

# Using scalar invariants

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## What scalar invariants exist?

Christoffel proved in 1869 that scalars constructed from the metric and its derivatives must be functions of the metric itself and the Riemann tensor and its covariant derivatives.

The first examples to spring to mind are **scalar polynomial invariants**, SPIs, such as  $R_{ab}R^{ab}$  or  $C_{abcd}C^{cdef}C_{ef}^{ab}$ . Often when people just say “invariant” they mean “s.p. invariant”.

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Indeed that occurs in the title of one of Seno’s papers:  
“On the existence of horizons in spacetimes with vanishing curvature invariants” (JHEP, 2003(11), 046)

However, these are not the only, and in my view not the best, choice.

## Cartan invariants

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Let  $F(\mathcal{M})$  denote a “suitable” frame bundle over a spacetime  $\mathcal{M}$  (i.e. take the set of all frames at each point) and  $\mathcal{R}^q$  be the set  $\{R_{abcd}, R_{abcd;f}, \dots, R_{abcd;f_1 f_2 \dots f_q}\}$  of the components of the Riemann tensor and its covariant derivatives up to the  $q$ th in a frame.

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Choose from  $F(\mathcal{M})$  in a canonical and invariant way, e.g. use the principal null directions of the Weyl tensor. The resulting

$\{R_{abcd}, R_{abcd;f}, \dots, R_{abcd;f_1 f_2 \dots f_q}\}$  are called the **Cartan invariants**. They are scalars, because the frames are invariantly defined, e.g.  $R_{ijkl}a^i b^j c^k d^l$  where **a**, **b**, **c** and **d** are basis vectors of the chosen frame. This is like characterizing a symmetric bilinear map (matrix) by its eigenvalues.

## Calculating invariants

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A simple alternative is to use Cartan invariants instead, with the advantage they are linear in the curvature components. In principle this can be messy because of the nature of the formulae for solution of quartics, but in practice it is often manageable.

## Are SPIs good enough to characterize spacetimes?

Until 2009, I would have said definitely not. Note that *pp* waves and flat space both have all scalar polynomial invariants, of all orders, equal to zero. In fact all vacuum type N and III metrics with  $\rho = 0$  have this property (Pravda and Bicak 2001 and earlier). There are also metrics which have equal non-zero s.p. invariants (e.g. Siklos 1996, Pravda 1999, Hervik 2004).

These ambiguities are associated with the indefiniteness of the metric and the non-compactness of the Lorentz group (Schmidt 1998).

## Are s.p. invariants good enough? Yes, usually!

Coley et al (CQG 26, 025013 (2009)) gave an argument that all spacetimes are completely characterized by their SPIs, **except for spacetimes in the Kundt class**. Their proof seemed to me to have a gap and I have not yet been able to see if it is filled by their later work. Nevertheless the result seems correct.

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In particular they have followed up by a substantial number of papers, which I will not have time to discuss today. These consider not only 4D spacetimes, but higher dimensional spaces and various signatures.

## Classification of singularities

One can characterize singularities by the behaviour of the Riemann tensor components along a curve of finite length ending at the singularity in a parallelly-propagated frame, or in other frames.

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Quasiregular singularities are locally extendible in the sense that every curve ending at one has a neighbourhood isometric to a neighbourhood in a regular complete spacetime. Such singularities are common on the axes in axisymmetric solutions, where they may be described as struts between particles or bodies.

## SPIs and singularities

I used my own choice of names yesterday. In the literature, the 'essential' case are called SP curvature singularities, because an SPI is unbounded along the incomplete curve as it reaches its end. (To avoid the long circumlocution, I'll say 'at the singularity', although we don't know how to locate the singularities themselves.) The 'intermediate' class are then called non-SP curvature singularities.

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In the non-SP curve the existence of unboundedness in some frame and boundedness in parallelly-propagated frames must be because the Lorentz transformation between a frame with bounded components and the parallelly-propagated frame has components that are unbounded along the curve. The frame with bounded components could be a frame defining Cartan invariants.

## Applying the criteria

The nasty part is that we cannot usually calculate the geodesics explicitly. So what is usually done is calculate invariants in some frame, not at all shown to be a frame parallelly propagated along an incomplete geodesic, and see where they become unbounded, and then argue that causal curves going through that region will see unbounded Riemann components.

