

the Common Lisp Cookbook

Diving in

O RLY?

Collective

1. The Common Lisp Cookbook

Cookbook, n. a book containing recipes and other information about the preparation and cooking of food.

A Cookbook is an invaluable resource, as it shows how to do various things in a clear fashion without all the theoretical context. Sometimes you just need to look things up. While cookbooks can never replace proper documentation such as the HyperSpec or books such as Practical Common Lisp, every language deserves a good cookbook, Common Lisp included.

The CL Cookbook aims to tackle all sort of topics, for the beginner and for the more advanced developer.

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1.3. Download in EPUB and PDF

The Cookbook is also available in EPUB and PDF format.

You can <u>download it directly in EPUB</u> and <u>PDF</u>, and you can <u>pay what you want</u> to further support its development:

Thank you!

1.4. Translations

The Cookbook has been translated to:

- Chinese simplified (Github)
- Portuguese (Brazilian) (Github)

1.5. Other CL Resources

- lisp-lang.org: success stories, tutorials and style guide
- Awesome-cl, a curated list of libraries
- <u>List of Lisp Communities</u>
- <u>Lisp Koans</u> a language learning exercise, which guides the learner progressively through many language features.
- <u>Learn X in Y minutes Where X = Common Lisp</u> Small Common Lisp tutorial covering the essentials
- <u>Common Lisp Libraries Read the Docs</u> the documentation of popular libraries ported to the modern and good looking Read The Docs style.
- <u>lisp-tips</u>
- <u>Common Lisp and CLOG tutorial series</u>, a tutorial for Common Lisp and CLOG, a GUI-like library for Common Lisp based on web technologies.
- Lisp and Elements of Style by Nick Levine
- · Cliki, Common Lisp's wiki TODO its down
- <u>Common Lisp programming: from novice to effective developer</u>, a video course on the Udemy platform (paywall), by one of the main Cookbook contributor. "Thanks for supporting my work on Udemy. You can ask me for a free coupon if you are a student." vindarel

and also: Common Lisp Pitfalls by Jeff Dalton.

Books

- Practical Common Lisp by Peter Seibel
- Common Lisp Recipes by Edmund Weitz, published in 2016,
- Common Lisp: A Gentle Introduction to Symbolic Computation by David S. Touretzky
- Successful Lisp: How to Understand and Use Common Lisp by David B. Lamkins
- On Lisp by Paul Graham
- Common Lisp the Language, 2nd Edition by Guy L. Steele

- ► CLtL2, in PDF format
- A Tutorial on Good Lisp Style by Peter Norvig and Kent Pitman

Advanced books

- Loving Lisp the Savy Programmer's Secret Weapon by Mark Watson
- <u>Programming Algorithms</u> A comprehensive guide to writing efficient programs with examples in Lisp.

Specifications

- The Common Lisp HyperSpec by Kent M. Pitman (also available in Dash, Zeal and Velocity)
- <u>The Common Lisp Community Spec</u> a new rendering produced from the ANSI specification draft, that everyone has the right to edit.

1.6. Further remarks

This is a collaborative project that aims to provide for Common Lisp something similar to the <u>Perl Cookbook</u> published by O'Reilly. More details about what it is and what it isn't can be found in this <u>thread</u> from <u>comp.lang.lisp</u>.

If you want to contribute to the CL Cookbook, please send a pull request in or file a ticket!

Yes, we're talking to you! We need contributors - write a chapter that's missing and add it, find an open question and provide an answer, find bugs, typos or grammar errors and report them. Don't worry about the formatting, just send plain text if you like - we'll take care about that later.

Thanks in advance for your help!

The pages here on Github are kept up to date. You can also download a <u>up to date zip file</u> for offline browsing. More info can be found at the <u>Github project page</u>.

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LispCookbook Github Group addendum: this document is now managed in a modified format.

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3. Foreword

The Common Lisp Cookbook is a collaborative resource. Thanks to everyone who helped along the way.

We hope that these EPUB and PDF versions make the learning experience even more practical and enjoyable.

Vincent "vindarel" Dardel, for the Cookbook contributors

4. Getting started with Common Lisp

We'll begin by presenting easy steps to install a development environment and to start a new Common Lisp project.

4.1. Install an implementation

4.1.1. With your package manager

If you don't know which implementation of Common Lisp to use, try SBCL:

```
apt-get install sbcl
```

Common Lisp is an ANSI standard but implementations can vary greatly in what they provide in addition to the standard. See <u>Wikipedia's list of implementations</u>.

The following implementations are packaged for Debian and most other popular Linux distributions:

- Steel Bank Common Lisp (SBCL)
- Embeddable Common Lisp (ECL), which compiles to C,
- CLISP

Other well-known implementations include:

- ABCL, to interface with the JVM,
- ClozureCL, a good implementation with very fast build times,
- <u>CLASP</u>, that interoperates with C++ libraries using LLVM for compilation to native code,
- SICL, a new and modular implementation,
- <u>LispWorks</u> (proprietary)
- <u>AllegroCL</u> (proprietary)

and older implementations:

- CMUCL, originally developed at Carnegie Mellon University, from which SBCL is derived, and
- GNU Common Lisp
- and there is more!

4.1.2. On macOS

Use homebrew to install SBCL:

brew install sbcl

You can also install the Emacs editor with Homebrew, but it isn't *required* to use Common Lisp. Read below on how to use SBCL on the terminal and see the Editor Support section.

```
brew tap d12frosted/emacs-plus
brew install emacs-plus
```

4.1.3. On Windows

All implementations above can be installed on Windows.

SBCL is available on Chocolatey, albeit this is not an official installation method.

> choco install sbcl

You can also use <u>plain-common-lisp</u>, a trivial way to get a native Common Lisp environment on Windows. It lets you install Emacs with Slime, Quicklisp and SBCL in a couple clicks: you only have to extract its archive in your workspace.

Otherwise you can install and configure Emacs yourself:

4.1.4. With Docker

If you already know <u>Docker</u>, you can get started with Common Lisp pretty quickly. The <u>clfoundation/cl-devel</u> image comes with recent versions of SBCL, CCL, ECL and ABCL, plus Quicklisp installed in the home (/home/cl), so than we can ql:quickload libraries straight away.

Docker works on GNU/Linux, Mac and Windows.

The following command will download the required image (around 1.0GB compressed), put your local sources inside the Docker image where indicated, and drop you into an SBCL REPL:

docker run --rm -it -v /path/to/local/code:/home/cl/common-lisp/source clfoundation/ cl-devel:latest sbcl

We still want to develop using Emacs and SLIME, so we need to connect SLIME to the Lisp inside Docker. See <u>slime-docker</u>, which is a library that helps on setting that up.

4.1.5. With the asdf-vm package manager

The <u>asdf-vm</u> tool can be used to manage a large ecosystem of runtimes and tools.

Steel Bank Common Lisp (SBCL) is available via the asdf-sbcl plugin.

Install it with:

asdf plugin-add sbcl https://github.com/smashedtoatoms/asdf-sbcl.git

4.1.6. With Roswell

Roswell is a Common Lisp tool that is:

- an implementation manager: it makes it easy to install a Common Lisp implementation (ros install ecl), an exact version of an implementation (ros install sbcl/1.2.0), to change the default one being used (ros use ecl),
- a scripting environment (helps to run Lisp from the shell, to get the command line arguments,...),
- · a programs installer,
- a testing environment (to run tests, including on popular Continuous Integration platforms),
- a building utility (to build images and executables in a portable way).

You'll find several ways of installation on its wiki (Debian package, Windows installer, Brew/Linux Brew,...).

4.2. Start a REPL

Just launch the implementation executable on the command line to enter the REPL (Read Eval Print Loop), i.e. the interactive interpreter.

Quit with (quit) or ctr-d (on some implementations).

Here is a sample session: we start the sbcl binary, we see a startup message, we are landed in a Lisp prompt (the *), we enter a lisp form, then we quit.

```
user@debian:~$ sbcl
This is SBCL 2.1.11.debian, an implementation of ANSI Common Lisp.
More information about SBCL is available at <a href="http://www.sbcl.org/">http://www.sbcl.org/</a>>.
```

SBCL is free software, provided as is, with absolutely no warranty. It is mostly in the public domain; some portions are provided under BSD-style licenses. See the CREDITS and COPYING files in the distribution for more information.

```
* (+ 1 2)

3
* (quit)
user@debian:~$
```

4.2.1. Load and reload files

You just evaluated Lisp code in a REPL, congratulations. Of course, you'll want to write code to a lisp file.

Use sbcl --load myfile.lisp to load, compile and run this file. After the top-level commands were run, the Lisp doesn't exit and it gives you a REPL, so you can keep working.

Once you edit your .lisp file, you don't need to quit and call the SBCL command to --load your file again. You can simply load it from within the REPL:

```
$ sbcl --load myfile.lisp
a... bunch... of... awesome... stuff
* (load "myfile.lisp")
```

Did you see this * bit? It's the default Lisp prompt in a terminal. In our editors, we usually see CL-USER>, denoting the current package.

Speaking of editors: of course, we can have a much more interactive workflow with a good editor setup. But you can already work like this.

4.2.2. More ergonomic REPL

The REPL is not very ergonomic out of the box, at least with SBCL: the arrow keys do not work in order to recall history (the previous commands entered), you don't have completion of built-in Lisp functions, etc. You can slightly enhance its functionality by installing and using rlwrap.

Operating system	command
Linux (Debian)	apt-get install rlwrap
macOS	brew install rlwrap
Windows	choco install rlwrap

Then invoke it like so:

rlwrap sbcl

But we'll setup our editor to offer a better experience instead of working in this REPL. See $\underline{\text{editorsupport}}$.

TIP: The CLISP implementation has a better default REPL for the terminal (readline capabilities, completion of symbols). You can even use clisp -on-error abort to have error messages without the debugger. It's handy to try things out, but we recommend to set-up your editor and to use SBCL or CCL.

TIP: By adding the -c switch to rlwrap, you can autocomplete file names.

4.2.3. The interactive debugger

Lisp is interactive by nature, so in case of an error we enter the debugger. This can be annoying in certain cases, so you might want to use SBCL's --disable-debugger option.

4.3. Libraries

Common Lisp has thousands of libraries available under a free software license. See:

- the <u>awesome-cl</u> list, a curated list of libraries.
- Quickdocs the library documentation hosting for CL.
- <u>Cliki</u>, the Common Lisp wiki.

4.3.1. Some terminology

- In the Common Lisp world, a **package** is a way of grouping symbols together and of providing encapsulation. It is similar to a C++ namespace, a Python module or a Java package.
- A **system** is a collection of CL source files bundled with an .asd file which tells how to compile and load them. There is often a one-to-one relationship between systems and packages, but this is in no way mandatory. A system may declare a dependency on other systems. Systems are managed by <u>ASDF</u> (Another System Definition Facility), which offers functionalities similar to those of make and ld.so, and has become a de facto standard.
- A Common Lisp library or project typically consists of one or several ASDF systems (and is distributed as one Quicklisp project).

4.3.2. Install Quicklisp

Quicklisp is more than a package manager, it is also a central repository (a *dist*) that ensures that all libraries build together.

It provides its own *dist* but it is also possible to build our own.

To install it, we can either:

```
1- run this command, anywhere:

curl -0 https://beta.quicklisp.org/quicklisp.lisp

and enter a Lisp REPL and load this file:

sbcl --load quicklisp.lisp

or

2- install the Debian package:

apt-get install cl-quicklisp

and load it, from a REPL:

(load "/usr/share/common-lisp/source/quicklisp/quicklisp.lisp")
```

Then, in both cases, still from the REPL:

```
(quicklisp-quickstart:install)
```

This will create the ~/quicklisp/ directory, where Quicklisp will maintain its state and downloaded projects.

If you wish, you can install Quicklisp to a different location. For instance, to install it to a hidden folder on Unix systems:

```
;; optional
(quicklisp-quickstart:install :path "~/.quicklisp")
```

Finally, in order to always load Quicklisp when you start a new Lisp session, run:

```
(ql:add-to-init-file)
```

this adds the right stuff to the init file of your CL implementation. Otherwise, you have to run (load "~/quicklisp/setup.lisp") in every session if you want to use Quicklisp or any of the libraries installed through it.

It adds the following in your (for example) ~/.sbclrc:

SEE MORE: To understand the package:a-symbol notation, read the packages page, section "Accessing symbols from a package".

We can install more than one library at once. Here we install <u>cl-ppcre</u> for regular-expressions, and <u>Alexandria</u>, a utility library:

```
(ql:quickload '("str" "cl-ppcre" "alexandria"))
```

Anytime you want to use a third-party library in your Lisp REPL, you can run this ql:quickload command. It will not hit the network a second time if it finds that the library is already installed on your file system. Libraries are by default installed in ~/quicklisp/dists/quicklisp/.

Note also that dozens of Common Lisp libraries are packaged in Debian. The package names usually begin with the cl- prefix (use apt-cache search --names-only "^cl-.*" to list them all).

For example, in order to use the cl-ppcre library, one should first install the cl-ppcre package.

Then, in SBCL, it can be used like this:

(str:title-case "HELLO LISP!")

```
(require "asdf")
(require "cl-ppcre")
(cl-ppcre:regex-replace "fo+" "foo bar" "frob")
```

Here we pretend we don't have Quicklisp installed and we use require to load a module that is available on the file system. In doubt, you can use ql:quickload.

See Quicklisp's documentation for more commands. For instance, see how to upgrade or rollback your Quicklisp's distribution.

4.4. Advanced dependencies management

You can drop Common Lisp projects into any of these folders:

- ~/quicklisp/local-projects
- ~/common-lisp,
- ~/.local/share/common-lisp/source,

A library installed here is automatically available for every project.

For a complete list, see the values of:

```
(asdf/source-registry:default-user-source-registry)
and
asdf:*central-registry*
```

central-registry is a top-level variable inside the asdf package written with so-called "*earmuffs*". They are a useful convention, see the <u>Variables</u> chapter.

4.4.1. Providing our own version of a library. Cloning projects.

Given the property above, we can clone any library into the ~/quicklisp/local-projects/directory and it will be found by ASDF (and Quicklisp) and available right-away:

```
(asdf:load-system "system")
or
(ql:quickload "system")
```

The practical difference between the two is that ql:quickload first tries to fetch the system from the Internet if it is not already installed.

Note that symlinks in local-projects to another location of your liking work too.

4.4.2. How to work with local versions of libraries

If we need libraries to be installed locally, for only one project, or in order to easily ship a list of dependencies with an application, we can use <u>Qlot</u> or <u>CLPM</u>.

Quicklisp also provides Quicklisp bundles. They are self-contained sets of systems that are exported from Quicklisp and loadable without involving Quicklisp.

At last, there's Quicklisp controller to help us build *dists*.

4.5. Working with projects

Now that we have Quicklisp and our editor ready, we can start writing Lisp code in a file and interacting with the REPL.

But if we want to work with an existing project or create a new one, how do we proceed? What's the right sequence of defpackage? What should we put in the .asd file? How do we load the project into the REPL?

4.5.1. Creating a new project

Some project builders help to scaffold the project structure. We like <u>cl-project</u>, which also sets up a test skeleton.

In short:

```
(ql:quickload "cl-project")
(cl-project:make-project #P"./path-to-project/root/")
will create a directory structure like this:
```

```
|-- my-project.asd
|-- my-project-test.asd
|-- README.markdown
|-- README.org
|-- src
```

```
| `-- my-project.lisp
 -- tests
    `-- my-project.lisp
where my-project.asd resembles this:
(asdf:defsystem "my-project"
  :version "0.1.0"
  :author ""
  :license ""
  :depends-on () ;; <== list of Quicklisp dependencies</pre>
  :components ((:module "src"
                :components
                ((:file "my-project"))))
  :description ""
  :long-description
  #.(read-file-string
     (subpathname *load-pathname* "README.markdown"))
  :in-order-to ((test-op (test-op "my-project-test"))))
and src/my-project.lisp this:
(defpackage footest
  (:use :cl))
(in-package :footest)
```

• ASDF documentation: defining a system with defsystem

4.5.2. How to load an existing project

You have created a new project, or you have an existing one, and you want to work with it in the REPL, but Quicklisp doesn't know about it. What do you do?

Well first, if you create it or clone it into one of ~/common-lisp,

~/.local/share/common-lisp/source/ or ~/quicklisp/local-projects, you'll be able to (ql:quickload ...) it with no further ado.

Otherwise you'll need to compile and load its system definition (.asd) first. In SLIME with the slime-asdf contrib loaded, type C-c C-k (*slime-compile-and-load-file*) in the .asd, then you can (gl:guickload ...) it.

You can use (asdf:load-asd "my-project.asd") programmatically instead of C-c C-k.

Usually you want to "enter" the system in the REPL at this stage:

```
(in-package :my-project)
```

Lastly, you can compile or eval the sources (my-project.lisp) with C-c C-k or C-c (*slime-compile-defun*) in a form, and see its result in the REPL.

Another solution is to use ASDF's list of known projects:

```
;; startup file like ~/.sbclrc
(pushnew "~/to/project/" asdf:*central-registry* :test #'equal)
```

and since ASDF is integrated into Quicklisp, we can quickload our project right away.

Happy hacking!

4.6. More settings

You might want to set SBCL's default encoding format to utf-8:

```
(setf sb-impl::*default-external-format* :utf-8)
```

You can add this to your ~/.sbclrc.

If you dislike the REPL printing all symbols uppercase, add this:

```
(setf *print-case* :downcase)
```

Warning: This might break the behaviour of some packages like happened with Mito. Avoid doing this in production.

4.7. See also

- <u>cl-cookieproject</u> a project skeleton for a ready-to-use project with an entry point and unit tests. With a <u>src/</u> subdirectory, some more metadata, a 5AM test suite, a way to build a binary, an example CLI args parsing, Roswell integration.
- Source code organization, libraries and packages: https://lispmethods.com/libraries.html

4.8. Credits

- $\bullet \ \underline{https://wiki.debian.org/CommonLisp}$
- http://articulate-lisp.com/project/new-project.html

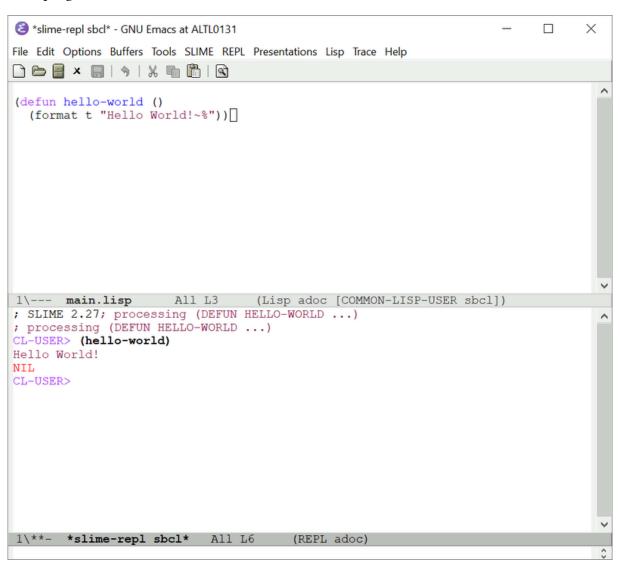
5. Editor support

The editor of choice is still Emacs, but it is not the only one.

5.1. Emacs

<u>SLIME</u> is the Superior Lisp Interaction Mode for Emacs. It has support for interacting with a running Common Lisp process for compilation, debugging, documentation lookup, cross-references, and so on. It works with many implementations.

<u>plain-common-lisp</u> is a crafted, easy-to-install Common Lisp environment for **Windows**. It ships Emacs, SBCL, Slime, Quicklisp. It also shows how to display GUI windows with Win32, Tk, IUP, ftw and Opengl.



5.1.1. Using Emacs as an IDE

See "Using Emacs as an IDE".

5.2. Vim & Neovim

Slimy is a full-blown environment for Common Lisp inside of Vim.

<u>Vlime</u> is a Common Lisp dev environment for Vim (and Neovim), similar to SLIME for Emacs and SLIMV for Vim.

 $\underline{\text{cl-neovim}}$ makes it possible to write Neovim plugins in Common Lisp.

quicklisp.nvim is a Neovim frontend for Quicklisp.

Slimv_box brings Vim, SBCL, ABCL, and tmux in a Docker container for a quick installation.

See also:

• Lisp in Vim demonstrates usage and compares both Slimv and Vlime

5.3. Pulsar (ex Atom)

See <u>SLIMA</u>. This package allows you to interactively develop Common Lisp code, turning Atom, or now <u>Pulsar</u>, into a pretty good Lisp IDE. It features:

- REPL
- integrated debugger
 - (not a stepping debugger yet)
- jump to definition
- autocomplete suggestions based on your code
- compile this function, compile this file
- function arguments order
- integrated profiler
- interactive object inspection.

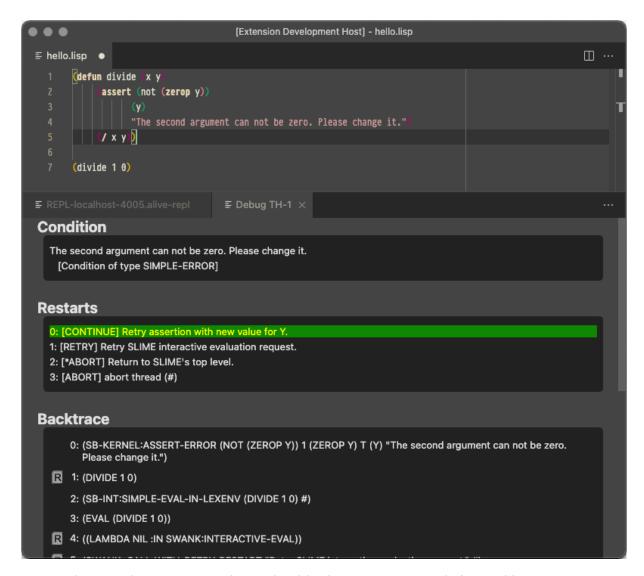
It is based on the Swank backend, like Slime for Emacs.

```
repl.lisp-repl — /Users/steve/Desktop/GroupMeeting
 GroupMeet shape.lisp
                         square.lisp
                                     main.lisp
                  (defun hi-there ()
    atom-sl 1
                     (let* ((sq (make-instance 'square :width 2.0)))
    main.lis
                       (format t "Area: ~a" (area sq))))
    shape.li
    square.l
                                                       (defmethod area square)
    test.lisp
                                                       (defmethod area shape)
               repl.lisp-repl
                  CL-USER> (dotimes (i 3) (format t "Interact with Lisp!~%"))
                  Interact with Lisp!
                  Interact with Lisp!
              4
                  Interact with Lisp!
              5
                  NIL
              6
              7
                  CL-USER> (load "more-shapes.lisp")
                                                                      UTF-8
/tmp/repl.lisp-repl
               7:34
                     (load pathspec &key (verbose *load-verbose
                                                                             (λ) strict
                                                                                       Lisp REPL
```

5.4. VSCode

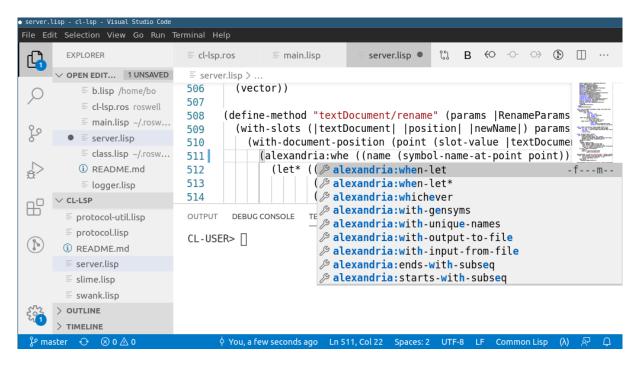
<u>Alive</u> makes VSCode a powerful Common Lisp development. It hooks directly into the Swank server that Emacs Slime uses and is fully compatible with VSCode's ability to develop remotely in containers, WSL, Remote machines, etc. It has no dependencies beyond a version of Common Lisp on which to run the Swank server. It can be configured to run with Quicklisp, CLPM, and Roswell. It currently supports:

- Syntax highlighting
- Code completion
- · Code formatter
- · Jump to definition
- Snippets
- REPL integration
- Interactive Debugger
- REPL history
- Inline evaluation
- · Macro expand
- Disassemble
- Inspector
- Hover Text
- Rename function args and let bindings
- · Code folding



<u>commonlisp-vscode extension</u> works via the <u>cl-lsp</u> language server and it's possible to write LSP client that works in other editors. It depends heavily on <u>Roswell</u>. It currently supports:

- running a REPL
- evaluate code
- · auto indent,
- code completion
- go to definition
- · documentation on hover



5.4.1. Using VSCode with Alive

See <u>Using VSCode with Alive</u>.

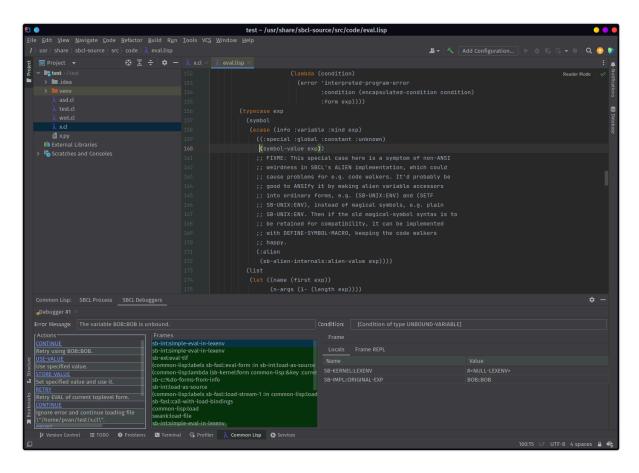
5.5. JetBrains - NEW in Jan, 2023!

<u>SLT</u> is a new (published on January, 2023) plugin for the suite of JetBrains' IDEs. It uses a modified SLIME/Swank protocol to communicate with SBCL, providing IDE capabilities for Common Lisp.

It has a very good user guide.

At the time of writing, for its version 0.4, it supports:

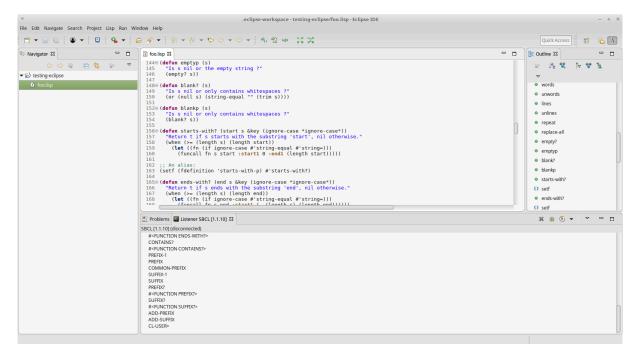
- REPL
- · symbol completion
- send expressions to the REPL
- interactive debugging, breakpoints
- · documentation display
- cross-references
- find symbol by name, global class/symbol search
- inspector (read-only)
- · graphical threads list
- SDK support, automatic download for Windows users
- multiple implementations support: SBCL, CCL, ABCL and AllegroCL.



5.6. Eclipse

<u>Dandelion</u> is a plugin for the Eclipse IDE.

Available for Windows, Mac and Linux, built-in SBCL and CLISP support and possibility to connect other environments, interactive debugger with restarts, macro-expansion, parenthesis matching,...



5.7. Lem

<u>Lem</u> is an editor tailored for Common Lisp development. Once you install it, you can start developing. Its interface resembles Emacs and SLIME (same shortcuts). It comes with an neurses and an SDL2 frontend, and other programming modes thanks to its built-in LSP client: Python, Go, Rust, JS, Nim, Scheme, HTML, CSS, plus a directory mode, a **vim layer**, and more.



