

Crystal nonlinear optics:  
with SNLO examples

2nd edition

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Cover art is a  $|d_{\text{eff}}|$  surface with phase match loci for the crystal BIBO. It was generated using the Bmix function in the matlab version of SNLO.

## Preface to the Second Edition

Chapter 9 on optical parametric generation (OPG) is entirely new in this second edition. It is based on modeling with two SNLO functions PW-mix-BB for plane waves and OPG for diffractive waves. The plane wave modeling might be appropriate for OPG in a waveguide. The OPG function is included in the Matlab version of SNLO but not in the free version where it runs too slowly to be practical.

Other changes from the first edition are minor, consisting of several corrections of typographical errors and occasional attempts to improve the presentation clarity.



## Preface to the First Edition

My goal in writing this book is to provide an intuitive understanding of the principles that are important in designing crystal nonlinear optical devices. I explain how the complicating effects of dispersion, diffraction, birefringence, spectra, and three dimensional pulse envelopes, can all strongly influence the performance of real devices. Over one hundred examples, based on the SNLO modeling software, are presented to illustrate physical concepts. These examples provide guidance for numerical experiments to help develop intuition. They also serve as starting points for designing real devices and predicting their performance.

The book is also intended as a users guide to the crystal nonlinear optics software SNLO. It is essential to understand the theory underlying the numerical models, and this book is also general text on second-order crystal nonlinear optics. The theory should aid readers in developing their own models, as well as documenting the capabilities and limitations of the SNLO models. It is my intent that SNLO should contain the best information on crystal properties so this book contains few specific crystal properties.

I try to keep the mathematics at the undergraduate level to emphasize physical intuition, sometimes at the expense of mathematical elegance. This is not a broad brush treatment. Judging from the number of pages, I consider details to be important. SI units are used exclusively, and I have tried diligently to maintain a consistent and standard nomenclature throughout. Most of the important quantities are defined in the Introduction.

The interaction of three monochromatic plane-waves in a nonlinear crystal is treated in several text books on nonlinear optics. In particular, Sutherland's *Handbook of nonlinear optics* has an extensive collection of useful analytical solutions that apply to a variety of specific cases. I will describe only a few such analytic solutions, emphasizing qualitative understanding. Quantitative evaluations will be from numerically integrating the mixing equations. Not only is this approach often faster, it is far more general because there are few restrictions on parameters such as linear absorption, phase mismatch, etc.

This book goes far beyond the usual introductory discussion of plane wave, monochromatic, low conversion mixing to include extensive discussions of mixing with realistic beams and pulses, including mixing in optical cavities. I include only parametric processes, defined as frequency mixing processes that do not involve significant energy transfer to the crystal. Based on the many questions I receive about SNLO, one of the more confusing topics in crystal nonlinear optics is the connection between walk offs and acceptance bands. These are identical concepts described in the alternative languages of space or time on the one hand, or their Fourier transforms of  $k$ -space or frequency on the other. I will show how to relate the two, but will emphasize the more intuitive space/time picture. This contrasts with most introductions to nonlinear optics that emphasize the  $k/\omega$  description. I also point out the strong symmetry between spatial and temporal effects, and show how this equivalence can be used to translate understanding from spatial to temporal effects and *vice versa*.

Despite the occasional use of the word photon, I do not discuss quantum optics in any depth - it is outside my area of expertise, and it is a broad enough topic to require another book the size of this one. However, the ideas presented here are often essential in understanding the quantum optics of devices based on second order optical nonlinearity.

Because of the vast number of papers published over the last forty years on the topic of crystal nonlinear optics, comprehensive citations are impossible. I make no attempt to cite all the important original papers on a topic. I try to cite some of the classic papers, but mostly I cite recent papers that can serve as recent entry points to the literature.

I owe many thanks to Binh Do and Xuan Liu for reviewing the text of this book, to Jesse Smith for help with typesetting and figures, and to my Sandia colleagues Darrell Armstrong, W. J. (Joe) Alford, T.D. Raymond, Binh Do, Michael V. Pack, Russell Gehr, Mark C. Phillips, and Steven Wilkinson for numerous collaborations and discussions over the years. I also owe thanks to long time non-Sandia collaborators Mark Bowers and Gunnar Arisholm, and to my thesis advisor John F. Ward.

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# 1

## Introduction

In this introduction we list important definitions and relations that will be used throughout the book. We will use SI units exclusively. In a departure from custom, for degenerate mixing where the two red waves have identical frequencies, we keep the same three mixing equations as in nondegenerate mixing by splitting the power or energy of the degenerate waves into two equal parts. No degeneracy factor is needed.

Comments specific to SNLO and the exercises are contained in text boxes like this one. SNLO is freeware available from AS-Photonics. It is useful in selecting nonlinear crystals and modeling their performance. It contains a number of preloaded examples that will be used in the exercises listed at the end of each chapter.

### 1.1 Maxwell's equations in linear medium

For reference we present Maxwell's equations. Vector and tensor quantities will be in bold face throughout the book.

$$\nabla \cdot \mathbf{B} = 0, \quad (1.1)$$

$$\nabla \cdot \mathbf{D} = \rho, \quad (1.2)$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}, \quad (1.3)$$

$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t} + \mathbf{J}, \quad (1.4)$$

where

$$\mathbf{D} = \epsilon \cdot \mathbf{E} = \epsilon_0 (\mathbf{I} + \boldsymbol{\chi}) \cdot \mathbf{E} = \epsilon_0 \mathbf{E} + \mathbf{P}, \quad (1.5)$$

and  $\epsilon$ ,  $\mathbf{I}$ , and  $\boldsymbol{\chi}$  are  $(3 \times 3)$  matrices.  $\mathbf{I}$  is the unit matrix,  $\epsilon$  is the dielectric tensor, and  $\boldsymbol{\chi}$  is the linear susceptibility tensor.

$$\mathbf{B} = \mu_0 (\mathbf{H} + \mathbf{M}), \quad (1.6)$$

$$\mu_0 \epsilon_0 c^2 = 1. \quad (1.7)$$

In the case of an isotropic dielectric refractive index is given by

$$n = \sqrt{\epsilon/\epsilon_0}. \quad (1.8)$$

We consider crystals that are nonconductive ( $\mathbf{J} = 0$ ), uncharged ( $\rho = 0$ ), and nonmagnetic ( $\mathbf{M} = 0$ ), so Maxwell's equations reduce to

$$\nabla \cdot \mathbf{B} = 0, \quad (1.9)$$

$$\nabla \cdot \mathbf{D} = 0, \quad (1.10)$$

$$\nabla \times \mathbf{E} = -\mu_0 \frac{\partial \mathbf{H}}{\partial t}, \quad (1.11)$$

$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t}. \quad (1.12)$$

Combining the last two equations gives the wave equation

$$\nabla \times \nabla \times \mathbf{E} = -\mu_0 \frac{\partial^2}{\partial t^2} \mathbf{D}. \quad (1.13)$$

Using the identity

$$\nabla \times \nabla \times \mathbf{V} = -\nabla^2 \mathbf{V} + \nabla (\nabla \cdot \mathbf{V}), \quad (1.14)$$

the wave equation is often written as

$$\nabla^2 \mathbf{E} - \nabla (\nabla \cdot \mathbf{E}) = \mu_0 \frac{\partial^2}{\partial t^2} \mathbf{D}. \quad (1.15)$$

The Poynting vector which describes energy flow is defined by

$$\mathbf{S} = \mathbf{E} \times \mathbf{H}. \quad (1.16)$$

Throughout the book we use  $S$  as the symbol for irradiance.

## 1.2 Variable names and units

<b>B</b>	magnetic induction	Wb/m <sup>2</sup>
<b>D</b>	optical displacement field	C/m <sup>2</sup>
<b>E</b>	optical electric field	V/m
<b>H</b>	magnetic field	A-m
<b>P</b>	optical polarization	C/m <sup>2</sup>
<b>S</b>	Poynting vector	W/m <sup>2</sup>
<b>k</b>	wave vector	m <sup>-1</sup>
$\Delta k$	phase mismatch	m <sup>-1</sup>
$\mathcal{F}$	pulse fluence	J/m <sup>2</sup>
$\mathcal{P}$	power	W
$\mathcal{U}$	pulse energy	J
$\epsilon_0$	$8.85 \times 10^{-12}$	F/m
$\mu_0$	$1.26 \times 10^{-6}$	H/m
c	$3.00 \times 10^8$	m/s
$\epsilon_{ij}$	dielectric tensor element	F/m
$\chi_{ij}^{(1)}$	linear susceptibility element	dimensionless
$\chi_{ijk}^{(2)}$	second order susceptibility element	m/V
$\chi_{ijkl}^{(3)}$	third order susceptibility element	m <sup>2</sup> /V <sup>2</sup>
$d_{ij}$	$\chi_{ij}^{(2)}/2$	m/V
$d_{\text{eff}}$	effective nonlinearity	m/V
$S_0$	characteristic irradiance	W/m <sup>2</sup>
n	refractive index	dimensionless
$n_2^I$	nonlinear refractive index	m <sup>2</sup> /W
$n_g$	group velocity index	dimensionless
$v_g$	group velocity	m/s
D	group delay dispersion	s <sup>2</sup> /m
$\alpha$	linear power absorption coefficient	m <sup>-1</sup>
$\beta$	nonlinear power absorption coefficient	m/W
$\lambda$	wavelength in vacuum	m
$\nu$	frequency	Hz
$\rho$	birefringent walk off angle	rad
$\omega$	angular frequency	rad/s

## 1.3 Conversion factors & definitions

$$d(\text{SI}) = d(\text{cgs}) \times 4\pi/(3 \times 10^4) \quad (1.17)$$

$$d = \chi^{(2)}/2 \quad (1.18)$$

$$\chi^{(3)}(\text{SI}) = \chi^{(3)}(\text{cgs}) \times 4\pi/(3 \times 10^4) \quad (1.19)$$

$$n_g = c/v_g \quad (1.20)$$

$$D(\text{fs}^2/\text{mm}) = -GVD \times n_g^2/2\pi c^3 \quad (1.21)$$

$$\Delta k = k_3 - k_1 - k_2 \quad (1.22)$$

$$\Delta k_{\text{acceptance}} = 2\pi/L \quad (1.23)$$

$$L_{\text{coherence}} = \pi/\Delta k \quad (1.24)$$

## 1.4 Definition of mixing strength $S_\circ$

This book is mostly about second order nonlinear mixing of three waves. We label them waves one, two, and three, with the convention shown in Fig. 1.1. The frequencies satisfy ( $\omega_3 = \omega_1 + \omega_2$ ) so the bluest wave is always called wave three. We will see that the form of the mixing equations are symmetric in the fields of the two red waves with frequencies  $\omega_1$  and  $\omega_2$ , so we will not apply any general rule to distinguish between waves one and two. We will also try to avoid terms such as pump, signal, and idler because they are not uniquely defined in the literature. Similarly we will try to avoid the labels type I, type II, type III that are applied to mixing processes with certain polarization relationships. Instead we will simply state the polarization and wavelength for each wave.

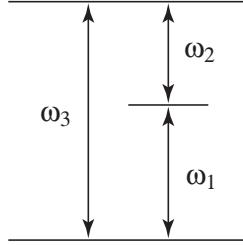


FIGURE 1.1. Naming convention for the three waves interacting in a second order nonlinear mixing process. The names of waves one and two are interchangeable.

In discussions of nonlinear mixing it is useful to use a characteristic irradiance  $S_\circ$ , defined for a particular crystal and set of wavelengths by

$$S_\circ = \frac{\epsilon_0 c^3 \tilde{n}_1 \tilde{n}_2 \tilde{n}_3}{2d_{\text{eff}}^2 \omega_1 \omega_2 L^2} = \frac{\epsilon_0 c \tilde{n}_1 \tilde{n}_2 \tilde{n}_3 \lambda_1 \lambda_2}{8\pi^2 d_{\text{eff}}^2 L^2}, \quad (1.25)$$

where  $d_{\text{eff}}$  is the effective nonlinear coefficient,  $L$  is the crystal length, and  $\omega_1$  and  $\omega_2$  are the angular frequencies of the two redder waves. The  $\tilde{n}$ 's are the refractive indices of the three waves in the crystal, corrected for beam tilts, as we will discuss in Chapter 3. If at least one of the input waves has

an irradiance comparable to  $S_o$ , efficient energy transfer among the three waves is possible.

## 1.5 Gaussian profiles

### 1.5.1 $S_M/e^2$ definitions

It is customary to describe Gaussian pulse and beam profiles in terms of the  $(S_M/e^2)$  points, where  $S_M$  is the peak irradiance. For example, the irradiance of a pulse with Gaussian time and space profiles is

$$S(x, y, t) = S_M e^{-2t^2/\tau^2} e^{-2x^2/w_x^2} e^{-2y^2/w_y^2}. \quad (1.26)$$

Integrating over any of the three Gaussian dimensions replaces the exponential with  $(\sqrt{\pi/2} d_i)$ , where  $d_i$  is the width in the dimension being integrated. For instance, integrating over time gives the fluence

$$\mathcal{F}(x, y) = \int_{-\infty}^{\infty} S_M e^{-2t^2/\tau^2} e^{-2x^2/w_x^2} e^{-2y^2/w_y^2} dt \quad (1.27)$$

$$= \left[ \sqrt{\frac{\pi}{2}} \tau \right] S_M e^{-2x^2/w_x^2} e^{-2y^2/w_y^2}. \quad (1.28)$$

Integrating over  $x$  and over  $y$  gives the power

$$\mathcal{P}(t) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} S_M e^{-2t^2/\tau^2} e^{-2x^2/w_x^2} e^{-2y^2/w_y^2} dx dy \quad (1.29)$$

$$= \left[ \frac{\pi}{2} w_x w_y \right] S_M e^{-2t^2/\tau^2}. \quad (1.30)$$

Integrating over  $x$ ,  $y$ , and  $t$  gives the pulse energy

$$\mathcal{U} = \left[ \left( \frac{\pi}{2} \right)^{3/2} \tau w_x w_y \right] S_M. \quad (1.31)$$

The energy and the on-axis fluence are related by

$$\mathcal{U} = \mathcal{F}_M \frac{\pi w_x w_y}{2}, \quad (1.32)$$

where  $\mathcal{F}_M$  is the peak fluence. For flat phase profiles, the Fourier transform widths measured at  $(S_M/e^2)$  satisfy

$$\tau \Delta \omega = w_x \Delta k_x = w_y \Delta k_y = 2. \quad (1.33)$$

We can define an effective area for a beam as

$$A_{\text{eff}} = \frac{\left(\int |E(x, y)|^2 dx dy\right)^2}{\int |E(x, y)|^4 dx dy}. \quad (1.34)$$

For a Gaussian beam the effective area is

$$A_{\text{eff}} = \pi w_x w_y. \quad (1.35)$$

### 1.5.2 FWHM definitions

Alternatively we can define the same Gaussian profiles in terms of full widths at the half maximum irradiance points. We call the FWHM values  $T$ ,  $X$ , and  $Y$ . They are related to  $\tau$ ,  $w_{ox}$ , and  $w_{oy}$  by

$$T = \tau \sqrt{2 \ln(2)} = 1.177 \tau, \quad (1.36)$$

$$X = w_x \sqrt{2 \ln(2)}, \quad (1.37)$$

and

$$Y = w_y \sqrt{2 \ln(2)}. \quad (1.38)$$

The lowest order Gaussian spatial and temporal irradiance profile can be expressed

$$S(x, y, t) = S_M e^{-4 \ln(2)x^2/X^2} e^{-4 \ln(2)y^2/Y^2} e^{-4 \ln(2)t^2/T^2}. \quad (1.39)$$

Integrating over any of the three Gaussian dimensions replaces the exponential with  $(\sqrt{\pi/[4 \ln 2]} d_i)$ , where  $d_i$  is the width in the dimension being integrated. For instance, integrating over time gives the fluence

$$\mathcal{F}(x, y) = \left[ \sqrt{\frac{\pi}{4 \ln 2}} T \right] S_M e^{-4 \ln(2)x^2/X^2} e^{-4 \ln(2)y^2/Y^2}. \quad (1.40)$$

Integrating over  $x$  and over  $y$  gives the power

$$\mathcal{P}(t) = \left[ \frac{\pi}{4 \ln 2} XY \right] S_M e^{-4 \ln(2)t^2/T^2}. \quad (1.41)$$

Integrating over  $x$ ,  $y$ , and  $t$  gives the pulse energy

$$\mathcal{U} = \left[ \left( \frac{\pi}{4 \ln 2} \right)^{3/2} TXY \right] S_M. \quad (1.42)$$

The energy and the on-axis fluence are related by

$$\mathcal{U} = \mathcal{F}_M \frac{\pi XY}{4 \ln 2}. \quad (1.43)$$

The numerical value of  $(\sqrt{\pi/[4 \ln 2]})$  is 1.0645. For flat phase fronts, the Fourier transform full widths at  $(S_M/2)$  obey

$$T \Delta \omega = X \Delta k_x = Y \Delta k_y = 4 \ln 2 = 2.77. \quad (1.44)$$

### 1.5.3 Supergaussians

Often a profile that is more flat-topped than a lowest order Gaussian is needed. We define a super Gaussian profile of order  $m$  by

$$S(x, y) = S_M \exp \left\{ -\ln(2) \left[ \left( \frac{2x}{X} \right)^2 + \left( \frac{2y}{Y} \right)^2 \right]^m \right\}, \quad (1.45)$$

where  $m$  is an integer. The full widths at half maximum irradiance are  $X$  and  $Y$ . The lowest order Gaussian corresponds to ( $m = 1$ ). Larger values of  $m$  make the profile progressively more like a top-hat.