We can for example calculate some set of Cartan invariants.

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To do better we would need to know more about how the SPIs fix the Cartan invariants. Then assuming the Coley et al result is correct, we might be able to just check if the solution is the Kundt class, and if not we could deduce that we have an SP singularity. If we are in the Kundt class it's straightforward.

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At non-SP singularities Riemann tensor components are OK. But an invariant involving first derivatives is unbounded (Siklos 1978). An example was studied by Podolsky and Belan (2004).

Question: when does blow up of higher derivative invariants imply a singularity?

# A conjecture on singularities

These arguments lead to a conjecture as follows.

Geodesic continuation needs a  $C^2$ - metric. In invariantly-defined frames the connection coefficients are typically expressible as ratios of first derivative Cartan invariants to zeroth derivative ones. We know that there are “intermediate” or “whimper” singularities where SPIs of the Riemann tensor do not blow up, while SPIs of the first derivatives of the Riemann tensor do. Hence:

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**Conjecture:** Spacetime singularities are either locally extendible or at least one Cartan invariant in  $\mathcal{R}^1$  has an infinite limit along any curve approaching the singularity.

## “Directional singularities”

Another application of invariants is to “directional” singularities, where, a singular point apparently has directionally dependent limits. Szekeres and Scott showed that the directional singularity of the Curzon metric hid more extended regions at whose boundary the original coordinates broke down. My student Taylor showed (2005) that such cases could be appropriately “unravelled” by using level surfaces of Cartan invariants to define new coordinates. Lake (2003) used the Weyl tensor SPIs to show that the Kerr singularity was not directional.

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## “Kinematic singularities”

Here the world lines of a perfect fluid with  $w$  sufficiently large cannot be extended beyond a finite time although density and pressure remain finite. These were found in spatially-homogeneous cosmologies, where the fluid accelerates so that asymptotically it has the speed of light.

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Here the geodesics orthogonal to the surfaces of homogeneity are complete and the Ricci tensor vanishes as one follows the fluid congruence: either the Weyl tensor or the kinematic variables of the congruence may become unbounded. In the latter case, if  $\mu + p$  is not 0 and has a non-zero limit, there can be unbounded scalars in the covariant derivatives of the curvature, though not in the curvature itself.

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In the example I studied with Coley and others, given an integer  $p$ , the Cartan (and hence s.p.) scalars can be finite up to the  $p$ -th derivative, but not the  $(p + 1)$ -th.

## Newman-Penrose method

The cosmic censorship hypothesis (proved in some circumstances) says that singularities cannot be 'naked', i.e. must be hidden behind horizons. So I'll now talk about horizons. But to do so, I need a very brief introduction to the Newman-Penrose technique.

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I'll leave aside the spinorial version and think of the null tetrad version. A null tetrad uses two real null vectors and two complex conjugate vectors,  $\{l^a, n^a, m^a, \bar{m}^a\}$  such that  $l^a l_a = n^a n_a = m^a m_a = \bar{m}^a \bar{m}_a = 0$  and  $-l_a n^a = 1 = m^a \bar{m}_a$ . (The spacetime stays real.) In this frame each connection component is parametrized by a Greek letter. It turns out the Weyl tensor is represented by 5 complex quantities  $\Psi_0$  to  $\Psi_4$ . If we make a so-called null rotation:

$$\hat{l} = l, \quad \hat{n} = n + zm + \bar{z}\bar{m} - \frac{1}{2}z\bar{z}l, \quad \hat{m} = m - zl$$

then the "principal null directions" are given by the roots of

$$\sum_i \left(\frac{4}{i}\right) \Psi_i z^i = 0$$

## Black hole and other horizons

Karlhede et al (1982) first noted that  $R_{abcd;e}R^{abcd;e} = 0$  at the Schwarzschild horizon (so a prudent space traveller might monitor that). Skea in his thesis noted that this is not true for other horizons (a point redisussed by Saa (2007) for higher-dimensional static cases: he also found points where  $R_{abcd;e}R^{abcd;e} = 0$  which are not horizons). Lake (2003) continued the work on Kerr by considering first derivative invariants, and found their vanishing characterized the horizons.

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Moffat and Toth (2014) considered the relation of the “Karlhede invariant” (i.e.  $R_{abcd;e}R^{abcd;e}$ ) to discussions of a “firewall” at the horizon.