It can be started as a REPL right away in the terminal. Run it with:

```
lem --eval "(lem-lisp-mode:start-lisp-repl t)"
```

So you probably want a shell alias:

```
alias ilem='lem --eval "(lem-lisp-mode:start-lisp-repl t)"'
```

There is more:

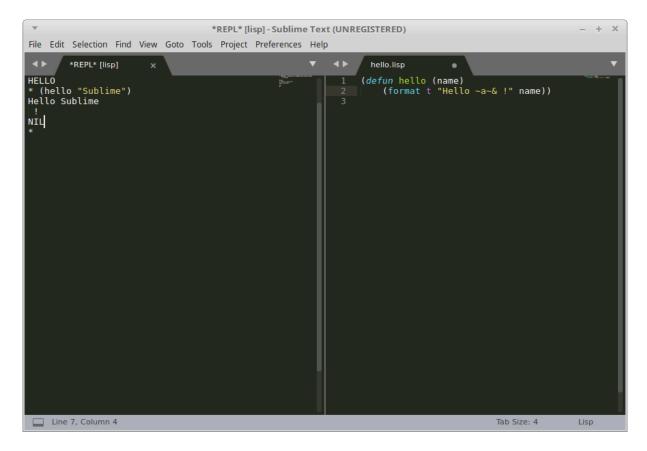
- <u>A Lem on the cloud</u> (video presentation) Rooms is a product that runs Lem, a text editor created in Common Lisp, in the Cloud and can be used by multiple users.
 - ► Lem on the cloud is NEW as of April, 2024. In private beta at the time of writing.

5.8. Sublime Text

Sublime Text has now good support for Common Lisp.

First install the "SublimeREPL" package and then see the options in Tools/SublimeREPL to choose your CL implementation.

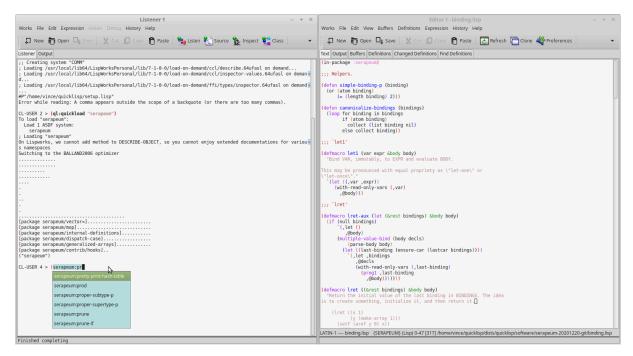
Then <u>Slyblime</u> ships IDE-like features to interact with the running Lisp image. It is an implementation of SLY and it uses the same backend (SLYNK). It provides advanced features including a debugger with stack frame inspection.



5.9. LispWorks (proprietary)

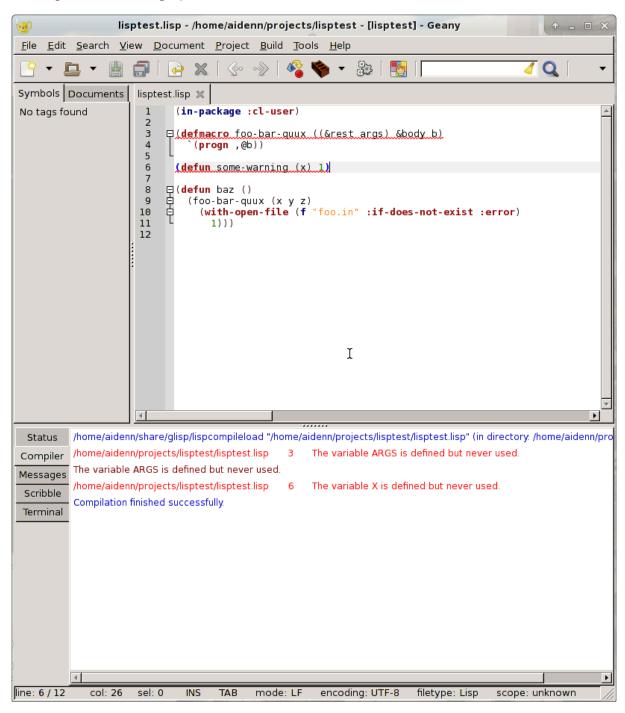
<u>LispWorks</u> is a Common Lisp implementation that comes with its own Integrated Development Environment (IDE) and its share of unique features, such as the CAPI GUI toolkit. It is **proprietary** and provides a **free limited version**.

You can read our LispWorks review here.



5.10. Geany (experimental)

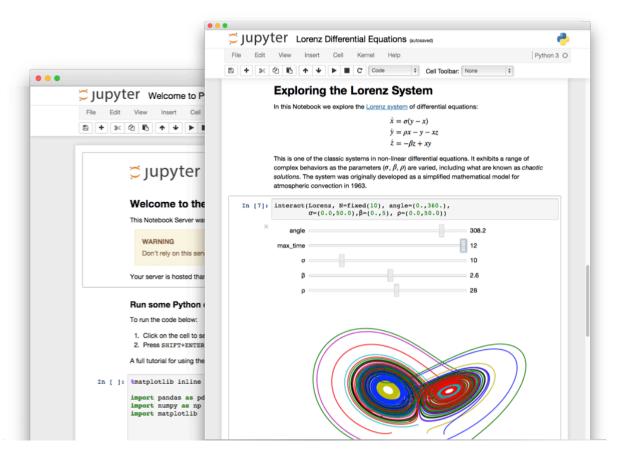
<u>Geany-lisp</u> is an experimental lisp mode for the <u>Geany</u> editor. It features completion of symbols, smart indenting, jump to definition, compilation of the current file and highlighting of errors and warnings, a REPL, and a project skeleton creator.



5.11. Notebooks

common-lisp-jupyter is a Common Lisp kernel for Jupyter notebooks.

You can <u>see a live Jupyter notebook written in Lisp here</u>. It is easy to install (Roswell, repo2docker and Docker recipes).



There is also <u>Darkmatter</u>, a notebook-style Common Lisp environment, built in Common Lisp.

5.12. REPLs

<u>cl-repl</u> is an ipython-like REPL. It supports symbol completion, magic and shell commands, multiline editing, editing command in a file and a simple debugger.

It is available as a binary.

You might also like <u>sbcli</u>, an even simpler REPL with readline capabilities. It handles errors gracefully instead of showing a debugger.

5.13. Others

There are some more editors out there, more or less discontinued, and free versions of other Lisp vendors, such as Allegro CL.

6. Using Emacs as an IDE

This page is meant to provide an introduction to using **Emacs** as a Lisp IDE.

We divided it roughly into 3 sections: how to install Slime (or Sly), how to use it, and complementary information on built-in Emacs commands to work with Lisp code.

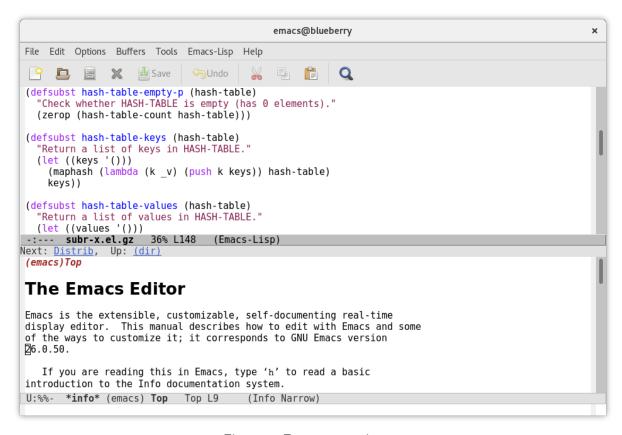


Figure 1: Emacs teaser image

By the way, if you wonder, why use Emacs?

- Emacs has fantastic support for working with Lisp code
 - the Slime-Swank client-server model predates LSP and is much richer for Common Lisp integration.
- it runs on virtually every OS and with every CL implementation, it is lightweight
- · Emacs will probably always be around
- Emacs works well either with a mouse or without a mouse
- Emacs works well either in GUI mode or in the terminal
- · Built-in tree-sitter and LSP support
- Excellent vim mode
- Because <u>Org-mode</u>
- Because Magit
- Because Emacs Rocks!
- Large user base and vast number of extensions: awesome-emacs.

6.1. SLIME: Superior Lisp Interaction Mode for Emacs

<u>SLIME</u> is the goto major mode for CL programming. It has a lot of features that make it a powerful, integrated and very interactive development environment.

• it provides a REPL which is hooked to the running image, directly in Emacs,

- it integrates the Common Lisp debugger with an Emacs interface
- it provides symbol completion,
- code evaluation, compilation, macroexpansion
- cross-referencing,
- · breaking, stepping, tracing,
- go to definition,
- online documentation.
- fuzzy searching functions and symbols, system names, documentation,
- an interactive object inspector,
- it supports every common Common Lisp implementation,
- multiple connections and multiple listener buffers (mrepl)
- it is readily available from MELPA
- it is actively maintained.

6.2. SLY: Sylvester the Cat's Common Lisp IDE

<u>SLY</u> is a SLIME fork that contains the following changes and features:

- Completely redesigned REPL based on Emacs's own full-featured comint.el. Everything can be copied to the REPL.
- Live code annotations via the Stickers feature.
- enumerated backreferences, which highlight the object and remain stable throughout the REPL session.
- A portable, annotation-based stepper in early but functional prototype stage.
- Multiple REPLs and multiple inspectors.
- Regexp-capable M-x sly-apropos.
- Contribs are first class SLY citizens, enabled by default, loaded with ASDF on demand:
 - ► <u>NAMED-READTABLES</u> support
 - macrostep.el
 - Quicklisp
 - ASDF
 - ► Evaluation Overlays

On the other side, we noticed some lacks or differences:

- Sly doesn't have a slime-call-defun (C-c C-y) equivalent.
 - which is a bummer, as we are so much used to it. See below in "Sending code to the REPL".
- it doesn't have the slime-profile-* functions (no sb-prof contrib).
- the shortcut C-c C-z to switch to the REPL behaves differently than Slime's (it might replace your source file with the REPL window, instead of leaving your source file and showing the REPL on the side).

Sly is shipped by default in **Doom Emacs**.

6.3. Installing SLIME or SLY

6.3.1. Manually

On Ubuntu, SLIME is easily installed alongside Emacs and SBCL:

sudo apt install emacs slime sbcl

Otherwise, install SLIME by adding this code to your ~/.emacs.d/init.el file:

(require 'package)

```
(add-to-list 'package-archives '("melpa" . "https://melpa.org/packages/") t)
(defvar my-packages '(slime))
(dolist (package my-packages)
   (unless (package-installed-p package)
        (package-install package)))
(require 'slime)
```

assuming you've also instealled Emacs and SBCL.

Since SLIME is heavily modular and the defaults only do the bare minimum (not even the SLIME REPL), you might want to enable more features with

```
(require 'slime)
(slime-setup '(slime-fancy slime-quicklisp slime-asdf slime-mrepl))
Finally, tell Slime to use SBCL:
(setq inferior-lisp-program "sbcl")
```

After this you can press Alt-X on your keyboard and type slime and try Common Lisp!

(Alt-X is often written M-x in Emacs-world.)

For more details, consult the <u>documentation</u> (also available as an Info page).

Now you can run SLIME with, as mentioned, M-x slime and/or M-x slime-connect.

See also:

- <u>Portacle</u> a portable and multi-platform CL development environment shipping Emacs, Slime, SBCL, git and necessary extensions. It is a straightforward way to get going.
 - ▶ however, Portacle is now old and unmaintained. It brings Emacs 27.1, it may be a pain to run on newer MacOS, and you are on your own. Still, it may work for you, and you can join the effort to update it.
- <u>emacs4cl</u> a minimal Emacs configuration to get new users up and running quickly, with a tutorial.

6.3.2. Doom Emacs

<u>Doom Emacs</u> is a popular Emacs configuration. You can easily enable its Sly integration.

6.3.3. SLIME fancy and contrib packages

SLIME's functionalities live in packages and so-called <u>contrib modules</u> must be loaded to add further functionalities. The afored mentioned slime-fancy includes:

- slime-autodoc
- slime-c-p-c
- slime-editing-commands
- slime-fancy-inspector
- slime-fancy-trace
- slime-fontifying-fu
- · slime-fuzzy
- slime-mdot-fu
- slime-macrostep
- slime-presentations
- slime-references

- slime-repl
- slime-scratch
- slime-package-fu
- slime-trace-dialog
- <u>slime-mrepl</u> (multiple REPLs)

SLIME also has some nice extensions like <u>Helm-SLIME</u> which features, among others:

- Fuzzy completion,
- REPL and connection listing,
- Fuzzy-search of the REPL history,
- Fuzzy-search of the *apropos* documentation.

6.4. Working with SLIME (or SLY)

One of the first things you might want to do is to compile and load some Lisp code. Use C-c on a function or C-c C-k to compile a whole file. But that's not all, read on.

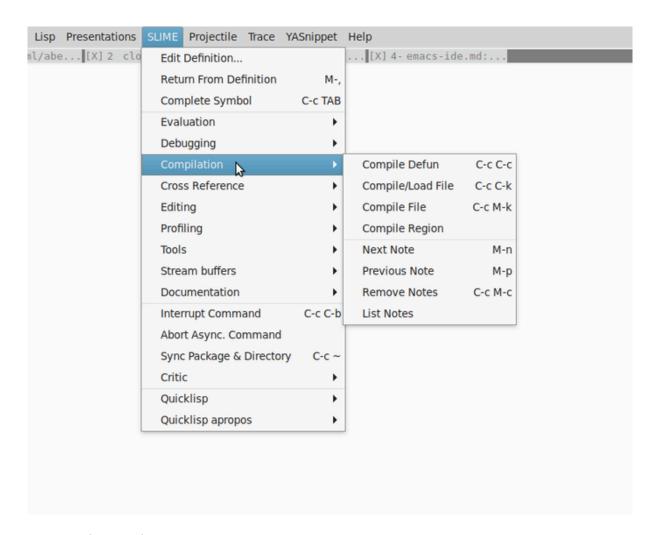
Note that we give function names for SLIME. They are most of the time similar with SLY.

6.4.1. Pro Tip: Use the Emacs menu

All the information on this page can be overwhelming, but you can easily find all the commands and keybindings we are going to talk about under Emacs' Slime menu. Thus, we advise to *not* disable the menu. It's very handy!

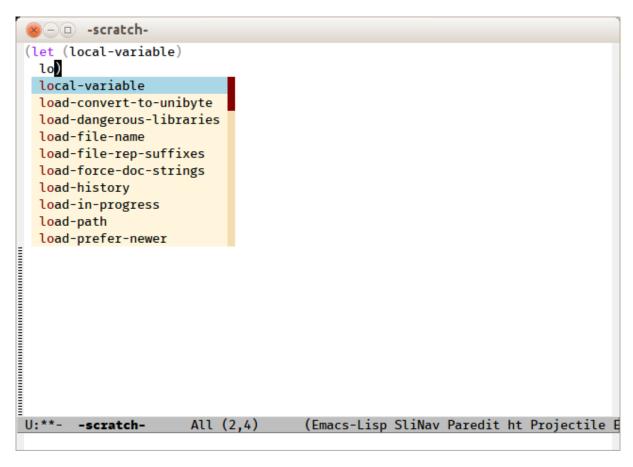
If you can't see it, call M-x menu-bar-mode RET.

In the terminal version of Emacs (emacs -nw), you can open the menu with M-x menu-bar-open, which is bound by default to f10, or use the mouse when it is enabled (evaluate (xterm-mouse-mode +1) with M-: or in the *scratch* buffer).



6.4.2. Code completion

Use the built-in C-c TAB to complete symbols in SLIME. You can get tooltips with company-mode.



In the REPL, it's simply TAB.

Use Emacs' hippie-expand, bound to M-/, to complete any string present in other open buffers.

6.4.3. Evaluating and Compiling Lisp in SLIME

Compile the entire **buffer** by pressing C-c C-k (slime-compile-and-load-file).

Compile a **region** with M-x slime-compile-region.

Compile a **defun** by putting the cursor inside it and pressing C-c C-c (slime-compile-defun).

Once you compiled some code, you can try it, for example on the REPL.

To **evaluate** rather than compile:

- evaluate the sexp before the point by putting the cursor after its closing paren and pressing
 C-x C-e (slime-eval-last-expression). The result is printed in the minibuffer.
- similarly, use C-c C-p (slime-pprint-eval-last-expression) to eval and pretty-print the expression before point. It shows the result in a new "slime-description" buffer.
- use M-x slime-eval-print-last-expression (unbound by default) to print the result in the same file, under the cursor.
- evaluate a region with C-c C-r,
- evaluate a defun with C-M-x,
- type C-c C-e (slime-interactive-eval) to get a prompt that asks for code to eval in the current context. It prints the result in the minibuffer. With a prefix argument, insert the result into the current buffer.
- type C-c C-j (slime-eval-last-expression-in-repl), when the cursor is after the closing parenthesis of an expression, to send this expression to the REPL and evaluate it.

See also other commands in the menu.

But what's the difference between evaluating and compiling some code?

6.4.4. evaluation vs. compilation

There are a couple of pragmatic differences when choosing between compiling or evaluating.

However, some implementations like SBCL *always compile* your expressions, *unless explicitely asked otherwise*, even when you write code on the REPL and when you use these shortcuts for evaluation.

That being said, in general, it is better to *compile* top-level forms, for two reasons:

- Compiling a top-level form highlights warnings and errors in the editor, whereas evaluation does not.
- SLIME keeps track of line-numbers of compiled forms, but when a top-level form is evaluated, the file line number information is lost. That's problematic for code navigation afterwards.

eval is still useful to observe results from individual non top-level forms. For example, say you have this function:

```
(defun foo ()
  (let ((f (open "/home/mariano/test.lisp")))
   ...))
```

Go to the end of the OPEN expression and evaluate it (C-x C-e), to observe the result:

```
=> #<SB-SYS:FD-STREAM for "file /mnt/e6b00b8f-9dad-4bf4-bd40-34ble6d31f0a/home/
marian/test.lisp" {1003AAAB53}>
```

Or on this example, with the cursor on the last parentheses, press C-x C-e to evaluate the let:

```
(let ((n 20))
  (loop for i from 0 below n
          do (print i)))
```

You should see numbers printed in the REPL.

See also "Sending code to the REPL" below and the C-c C-j shortcut.

6.4.5. Debugging

We cover debugging commands in its own <u>debugging</u> chapter.

6.4.6. Go to definition

Put the cursor on any symbol and press M-. (slime-edit-definition) to go to its definition. Press M-, to come back.

6.4.7. Go to any symbol, list symbols in current source

Use C-u M-. (slime-edit-definition with a prefix argument, also available as M-- M-.) to autocomplete the symbol and navigate to it.

This command always asks for a symbol even if the cursor is on one. It works with any loaded definition. Here's a little <u>demonstration video</u>.

You can think of it as a imenu completion that always work for any Lisp symbol. Add in <u>Slime's fuzzy completion</u> for maximum powerness!

6.4.8. Argument lists

When you put the cursor on a function, SLIME will show its signature in the minibuffer.

If you want to see them better, try C-c C-s after a function name.

For example, you forgot how to use with-open-file. Write it:

written in your source file (or in the REPL).

The minibuffer will show you the default values of the arguments.

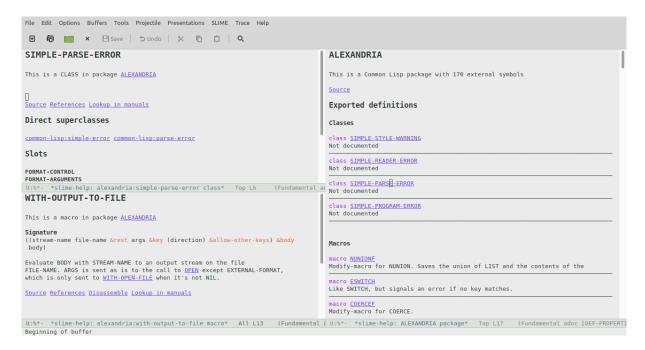
6.4.9. Documentation lookup

The main shortcut to know is:

- **C-c C-d d** shows the symbols' documentation on a new window (same result as using describe).
- Other bindings which may be useful:
- C-c C-d f describes a function
- **C-c C-d h** looks up the symbol documentation in Common Lisp Hyper Spec (CLHS) by opening the web browser. But it works only on symbols, so there are two more bindings:
- C-c C-d # for reader macros
- C-c C-d ~ for format directives

You can enhance the help buffer with the Slime extension <u>slime-doc-contribs</u>. It will show more information in a nice looking buffer, and it will add choices to the documentation command:

- **slime-help-package** will display information about a CL package: it will nicely show its exported variables, conditions, classes, generic functions, functions and macros, with their documentation. It is a great way to see at a glance what a package provides.
- slime-help-system does the same for a system.
- **slime-help-apropos-documentation** will show symbols whose documentation contains matches for "PATTERN", which is a great way to lookup for functions.
- and more.



6.4.10. Inspector

You can call (inspect 'symbol) from the REPL or call it with C-c I from a source file.

Learn to use with <u>its documentation</u>: use 1 to come back to the previous object, * to copy the object at point... and more.

6.4.11. Macroexpand

Use C-c M-m to macroexpand a macro call

6.4.12. Navigating warnings

When you compile and load a file with C-c C-k (or a single function with C-c C-c), and when you have compilation warnings, you don't get the interactive debugger. You get the list of warnings inside a dedicated "*slime-compilation*" Emacs buffer that opens up next to your source file.

Each line of your source impacted by a warning will be underlined in red.

Each warning of the slime-compilation buffer is clickable, and you can quickly go to the next or previous warning (they are called "notes" or "annotations") with keybindings: M-n and M-p (slime-[next, previous]-note).

You can also use the usual Emacs shortcut from <u>compilation-mode</u> bound to C-x ` (Control-x and a backquote).

If you don't want to see the red annotations in your source... use C-c M-c, slime-remove-notes. They are not automagically fixed though.

If your code has only style warnings, they will be caught by the slime-compilation buffer, but the buffer will not pop up on its own.

You can find all these keybindings, as usual, under Emac's Slime menu.

Reference: https://slime.common-lisp.dev/doc/html/Compilation.html#Compilation.

6.4.13. Crossreferencing: find who's calling, referencing, setting a symbol

Slime has nice cross-referencing facilities. For example, you can ask who calls a function, who expands a macro, or where a global variable is being used.

Results are presented in a new buffer, listing the places which reference a particular entity. From there, we can press Enter to go to the corresponding source line, or more interestingly we can recompile the place at point by pressing **C-c C-c** on that line. Likewise, **C-c C-k** will recompile all the references. This is useful when modifying macros, inline functions, or constants.

The bindings are the following (they are also shown in Slime's menu):

- C-c C-w c (slime-who-calls) callers of a function
- C-c C-w m (slime-who-macroexpands) places where a macro is expanded
- C-c C-w r (slime-who-references) global variable references
- $C\text{-}c\ C\text{-}w\ b\ (\text{slime-who-bind})$ global variable bindings
- C-c C-w s (slime-who-sets) global variable setters
- C-c C-w a (slime-who-specializes) methods specialized on a symbol
- C-c > (slime-list-callees) lists all the functions that are called inside a function body.
- C-c < (slime-list-callers) lists all the functions that call a given function.

And when the slime-asdf contrib is enabled, $C\text{-}c\ C\text{-}w\ d$ (slime-who-depends-on) lists dependent ASDF systems

And a general binding: \mathbf{M} or **M-_** (slime-edit-uses) combines all of the above, it lists every kind of references.

6.4.14. Systems interactions

In Slime, you can use the usual C-c C-k in an .asd file to compile and load it, then ql:quickload (or asdf:load-system) to effectively load the system. SLIME offers more interactive commands to interact with Lisp systems:

- M-x slime-load-system: offers a prompt to select an ASDF system, with autocompletion of projects collected from where ASDF sees Common Lisp projects, then compile and load the system. The default system name is taken from the first file matching *.asd in the current buffer's working directory.
 - note that the system name is inferred from the .asd file name. The real system name defined inside may be different.
 - to understand where ASDF looks for Lisp systems, read the <u>getting started</u> page, section "How to load an existing project".
- M-x slime-open-system: this opens a new buffer for all source files of a given system.
- M-x slime-browse-system: this command opens a Dired buffer to browse the files of a system.
- M-x slime-rgrep-system: run rgrep on the base directory of a system.
- M-x slime-isearch-system: run isearch on the files of a system.
- M-x slime-query-replace-system: run query-replace on an ASDF system.
- M-x slime-save-system: save all files belonging to a system.
- M-x slime-delete-system-fasls: this deletes the cached .fasl files for this system.

Sly users have a more featureful sly-load-system command that will search the .asd file on the current directory and in parent directories.

6.4.15. REPL interactions

From the SLIME REPL, press , to prompt for commands. There is completion over the available systems and packages. Examples:

- ,load-system
- ,reload-system
- ,in-package (also C-c M-p in a .lisp file)

• ,restart-inferior-lisp

and many more. Usually the interactive commands given in the previous section have a REPL shortcut.

With the slime-quicklisp contrib, we can use ,ql to autocomplete a system to install, from all systems available for installation.

In addition, we can use the <u>Quicklisp-systems</u> Slime extension to search, browse and load Quicklisp systems from Emacs.

6.4.16. Sending code to the REPL

You can write code in the REPL, but you can also interact with code directly from the source file.

We saw **C-c C-j**, that sends the expression at point to the REPL and evaluates it.

C-c C-y (slime-call-defun): send code to the REPL (Sly doesn't have this).

When the point is inside a defun and C-c C-y is pressed (below I'll use [] as an indication where the cursor is)

```
(defun foo ()
 nil[])
```

then (foo []) will be inserted into the REPL, so that you can write additional arguments and run it.

If foo was in a different package than the package of the REPL, (package:foo) or (package:foo) will be inserted.

This feature is very useful for testing a function you just wrote.

That works not only for a defun, but also for defgeneric, defmethod, defmacro, and define-compiler-macro in the same fashion as for defun.

For defvar, defparameter, defconstant: [] *foo* will be inserted (the cursor is positioned before the symbol so that you can easily wrap it into a function call).

```
For defclass: (make-instance 'class-name ).
```

Inserting calls to frames in the debugger

C-y in SLDB on a frame will insert a call to that frame into the REPL, e.g.,

```
(/ 0) =>
...
1: (CCL::INTEGER-/-INTEGER 1 0)
...
C-y will insert (CCL::INTEGER-/-INTEGER 1 0).
(thanks to Slime tips)
```

6.4.17. Synchronizing packages

 $C-c \sim (slime-sync-package-and-default-directory)$: When run in a buffer with a lisp file it will change the current package of the REPL to the package of that file and also set the current directory of the REPL to the parent directory of the file.

6.4.18. Exporting symbols

Slime provides a shortcut to add export declarations to your package, effectively exporting one or many symbol(s), or on the contrary un-exporting it.

C-c x (slime-export-symbol-at-point) from the slime-package-fu contrib: takes the symbol at point and modifies the :export clause of the corresponding defpackage form. It also exports the symbol. When called with a negative argument (C-u C-c x) it will remove the symbol from :export and unexport it.

M-x slime-export-class does the same but with symbols defined by a structure or a class, like accessors, constructors, and so on. It works on structures only on SBCL and Clozure CL so far. Classes should work everywhere with MOP.

Customization

There are different styles of how symbols are presented in defpackage, the default is to use uninterned symbols (#: foo). This can be changed:

to use keywords, add this to your Emacs init file:

6.4.19. (optional) Consult the Hyper Spec (CLHS) offline

The <u>Common Lisp Hyper Spec</u> is the official online version of the ANSI Common Lisp standard. We can start browsing it from <u>starting points</u>: a shortened <u>table of contents of highlights</u>, a <u>symbols index</u>, a glossary, a master index.

Since January of 2023, we have the Common Lisp Community Spec: https://cl-community-spec.github.io/pages/index.html, a new web rendering of the specification. It is a more modern rendering:

- it has a search box
- it has syntax highlihgting
- it is hosted on GitHub and we have the right to modify it: https://github.com/fonol/cl-community-spec

If you want other tools to do a quick look-up of symbols on the CLHS, since the official website doesn't have a search bar, you can use:

- Xach's website search utility: https://www.xach.com/clhs?q=with-open-file
- the l1sp.org website: http://l1sp.org/search?q=with-open-file,
- and we can use Duckduckgo's or Brave Search's !clhs "bang".

We can **browse the CLHS offline** with <u>Dash</u> on MacOS, <u>Zeal</u> on GNU/Linux and <u>Velocity</u> on Windows.

But we can also browse it offline from Emacs. We have to install a CL package and to configure the Emacs side with one command:

```
(ql:quickload "clhs")
(clhs:install-clhs-use-local)
Then add this to your Emacs configuration:
```

```
(load "~/quicklisp/clhs-use-local.el" 'noerror)
```

Now, you can use C-c C-d h to look-up the symbol at point in the HyperSpec. This will open your browser, but look at its URL starting with "file://home/": it opens a local file.