### 1.5.4 Sech squared pulses

The time profile of ultra short pulses is sometimes best fit by a hyperbolic secant profile of width (FWHM)  $T$ ,

$$\mathcal{P}(t) = \mathcal{P}_M \operatorname{sech}^2 \left( \alpha \frac{t}{T} \right), \quad (1.46)$$

where

$$\alpha = 2 \ln(\sqrt{2} + 1) = 1.76275, \quad (1.47)$$

and

$$\mathcal{U} = \int_{-\infty}^{\infty} \mathcal{P}(t) dt = \frac{2\mathcal{P}_M T}{\alpha}. \quad (1.48)$$

### 1.5.5 Rayleigh and dispersion lengths

For a lowest order Gaussian spatial profile with a round waist of size  $w_0$  (radius at  $S_M/e^2$ ) the Rayleigh range is defined as

$$z_R = \frac{kw_0^2}{2} = \frac{A_{\text{eff},0}}{\lambda}, \quad (1.49)$$

where  $A_{\text{eff},0}$  is the effective area at the beam waist and  $\lambda$  is the wavelength in the medium. At distance  $z$  from the waist the beam size is

$$w(z) = w_0 \sqrt{1 + \frac{z^2}{z_R^2}}, \quad (1.50)$$

and the phase front radius of curvature is

$$R(z) = z \left[ 1 + \frac{z_R^2}{z^2} \right]. \quad (1.51)$$

Similarly, for a lowest order Gaussian temporal profile with shortest duration  $\tau_o$  the dispersion length is

$$z_D = \frac{\tau_o^2}{2D}, \quad (1.52)$$

where  $D$  is the group delay dispersion, given by

$$D = \frac{\partial^2 k}{\partial \omega^2}. \quad (1.53)$$

At a distance  $z$  from the point of minimum duration the duration is

$$\tau = \tau_o \sqrt{1 + \frac{z^2}{z_D^2}}, \quad (1.54)$$

and the frequency chirp is

$$\dot{\omega} = \frac{2}{\tau_o^2} \frac{z/z_D}{1 + z^2/z_D^2}. \quad (1.55)$$

## 1.6 Field expansions

As a mathematical convenience it is customary in optics to express an optical field or polarization in terms of a carrier wave and a complex envelope function. For example, an optical field  $\mathbf{E}(x, y, z, t)$ , which is always a real quantity, can be written

$$\mathbf{E}(x, y, z, t) = \frac{1}{2} \left[ \mathbf{E}(x, y, z, t) e^{-i\omega_c t + i\mathbf{k}_c \cdot \mathbf{r}} + \mathbf{E}^*(x, y, z, t) e^{i\omega_c t - i\mathbf{k}_c \cdot \mathbf{r}} \right], \quad (1.56)$$

where the field is factored into a carrier wave of frequency  $\omega_c$  and wave vector  $\mathbf{k}_c$ , and a complex envelope function  $\mathbf{E}(x, y, z, t)$  that defines the modulation of the carrier wave in time and space. The carrier wave is an arbitrarily chosen monochromatic plane wave that lies near the central temporal and spatial frequencies of the real field.

Throughout this book real vector quantities such as an electric field or a polarization are represented by bold upright letters, while the complex field components are represented by the bold italic letters.

### 1.6.1 Fourier transform definitions

The envelope functions may be Fourier transformed between  $t$  and  $\omega$  using

$$\mathbf{E}(x, y, z, \omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \mathbf{E}(x, y, z, t) e^{i\omega t} dt, \quad (1.57)$$

$$\mathbf{E}(x, y, z, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \mathbf{E}(x, y, z, \omega) e^{-i\omega t} d\omega, \quad (1.58)$$

where  $\omega$  represents detuning from the carrier frequency. Similarly, the envelope functions can be transformed from  $x$  to  $k_x$  or from  $y$  to  $k_y$  using

$$\mathbf{E}(k_x, y, z, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \mathbf{E}(x, y, z, t) e^{-ik_x x} dx, \quad (1.59)$$

$$\mathbf{E}(x, k_y, z, t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \mathbf{E}(x, y, z, t) e^{-ik_y y} dy, \quad (1.60)$$

where  $k_x$  and  $k_y$  represent tilts relative to the carrier  $k$ -vector which is usually assumed to point in the  $z$  direction.

We use  $\Leftrightarrow$  to indicate Fourier transform pairs, for example

$$\mathbf{E}(x, y, z, t) \Leftrightarrow \mathbf{E}(x, y, z, \omega). \quad (1.61)$$

### 1.6.2 Fourier transform properties

Here we list some convenient relations for transforms. The relation

$$\frac{1}{\sqrt{2\pi}} \int E_1(\omega) E_2(\omega_0 - \omega) d\omega \Leftrightarrow E_1(t) E_2(t) \quad (1.62)$$

indicates that the transform of the product of two fields is a convolution of the individual transforms of the two fields.

The transform pair

$$E_0 e^{-(x/x_0)^2} \Leftrightarrow \frac{1}{\sqrt{2}} E_0 x_0 e^{-(k_x x_0/2)^2} \quad (1.63)$$

indicates that a Gaussian in  $x$  space with a constant phase is the transform of a Gaussian in  $k_x$  space with a constant phase. Note that the two widths,  $x_0$  and  $2/x_0$ , satisfy Eq. (1.33).

The relation

$$\frac{dE(t)}{dt} \Leftrightarrow -i\omega E(\omega) \quad (1.64)$$

relates the transform of the time derivative of a field to the field's ( $t \rightarrow \omega$ ) transform.

Similarly the relation

$$\frac{dE(x)}{dx} \Leftrightarrow ik_x E(k_x) \quad (1.65)$$

relates the transform of the space derivative of a field to the field's ( $x \rightarrow k_x$ ) transform.

## 1.7 Abbreviations

BB	broad bandwidth
B&K	Boyd and Kleinman
BW	bandwidth
BWO	backward wave oscillator
CW	continuous wave
CPM	compensated phase match
CPOPA	chirped pulse optical parametric amplification
CRO	cross resonant oscillator
DFG	difference frequency generation
DRO	doubly resonant oscillator
FDTD	finite difference time domain
FFT	fast Fourier transform
FWHM	full width half maximum
GDD	group delay dispersion
GVD	group velocity dispersion
GVM	group velocity mismatch
LP	long pulse
NCPM	noncritical phase match
OPA	optical parametric amplifier
OPG	optical parametric generator
OPF	optical parametric fluorescence
OPO	optical parametric oscillator
ppX	periodically poled crystal X
PW	plane wave
QPM	quasi phase match
ROC	radius of curvature
SBS	stimulated Brillouin scattering
SFG	sum frequency generation
SHG	second harmonic generation
SP	short pulse or synchronously pumped
SPM	self phase modulation
SRO	singly resonant oscillator
SRS	stimulated Raman scattering
SVEA	slowly varying envelope approximation
THG	third harmonic generation
TPA	two photon absorption
TRO	triply resonant oscillator
WOC	walk off compensated

## 2

### Linear crystal optics for monochromatic plane waves

The physics of three-wave mixing in anisotropic crystals can be conveniently separated into three topics: how a light wave is changed when it enters or exits the crystal, how a light wave propagates in the crystal, and how three-wave mixing occurs in the crystal. Each of these topics can be considered at different levels of complexity, but each begins with a treatment of monochromatic plane waves. This chapter is devoted to the first two topics, linear propagation of monochromatic plane waves in a crystal, and their behavior on entering or exiting the crystal. Chapters 3 & 4 will explain nonlinear mixing of the monochromatic plane waves. Once the behavior of monochromatic plane waves is thoroughly explored, we will show in subsequent chapters how linear combinations of monochromatic plane waves are used to construct realistic beams and pulses, and how the equations that describe those realistic cases are derived and applied.

As a starting point we rewrite Maxwell's equations specifically for monochromatic plane waves. Following the customary procedure, we write the vectors for the polarization, the electric field, and the displacement in complex notation,

$$\mathbf{P} = \frac{1}{2} \left[ \mathbf{P} e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} + \mathbf{P}^* e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right], \quad (2.1)$$

$$\mathbf{E} = \frac{1}{2} \left[ \mathbf{E} e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} + \mathbf{E}^* e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right], \quad (2.2)$$

$$\mathbf{D} = \frac{1}{2} \left[ \mathbf{D} e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} + \mathbf{D}^* e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right]. \quad (2.3)$$

The actual fields are represented by the real quantities  $\mathbf{P}$ ,  $\mathbf{E}$ , and  $\mathbf{D}$ , but for many calculations it is more convenient to use the complex envelope functions  $\mathbf{D}$ ,  $\mathbf{E}$ , and  $\mathbf{P}$ . These complex vectors are in general functions of  $(x, y, z, t)$ . However, for monochromatic plane waves, they are independent of space and time so we omit these arguments in this chapter, and treat the envelope functions as simple complex vectors.

We substitute the expansions of Eqs. (2.1)-(2.3) in the wave equation, Eq. (1.13)

$$\nabla \times \nabla \times \mathbf{E} = -\mu_0 \frac{\partial^2}{\partial t^2} \mathbf{D} = -\mu_0 \frac{\partial^2}{\partial t^2} [\epsilon_0 \mathbf{E} + \mathbf{P}]. \quad (2.4)$$

The operator  $\nabla$  becomes  $(\pm i\mathbf{k})$  when it operates on the exponent  $(\pm i\mathbf{k} \cdot \mathbf{r})$ . Similarly the operator  $(\partial/\partial t)$  becomes  $(\pm i\omega)$  when it operates on the exponent  $(\pm i\omega t)$ . Making these substitutions in Eq. (2.4) and equating the positive (or negative) frequency components on each side of the equation yields

$$\mathbf{k} \times \mathbf{k} \times \mathbf{E} = -\mu_0 \omega^2 \mathbf{D}. \quad (2.5)$$

In deriving this equation we assumed that  $\mathbf{E}$  and  $\mathbf{D}$  do not change on propagation, so this is a wave equation for eigenpolarized light. This expression implies that  $\mathbf{D}$  must be normal to  $\mathbf{k}$ , but  $\mathbf{D}$  is not necessarily parallel to  $\mathbf{E}$ . However,  $\mathbf{k}$ ,  $\mathbf{D}$ , and  $\mathbf{E}$  must lie in a single plane.

We use a similar procedure to rewrite the Poynting vector equation to find the energy flow for monochromatic plane waves. We start with Eq. (1.16), the general Poynting vector equation,

$$\mathbf{S} = \frac{1}{\mu_0} \mathbf{E} \times \mathbf{B} = \mathbf{E} \times \mathbf{H}. \quad (2.6)$$

For monochromatic plane waves the third Maxwell equation, Eq. (1.3), relates  $\mathbf{H}$  to  $\mathbf{E}$  for eigenpolarized light in a nonmagnetic material by

$$\mathbf{H} = \frac{\mathbf{k} \times \mathbf{E}}{\mu_0 \omega}. \quad (2.7)$$

Substituting the expansions for  $\mathbf{E}$  and  $\mathbf{H}$  in Eq. (2.6), equating equal frequency components, and using Eq. (2.7) plus

$$\epsilon_0 \mu_0 c^2 = 1, \quad (2.8)$$

we arrive at

$$\langle \mathbf{S} \rangle = \frac{n \epsilon_0 c}{2} |\mathbf{E}|^2 \hat{\mathbf{e}} \times \hat{\mathbf{k}} \times \hat{\mathbf{e}}, \quad (2.9)$$

where the angle brackets around  $\mathbf{S}$  indicate a time average over the optical cycle. We only care about the time averaged values, so we will leave the brackets off in the remainder of the book, and  $\mathbf{S}$  will be understood to be the time averaged Poynting vector. The unit vector  $\hat{\mathbf{e}}$  is parallel to the electric field, and the unit vector  $\hat{\mathbf{k}}$  is parallel to the propagation vector (normal to the wave fronts). From Eq. (2.9) we see that the flow of optical energy, represented by  $\mathbf{S}$ , is perpendicular to  $\mathbf{E}$ , but not necessarily parallel to  $\mathbf{k}$ . However,  $\mathbf{S}$ ,  $\mathbf{E}$ , and  $\hat{\mathbf{k}}$  must lie in a single plane.

It is a good idea to memorize the two pairings of orthogonal vectors we have just derived,  $(\mathbf{E} \perp \mathbf{S})$  and  $(\mathbf{D} \perp \mathbf{k})$ .

The remainder of this chapter consists of finding solutions to Eq. (2.5) in anisotropic crystals. The solutions will allow us to explore linear propagation in biaxial crystals, and to show how uniaxial and isotropic crystals are special cases of biaxial crystals. I choose this approach because it is not difficult to understand propagation in biaxial crystals, and also because the popular nonlinear crystals of the KTP family (KTP, RTP, KTA, RTA, CTA), plus several borate crystals (LBO, CBO, BiBO, YCOB, GdCOB), and KNbO<sub>3</sub> are all biaxial.

Our discussion of light propagation in biaxial crystals will progress from a word-and-diagram geometrical sketch to a more mathematical description based on that geometrical picture, and finally to more abstract mathematical derivations based directly on Maxwell's equations and crystal dielectric tensors.

## 2.1 A geometrical description

The word picture presented in this section is adapted from Born and Wolf's classic text *Principles of Optics* [1]. We consider only crystals that are nonmagnetic ( $\mathbf{M}=0$ ) and nonconductive ( $\mathbf{J}=0$ ).

The solution to the wave equation for eigenpolarized light,

$$\mathbf{k} \times \mathbf{k} \times \mathbf{E} = -\mu_0 \omega^2 \mathbf{D}, \quad (2.10)$$

can be broken into two steps. The first step is to relate  $\mathbf{D}$  to  $\mathbf{E}$  in a general way. The second step is to find the specific paired values of  $\mathbf{D}$  and  $\mathbf{E}$  that solve the wave equation.

### 2.1.1 Relating $\mathbf{D}$ to $\mathbf{E}$

Any two vector quantities in a nonisotropic crystal are generally related by a  $(3 \times 3)$  tensor. In our case of  $\mathbf{D}$  and  $\mathbf{E}$ , the relation is

$$\mathbf{D} = \epsilon \cdot \mathbf{E}, \quad (2.11)$$

where  $\epsilon$  is the symmetric  $(3 \times 3)$  dielectric tensor. It will be more convenient to use this equation in the form

$$\mathbf{E} = \epsilon^{-1} \cdot \mathbf{D}. \quad (2.12)$$

As we discuss in Chapter 14, Eq. (2.12) can be associated with a three dimensional ellipsoid with principal axes  $n_x$ ,  $n_y$ , and  $n_z$  defined by

$$n_x = \sqrt{\epsilon_{xx}/\epsilon_0}, \quad (2.13)$$

$$n_y = \sqrt{\epsilon_{yy}/\epsilon_0}, \quad (2.14)$$

$$n_z = \sqrt{\epsilon_{zz}/\epsilon_0}. \quad (2.15)$$

This ellipsoid has various names in the literature. We will call it the  $D$ -ellipsoid because, as we will see, it relates a given  $\mathbf{D}$  to its paired  $\mathbf{E}$ . The  $D$ -ellipsoid is diagrammed in Fig. 2.1 where, for the purpose of illustration, the ellipticity is greatly exaggerated. In practice the lengths of the principal axes usually differ by 5% or less.

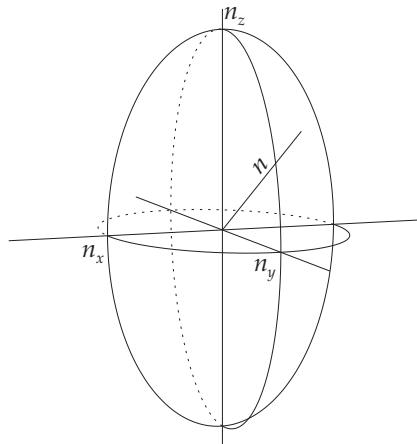


FIGURE 2.1.  $D$ -ellipsoid shown with the principal optical frame  $\{x, y, z\}$  which is aligned to the primary axes of the ellipsoid with the convention  $(n_x < n_y < n_z)$ .

For biaxial crystals the three principal axes have different lengths, and we adopt the standard labeling with  $(n_x < n_y < n_z)$ . For uniaxial crystals two of the axes have equal length, while for isotropic crystals all three axes have equal length. These are limiting cases of the biaxial crystal, so an understanding of biaxial crystals will be easy to apply to them. We will

relate the orientation of the  $D$ -ellipsoid to the underlying crystal structure in Chapter 16. For now it is sufficient to know the  $D$ -ellipsoid exists for any crystal.

The  $D$ -ellipsoid represents the dielectric response for all orientations of  $\mathbf{D}$ . If  $\mathbf{D}$ -extended is drawn as a vector from the origin through the ellipsoid surface, the electric field  $\mathbf{E}$  associated with  $\mathbf{D}$  is parallel to the surface normal of the ellipsoid at the point where  $\mathbf{D}$  intersects the surface. In general  $\mathbf{E}$  is not quite parallel to  $\mathbf{D}$ . The two are exactly parallel only when they are aligned with one of the principal axes of the  $D$ -ellipsoid.

### 2.1.2 Finding the eigenpolarizations

As we showed earlier, the relation  $(\mathbf{D} \perp \mathbf{k})$  must hold in order to satisfy the wave equation. If we consider a wave propagating along direction  $\hat{\mathbf{k}}$ , as shown in Fig. 2.2,  $\mathbf{D}$  must lie in the plane normal to  $\hat{\mathbf{k}}$ . This plane passes through the center of the  $D$ -ellipsoid and intersects the ellipsoid in an ellipse that we can call the  $n$ -ellipse. The  $\mathbf{E}$  field associated with  $\mathbf{D}$  must be aligned normal the  $D$ -ellipsoid and thus normal to the  $n$ -ellipse. However,  $\mathbf{E}$  does not generally lie in the plane of the  $n$ -ellipse.

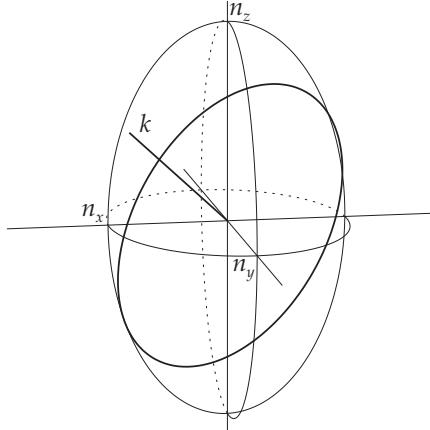


FIGURE 2.2.  $D$ -ellipsoid and propagation vector,  $\mathbf{k}$ . The plane normal to  $\mathbf{k}$  that passes through the origin intersects the  $D$ -ellipsoid in the  $n$ -ellipse indicated by the heavy line. The eigenpolarization planes coincide with the major and minor axes of this  $n$ -ellipse, and the associated refractive indices are equal to the semi major and semi minor axes of the ellipse.

