing in Eq. (2) can be computed just once and stored in a  
 101 four-dimensional tensor thus allowing for simple vectorization and very much fast processing  
 102 with python libraries (e.g., numpy) as compared to traditional calculation loops. Then, for  
 103 a simulation with  $n_t$  time steps,  $n_{\Delta t}$  temporal windows, and  $n_p$  number of atoms for the  
 104 diffusive species, we only need to compute:

$$\Delta x(\Delta t, i, d, t_0) = x_{di}(t_0 + \Delta t) - x_{di}(t_0) \quad (3)$$

105 being  $\Delta x(\Delta t, i, d, t_0)$  a four rank tensor of dimension  $n_{\Delta t} \times n_t \times n_p \times n_d$  that stores all  
106 mean displacements of temporal length  $\Delta t$  for particle  $i$  in space dimension  $d$ . This leads to:

$$\begin{aligned} \text{MSD}_{self}(\Delta t) &= \frac{1}{n_p} \sum_{i=1}^{n_p} \langle \sum_d \Delta x(\Delta t, i, d, t_0) \cdot \Delta x(\Delta t, i, d, t_0) \rangle_{t_0} \\ \text{MSD}_{distinct}(\Delta t) &= \frac{2}{n_p(n_p - 1)} \sum_{i=1}^{n_p} \sum_{j=i+1}^{n_p} \langle \sum_d \Delta x(\Delta t, i, d, t_0) \cdot \Delta x(\Delta t, j, d, t_0) \rangle_{t_0} \end{aligned} \quad (4)$$

107 Note that we keep  $D_{self}$  and  $D_{distinct}$  separate since this allows for a straightforward evaluation  
108 of the  $D$  contributions resulting from the ionic correlations without increasing the code  
109 complexity.

110 In terms of memory resources, this implementation scales linearly with the length of the  
111 temporal window, the total duration of the simulation and the number of mobile ions.

## 112 Ionic hop identification

113 Our method for identifying vibrational centers from sequential ionic configurations relies on  
114 k-means clustering, an unsupervised machine learning algorithm. This method assumes isotropy  
115 in the fluctuations of non-diffusive particles. Importantly, our approach circumvents the need  
116 for defining arbitrary, materials-dependent threshold distances to analyze ionic hops.

117 K-means algorithm conforms spherical groups that, for every subgroup  $G = \{G_1, G_2, \dots, G_k\}$   
118 in a dataset, minimize the sum of squares:

$$\sum_{i=1}^N \min_{\mu_j \in G_j} (\|\mathbf{x}_i - \mu_j\|^2) \quad (5)$$

119 where  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N$  are the  $N$  data points and  $\mu_j$  the mean at  $G_j$ .

120 This approach is particularly well-suited for crystals, as atoms typically fluctuate isotropically  
121 around their equilibrium positions. For materials where atoms exhibit strong anisotropic  
122 vibrations, IonDiff also permits the selection of alternative clustering schemes, such as spectral  
123 clustering, which is effective for cases where group adjacency is significant. Nevertheless, in a  
124 previous work (López et al., 2024b), it was found that the performance of k-means clustering in  
125 identifying ionic hops in standard and technologically relevant fast-ion conductors was generally  
126 superior to that of other clustering approaches.

127 The number of clusters, or equivalently, ionic vibrational centers, determined by IonDiff for a  
128 molecular dynamics (MD) simulation is the one that maximizes the average silhouette ratio.  
129 This metric assesses the similarity of a point within its own cluster and its dissimilarity in  
130 comparison to other clusters. The average silhouette ratio is defined as:

$$S(k) = \frac{b(k) - a(k)}{\max(a(k), b(k))} \quad (6)$$

131 where:

$$\begin{aligned} a(k) &= \frac{1}{|G_I| - 1} \sum_{j=1}^k \|\mathbf{x}_k - \mathbf{x}_j\|^2 \\ b(k) &= \min_{J \neq I} \frac{1}{|G_J|} \sum_{j=1}^k \|\mathbf{x}_k - \mathbf{x}_j\|^2 \end{aligned} \quad (7)$$

132 Once the number of vibrational centers, along with their real-space location and temporal  
133 evolution, are determined, ionic diffusion paths are delineated as the segments connecting two  
134 distinct vibrational centers over time [Figure 1](#). In other words, the points located between  
135 different ionic vibrational centers, that is, different k-means clusters, are regarded as part  
136 of the ionic diffusion path connecting them. Due to the discrete nature of the generated  
137 trajectories and intricacies of the k-means clustering approach, establishing the precise start  
138 and end points of ionic diffusion paths is challenging. Consequently, we adopt an arbitrary yet  
139 physically plausible threshold distance of 0.5 Å from the midpoint of the vibrational centers to  
140 define the extremities of diffusive trajectories. Tests performed in ([López et al., 2024b](#)) have  
141 shown that reasonable variations of this parameter value have negligible effects on the analysis  
142 results obtained with IonDiff.

### 143 Correlations between mobile ions

144 To quantitatively evaluate the correlations and level of concertation between a variable number  
145 of mobile ions, we developed the following algorithm. Beginning with a given sequence of ionic  
146 configurations from a molecular dynamics simulation, we compute the correlation matrix for  
147 diffusive events. Initially, we assign a value of “1” to each diffusing particle and “0” to each  
148 vibrating particle at every time frame. This binary assignment is facilitated by the ionic hop  
149 identification algorithm introduced earlier.

150 Due to the discrete nature of the ionic trajectories and to enhance numerical convergence in  
151 subsequent correlation analysis, the multistep time functions are approximated using Gaussians  
152 with widths equal to their half-maxima (commonly known as the “full-width-at-half-maximum”  
153 or FWHM method used in signal processing). Subsequently, we compute the  $N \times N$  correlation  
154 matrix, where  $N$  represents the number of potentially mobile ions, using all gathered simulation  
155 data. However, this correlation matrix may be challenging to converge due to its statistical  
156 nature, especially in scenarios with limited mobile ions and time steps, typical of AIMD  
157 simulations.

158 Moreover, uncorrelated ion hops occurring simultaneously could be erroneously interpreted as  
159 correlated. To address these practical challenges, we compute a reference correlation matrix  
160 based on a randomly distributed sequence of ionic hops, with the Gaussian FWHM matching  
161 the mean diffusion time determined during the simulation. It is important to note that due to  
162 the finite width of the Gaussians, this reference matrix is not exactly the identity matrix.

163 Next, covariance coefficients in the original correlation matrix larger (smaller) than the  
164 corresponding random reference values were considered as true correlations (random noise)  
165 and rounded off to one (zero) for simplification. To ensure an accurate assessment of many-ion  
166 correlations, different hops of the same ion are treated as independent events. Ultimately, this  
167 process results in a correlation matrix comprising ones and zeros, facilitating the determination  
168 of the number of particles that remain concerted during diffusion.

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