Other commands are available:

- when you want to look-up a reader macro, such as #' (sharpsign-quote) or ((left-parenthesis), use M-x common-lisp-hyperspec-lookup-reader-macro, bound to C-c C-d #.
- to look-up a format directive, such as ~A, use M-x common-lisp-hyperspec-format, bound to C-c C-d ~.
 - of course, you can TAB-complete on Emacs' minibuffer prompt to see all the available format directives.
- you can also look-up glossary terms (for example, you can look-up "function" instead of "defun"), use M-x common-lisp-hyperspec-glossary-term, bound to C-c C-d g.

6.5. Working with Emacs

In this section we'll learn the most useful Emacs commands to work with Lisp code in general, or to perform common actions.

We'll start by how to find your way into Emacs' built-in documentation. If there is a skill you should learn, that is the one.

Don't forget that Emacs both GUI and terminal interfaces have menus, they help in discovering all available commands. If you don't see one, ensure that your emacs configuration doesn't hide it. Display the menu with M-x menu-bar-mode.

6.5.1. Built-in documentation

Emacs comes with built-in tutorials and documentation. Moreover, it is a self-documented and self-discoverable editor, capable of introspection to let you know about the current keybindings, to let you search about function documentation, available variables, source code, tutorials, etc. Whenever you ask yourself questions like "what are the available shortcuts to do x" or "what does this keybinding really do", the answer is most probably a keystroke away, right inside Emacs. You should learn a few keybindings to be able to discover Emacs with Emacs flawlessly.

The help on the topic is here:

• Help page: commands for asking Emacs about its commands

The help keybindings start with either C-h or F1. Important ones are:

- C-h k <keybinding>: what function does this keybinding call?
- C-h f <function name>: what keybinding is linked to this function?
- C-h a <topic>: show a list of commands whose name match the given *topic*. It accepts a keyword, a list of keywords or a regular expression.
- C-h i: show the Info page, a menu of major topics.

Some Emacs packages give even more help.

6.5.2. More help and discoverability packages

Sometimes, you start typing a key sequence but you can't remember it completely. Or, you wonder what other keybindings are related. Comes <u>which-key-mode</u>. This packages will display all possible keybindings starting with the key(s) you just typed.

For example, I know there are useful keybindings under C-x but I don't remember which ones... I just type C-x, I wait for half a second, and which-key shows all the ones available.

```
- Sorting Options
                               Paging Options
                                - Method 1 (default): Using C-h (or =help-char=)
                                - Method 2: Bind your own keys

    Face Customization Options
    Other Options

                    Support for Third-Party Libraries
                      10:17 U -[which-key]README.org
                                                                                                                                                                                                                                                                                                      Top :master Org en co
C-x DEL → backward-kill-sentence
                                                                                                                                                                                               0 → delete-window
                                                                                                                                                                                                                                                                                                                                         [ → backward-page
                     RET → +prefix
SPC → rectangle-mark-mode
                                                                                                                                                                                                  1 → delete-other-windows ] → forward-page
2 → split-window-below ^ → enlarge-window
3 → split-window-right ` → next-error
                                                                                                                                                                                              4 	op + ctl-x-4-prefix a 	op + prefix
5 	op + ctl-x-5-prefix b 	op switch-to-buffer
6 	op + 2C-command d 	op dired
8 	op + prefix e 	op kmacro-end-and-compared to the sum of the sum 
                                   ' → expand-abbrev
                                                                                                                                                                                                                                                                                                                                      e → kmacro-end-and-call-macro
                                                                                                                                                                                                                                                                                                                                     f \rightarrow set-fill-column
h \rightarrow mark-whole-buffer
                                                                                                                                                                                                         \langle \rightarrow \text{scroll-left}  h \rightarrow \text{mark-whole-}
= \rightarrow \text{what-cursor-position i} \rightarrow \text{insert-file}
                                                   balance-windows
```

Just try it with C-h too!

See also <u>Helpful</u>, an alternative to the built-in Emacs help that provides much more contextual information.

```
emacs@boogie
File Edit Options Buffers Tools YASnippet Help
forward-sexp is an <u>interactive</u> function defined in <u>lisp.el.gz</u>.
Function Signature
(forward-sexp &optional ARG)
Function Documentation
Move forward across one balanced expression (sexp).
With ARG, do it that many times. Negative arg -N means move
backward across N balanced expressions. This command assumes
point is not in a string or comment. Calls
<u>forward-sexp-function</u> to do the work, if that is non-nil.
unable to move over a sexp, signal scan-error with three
arguments: a message, the start of the obstacle (usually a
parenthesis or list marker of some kind), and end of the
obstacle.
Key Bindings
global-map <C-M-right>
global-map C-M-f
global-map ESC <C-right>
esc-map <C-right>
esc-map C-f
References
References in lisp.el.qz:
(defun backward-sexp ...)
                                     1 reference
(defun mark-sexp ...)
                                     2 references
       *helpful command: forward-sexp*
                                                            (Helpful)
                                            Top (1,0)
```

6.5.3. Built-in tutorial

Emacs ships its own tutorial. You should give it a look to learn the most important keybindings and concepts.

Call it with M-x help-with-tutorial (where M-x is alt-x).

6.5.4. Editing with parentheses

Emacs has, of course, built-in commands to deal with s-expressions.

6.5.4.1. Forward/Backward/Up/Down movement and selection by s-expressions

Use C-M-f and C-M-b (forward-sexp and backward-sexp) to move to the end (to the beginning) of the s-expression at point or to the next expression of the same level. C-M-n and C-M-p (next, previous) are similar.

Use C-M-u (backward-up-list) and C-M-d (down-list) to go up and down in the tree of sexpressions.

Use C-M-a (beginning-of-defun or slime-beginning-of-defun in lisp-mode) and C-M-e (end-of-defun or slime-end-of-defun) to go to the beginning (or end) of the top-level s-expression: for example this goes to the beginning of the current function definition.

Use C-M-@ or C-M-space (both mark-sexp) to highlight an entire sexp. Then press C-M-u to expand the selection "upwards" and C-M-d to move forward down one level of parentheses. You can also press mark-sexp repeatedly.

Use M-) (move-past-close-and-reindent) to move to the end of the current lexical block, create a new line and indent.

Use C-M-t (transpose-sexps) to drag the s-expression at point up, before the previous s-exp.

For example:

```
;; Press C-M-t and observe how you move the different additions.
(defun c ()
  "another function"
  (let ((x 42))
    (+ x
       (+22)
     [](+ 3 3) ; <-- cursor
       (+ 4 4))))
;; C-M-t =>
(defun c ()
  "another function"
  (let ((x 42))
    (+ x
       (+33)
       (+ 2 2)[] ; <-- cursor moved too (now right before (+ 4 4)
       (+ 4 4))))
```

6.5.4.2. Comment a line or a region

Insert a comment or comment a region with M-;, adjust text with M-q.

6.5.4.3. Deleting parenthesis and s-expressions

Use M-x delete-pair to delete the pair of parenthesis ahead of the point. It actually works with any symbols that come in pair (double quotes, square brackets...).

For example:

```
[](1 2 3)
;; M-x delete-pair =>
1 2 3
```

Use C-M-k (kill-sexp) and C-M-backspace (backward-kill-sexp) (but caution: this keybinding may restart the system on GNU/Linux).

For example, if point is before (progn (I'll use [] as an indication where the cursor is):

```
(+ 2 2)
(+ 3 3)))
(+ 4 4)))
and you press C-M-k, you get:
(defun d ()
(if t
(+ 3 3)
[]
(+ 4 4)))
```

6.5.4.4. raise: moving an s-expression up

Use M-x raise-sexp (unbound by default) to "raise" the current expression. This moves it up one level, and erases the previous expression. For example, with the point below:

```
(defun d ()
  (when t
      [](+ 3 3)

call raise-sexp and you get:
(defun d ()
  [](+ 3 3))

You can bind it to a global key:
(keymap-global-set "M-+" #'raise-sexp) ;; M-+ originally unbound
```

6.5.4.5. Indenting s-expressions

(progn

Indentation is automatic for Lisp forms.

Pressing TAB will indent incorrectly indented code. For example, put the point at the beginning of the (+ 3 3) form and press TAB:

```
(+33)
you correctly get
(progn
  (+33)
Use C-M-q (indent-sexp) to re-indent the form at point.
;; Put the cursor on the open parens of "(defun ..."
;; and press "C-M-q" to indent the code:
[] (defun e ()
   "A badly indented function."
 (let ((x 20))
 (print x)))
you get:
(defun e ()
  "A correctly indented function."
  (let ((x 20))
    (print x)))
```

Use C-c M-q (slime-reindent-defun) to indent the current function definition:

```
;; Put the cursor anywhere inside the function
;; and press "C-M-q" to indent the code:
(defun e ()
"A badly indented function."
(let ((x 20))
(loop for i from 0 to x
do (loop for j from 0 below 10
do (print j))
(if (< i 10)
(let ((z nil) )
(setq z (format t "x=~d" i))
(print z))))))
;; This is the result:
(defun e ()
  "A badly indented function (now correctly indented)."
  (let ((x 20))
    (loop for i from 0 to x
       do (loop for j from 0 below 10
             do (print j))
         (if (< i 10))
             (let ((z nil) )
               (setq z (format t "x=\sim d" i))
               (print z))))))
```

You can also select a region and call M-x indent-region.

6.5.4.6. Open and close parentheses

You may not need many keybindings (or any at all) to manage Lisp's parentheses.

M-x show-paren-mode is super useful already (see below). But some keybindings are helpful nonetheless.

Did you know that when you are in a Slime REPL, you can use C-return or M-return (slime-repl-closing-return) to close the remaining parenthesis and evaluate your input string?

In source files, you can use C-c C-] (slime-close-all-parens-in-sexp) to insert the required number of closing parenthesis.

For example:

In files, use M- (to insert a pair of parenthesis (()) and the same keybinding with a prefix argument, C-u M- (, to enclose the expression in front of the cursor with a pair of parens.

For example, we start with the cursor before the first paren:

```
[](-22)
```

Press C-u M- (to enclose it with parens:

```
([](- 2 2))
;; now write anything.
(zerop (- 2 2))
```

With a numbered prefix argument (C-u 2 M-(), wrap around this number of s-expressions.

Additionally, use M-x check-parens to spot malformed s-exps.

There are additional packages that can make your use of parens easier:

- M-x show-paren-mode, a built-in Emacs mode: it toggles the visualization of matching parenthesis. When enabled, place the cursor on a paren and you'll see the other paren it matches with. You can initialize it in your Emacs init file with (show-paren-mode t). It is a global minor mode (it will work for all buffers, all languages).
- · we highly suggest you enable it.
- when evil-mode (the vim layer) is enabled, you can use the % key to go to the matching paren.
- M-x electric-pair-mode, a built-in Emacs mode: when enabled, typing an open parenthesis automatically inserts the corresponding closing parenthesis, and vice versa. (Likewise for brackets, etc.). If the region is active, the parentheses (brackets, etc.) are inserted around the region instead.
- you could use Paredit (animated guide) to automatically insert parentheses in pairs,
- or <u>lispy-mode</u>, like Paredit, but a key triggers an action when the cursor is placed right before or right after a parentheses.

6.5.5. (optional) Packages for structured editing

In addition to the built-in Emacs commands and modes (show-paren-mode is a must have, see above), you have more packages at your disposal that will help to keep the parens and/or the indentation balanced. The list below is somewhat sorted by age of the extension, according to the <u>history of Lisp editing</u>:

- <u>Paredit</u> Paredit is a classic. It defines the must-have commands (move, kill, split, join a sexp,...). (visual tutorial)
- <u>Smartparens</u> Smartparens not only deals with parens but with everything that comes in pairs (html tags,...) and thus has features for non-lispy languages.
- <u>Lispy</u> Lispy reimagines Paredit with the goal to have the shortest bindings (mostly one key) that only act depending on the point position.
- <u>Paxedit</u> Paxedit adds commands based on the context (in a symbol, a sexp,...) and puts efforts on whitespace cleanup and context refactoring.
- <u>Parinfer</u> Parinfer automatically fixes the parens depending on the indentation, or the other way round (or both !).

We personally advice to know the built-in functions well, then to get inspiration from the famous Paredit or from Lispy for evil users. See even more on <u>Wikemacs</u>.

6.5.6. Hiding/showing code

Use C-x n n (narrow-to-region) and C-x n w to widen back.

See also code folding with external packages.

6.5.7. Search and replace

6.5.7.1. isearch forward/backward, regexp searches, search/replace

C-s does an incremental search forward (e.g. - as each key is the search string is entered, the source file is searched for the first match. This can make finding specific text much quicker as you only need to type in the unique characters. Repeat searches (using the same search characters) can be done by repeatedly pressing C-s

C-r does an incremental search backward

C-s RET and C-r RET both do conventional string searches (forward and backward respectively)

C-M-s and C-M-r both do regular expression searches (forward and backward respectively)

M-% does a search/replace while C-M-% does a regular expression search/replace

6.5.7.2. Finding occurrences (occur, grep)

Use M-x grep, rgrep, occur...

See also interactive versions with <u>helm-swoop</u>, helm-occur, <u>ag.el</u>.

6.6. Questions/Answers

6.6.1. Emacs Lisp vs Common Lisp

It isn't necessary to write Emacs Lisp in order to use Emacs with Slime or Sly for Common Lisp.

However learning Emacs Lisp can be useful and is similar (but different) from CL:

- Dynamic scope is everywhere
- There are no reader (or reader-related) functions
- Does not support all the types that are supported in CL
- Incomplete implementation of CLOS (with the add-on EIEIO package)
- No numerical tower support

Some good Emacs Lisp learning resources:

- An Introduction to Programming in Emacs Lisp
- Writing GNU Emacs Extensions
- Wikemacs

6.6.2. What about LSP (Language Server Protocol)?

LSP server and client ports for Common Lisp exist, but we don't *need* them to have a high quality IDE integration. In fact, Slime/Swank follow a client/server architecture, like LSP, but Slime predates LSP by decades, and still offers much more features for lispers than LSP.

6.6.3. utf-8 encoding

You might want to set this to your init file:

This will avoid getting ascii stream decoding errors when you have non-ascii characters in files you evaluate with SLIME.

6.6.4. Default cut/copy/paste keybindings

I am so used to C-c, C-v and friends to copy and paste text that the default Emacs shortcuts don't make any sense to me.

Luckily, you have a solution! Install <u>cua-mode</u> and you can continue to use these shortcuts.

```
;; C-z=Undo, C-c=Copy, C-x=Cut, C-v=Paste (needs cua.el)
(require 'cua) (CUA-mode t)
```

6.7. Appendix

C-M-x

6.7.1. All Slime REPL shortcuts

Here is the reference of all Slime shortcuts that work in the REPL.

To see them, go in a REPL, type C-h m and go to the Slime REPL map section.

```
REPL mode defined in 'slime-repl.el':
Major mode for interacting with a superior Lisp.
key
                binding
C-c
                Prefix Command
                slime-repl-newline-and-indent
C-j
                slime-repl-return
RET
C-x
                Prefix Command
                Prefix Command
ESC
SPC
                slime-space
  (that binding is currently shadowed by another mode)
                slime-handle-repl-shortcut
                backward-delete-char-untabify
DEL
                slime-repl-closing-return
<C-return>
<C-down>
                slime-repl-forward-input
<C - up>
                slime-repl-backward-input
                slime-repl-return
<return>
C-x C-e
                slime-eval-last-expression
C-c C-c
                slime-interrupt
C-c C-n
                slime-repl-next-prompt
C-c C-o
                slime-repl-clear-output
C-c C-p
                slime-repl-previous-prompt
                slime-complete-form
C-c C-s
C-c C-u
                slime-repl-kill-input
C-c ESC
                Prefix Command
C-c I
                slime-repl-inspect
M-RFT
                slime-repl-closing-return
                slime-repl-next-input
M-n
                slime-repl-previous-input
M-p
                slime-repl-previous-matching-input
M-r
M-s
                slime-repl-next-matching-input
C-c C-z
                run-lisp
  (that binding is currently shadowed by another mode)
```

lisp-eval-defun

C-M-q	indent-sexp
C-c M-e	macrostep-expand
C-c M-i	slime-fuzzy-complete-symbol
C-c M-o	slime-repl-clear-buffer

6.7.2. All other Slime shortcuts

There is more to what we showed! Slime has shortcuts to disassemble the function definition of the symbol at point, learn how to navigate the inspector, toggle functions profiling, learn its indentation or completion strategies, use multiple Lisp connections, learn how to manipulate presentations...

Here are all the default keybindings defined by Slime mode.

To see them, go in a .lisp file, type C-h m and go to the Slime section.

```
Commands to compile the current buffer's source file and visually highlight any resulting compiler notes and warnings:

C-c C-k - Compile and load the current buffer's file.

C-c M-k - Compile (but not load) the current buffer's file.

C-c C-c - Compile the top-level form at point.
```

Commands for visiting compiler notes:

M-n - Goto the next form with a compiler note.

M-p - Goto the previous form with a compiler note.

C-c M-c - Remove compiler-note annotations in buffer.

Finding definitions:

М-.

- Edit the definition of the function called at point.

М-,

key

- Pop the definition stack to go back from a definition.

Documentation commands:

```
C-c C-d C-d - Describe symbol.
C-c C-d C-a - Apropos search.
C-c M-d - Disassemble a function.
```

Evaluation commands:

```
C-M-x - Evaluate top-level from containing point.
```

C-x C-e - Evaluate sexp before point.

binding

C-c C-p - Evaluate sexp before point, pretty-print result.

Full set of commands:

-	-
	-
C - c	Prefix Command
C-x	Prefix Command
ESC	Prefix Command
SPC	slime-space
C-c C-c	slime-compile-defun
C-c C-j	<pre>slime-eval-last-expression-in-repl</pre>
C-c C-k	slime-compile-and-load-file
C-c C-s	slime-complete-form
C-c C-y	slime-call-defun
C-c ESC	Prefix Command

C-c C-] C-c x C-c ~	<pre>slime-close-all-parens-in-sexp slime-export-symbol-at-point slime-sync-package-and-default-directory</pre>
C-M-a	slime-beginning-of-defun
C-M-e	slime-end-of-defun
M-n	slime-next-note
M-p	slime-previous-note
C-M-,	slime-previous-location
C-M	slime-next-location
C-c TAB	completion-at-point
C-c RET	slime-expand-1
C-c C-p	slime-pprint-eval-last-expression
C-c C-u	slime-undefine-function
C-c ESC	Prefix Command
C-c C-b	slime-interrupt
C-c C-d	slime-doc-map
C-c C-e	slime-interactive-eval
C-c C-l	slime-load-file
C-c C-r	slime-eval-region
C-c C-t	slime-toggle-fancy-trace
C-c C-v	Prefix Command
C-c C-w	slime-who-map
C-c C-x	Prefix Command
C-c C-z	slime-switch-to-output-buffer
C-c ESC	Prefix Command
C-c :	slime-interactive-eval
C-c <	slime-list-callers
C-c >	slime-list-callees
C-c E	slime-edit-value
C-c I	slime-inspect
C-x C-e	slime-eval-last-expression
C-x 4	Prefix Command
C-x 5	Prefix Command
C-M-x	slime-eval-defun
M-,	slime-pop-find-definition-stack
M	slime-edit-definition
M-?	slime-edit-uses
M	slime-edit-uses
C-c M-c	slime-remove-notes
C-c M-e	macrostep-expand
C-c M-i	slime-fuzzy-complete-symbol
C-c M-k	slime-compile-file
C-c M-q	slime-reindent-defun
C-c M-m	slime-macroexpand-all
C-c C-v C-d	slime-describe-presentation-at-point
C-c C-v TAB	slime-inspect-presentation-at-point
C-c C-v C-n	slime-next-presentation
	•

```
C-c C-v C-p
                slime-previous-presentation
C-c C-v C-r
                slime-copy-presentation-at-point-to-repl
C-c C-v C-w
                slime-copy-presentation-at-point-to-kill-ring
C-c C-v ESC
                Prefix Command
C-c C-v SPC
                slime-mark-presentation
C-c C-v d
                slime-describe-presentation-at-point
C-c C-v i
                slime-inspect-presentation-at-point
C-c C-v n
                slime-next-presentation
C-c C-v p
                slime-previous-presentation
C-c C-v r
                slime-copy-presentation-at-point-to-repl
C-c C-v w
                slime-copy-presentation-at-point-to-kill-ring
C-c C-v C-SPC
                slime-mark-presentation
C-c C-w C-a
                slime-who-specializes
C-c C-w C-b
                slime-who-binds
C-c C-w C-c
                slime-who-calls
C-c C-w RET
                slime-who-macroexpands
C-c C-w C-r
                slime-who-references
C-c C-w C-s
                slime-who-sets
C-c C-w C-w
                slime-calls-who
C-c C-w a
                slime-who-specializes
C-c C-w b
                slime-who-binds
C-c C-w c
                slime-who-calls
C-c C-w d
                slime-who-depends-on
C-c C-w m
                slime-who-macroexpands
C-c C-w r
                slime-who-references
C-c C-w s
                slime-who-sets
C-c C-w w
                slime-calls-who
C-c C-d C-a
                slime-apropos
C-c C-d C-d
                slime-describe-symbol
C-c C-d C-f
                slime-describe-function
C-c C-d C-g
                common-lisp-hyperspec-glossary-term
C-c C-d C-p
                slime-apropos-package
C-c C-d C-z
                slime-apropos-all
C-c C-d #
                common-lisp-hyperspec-lookup-reader-macro
C-c C-d a
                slime-apropos
C-c C-d d
                slime-describe-symbol
C-c C-d f
                slime-describe-function
C-c C-d q
                common-lisp-hyperspec-glossary-term
C-c C-d h
                slime-documentation-lookup
C-c C-d p
                slime-apropos-package
C-c C-d z
                slime-apropos-all
C-c C-d ~
                common-lisp-hyperspec-format
C-c C-d C-#
                common-lisp-hyperspec-lookup-reader-macro
C-c C-d C-~
                common-lisp-hyperspec-format
C-c C-x c
                slime-list-connections
C-c C-x n
                slime-next-connection
C-c C-x p
                slime-prev-connection
C-c C-x t
                slime-list-threads
C-c M-d
                slime-disassemble-symbol
                slime-repl-set-package
C-c M-p
C-x 5 .
                slime-edit-definition-other-frame
```

C-x 4 . slime-edit-definition-other-window

C-c C-v M-o slime-clear-presentations

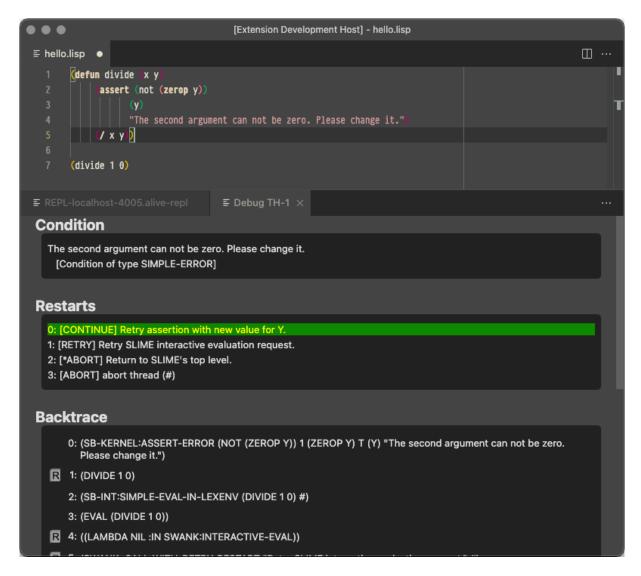
6.8. See also

- SLIME's documentation
- Slime video tutorial (and the author's channel, full of great stuff)
- Marco Baringer's <u>Slime tutorial</u>
- <u>Common Lisp REPL exploration guide</u>, a concise and curated set of highlights to find one's way in the REPL.
- Emacs4CL, a tiny DIY kit to set up vanilla Emacs for Common Lisp programming.
- <u>slime-star</u>, a collection of extensions for SLIME:
 - doc contribs: richer slime-help and slime-info buffers to display documentation.
 - Quicklisp systems: autocompletion to load Quicklisp systems from the REPL.
 - quicksearch integration: search for Common Lisp repositories on Quicklisp, Github and Cliki.
 - Slime breakpoints: set breakpoints visually without code annotation, get buttons to step through code.
 - Quicklisp apropos: "apropos" across Quicklisp libraries.
 - ► Slime critic: get the Slime critic gently critique your code.
 - interactive print and trace buffers
 - dedicated Emacs buffers for output streams
 - access to the ANSICL specification in Emacs' Info format.
 - Lisp system browser: a (work in progress) Smalltalk-like system browser for Common Lisp, where one can get different panes to browse available packages and their functions, variables, macros, classes, generic functions.
- Slime tips

7. Using VSCode with Alive

The <u>Alive</u> extension makes <u>VSCode</u> a powerful Common Lisp development platform. Alive hooks directly into the Swank server that Emacs Slime uses and is fully compatible with VSCode's ability to develop remotely in containers, WSL, Remote machines, etc. It has no dependencies beyond a version of Common Lisp running on the target platform that can run the Swank server. It currently supports:

- Syntax highlighting
- Code completion
- Code formatter
- Jump to definition
- Snippets
- REPL integration
- Interactive Debugger
- REPL history
- Inline evaluation
- · Macro expand
- Disassemble
- Inspector
- Hover Text
- Rename function args and let bindings
- Code folding

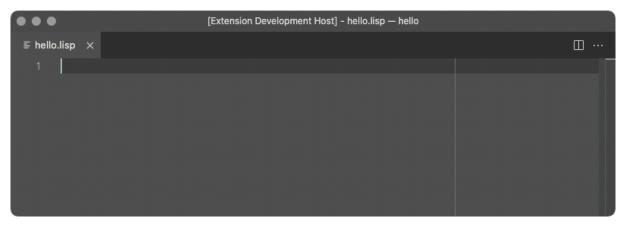


7.0.1. Prerequisites

The Alive extension in VSCode is compatible with ANSI Common Lisp, and these instructions should work for any of them as long as the Alive REPL starts up successfully. The examples all use SBCL.

- <u>VsCode</u> with <u>command line</u> installed running the <u>Alive</u> extension.
- SBCL

7.0.1.1. Connect VSCode to a REPL



- 1. Inside of VSCode, open a lisp file that you want to edit.
 - If you don't have one, create a new one called hello.lisp
- 2. Inside of VSCode, open the Command Palette on the menu at the top where it says View/Command Palette and start an instance of SBCL running a Swank server attached to your VSCode REPL by choosing: Alive: Start REPL And Attach.
 - You will see a small window pop up that says REPL Connected
 - If you don't get a REPL Connected message, open up VSCode's Output on the menu at the top where it says View: Output and choose Swank Trace from the pulldown. This output is the output from the running lisp image and will get you started on figuring out what might be going wrong.

Congrats, You now have a VSCode instance running a REPL attached to a Swank server running on port 4005 of a running SBCL image. You can now evaluate statements in your file and they will be processed in your running SBCL instance.

To disconnect your REPL and shut down your SBCL instance, open the Command Palette on the menu at the top where it says View/Command Palette and choose: Alive: Detach from REPL

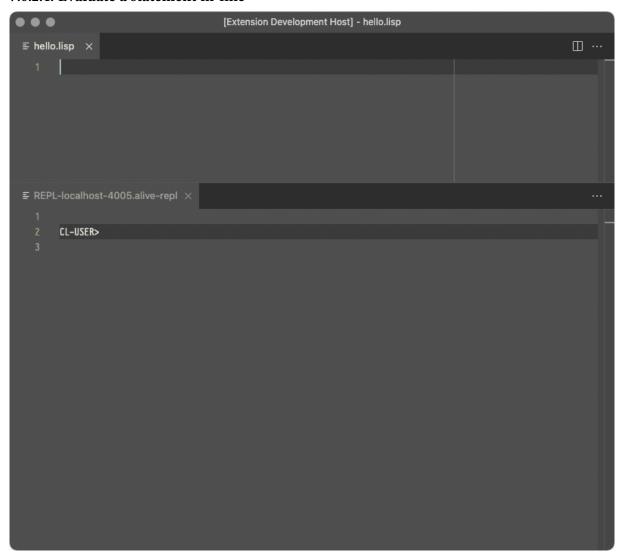
There are keybindings for every operation, feel free to explore and modify those as needed.

7.0.2. Recipes

All recipes assume you have a file open in VSCode running with an attached REPL unless otherwise stated.