In order to satisfy the wave equation,  $\mathbf{E}$  must lie in the same plane as  $\mathbf{k}$  and  $\mathbf{D}$ . Otherwise the vector quantities on the two sides of Eq. (2.10)

would not be parallel. This condition can be met only if  $\mathbf{D}$  lies along one of the principal axes of the  $n$ -ellipse. There are two possible solutions to the wave equation corresponding to  $\mathbf{D}$  lying along either of the principal axes of the  $n$ -ellipse. The  $\mathbf{D}$  vectors of the two solutions are thus orthogonal to one another, and in combination with  $\mathbf{k}$  define two eigenpolarization planes that contain  $\mathbf{k}$  and the paired solutions for  $\mathbf{D}$  and  $\mathbf{E}$ . Only waves with  $\mathbf{D}$  aligned along the major or minor axis of the  $n$ -ellipse propagate without changes to the direction of  $\mathbf{D}$  or  $\mathbf{E}$ , that is as eigenpolarizations. The corresponding two refractive index values correspond to the lengths of the two principal axes of the  $n$ -ellipse. We use  $hi$  or  $lo$  to label the eigenpolarization associated with the higher or lower refractive index.

To summarize, the problem of finding the eigenpolarizations and their refractive indices is reduced to the problem of constructing the  $D$ -ellipsoid based on the crystal's dielectric tensor, followed by defining a propagation vector  $\mathbf{k}$ . The  $n$ -ellipse is then defined in the plane normal to  $\mathbf{k}$ , and the two eigenpolarization planes are those defined by  $\mathbf{k}$  and the major and minor axes of the  $n$ -ellipse. The two corresponding refractive indices are given by the lengths of the semi major and semi minor axes of the  $n$ -ellipse.

### 2.1.3 Optical axes of biaxial crystals

Suppose we start with the propagation vector parallel to the  $z$  axis of the  $D$ -ellipsoid and continuously rotate it in the  $xz$  plane until it is parallel to  $x$ . Initially, when the propagation is along  $z$ , the  $n$ -ellipse lies in the  $xy$  plane, and  $n_{hi}$ , the higher index, must be equal to  $n_y$ , and  $n_{lo}$  must be equal to  $n_x$ . As the propagation direction rotates away from  $z$  toward the  $x$ , the  $n$ -ellipse pivots about the  $y$ -axis. Thus the  $y$  direction remains an eigenpolarization with the refractive index  $n_y$ , but the second refractive index increases as the angle increases, going from  $n_x$  for propagation along  $z$  to  $n_z$  for propagation along  $x$ . The second index starts out smaller than  $n_y$  and finishes larger than  $n_y$ , so at some intermediate angle it must be equal to  $n_y$ . At that angle the  $n$ -ellipse must be a circle with both refractive indices equal to  $n_y$ . This propagation direction is known as the optic axis, and its angle relative to  $z$  is traditionally labeled  $\Omega$ . By symmetry there must be a second equivalent optic axis on the opposite side of the  $z$ -axis at  $(-\Omega)$ . As the propagation angle sweeps through  $\Omega$  the major and minor axes of the  $n$ -ellipse swap places. For angles smaller than  $\Omega$  the major axis is parallel to  $y$ , while for angles larger than  $\Omega$  the minor axis is parallel to  $y$ .

### 2.1.4 Propagation outside the principal planes

When the  $k$ -vector lies outside the three principal planes,  $xy$ ,  $xz$ , or  $yz$ , the  $n$ -ellipse and its eigenpolarization directions are generally rotated so the eigenpolarizations do not align with the axes of the  $D$ -ellipsoid. Figure 2.3 illustrates the two eigenpolarization directions for various propagation direction lying in one octant for the biaxial crystal  $\text{KNbO}_3$ . The eigenpolarizations twist as the propagation direction changes, but they are always orthogonal to one another. The other octants are images of this one reflected in the three principal planes,  $xy$ ,  $yz$ , and  $xz$ . The angle of the optical axis,  $\Omega$ , uniquely determines the entire eigenpolarization map for a crystal. All crystals with identical optical axis directions share the same eigenpolarization map.

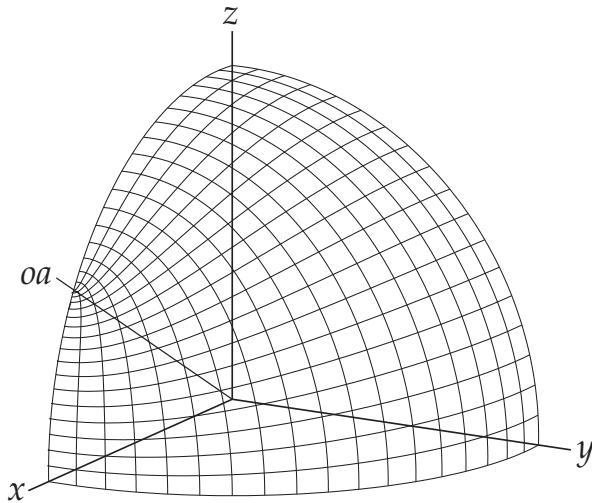


FIGURE 2.3. Eigenpolarization pairs plotted against the propagation direction in one octant of the biaxial crystal  $\text{KNbO}_3$ . The polarization directions for the *lo* refractive index follow lines originating on the  $yz$  arc, while the polarization directions for the *hi* refractive index follow lines originating on the  $xy$  arc. The optic axis is labeled *oa*. The other octants are reflections of this one in the three principal planes,  $xy$ ,  $yz$ , or  $xz$ .

### 2.1.5 Poynting vector walk off

As described earlier, the electric field  $\mathbf{E}$  paired with  $\mathbf{D}$  is normal to the surface of the  $D$ -ellipsoid at the point where  $\mathbf{D}$  intersects it, so  $\mathbf{E}$  is not in general parallel to  $\mathbf{D}$ . For an eigenpolarization the point of intersection coincides with either the major or the minor axis of the  $n$ -ellipse, so the tilt of  $\mathbf{E}$  must lie in the plane containing both  $\mathbf{D}$  and  $\mathbf{k}$ . The small angle between  $\mathbf{D}$  and  $\mathbf{E}$  is conventionally labeled  $\rho$ .  $\mathbf{E}$  is tilted relative to  $\mathbf{D}$  in the direction of diminishing refractive index.

Recall that  $\mathbf{E}$  and  $\mathbf{S}$  are orthogonal. This implies that the angle between  $\mathbf{k}$  and  $\mathbf{S}$  is also  $\rho$ . Each of the eigenpolarizations has an associated Poynting vector walk off angle that we label  $\rho_{hi}$  or  $\rho_{lo}$ . These tilts are illustrated in Fig. 2.4.

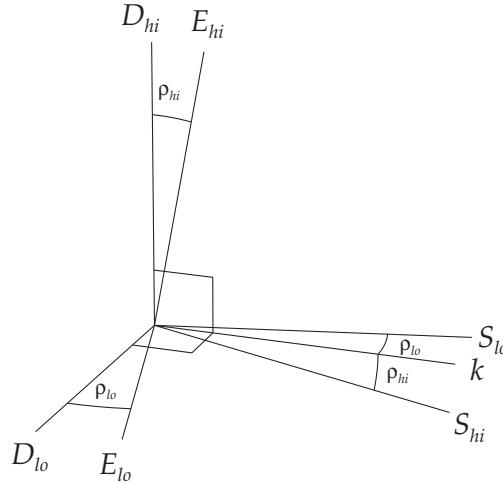


FIGURE 2.4. Vectors  $\mathbf{D}_{hi}$ ,  $\mathbf{E}_{hi}$ ,  $\mathbf{S}_{hi}$ , and  $\mathbf{k}$  lie in a single plane while vectors  $\mathbf{D}_{lo}$ ,  $\mathbf{E}_{lo}$ ,  $\mathbf{S}_{lo}$ , and  $\mathbf{k}$  lie in an orthogonal plane. Walk off angle  $\rho_{hi}$  is the angle between  $\mathbf{D}_{hi}$  and  $\mathbf{E}_{hi}$  and also the angle between  $\mathbf{k}$  and  $\mathbf{S}_{hi}$ . Walk off angle  $\rho_{lo}$  is the angle between  $\mathbf{D}_{lo}$  and  $\mathbf{E}_{lo}$  and also the angle between  $\mathbf{k}$  and  $\mathbf{S}_{lo}$ .

Because walk off occurs in the eigenpolarization planes, the lines in Fig. 2.3 that show the eigenpolarization directions also indicate the directions of the two Poynting vector walk off angles. For the *hi* eigenpolarization (curves originating on the  $xy$  arc), the energy flow is tilted along the *hi* eigenpolarization direction in the direction away from the  $xy$  plane, while for the *lo*-index polarization (curves originating on the  $yz$  plane) the

energy flow is tilted along the *lo* eigenpolarization direction toward the *yz* plane.

Walk off always tilts  $\mathbf{S}$  toward the direction of lower refractive index, so if  $\mathbf{k}$  is pivoted slightly in the plane of the *lo* eigenpolarization in the direction of walk off,  $n_{lo}$  decreases but  $n_{hi}$  is unaltered. This means that the lines in Fig. 2.3 that indicate the directions of the *lo* eigenpolarization (curves originating on the *yz* plane) are also curves of constant  $n_{hi}$ . Similarly, the *hi* eigenpolarization directions (curves originating on the *xy* plane) are curves of constant  $n_{lo}$ . For example, for propagation directions lying in the *yz* plane, the *hi* eigenpolarization lies in the *yz* plane and  $n_{hi}$  varies with angle, but the *lo* eigenpolarization is normal to the *yz* plane and  $n_{lo} = n_x$ , independent of angle. Similarly, along each of the lines originating on the *xy* arc,  $n_{lo}$  is constant, with a different value for each line, varying from  $n_x$  for the line lying in the *yz* plane to  $n_y$  for the line lying in the *xz* plane and terminating at the optic axis.

For propagation in a principal plane one of the walk off angles becomes zero. For example, propagation in the *yz* plane makes ( $\rho_{lo} = 0$ ), while propagation in the *xy* plane makes ( $\rho_{hi} = 0$ ). Propagation in the *xz* plane makes ( $\rho_{lo} = 0$ ) for directions between *oa* and *x*, and ( $\rho_{hi} = 0$ ) for directions between *oa* and *z*.

### 2.1.6 *Hi* and *lo* index surfaces

We have explained that there are two refractive indices associated with each propagation direction. They can be represented by double surfaces as shown in Fig. 2.5. The distance from the origin to the outer surface along any propagation direction is  $n_{hi}$ . The distance to the inner surface is  $n_{lo}$ . The two surfaces touch only at their point of intersection with the optic axes. The Poynting vector for a beam with *lo* polarization is normal to the inner surface, the Poynting vector for the beam with *hi* polarization is normal to the outer surface. The corresponding  $\mathbf{E}$  fields are tangent to the surfaces.

### 2.1.7 Uniaxial crystals

The symmetry of the crystal structure for certain classes of crystals requires that two of the principal refractive indices be exactly equal (see Chapter 16). In the transition from biaxial to uniaxial there are two possibilities: the intermediate index  $n_y$  can approach the high index ( $n_y \rightarrow n_z$ ) or the low index ( $n_y \rightarrow n_x$ ). If ( $n_y \rightarrow n_z$ ), the two optic axes tilt toward the *x* axis, while if ( $n_y \rightarrow n_x$ ), the optic axes tilt toward the *z* axis. The left diagram in Fig. 2.6 shows the eigenpolarization directions for the crystal DLAP which has principal indices at 1064 nm of ( $n_x = 1.496$ ), ( $n_y = 1.558$ ),

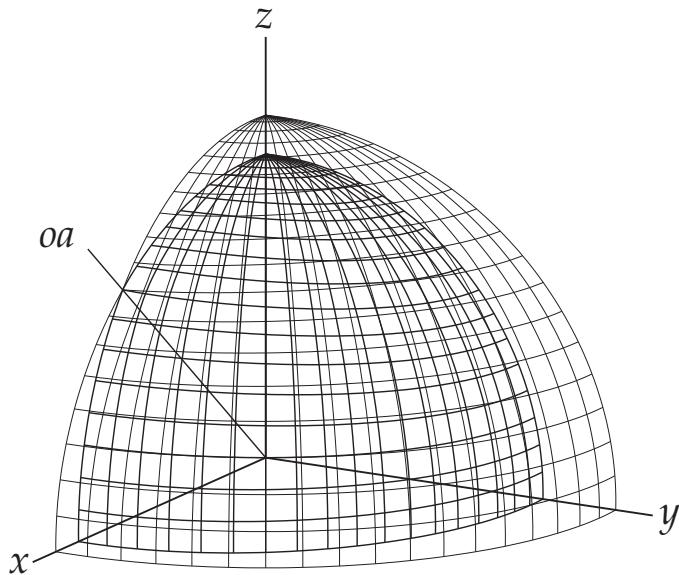


FIGURE 2.5. The two  $n$  surfaces. The distance from the origin to the outer surface at each value of  $(\theta, \phi)$  is  $n_{hi}(\theta, \phi)$ , while the distance to the inner surface is  $n_{lo}(\theta, \phi)$ . The  $\mathbf{E}$  field for an eigenpolarization is tangent to the corresponding surface, and its Poynting vector  $\mathbf{S}$  is normal to the corresponding surface. The optic axis  $oa$  is the only point where the two surfaces touch.

and ( $n_z = 1.565$ ). Its optic axis lies near the  $x$  axis. The right diagram in Fig. 2.6 shows the eigenpolarization directions for the crystal KTA which has principal indices at 1064 nm of ( $n_x = 1.782$ ), ( $n_y = 1.787$ ), and ( $n_z = 1.868$ ). Its optic axis lies near the  $z$  axis.

If  $n_y$  becomes exactly equal to  $n_x$  the two optic axes merge into a single optic axis oriented along  $z$ . The eigenpolarization directions then lie along the latitude and longitude lines as shown in Fig. 2.7. The *hi*-index wave, polarized along a line of constant longitude, is called the extraordinary, or *e*-wave, while the *lo*-index wave, polarized along a line of constant latitude, is called the ordinary, or *o*-wave. The  $n_o$  surface is spherical with radius  $n_x (= n_y)$ , while the  $n_e$  surface is an oblate ellipsoid of revolution with polar radius of  $n_x (= n_y)$  and equatorial radius  $n_z$ . The left hand diagram

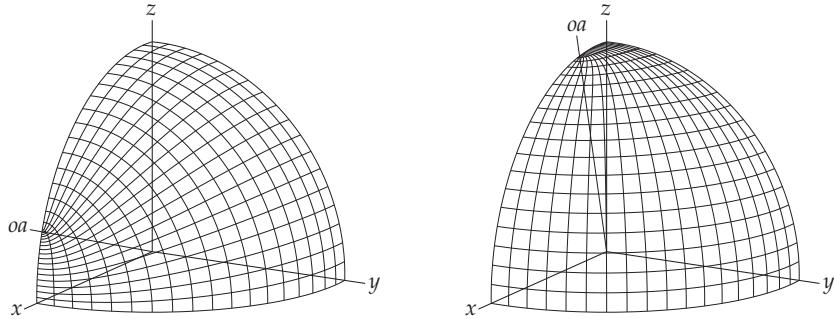


FIGURE 2.6. Eigenpolarization and walk off directions for DLAP (left) and KTA (right). The line labeled *oa* is the optic axis. The other octants are reflections of this one in the three principal planes.

of Fig. 2.8 shows a slice through the double  $n$ -surface for such a positive uniaxial crystal. The *o*-wave has no Poynting vector walk off, while the walk off of the *e*-wave is toward the  $z$  axis.

On the other hand, if  $n_y$  becomes exactly equal to  $n_z$ , the crystal is negative uniaxial and the two optic axes converge to a single axis lying along  $x$ . The eigenpolarization contours converge on the  $x$  axis rather than the  $z$  axis. However, for such crystals it is customary to abandon the convention ( $n_x < n_y < n_z$ ) and relabel the unique axis from  $x$  to  $z$ . The eigenpolarization directions then are identical to those shown in Fig. 2.7. The ordinary or  $n_o$  surface is again spherical while the extraordinary or  $n_e$  surface is a prolate ellipsoid of revolution. A cross section through the double index surfaces for a negative uniaxial crystal shown on the right in Fig. 2.8. Poynting vector walk off of the *e*-wave is away from the  $z$  axis for a negative uniaxial crystal.

### 2.1.8 Isotropic crystals

If ( $n_x = n_y = n_z$ ), all three refractive indices are equal so the  $D$ -ellipsoid is a sphere. The crystal is isotropic, meaning all propagation directions and polarizations are equivalent. There are no unique eigenpolarization directions determined by the crystal's dielectric response, so all polarizations are eigenpolarizations.

Exercise 1 illustrates computation of the *hi* and *lo* refractive indices plus the walk off angles, for any propagation direction in a biaxial or uniaxial crystal using the SNLO function RefInd.

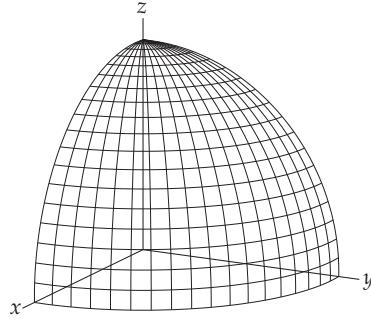


FIGURE 2.7. Eigenpolarization and walk off directions for a uniaxial crystal. The polarization and walk off direction for an extraordinary, or *e*-wave, lies along a line of constant longitude while the polarization direction for an ordinary, or *o*-wave, lies along a line of constant latitude.

## 2.2 Mathematics of the geometrical description

In Sec. 2.1 we presented a qualitative, graphical description of linear propagation of monochromatic plane waves in a biaxial crystal. In this section we develop the quantitative mathematical counterpart. We will make minimal reference to Maxwell's equations here, saving that for the next section. The derivations of this section are not particularly elegant, but they are tied directly to the geometrical picture using the *D*-ellipsoid and the *n*-ellipse. We will retrace the path of the previous discussion, starting with a derivation of the *D*-ellipsoid and following with analysis of the *n*-ellipse. If you are satisfied with the qualitative version already presented you can skip ahead to Sec. 2.4.