In 2006 I suggested a test for horizons in Petrov type D spacetimes using the NP variable  $\rho$  in the canonical frame. This has a well-understood geometric interpretation: since the horizon is a marginally trapped surface, the outgoing null vector  $\ell$  must be surface-forming and non-expanding implying that  $\rho = 0$  there. For these metrics  $\rho$  is a ratio of Cartan invariants.

## Black hole and other horizons (cont)

More recently Lake and Abdelqader (2015) have given an invariant characterization of the Kerr horizon, and, prompted by that, Page and Shoom (2015) have given a more general one for stationary black holes.

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In the published version we considered the following examples in 4 and 5 dimensions:

the Kerr-Newman-NUT-(Anti)-de Sitter metric in 4D

the Reissner-Nordström-(Anti)-de Sitter metric in 5D

the Kerr-NUT-(Anti)-de Sitter metric in 5D. In the arXiv version we also considered:

the Tangherlini metric (analogue of Schwarzschild in 5D)

the simply rotating Myers-Perry metric (an analogue of Kerr in 5D)

and wrote up separately some subcases of the other metrics.

## The SPIs used

The SPIs used by the Abdelqader and Lake and Page and Shoom papers can be denoted by  $I_1, \dots, I_7$ , and are:

$$\begin{aligned} I_1 &= C^{abcd} C_{abcd}, & I_2 &= C^{*abcd} C_{abcd}, & I_3 &= C^{abcd;e} C_{abcd;e}, \\ I_4 &= C^{*abcd;e} C_{abcd;e}, & I_5 &= (I_1)_{;a} (I_1)^{;a}, & I_6 &= (I_2)_{;a} (I_2)^{;a}, & I_7 &= (I_1)_{;a} (I_2)^{;a}, \end{aligned} \quad (1)$$

where  $C_{abcd}$  is the Weyl tensor and  $C_{abcd}^*$  is its dual, and a semicolon denotes a covariant derivative.

Note that in a vacuum  $I_3$  is the Karlhede invariant. From the invariants above, Abdelqader and Lake then defined the dimensionless invariants

$$Q_1 = \frac{(I_1^2 - I_2^2)(I_5 - I_6) + 4I_1 I_2 I_7}{3\sqrt{3}(I_1^2 + I_2^2)^{\frac{9}{4}}}, \quad (2)$$

$$Q_2 = \frac{I_5 I_6 - I_7^2}{27(I_1^2 + I_2^2)^{\frac{5}{2}}}, \quad Q_3 = \frac{I_5 + I_6}{6\sqrt{3}(I_1^2 + I_2^2)^{\frac{5}{4}}}$$

where  $I_1$  to  $I_7$  are given by (1).

## The 4D main example

The 4D Kerr-Newman-NUT-(Anti)-de Sitter metric is given by

$$\begin{aligned} ds^2 = & -\frac{Q}{R^2} \left[ dt - \left( a \sin^2 \theta + 4l \sin^2 \frac{\theta}{2} \right) d\phi \right]^2 + \frac{R^2}{Q} dr^2 \\ & + \frac{P}{R^2} \left[ adt - (r^2 + (a + l)^2) d\phi \right]^2 + \frac{R^2}{P} \sin^2 \theta d\theta^2 \end{aligned} \quad (3)$$

where  $R \equiv R(r, \theta)$ ,  $P \equiv P(\theta)$  and  $Q \equiv Q(r)$  are functions of  $\cos \theta$  and  $r$ , containing the parameters  $m$ ,  $e$ ,  $g$ ,  $a$ ,  $l$ , and  $\Lambda$  which are, respectively, mass, the electric and magnetic charges, a rotation parameter, a NUT parameter in a de Sitter or anti-de Sitter background, and the cosmological constant:

$$R^2 = r^2 + (l + a \cos \theta)^2 \quad (4)$$

$$P = \sin^2 \theta (1 + (3l + a \cos \theta)(l + a \cos \theta)\Lambda/3), \quad (5)$$

$$Q = (a^2 - l^2 + e^2 + g^2) - 2mr + r^2 - \Lambda[(3l^2 + a^2)r^2 + r^4]/3. \quad (6)$$

## Cartan approach

We would like to have an extended Cartan invariant that detects the event horizon. Looking at  $\nabla \Psi_{20'}$  we find that

$$\rho = \mu = -\frac{1}{\sqrt{2}} \frac{\sqrt{Q}[r - i(a \cos \theta + l)]}{R^3}. \quad (7)$$

Computing the roots of  $Q(r)$  for arbitrary  $a, l, m, e, g$  and  $\Lambda$  is not a pleasant task. However, for this extended Cartan invariant we do not need to compute them, as it is clear that the zeros of  $\rho$  are exactly the zeros of  $Q(r)$ .