When evaluating an expression, you choose the expression to evaluate by putting your cursor anywhere in or immediately following the s-expression that you wish to evaluate.

7.0.2.1. Evaluate a statement in-line

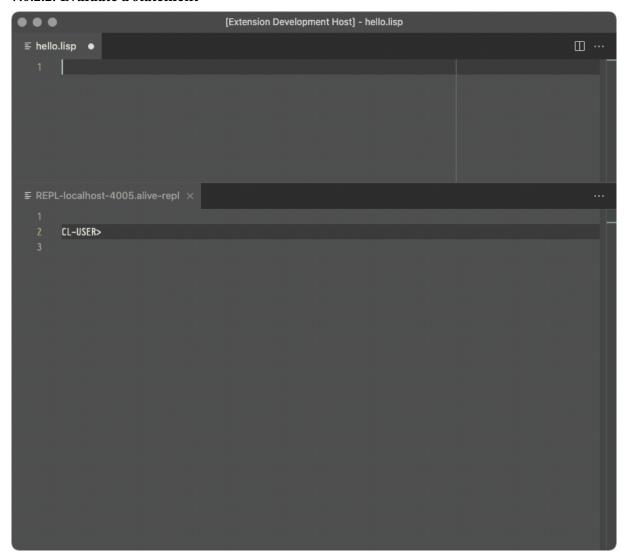


1. In your open file in your editor window, enter:

(+22)

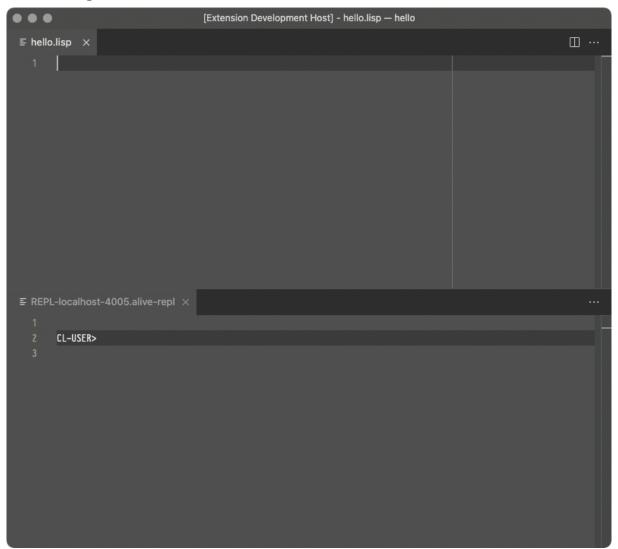
- 2. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Inline Eval
- 3. You will see a small pop up that says => 4 (3 bits, #x4, #o4, #b100), which is the result Evaluating a statement in-line is exactly the same as sending it to the REPL. The only difference is how it is displayed.

7.0.2.2. Evaluate a statement



- (+ 2 2)
- 2. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Send To REPL
- 3. You will see the expression show up in the REPL along with the result.
- CL-USER>
- (+ 2 2)
- 4
- CL-USER>

7.0.2.3. Compile a file



1. In your open file in your editor window, enter:

(+22)

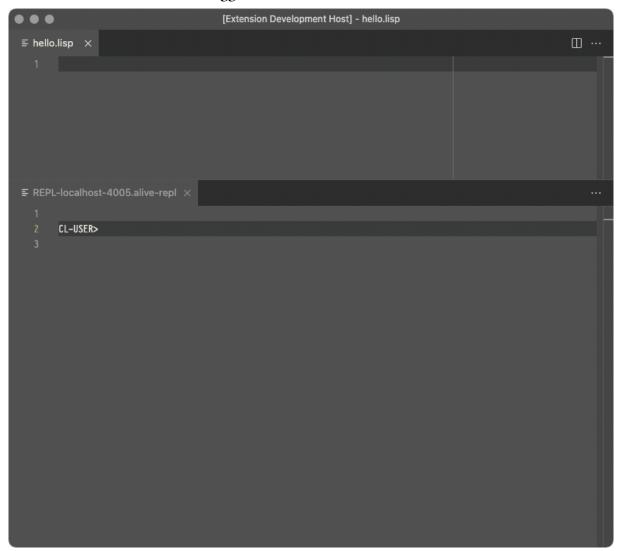
- 2. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Compile
- 3. You will see details about the compile in your repl, and a fasl file in your filesystem.

CL-USER>

```
; compiling file "/Users/jason/Desktop/hello.lisp" (written 14 SEP 2021 04:24:37 AM):
```

- ; wrote /Users/jason/Desktop/hello.fasl
- ; compilation finished in 0:00:00.001

7.0.2.4. Use the Interactive Debugger to abort



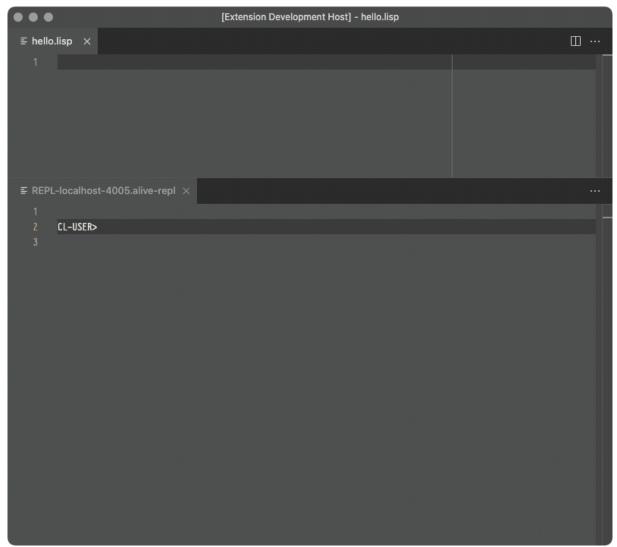
```
(defun divide (x y)
  (/ x y))
```

- 2. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Inline Eval to load your define function into your image.
- 3. In your open file, add another new line and enter:

```
(divide 1 0)
```

- 4. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Inline Eval to run your divide function in your image.
- 5. You will see the Interactive Debugger pop up. In the Restarts section, choose option 2 to Abort.
- 6. You're now back to your editor and still-running REPL and can continue like it never happened.

7.0.2.5. Use the Interactive Debugger to fix a problem at runtime



- 2. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Inline Eval to load your define function into your image.
- 3. In your open file, add another new line and enter:

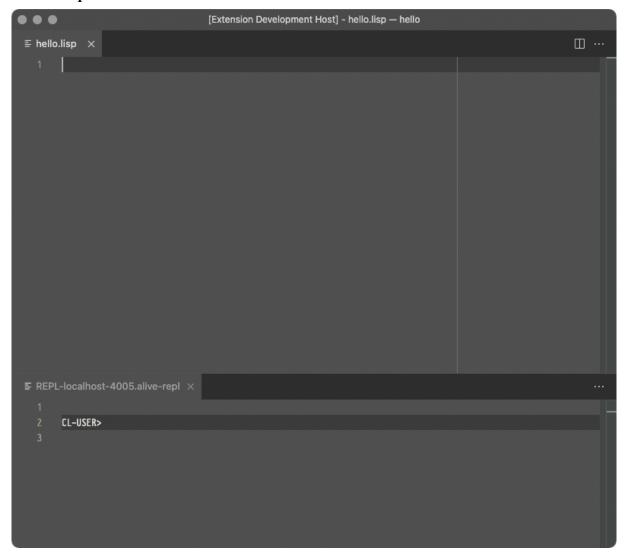
```
(divide 1 0)
```

- 4. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Inline Eval to run your divide function in your image.
- 5. You will see the Interactive Debugger pop up. In the Restarts section, choose option 0 to "Retry assertion with new value for Y".
- 6. In the popup menu, enter 'y'
- 7. In the next popup menu, enter 1

8. You should now see a small pop up that says => 1 (1 bit, #x1, #o1, #b1), which is the result of the new value. You're now back to your editor and still-running REPL after crashing out into the debugger, having it let you change the value that caused the crash, and then proceeding like you never typed that bad 0 value.

More ideas for what can be done with the debugger can be found on the <u>error handling</u> page.

7.0.2.6. Expand a macro



```
(loop for x in '(a b c d e) do
      (print x))
```

- 2. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Macro Expand to expand the forloop macro.
- 3. You should see something like this:

```
(BLOCK NIL

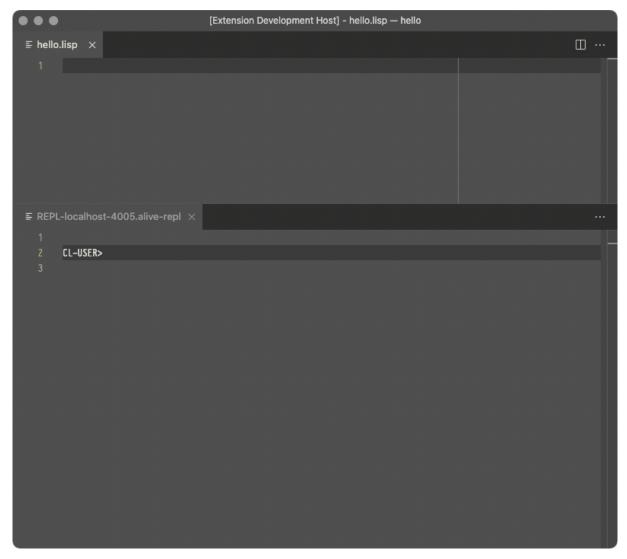
(LET ((X NIL)

(#:LOOP-LIST-559

(SB-KERNEL:THE* (LIST :USE-ANNOTATIONS T

:SOURCE-FORM '(A B C D E))
```

7.0.2.7. Disassemble a function



1. In your open file in your editor window, enter:

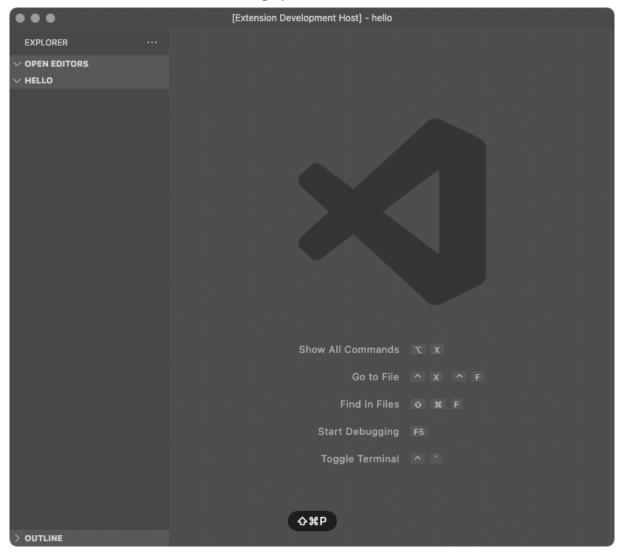
```
(defun hello (name)
  (format t "Hello, ~A~%" name))
```

2. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Inline Eval to load the function into your image.

- 3. Put your cursor after the last parenthesis if it isn't already there. Open the Command Palette on the menu at the top View/Command Palette and choose Alive: Disassemble print out the machine code of your compiled function.
- 4. It will start something like this:

```
; disassembly for HELLO
; Size: 172 bytes. Origin: #x70052478B4
                                                                 : HELLO
; 8B4:
             AC0A40F9
                               LDR R2, [THREAD, #16]
                                                                 ; binding-stack-pointer
; 8B8:
             4C0F00F9
                               STR R2, [CFP, #24]
; 8BC:
             AC4642F9
                               LDR R2, [THREAD, #1160]
                                                                 ; tls: *STANDARD-
OUTPUT*
; 8C0:
             9F8501F1
                               CMP R2, #97
; 8C4:
             61000054
                               BNE L0
                                                                 ; '*STANDARD-OUTPUT*
; 8C8:
             4AFDFF58
                               LDR R0, #x7005247870
; 8CC:
             4C1140F8
                               LDR R2, [R0, #1]
; 8D0: L0:
             4C1700F9
                               STR R2, [CFP, #40]
; 8D4:
                               MOV NLO, CSP
             E0031BAA
; 8D8:
             7A0701F8
                               STR CFP, [CSP], #16
; 8DC:
             EAFCFF58
                               LDR R0, #x7005247878
                                                                 ; "Hello, "
; 8E0:
             4B1740F9
                               LDR R1, [CFP, #40]
                               LDR LEXENV, #x7005247858
; 8E4:
             B6FBFF58
                                                                 ; #<SB-KERNEL:FDEFN
WRITE-STRING>
; 8E8:
             970080D2
                               MOVZ NARGS, #4
; 8EC:
                               MOV CFP, NLO
             FA0300AA
; 8F0:
             DE9240F8
                               LDR LR, [LEXENV, #9]
; 8F4:
             C0033FD6
                               BLR LR
; 8F8:
             3B039B9A
                               CSEL CSP, OCFP, CSP, EQ
; 8FC:
                               MOV NLO, CSP
             E0031BAA
; 900:
             7A0701F8
                               STR CFP, [CSP], #16
; 904:
             4A2F42A9
                               LDP R0, R1, [CFP, #32]
                               LDR LEXENV, #x7005247860
                                                                 ; #<SB-KERNEL:FDEFN
; 908:
             D6FAFF58
PRINC>
; 90C:
             970080D2
                               MOVZ NARGS, #4
; 910:
             FA0300AA
                               MOV CFP, NLO
; 914:
             DE9240F8
                               LDR LR, [LEXENV, #9]
; 918:
             C0033FD6
                               BLR LR
; 91C:
                               CSEL CSP, OCFP, CSP, EQ
             3B039B9A
; 920:
             E0031BAA
                               MOV NLO, CSP
; 924:
                               STR CFP, [CSP], #16
             7A0701F8
                               MOVZ R0, #2633
; 928:
             2A4981D2
; 92C:
             4B1740F9
                               LDR R1, [CFP, #40]
; 930:
             D6F9FF58
                               LDR LEXENV, #x7005247868
                                                                 ; #<SB-KERNEL:FDEFN
WRITE-CHAR>
: 934:
             970080D2
                               MOVZ NARGS, #4
                               MOV CFP, NLO
; 938:
             FA0300AA
; 93C:
             DE9240F8
                               LDR LR, [LEXENV, #9]
; 940:
             C0033FD6
                               BLR LR
; 944:
             3B039B9A
                               CSEL CSP, OCFP, CSP, EQ
; 948:
             EA031DAA
                               MOV RO, NULL
                               MOV CSP, CFP
; 94C:
             FB031AAA
: 950:
                               LDP CFP, LR, [CFP]
             5A7B40A9
; 954:
             BF0300F1
                               CMP NULL, #0
; 958:
             C0035FD6
                               RET
; 95C:
             E00120D4
                               BRK #15
                                                                 ; Invalid argument
count trap
```

7.0.2.8. Create a skeleton Common Lisp system



This recipe creates a new Common Lisp System, so it does not need a running REPL.

- 1. Create a folder called experiment for your new project
- 2. Open vscode in your newly created directory

cd experiment
code .

- 3. Create new Common Lisp System.
- Inside of VSCode, Open Command Palette on the menu at the top View/Command Palette and generate a system skeleton: Alive: System Skeleton
- The previous command should have generated the following directory structure:
 - experiment.asd
 - ► src/
 - app.lisp
 - ► test/
 - all.lisp

The content of those files is as follows:

experiment.asd:

```
(in-package :asdf-user)
(defsystem "experiment"
  :class :package-inferred-system
  :depends-on ("experiment/src/app")
  :description ""
  :in-order-to ((test-op (load-op "experiment/test/all")))
  :perform (test-op (o c) (symbol-call :test/all :test-suite)))
(defsystem "experiment/test"
  :depends-on ("experiment/test/all"))
(register-system-packages "experiment/src/app" '(:app))
(register-system-packages "experiment/test/all" '(:test/all))
src/app.lisp:
(defpackage :app
  (:use :cl))
(in-package :app)
test/all.lisp:
(defpackage :test/all
  (:use :cl
  (:export :test-suite))
(in-package :test/all)
(defun test-suite ()
  (format T "Test Suite~%"))
```

7.0.3. Optional Custom Configurations

7.0.3.1. Configuring VSCode Alive to work with Quicklisp

Assuming that you have quicklisp installed and configured to load on init, quicklisp just works.

7.0.3.2. Configuring VSCode Alive to work with CLPM in the default context

Assuming that you have <u>CLPM</u> installed and configured, <u>modify your vscode settings</u> to look like this:

1. Add the following to to your VSCode settings:

```
"alive.swank.startupCommand":[
  "clpm",
  "exec",
  "--",
  "sbcl",
  "--eval",
  "(asdf:load-system :swank)",
  "--eval",
  "(swank:create-server)"
],
```

This will start up sbcl in the default clpm context

7.0.3.3. Configuring VSCode Alive to work with CLPM using a bundle clpmfile

Assuming that you have <u>CLPM</u> installed and configured and a bundle configured in the root of your home directory that contains swank as a dev dependency, <u>modify your vscode settings</u> to look like this:

1. Add the following to your VSCode settings:

```
"alive.swank.startupCommand":[
   "clpm",
   "bundle",
   "exec",
   "--",
   "sbcl",
   "--eval",
   "(asdf:load-system :swank)",
   "--eval",
   "(swank:create-server)"
],
```

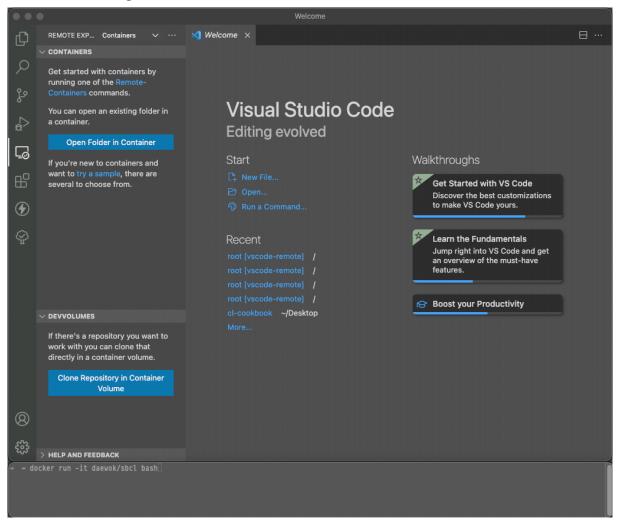
This will start up sbcl in your bundle's clpm context

7.0.3.4. Configuring VSCode Alive to work with Roswell

Assuming that you have <u>Roswell</u> installed, <u>modify your vscode settings</u> to look like this:

```
"alive.swank.startupCommand": [
   "ros",
   "run",
   "--eval",
   "(require :asdf)",
   "--eval",
   "(asdf:load-system :swank)",
   "--eval",
   "(swank:create-server)"
]
```

7.0.3.5. Connecting VSCode Alive to a Docker container



These instructions will work for remote connections, wsl connections, and github Codespaces as well using the Remote - SSH and Remote - WSL, and Github Codespaces extensions, respectively assuming you have the extensions installed. For this example, make sure you have the <u>Containers extension</u> installed and configured.

- 1. Pull a docker image that has sbcl installed, in this example, we'll use the latest clfoundations sbcl. docker pull clfoundation/sbcl
- 2. Run bash in the docker image to start it up and keep it running.

docker run -it clfoundation/sbcl bash

- 3. In the VSCode Side Bar, click the Remote Explorer icon.
- 4. In the list of Dev Containers, right click on clfoundation/sbcl and choose Attach to Container.
- 5. In the VSCode Side Bar of the new VSCode window that opens up, click on Explorer. You may need to tell it to view the files in your container if it isn't already showing them.
- 6. Once you're viewing the files in the container, right click in the VSCode Side Bar and choose New File. Name the file hello.lisp
- 7. In the VSCode Site Bar, click the Extensions icon
- 8. Click the Install in Container... button for the Alive plugin
- 9. Open up your hello.lisp file and follow the "Connect VSCode to a REPL" instructions at the beginning of these recipes

10.	You now have VSCode running a REPL hooked to a Slime server running on an SBCL image in a docker container.

8. LispWorks review

<u>LispWorks</u> is a Common Lisp implementation that comes with its own Integrated Development Environment (IDE) and its share of unique features, such as the CAPI GUI toolkit. It is **proprietary** and provides a **free limited version**.

Here, we will mainly explore its IDE, asking ourselves what it can offer to a seasoned lisper used to Emacs and Slime. The short answer is: more graphical tools, such as an easy to use graphical stepper, a tracer, a code coverage browser or again a class browser. Setting and using breakpoints was easier than on Slime.

LispWorks also provides more integrated tools (the Process browser lists all processes running in the Lisp image and we can stop, break or debug them) and presents many information in the form of graphs (for example, a graph of function calls or a graph of all the created windows).

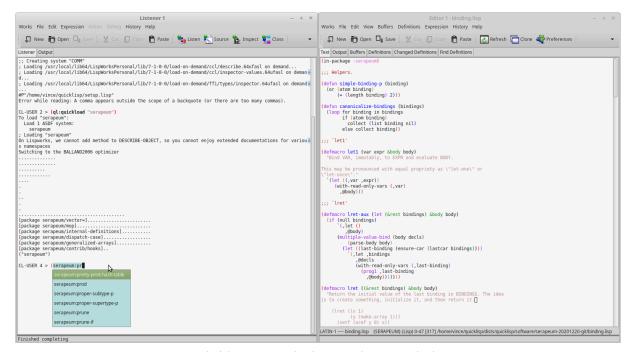


Figure 2: LispWorks' listener and editor in the Mate desktop environment

8.1. LispWorks features

We can see a matrix of LispWorks features by edition and platform here: http://www.lispworks.com/products/features.html.

We highlight:

- 32-bit, 64-bit and ARM support on Windows, MacOS, Linux, Solaris, FreeBSD,
- CAPI portable GUI toolkit: provides native look-and-feel on Windows, Cocoa, GTK+ and Motif.
 - comes with a graphical "Interface Builder" (think QtCreator) (though not available on MacOS (nor on mobile))
- LispWorks for mobile runtime, for Android and iOS,
- optimized application delivery: LispWorks can use a tree shaker to remove unused lisp code from the delivered application, thus shipping lighter binaries than existing open-source implementations.
- ability to deliver a dynamic library,
- a Java interface, allowing to call from Lisp to Java or the other way around,
- an Objective-C and Cocoa interface, with drag and drop and multi-touch support,
- a Foreign Language Interface,

- TCP/UDP sockets with SSL & IPv6 support,
- natived threads and symmetric multiprocessing, unicode support, and all other Common Lisp features, and all other LispWorks Enterprise features.

And, of course, a built-in IDE.

LispWorks is used in diverse areas of the industry. They maintain <u>a list of success stories</u>. As for software that we can use ourselves, we find <u>ScoreCloud</u> amazing (a music notation software: you play an instrument, sing or whistle and it writes the music) or <u>OpenMusic</u> (opensource composition environment).

8.1.1. Free edition limitations

The download instructions and the limitations are given on the download page.

The limitations are the following:

• There is a **heap size limit** which, if exceeded, causes the image to exit. A warning is provided when the limit is approached.

What does it prevent us to do? As an illustration, we can not load this set of libraries together in the same image:

```
(ql:quickload '("alexandria" "serapeum" "bordeaux-threads"
    "lparallel" "dexador" "hunchentoot" "quri"
    "cl-ppcre" "mito"))
```

- There is a **time limit of 5 hours** for each session, after which LispWorks Personal exits, possibly without saving your work or performing cleanups such as removing temporary files. You are warned after 4 hours of use.
- It is **impossible to build a binary**. Indeed, the functions <u>save-image</u>, <u>deliver</u> (*the* function to create a stand-alone executable), and load-all-patches are not available.
- Initialization files are not loaded. If you are used to initializing Quicklisp from your ~/.sbclrc on Emacs, you'll have to load an init file manually every time you start LispWorks ((load #p"~/.your-init-file)).

For the record, the snippet provided by Quicklisp to put in one's startup file is the following:

You'll have to paste it to the listener window (with the C-y key, y as "yank").

 Layered products that are part of LispWorks Professional and Enterprise Editions (CLIM, KnowledgeWorks, Common SQL and LispWorks ORB) are not included. But we can try the CAPI toolkit.

The installation process requires you to fill an HTML form to receive a download link, then to run a first script that makes you accept the terms and the licence, then to run a second script that installs the software.

8.1.2. Licencing model

LispWorks actually comes in four paid editions. It's all explained by themselves here: http://www.lispworks.com/products/lispworks.html. In short, there is:

- a Hobbyist edition with save-image and load-all-patches, to apply updates of minor versions, without the obvious limitations, for non-commercial and non-academic use,
- a HobbyistDV edition with the deliver function to create executables (still for non-commercial and non-academic uses),
- a Professional edition, with the deliver function, for commercial and academic uses,
- an Enterprise one, with their enterprise modules: the Common SQL interface, LispWorks ORB, KnowledgeWorks.

At the time of writing, the licence of the hobbyist edition costs 750 USD, the pro version the double. They are bought for a LW version, per platform. They have no limit of time.

NB: Please double check their upstream resources and don't hesitate to contact them.

8.2. LispWorks IDE

The LispWorks IDE is self-contained, but it is also possible to use LispWorks-the-implementation from Emacs and Slime (see below). The IDE runs *inside* the Common Lisp image, unlike Emacs which is an external program that communicates with the Lisp image through Swank and Slime. User code runs in the same process.

8.2.1. The editor

The editor offers what's expected: a TAB-completion pop-up, syntax highlighting, Emacs-like keybindings (including the M-x extended command). The menus help the discovery.

We personally found the editing experience a bit "raw". For example:

- indention after a new line is not automatic, one has to press TAB again.
- the auto-completion is not fuzzy.
- there are no plugins similar to Paredit (there is a brand new (2021) Paredit for LispWorks) or Lispy, nor a Vim layer.

We also had an issue, in that the go-to-source function bound to M-. did not work out for built-in Lisp symbols. Apparently, LispWorks doesn't provide much source code, and mostly code of the editor. Some other commercial Lisps, like Allegro CL, provide more source code

The editor provides an interesting tab: Changed Definitions. It lists the functions and methods that were redefined since, at our choosing: the first edit of the session, the last save, the last compile.

See also:

• the Editor User Guide.

8.2.2. Keybindings

Most of the keybindings are similar to Emacs, but not all. Here are some differences:

- to **compile a function**, use C-S-c (control, shift and c), instead of C-c C-c.
- to **compile the current buffer**, use C-S-b (instead of C-c C-k).

Similar ones include:

- C-g to cancel what you're doing,
- C-x C-s to save the current buffer,
- M-w and C-y to copy and paste,
- M-b, M-f, C-a, C-e... to move around words, to go to the beginning or the end of the line,
- C-k to kill until the end of the line, C-w to kill a selected region,
- M-. to find the source of a symbol,
- C-x C-e to evaluate the current defun,

• ..

Some useful functions don't have a keybinding by default, for example:

- clear the REPL with M-x Clear Listener
- Backward Kill Line

It is possible to use **classical keybindings**, à la KDE/Gnome. Go to the Preferences menu, Environment and in the Emulation tab.

There is **no Vim layer**.

8.2.3. Searching keybindings by name

It is possible to search for a keybinding associated to a function, or a function name from its keybinding, with the menu (Help -> Editing -> Key to Command / Command to Key) or with C-h followed by a key, as in Emacs. For example type C-h k then enter a keybinding to get the command name. See more with C-h ?.

8.2.4. Tweaking the IDE

It is possible to change keybindings. The editor's state is accessible from the editor package, and the editor is built with the CAPI framework, so we can use the capi interface too. Useful functions include:

```
editor:bind-key
editor:defcommand
editor:current-point
editor:with-point ;; save point location
editor:move-point
editor:*buffer-list*
editor:*in-listener* ;; returns T when we are in the REPL
Here's how you can bind keys:
;; Indent new lines.
;; By default, the point is not indented after a Return.
(editor:bind-key "Indent New Line" #\Return :mode "Lisp")
;; Insert pairs.
(editor:bind-key "Insert Parentheses For Selection" #\( :mode "Lisp")
(editor:bind-key "Insert Double Quotes For Selection"
   #\"
  :mode "Lisp")
```

Here's how to define a new command. We make the) key to go past the next closing parenthesis.

• a list of LispWork keybindings: https://www.nicklevine.org/declarative/lectures/additional/key-binds.html

8.2.5. The listener

See also:

The listener is the REPL we are expecting to find, but it has a slight difference from Slime.