### 2.2.1 Finding the *D*-ellipsoid

The displacement  $\mathbf{D}(\omega)$  produced by a monochromatic optical field  $\mathbf{E}(\omega)$  can be written

$$\mathbf{D}(\omega) = \epsilon_0 \mathbf{E}(\omega) + \mathbf{P}(\omega) = \epsilon_0 \mathbf{E}(\omega) + \epsilon_0 \boldsymbol{\chi}^{(1)}(\omega) \cdot \mathbf{E}(\omega). \quad (2.16)$$

The linear susceptibility  $\boldsymbol{\chi}^{(1)}(\omega)$  in its most general form is a symmetric  $(3 \times 3)$  tensor. Hiding the  $\omega$  arguments, the displacement  $\mathbf{D}$  can be written

$$\mathbf{D} = \boldsymbol{\epsilon} \cdot \mathbf{E}, \quad (2.17)$$

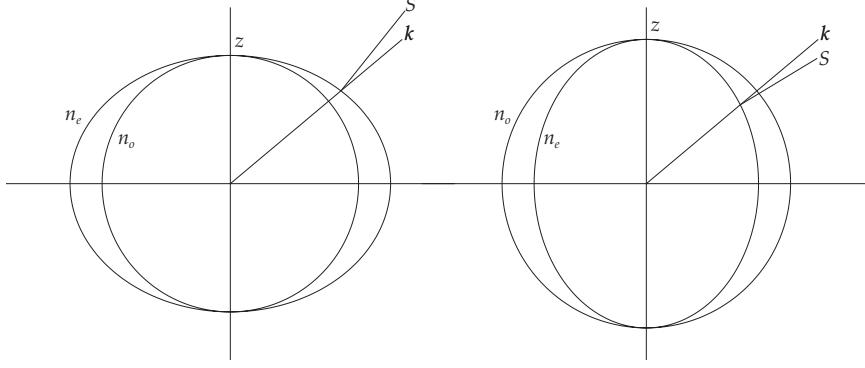


FIGURE 2.8. Poynting vector walk off directions for a positive uniaxial crystal (left) and negative uniaxial crystal (right).

where

$$\boldsymbol{\epsilon} = \epsilon_0 [\mathbf{I} + \boldsymbol{\chi}^{(1)}], \quad (2.18)$$

and  $\mathbf{I}$  is the  $(3 \times 3)$  identity matrix. In expanded form Eq. (2.17) is

$$\begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \begin{pmatrix} \epsilon_{xx} & \epsilon_{xy} & \epsilon_{xz} \\ \epsilon_{yx} & \epsilon_{yy} & \epsilon_{yz} \\ \epsilon_{zx} & \epsilon_{zy} & \epsilon_{zz} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix}. \quad (2.19)$$

where the dielectric tensor  $\boldsymbol{\epsilon}$  is symmetric. By rotating the reference frame so it aligns with the dielectric principal frame  $\boldsymbol{\epsilon}$  is diagonalized. Associating the diagonal elements of  $\boldsymbol{\epsilon}$  with the principal refractive indices, ( $\epsilon_{xx} = \epsilon_0 n_x^2$ ), ( $\epsilon_{yy} = \epsilon_0 n_y^2$ ), and ( $\epsilon_{zz} = \epsilon_0 n_z^2$ ) we write Eq. (2.17) in the principal reference frame

$$\begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \epsilon_0 \begin{pmatrix} n_x^2 & 0 & 0 \\ 0 & n_y^2 & 0 \\ 0 & 0 & n_z^2 \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix}. \quad (2.20)$$

The same equation in inverted form

$$\mathbf{E} = \epsilon^{-1} \cdot \mathbf{D}, \quad (2.21)$$

yields, in the principal frame,

$$\begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix} = \frac{1}{\epsilon_0} \begin{pmatrix} 1/n_x^2 & 0 & 0 \\ 0 & 1/n_y^2 & 0 \\ 0 & 0 & 1/n_z^2 \end{pmatrix} \begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix}. \quad (2.22)$$

Next we define a refractive index  $n$  as the proportionality constant between  $\mathbf{D}$  and the component of  $\mathbf{E}$  parallel to  $\mathbf{D}$  according to

$$E_{||} = \frac{1}{\epsilon_0 n^2} D. \quad (2.23)$$

# 8

## Mixing spatially and temporally structured beams

In this chapter we combine the ideas of Chapters 6 & 7 and apply them to mixing of beams with both spatial and temporal structure. We will emphasize the ability of spatial structure to modify the apparent group velocity and apparent group velocity dispersion of spectrally broad pulses. This ability is of practical use in achieving group velocity matched mixing, for example. We first consider a rather general case of second harmonic generation to illustrate the coupling between spatial and temporal structure. We then specialize to large diameter beams that allow us to ignore certain aspects of spatial walk off.

### 8.1 Linear propagation

We copy from Chapter 5 the general envelope propagation equation

$$\begin{aligned}
 \frac{\partial E}{\partial z} = & -\tan(\alpha + \rho) \frac{\partial E}{\partial x} - \frac{\cos \rho}{v_g \cos(\alpha + \rho)} \frac{\partial E}{\partial t} \\
 & + i \left( \frac{A}{2} \frac{\cos^3 \rho}{\cos^3(\alpha + \rho)} \right) \frac{\partial^2 E}{\partial x^2} + i \left( \frac{B}{2} \frac{\cos \rho}{\cos(\alpha + \rho)} \right) \frac{\partial^2 E}{\partial y^2} \\
 & + i \left( -\frac{D}{2} \frac{\cos \rho}{\cos(\alpha + \rho)} + \frac{A}{2} \frac{\sin^2 \alpha \cos^3 \rho}{v_g^2 \cos^3(\alpha + \rho)} + F \frac{\sin \alpha \cos^2 \rho}{v_g \cos^2(\alpha + \rho)} \right) \frac{\partial^2 E}{\partial t^2} \\
 & + i \left( A \frac{\sin \alpha \cos^3 \rho}{v_g \cos^3(\alpha + \rho)} + F \frac{\cos \alpha \cos \rho}{\cos(\alpha + \rho)} \right) \frac{\partial^2 E}{\partial x \partial t}. \tag{8.1}
 \end{aligned}$$

This equation describes a beam propagating with its carrier  $k$ -vector tilted at angle  $\alpha$  relative to the integration axis  $z$ . The coefficients of the various partial derivatives can be considered to be the effective walk off, the effective group velocity, *etc.*, each defined as the coefficient measured along a  $z$  axis that is common to the three coupled beams involved in a mixing process. Following the notation of Chapter 5, we indicate these effective coefficients by tildes. For example, the effective walk off angle is

$$\tilde{\rho} = \rho + \alpha. \quad (8.2)$$

The angle  $\tilde{\rho}$  is the angle between the  $z$ -axis and the Poynting trajectory of a point at the center of the light envelope. The true, or intrinsic, walk off angle  $\rho$  is the walk off angle measured in the usual way, as the angle between  $\mathbf{S}$  and  $\mathbf{k}$ . Similarly, the effective group velocity is

$$\tilde{v}_g = v_g \frac{\cos(\alpha + \rho)}{\cos \rho}, \quad (8.3)$$

and the effective group delay dispersion coefficient is

$$\tilde{D} = D \frac{\cos \rho}{\cos(\alpha + \rho)} - A \frac{\sin^2 \alpha}{v_g^2} \frac{\cos^3 \rho}{\cos^3(\alpha + \rho)} - 2F \frac{\sin \alpha}{v_g} \frac{\cos^2 \rho}{\cos^2(\alpha + \rho)}, \quad (8.4)$$

where  $D$  is the intrinsic group delay dispersion coefficient,  $A$  is the intrinsic diffraction coefficient (approximately  $1/k$ ), and  $F$  is the intrinsic frequency dependent walk off, which can usually be neglected. The effective coefficients can be used to propagate a pulse by numerically integrating the propagation equation along the  $z$  direction. They are generic in the sense that they are independent of the shape of the pulse envelope.

The mixing terms that couple the three equations were defined in Chapter 5 as

$$\frac{\partial E_1}{\partial z} = i \frac{d_{\text{eff}} \omega_1}{\tilde{n}_1 c} E_3 E_2^* e^{i \Delta k z}, \quad (8.5)$$

where  $d_{\text{eff}}$  and  $\tilde{n}$  were defined in Eqs. (3.31) and (3.33). In this chapter we assume the pulses are long enough to neglect the additional mixing term that is proportional to  $(\partial [E_3 E_2^*] / \partial t)$ .

## 8.2 Second harmonic generation

We begin with a simple illustration of second harmonic generation by a small diameter, short fundamental pulse. This example shows how spatial and temporal structure can become entangled via nonlinear mixing. We will assume the diameter of the fundamental beam is large enough that diffraction is unimportant, yet small enough that birefringent walk off is

larger than the beam size. We also assume the pulse duration is long enough that dispersion is unimportant, yet short enough that temporal walk off between the fundamental and second harmonic is larger than the pulse duration. We also assume mixing is weak enough that the fundamental is not significantly depleted.

This SHG process is diagrammed in Fig. 8.1. The combination of spatial

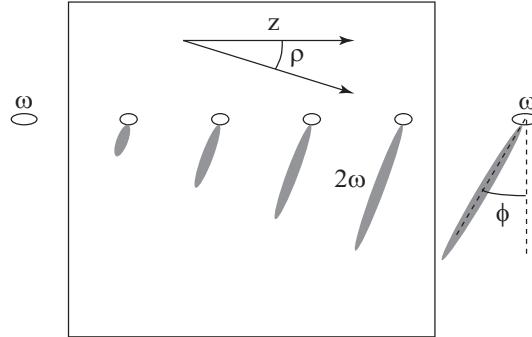


FIGURE 8.1. Second harmonic generation of a short, small diameter, fundamental pulse in a crystal with temporal and spatial walk off of the second harmonic relative to the fundamental. The output second harmonic is a slanted pulse whose slant angle is determined by the magnitudes of the spatial and temporal walk offs.

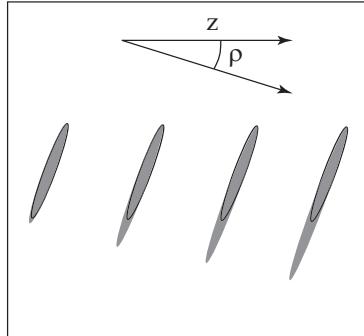


FIGURE 8.2. Second harmonic generation of a fundamental pulse with a slanted pulse envelope in the same crystal as in Fig. 8.1. The ellipse outlined by the solid line is the fundamental envelope; the shaded region is the second harmonic envelope.

and temporal walk off creates a slanted second harmonic envelope. As the pulses propagate through the crystal, the second harmonic pulse moves laterally relative to the fundamental pulse and also lags behind. This leads

to the slanted second harmonic pulse. The slant angle is easily calculated in terms of the spatial ( $\rho$ ) and temporal ( $n_{g2} - n_{g1}$ ) walk offs. After exiting the crystal the slant angle is ( $\phi = \arctan[(n_{g2} - n_{g1})/\rho]$ ). Inside the crystal the slant of the second harmonic envelope is ( $\phi_{int} = \arctan[(1 - n_{g1}/n_{g2})/\rho]$ ).

It is obvious that if the fundamental pulse is reshaped before entering the crystal so that inside the crystal it has an elongated envelope slanted at the same angle as the second harmonic just described, the fundamental envelope will better overlap the second harmonic envelope through the crystal. This is illustrated in Fig. 8.2. The group velocities of the fundamental and second harmonic are unchanged and are still unequal. However, the *apparent group velocities, defined as the velocities of the points of intersection between the envelopes and the z axis*, are now equal.

Apparent group velocities are further explained in Fig. 8.3. In this diagram, the fundamental is *o* polarized and ( $S_1 \parallel k_1 \parallel z$ ). The second harmonic is *e* polarized so  $S_3$  is tilted by the second harmonic walk off angle  $\rho$  relative to  $z$ . The true group velocity of second harmonic is less than that of the fundamental, so the center of its envelope, indicated in the figure by the lower dot, travels more slowly along the  $z$  direction than the center of the fundamental envelope, indicated by the upper dot. However, the apparent group velocities are equal. This equality requires the proper slant angle of the fundamental envelope.

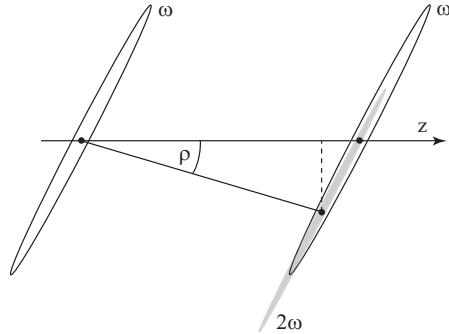


FIGURE 8.3. SHG using a slanted pulse. The  $k$  vectors are parallel to  $z$ , and the fundamental is *o* polarized so its Poynting vector is along  $z$ . The second harmonic is *e* polarized so its Poynting vector makes an angle of  $\rho$  with the  $z$  axis. The envelope on the left is the fundamental envelope at ( $t = 0$ ). The envelopes on the right are the fundamental and second harmonic envelopes at ( $t > 0$ ). The true group velocity of the second harmonic is less than that of the fundamental so the center of its envelope, indicated by the lower dot, lags behind the center of the fundamental envelope, indicated by the upper dot. However, the *apparent group velocities, as measured at the point of intersection of the envelopes and the z axis*, are equal.

Exercise 1 uses 2D-mix-SP to illustrate SHG of a 100 fs pulse in a 5 mm long BBO crystal. The second harmonic envelope is slanted as shown in Fig. 8.1

### 8.3 Tilted beams & slanted envelopes

For some cases the general propagation and mixing equations for tilted beams listed in Eqs. (8.1)-(8.5) are more general than necessary. If we assume the pulse profiles look like large diameter pancakes we can incorporate the  $x$  and  $y$  derivatives in the  $t$  derivative terms to obtain equations similar to the plane-wave mixing equations of Chapter 6. That is the topic of this section. The group velocities and GDD coefficients in the modified equations are the apparent group velocities and apparent GDD coefficients. Such a treatment is permissible if certain conditions are met. We must assume the beam diameters are large enough that spatial walk off is much smaller than the beam diameters; we must assume the three pulses have equal slant angles; we must assume the entrance and exit faces of the crystals are parallel to the pulse envelopes. This situation is diagrammed in Fig. 8.4. The three pulse envelopes are parallel and the pancakes have diameters much larger than the spatial walk off associated with the noncollinear Poynting vectors. These restrictions mean that along any axis parallel to the  $z$  axis each pancake has a well defined apparent  $v_g$  and apparent  $D$  coefficient. The further

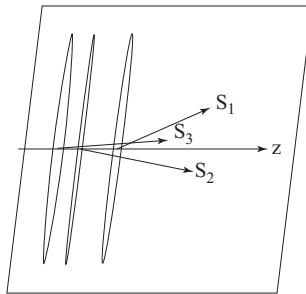


FIGURE 8.4. Generalized short pulse envelope diagram. The pulses have parallel, slanted envelopes, but different propagation directions. The crystal faces are parallel to the envelopes.

simplifying assumptions that the  $\tilde{n}$  values in Eq. (8.5) can be replaced by  $n$  values, and the crystal faces can be normal to  $z$  rather than parallel to the envelopes, are usually used, although they are not truly justified.

### 8.3.1 Apparent group velocity and GDD

We use the notation  $\hat{v}_g$  and  $\hat{D}$  to indicate apparent group velocities and GDD coefficients. We must show how  $\hat{v}_g$  and  $\hat{D}$  can be computed from their true values plus the propagation tilts and envelope slants. To help with this we note that a slanted pulse can be created by reflecting a short, unslanted pulse from a grating as shown in Fig. 8.5. We exploit this equivalence in computing  $\hat{v}_g$  and  $\hat{D}$ . In the near field the reflected pulse is an undispersed pulse with a slanted envelope. In the far field it is angularly dispersed by the grating, with the red components tilted in the direction of the leading edge of the near field pulse and the blue components tilted in the direction of the trailing edge.

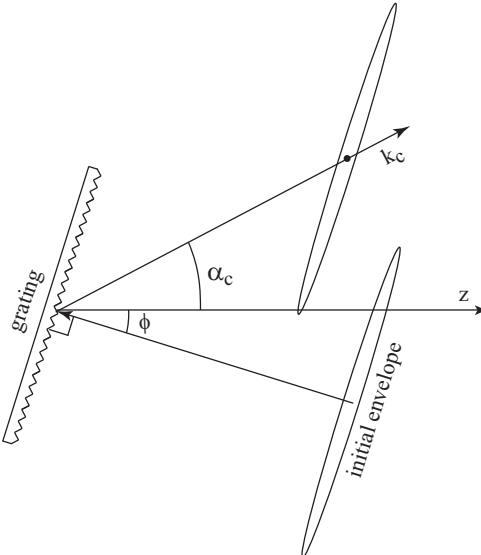


FIGURE 8.5. Slanted pulse generated by reflecting a normally incident, unslanted pulse from a diffraction grating. The slant angle is  $\phi$  and the propagation angle is  $\alpha_c$ , both measured relative to the  $z$  axis.

Figure 8.6 shows our notational conventions for a large diameter, short light pulse propagating in a birefringent crystal. We assume both the propagation vector  $\mathbf{k}$ , and the normal to the pulse envelope lie in the same plane as the birefringent walk off  $\rho$ . The apparent group velocity  $\hat{v}_g$  is the velocity of the intersection of the envelope with the  $z$ -axis. It is not a true group velocity because it does not refer to a fixed point within the envelope. The apparent group delay dispersion  $\hat{D}$  is the dispersion of the apparent group

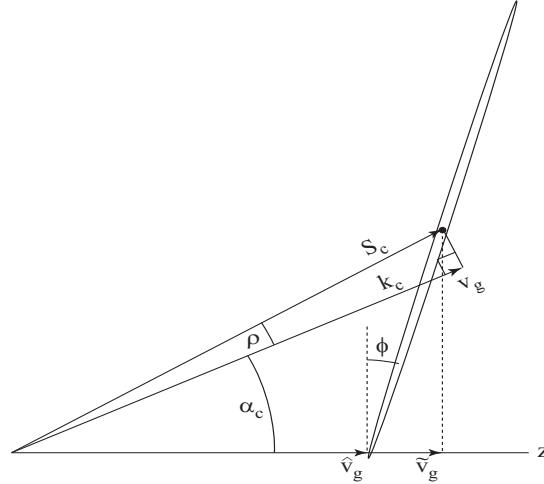


FIGURE 8.6. The apparent group velocity  $\hat{v}_g$  is the velocity of the point of intersection between a short, slanted pulse and the  $z$  axis. Velocity  $v_g$  is the group velocity as it is usually defined. Subscript c refers to the carrier wave.

delay. It accounts for the dispersive reshaping of the envelope as measured along the  $z$  axis.