The ergosurface can be detected by combining  $\rho$  with another Cartan invariant,

$$\tau = \pi = \frac{1}{\sqrt{2}} \frac{a\sqrt{P}[r - i(a \cos \theta + l)]}{R^3}, \quad (8)$$

to produce the following extended Cartan invariant:

$$\rho^2 - \tau^2 = \frac{(Q - a^2 P)[r - i(a \cos \theta + l)]^2}{2R^6}. \quad (9)$$

## Relation to SPIs

We can now easily compute the  $Q_i$  which are

$$\begin{aligned} Q_1 &= \frac{2\mathcal{R}[(\bar{\Psi}_2^2(\nabla\Psi_2 \cdot \nabla\Psi_2))]}{9(\Psi_2\bar{\Psi}_2)^{5/2}}, & Q_2 &= \frac{-2||\nabla\bar{\Psi}_2 \wedge \nabla\Psi_2||^2}{18^2(\Psi_2\bar{\Psi}_2)^3}, \\ Q_3 &= \frac{\nabla\Psi_2 \cdot \nabla\bar{\Psi}_2}{18(\Psi_2\bar{\Psi}_2)^{3/2}}, \end{aligned} \quad (10)$$

where  $\mathcal{R}$  denotes the real part.

$Q_2$  vanishes at the horizon, on the axis and at points where  $1 + (3l + a\cos\theta)(l + a\cos\theta)\Lambda/3 = 0$ . (However, from (7),  $\rho$  vanishes only on the horizon and at the origin even when there is a Maxwell field.)

Since the numerator of  $Q_1$  equals (9),  $Q_1$  will detect the ergosurface for the Kerr solution.

## Main References

Abdelqader M, Lake K (2015) Invariant characterization of the Kerr spacetime: Locating the horizon and measuring the mass and spin of rotating black holes using curvature invariants. *Phys Rev D* 91:084017, arXiv:1412.8757

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Page DN, Shoom AA (2015) Local invariants vanishing on stationary horizons: A diagnostic for locating black holes. *Phys Rev Lett* 114:141102, arXiv:1510.03510

## An example

In [?] the author derives a Kerr-Schild solution based on a Hopf fibering in the form

$$ds^2 = -2dudv + dx^2 + dy^2 + Hk_\mu k_\nu dx^\mu dx^\nu \quad (11)$$

in coordinates  $(u, v, x, y)$ , with constants  $b$  and  $N$  and

$$label{Haradak_\mu} = \left( 1, \frac{x^2 + y^2}{2(u^2 + b^2)}, -\frac{ux + by}{u^2 + b^2}, -\frac{uy - bx}{u^2 + b^2} \right), H = \frac{Nu}{u^2 + b^2}. \quad (12)$$

Harada also shows it is of Petrov type D. Since all Petrov type D vacuum solutions are known [?, ?] this must be a known solution, not new as claimed. The issue is to identify which of the known solutions it is.

In the exact solutions book, the result of [?] is cited. It shows that Kerr-Schild spacetimes with a geodesic, diverging, and shearfree  $k_\mu$  can be written (swapping the names  $u$  and  $v$ ) as

$$ds^2 = 2(d\zeta d\bar{\zeta} - du dv) - 2S(\bar{Y}d\zeta + Yd\bar{\zeta} + \bar{Y}\bar{Y}du + dv)^2 \quad (13)$$

with  $\zeta = x + iy$ . This is of the same form as (??) if  $Y = -\zeta/(u + ib)$ , and

## Identifying the Harada solution

The solution (??) is easily checked by CLASSI to be a vacuum Petrov type D solution but the classification routines say it has 4 Killing vectors, not just the two found by Harada. Using CLASSI's facilities

The known Petrov D solutions were the first solutions to be classified using the technique just discussed: see [?, ?]. The files for all of them are freely available.

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