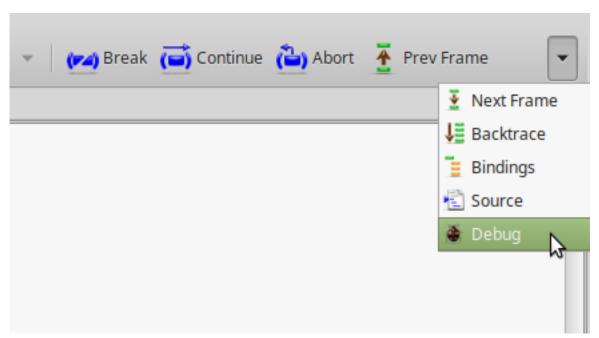
It doesn't evaluate the input line by line or form by form, instead it parses the input while typing. So we get some errors instantly. For example, we type (abc. So far so good. Once we type a colon to get (abc:, an error message is printed just above our input:

Error while reading: Reader cannot find package ABC.

```
CL-USER 1 > (abc:
```

Indeed, now abc: references a package, but such a package doesn't exist.

Its interactive debugger is primarily textual but you can also interact with it with graphical elements. For example, you can use the Abort button of the menu bar, which brings you back to the top level. You can invoke the graphical debugger to see the stacktraces and interact with them. See the Debugger button at the very end of the toolbar.



If you see the name of your function in the stacktraces (you will if you wrote and compiled your code in a file, and not directly wrote it in the REPL), you can double-click on its name to go back to the editor and have it highlight the part of your code that triggered the error.

NB: this is equivalent of pressing M-v in Slime.

It is possible to choose the graphical debugger to appear by default, instead of the textual one.

The listener provides some helper commands, not unlike Slime's ones starting with a comma ,:

```
CL-USER 1 > :help
```

symbol/subform.

Do variant of a previous command, replacing old symbol/subform with new

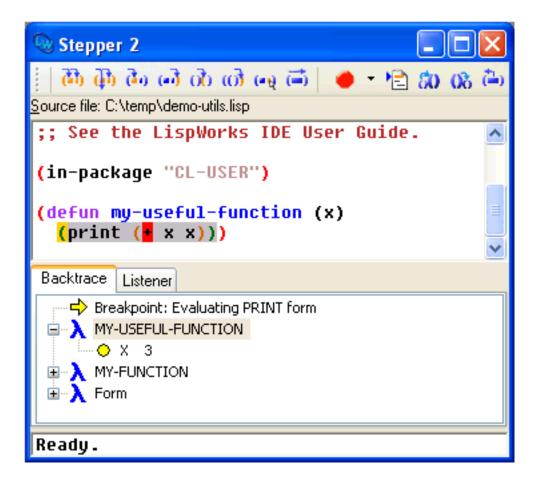
8.2.6. The stepper. Breakpoints.

The <u>stepper</u> is one of the areas where LispWorks shines.

When your are writing code in the editor window, you can set breakpoints with the big red "Breakpoint" button (or by calling M-x Stepper Breakpoint). This puts a red mark in your code.

The next time your code is executed, you'll get a comprehensive Stepper pop-up window showing:

- your source code, with an indicator showing what expression is being evaluated
- a lower pane with two tabs:
 - the backtrace, showing the intermediate variables, thus showing their evolution during the execution of the program
 - the listener, in the context of this function call, where you can evaluate expressions
- and the menu bar with the stepper controls: you can step into the next expression, step on the next function call, continue execution until the position of the cursor, continue the execution until the next breakpoint, etc.



That's not all. The non-visual, REPL-oriented stepper is also nice. It shows the forms that are being evaluated and their results.

In this example, we use :s to "step" though the current form and its subforms. We are using the usual listener, we can write any Lisp code after the prompt (the little -> here), and we have access to the local variables (X).

```
CL-USER 4 > (defun my-abs (x)
                (cond ((> x 0) x) ((< x 0) (- x)) (t 0)))
CL-USER 5 > (step (my-abs -5))
(MY-ABS -5) \rightarrow :s
   -5 -> :s
   -5
   (COND ((> X 0) X) ((< X 0) (- X)) (T 0)) \iff (IF (> X 0) (PROGN X) (IF (< X 0) (- X 0)) (IF (< X 0) (- X 0))
X) (PROGN 0)))
   ;; Access to the local variables:
   (IF (> X \theta) (PROGN X) (IF (< X \theta) (- X) (PROGN \theta))) -> (format t "Is X equal to
-5? ~a~&" (if (equal x -5) "yes" "no"))
Is X equal to -5? yes
   (IF (> X \ 0) (PROGN X) (IF (< X \ 0) (- X) (PROGN 0))) -> :s
       (> X 0) -> :s
          X -> : S
          - 5
          0 -> :s
          0
       (IF (< X 0) (- X) (PROGN 0)) -> :s
          (< X 0) -> :S
```

```
X -> :s

-5

0 -> :s

0

T

(- X) -> :s

X -> :s

-5

5
```

Here are the available stepper commands (see :?):

```
Step this form and all of its subforms (optional +ve integer arg)
: s
         Step this form without stepping its subforms
:st
        Step this form without stepping its arguments if it is a function call
:si
:su
        Step up out of this form without stepping its subforms
        Return a value to use for this form
:sr
        Quit from the current stepper level
:bug-form <subject> &key <filename>
         Print out a bug report form, optionally to a file.
:get <variable> <command identifier>
        Get a previous command (found by its number or a symbol/subform within it)
and put it in a variable.
:help
         Produce this list.
:his &optional <n1> <n2>
         List the command history, optionally the last n1 or range n1 to n2.
:redo &optional <command identifier>
        Redo a previous command, found by its number or a symbol/subform within it.
:use <new> <old> &optional <command identifier>
        Do variant of a previous command, replacing old symbol/subform with new
symbol/subform.
```

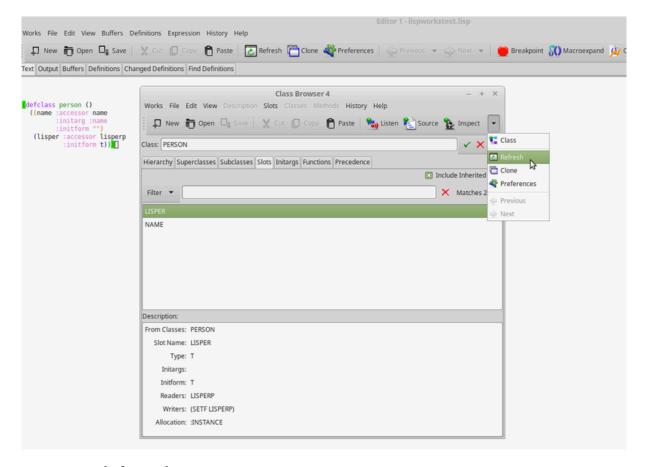
8.2.7. The class browser

The class browser allows us to examine a class's slots, parent classes, available methods, and some more.

Let's create a simple class:

Now call the class browser:

- use the "Class" button from the listener,
- or use the menu Expression -> Class,
- or put the cursor on the class and call M-x Describe class.



It is composed of several panes:

- the **class hierarchy**, showing the superclasses on the left and the subclasses on the right, with their description to the bottom,
- the **superclasses viewer**, in the form of a simple schema, and the same for subclasses,
- the slots pane (the default),
- the available **initargs**,
- the existing **generic functions** for that class
- and the class precedence list.

The Functions pane lists all methods applicable to that class, so we can discover public methods provided by the CLOS object system: initialize-instance, print-object, shared-initialize, etc. We can double-click on them to go to their source. We can choose not to include the inherited methods too (see the "include inherited" checkbox).

You'll find buttons on the toolbar (for example, Inspect a generic function) and more actions on the Methods menu, such as a way to see the **functions calls**, a menu to **undefine** or **trace** a function.

See more:

• Chapter 8 of the documentation: the Class Browser

8.2.8. The function call browser

The function call browser allows us to see a graph of the callers and the callees of a function. It provides several ways to filter the displayed information and to further inspect the call stack.

NB: The Slime functions to find such cross-references are slime-who-[calls, references, binds, sets, depends-on, specializes, macroexpands].

After loading a couple packages, here's a simple example showing who calls the string-trim function.

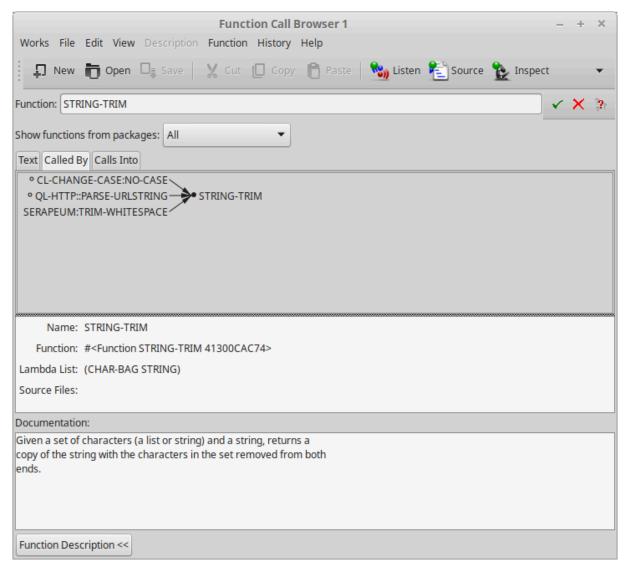


Figure 3: The function call browser

It shows functions from all packages, but there is a select box to restrict it further, for example to the "current and used" or only to the current packages.

Double click on a function shown in the graph to go to its source. Again, as in many LispWorks views, the Function menu allows to further manipulate selected functions: trace, undefine, listen (paste the object to the Listener)...

The Text tab shows the same information, but textually, the callers and callees side by side.

We can see cross references for compiled code, and we must ensure the feature is on. When we compile code, LispWorks shows a compilation output likes this:

```
;;; Safety = 3, Speed = 1, Space = 1, Float = 1, Interruptible = 1
;;; Compilation speed = 1, Debug = 2, Fixnum safety = 3
;;; Source level debugging is on
;;; Source file recording is on
;;; Cross referencing is on
```

We see that cross referencing is on. Otherwise, activate it with (toggle-source-debugging t).

See more:

• Chapter 15: the function call browser

8.2.9. The Process Browser

The Process Browser shows us a list of all threads running. The input area allows to filter by name. It accepts regular expressions. Then we can stop, inspect, listen, break into these processes.

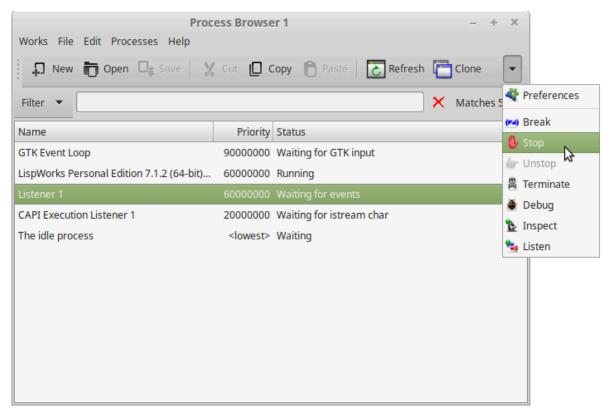


Figure 4: "The process browser"

See more:

• Chapter 28: the Process Browser

8.2.10. Saving images

Saving images with LispWorks is different than with SBCL:

- we can save an image now, or schedule snapshots later in time
- the new created image becomes the default core image for our LispWorks environment
- the REPL session is saved
- · the windows configuration is saved
- · threads are saved

So, effectively, we can save an image and have our development environment back to the same state, effectively allowing to take snapshots of our current work and to continue where we left of.

For example, we can start a game from the REPL, play a little bit in its window, save an image, and when restored we will get the game and its state back.

8.2.11. Misc

We like the Search Files functionality. It is like a recursive grep, but we get a typical LispWorks graphical window that displays the results, allows to double-click on them and that offers some more actions.

Last but not least, have a look at the **compilation conditions browser**. LispWorks puts all warnings and errors into a special browser when we compile a system. From now on we can work on fixing them and see them disappear from the browser. That helps keeping track of warnings and errors during development.

8.3. Using LispWorks from Emacs and Slime

To do that, you have two possibilities. The first one is to start LispWorks normally, start a Swank server and connect to it from Emacs (Swank is the backend part of Slime).

First, let's load the dependencies:

```
(ql:quickload "swank")
;; or
(load "~/.emacs.d/elpa/slime-20xx/swank-loader.lisp")
Start a server:
(swank:create-server :port 9876)
;; Swank started at port: 9876.
9876
```

From Emacs, run M-x slime-connect, choose localhost and 9876 for the port.

You should be connected. Check with: (lisp-implementation-type). You are now able to use LispWorks' features:

The second possibility is to create a non-GUI LispWorks image, with Swank loaded, and to run this image from SLIME or SLY. For example, to create a so-called console image with multiprocessing enabled:

and run LispWorks like this to create the new image ~/lw-console:

```
lispworks-7-0-0-x86-linux -build /tmp/resave.lisp
```

However: console is implemented only for Windows and Mac.

See LispWorks' documentation.

8.4. Delivering applications

LispWorks' delivery method revolves around its delivery function. It has good documentation: https://www.lispworks.com/documentation/lw80/deliv.htm.

Unlike other open-source Lisps, LispWorks provides a tree-shaker that can strip-off packages from the delivered application, allowing to build small binaries, around 7MB.

8.4.0.1. Delivery limitations

LispWorks's delivery <u>doesn't include</u> compile-file into the delivered application (nor save-image, deliver and the IDE). As such, it isn't possible to change code on the fly on a delivered image. No Swank server, no possibility to use ql:quickload.

To allow remote debugging, LW however provides its own debugger client. On the backend, do:

```
(require "remote-debugger-client")
(dbg:start-client-remote-debugging-server :announce t)
and on the IDE, do:
  (require "remote-debugger-full")
  (dbg:ide-connect-remote-debugging "host" :open-a-listener t)
```

8.5. See also

- <u>LispWorks IDE User Guide</u> (check out the sections we didn't cover)
- LispWorks on Wikipedia
- the <u>Awesome LispWorks</u> list
- Real Image-based approach in Common Lisp differences between SBCL and LispWorks.
- blog post: <u>Delivering a LispWorks application</u>
- <u>lw-plugins</u> LispWorks plugins:
 - terminal integration, code folding, side tree, markdown highlighting, Nerd Fonts, fuzzy-matching, enhanced directory mode, expand region, pair editing, SVG rendering...

9. Variables

You are writing your first Common Lisp program (welcome!) and you want to declare variables. What are your options?

When in doubt, use defparameter for top-level parameters.

Use let or let* for lexical scope:

```
(let* ((a 2)
          (square (* a a)))
    (format t "the square of ~a is ~a" a square))
```

Use setf to change them.

9.1. defparameter: top-level variables

Use defparameter to declare top-level variables, like this:

```
(defparameter *name* "me")
(defun hello (&optional name)
   "Say hello."
   (format t "Hello ~a!" (or name *name*)))
```

defparameter accepts an optional third argument: the variable's docstring:

```
(defparameter *name* "me"
  "Default name to say hello to.")
```

The inline docstrings are an important part of the Common Lisp interactive experience. You will encounter them during your coding sessions (and we lispers usually keep our Lisp running for a long time). In Emacs and Slime, you can ask for a symbol's docstring with C-c C-d d (Alt-x slime-describe-symbol). You can also ask for a docstring programmatically:

```
(documentation '*name* 'variable)
```

We ask the documentation of the *name* symbol, not what it holds, hence the quote in '*name* (which is short for (quote *name*). Another "doc-type" is 'function. See: in Common Lisp, variables and functions live in different "namespaces", and it shows here.

We'll mention the defparameter form with no value below.

9.1.1. redefining a defparameter

A Common Lisp coding session is usually long-lasting and very interactive. We leave a Lisp running and we interact with it while we work. This is done with Emacs and Slime, Vim, Atom and SLIMA, VSCode and Alive, Lem... and more editors, or from the terminal.

That means that you can do this:

1- write a first defparameter

```
(defparameter *name* "me")
```

either write this in the REPL, either write this in a .lisp file and compile+load it with a shortcut (C-c C-c (Alt-x slime-compile-defun) in Slime on this expression, or C-c C-k (Alt-x slime-compile-and-load-file) to compile and load everything you have in the current buffer). If you work from a simple terminal REPL, you can (load ...) a .lisp file.

Now the *name* variable exists in the running image.

2- edit the defparameter line:

```
(defparameter *name* "you")
```

and load the changes the same way: either with the REPL, or with a C-c C-c. Now, the *name* variable has a new value, "you".

A defvar wouldn't be redefined.

9.2. defvar: no redefinition

defvar defines top-level variables and protects them from redefinition.

When you re-load a defvar, it doesn't erase the current value, you must use setf for this.

```
(defvar *names-cache* (list)
   "Store a list of names we said \"hello\" to.")

(defun hello (&optional (name *name*))
        (pushnew name *names-cache* :test #'string-equal)
        (format t "hello ~a!" name))

Let's see it in use:

CL-USER> (hello)
hello you!

NIL

CL-USER> *names-cache*
("you")

CL-USER> (hello "lisper")
hello lisper!

NIL

CL-USER> *names-cache*
("lisper" "you")
```

What happens to *names-cache* if you redefine the defvar line (with C-c C-c, or C-c C-k, or on the REPL...)?

It doesn't change and that is a good thing.

Indeed, this variable isn't a user-visible parameter, it doesn't have an immediate use, but it is important for the program correctness, or strength, etc. Imagine it holds the cache of your webserver: you don't want to erase it when you load new code. During development, we hit a lot C-c C-k to reload the current file, we can as well reload our running app in production, but there are certain things we want untouched. If it is a database connection, you don't want to set it back to nil, and connect again, everytime you compile your code.

You must use setf to change a defvar's variable value.

But Slime has a shortcut for this, of course. Instead of setf, you can use C-M-x, slime-eval-defun (which calls slime-re-evaluate-defvar):

Evaluate the current toplevel form.

Use 'slime-re-evaluate-defvar' if the from starts with '(defvar'

9.3. The "*earmuff*" convention

See how we wrote *name* in-between "*earmuffs*". That is an important convention, that helps you not override top-level variables in lexical scopes.

```
(defparameter name "lisper")
```

```
;; later...
(let ((name "something else"))
   ;; ^^^ overrides the top-level name. This will cause bugs.
   ...)
```

This becomes a feature only when using earmuffs:

```
(defparameter *db-name* "db.db")

(defun connect (&optional (db-name *db-name*))
    (sqlite:connect db-name))

(let ((*db-name* "another.db"))
    (connect))
    ;;^^^^ its db-name optional parameter, which defaults to *db-name*, now sees "another.db".
```

By the way, for such a use-case, you will often find with-... macros that abstract the let binding.

```
(with-db "another.db"
  (connect))
```

By the way again, an <u>earmuff</u> is a thing that covers the ears (but only the ears) in winter. You might have seen it in movies more than in reality. The lasting word is: take care of yourself, stay warm and use earmuffs.

9.4. Global variables are created in the "dynamic scope"

Our top-level parameters and variables are created in the so-called *dynamic scope*. They can be accessed from anywhere else: from function definitions (as we did), in let bindings, etc.

In Lisp, we also say these are <u>dynamic variables</u> or <u>special</u>.

It could also be possible to create one from anywhere by *proclaiming* it "special". It really isn't the thing you do everydays but, you know, in Lisp everything's possible ;)

A dynamic variable can be referenced outside the dynamic extent of a form that binds it. Such a variable is sometimes called a "global variable" but is still in all respects just a dynamic variable whose binding happens to exist in the global environment rather than in some dynamic environment. [Hyper Spec]

9.5. setf: change values

Any variable can be changed with setf:

```
(setf *name* "Alice")
;; => "Alice"
```

It returns the new value.

Actually, setf accepts pairs of value, variable:

```
(setf *name* "Bob"
     *db-name* "app.db")
;; => "app.db"
```

It returned the last value.

What happens if you setf a variable that wasn't declared yet? It generally works but you have a warning:

```
;; in SBCL 2.5.8
CL-USER> (setf *foo* "foo")
; in: SETF *F00*
;    (SETF CL-USER::*F00* "foo")
;
; caught WARNING:
; undefined variable: CL-USER::*F00*
; compilation unit finished
; Undefined variable:
; *F00*
; caught 1 WARNING condition
"foo"
```

We see the returned "foo", so it worked. Please declare variables with defparameter or defvar first.

Let's read the full setf docstring because it's interesting:

Takes pairs of arguments like SETQ. The first is a place and the second is the value that is supposed to go into that place. Returns the last value. The place argument may be any of the access forms for which SETF knows a corresponding setting form.

Note that setq is another macro, but now seldom used, because setf works on more "places". You can setf functions and many things.

9.6. let, let*: create lexical scopes

Let lets you define variables in a limited scope, or override top-level variables temporarily.

Below, our two variables only exist in-between the parenthesis of the let:

```
(let* ((a 2)
          (square (* a a)))
    (format t "the square of ~a is ~a" a square))
    ;; so far so good

(format t "the value of a is: ~a" a)
;; => ERROR: the variable A is unbound
```

"unbound" means the variable is bound to nothing, not even to NIL. Its symbol may exist, but it isn't associated to anything.

Just after the scope formed by the let, the variables a and square don't exist anymore.

When the Lisp reader reads the format expression, it reads a a symbol, which now exists in the global environment, but it isn't bound.

Food for thought: the fact to write a variable name and have the Lisp reader read it creates the symbol, but doesn't bind it to anything.

Our two variables can be accessed by any form inside the let binding. If we create a second let, its *environment* inherits the previous one (we see variables declared above, fortunately!).

```
(defparameter *name* "test")
(defun log (square)
  (format t "name is ~s and square is ~a" *name* square))
```

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We could also define a function inside a let, so that this function definition "sees" a binding from a surrounding let at compile time. This is a closure and it's for the chapter on functions.

A "lexical scope" is simply

a scope that is limited to a spatial or textual region within the establishing form. "The names of parameters to a function normally are lexically scoped." [Hyper Spec]

In other words, the scope of a variable is determined by its position in the source code. It's today's best practice. It's the least surprising way of doing: you can *see* the scope by looking at the source code.

9.6.1. let vs let*

By the way, what is the syntax of let and what is the difference with let*?

let* lets you declare variables that depend on each other.

let's basic use is to declare a list of variables with no initial values. They are initialized to nil:

```
((let (variable1 variable2 variable3) ;; variables are initialized to nil by default.
  ;; use them here
  ...)
;; Example:
(let (a b square)
  (setf a 2)
  (setf square (* a a))
  (list a b square))
;; => (2 NIL 4)
;; exactly the same:
(let (a
      square)
You can give default values by using "pairs" of elements, as in (a 2):
(let ((a 2)
               ;; <-- initial value
       square) ;; <-- no "pair" but still one element: defaults to NIL.
```

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(setf square (* a a))
(list a square))

Yes, there are two ((in a row! This is the syntax of Common Lisp. You don't need to count them. What appears after a let is variable definitions. Usually, one per line.

The let's logic is in the body, with a meaningful indentation. You can read Lisp code based on indentation. If the project you are looking at doesn't respect that, it is a low quality project.

Observe that we kept square to nil. We want it to be the square of a, so can we do this?

```
(let ((a 2)
      (square (* a a))) ;; WARN:
  ...)
```

You can't do that here, this is the limitation of let. You need let*.

You could write two lets:

```
(let ((a 2))
  (let ((square (* a a)))
    (list a square)))
;; => (2 4)
This is equivalent to let*:
(let* ((a 2)
       (square (* a a)))
```

let is to declare variables that don't depend on each other, let* is to declare variables which are read one after the other and where one can depend on a previous one.

This is *not* valid:

...)

```
(let* ((square (* a a)) ;; WARN!
       (a 2))
   (list a square))
;; => debugger:
;; The variable A is unbound.
```

The error message is clear. At the time of reading (square (* a a)), a is unknown.

9.6.2. setf inside let

Let's make it even clearer: you can setf any value that is shadowed in a let binding, once outside the let, the variables are back to the value of the current *environment*.

We know this:

```
(defparameter *name* "test")
(let ((*name* "inside let"))
  (format t "*name* inside let: ~s" *name*))
;; => *name* inside let: "inside let"
(format t "*name* outside let: ~s" *name*)
;; => *name* outside let: "test"
we setf a dynamic parameter that was shadowed by a let binding:
```

```
(defparameter *name* "test")
(defun change-name ()
   ;; bad style though,
   ;; try to not mutate variables inside your functions,
```

9.6.3. When you don't use defined variables

Read your compiler's warnings:)

Below, it tells us that b is defined but never used. SBCL is pretty good at giving us useful warnings at *compile time* (every time you hit C-c C-c (compile and load the expression at point), C-c C-k (the whole file) or use load).

```
(let (a b square)
  (list a square))
;; =>
; caught STYLE-WARNING:
; The variable B is defined but never used.
```

This example works in the REPL because SBCL's REPL always compiles expressions.

This may vary with your implementation.

It's great to catch typos!

If you compile this in a .lisp file (or in a Alt-x slime-scratch lisp buffer), you will have two warnings, and your editor will underline each in two different colors:

```
(let* ((a 2)
(square (* a a))
(list a squale))

The variable SQUARE is defined but never used.
```

- first, "square" is defined but never used
- second, "squale" is an undefined variable.

If you run the snippet in the REPL, you will get the two warnings but, because the snippet is run, you will see the interactive debugger with the error "The variable SQUALE is unbound".

9.7. Unbound variables

"unbound" variables were not bound to anything, not even nil. Their symbol might exist, but they have no associated value.

You can create such variables like this:

```
(defvar *connection*)
```

This defvar form is correct. You didn't give any default value: the variable is unbound.

You can check if a variable (or a function) is bound with boundp (or fboundp). The p is for "predicate".

You can make a variable (or function) unbound with makunbound (or fmakunbound).

Note that a defparameter form requires an initial argument.

9.8. Global variables are thread safe

Don't be afraid of accessing and set-ing global bindings in threads. Each thread will have its own copy of the variable. Consequently, you can bind them to other values with let bindings, etc. That's good.

It's only if you want one single source of truth that you'll have to share the variable between threads and where the danger lies. You can use a lock (very easy), but that's all another topic.

9.9. Addendum: defconstant

defconstant is here to say something is a constant and is not supposed to change, but in practice defconstant is annoying. Use defparameter, and add a convention with a new style of earmuffs:

```
(defparameter +pi+ pi
  "Just to show that pi exists but has no earmuffs. Now it does. You shouldn't change
a variable with +-style earmuffs, it's a constant.")
```

defconstant is annoying because, at least on SBCL, it can't be redefined without asking for validation through the interactive debugger, which we may often do during development, and its default test is eql, so give it a string and it will always think that the constant was redefined. Look (evaluate each line one by one in order):

```
(defconstant +best-lisper+ :me)
;; so far so good.

(defconstant +best-lisper+ :me)
;; so far so good: we didn't redefine anything.

(defconstant +best-lisper+ :you)
;; => the constant is being redefined, we get the interactive debugger (SBCL):

The constant +BEST-LISPER+ is being redefined (from :ME to :YOU)
    [Condition of type SB-EXT:DEFCONSTANT-UNEQL]
See also:
    Common Lisp Hyperspec, DEFCONSTANT [:macro]
    SBCL Manual, Idiosyncrasies [:node]

Restarts:
    0: [CONTINUE] Go ahead and change the value.
    1: [ABORT] Keep the old value.
    2: [RETRY] Retry SLIME REPL evaluation request.
    3: [*ABORT] Return to SLIME's top level.
```

```
4: [ABORT] abort thread (#<THREAD tid=573581 "repl-thread" RUNNING {120633D123}>)
;; => presse 0 (zero) or click on the "Continue" restart to accept changing the value.
```

With constants as strings:

```
(defconstant +best-name+ "me")
;; so far so good, we create a new constant.

(defconstant +best-name+ "me")
;; => interactive debugger!!

The constant +BEST-NAME+ is being redefined (from "me" to "me")
```

As you will see in the equality chapter, two strings are not equal by eql that is a low-level equality operator (think pointers), they are equal (or string-equal).

This is defconstant documentation:

Define a global constant, saying that the value is constant and may be compiled into code. If the variable already has a value, and this is not EQL to the new value, the code is not portable (undefined behavior). The third argument is an optional documentation string for the variable.

The eql thing is in the spec, what an implementation should do when redefining a constant is not defined, so it may vary with your implementation.