The apparent velocity and dispersion are the full derivatives of  $k_z$  with respect to  $\omega$ ,

$$\frac{1}{\hat{v}_g} = \frac{dk_z}{d\omega} \quad (8.6)$$

and

$$\hat{D} = \frac{d^2 k_z}{d\omega^2}. \quad (8.7)$$

These definitions take account of the fact that  $k_z$  is a function of both  $\omega$  and  $\alpha$ , and, for slanted pulses,  $\alpha$  is a function of  $\omega$  and  $\phi$ . Figure 8.5 shows how a light pancake propagating at carrier angle  $\alpha_c$  with slant angle  $\phi$  can be mathematically constructed. An unchirped light pancake with no slant relative to its  $k$  vector (initial envelope) is normally incident on a diffraction grating embedded in the crystal, from which the carrier wave diffracts at angle  $(\alpha_c + \phi)$ . Figure 8.7 shows the corresponding  $k$ -vector diagram for this process. In evaluating Eqs. (8.6) and (8.7) we use the expansion

$$\frac{dk_z}{d\omega} = \frac{d(k \cos \alpha)}{d\omega} = \left[ \frac{\partial k}{\partial \omega} + \frac{\partial k}{\partial \alpha} \frac{\partial \alpha}{\partial \omega} \right] \cos \alpha - k \sin \alpha \frac{\partial \alpha}{\partial \omega}. \quad (8.8)$$

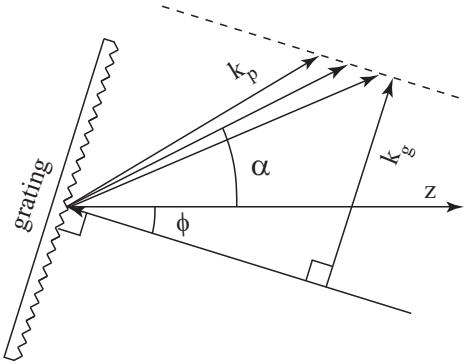


FIGURE 8.7. Slanted pulse generation  $k$  vectors. Each  $k_p$  vector of the reflected pulse has a transverse component equal to the grating vector,  $k_g$ , in the direction parallel to the grating.

Using the standard definitions of walk off and group velocity

$$\tan \rho = -\frac{1}{k} \frac{\partial k}{\partial \alpha} \quad (8.9)$$

$$\frac{1}{v_g} = \frac{\partial k}{\partial \omega} \quad (8.10)$$

in Eq. (8.8) we find

$$\hat{v}_g = \frac{dk_z}{d\omega} = \left[ \frac{1}{v_g} - k \tan \rho \frac{\partial \alpha}{\partial \omega} \right] \cos \alpha - k \sin \alpha \frac{\partial \alpha}{\partial \omega}. \quad (8.11)$$

We still need to find  $(\partial \alpha / \partial \omega)$ , the relation between angle and frequency. This is derived by differentiating the grating equation

$$k \sin(\alpha + \phi) = k_g \quad (8.12)$$

with respect to  $\omega$  to find

$$\left[ \frac{\partial k}{\partial \omega} + \frac{\partial k}{\partial \alpha} \frac{\partial \alpha}{\partial \omega} \right] \sin(\alpha + \phi) + k \cos(\alpha + \phi) \frac{\partial \alpha}{\partial \omega} = 0. \quad (8.13)$$

Solving for  $(\partial \alpha / \partial \omega)$  for the central, or carrier wave, gives

$$\left. \frac{\partial \alpha}{\partial \omega} \right|_c = \frac{1}{kv_g} \left[ \frac{\tan(\alpha_c + \phi)}{\tan \rho \tan(\alpha_c + \phi) - 1} \right] = \frac{-1}{kv_g} \left[ \frac{\cos \rho \sin(\alpha_c + \phi)}{\cos(\alpha_c + \phi + \rho)} \right]. \quad (8.14)$$

For brevity we will write  $\alpha_c$  as  $\alpha$  below. Substituting this in Eq. (8.11) gives a convenient expression for  $\hat{v}_g$

$$\hat{v}_g = v_g \frac{\cos(\alpha + \rho)}{\cos \rho} \left[ 1 - \tan(\alpha + \rho) \tan \phi \right] = v_g \frac{\cos(\alpha + \phi + \rho)}{\cos \phi \cos \rho}. \quad (8.15)$$

Happily, this expression for the apparent group velocity can also be deduced directly from the geometry of the diagram in Fig. 8.6. It also agrees with Eq. (8.1) when ( $\phi = 0$ ), in which case the  $x$  derivatives are zero for large pancakes.

The apparent group delay dispersion,

$$\hat{D} = \frac{d^2 k_z}{d\omega^2} \quad (8.16)$$

can be evaluated in a similar manner. After considerable tedious algebra, the result is

$$\begin{aligned} \hat{D} = & D \frac{\cos \phi \cos \rho}{\cos(\alpha + \phi + \rho)} \\ & - A \frac{1}{v_g^2} \frac{\cos \phi \sin^2(\alpha + \phi) \cos^3 \rho}{\cos^3(\alpha + \phi + \rho)} \\ & - F \frac{2}{v_g} \frac{\cos \phi \sin(\alpha + \phi) \cos^2 \rho}{\cos^2(\alpha + \phi + \rho)}. \end{aligned} \quad (8.17)$$

The coefficients  $A$ ,  $D$ , and  $F$  are respectively the diffraction, group delay dispersion, and frequency dependent walk off coefficients we derived in Chapter 5. Comparing with Eq. (8.4) we see that when ( $\phi = 0$ ), ( $\tilde{D} = \hat{D}$ ).

In case this derivation seems too abstract, we offer the following simplified, intuitive derivation of  $\hat{D}$ . The appearance of  $A$  (the  $x$  diffraction coefficient) in the expression for  $\hat{D}$  might seem odd at first glance. However, the role of diffraction becomes apparent if we look at the simplified case of a slanted pulse propagating in vacuum with  $\mathbf{k}$  parallel to the  $z$ -axis, as shown in Fig. 8.8. The blue and red envelopes separate because of grating angular dispersion, which is a manifestation of diffraction. Thus diffraction accounts for the separation of the dots shown in Fig. 8.8 at the center of the envelopes. In vacuum there is no true group delay dispersion which would lead to a longitudinal separation of the dots, so  $D$  cannot contribute to  $\hat{D}$ . However, the apparent group delay dispersion  $\hat{D}$  refers to the separation of the  $\times$ 's in Fig. 8.8, so it has a nonzero value due to diffraction, that is proportional to the diffraction coefficient  $A$ . We can compute it from

$$k_z = k \cos(\phi - \phi_c), \quad (8.18)$$

where  $\phi_c$  is the diffraction angle of the carrier frequency. The expression for  $\hat{D}$  is

$$\hat{D} = \frac{d^2 k_z}{d\omega^2} \bigg|_{\phi=\phi_c} = -k \left( \frac{d\phi}{d\omega} \right)^2. \quad (8.19)$$

Using the grating relation

$$\phi = \arcsin(k_g/k), \quad (8.20)$$

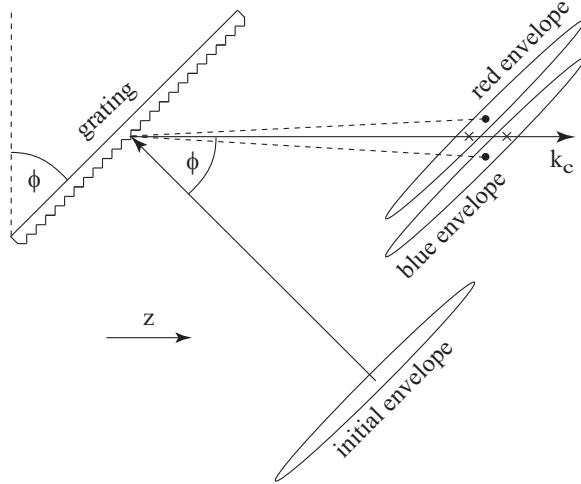


FIGURE 8.8. Diffraction of a short light pancake from a grating. The red and blue portions of the spectrum separate with the red portion diffracted at a larger angle than the blue portion.

we find

$$\frac{d\phi}{d\omega} = -\frac{1}{kc} \tan \phi, \quad (8.21)$$

which, combined with Eq. (8.19) and the vacuum values ( $D = 0$ ), ( $F = 0$ ), ( $A = 1/k$ ), ( $\rho = 0$ ), gives

$$\hat{D} = -A \frac{1}{c^2} \tan^2 \phi, \quad (8.22)$$

in agreement with Eq. (8.17) when ( $\alpha = 0$ ), ( $\rho = 0$ ). Note that because the red light trails the blue light the apparent dispersion is always anomalous in vacuum, so the sign of  $\hat{D}$  is negative. This apparent group delay dispersion is commonly used in femtosecond technology to stretch or compress pulses using grating pairs[112].

Repeating this exercise for a grating embedded a dispersive, nonbirefringent material rather than in vacuum adds a contribution proportional to  $D$ , the true group delay dispersion coefficient, yielding

$$\hat{D} = D - A \frac{1}{v_g^2} \tan^2 \phi, \quad (8.23)$$

again in agreement with Eq. (8.17) for ( $\alpha = 0$ ), ( $\rho = 0$ ). Note that because the signs of the  $D$  and  $A$  terms are opposite in normally dispersive media, it may be possible to tune  $\hat{D}$  to zero by adjusting  $\phi$ . If ( $\hat{D} = 0$ ) the wave

will propagate without any change in shape caused by GDD. This can be achieved if the slant angle is set to

$$\tan \phi = \sqrt{\frac{Dv_g^2}{A}}, \quad (8.24)$$

or, using the approximation ( $A = 1/k$ ),

$$\tan \phi = \sqrt{Dv_g^2 k}. \quad (8.25)$$

Now that we have derived propagation equations for slanted pulses that include no transverse derivatives, we can forget the pulses are slanted and tilted, and if the conditions listed earlier are met, we can use the apparent group velocities defined by Eq. (8.15) and the apparent GDD coefficients defined by Eq. (8.17) in the plane-wave mixing equations in place of  $v_g$  and  $D$ . We can integrate these mixing equations as though the pulses were true plane waves with envelopes normal to  $z$  and  $k$  vectors parallel to  $z$ .

### 8.3.2 Group velocity matched SHG of short, slanted pulses

We return to our earlier example of group velocity matched SHG in a birefringent crystal for a more quantitative treatment. We will demonstrate the close relation between group velocity matched SHG of slanted pulses and achromatic SHG using angular dispersion of the fundamental light. Both of these maximize the phase matching bandwidth.

As we showed earlier, the shortest second harmonic pulses and the broadest second harmonic bandwidth, as well as the highest conversion efficiency, are achieved when the group velocities of the fundamental and second harmonic pulses are equal. The apparent group velocity for a pulse with a slanted envelope is given by Eq. (8.15) which we reproduce here

$$\hat{v}_g = v_g \frac{\cos(\alpha + \phi + \rho)}{\cos \phi \cos \rho}. \quad (8.26)$$

Assuming the two fundamental pulses have the same polarization, with both carrier waves propagating along  $z$ , the second harmonic carrier must also propagate along  $z$ , so ( $\alpha_3 = 0$ ), giving

$$\hat{v}_{g3} = v_{g3} \frac{\cos(\phi_3 + \rho_3)}{\cos \phi_3 \cos \rho_3}. \quad (8.27)$$

Usually the true group velocity of the second harmonic is slower than that of the fundamental. In a negative uniaxial crystal such as BBO, the second harmonic is  $e$  polarized while the fundamental is  $o$  polarized. For the  $o$ -polarized fundamental, ( $\rho = 0$ ) and ( $\hat{v}_{g1} = v_{g1}$ ), so its apparent group

velocity is simply the true group velocity, regardless of the slant angle  $\phi$ . However, the apparent group velocity of the  $e$ -polarized second harmonic can be adjusted by changing the slant angle  $\phi$ . To increase  $\hat{v}_{g3}$  the slant must be in the negative direction, meaning the normal to the envelope is tilted in the same direction as the walk off  $\rho$ , so  $\phi_3$  and  $\rho_3$  have opposite signs. The pulse envelopes must all have the same slant ( $\phi_1 = \phi_3 = \phi$ ), so matching the apparent group velocities of the fundamental and second harmonic is achieved when  $\phi$  is adjusted to satisfy

$$v_{g1} = v_{g3} \frac{\cos(\phi + \rho_3)}{\cos \phi \cos \rho_3}. \quad (8.28)$$

Exercise 2 illustrates a calculation of the slant angles  $\phi$  required for group velocity matching (or achromatic phase matching) in second harmonic generation.

### 8.3.3 Achromatic SHG of frequency chirped pulses

There are a variety of other ways to increase the acceptance bandwidth, and we will discuss some of them later, but the method that is most obvious in the case of a swept-frequency fundamental pulse is achromatic phase matching[195] in which the angle of the fundamental wave is varied with wavelength so the beam always propagates along a phase matched direction in a birefringent crystal. We again illustrate using the example of an  $o$ -polarized fundamental and an  $e$ -polarized second harmonic wave, appropriate for a negative uniaxial crystal such as BBO. The waves propagate nearly parallel to the fixed  $z$  axis, and the propagation angle of the fundamental, measured relative to  $z$ , is  $\vartheta_1$ . If  $\vartheta_1$  is properly varied as  $\Delta\omega_1$  is tuned, phase matching can be maintained. Because phase matching is maintained the fundamental and second harmonic waves have parallel propagation vectors as  $\Delta\omega$  is tuned so we drop the subscripts on  $\vartheta$ . To first order in  $\vartheta$  the expression for  $\Delta k_z$  due to tilt alone is

$$\Delta k_z = \frac{\partial k_{3z}}{\partial \vartheta} \bigg|_{\vartheta=0} \vartheta - 2 \frac{\partial k_{1z}}{\partial \vartheta} \bigg|_{\vartheta=0} \vartheta. \quad (8.29)$$

The second term is zero because the fundamental is  $o$  polarized, leaving

$$\Delta k_z = \frac{\partial k_{3z}}{\partial \vartheta} \bigg|_{\vartheta=0} \vartheta. \quad (8.30)$$

Equating the  $\Delta k$  induced by tilt ( $\vartheta$ ) to the negative of  $\Delta k$  induced by tuning ( $\Delta\omega_1$ ) gives the relation between tilt angle and detuning required to maintain phase matching

$$\frac{\partial k_{3z}}{\partial \vartheta} \bigg|_{\vartheta=0} \vartheta = -2 \left( \frac{1}{v_{g3}} - \frac{1}{v_{g1}} \right) \Delta\omega_1. \quad (8.31)$$

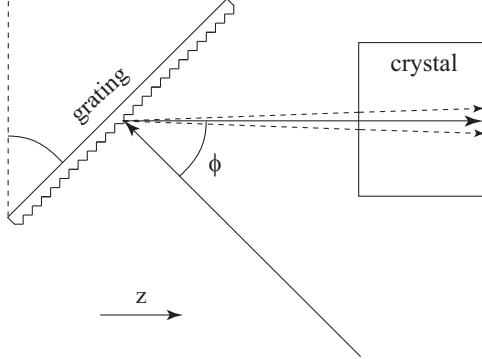


FIGURE 8.9. Angular dispersion of fundamental for first order achromatic phase matching of second harmonic generation.

We use the birefringent walk off equation

$$\frac{\partial k}{\partial \vartheta} = -k \tan \rho \quad (8.32)$$

to rewrite this as

$$(k_{3z} \tan \rho_3) \vartheta = \left( \frac{1}{v_{g3}} - \frac{1}{v_{g1}} \right) \Delta \omega_3, \quad (8.33)$$

or in differential form as

$$\frac{\partial \vartheta}{\partial \omega_3} = \frac{\left( 1/v_{g3} - 1/v_{g1} \right)}{k_{3z} \tan \rho_3}. \quad (8.34)$$

This equation has the same form as the grating dispersion equation Eq. (8.14) which, for ( $\alpha = 0$ ), becomes

$$\frac{\partial \vartheta}{\partial \omega_3} = \frac{-1}{k_3 v_{3g}} \frac{\cos \rho \cos \phi}{\cos(\rho + \phi)}. \quad (8.35)$$

This equation describes a dispersion that can be provided by a grating. The implication is that we can achieve achromatic phase matching to first order in  $\Delta \omega$  by dispersing the fundamental using a grating as shown in Fig. 8.9. If we equate the right hand sides of Eqs. (8.35) and (8.34) we find that it reduces to

$$v_{g1} = v_{g3} \frac{\cos(\phi + \rho_3)}{\cos \phi \cos \rho_3}, \quad (8.36)$$

in agreement with the group velocity matching requirement of Eq. (8.28).

This shows that achromatic SHG and group velocity matched SHG are based on the same far field angular dispersion of the fundamental pulse. Although the reasoning used in deriving this result for short pulses and for swept frequencies differed, it is clear that achromatic phase matching and matched apparent group velocities are in some sense equivalent.

If an extremely broad phase matching bandwidth is desired it is possible to fine tune the propagation angle versus frequency with a correction term proportional to  $(\Delta\omega^2)$ . This cannot be achieved with a single grating but combinations of gratings and prisms or prisms alone can be used[195]. This would be equivalent to adjusting the  $\hat{D}$ 's to fulfill the optimum broadband condition ( $\hat{D}_3 = \hat{D}_1/2$ ) that was derived in Chapter 6.

### 8.3.4 Mixing three short slanted pulses

Ideal broadband phase matching for three short pulses requires matching all three apparent group velocities so

$$\hat{v}_{g1} = \hat{v}_{g2} = \hat{v}_{g3}. \quad (8.37)$$

In rare instances such a group velocity match can be found for collinear mixing. One example[43] is (4024 nm + 983 nm  $\leftrightarrow$  790 nm) mixing along propagation direction ( $\theta = 70^\circ, \phi = 22^\circ$ ) in KNbO<sub>3</sub>. Another lucky coincidence is quasi phase matched mixing (1500 – 1800 nm + 2250 – 1970 nm  $\leftrightarrow$  940 nm) in poled LiNbO<sub>3</sub> with a period of 27.2  $\mu\text{m}$  and a temperature of 52°C[196].

Group velocity matching can also sometimes be accomplished in birefringent crystals[197] by slanting the three pulse envelopes to a common angle  $\phi$  and tilting the waves, as shown in Fig. 8.10. The carrier waves are phase matched for a particular value of  $\alpha$  by adjusting the propagation angle in the crystal  $\theta$ . The transverse carrier  $k$ -vectors must add to zero. The common slant angle  $\phi$  can be adjusted to seek a match of the  $\hat{v}_g$ s. The ability to independently adjust  $\alpha$ ,  $\phi$ , and  $\theta$  in seeking to equalize three apparent group velocities means success with this method is often possible. However, matching the group velocities fixes both  $\phi$  and  $\alpha$ , leaving no independent adjustment of the  $\hat{D}$ s. Dispersion can be adjusted only by selecting a different crystal, a different set of polarizations, or a different principal plane if the crystal is biaxial.

Exercise 3 shows how to use SNLO function GVM to match the group velocities of three pulses in noncollinearly phase matched mixing of slanted pulses.

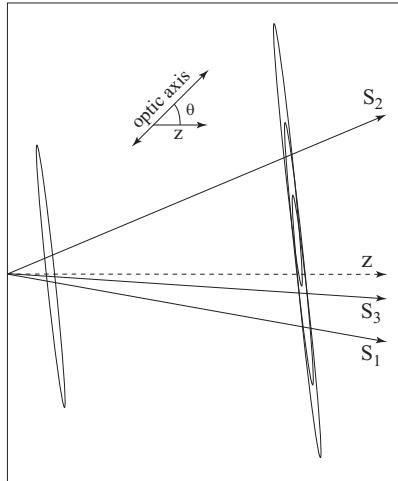


FIGURE 8.10. Scheme for matching the apparent group velocities of three pulses in a birefringent crystal. The pulses have a common slant angle but different directions of propagation. Varying the crystal angle, the beam angles, and the slant angle can sometimes achieve group velocity matching.

### 8.3.5 CPOPA

CPOPA is our acronym for chirped pulse optical parametric amplification. To create the highest achievable optical fields it is necessary to make a pulse as short as possible and the beam quality as high as possible. However, optical damage to nonlinear crystals and other optics places practical limits on the irradiance and power of short pulses. Amplifier damage can be avoided by starting with a low energy, short pulse, stretching the pulse in time to create a much longer chirped pulse, amplifying the chirped pulse to a high energy, and then recompressing the pulse using large area diffraction gratings. Optical parametric amplification in a nonlinear crystal is an attractive amplification method because it can provide high gain over a broad bandwidth with little added background from fluorescence.

One requirement for amplifying 10 fs pulses is that the gain be nearly uniform over  $1500 \text{ cm}^{-1}$ . Such a broad bandwidth requires that we match the apparent group velocities ( $\hat{v}_g 1 = \hat{v}_g 2$ ) and also closely approximate ( $\hat{D}_1 = -\hat{D}_2$ ). This is seldom possible using collinear phase matching, but achromatic phase matching can sometimes achieve this. For simplicity it would be best if the output light of interest, which we will label  $\omega_1$ , is not angle dispersed so it does not have a slanted pulse envelope. The slant or dispersion of the other red wave at  $\omega_2$  is of less concern and can be exploited to achieve the required conditions on  $\hat{v}_g$  and  $\hat{D}$ . We can tilt the pump to

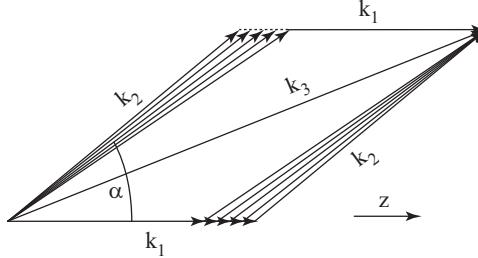


FIGURE 8.11. Phase matching diagram for chirped pulse optical parametric amplifier. The pump is tilted and nearly monochromatic, while the red waves are broadband, as indicated by the ranges of  $k$ -vectors. The input pulse is decomposed into parallel waves indicated by the set of vectors labeled  $k_1$ , while the internally generated pulse two consists of angle dispersed waves indicated by the set of vectors labeled  $k_2$ .

adjust the tilt and slant of pulse two. Fortunately, this allows nearly ideal adjustments to  $\hat{v}_g$  and  $\hat{D}$  in many cases.

Figure 8.11 shows a  $k$ -vector diagram for CPOPA with a tilted pump beam ( $k_3$ ) and nondispersed  $\omega_1$  beam. The  $\omega_2$  beam is angle dispersed as well as tilted so its carrier wave propagates at angle  $\alpha$ . We show a fixed length  $k$ -vector for the pump because it has a much narrower bandwidth than the two red waves which are diagrammed with ranges of  $k$ -vectors necessitated by their broad bandwidths. Each frequency component of pulse one must phase match with its conjugate frequency component in pulse two. In other words, each conjugate pair ( $k_1, k_2$ ) in the diagram must form a closed triangle with the pump  $k$ -vector ( $k_3$ ), or, as we have redundantly diagrammed it, they must form a parallelogram with the pump  $k$ -vector forming the diagonal. Including all of the frequency components of the pulses leads to a set of parallelograms as shown in the figure.

Because we are assuming that all beams have infinite extent in the directions normal to  $\hat{z}$ , there is no range of  $k_x$  or  $k_y$  in either the  $k_3$  or the  $k_1$  vectors. This means the transverse component of each of the  $k_2$  vectors must be equal to the transverse component of the pump vector. This is exactly the situation created when a large diameter  $\omega_2$  pulse with no angular dispersion is diffracted by a grating. Each wave in the first order diffracted pulse has a transverse  $k$ -vector component equal to the grating vector  $k_g$ , defined as  $(2\pi/d_g)$  where  $d_g$  is the separation of grating grooves. This is shown in the left hand diagram of Fig. 8.12.

The corresponding time domain diagram is shown on the right in Fig. 8.12. The  $\omega_2$  pulse propagates with a tilt angle  $\alpha$  and a pulse envelope slant of zero. The values of  $\hat{v}_{g2}$  and  $\hat{D}_2$  are given by Eqs. (8.15) and (8.17) with

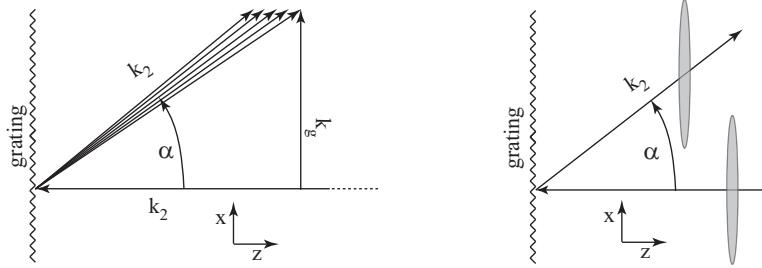


FIGURE 8.12. Model for the formation of the pulse at  $\omega_2$  as a pulse diffracted by a grating with vector  $k_g$ . Left diagram is in  $(k, \omega)$  space; right diagram is in  $(r, t)$  space. The incident pulse in this artificial construction is composed of a set of monochromatic waves with parallel  $k$ -vectors indicated by the set of  $k_2$  vectors incident on the grating. The reflected pulse consists of a set of angle dispersed waves, each with its transverse component equal to  $k_g$  which represents the transverse component of  $k_3$ . The tilt angle is ( $\alpha = \arcsin[k_g/k_2]$ )

$(\phi = 0)$ ,

$$\hat{v}_{g2} = v_{g2} \frac{\cos(\alpha + \rho_2)}{\cos \rho_2}, \quad (8.38)$$

$$\hat{D}_2 = D_2 \frac{\cos \rho_2}{\cos(\alpha + \rho_2)} - A_2 \frac{1}{v_{g2}^2} \frac{\sin^2 \alpha \cos^3 \rho_2}{\cos^3(\alpha + \rho_2)}, \quad (8.39)$$

where  $\rho_2$  is the birefringent walk off angle of beam two. The value of  $\alpha$  is adjusted by changing the tilt angle of the pump beam. It is set to achieve, as nearly as possible, the ideal group velocity and GDD conditions, ( $\hat{v}_{g1} = \hat{v}_{g2}$ ), ( $\hat{D}_1 = -\hat{D}_2$ ). The internal structure for chirped  $\omega_1$  and  $\omega_2$  pulses is shown in Fig. 8.13.

In CPOPA there is a reasonable likelihood of achieving simultaneous matching of apparent group velocities and apparent GDD coefficients for the two red pulses. Recall that the  $D_2$  and  $A_2$  coefficients in Eq. (8.39) are both positive in a normally dispersive crystal, so  $\hat{D}_2$  will be tuned toward negative values by increasing the pump angle, which increases  $\alpha$ .  $\hat{D}_1$  is equal to  $D_1$  which is usually positive. The value of  $\hat{v}_{g2}$  also depends on  $\rho_2$  and  $\alpha_2$ . In general the problem of achieving ideal group velocity and GDD coefficients in any particular crystal by varying only  $\alpha$  is over constrained. However, by choosing the right crystal from among a large selection of candidates, it is often possible to meet the requirements for broadband CPOPA.

Exercise 4 illustrates how to use Opoangles to search for broad phase matching of noncollinear parametric amplification.

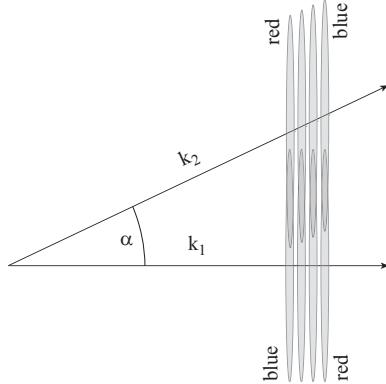


FIGURE 8.13. Structure of pulses in chirped pulse amplification with tilted pump beam. Ideally the apparent group velocities of waves one and two match and the apparent GDD's are equal and opposite so the red-to-blue and blue-to-red structures within the two pulses are exactly complementary.

Exercise 5 illustrates how use GVM to find the values of  $\hat{v}_g$  and  $\hat{D}$  for noncollinear parametric amplification

In CPOPA the initial short  $\omega_1$  pulse is usually generated by a mode locked laser, often a Ti:sapphire laser, with a wavelength near 800 nm, and a duration of 10-100 fs. It is stretched by factors as high as  $10^5$  using diffraction gratings or prisms, producing linearly chirped pulses with durations of picoseconds or even nanoseconds. The pump pulse is often provided by a Q-switched laser such as a frequency doubled Nd:glass or Nd:YAG laser, operating on a single longitudinal mode to generate a pulse of 530 nm light with a duration of 100 ps to 10 ns. The wavelength of the second red wave in this scheme is 1580 nm. The energy in the original 800 nm pulse is typically a few nJ while the desired final pulse energy might be joules or kilo joules, so the required amplification can be  $10^9$  or more. Some of this gain might be provided by laser amplifier stages such as Yb<sup>3+</sup>-doped silica fiber, or by Ti:sapphire rods or slabs, but the final several factors of ten are usually provided by a parametric amplifier. The bandwidth of a 10 fs pulse is approximately  $1500 \text{ cm}^{-1}$ , so phase matching must be good over the range  $800 \pm 50 \text{ nm}$  if we are to maintain the bandwidth of the amplified pulse and recompressibility to 10 fs.

Exercise 6 uses PW-mix-SP to illustrate numerical modeling of high gain CPOPA in the plane-wave limit.

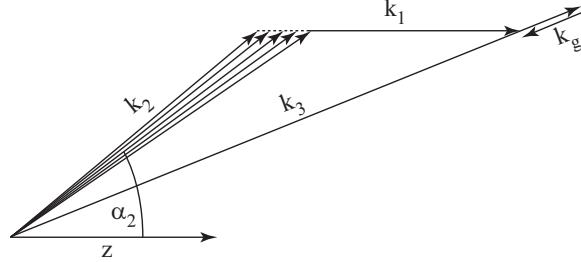


FIGURE 8.14. Noncollinear quasi phase matched CPOPA for pulse envelopes one and two normal to  $z$  and  $k_1$ . The tilt angle  $\alpha_2$  is set to match apparent group velocities  $\hat{v}_{g1}$  and  $\hat{v}_{g2}$ . The vector  $(k_3 + k_g)$  closes the triangle.

### 8.3.6 Group velocity matched QPM mixing

#### CPOPA

Quasi phase matching introduces added flexibility in group velocity matching. Fig. 8.14 shows how CPOPA can be achieved in QPM assuming ( $v_{g2} > v_{g1}$ ). The envelopes of pulses one and two are parallel to one another and perpendicular to  $k_1$ . The tilt angle of pulse two ( $\alpha_2$ ) is adjusted to make ( $\hat{v}_{g1} = \hat{v}_{g2}$ ). The pump and QPM grating vectors ( $k_3$  and  $k_g$ ) close the phase matching diagram. The envelope structure of wave two corresponds to that in Fig. 8.12.

There is no birefringent walk off in most quasi phase matched crystals so the values of  $\rho$  are each zero in the equations for  $\hat{v}_g$  and  $\hat{D}$ . They become

$$\hat{v}_g = v_g \frac{\cos(\alpha + \phi)}{\cos \phi}, \quad (8.40)$$

$$\hat{D} = D \frac{\cos \phi}{\cos(\alpha + \phi)} - \frac{1}{kv_g^2} \frac{\cos \phi \sin^2(\alpha + \phi)}{\cos^3(\alpha + \phi)}, \quad (8.41)$$

where we have discarded the  $F$  term in  $\hat{D}$  and used ( $A = 1/k$ ). Matching apparent group velocities for pulses one and two requires

$$\alpha_2 = \arccos\left(\frac{v_{g1}}{v_{g2}}\right). \quad (8.42)$$

Matching  $\hat{v}_{g1}$  and  $\hat{v}_{g2}$  gives broad amplification bandwidth, but still broader bandwidth is possible if ( $\hat{D}_2 = -\hat{D}_1$ ). Adjustment of  $\alpha_2$  alone is unlikely to meet this requirement while maintaining group velocity matching. However, adjusting both  $\alpha_2$  and  $\phi_2$  ( $= \phi_1$ ) may achieve the desired  $\hat{D}$  condition, but this means wave one is slanted, which may be unacceptable.

In principle the pump  $k$ -vector can point in any direction as long as the grating vector closes the phase matching diagram. In practice the grating

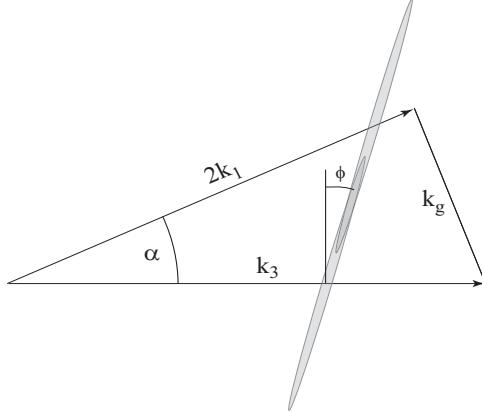


FIGURE 8.15. Noncollinear quasi phase matched and group velocity matched second harmonic generation. Angles  $\alpha$  and  $\phi$  are chosen to match apparent group velocities  $\hat{v}_{g1}$  and  $\hat{v}_{g2}$ . The grating vector  $k_g$  closes the triangle.

vector should be as small as possible so the poling period is within practical limits. This requires the  $k_3$  vector to be nearly parallel to the third side of the triangle formed by  $k_1$  and  $k_2$ , as diagrammed in Fig. 8.14. The magnitude of  $k_g$  is always larger, and the poling period smaller, than for a collinear QPM process.

Exercise 7 illustrates noncollinear, group velocity matched, quasi phase matched CPOPA in ppLT.

### SHG

Group velocity matched and quasi phase matched second harmonic generation is also possible[198, 199]. If the fundamental and second harmonic have the same polarization, the group velocity of the second harmonic is generally less than that of the fundamental, so group velocity matching requires slowing the fundamental pulse. This can be achieved by tilting the fundamental and using nonzero slant angle  $\phi$  as shown in Fig. 8.15. The quasi phase matching grating is chosen to make  $(\Delta k = 0)$ , and will be tilted. Group velocity matching requires  $\alpha$  and  $\phi$  angles that satisfy

$$\hat{v}_{g1} = v_{g1} \frac{\cos(\alpha + \phi)}{\cos \phi} = v_{g3}. \quad (8.43)$$

For broadest bandwidth we would also satisfy

$$\hat{D}_1 = 2\hat{D}_3, \quad (8.44)$$

but this is nearly impossible to achieve.

Once a group velocity matched geometry is found it is straightforward to compute the quasi phase matching grating needed to meet the phase match requirement ( $\Delta k_z = [k_3 - 2k_1 \cos \alpha_1 - k_{gz}] = 0$ ) and ( $\Delta k_x = [2k_1 \sin \alpha_1 - k_{gx}] = 0$ ). It is important to make sure the grating period is long enough to be practical. If a geometry with ( $\phi = 0$ ) is chosen, the QPM grating vector must be large so it will accommodate the transverse phase mismatch. Longer grating periods are possible if ( $\phi > 0$ ) because this reduces  $\alpha$ . The transverse mismatch can be avoided by splitting the fundamental into two parts, one propagating with angle  $\alpha$ , the other with angle ( $-\alpha$ ). This requires ( $\phi = 0$ ), of course.

Exercise 8 illustrates noncollinear, quasi phase matched and group velocity matched SHG of 1550 nm light in ppLN.

#### *Three pulse mixing*

For the more general case where we wish to match the group velocities of three different pulses we can slow the two faster pulses to match the slowest group velocity by tilting them. Assuming wave three is the slowest, we can adjust  $\alpha_1$  and  $\alpha_2$  with ( $\phi = 0$ ) to match all three group velocities. The quasi phase matching grating is then chosen to null the transverse and longitudinal components of  $\Delta k$ . To obtain practical poling periods it may be necessary to use a nonzero  $\phi$  as well. This makes it possible to use smaller tilts to achieve the desired group velocity reduction, and that generally leads to longer, more practical grating periods.