We invite you to look at:

- Alexandria's define-constant, which has a :test keyword (but still errors out on redefinition).
- <u>Serapeum's defconst</u>
- cl:defparameter;)

9.10. Guidelines and best practices

A few style guidelines:

- create all your top-level parameters at the top of a file
- define first parameters then variables
- use docstrings
- read your compiler's warnings
- it's better for your functions to accept arguments, rather than to rely on top-level parameters
- your functions shouldn't mutate (modify) a top-level binding. You should create a new data structure instead, and use your function's return value as the parameter to another function, and have data flow from one function to another.
- parameters are best for: a webserver port, a default value... and other user-facing parameters.
- variables are best for long-living and internal variables: caches, DB connections...
- you can forget about defconstant
- when in doubt, use a defparameter
- the pattern where a function parameter is by default a global variable is typical and idiomatic:

```
(defun trim-left (s &key (char-bag *whitespaces*))
   "Removes all characters in `char-bag` (default: whitespaces) at the beginning of
`s`."
   (when s
        (string-left-trim char-bag s)))
the default value can also be a function call:
;; from the Lem editor
(defun buffer-modified-p (&optional (buffer (current-buffer)))
   "Return T if 'buffer' has been modified, NIL otherwise."
   (/= 0 (buffer-%modified-p buffer)))
```

• these let bindings over global variables are idiomatic too: (let ((*name* "other")) ...).

10. Functions

10.1. Named functions: defun

Creating named functions is done with the defun keyword. It follows this model:

```
(defun function-name (zero or some arguments)
  "docstring"
  (code of function body))
```

The return value is the value returned by the last expression of the body (see below for more). There is no "return xx" statement.

So, for example:

The print function prints its one argument to standard output *and returns it.* "hello world!" is thus the returned value of our function.

10.2. Arguments

10.2.1. Base case: required arguments

Add in arguments like this:

```
(defun hello (name)
  "Say hello to `name'."
  (format t "hello ~a !~&" name))
;; HELLO
```

(where ~a is the most used format directive to print a variable aesthetically and ~& prints a newline)

Call the function:

If you don't specify the right amount of arguments, you'll be trapped into the interactive debugger with an explicit error message:

(hello)

invalid number of arguments: 0

10.2.2. Optional arguments: &optional

Optional arguments are declared after the <code>&optional</code> keyword in the lambda list. They are ordered, they must appear one after another.

This function:

```
(defun hello (name &optional age gender) ...)
```

must be called like this:

10.2.3. Named parameters: &key

It is not always convenient to remember the order of the arguments. It is thus possible to supply arguments by name: we declare them using &key argname, we set them with :argname "value" in the function call, and we use argname as a regular variable in the function body.

Key arguments are nil by default.

```
(defun hello (name &key happy)
  "If `happy' is `t', print a smiley"
  (format t "hello ~a " name)
  (when happy
        (format t ":)~&")))
The following calls are possible:
(hello "me")
(hello "me" :happy t)
(hello "me" :happy nil) ;; useless, equivalent to (hello "me")
and this is not valid: (hello "me" :happy):
```

odd number of &KEY arguments

A similar example of a function declaration, with several key parameters:

```
(defun hello (name &key happy lisper cookbook-contributor-p) ...)
```

it can be called with zero or more key parameters, in any order:

```
(hello "me" :lisper t)
(hello "me" :lisper t :happy t)
(hello "me" :cookbook-contributor-p t :happy t)
```

Last but not least, you would quickly realize it, but we can choose the keys programmatically (they can be variables):

10.2.3.1. Mixing optional and key parameters

It is generally a style warning, but it is possible.

```
(defun hello (&optional name &key happy)
  (format t "hello ~a " name)
  (when happy
      (format t ":)~&")))
```

In SBCL, this yields:

10.2.4. Default values to key parameters

In the lambda list, use pairs to give a default value to an optional or a key argument, like (happy t) below:

```
(defun hello (name &key (happy t))
```

Now happy is true by default.

10.2.5. Was a key parameter specified?

You can skip this tip for now if you want, but come back later to it as it can turn handy.

We saw that a default key parameter is nil by default ((defun hello (name &key happy) ...)). But how can be distinguish between "the value is NIL by default" and "the user wants it to be NIL"?

We saw how to use a list of two elements to set its default value:

```
&key (happy t)
```

To answer our question, use a triple like this:

```
&key (happy t happy-p)
```

where happy-p serves as a *predicate* variable (using -p is only a convention, give it the name you want) to know if the key was supplied. If it was, then it will be T.

So now, we will print a sad face if : happy was explicitely set to NIL. We don't print it by default.

10.2.6. Variable number of arguments: &rest

Sometimes you want a function to accept a variable number of arguments. Use &rest <variable>, where <variable> will be a list.

```
(defun mean (x &rest numbers)
     (/ (apply #'+ x numbers)
           (1+ (length numbers))))
```

```
(mean 1)
(mean 1 2) ;; => 3/2 (yes, it is printed as a ratio)
(mean 1 2 3 4 5) ;; => 3
```

10.2.7. Defining key arguments, and allowing more: &allow-other-keys

Observe:

```
(defun hello (name &key happy)
  (format t "hello ~a~&" name))

(hello "me" :lisper t)
;; => Error: unknown keyword argument

whereas

(defun hello (name &key happy &allow-other-keys)
  (format t "hello ~a~&" name))

(hello "me" :lisper t)
;; hello me
```

We might need &allow-other-keys when passing around arguments or with higher level manipulation of functions.

Here's a real example. We define a function to open a file that always uses :if-exists :supersede, but still passes any other keys to the open function.

```
(defun open-supersede (f &rest other-keys &key &allow-other-keys)
  (apply #'open f :if-exists :supersede other-keys))
```

In the case of a duplicated :if-exists argument, our first one takes precedence.

10.3. Return values

The return value of the function is the value returned by the last executed form of the body.

There are ways for non-local exits (return-from <function name> <value>), but they are usually not needed.

Common Lisp has also the concept of multiple return values.

10.3.1. Multiple return values

Returning multiple values is **not** like returning a list of results.

10.3.1.1. Quick example

Let's define a function that returns one value:

```
(defun foo ()
  :a)
```

now we set the result of calling this function to a variable:

```
(defparameter *var* (foo))
```

var is now :a. That's a very classical behaviour.

Now our function will return *multiple values*, using values:

```
(foo ()
  (values :a :b :c))
```

and we set *var* to its result again:

```
(setf *var* (foo))
```

What is the value of *var*? *It is still :a.* We didn't ask to capture the remaining values, so :b and :c were discarded.

This is actually very handy: you can change a function to return more multiple values than it did, and you don't need to change and refactor the call sites.

10.3.1.2. Returning multiple values: values

The function values is used to return multiple values:

```
(values 'a 'b)
;; => A
;; => B
```

Calling values with no arguments returns no value at all.

It is different than returning nil.

Unless you use the functions described below to capture multiple values, only the first will be seen and used by other functions:

```
(+ (values 1 2 3) (values 10 20 30))
;; => 11
```

values does not create a list.

10.3.1.3. Why multiple values. A look at CL built-ins

While most Common Lisp forms return a single value, it is sometimes useful for a function to return several (or none).

For example, round returns two values, the rounded result as well as how much was removed to do the rounding:

```
(round 10.33333333)
;; => 10
;; => 0.333333302
```

Most of the time you only need the rounded value, but if for some reason you want to know the remainder, it can be captured. If you expect all the values calculated by a function to be used most of the time, then it is better to bundle up the results in a list, a CLOS instance, *etc.*, and return that. Only use multiple values when the first values are most often needed, and the later ones less often used.

Similarly, getting the content of a hash-table returns two values: the result, and a boolean saying if the key was found or not. See below.

10.3.1.4. Capturing multiple values: multiple-value-bind, nth-value, multiple-value-list et all

The most common way to capture multiple values is with multiple-value-bind:

```
(multiple-value-bind (c d) (values 1 2)
  (list c d))
;; => (1 2)

;; Also often indented like this:
(multiple-value-bind (c d)
      (values 1 2)
  (list c d))
```

It acts as a let binding: the values c and d exist in the scope of multiple-value-bind.

The number of values returned does not have to match the number of variables to bind. If there are too many values, the extras are discarded, and if there are too many variables to bind, the extras are set to nil:

```
(multiple-value-bind (a b) (values 1 2 3 4)
  (list a b))
;; =>(1 2)
(multiple-value-bind (a b) (values 1)
  (list a b))
;; => (1 NIL)
```

The function values is setf-able, which can also be used to capture values:

```
(let (c d)
  (setf (values c d) (values 1 2))
  (list c d))
;; => (1 2)
```

You can also use multiple-value-setq, which is equivalent:

```
(let (c d)
  (multiple-value-setq (c d) (values 3 4))
  (list c d))
;; => (3 4)
```

Or you can shunt multiple values directly into a function call with multiple-value-call:

```
(multiple-value-call #'list (values 1 2 3))
;; => (1 2 3)
```

The function multiple-value-list is equivalent to the code above:

```
(multiple-value-list (values 1 2 3))
;; => (1 2 3)
```

And you can go the other way, turning a list into multiple return values with values-list:

```
(values-list '(1 2 3))
;; => 1
;; => 2
;; => 3
```

You can select a particular value with nth-value:

```
(nth-value 0 (values :a :b :c))  ;; => :A
(nth-value 2 (values :a :b :c))  ;; => :C
(nth-value 9 (values :a :b :c))  ;; => NIL
```

Note here too and let us stress again that values is different from a list:

```
(nth-value 0 (list :a :b :c)) ;; => (:A :B :C)
;; => a list is one data structure of its own
(nth-value 1 (list :a :b :c)) ;; => NIL
;; => no second value to capture
```

10.3.1.5. Using multiple values to report success or failure

A typical use for multiple values is to distinguish between finding nil and a lookup failure. For example, gethash returns two values. The first is the result of the lookup, which might be nil if

nothing is found, but could be an actual nil stored in the hashtable. The second value returned is a flag indicating if the lookup was a success:

10.4. Anonymous functions: lambda

Anonymous functions are created with lambda:

```
(lambda (x) (print x))
```

We can call a lambda with funcall or apply (see below).

If the first element of an unquoted list is a lambda expression, the lambda is called:

```
((lambda (x) (print x)) "hello")
;; hello
```

10.5. Calling functions programmatically: funcall and apply

funcall is to be used with a known number of arguments, when apply can be used on a list, for example from &rest:

```
(funcall #'+ 1 2)
(apply #'+ '(1 2))
```

There is one thing to keep in mind with apply, it is that we can't use it with super-large lists: the argument list of functions have a length limit.

We can find this limit in the variable call-arguments-limit. It depends on the implementation. While it is rather large on SBCL (4611686018427387903), we have another option to apply a function with arguments of arbitrary length: reduce.

10.5.1. reduce

reduce is used to apply functions on lists and vectors of arbitrary length. It repeateadly calls the function with two arguments and walks over the argument list.

For example, instead of using apply like above:

```
(apply #'min '(22 1 2 3)) ;; imagine a super large list
we can use reduce:
(reduce #'min '(22 1 2 3))
```

If our argument was 1000 elements long, apply would call the min function with 1000 arguments, while reduce would call min (nearly) a 1000 times with 2 arguments each time.

reduce walks over the list, which means the following:

- min is first called with arguments 22 and 1, and it produces an intermediate result: 1.
- min is called again with this intermediate result as first argument, and the following argument of the argument list, 2. An intermediate result is produced, 1 again.
- min is called again with arguments 1 and 3, and returns the final result, 1.

Look, we can trace it:

```
CL-USER> (trace min)
CL-USER> (reduce #'min '(22 1 2 3))
0: (MIN 22 1)
0: MIN returned 1
0: (MIN 1 2)
0: MIN returned 1
0: (MIN 1 3)
0: MIN returned 1
```

Its full signature is the following:

```
(reduce function sequence &key key from-end start end initial-value)
```

where key, from-end, start and end are key arguments found in other built-in functions (see our data-structures chapter). If given, :initial-value is placed before the first subsequence.

Read more about reduce on the Community Spec:

• https://cl-community-spec.github.io/pages/reduce.html

10.5.2. Referencing functions by name: single quote ' or sharpsign-quote #'?

In the example above, we used #', but a single quote also works, and we can encounter it in the wild. Which one to use?

It is generally safer to use #', because it respects lexical scope. Observe:

```
(defun foo (x)
  (* \times 100))
(flet ((foo (x) (1+ x)))
  (funcall #'foo 1))
;; => 2, as expected
;; But:
(flet ((foo (x) (1+ x)))
  (funcall 'foo 1))
;; => 100
#' is actually the shorthand for (function ...):
(function +)
;; #<FUNCTION +>
(flet ((foo (x) (1+ x)))
  (print (function foo))
  (funcall (function foo) 1))
;; #<FUNCTION (FLET FOO) {1001C0ACFB}>
```

Using function or the #' shorthand allows us to refer to local functions. If we pass instead a symbol to funcall, what is called is always the function named by that symbol in the *global environment*.

In addition, #' catches the function by value. If the function is redefined, bindings that refered to this function by #' will still run its original behaviour.

Let's assign a function to a parameter:

```
(defparameter *foo-caller* #'foo)
(funcall *foo-caller* 1)
;; => 100
```

Now, if we redefine foo, the behaviour of *foo-caller* will not change:

```
(defun foo (x) (1+ x))
;; WARNING: redefining CL-USER::F00 in DEFUN
;; F00

(funcall *foo-caller* 1)
;; 100 ;; and not 2
```

If we bind the caller with 'foo, a single quote, the function will be resolved at runtime:

```
(defun foo (x) (* x 100)) ;; back to original behavior.
(defparameter *foo-caller-2* 'foo)
;; *F00-CALLER-2*
(funcall *foo-caller-2* 1)
;; 100

;; We change the definition:
(defun foo (x) (1+ x))
;; WARNING: redefining CL-USER::F00 in DEFUN
;; F00

;; We try again:
(funcall *foo-caller-2* 1)
;; 2
```

The behaviour you want depends on your use case. Generally, using sharpsign-quote is less surprising. But if you are running a tight loop and you want live-update mechanisms (think a game or live visualisations), you might want to use a single quote so that your loop picks up the user's new function definition.

10.6. Higher order functions: functions that return functions

Writing functions that return functions is simple enough:

```
(defun adder (n)
  (lambda (x) (+ x n)))
;; ADDER
```

Here we have defined the function adder which returns an *object* of *type* <u>function</u>.

To call the resulting function, we must use funcall or apply:

```
(adder 5)
;; #<CLOSURE (LAMBDA (X) :IN ADDER) {100994ACDB}>
(funcall (adder 5) 3)
;; 8
```

Trying to call it right away leads to an illegal function call:

```
((adder 3) 5)
In: (ADDER 3) 5
```

```
((ADDER 3) 5)
Error: Illegal function call.
```

Indeed, CL has different *namespaces* for functions and variables, i.e. the same *name* can refer to different things depending on its position in a form that's evaluated.

```
;; The symbol foo is bound to nothing:
CL-USER> (boundp 'foo)
NIL
CL-USER> (fboundp 'foo)
;; We create a variable:
CL-USER> (defparameter foo 42)
F00
CL-USER> foo
42
;; Now foo is "bound":
CL-USER> (boundp 'foo)
;; but still not as a function:
CL-USER> (fboundp 'foo)
;; So let's define a function:
CL-USER> (defun foo (x) (* x x))
F00
;; Now the symbol foo is bound as a function too:
CL-USER> (fboundp 'foo)
;; Get the function:
CL-USER> (function foo)
#<FUNCTION FOO>
;; and the shorthand notation:
CL-USER> #'foo
#<FUNCTION FOO>
;; We call it:
(funcall (function adder) 5)
#<CLOSURE (LAMBDA (X) : IN ADDER) {100991761B}>
;; and call the lambda:
(funcall (function adder) 5) 3)
```

To simplify a bit, you can think of each symbol in CL having (at least) two "cells" in which information is stored. One cell - sometimes referred to as its *value cell* - can hold a value that is *bound* to this symbol, and you can use <u>bound</u> to test whether the symbol is bound to a value (in the global environment). You can access the value cell of a symbol with <u>symbol-value</u>.

The other cell - sometimes referred to as its *function cell* - can hold the definition of the symbol's (global) function binding. In this case, the symbol is said to be *fbound* to this definition. You can use **fbound** to test whether a symbol is fbound. You can access the function cell of a symbol (in the global environment) with **symbol-function**.

Now, if a *symbol* is evaluated, it is treated as a *variable* in that its value cell is returned (just foo). If a *compound form*, i.e. a *cons*, is evaluated and its *car* is a symbol, then the function cell of this symbol is used (as in (foo 3)).

In Common Lisp, as opposed to Scheme, it is *not* possible that the car of the compound form to be evaluated is an arbitrary form. If it is not a symbol, it *must* be a *lambda expression*, which looks like (lambda lambda-list form*).

This explains the error message we got above - (adder 3) is neither a symbol nor a lambda expression.

If we want to be able to use the symbol *my-fun* in the car of a compound form, we have to explicitly store something in its *function cell* (which is normally done for us by the macro <u>defun</u>):

```
;;; continued from above
CL-USER> (fboundp '*my-fun*)
NIL
CL-USER> (setf (symbol-function '*my-fun*) (adder 3))
#<CLOSURE (LAMBDA (X) :IN ADDER) {10099A5EFB}>
CL-USER> (fboundp '*my-fun*)
T
CL-USER> (*my-fun* 5)
```

Read the CLHS section about form evaluation for more.

10.7. Closures

Closures allow you to capture lexical bindings. This can be useful to store state that you don't want to have to keep passing into your function(s), either as a convenience or to keep state variables out of a reachable namespace.

```
(let ((limit 3)
      (counter -1))
    (defun my-counter ()
      (if (< counter limit)</pre>
           (incf counter)
           (setf counter 0))))
(my-counter)
0
(my-counter)
(my-counter)
(my-counter)
(my-counter)
Or similarly:
(defun repeater (n)
  (let ((counter -1))
     (lambda ()
       (if (< counter n)</pre>
          (incf counter)
          (setf counter ⊕)))))
(defparameter *my-repeater* (repeater 3))
;; *MY-REPEATER*
(funcall *my-repeater*)
```

```
(funcall *my-repeater*)
1
(funcall *my-repeater*)
2
(funcall *my-repeater*)
3
(funcall *my-repeater*)
```

In addition to counter generators, another common use of lexical closures is memoization — caching previous results of functions that are expensive to calculate. Using the non-memoized Fibonacci function below quickly gets quite time-consuting to calculate.

Using a hash table to store previously calculated results can speed things up. We could use a defvar, but this is a good use case for a closure since that avoids adding a variable only used by one function to the namespace.

```
(let ((memo (make-hash-table)))
 (defun fibonacci (n)
   (let ((value (gethash n memo)))
      (cond ((<= n 1) n)
            (value value)
            (t (setf (gethash n memo)
                     (+ (fibonacci (- n 1)) (fibonacci (- n 2)))))))))
(time (fibonacci 40))
;; Evaluation took:
   0.000 seconds of real time
    0.000016 seconds of total run time (0.000015 user, 0.000001 system)
    100.00% CPU
;;
    0 bytes consed
;;
;; 102334155
```

There are several memoization libraries, some of which wrap this lexical closure and caching mechanics up in a macro.

See more on Practical Common Lisp.

10.8. setf functions

A function name can also be a list of two symbols with setf as the first one, and where the first argument is the new value:

```
(defun (setf function-name) (new-value other optional arguments)
  body)
```

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This mechanism is often used for CLOS methods.

Let's work towards an example. Let's say we manipulate a hash-table that represents a square. We store the square width in this hash-table:

```
(defparameter *square* (make-hash-table))
(setf (gethash :width *square*) 21)
```

During our program life cycle, we can change the square width, with setf as we did above.

We define a function to compute a square area. We don't store it in the hash-table as it is redundant with the dimension.

```
(defun area (square)
  (expt (gethash :width square) 2))
```

Now, our programming needs lead to the situation where it would be very handy to change the *area* of the square... and have this reflected on the square's dimensions. It can be ergonomic for your program's application interface to define a setf-function, like this:

```
(defun (setf area) (new-area square)
  (let ((width (sqrt new-area)))
      (setf (gethash :width square) width)))
And now you can do:
(setf (area *square*) 100)
;; => 10.0
```

and check your square (describe, inspect...), the new width was set.

10.8.1. setf-functions: optional arguments

Note that setf-functions only have one mandatory argument, the new value. The second and other arguments are optional. For example, from the <u>Lem editor codebase</u>:

```
(defun (setf current-buffer) (buffer)
  "Change the current buffer."
  (check-type buffer buffer)
  (setf *current-buffer* buffer))
```

This setf-function sets a global parameter (*current-buffer*) to the new value.

We could define setf-functions with more than two arguments too:

```
(defun (setf area) (new-area square x y &key log)
  (list new-area square x y log))
Use it:
(setf (area 'square 1 2 :log t) 9)
```

10.9. Currying

10.9.1. Concept

A related concept is that of *currying* which you might be familiar with if you're coming from a functional language. After we've read the last section that's rather easy to implement:

```
8
CL-USER> (funcall (curry #'+ 3) 6)
9
CL-USER> (setf (symbol-function 'power-of-ten) (curry #'expt 10))
#<Interpreted Function "LAMBDA (FUNCTION &REST ARGS)" {482DB969}>
CL-USER> (power-of-ten 3)
1000
```

10.9.2. With the Alexandria library

Now that you know how to do it, you may appreciate using the implementation of the <u>Alexandria</u> library (in Quicklisp).

```
(ql:quickload "alexandria")

(defun adder (foo bar)
    "Add the two arguments."
    (+ foo bar))

(defvar add-one (alexandria:curry #'adder 1) "Add 1 to the argument.")

(funcall add-one 10) ;; => 11

(setf (symbol-function 'add-one) add-one)
(add-one 10) ;; => 11
```

10.10. Documentation

- $\bullet \ functions: \underline{http://www.lispworks.com/documentation/HyperSpec/Body/t_fn.htm\#function}\\$
- ordinary lambda lists: http://www.lispworks.com/documentation/HyperSpec/Body/03_da.htm
- multiple-value-bind: http://clhs.lisp.se/Body/m_multip.htm

11. Data structures

We hope to give here a clear reference of the common data structures. To really learn the language, you should take the time to read other resources. The following resources, which we relied upon, also have many more details:

- Practical CL, by Peter Seibel
- CL Recipes, by E. Weitz, full of explanations and tips,
- the <u>CL standard</u> with in the sidebar of your PDF reader a nice TOC, functions reference, extensive descriptions, more examples and warnings (i.e. everything). <u>PDF mirror</u>
- a Common Lisp quick reference

Don't miss the appendix and when you need more data structures, have a look at the <u>awesome-cl</u> list and <u>Quickdocs</u>.

11.1. Lists

The simplest way to create a list is with list:

```
(list 1 2 3)
```

but there are more constructors, and you should also know that lists are made of cons cells.

11.1.1. Building lists. Cons cells, lists.

A list is also a sequence, so we can use the functions shown below.

The list basic element is the cons cell. We build lists by assembling cons cells.

If the cdr of the first cell is another cons cell, and if the cdr of this last one is nil, we build a list:

```
(cons 1 (cons 2 nil))
;; => (1 2)
It looks like this:
[0|0]---[0|/]
```

```
[0|0]---[0|/]
| |
1 2
```

(ascii art by draw-cons-tree).

See that the representation is not a dotted pair? The Lisp printer understands the convention.

Finally we can simply build a literal list with list:

```
(list 1 2)
;; => (1 2)
or by calling quote:
```

```
'(1 2);; => (1 2)
```

which is shorthand notation for the function call (quote (1 2)).

11.1.2. Circular lists

A cons cell car or cdr can refer to other objects, including itself or other cells in the same list. They can therefore be used to define self-referential structures such as circular lists.

Before working with circular lists, tell the printer to recognise them and not try to print the whole list by setting *print-circle* to T:

```
(setf *print-circle* t)
```

A function which modifies a list, so that the last cdr points to the start of the list is:

```
(defun circular! (items)
  "Modifies the last cdr of list ITEMS, returning a circular list"
  (setf (cdr (last items)) items))

(circular! (list 1 2 3))
;; => #l=(1 2 3 . #l#)

(fifth (circular! (list 1 2 3)))
;; => 2
```

The <u>list-length</u> function recognises circular lists, returning nil.

The reader can also create circular lists, using <u>Sharpsign Equal-Sign</u> notation. An object (like a list) can be prefixed with #n= where n is an unsigned decimal integer (one or more digits). The label #n# can be used to refer to the object later in the expression:

```
'#42=(1 2 3 . #42#);; => #1=(1 2 3 . #1#)
```

Note that the label given to the reader (n=42) is discarded after reading, and the printer defines a new label (n=1).

Further reading

• Let over Lambda section on cyclic expressions

11.1.3. car/cdr or first/rest (and second... to tenth)

```
(car (cons 1 2)) ;; => 1
(cdr (cons 1 2)) ;; => 2
(first (cons 1 2)) ;; => 1
(first '(1 2 3)) ;; => 1
(rest '(1 2 3)) ;; => (2 3)
```

We can assign any new value with setf.

11.1.4. last, butlast, nbutlast (&optional n)

return the last cons cell in a list (or the nth last cons cells).

```
(last '(1 2 3))
;; => (3)
(car (last '(1 2 3)) ) ;; or (first (last ...))
;; => 3
(butlast '(1 2 3))
;; => (1 2)
In Alexandria, lastcar is equivalent of (first (last ...)):
```

```
(alexandria:lastcar '(1 2 3))
```

```
;; \Rightarrow 3
```

11.1.5. reverse, nreverse

reverse and nreverse return a new sequence.

nreverse is destructive. The N stands for **non-consing**, meaning it doesn't need to allocate any new cons cells. It *might* (but in practice, does) reuse and modify the original sequence:

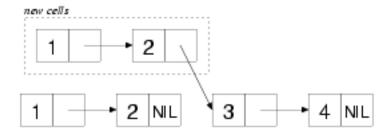
```
(defparameter mylist '(1 2 3))
;; => (1 2 3)
(reverse mylist)
;; => (3 2 1)
mylist
;; => (1 2 3)
(nreverse mylist)
;; => (3 2 1)
mylist
;; => (1 2 1)
mylist
;; => (1 2 1)
mylist
;; => (1 2 1)
```

11.1.6. append, nconc (and revappend, nreconc)

append takes any number of list arguments and returns a new list containing the elements of all its arguments:

```
(append (list 1 2) (list 3 4));; => (1 2 3 4)
```

The new list shares some cons cells with the (3 4):



nconc is the recycling equivalent.

revappend and nreconc are two functions you might not use often:)

```
revappend does (append (reverse x) y):
  (revappend (list 1 2 3) (list :a :b :c))
  ;; => (3 2 1 :A :B :C)
  nreconc does (nconc (nreverse x) Y):
  (nreconc (list 1 2 3) (list :a :b :c))
  ;; => (3 2 1 :A :B :C)
```

You will thank us later.

11.1.7. push, pushnew (item, place)

push prepends *item* to the list that is stored in *place*, stores the resulting list in *place*, and returns the list.

pushnew is similar, but it does nothing if the element already exists in the place.

See also adjoin below that doesn't modify the target list.

```
(defparameter mylist '(1 2 3))
(push 0 mylist)
;; => (0 1 2 3)
(defparameter x '(a (b c) d))
;; => (A (B C) D)
(push 5 (cadr x))
;; => (5 B C)
x
;; => (A (5 B C) D)
```

push is equivalent to (setf place (cons item place)) except that the subforms of *place* are evaluated only once, and *item* is evaluated before *place*.

There is no built-in function to **add to the end of a list**. It is a more costly operation (have to traverse the whole list). So if you need to do this: either consider using another data structure, either just reverse your list when needed.

pushnew accepts key arguments: :key, :test, :test-not.

11.1.8. pop

a destructive operation.

11.1.9. nthcdr (index, list)

Use this if first, second and the rest up to tenth are not enough.

11.1.10. car/cdr and composites (cadr, caadr...) - accessing lists inside lists

They make sense when applied to lists containing other lists.

11.1.11. destructuring-bind (parameter*, list)

It binds the parameter values to the list elements. We can destructure trees, plists and even provide defaults.