#### *8.3.7 Snell's law of slants*

To take advantage of pulse slants it is necessary to know how they transform when the pulse enters or exits a crystal. We define angle  $\gamma$  as the slant relative to the normal to the  $k$  vector. We can derive a relation between incident and transmitted  $\gamma$  similar to Snell's law that relates incident and transmitted directions of the  $k$  vector. We begin by using the diagram in Fig. 8.16 to express the apparent group velocity along the input face of the crystal  $\hat{v}_x$  as

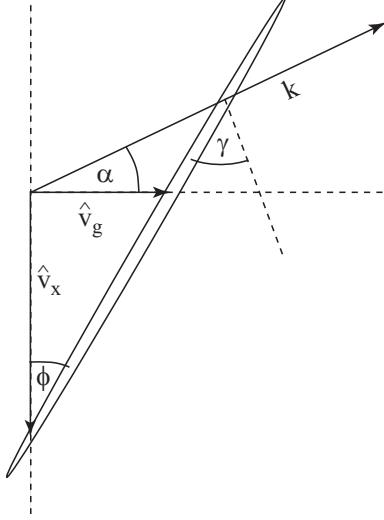
$$\hat{v}_x = \frac{\hat{v}_g}{\tan \phi}. \quad (8.45)$$

Using Eq. (8.15) this can be written

$$\hat{v}_x = v_g \frac{\cos(\alpha + \phi + \rho)}{\sin \phi \cos \rho}, \quad (8.46)$$

and using ( $\gamma = \phi + \alpha$ ) this becomes

$$\hat{v}_x = v_g \frac{\cos(\gamma + \rho)}{\sin(\gamma - \alpha) \cos \rho}. \quad (8.47)$$

FIGURE 8.16. Angles for deriving  $\hat{v}_x$  for a slanted pulse.

Let the pulse travel from crystal 1 with refractive index  $n$  and group velocity index  $n_g$  to crystal 2 with refractive index  $n'$  and group velocity index  $n'_g$ . The continuity condition on the pulse envelope across the boundary is ( $\hat{v}_x = \hat{v}'_x$ ) so

$$v_g \frac{\cos(\gamma + \rho)}{\sin(\gamma - \alpha) \cos \rho} = v'_g \frac{\cos(\gamma' + \rho')}{\sin(\gamma' - \alpha') \cos \rho'}. \quad (8.48)$$

subject to Snell's law

$$n \sin \alpha = n' \sin \alpha'. \quad (8.49)$$

The last two equations can be solved for  $\gamma'$  which can then be used to calculate  $\hat{v}'_g$ .

For example, suppose a pulse with zero slant ( $\gamma = 0$ ) in air is obliquely incident on an optically isotropic window or prism ( $\rho' = 0$ ). Equation (8.48) becomes

$$v_g \frac{1}{\sin(-\alpha)} = v'_g \frac{\cos(\gamma')}{\sin(\gamma' - \alpha')}, \quad (8.50)$$

with

$$\sin \alpha = n' \sin \alpha'. \quad (8.51)$$

Combining the last two equations gives

$$\tan \gamma' = \frac{\sin \alpha}{[1 - (1/n')^2 \sin^2 \alpha]^{1/2}} \left( \frac{1}{n'} - \frac{1}{n'_g} \right). \quad (8.52)$$

# 9

## Optical parametric generation (OPG)

Here optical parametric generation (OPG) means the single-pass parametric amplification of quantum noise to the point of noticeable pump depletion and beyond. It is attractive as a relatively simple means of frequency down-conversion because it dispenses with the optical cavity used in an OPO. The longitudinal cavity modes of an OPO are absent, yielding a less structured output spectrum. However, we will see that relative to an OPO, OPG is likely to sacrifice conversion efficiency, spectral control, beam quality, and pulse-to-pulse stability.

Optical parametric generation relies on parametric amplification of the quantum background which fills all possible spatial and temporal modes. The amplified output light may be spectrally broad and have poor beam quality. It is possible to improve the OPG spectrum, beam quality, and efficiency by adding a narrow bandwidth seed beam that overpowers the quantum background. A few microwatts or milliwatts is usually sufficient. With or without seeding, OPG efficiency can be quite high, with reported efficiencies up to 70%[205], but it is usually considerably lower than that.

Optical parametric generation requires a much higher single pass gain than an OPO, making optical damage more likely. OPG has been demonstrated in a variety of nonlinear crystals using short pump pulses (ps or fs) where the irradiance damage limit is high, but for nanosecond and longer pump pulses, OPG is limited to long crystals with high nonlinearity, usually quasi phase matched  $\text{LiNbO}_3$  (ppLN),  $\text{LiTaO}_3$  (ppLT), KTP (ppKTP), or GaAs (opGAAS). There are only a few reports of OPG in birefringently

phase matched crystals when the birefringent walk off is greater than a small fraction of the pump diameter[206, 207, 208, 209].

In most OPG demonstrations a Gaussian pump beam is focused so its Rayleigh range is approximately equal to the crystal length  $L$ . For this focusing condition, when spatial and temporal walk offs are insignificant the threshold pump power is approximately proportional to  $(1/L)$ , so the peak irradiance at the focal waist at threshold is proportional to  $(1/L^2)$ . Using longer crystals to take advantage of this scaling is important if optical damage lurks or if the available pump power is limited. Longer crystals also help avoid problems caused by higher order nonlinearities such as the intensity-dependent refractive index ( $n_2$ ) and two-photon absorption ( $\beta$ ). However, if generation of the shortest possible pulses is desired, the crystal must be short enough that the temporal walk off is not much greater than the pump duration.

Optical parametric fluorescence (OPF) is closely related to OPG. It also involves parametric amplification of quantum noise, but the gain is much lower, leading to countable numbers of generated photons and negligible pump depletion. It is often used as the source of correlated and entangled photons. It can also be used to measure second order nonlinear optical coefficients, as discussed in Sec. 17.1.2

The amplitude of the initiating quantum noise is half a photon per mode on average, or approximately  $(10^{-19} \text{ J})$  per mode. Many modes are sometimes amplified, raising the initial noise level to perhaps  $10^{-16} \text{ J}$ . Pump levels usually lie in the nanojoule to millijoule range, so the threshold gain is typically in the vicinity of 100 dB. In most other applications of nonlinear crystals the input irradiances need be only a few times the characteristic irradiance  $S_0$  to achieve high mixing efficiency. In contrast, achieving a threshold gain near 100 dB requires pump irradiances near  $(100 \times S_0)$ .

The high gain of OPG implies that the red waves are strongly modified by gain over a mere 5% of the crystal length. In contrast, when the pump is focused so the Rayleigh length is equal to the crystal length, the diffractive length for the red beams is roughly the full crystal length. The dispersive counterpart of the Rayleigh range is the dispersion length defined by  $(z_D = \tau^2/2D)$ . The value of  $D$  for ppLN near 1000 nm is roughly 250 fs $^2$ /mm. For this value a 100 fs pulse would have a dispersion length of 20 mm, again much longer than the gain length even in a 50 mm long crystal. Temporal walk off lengths are also usually long compared with the gain length. The implication is that parametric gain tends to overpower diffraction and dispersion to a much greater extent than in most non-OPG mixing applications.

The high gain of OPG also means there is only a small window of pump power between threshold and the onset of back conversion. Back conversion is present in most OPGs pumped at more than 1.25 times threshold and is

the primary cause of the beam quality degradation and spectral broadening observed whenever an OPG is pumped much above threshold.

The rate of energy transfer from the pump to the red pulses as they propagate is proportional to the local product of the three optical fields. This tends to lock the pulses together. The high gain of OPG can reshape the red pulses, preventing them from separating at the rate predicted by their group velocities. Similarly, the red beams tend to be entrained by the pump beam. At pump levels well above threshold, past the point in the crystal where the pump becomes depleted and the gain is reduced, this pulse/beam locking is defeated, and walk off, dispersion, and diffraction may dominate near the output end of the crystal, strongly influencing the output beam/pulse profile, spectra, and efficiency.

To optimize OPG sources in terms of spectral line width, beam quality, and efficiency it is common practice to use multiple stages of OPG in which the first stage supplies most of the gain but low conversion, while a second, low-gain stage provides the bulk of the energy conversion. Between these stages the light can be spectrally or spatially filtered to optimize overall performance.

We discuss all these topics in detail below. We begin by considering temporal/spectral effects in the absence of diffraction or birefringent walk off, using a plane wave, dispersive OPG model. This simplifies the initial discussion, emphasizing the roles of temporal walk off and gain. We will follow by adding spatial effects, including pump spatial profiles, diffraction, red wave tilts, and birefringent walk off. We will explore different focusing conditions, crystal lengths, temporal walk offs, tilted waves, seeding, back conversion, pump bandwidths, and more.

## 9.1 OPG pump threshold estimate

To estimate the threshold gain we first consider the simplest case where the pump pulse is long compared with any group velocity walk off pulses, and long compared with any GDD-induced stretching of the pulses. We also assume parallel Poynting vectors with small diffractive spreading of the beams, permitting the use of plane wave propagation and mixing equations. Threshold is reached when the pump pulse is slightly depleted at its peak.

According to Eq. (3.88) the parametric gain of the red1 irradiance, in the monochromatic, plane wave limit, assuming an undepleted pump, no red2 input, and ( $\Delta k = 0$ ), is

$$\Gamma_1 = \sinh^2(\gamma L), \quad (9.1)$$

where  $L$  is the crystal length, and

$$\gamma L = \sqrt{\frac{S_3}{S_0}}. \quad (9.2)$$

Here  $S_3$  is the irradiance of the blue (pump) pulse and  $S_\circ$  is the usual reference irradiance for this process, defined by

$$S_\circ = \frac{\epsilon_\circ c^3 n_1 n_2 n_3}{2d_{\text{eff}}^2 \omega_1 \omega_2 L^2}. \quad (9.3)$$

For large gains the sinh function in Eq. (9.1) can be approximated as

$$\sinh(\gamma L) = \frac{1}{2} e^{\gamma L}, \quad (9.4)$$

leading to

$$\Gamma_1 = \frac{1}{4} e^{2\gamma L} = \frac{1}{4} \exp\left(2\sqrt{\frac{S_3}{S_\circ}}\right). \quad (9.5)$$

For a Gaussian pump pulse of duration  $\tau$ , Gaussian waist  $w$  (both at  $I_M/e^2$ ), and pulse energy  $\mathcal{U}_3$ , the peak pump irradiance at the pulse and beam center is

$$S_{3M} = \frac{\mathcal{U}_3}{(\pi/2)^{3/2} \tau w^2}. \quad (9.6)$$

The average noise irradiance of the red1 beam at its pulse and beam center, in a beam with the same shape as the blue beam, is at least

$$S_{1M} = \frac{h\nu_1}{(\pi/2)^{3/2} \tau w^2}. \quad (9.7)$$

It is many times larger than this if many frequency modes can be amplified. In order to deplete the pump irradiance by 10% at pulse/beam center, the red1 irradiance must be amplified from this initial value of  $S_{1M}$  to

$$S_1 = 0.1 \frac{\nu_1}{\nu_3} S_{3M}. \quad (9.8)$$

Using Eq. (9.5) we write this threshold condition as

$$0.1 \frac{\nu_1}{\nu_3} S_{3M} = 0.25 S_{1M} \exp\left(2\sqrt{\frac{S_{3M}}{S_\circ}}\right), \quad (9.9)$$

or, in terms of the pulse energy for a spatial and temporal Gaussian pump,

$$0.4 \frac{\mathcal{U}_{\text{th}}}{h\nu_3} = \exp\left(2\sqrt{\frac{\mathcal{U}_{\text{th}}}{(\pi/2)^{3/2} \tau w^2 S_\circ}}\right) \approx \exp\left(\sqrt{\frac{2 \mathcal{U}_{\text{th}}}{\tau w^2 S_\circ}}\right). \quad (9.10)$$

Taking the logarithm of both sides and squaring gives the pump threshold energy condition

$$\ln^2\left[0.4 \frac{\mathcal{U}_{\text{th}}}{h\nu_3}\right] = \frac{2 \mathcal{U}_{\text{th}}}{\tau w^2 S_\circ}. \quad (9.11)$$

An important point is that  $\mathcal{U}_{\text{th}}$  estimated this way depends on the crystal properties and the wavelengths through parameter  $S_o$ , and on the pump beam waist  $w$  and pulse duration  $\tau$ . The threshold deduced from Eq. (9.11) would be increased by temporal walk off of the red waves from the pump, by diffractive spreading of the pump beam, or by any loss of the red beams. It would be slightly decreased if many red frequency modes can be amplified.

Using Eq. (9.11) we find that typical threshold gains are in the range of 100-150 dB and the ratio  $(S_3/S_o)$  at threshold is in the range 150-300. To increase the conversion efficiency from 0.1 to nearly 1.0, another 10 dB of gain is necessary, requiring at least a 20% increase in pump energy. The efficiency computed over the full pulse and beam profiles rather than at the pulse/beam center is still quite low at this pump level, so stronger pumps are frequently used to improve efficiency, but this causes back conversion near the pump pulse/beam center.

## 9.2 OPG gain bandwidths

The high parametric gain required for OPG substantially alters the spectral acceptance bandwidth of the red waves. At low gain the bandwidth is defined by the condition  $(\Delta kL = 2\pi)$  but at higher gain the bandwidth broadens. According to Sec. 3.4, the plane wave parametric gain expression, now including  $\Delta k$ , is

$$\Gamma_1 = \frac{S_3}{S_o} \left| \frac{\sinh(\gamma L)}{\gamma L} \right|^2, \quad (9.12)$$

where

$$\gamma L = \sqrt{\frac{S_3}{S_o} - \left( \frac{\Delta k L}{2} \right)^2}. \quad (9.13)$$

In Fig. 9.1 we plot the plane wave gain profiles versus the phase mismatch  $\Delta kL$  for three values of  $S_3/S_o$ : [1, 150 (100 dB gain), 323 (150 dB gain)]. The broadened profiles at typical OPG gains imply red OPG spectra that are broader than the low gain bandwidth

$$\Delta\nu_{12} = \frac{1}{|\tau_{12}|} = \frac{c}{L|n_{g1} - n_{g2}|}. \quad (9.14)$$

For typical OPG gains the linewidth is approximately 2.5 times broader than the low gain limit and, because it grows slowly with increasing gain, we somewhat arbitrarily define the OPG acceptance bandwidth as  $(\Delta kL = 16)$ . This width applies near the OPG threshold. For higher pump levels back conversion may further broaden the linewidth.

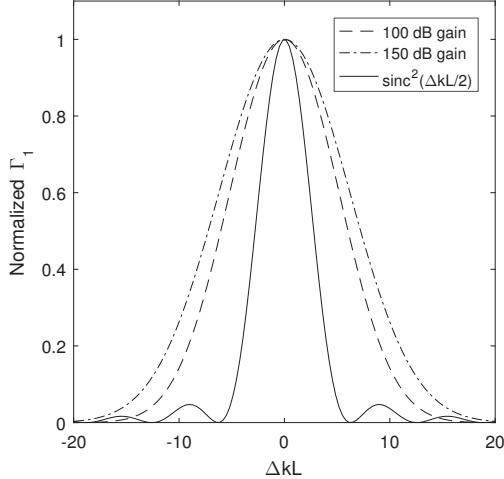


FIGURE 9.1. Plane wave parametric gain  $\Gamma_1$  defined in Eq. (9.12) versus phase mismatch  $\Delta kL$  for three values of  $S_3/S_0$ . The narrow curve is the familiar  $\text{sinc}^2(\Delta kL/2)$  function. The dashed and chained curves are the gain profiles for 100 dB ( $S_3/S_0 = 150$ ) and 150 dB ( $S_3/S_0 = 323$ ) gains. The widths (FWHM) of the high gain curves are 2.2 and 2.7 times that of the  $\text{sinc}^2$  function.

### 9.3 OPG numerical modeling

Most of the discussion in this chapter is based on numerical modeling, so it is important to understand what is included in our models. Numerical methods for OPG are much the same as for other frequency mixing processes. Beam propagation methods which incorporate accurate treatments of diffraction, dispersion, phase matching, and nonlinear mixing, including pump depletion, are used to compute output fields  $E_i(x, y, t)$ , from which we calculate mixing efficiency, beam quality, pulse quality, beam tilts, and so on. One difference from other mixing is the extreme gain of OPG which requires the size of the propagation steps be much smaller. Typically (300 – 500) z-steps are used. High transverse spatial resolution is also necessary if the pump significantly exceeds threshold. The beams often develop fine spatial structure, in particular strong irradiance spikes near the beam center. At locations downstream from the first back conversion the red waves can also develop large tilts, so keeping the beams fully contained within the spatial grid may require large grids. This combination of large beams and fine spatial structure demands high spatial resolution. The red spectra also broaden with increasing pump strength so high time resolution is necessary. The resulting small step sizes in  $\{x, y, z, t\}$  makes for a slow model demanding a large memory. Educated adjustments of the grid sizes

and resolutions to ensure convergence of the model without wasting computer resources is an important aspect of OPG modeling. Model runs for full dispersive/diffractive models typically require a few minutes to an hour. Systematic studies varying parameters take much longer. For this reason, we attempt to explain here the broad outlines of OPG behavior, based on extensive modeling studies. We hope you will be able use this knowledge to more efficiently explore the details of your OPG.

The SNLO function OPG uses beam propagation methods to model OPG. It incorporates diffraction, dispersion, nonlinear mixing, starting quantum noise, and phase matching to produce physically realistic results.

### 9.3.1 Quantum noise simulation

Because OPG is initiated by quantum noise, adequate simulations of that noise are essential. In general we include both spatial and temporal noise for both red waves. In Sec. 5.5 we explained how we treat all optical fields as classical fields, so we do not use a true quantum treatment of the noise. Instead, we use a stochastic electrodynamics treatment[143]. Each optical mode which might possibly be amplified is populated with a classical field with stochastic properties suitably chosen to approximate quantum noise. Specifically, the average energy per mode is  $(h\nu/2)$ , and the energy distribution among the modes is Gaussian with a random phase distribution[143, 144]. Model results when populating one red wave at  $(h\nu)$  or both red waves at  $(h\nu/2)$  are usually indistinguishable, but we always populate both waves.