Simple matching:

```
(destructuring-bind (x y z) (list 1 2 3)
  (list :x x :y y :z z))
;; => (:X 1 :Y 2 :Z 3)
```

Matching inside sublists:

```
(destructuring-bind (x (y1 y2) z) (list 1 (list 2 20) 3)
  (list :x x :y1 y1 :y2 y2 :z z))
;; => (:X 1 :Y1 2 :Y2 20 :Z 3)
```

The parameter list can use the usual &optional, &rest and &key parameters.

```
(destructuring-bind (x (y1 &optional y2) z) (list 1 (list 2) 3)
  (list :x x :y1 y1 :y2 y2 :z z))
;; => (:X 1 :Y1 2 :Y2 NIL :Z 3)
(destructuring-bind (&key x y z) (list :z 1 :y 2 :x 3)
  (list :x x :y y :z z))
;; => (:X 3 :Y 2 :Z 1)
```

The &whole parameter is bound to the whole list. It must be the first one and others can follow.

```
(destructuring-bind (&whole whole-list &key x y z)
    (list :z 1 :y 2 :x 3)
    (list :x x :y y :z z :whole whole-list))
;; => (:X 3 :Y 2 :Z 1 :WHOLE-LIST (:Z 1 :Y 2 :X 3))
```

Destructuring a plist, giving defaults:

(example from Common Lisp Recipes, by E. Weitz, Apress, 2016)

If this gives you the will to do pattern matching, see <u>pattern matching</u>.

11.1.12. Predicates: null, listp, consp, atom

null is equivalent to not, but considered better style.

listp tests whether an object is a list or nil.

consp tests wether an object is a cons cell.

The empty list is *not* a cons, so (consp nil) is falsy, while (listp nil) is truthy.

atom checks if its argument is an atom, in other words, if it isn't a cons. atom is also a type.

```
(atom '()) ;; => true
```

and see also all the sequences' predicates.

11.1.13. list*, make-list

make-list allows to create a list of a given size, with an optional initial element to fill it up:

```
(make-list 3 :initial-element "ta")
;; => ("ta" "ta" "ta")
(make-list 3)
;; => (NIL NIL NIL)
(fill * "hello")
;; => ("hello" "hello" "hello")
```

list* is similar to list in various aspects. it is handy to push one (or many) element(s) in front of an existing list and to return a new list:

```
(list*:foo (list 1 2 3))
;; => (:F00 1 2 3)

(list* 'a 'b 'c '(d e f))
;; => (A B C D E F)
```

note that : foo was added in front of the list and the result list is flat, whereas in:

```
(list :foo (list 1 2 3))
;; => (:F00 (1 2 3))
```

we get a new list of two elements.

list*, like list, accepts a variable number of arguments. But a difference is that the last element of the list is a cons cell with the last 2 elements, denoted with a dotted pair below:

```
(list* 1 2 3);; => (1 2 . 3)
```

and this result is not a proper list: it is a suite of cons cells.

whereas with list:

```
(list 1 2 3);; => (1 2 3)
```

the last cons cell is the number 3 and nil, which is the termination for a proper list.

11.1.14. ldiff, tailp

If object is the same as some tail of list, tailp returns true; otherwise, it returns false.

If object is the same as some tail of list, ldiff returns a fresh list of the elements of list that precede object in the list structure of list; otherwise, it returns a copy of list.

What happens with a circular list? Check your implementation's documentation. If it detects circularity it must return false.

11.1.15. member (elt, list)

Returns the tail of list beginning with the first element satisfying eqlity.

Accepts:test,:test-not,:key (functions or symbols).

```
(member 2 '(1 2 3));; (2 3)
```

11.1.16. Replacing objects in a tree: subst, sublis

<u>subst</u> and <u>subst-if</u> search and replace occurrences of an element or subexpression in a tree (when it satisfies the optional test):

```
(subst 'one 1 '(1 2 3))
;; => (ONE 2 3)

(subst '(1 . one) '(1 . 1) '((1 . 1) (2 . 2)) :test #'equal)
;; ((1 . ONE) (2 . 2))
```

<u>sublis</u> allows to replace many objects at once. It substitutes the objects given in alist and found in tree with their new values given in the alist:

sublis accepts the :test and :key arguments. :test is a function that takes two arguments, the key and the subtree.

11.2. Sequences

lists and **vectors** (and thus **strings**) are sequences.

Note: see also the <u>strings</u> page.

Many of the sequence functions take keyword arguments. All keyword arguments are optional and, if specified, may appear in any order.

Pay attention to the :test argument. It defaults to eql. For strings, use :equal.

The :key argument can be passed a function of one argument. This key function is used as a filter through which the elements of the sequence are seen. For instance, this:

```
(defparameter *list-of-pairs* '((1 2) (41 42)))
(find 42 *list-of-pairs* :key #'second)
;; => (41 42)
```

searches for an element in the sequence whose second element equals 42, rather than for an element which equals 42 itself. If :key is omitted or nil, the filter is effectively the identity function.

You can use a lambda function or any function that accepts one argument.

11.2.1. Predicates: every, some, notany

every, notevery (test, sequence): they return nil or t, respectively, as soon as one test on any set of the corresponding elements of sequences returns nil.

some, notany (test, sequence): they return either the value of the test, or nil.

11.2.2. Functions

See also sequence functions defined in <u>Alexandria</u>: starts-with, ends-with, ends-with-subseq, length=, emptyp,...

11.2.2.1. length (sequence)

11.2.2.2. elt (sequence, index) - find by index

beware, here the sequence comes first.

11.2.2.3. count (foo sequence)

Return the number of elements in sequence that match *foo*.

```
Additional paramaters: :from-end, :start, :end.
```

See also count-if, count-not (test-function sequence).

11.2.2.4. subseq (sequence start, [end])

```
(subseq (list 1 2 3) 0);; (1 2 3)
(subseq (list 1 2 3) 1 2);; (2)
```

However, watch out if the end is larger than the list:

```
(subseq (list 1 2 3) 0 99)
;; => Error: the bounding indices 0 and 99
;; are bad for a sequence of length 3.
To this end, use alexandria-2:subseq*:
(alexandria-2:subseq* (list 1 2 3) 0 99)
;; (1 2 3)
```

subseq is "setf"able, but only works if the new sequence has the same length of the one to replace.

11.2.2.5. sort, stable-sort (sequence, test [, key function]) (destructive)

These sort functions are destructive, so one may prefer to copy the sequence with copy-seq before sorting:

```
(sort (copy-seq seq) #'string<)</pre>
```

Unlike sort, stable-sort guarantees to keep the order of the argument. In theory, the result of this:

```
(sort '((1 :a) (1 :b)) #'< :key #'first)
```

could be either ((1 :A) (1 :B)), either ((1 :B) (1 :A)). On my tests, the order is preserved, but the standard does not guarantee it.

11.2.2.6. fill (sequence item &keys start end) (destructive)

fill is a **destructive** operation.

It destructively replaces the elements in sequence, in-between the start and end position, by item (a sequence).

```
(make-list 3)
;; (NIL NIL NIL)
(fill * :hello :start 1)
;; (NIL :HELLO :HELLO)
```

See also substitute.

11.2.2.7. find, position (foo, sequence) - get index

also find-if, find-if-not, position-if, position-if-not (test sequence). See : key and :test parameters.

```
(find 20 '(10 20 30))
;; 20
(position 20 '(10 20 30))
;; 1
```

11.2.2.8. search and mismatch (sequence-a, sequence-b)

search searches in sequence-b for a subsequence that matches sequence-a. It returns the *position* in sequence-b, or NIL. It has the from-end, end1, end2 and the usual test and key parameters.

```
(search '(20 30) '(10 20 30 40));; 1
```

```
(search '("b" "c") '("a" "b" "c"))
;; NIL
(search '("b" "c") '("a" "b" "c") :test #'equal)
;; 1
(search "bc" "abc")
;; 1
```

mismatch returns the position where the two sequences start to differ:

```
(mismatch '(10 20 99) '(10 20 30))
;; 2
(mismatch "hellolisper" "helloworld")
;; 5
(mismatch "same" "same")
;; NIL
(mismatch "foo" "bar")
;; 0
```

11.2.2.9. substitute, nsubstitute[if,if-not]

Return a sequence of the same kind as sequence with the same elements, except that all elements equal to old are replaced with new.

```
(substitute #\o #\x "hellx") ;; => "hello"
(substitute :a :x '(:a :x :x)) ;; => (:A :A)
(substitute "a" "x" '("a" "x" "x") :test #'string=)
;; => ("a" "a" "a")
```

nsubstitute is the "non-consing", destructive version.

11.2.2.10. merge (result-type, sequence1, sequence2, predicate) (destructive)

The merge function is destructive.

destructively merge sequence1 with sequence2 according to an order determined by the predicate.

```
(setq test1 (list 1 3 5 7))
(setq test2 (list 2 4 6 8))
(merge 'list test1 test2 #'<)
;; => (1 2 3 4 5 6 7 8)
Now look at test1:
```

```
test1
;; => (1 2 3 4 5 6 7 8)
;; at least on SBCL, your result may vary.
```

11.2.2.11. replace (sequence-a, sequence-b, &key start1, end1) (destructive)

Destructively replace elements of sequence-a with elements of sequence-b.

The full signature is:

```
(replace sequence1 sequence2
    &rest args
    &key (start1 0) (end1 nil) (start2 0) (end2 nil))
```

Elements are copied to the subsequence bounded by START1 and END1, from the subsequence bounded by START2 and END2. If these subsequences are not of the same length, then the shorter length determines how many elements are copied.

```
(replace "xxx" "foo")
"foo"

(replace "xxx" "foo" :start1 1)
"xfo"

(replace "xxx" "foo" :start1 1 :start2 1)
"xoo"

(replace "xxx" "foo" :start1 1 :start2 1 :end2 2)
"xox"
```

11.2.2.12. remove, delete (foo sequence)

Make a copy of sequence without elements matching foo. Has :start/end, :key and :count parameters.

delete is the recycling version of remove.

```
(remove "foo" '("foo" "bar" "foo") :test 'equal)
;; => ("bar")
```

see also remove-if[-not] below.

11.2.2.13. remove-duplicates, delete-duplicates (sequence)

<u>remove-duplicates</u> returns a new sequence with unique elements. delete-duplicates may modify the original sequence.

remove-duplicates accepts the following, usual arguments:

```
from-end test test-not start end key.
```

```
(remove-duplicates '(:foo :foo :bar))
(:F00 :BAR)

(remove-duplicates '("foo" "foo" "bar"))
("foo" "foo" "bar")

(remove-duplicates '("foo" "foo" "bar") :test #'string-equal)
("foo" "bar")
```

11.2.3. mapping (map, mapcar, remove-if[-not],...)

If you're used to map and filter in other languages, you probably want mapcar. But it only works on lists, so to iterate on vectors (and produce either a vector or a list, use

```
(map 'list function vector).
```

;; => 90

mapcar also accepts multiple lists with &rest more-seqs. The mapping stops as soon as the shortest sequence runs out.

map takes the output-type as first argument ('list, 'vector or 'string):

```
(defparameter foo '(1 2 3))
(map 'list (lambda (it) (* 10 it)) foo)
reduce (function, sequence). Special parameter: :initial-value.
(reduce '- '(1 2 3 4))
;; => -8
(reduce '- '(1 2 3 4) :initial-value 100)
```

Filter is here called remove-if-not.

11.2.4. Flatten a list (Alexandria)

With Alexandria, we have the flatten function.

11.2.5. Creating lists with variables

That's one use of the backquote:

```
(defparameter *var* "bar")
;; First try:
'("foo" *var* "baz") ;; no backquote
;; => ("foo" *VAR* "baz") ;; nope
```

Second try, with backquote interpolation:

```
`("foo" ,*var* "baz") ;; backquote, comma
;; => ("foo" "bar" "baz") ;; good
```

The backquote first warns we'll do interpolation, the comma introduces the value of the variable.

If our variable is a list:

```
(defparameter *var* '("bar" "baz"))
;; First try:
`("foo" ,*var*)
;; => ("foo" ("bar" "baz")) ;; nested list
`("foo" ,@*var*) ;; backquote, comma-@ to
;; => ("foo" "bar" "baz")
```

E. Weitz warns that "objects generated this way will very likely share structure (see Recipe 2-7)".

11.2.6. Comparing lists

We can use sets functions.

11.3. Set

We show below how to use set operations on lists.

A set doesn't contain the same element twice and is unordered.

Most of these functions have recycling (modifying) counterparts, starting with "n", e.g. nintersection and nreverse. The "n" is for "non-consing", a lisp parlance for "don't create objects in memory".

They all accept the usual :key and :test arguments, so use the test #'string= or #'equal if you are working with strings.

For more, see functions in <u>Alexandria</u>: setp, set-equal,... and the FSet library, shown in the next section.

11.3.1. intersection of lists

What elements are both in list-a and list-b?

```
(defparameter list-a '(0 1 2 3))
(defparameter list-b '(0 2 4))
(intersection list-a list-b)
;; => (2 0)
```

11.3.2. Remove the elements of list-b from list-a (set-difference)

```
(set-difference list-a list-b)
;; => (3 1)
(set-difference list-b list-a)
;; => (4)
```

11.3.3. Join two lists with unique elements (union, nunion)

```
(union list-a list-b)
;; => (3 1 0 2 4) ;; order can be different in your lisp
```

nunion is the recycling, destructive version.

11.3.4. Remove elements that are in both lists (set-exclusive-or)

```
(set-exclusive-or list-a list-b)
;; => (4 3 1)
```

11.3.5. Add an element to a set (adjoin)

A new set is returned, the original set is not modified.

```
(adjoin 3 list-a)
;; => (0 1 2 3)    ;; <-- nothing was changed, 3 was already there.

(adjoin 5 list-a)
;; => (5 0 1 2 3) ;; <-- element added in front.

list-a
;; => (0 1 2 3) ;; <-- original list unmodified.</pre>
```

You can also use pushnew, that modifies the list (see above).

11.3.6. Check if this is a subset (subsetp)

```
(subsetp '(1 2 3) list-a)
;; => T

(subsetp '(1 1 1) list-a)
;; => T

(subsetp '(3 2 1) list-a)
;; => T

(subsetp '(0 3) list-a)
:: => T
```

11.4. Arrays and vectors

Arrays have constant-time access characteristics.

They can be fixed or adjustable. A *simple array* is neither displaced (using :displaced-to, to point to another array) nor adjustable (:adjust-array), nor does it have a fill pointer (fill-pointer, that moves when we add or remove elements).

A **vector** is an array with rank 1 (of one dimension). It is also a *sequence* (see above).

A *simple vector* is a simple array that is also not specialized (it doesn't use :element-type to set the types of the elements).

11.4.1. Create an array, one or many dimensions

make-array (sizes-list :adjustable bool)

```
adjust-array (array, sizes-list, :element-type, :initial-element)
```

```
11.4.2. Access: aref (array i [j ...])
```

```
aref (array ijk...) or row-major-aref (array i) equivalent to (aref i i i ...).
```

The result is setfable.

```
(defparameter myarray (make-array '(2 2 2) :initial-element 1))
myarray
;; => #3A(((1 1) (1 1)) ((1 1) (1 1)))
(aref myarray 0 0 0)
;; => 1
(setf (aref myarray 0 0 0) 9)
;; => 9
(row-major-aref myarray 0)
;; => 9
```

11.4.3. Sizes

```
array-total-size (array): how many elements will fit in the array?
```

array-dimensions (array): list containing the length of the array's dimensions.

array-dimension (array i): length of the ith dimension.

array-rank number of dimensions of the array.

```
(defparameter myarray (make-array '(2 2 2)))
;; => MYARRAY
myarray
;; => #3A(((0 0) (0 0)) ((0 0) (0 0)))
(array-rank myarray)
;; => 3
(array-dimensions myarray)
;; => (2 2 2)
(array-dimension myarray 0)
;; => 2
(array-total-size myarray)
;; => 8
```

11.4.4. Vectors

Create with vector or the reader macro #(). It returns a *simple vector*.

```
(vector 1 2 3)
;; => #(1 2 3)
#(1 2 3)
;; => #(1 2 3)
```

The following interface is available for vectors (or vector-like arrays):

- vector-push (new-element vector): replace the vector element pointed to by the fill pointer by new-element, then increment the fill pointer by one. Returns the index at which the new element was placed, or NIL if there's not enough space.
- vector-push-extend (new-element vector [extension]): like vector-push, but if the fill pointer gets too large then the array is extended using adjust-array. extension is the minimum number of elements to add to the array if it must be extended.
- vector-pop (vector): decrement the fill pointer, and return the element that it now points to.
- fill-pointer (vector). setfable.

and see also the sequence functions.

The following shows how to create an array that can be pushed to and popped from arbitrarily, growing its storage capacity as needed. This is roughly equivalent to a list in Python, an ArrayList in Java, or a vector<T> in C++ - though note that elements are not erased when they're popped.

```
CL-USER> (defparameter *v* (make-array 0 :fill-pointer t :adjustable t))
*V*
CL-USER> *v*
#()
CL-USER> (vector-push-extend 42 *v*)
0
CL-USER> (vector-push-extend 43 *v*)
1
CL-USER> (vector-pop *v*)
43
CL-USER> *v*
#(42)
CL-USER> (aref *v* 1) ; beware, the element is still there!
43
CL-USER> (setf (aref *v* 1) nil) ; manually erase elements if necessary
```

11.4.5. Transforming a vector to a list.

If you're mapping over it, see the map function whose first parameter is the result type.

Or use (coerce vector 'list).

11.5. Hash Table

Hash Tables are a powerful data structure, associating keys with values in a very efficient way. Hash Tables are often preferred over association lists whenever performance is an issue, but they introduce a little overhead that makes assoc lists better if there are only a few key-value pairs to maintain.

Alists can be used sometimes differently though:

- they can be ordered
- we can push cons cells that have the same key, remove the one in front and we have a stack
- they have a human-readable printed representation
- they can be easily (de)serialized
- because of RASSOC, keys and values in alists are essentially interchangeable; whereas in hash tables, keys and values play very different roles (as usual, see "Common Lisp Recipes" by Edmund Weitz for more).

11.5.1. Creating a Hash Table

Hash Tables are created using the function make-hash-table. It has no required argument. Its most used optional keyword argument is :test, specifying the function used to test the equality of keys.

Note: see shorter notations in the Serapeum or Rutils libraries. For example, Serapeum has dict, and Rutils a #h reader macro.

11.5.2. Adding an Element to a Hash Table

If you want to add an element to a hash table, you can use gethash, the function to retrieve elements from the hash table, in conjunction with setf.

```
CL-USER> (defparameter *my-hash* (make-hash-table))
*MY-HASH*
CL-USER> (setf (gethash 'one-entry *my-hash*) "one")
```

```
"one"
CL-USER> (setf (gethash 'another-entry *my-hash*) 2/4)
1/2
CL-USER> (gethash 'one-entry *my-hash*)
"one"
T
CL-USER> (gethash 'another-entry *my-hash*)
1/2
T
```

With Serapeum's dict, we can create a hash-table and add elements to it in one go:

11.5.3. Getting a value from a Hash Table

The function gethash takes two required arguments: a key and a hash table. It returns two values: the value corresponding to the key in the hash table (or nil if not found), and a boolean indicating whether the key was found in the table. That second value is necessary since nil is a valid value in a key-value pair, so getting nil as first value from gethash does not necessarily mean that the key was not found in the table.

11.5.3.1. Getting a key that does not exist with a default value

gethash has an optional third argument:

```
(gethash 'bar *my-hash* "default-bar")
;; => "default-bar"
:: NIL
```

11.5.3.2. Getting all keys or all values of a hash table

The <u>Alexandria</u> library (in Quicklisp) has the functions hash-table-keys and hash-table-values for that.

```
(ql:quickload "alexandria")
;; [...]
(alexandria:hash-table-keys *my-hash*)
;; => (BAR)
```

11.5.4. Comparing hash-tables

Use equalp to compare the equality of hash-tables, element by element. equalp is case-insensitive for strings. Read more in our <u>equality</u> section.

11.5.5. Testing for the Presence of a Key in a Hash Table

The first value returned by gethash is the object in the hash table that's associated with the key you provided as an argument to gethash or nil if no value exists for this key. This value can act as a generalized boolean if you want to test for the presence of keys.

```
CL-USER> (defparameter *my-hash* (make-hash-table))
*MY-HASH*
CL-USER> (setf (gethash 'one-entry *my-hash*) "one")
"one"
CL-USER> (if (gethash 'one-entry *my-hash*)
```

```
"Key exists"
    "Key does not exist")
"Key exists"
CL-USER> (if (gethash 'another-entry *my-hash*)
    "Key exists"
    "Key does not exist")
"Key does not exist"
```

But note that this does *not* work if nil is amongst the values that you want to store in the hash.

In this case you'll have to check the *second* return value of gethash which will always return nil if no value is found and T otherwise.

11.5.6. Deleting from a Hash Table

Use <u>remhash</u> to delete a hash entry. Both the key and its associated value will be removed from the hash table. remhash returns T if there was such an entry, nil otherwise.

```
CL-USER> (defparameter *my-hash* (make-hash-table))
*MY-HASH*
CL-USER> (setf (gethash 'first-key *my-hash*) 'one)
ONE
CL-USER> (gethash 'first-key *my-hash*)
ONE
T
CL-USER> (remhash 'first-key *my-hash*)
T
CL-USER> (gethash 'first-key *my-hash*)
NIL
NIL
CL-USER> (gethash 'no-entry *my-hash*)
NIL
NIL
CL-USER> (remhash 'no-entry *my-hash*)
NIL
CL-USER> (gethash 'no-entry *my-hash*)
NIL
CL-USER> (gethash 'no-entry *my-hash*)
NIL
CL-USER> (gethash 'no-entry *my-hash*)
NIL
NIL
NIL
NIL
```

11.5.7. Deleting a Hash Table

Use <u>clrhash</u> to delete a hash table. This will remove all of the data from the hash table and return the deleted table.

```
CL-USER> (defparameter *my-hash* (make-hash-table))
*MY-HASH*
CL-USER> (setf (gethash 'first-key *my-hash*) 'one)
ONE
CL-USER> (setf (gethash 'second-key *my-hash*) 'two)
TWO
CL-USER> *my-hash*
#<hash-table :TEST eql :COUNT 2 {10097BF4E3}>
CL-USER> (clrhash *my-hash*)
#<hash-table :TEST eql :COUNT 0 {10097BF4E3}>
CL-USER> (gethash 'first-key *my-hash*)
NIL
NIL
CL-USER> (gethash 'second-key *my-hash*)
NIL
NIL
```

11.5.8. Traversing a Hash Table: loop, maphash, with-hash-table-iterator

If you want to perform an action on each entry (i.e., each key-value pair) in a hash table, you have several options:

- of course, loop, but also
- maphash
- with-hash-table-iterator
- as well as alexandria, serapeum and other third-party libraries.

=> please see our <u>iteration page</u>.

11.5.9. Counting the Entries in a Hash Table

No need to use your fingers - Common Lisp has a built-in function to do it for you: hash-table-count.

```
CL-USER> (defparameter *my-hash* (make-hash-table))
*MY-HASH*
CL-USER> (hash-table-count *my-hash*)
0
CL-USER> (setf (gethash 'first *my-hash*) 1)
1
CL-USER> (setf (gethash 'second *my-hash*) 2)
2
CL-USER> (setf (gethash 'third *my-hash*) 3)
3
CL-USER> (hash-table-count *my-hash*)
3
CL-USER> (setf (gethash 'second *my-hash*) 'two)
TWO
CL-USER> (hash-table-count *my-hash*)
```

```
3
CL-USER> (clrhash *my-hash*)
#<EQL hash table, 0 entries {48205F35}>
CL-USER> (hash-table-count *my-hash*)
```

11.5.10. Printing a Hash Table readably

With print-object (non portable)

It is very tempting to use print-object. It works under several implementations, but this method is actually not portable. The standard doesn't permit to do so, so this is undefined behaviour.

```
(defmethod print-object ((object hash-table) stream)
  (format stream "#HASH\{\sim\{\sim\{(\sim a : \sim a)\sim\}\sim^{\sim} \sim\}\}"
           (loop for key being the hash-keys of object
                 using (hash-value value)
                 collect (list key value))))
gives:
;; WARNING:
     redefining PRINT-OBJECT (#<STRUCTURE-CLASS COMMON-LISP:HASH-TABLE>
;;
                                 #<SB-PCL:SYSTEM-CLASS COMMON-LISP:T>) in DEFMETHOD
;; #<STANDARD-METHOD COMMON-LISP:PRINT-OBJECT (HASH-TABLE T) {1006A0D063}>
and let's try it:
(let ((ht (make-hash-table)))
  (setf (gethash :foo ht) :bar)
  ht)
;; #HASH{(F00 : BAR)}
With a custom function (portable way)
```

Here's a portable way.

This snippets prints the keys, values and the test function of a hash-table, and uses alexandria: alist-hash-table to read it back in:

```
;; https://github.com/phoe/phoe-toolbox/blob/master/phoe-toolbox.lisp
(defun print-hash-table-readably (hash-table
                                  &optional
                                  (stream *standard-output*))
  "Prints a hash table readably using ALEXANDRIA:ALIST-HASH-TABLE."
  (let ((test (hash-table-test hash-table))
        (*print-circle* t)
        (*print-readably* t))
    (format stream "#.(ALEXANDRIA:ALIST-HASH-TABLE '(~%")
    (maphash (lambda (k v) (format stream " (~S . ~S)~%" k v)) hash-table)
    (format stream " ) :TEST '~A)" test)
    hash-table))
Example output:
#.(ALEXANDRIA:ALIST-HASH-TABLE
'((ONE . 1))
  :TEST 'EQL)
```

This output can be read back in to create a hash-table:

#<HASH-TABLE :TEST EQL :COUNT 1 {10046D4863}>

```
(read-from-string
(with-output-to-string (s)
  (print-hash-table-readably
    (alexandria:alist-hash-table
        '((a . 1) (b . 2) (c . 3))) s)))
;; #<HASH-TABLE :TEST EQL :COUNT 3 {1009592E23}>
;; 83
```

With Serapeum (readable and portable)

The <u>Serapeum library</u> has the dict constructor, the function pretty-print-hash-table and the toggle-pretty-print-hash-table switch, all which do *not* use print-object under the hood.

```
CL-USER> (serapeum:toggle-pretty-print-hash-table)
T
CL-USER> (serapeum:dict :a 1 :b 2 :c 3)
(dict
   :A 1
   :B 2
   :C 3
)
```

This printed representation can be read back in.

11.5.11. Thread-safe Hash Tables

The standard hash-table in Common Lisp is not thread-safe. That means that simple access operations can be interrupted in the middle and return a wrong result.

Implementations offer different solutions.

With **SBCL**, we can create thread-safe hash tables with the :synchronized keyword to make-hash-table: http://www.sbcl.org/manual/#Hash-Table-Extensions.

If nil (the default), the hash-table may have multiple concurrent readers, but results are undefined if a thread writes to the hash-table concurrently with another reader or writer. If t, all concurrent accesses are safe, but note that <u>clhs 3.6 (Traversal Rules and Side Effects)</u> remains in force. See also: sb-ext:with-locked-hash-table.

```
(defparameter *my-hash* (make-hash-table :synchronized t))
But, operations that expand to two accesses, like the modify macros (incf) or this:
(setf (gethash :a *my-hash*) :new-value)
need to be wrapped around sb-ext:with-locked-hash-table:
```

Limits concurrent accesses to HASH-TABLE for the duration of BODY. If HASH-TABLE is synchronized, BODY will execute with exclusive ownership of the table. If HASH-TABLE is not synchronized, BODY will execute with other WITH-LOCKED-HASH-TABLE bodies excluded – exclusion of hash-table accesses not surrounded by WITH-LOCKED-HASH-TABLE is unspecified.

```
(sb-ext:with-locked-hash-table (*my-hash*)
  (setf (gethash :a *my-hash*) :new-value))
```

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In **LispWorks**, hash-tables are thread-safe by default. But likewise, there is no guarantee of atomicity *between* access operations, so we can use <u>with-hash-table-locked</u>.

Ultimately, you might like what the <u>cl-gserver library</u> proposes. It offers helper functions around hash-tables and its actors/agent system to allow thread-safety. They also maintain the order of updates and reads.