To construct the noise we must define the modes and determine which modes to include in a simulation. Our approach is to include noise in as many modes as possible under the numerical constraints. We assign noise with a mean value of  $(h\nu/2)$  to each volume element of the  $\{x, y, t\}$  grid. That is

$$\langle U_{\text{noise}} \rangle = \Delta x \Delta y \Delta t \frac{\epsilon_0 c}{2} \langle |E|^2 \rangle = \frac{h\nu}{2}. \quad (9.15)$$

Defining volume  $V$  by

$$V = \Delta x \Delta y \Delta t, \quad (9.16)$$

the mean square of the field is written

$$\langle |E|^2 \rangle = \left[ \frac{h\nu}{\epsilon_0 c V} \right]. \quad (9.17)$$

The noise field is a set of fields  $\{E\}$ , one for each grid volume element, given by

$$\{E\} = \left[ \frac{h\nu}{\epsilon_0 c V} \right]^{1/2} \left[ -\ln\{R\} \right]^{1/2} [\cos\{\phi\} + i \sin\{\phi\}], \quad (9.18)$$

propagating in the opposite direction, right to left, the response is two upward fields create a polarization directed to the left, which means  $d_{\text{eff}}$  is negative. Thus for the same crystal sample,  $d_{\text{eff}}$  can change sign depending its orientation. One way to analyze this is to keep the propagation direction fixed in space while we change the orientation of the crystal, keeping all field directions fixed to the crystal with the assurance that the directions are then physically related to the nonlinearity of the crystal. In the laboratory frame these rotations change the directions of the optical fields. We can rotate about three axes as shown in Fig. 16.2, demonstrating that for a single crystal there are four orientations that phase match and have the same magnitude of  $d_{\text{eff}}$ . However, the sign of  $d_{\text{eff}}$  changes depending on the orientation of the crystal. If the sign of  $d_{\text{eff}}$  is positive for the orientation shown in Fig. 16.2(a) it is also positive for the orientation in (b) but negative for the orientations in (c) and (d). This example uses the  $e$ - and  $o$ -polarized waves of a uniaxial crystal, but the same arguments obviously apply to the orthogonal polarizations of a biaxial crystal if the crystal is rotated  $180^\circ$  about one of the eigenpolarization directions or about the propagation direction.

### 16.4.2 $d_{\text{eff}}$ surface

We can pick one set of eigenpolarizations and calculate  $d_{\text{eff}}$  for all propagation directions to form a  $|d_{\text{eff}}|$  surface such as that shown in Fig. 16.3. This example is for KNbO<sub>3</sub> with two waves polarized along the *hi* index direction and one polarized along the *lo* index direction. Reversing the propagation direction does not change  $|d_{\text{eff}}|$  so we need to calculate  $d_{\text{eff}}$  for only 4 of the eight octants. We chose ( $x > 0$ ) for this example, but we could have chosen the four with ( $y > 0$ ) or the four with ( $z > 0$ ) just as well. The  $|d_{\text{eff}}|$  surface reflects the underlying crystal symmetry. Our example crystal, KNbO<sub>3</sub>, is unchanged by rotation of  $180^\circ$  about  $\hat{x}$  or by reflection in the ( $y = 0$ ) or ( $z = 0$ ) planes.

The phase matching loci in biaxial crystals form loops around one of the principal axes or, rarely, around the optic axes[37]. It is convenient to specify phase matching angles using polar angle  $\theta$  and azimuthal angle  $\phi$  measured relative to the encircled axis. Plots of  $\theta$  and  $|d_{\text{eff}}|$  as functions of  $\phi$  are then single valued and easy to interpret. The phase matching loops traverse four octants. In crystals with lowest possible symmetry, the four octants are different so a complete specification of  $|d_{\text{eff}}|$  requires a plot over  $360^\circ$  in  $\phi$ . I know of no reports of applications of nonlinear crystals with such low symmetry. More symmetric crystals require a plot of  $|d_{\text{eff}}|$  over only one or two octants. For example, the phase matching loci for second harmonic generation of 1064 nm light in KNbO<sub>3</sub> loop around the *x*-axis. Only a single octant is necessary for this crystal.

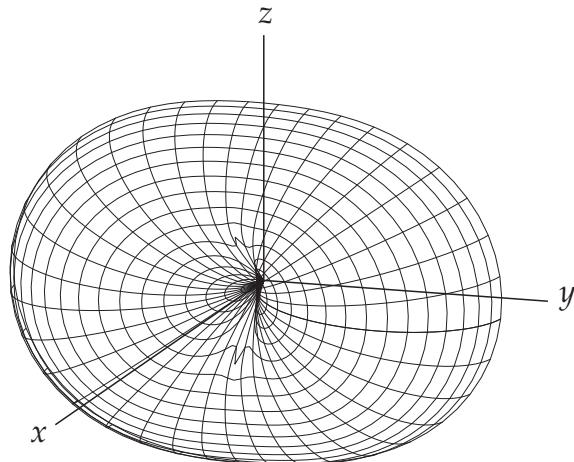


FIGURE 16.3. The values of  $d_{\text{eff}}$  for KNbO<sub>3</sub> versus propagation angle. The polarizations are: one *lo* and two *hi*. The rear half of the surface ( $x < 0$ ) is a mirror image of the front ( $x > 0$ ) half.

The SNLO function BMIX allows the user to specify the reference axis for plots of  $\theta$ ,  $d_{\text{eff}}$  and other properties.

The phase matching loci in uniaxial crystals always form circles about the  $z$ -axis at constant  $\theta$ . Simple expressions give  $d_{\text{eff}}$  as a function of  $\phi$  and it is customary to specify a crystal as either type I or type II depending on whether  $\phi$  is chosen to maximize  $d_{\text{eff}}$  for mixing with one *e*-wave or two.

## 16.5 Crystal symmetry and $\mathbf{d}$

The forms of both the  $d$  and  $\epsilon$  tensors depend on how the reference frame in which they are specified is oriented relative to the lattice structure of the crystal, and also on the symmetry properties of the crystal's unit cell. To account for this we consider two reference systems, a crystallographic system that is tied to the crystal lattice, and an optical system that we superimpose on it. The crystallographic system axes are labeled  $(a, b, c)$  and the optical system axes are labeled  $(\hat{x}, \hat{y}, \hat{z})$ . Figure 16.4 shows the labeling convention. The optical system is orthogonal but the crystallographic system is not

necessarily orthogonal. Both systems are right handed meaning  $(\hat{x} \times \hat{y} = \hat{z})$  and  $((a \times b) \cdot c > 0)$ . Various other reference frames abound in the literature but these two are sufficient and by using only two reference frames I hope to minimize confusion. In specifying the crystallographic frame relative to

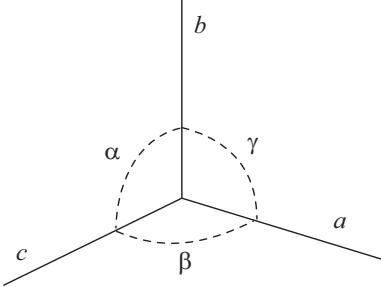


FIGURE 16.4. Crystallographic axis convention.

the crystal lattice we will try to use the established conventions, but often ambiguity remains and we will discuss this when it occurs.

There is always an orientation of the optical frame in which  $\epsilon$  is diagonal with  $(\epsilon_{xx} \leq \epsilon_{yy} \leq \epsilon_{zz})$ . We will use this frame to specify both  $\epsilon$  and  $\mathbf{d}$ . There is ambiguity here as well because rotation of the optical frame by  $180^\circ$  about any of its axes will also be a frame meeting this criterion, so we will be alert to the implications of this ambiguity as well. For biaxial crystals the orientation of  $(\hat{x}, \hat{y}, \hat{z})$  is fixed relative to  $(a, b, c)$  by these standards. For uniaxial crystals we will label the optical axis  $\hat{z}$  and it will lie along a crystal symmetry axis that is conventionally labeled  $c$ . Orienting  $\hat{z}$  along this direction is sufficient to diagonalize  $\epsilon$  but it leaves the orientation of the  $\hat{x}$  and  $\hat{y}$  axes undefined. We must specify their orientations relative to  $(a, b, c)$  if  $\mathbf{d}$  is to be uniquely specified. For isotropic crystals  $\epsilon$  is diagonal for all orientations of  $(\hat{x}, \hat{y}, \hat{z})$  so we pick a convenient orientation relative to  $(a, b, c)$ , for example  $(\hat{x} = \hat{a}, \hat{y} = \hat{b}, \hat{z} = \hat{c})$ . Some crystals exist in two distinct mirror image, or enantiomorphic, forms. The right handed form is assumed unless stated otherwise.

Before we proceed further, a little knowledge of crystallography is essential. Our discussion is based largely on the treatments presented in books by Cady[466], Kittel[467], Butcher[468], and Boyd[14]. Perfect crystals consist of identical unit cells stacked in space, each situated identically with respect to its neighboring cells to form a crystal lattice. The unit cell is the smallest parallelepiped out of which the crystal could be constructed. There are seven types of unit cells that can be used to fill space. They are listed in Table 16.5 along with a description of their shape specified by the lengths of three adjacent sides  $(a, b, c)$  and the included angles  $(\alpha, \beta, \gamma)$

using the labeling conventions shown in Fig. 16.4. The set of axes  $(a, b, c)$  is the crystallographic reference frame.

TABLE 16.5. Crystal System Properties

System	Unit cell	Unit cell angles	Type
Triclinic	$a \neq b \neq c$	$\alpha \neq \beta \neq \gamma$	biaxial
Monoclinic	$a \neq b \neq c$	$\alpha = \gamma = 90^\circ \neq \beta$	biaxial
Orthorhombic	$a \neq b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	biaxial
Tetragonal	$a = b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	uniaxial
Trigonal	$a = b = c$	$90^\circ \neq \alpha = \beta = \gamma \leq 120^\circ$	uniaxial
Hexagonal	$a = b \neq c$	$\alpha = \beta = 90^\circ \gamma = 120^\circ$	uniaxial
Cubic	$a = b = c$	$\alpha = \beta = \gamma = 90^\circ$	isotropic

Each unit cell contains an identical array of atoms located at fixed positions relative to the cell. The symmetry of this array of atoms is in general lower than that of the unit cell. At most its symmetry equals that of the unit cell. It is known from group theory that there are 32 point symmetries possible for the atomic structure. Point symmetries are defined by covering operations such as rotation, reflection, and inversion about a point that leave the appearance of the crystal unchanged. Table 16.6 lists the point symmetry classes along with some familiar examples. If  $T$  is a covering operation, the transformed  $\mathbf{d}$  must be the same as the original because the appearance of the crystal has not changed. Thus

$$\epsilon_{ij} = \epsilon'_{ij} = T_{ir} T_{js} \epsilon_{rs} , \quad (16.30)$$

and

$$d_{ijk} = d'_{ijk} = T_{ir} T_{js} T_{kt} d_{rst} . \quad (16.31)$$

The name of each crystal class reflects its symmetries or covering operations. Numbers indicate rotation symmetry. For example the number 2 indicates that the crystal appears unchanged by rotation through  $180^\circ$ , 3 means it is unchanged by rotation through  $120^\circ$ , etc. A bar over the number indicates that rotation plus inversion is a covering operation. For example  $\bar{3}$  means rotation by  $120^\circ$  plus inversion is a covering operation. Symmetry on reflection in a plane is indicated by  $m$ . We will briefly discuss each class and the corresponding properties of  $\epsilon$  and  $\mathbf{d}$  beginning with the least symmetric class and progressing to the most symmetric.

As is well known, a second order nonlinear response requires an asymmetric medium. We will see that only 20 (18 when Kleinman symmetry is assumed) of the 32 crystal classes have asymmetry that can support a second order response. These are indicated in the Table 16.6.

TABLE 16.6. Crystal symmetry classes

Crystal system	Crystal class	Schoenflies	$\mathbf{d} \neq 0$	common name
Triclinic	1	$C_1$	yes	
	$\bar{1}$	$C_i$ or $S_2$	no	
Monoclinic	2	$C_2$	yes	
	$m$	$C_s$ or $C_{1h}$	yes	
	$2/m$	$C_{2h}$	no	
Orthorhombic	222	$D_2$ or $V$	yes	
	$mm2$	$C_{2v}$	yes	
	$mmm$	$D_{2h}$ or $V_h$	no	
Tetragonal	4	$C_4$	yes	
	$\bar{4}$	$S_4$	yes	
	$4/m$	$C_{4h}$	no	
	422	$D_4$	no	
	$4mm$	$C_{4v}$	yes	
	$\bar{4}2m$	$D_{2d}$ or $V_d$	yes	chalcopyrite
	$4/mmm$	$D_{4h}$	no	
Trigonal	3	$C_3$	yes	
	$\bar{3}$	$C_{3i}$ or $S_6$	no	
	32	$D_3$	yes	
	$3m$	$C_{3v}$	yes	
	$\bar{3}m$	$D_{3d}$	no	
Hexagonal	6	$C_6$	yes	
	$\bar{6}$	$C_{3h}$	yes	
	$6/m$	$C_{6h}$	no	
	622	$D_6$	no	
	$6mm$	$C_{6v}$	yes	wurtzite
	$\bar{6}m2$	$D_{3h}$	yes	
	$6/mmm$	$D_{6h}$	no	
Cubic	23	$T$	yes	
	$m3$	$T_h$	no	
	432	$O$	no	
	$\bar{4}3m$	$T_d$	yes	zinc blende
	$m3m$	$O_h$	no	

Values for  $\mathbf{d}$  are not tabulated in this book. The SNLO values are kept up to date, and used by SNLO in its calculations. To view the SNLO  $\mathbf{d}$ , run Qmix and select the crystal. The corresponding  $\mathbf{d}$  tensor is displayed in the text window of the Qmix form, along with its crystal class.

### 16.5.1 Enantiomorphism

It is possible for crystals without mirror or inversion symmetries to exist in two distinct mirror image forms, or enantiomorphs. Crystal classes in which enantiomorphism is allowed include classes (1, 2, 222, 4, 422, 3, 32, 6, 622, 23, 432) of which all but (422, 622, 432) have nonzero  $\mathbf{d}$ . The two mirror image crystal forms are traditionally called left-handed and right-handed because all enantiomeric classes also all exhibit optical activity. The right-handed form of the crystal rotates linearly polarized light clockwise as viewed looking into the beam, while the left-handed form rotates it counter clockwise.

The mirror symmetry of enantiomorphs is perfect, so all physical attributes are the same for the two forms if they are described in mirror image reference systems. It is customary to quote  $\mathbf{d}$  for the right-handed crystal form as expressed in a right-handed reference system, which is the same as that for the left-handed form described in a corresponding left-handed reference system. We illustrate this in Fig. 16.5. The left half of the figure shows the right handed form of a crystal unit cell along with a standard, right-handed  $(x, y, z)$  reference frame. The orientation of  $(x, y, z)$  must be specified relative to the unit cell structure if we are to have a unique determination of  $\mathbf{d}$ . For the triclinic class unit cell shown in the figure, the standard orientation of  $(a, b, c)$  is  $(c < a < b)$  and  $(\alpha, \beta > 90^\circ)$ . The origin of this uniquely defined crystallographic frame is marked by the dot.

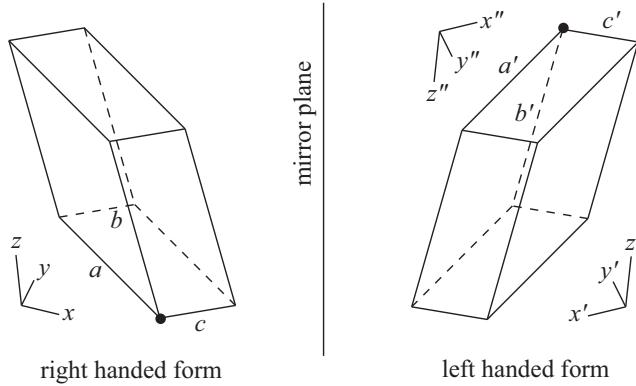


FIGURE 16.5. Mirror image crystal structures and associated crystallographic and optical reference systems.

The right half of Fig. 16.5 shows the mirror image, or left-handed, unit cell, along with the mirror image reference frame  $(x', y', z')$ . If  $(x, y, z)$  is used for the right-handed enantiomorph and  $(x', y', z')$  is used for the left-handed enantiomorph, all the properties of the two forms are identi-

cal, including the  $\mathbf{d}$  tensor. Notice that  $(x', y', z')$  is a left-handed frame. If we invert all of its axes we have the right-handed frame  $(x'', y'', z'')$ . However, inverting all the axes inverts the sign of  $\mathbf{d}$  so, expressed in the  $(x'', y'', z'')$  frame, all of the  $d_{ijk}$  terms of the left-handed crystal are the negative of those for the standard right-handed crystal. Finally, notice that if we apply our standard rule for orienting the crystallographic frame to the left-handed crystal, we arrive at the right-handed frame  $(a', b', c')$  with its origin indicated by the dot. This frame has the same orientation relative to  $(x'', y'', z'')$  that frame  $(a, b, c)$  has relative to  $(x, y, z)$ . We conclude that if we use right-handed crystallographic and reference frames for both crystal forms, and follow the standard rule for orienting the crystallographic axes, the sign of  $\mathbf{d}$  is opposite for right- and left-handed enantiomorphs.

### 16.5.2 Gyrotropy

Gyrotropy is optical activity or the rotation of linearly polarized light on propagation through material[4]. It was discussed in Chapter 2 in connection with the linear optical properties of crystals. All enantiomorphic crystals can exhibit gyrotropy with the two enantiomorphs giving opposite directions of polarization rotation so a measurement of the rotation direction provides a convenient way to distinguish between enantiomorphs. Some nonenantiomorphic crystals (classes  $m$ ,  $mm2$ ,  $\bar{4}$ , and  $\bar{4}2m$ ) can also exhibit gyrotropy. In birefringent crystals the birefringence normally overwhelms the gyrotropy so no rotation is evident unless the propagation direction lies along an optical axis where birefringence disappears. Among the non-birefringent crystal classes only classes 432 and 23 are gyrotropic. Both of these are enantiomorphic and the sign of the rotation depends on whether the crystal is a right or left enantiomorph. In these two classes gyrotropy is equal valued for all propagation directions.

### 16.5.3 Ferroelectricity and poling

Distinguishing characteristics of ferroelectric crystals are that they belong to one of the 10 ferroelectric classes with a polar axis, classes  $(1, 2, m, mm2, 4, 4mm, 3, 3m, 6, 6mm)$ , that they have a spontaneous polarization along a polar axis which can be reversed by a sufficiently strong applied electric field, that they show dielectric hysteresis, and that they have a domain structure. Above the Curie temperature these ferroelectric properties are absent. All ferroelectric crystal classes also have second-order nonlinearity, but not all nonlinear crystal classes are ferroelectric. Just because a crystal species is a member of a ferroelectric crystal class does not mean it must be ferroelectric. It only means ferroelectricity is not forbidden by symmetry. Among commonly used nonlinear crystals,  $\text{KNbO}_3$ , crystals of the KTP

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