11.5.12. Performance Issues: The Size of your Hash Table

The make-hash-table function has a couple of optional parameters which control the initial size of your hash table and how it'll grow if it needs to grow. This can be an important performance issue if you're working with large hash tables. Here's an (admittedly not very scientific) example with CMUCL pre-18d on Linux:

```
CL-USER> (defparameter *my-hash* (make-hash-table))
*MY-HASH*
CL-USER> (hash-table-size *my-hash*)
CL-USER> (hash-table-rehash-size *my-hash*)
CL-USER> (time (dotimes (n 100000)
                 (setf (gethash n *my-hash*) n)))
Compiling LAMBDA NIL:
Compiling Top-Level Form:
Evaluation took:
  0.27 seconds of real time
  0.25 seconds of user run time
  0.02 seconds of system run time
  page faults and
  8754768 bytes consed.
CL-USER> (time (dotimes (n 100000)
                 (setf (gethash n *my-hash*) n)))
Compiling LAMBDA NIL:
Compiling Top-Level Form:
Evaluation took:
  0.05 seconds of real time
  0.05 seconds of user run time
  0.0 seconds of system run time
  0 page faults and
  0 bytes consed.
```

The values for hash-table-size and hash-table-rehash-size are implementation-dependent. In our case, CMUCL chooses and initial size of 65, and it will increase the size of the hash by 50 percent whenever it needs to grow. Let's see how often we have to re-size the hash until we reach the final size...

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```
(197.5)
(2 146.25)
(3 219.375)
(4 329.0625)
(5493.59375)
(6740.3906)
(7 1110.5859)
(8 1665.8789)
(9 2498.8184)
(10\ 3748.2275)
(11 5622.3413)
(12 8433.512)
(13 12650.268)
(14 18975.402)
(15 28463.104)
(16 42694.656)
(17 64041.984)
(1896062.98)
(19 144094.47)
NIL
```

The hash has to be re-sized 19 times until it's big enough to hold 100,000 entries. That explains why we saw a lot of consing and why it took rather long to fill the hash table. It also explains why the second run was much faster - the hash table already had the correct size.

Here's a faster way to do it: If we know in advance how big our hash will be, we can start with the right size:

That's obviously much faster. And there was no consing involved because we didn't have to re-size at all. If we don't know the final size in advance but can guess the growth behaviour of our hash table we can also provide this value to make-hash-table. We can provide an integer to specify absolute growth or a float to specify relative growth.

```
CL-USER> (defparameter *my-hash* (make-hash-table :rehash-size 100000))
*MY-HASH*
CL-USER> (hash-table-size *my-hash*)
65
CL-USER> (hash-table-rehash-size *my-hash*)
100000
CL-USER> (time (dotimes (n 100000))
```

```
(setf (gethash n *my-hash*) n)))
Compiling LAMBDA NIL:
Compiling Top-Level Form:

Evaluation took:
    0.07 seconds of real time
    0.05 seconds of user run time
    0.01 seconds of system run time
    0 page faults and
    2001360 bytes consed.
NIL
```

Also rather fast (we only needed one re-size) but much more consing because almost the whole hash table (minus 65 initial elements) had to be built during the loop.

Note that you can also specify the rehash-threshold while creating a new hash table. One final remark: Your implementation is allowed to *completely ignore* the values provided for rehash-size and rehash-threshold...

11.6. Alist

11.6.1. Definition

An association list is a list of cons cells.

Its keys and values can be of any type.

This simple example:

11.6.2. Construction

Besides constructing a list of cons cells like above, we can construct an alist following its dotted representation:

Keep in mind that using quote doesn't evaluate the expressions inside it.

The constructor pairlis associates a list of keys and a list of values:

Alists are just lists, so you can have the same key multiple times in the same alist:

```
(setf *alist-with-duplicate-keys*
  '((:a . 1)
    (:a . 2)
    (:b . 3)
    (:a . 4)
    (:c . 5)))
```

11.6.3. Access

To get a key, we have assoc (use :test 'equal when your keys are strings, as usual). It returns the whole cons cell, so you may want to use cdr or rest to get the value, or even assoc-value list key from Alexandria.

```
(assoc :foo *my-alist*)
;; (:F00 . "foo")
(cdr *)
;; "foo"

(alexandria:assoc-value *my-alist* :foo)
;; "foo"
;; (:F00 . "F00")
;; It actually returned 2 values.
```

There is assoc-if, and rassoc to do a "reverse" search, to get a cons cell by its value:

```
(rassoc "foo" *my-alist*)
;; NIL
;; bummer! The value "foo" is a string, so use:
(rassoc "foo" *my-alist* :test #'equal)
;; (:F00 . "foo")
```

If the alist has repeating (duplicate) keys, you can use remove-if-not, for example, to retrieve all of them.

```
(remove-if-not
  (lambda (entry)
        (eq :a entry))
  *alist-with-duplicate-keys*
  :key #'car)
```

11.6.4. Insert and remove entries

The function acons adds a key with a given value to an existing alist and returns a new alist:

```
(acons :key "key" *my-alist*)
;; => ((:KEY . "key") (:F00 . "foo") (:BAR . "bar"))
```

To add a key, we can push another cons cell:

```
(push (cons 'team "team") *my-alist*)
;; => ((TEAM . "team") (F00 . "foo") (BAR . "bar"))
```

We can use pop and other functions that operate on lists, like remove:

```
(remove :team *my-alist*)
;; ((:TEAM . "team") (F00 . "foo") (BAR . "bar"))
;; => didn't remove anything
(remove :team *my-alist* :key 'car)
;; ((F00 . "foo") (BAR . "bar"))
;; => returns a copy
```

Remove only one element with : count:

```
(push (cons 'bar "bar2") *my-alist*)
;; ((BAR . "bar2") (TEAM . "team") (F00 . "foo") (BAR . "bar"))
;; => twice the 'bar key
(remove 'bar *my-alist* :key 'car :count 1)
;; ((TEAM . "team") (FOO . "foo") (BAR . "bar"))
;; because otherwise:
(remove 'bar *my-alist* :key 'car)
;; ((TEAM . "team") (F00 . "foo"))
;; => no more 'bar
11.6.5. Update entries
Replace a value:
*my-alist*
;; => '((:F00 . "foo") (:BAR . "bar"))
(assoc :foo *my-alist*)
;; => (:F00 . "foo")
(setf (cdr (assoc :foo *my-alist*)) "new-value")
;; => "new-value"
*my-alist*
;; => '((:foo . "new-value") (:BAR . "bar"))
Replace a key:
*my-alist*
;; => '((:F00 . "foo") (:BAR . "bar")))
(setf (car (assoc :bar *my-alist*)) :new-key)
;; => :NEW-KEY
*my-alist*
;; => '((:F00 . "foo") (:NEW-KEY . "bar")))
```

In the <u>Alexandria</u> library, see more functions like hash-table-alist, alist-plist,...

11.7. Plist

11.7.1. What's a plist

A property list is simply a list that alternates a key, a value, and so on, where its keys are keywords or symbols.

```
(defparameter my-plist (list :foo "foo" :bar "bar"))
```

A plist is a key-value store, like hash-tables. However, unlike hash-tables:

- a plist can store twice the same key. As such, it can be used as a queue (a "Last In First Out"), read below.
- a plist is inherently a (linked) list, and has the same performance characteristics. For non-small data sets, use hash-tables.
 - plists are OK for configuration variables, user settings, manipulating function arguments, small internal data structures...
- you can't really use strings as keys.

The keys could be any other object, but if they are not comparable by eq (the lowest-level equality function), like strings (that are comparable with equal or string-equal), you won't be able to get them back with getf.

To be more precise, a plist first has a cons cell whose car is the key, whose cdr points to the following cons cell whose car is the value. For example our above plist looks like this:

In the example above, we used keywords for the keys: :foo, :bar. This is just the most common way to define the keys. You can use quoted symbols instead: 'foo, 'bar, but it's just less conventional.

Remember that if you use symbols for keys, then when you'll want to access those keys from another package, you'll need to use the fully-qualified symbol name. However, all keywords live in the same package so they always evaluate to themselves. It's a bit simpler to use keywords.

11.7.2. Accessing data in a plist, using plists as queues

We access an element with getf:

```
(defparameter my-plist (list :foo "foo" :bar "bar"))
;; => (:F00 "foo" :BAR "bar")
(getf my-plist :foo)
;; => "foo"
```

Remember that we can't set a :test keyword to getf. Keys must be *identical* by eq for getf to get you the key. If you use strings for the keys, it won't work:

```
(defparameter not-ok-plist (list "foo" "this-is-foo" "bar" "this-is-bar"))
;; you get NIL, even if you can see "foo" is a key:
(getf not-ok-plist "foo")
;; => NIL
;; We didn't create a plist, but a list.
```

A plist can be used as a queue. If it has twice the same key, getf takes the value of the first one (from left to right):

11.7.3. Removing elements from a plist

To remove an element from a plist, you'd use remf, which destructively changes the plist in place:

```
(defparameter my-plist (list :foo "foo" :bar "bar"))
;; => (:F00 "foo" :BAR "bar")
(remf my-plist :foo)
;; => T
my-plist
;; => (:bar "bar")
```

11.7.4. Adding elements to a plist

To add elements to a plist, you can use list* and append, which are *not* destructive. They don't modify the original plist in place.

Using list*, we add elements in front:

```
(defparameter my-plist (list :foo "foo" :bar "bar"))
(list* :baz "baz" my-plist)
;; => (:BAZ "baz" :F00 "foo" :BAR "bar")
my-plist
;; => (:F00 "foo" :BAR "bar")
;; the original plist was not modified.
Using append, we add elements to the end:
(defparameter my-plist (list :foo "foo" :bar "bar"))
(append my-plist '(:baz "baz"))
;; => (:F00 "foo" :BAR "bar" :BAZ "baz")

my-plist
;; => (:F00 "foo" :BAR "bar")
;; the original plist was not modified.
Use (setf my-plist (append ...)) if you want to change the plist.
```

11.7.5. Setting elements of a plist

You can of course setf a place you got with getf. In that case, unlike list* or append, setf will update the plist in place:

```
(defparameter my-plist (list :foo "foo" :bar "bar"))
;; => (:F00 "foo" :BAR "bar")

(getf my-plist :foo)
;; => "foo"

(setf (getf my-plist :foo) "foo!!!")
;; => "foo!!!"

my-plist
;; => (:F00 "foo!!!" :BAR "bar")
```

11.8. Structures

Structures offer a way to store data in named slots. They support single inheritance.

Classes provided by the Common Lisp Object System (CLOS) are more flexible however structures may offer better performance (see for example the SBCL manual).

11.8.1. Creation

Use defstruct:

```
(defstruct person
  id name age)
```

At creation slots are optional and default to nil.

To set a default value:

```
(defstruct person
  id
  (name "john doe")
  age)
```

Also specify the type after the default value:

```
(defstruct person
  (name "john doe" :type string)
  age)
We create an instance with the generated constructor make- + <structure-name>, so make-person:
(defparameter *me* (make-person))
*me*
#S(PERSON :ID NIL :NAME "john doe" :AGE NIL)
note that printed representations can be read back by the reader.
With a bad name type:
(defparameter *bad-name* (make-person :name 123))
Invalid initialization argument:
in call for class #<STRUCTURE-CLASS PERSON>.
   [Condition of type SB-PCL::INITARG-ERROR]
We can set the structure's constructor so as to create the structure without using keyword
arguments, which can be more convenient sometimes. We give it a name and the order of the
arguments:
(defstruct (person (:constructor create-person (id name age)))
     name
     age)
Our new constructor is create-person:
(create-person 1 "me" 7)
#S(PERSON :ID 1 :NAME "me" :AGE 7)
However, the default make-person does not work any more:
(make-person :name "me")
;; debugger:
obsolete structure error for a structure of type PERSON
[Condition of type SB-PCL::OBSOLETE-STRUCTURE]
11.8.2. Slot access
We access the slots with accessors created by <name-of-the-struct>- + slot-name:
(person-name *me*)
;; "john doe"
we then also have person-age and person-id.
11.8.3. Setting
Slots are setf-able:
(setf (person-name *me*) "Cookbook author")
(person-name *me*)
;; "Cookbook author"
```

11.8.4. Predicate

A predicate function is generated:

```
(person-p *me*)
```

11.8.5. Single inheritance

Use single inheritance with the :include <struct> argument:

```
(defstruct (female (:include person))
    (gender "female" :type string))
(make-female :name "Lilie")
;; #S(FEMALE :ID NIL :NAME "Lilie" :AGE NIL :GENDER "female")
```

Note that the CLOS object system is more powerful.

11.8.6. Shorter slot access with symbol-macrolet

If you are accessing several slots within a single function the special form symbol-macrolet can improve readibility, by creating symbol macros which expand into forms with structure accessors:

Here the math involved in the move-ship function is easier to read than if accessor functions were used.

Without symbol-macrolet it looks like this:

In this function all the accessors are not too hard to read, but with more complex operations it would quickly get cluttered.

Now, let's try our function:

ship))

```
(move-ship (make-ship :x-position 1 :y-position 1 :x-velocity 2 :y-velocity 2))
;; #S(SHIP :X-POSITION 3 :Y-POSITION 3 :X-VELOCITY 2 :Y-VELOCITY 2)
```

11.8.7. Structures and with-slots

Though it is not mentioned in the standard, many modern implementations of Common Lisp permit the use of the CLOS macro with-slots with structures. In the standard with-slots itself is defined using symbol-macrolet. At least SBCL and ECL will accept this:

```
(defstruct point x y)
(defvar p (make-point :x 2.3 :y -3.2))
(with-slots (x y) p
```

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```
(list x y))
;; => (2.3 -3.2)
```

But do note that in the standard the behavior of the above use of with-slots with a structure is called "unspecified."

11.8.8. Limitations

After a change, instances are not updated.

If we try to add a slot (email below), we have the choice to lose all instances, or to continue using the new definition of person. But the effects of redefining a structure are undefined by the standard, so it is best to re-compile and re-run the changed code.

```
(defstruct person
    id
        (name "john doe" :type string)
        age
        email)
```

gives an error and we drop in the debugger:

```
attempt to redefine the STRUCTURE-OBJECT class PERSON incompatibly with the current definition [Condition of type SIMPLE-ERROR]
```

Restarts:

- 0: [CONTINUE] Use the new definition of PERSON, invalidating already-loaded code and instances.
- 1: [RECKLESSLY-CONTINUE] Use the new definition of PERSON as if it were compatible, allowing old accessors to use new instances and allowing new accessors to use old instances.
- 2: [CLOBBER-IT] (deprecated synonym for RECKLESSLY-CONTINUE)
- 3: [RETRY] Retry SLIME REPL evaluation request.
- 4: [*ABORT] Return to SLIME's top level.
- 5: [ABORT] abort thread (#<THREAD "repl-thread" RUNNING {1002A0FFA3}>)

If we choose restart 0, to use the new definition, we lose access to *me*:

```
*me*
obsolete structure error for a structure of type PERSON
[Condition of type SB-PCL::0BSOLETE-STRUCTURE]
```

There is also very little introspection. Portable Common Lisp does not define ways of finding out defined super/sub-structures nor what slots a structure has.

The Common Lisp Object System (which came after into the language) doesn't have such limitations. See the CLOS section.

- structures on the hyperspec
- David B. Lamkins, "Successful Lisp, How to Understand and Use Common Lisp".

11.9. Trees

11.9.1. Built-ins

A tree can be built with lists of lists.

For example, the nested list '(A (B) (C (D) (E))) represents the tree:

```
A
├─ B
└─ C
├─ D
└─ F
```

where (B), (D) and (E) are leaf nodes.

The functions tree-equal and copy-tree descend recursively into the car and the cdr of the cons cells they visit.

See the functions subst and sublis above to replace elements in a tree.

11.10. FSet - immutable functional data structures

You may want to have a look at the FSet library (in Quicklisp) to use immutable data structures.

```
(ql:quickload "fset")
```

FSet provides the following collections:

- maps, aka hash-tables
- segs, aka sequences
- sets
- bags or multisets, aka sets that count how many occurences of a member is in the bag.

You can start reading its introduction and its tutorial.

11.11. Sycamore - purely functional weight-balanced binary trees

Another fast, purely functional data structure library for Common Lisp is Sycamore.

It features:

- fast, purely functional **Hash Array Mapped Tries** (<u>HAMT</u>).
- fast, purely functional weight-balanced binary trees.
- interfaces for tree **sets** and **maps** (hash-tables).
- ropes
- purely functional pairing heaps
- purely functional amortized queues.

11.12. Controlling how much of data to print (*print-length*, *print-level*)

```
Use *print-length* and *print-level*.
```

They are both nil by default.

If you have a very big list, printing it on the REPL or in a stacktrace can take a long time and bring your editor or even your server down. Use *print-length* to choose the maximum of elements of the list to print, and to show there is a rest with a . . . placeholder:

```
(setf *print-length* 2)
(list :A :B :C :D :E)
;; (:A :B ...)
```

And if you have a very nested data structure, set *print-level* to choose the depth to print:

```
;; => the list is returned,
;; the let binding is not in effect anymore.
```

print-length will be applied at each level.

Reference: the <u>HyperSpec</u>.

11.13. Appendix A - generic and nested access of alists, plists, hash-tables and CLOS slots

The solutions presented below might help you getting started, but keep in mind that they'll have a performance impact and that error messages will be less explicit.

- the <u>access</u> library (battle tested, used by the Djula templating system) has a generic (access my-var :elt) (<u>blog post</u>). It also has accesses (plural) to access and set nested values.
- rutils as a generic generic-elt or ?,

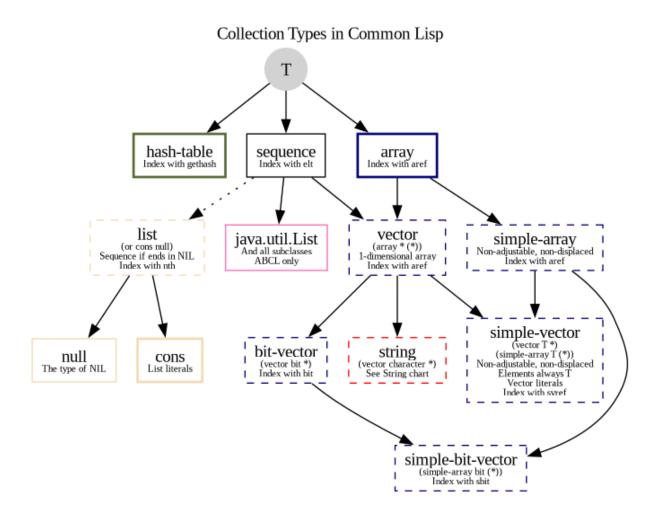
11.14. Appendix B - accessing nested data structures

Sometimes we work with nested data structures, and we might want an easier way to access a nested element than intricated "getf" and "assoc" and all. Also, we might want to just be returned a nil when an intermediary key doesn't exist.

The access library given above provides this, with (accesses var key1 key2...).

11.15. Appendix C - Collections Type Hierarchy

Solid nodes are concrete types, while dashed ones are type aliases. For example, 'string is an alias for an array of characters of any size, (array character (*)).



11.16. See also

• <u>the Pretty Printer</u> *print-length*, *print-right-margin*, pprint-tabular etc.

12. Strings

The most important thing to know about strings in Common Lisp is probably that they are arrays and thus also sequences. This implies that all concepts that are applicable to arrays and sequences also apply to strings. If you can't find a particular string function, make sure you've also searched for the more general <u>array or sequence functions</u>. We'll only cover a fraction of what can be done with and to strings here.

ASDF3, which is included with almost all Common Lisp implementations, includes <u>Utilities for Implementation- and OS- Portability (UIOP)</u>, which defines functions to work on strings (strcat, string-prefix-p, string-enclosed-p, first-char, last-char, split-string, stripln).

Some external libraries available on Quicklisp bring some more functionality or some shorter ways to do.

- <u>str</u> defines trim, words, unwords, lines, unlines, concat, split, shorten, repeat, replace-all, starts-with?, ends-with?, blankp, emptyp, ...
- <u>Serapeum</u> is a large set of utilities with many string manipulation functions.
- <u>cl-change-case</u> has functions to convert strings between camelCase, param-case, snake_case and more. They are also included into str.
- <u>mk-string-metrics</u> has functions to calculate various string metrics efficiently (Damerau-Levenshtein, Hamming, Jaro, Jaro-Winkler, Levenshtein, etc),
- and cl-ppcre can come in handy, for example ppcre: replace-regexp-all. See the <u>regexp</u> section.

Last but not least, when you'll need to tackle the format construct, don't miss the following resources:

- the official CLHS documentation
- a quick reference
- a CLHS summary on HexstreamSoft
- the list of all format directives at the end of this document.
- plus a Slime tip: type C-c C-d ~ plus a letter of a format directive to open up its documentation. Use TAB-completion to list them all. Again more useful with ivy-mode or helm-mode.

12.1. Creating strings

A string is created with double quotes, all right, but we can recall these other ways:

• using format nil doesn't print but returns a new string (see more examples of format below):

```
(defparameter *person* "you")
(format nil "hello ~a" *person*) ;; => "hello you"
```

• make-string count creates a string of the given length. The :initial-element character is repeated count times:

```
(make-string 3 :initial-element #\♥) ;; => "♥♥♥"
```

12.2. Accessing Substrings

As a string is a sequence, you can access substrings with the SUBSEQ function. The index into the string is, as always, zero-based. The third, optional, argument is the index of the first character which is not a part of the substring, it is not the length of the substring.

```
CL-USER> (defparameter *my-string* (string "Groucho Marx"))
*MY-STRING*
CL-USER> (subseq *my-string* 8)
```

```
"Marx"
CL-USER> (subseq *my-string* 0 7)
"Groucho"
CL-USER> (subseq *my-string* 1 5)
"rouc"
```

You can also manipulate the substring if you use SUBSEQ together with SETF.

```
CL-USER> (defparameter *my-string* (string "Harpo Marx"))
*MY-STRING*
CL-USER> (subseq *my-string* 0 5)
"Harpo"
CL-USER> (setf (subseq *my-string* 0 5) "Chico")
"Chico"
CL-USER> *my-string*
"Chico Marx"
```

But note that the string isn't "stretchable". To cite from the HyperSpec: "If the subsequence and the new sequence are not of equal length, the shorter length determines the number of elements that are replaced." For example:

```
CL-USER> (defparameter *my-string* (string "Karl Marx"))
*MY-STRING*
CL-USER> (subseq *my-string* 0 4)
"Karl"
CL-USER> (setf (subseq *my-string* 0 4) "Harpo")
"Harpo"
CL-USER> *my-string*
"Harp Marx"
CL-USER> (subseq *my-string* 4)
" Marx"
CL-USER> (setf (subseq *my-string* 4) "o Marx")
"o Marx"
CL-USER> *my-string*
"Harpo Mar"
```

12.3. Accessing Individual Characters

You can use the function CHAR to access individual characters of a string. CHAR can also be used in conjunction with SETF.

```
CL-USER> (defparameter *my-string* (string "Groucho Marx"))
*MY-STRING*
CL-USER> (char *my-string* 11)
#\x
CL-USER> (char *my-string* 7)
#\Space
CL-USER> (char *my-string* 6)
#\o
CL-USER> (setf (char *my-string* 6) #\y)
#\y
CL-USER> *my-string*
"Grouchy Marx"
```

Note that there's also SCHAR. If efficiency is important, SCHAR can be a bit faster where appropriate.

Because strings are arrays and thus sequences, you can also use the more generic functions aref and elt (which are more general while CHAR might be implemented more efficiently).

```
CL-USER> (defparameter *my-string* (string "Groucho Marx"))
*MY-STRING*
CL-USER> (aref *my-string* 3)
#\u
CL-USER> (elt *my-string* 8)
#\M
```

Each character in a string has an integer code. The range of recognized codes and Lisp's ability to print them is directed related to your implementation's character set support, e.g. ISO-8859-1, or Unicode. Here are some examples in SBCL of UTF-8 which encodes characters as 1 to 4 8 bit bytes. The first example shows a character outside the first 128 chars, or what is considered the normal Latin character set. The second example shows a multibyte encoding (beyond the value 255). Notice the Lisp reader can round-trip characters by name.

```
CL-USER> (stream-external-format *standard-output*)

:UTF-8
CL-USER> (code-char 200)

#\LATIN_CAPITAL_LETTER_E_WITH_GRAVE
CL-USER> (char-code #\LATIN_CAPITAL_LETTER_E_WITH_GRAVE)

200
CL-USER> (code-char 2048)

#\SAMARITAN_LETTER_ALAF

CL-USER> (char-code #\SAMARITAN_LETTER_ALAF)
2048
```

Check out the UTF-8 Wikipedia article for the range of supported characters and their encodings.

12.4. Remove or replace characters from a string

There's a slew of (sequence) functions that can be used to manipulate a string and we'll only provide some examples here. See the sequences dictionary in the HyperSpec for more.

remove one character from a string:

"Harpo Marx"

```
CL-USER> (remove #\o "Harpo Marx")
"Harp Marx"
CL-USER> (remove #\a "Harpo Marx")
"Hrpo Mrx"
CL-USER> (remove #\a "Harpo Marx" :start 2)
"Harpo Mrx"
CL-USER> (remove-if #'upper-case-p "Harpo Marx")
"arpo arx"
Replace one character with substitute (non destructive) or replace (destructive):
CL-USER> (substitute #\u #\o "Groucho Marx")
"Gruuchu Marx"
CL-USER> (substitute-if #\_ #'upper-case-p "Groucho Marx")
" roucho arx"
CL-USER> (defparameter *my-string* (string "Zeppo Marx"))
*MY-STRING*
CL-USER> (replace *my-string* "Harpo" :end1 5)
"Harpo Marx"
CL-USER> *my-string*
```

12.5. Concatenating Strings

The name says it all: concatenate is your friend. Note that this is a generic sequence function and you have to provide the result type as the first argument.

```
CL-USER> (concatenate 'string "Karl" " " "Marx")
"Karl Marx"
CL-USER> (concatenate 'list "Karl" " " "Marx")
(#\K #\a #\r #\l #\Space #\M #\a #\r #\x)
With UIOP, use strcat:
CL-USER> (uiop:strcat "karl" " "marx")
or with the library str, use concat:
CL-USER> (str:concat "foo" "bar")
```

If you have to construct a string out of many parts, all of these calls to concatenate seem wasteful, though. There are at least three other good ways to construct a string piecemeal, depending on what exactly your data is. If you build your string one character at a time, make it an adjustable vector (a one-dimensional array) of type character with a fill-pointer of zero, then use vector-push-extend on it. That way, you can also give hints to the system if you can estimate how long the string will be. (See the optional third argument to vector-push-extend.)

If the string will be constructed out of (the printed representations of) arbitrary objects, (symbols, numbers, characters, strings, ...), you can use format with an output stream argument of nil. This directs format to return the indicated output as a string.

We can use the looping constructs of the format mini language to emulate concatenate.

format can do a lot more processing but it has a relatively arcane syntax. After this last example, you can find the details in the CLHS section about formatted output.

Another way to create a string out of the printed representation of various object is using with-output-to-string. The value of this handy macro is a string containing everything that was

output to the string stream within the body to the macro. This means you also have the full power of format at your disposal, should you need it.

12.6. Processing a String One Character at a Time

Use the MAP function to process a string one character at a time.

```
CL-USER> (defparameter *my-string* (string "Groucho Marx"))
*MY-STRING*
CL-USER> (map 'string (lambda (c) (print c)) *my-string*)
#\r
#\o
#\u
#\c
#\h
#\o
#\Space
#\M
#\a
#\ r
#\x
"Groucho Marx"
Or do it with LOOP.
CL-USER> (loop for char across "Zeppo"
               collect char)
(#\Z #\e #\p #\p #\o)
```

12.7. Reversing a String by Word or Character

Reversing a string by character is easy using the built-in reverse function (or its destructive counterpart nreverse).

```
CL-USER> (defparameter *my-string* (string "DSL"))
*MY-STRING*
CL-USER> (reverse *my-string*)
"LSD"
```

There's no one-liner in CL to reverse a string by word (like you would do it in Perl with split and join). You either have to use functions from an external library like SPLIT-SEQUENCE or you have to roll your own solution.

Here's an attempt with the str library:

```
CL-USER> (defparameter *singing* "singing in the rain")
*SINGING*
CL-USER> (str:words *SINGING*)
("singing" "in" "the" "rain")
CL-USER> (reverse *)
("rain" "the" "in" "singing")
CL-USER> (str:unwords *)
"rain the in singing"
```

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And here's another one with no external dependencies:

```
CL-USER> (defun split-by-one-space (string)
    "Returns a list of substrings of string
    divided by ONE space each.
    Note: Two consecutive spaces will be seen as
    if there were an empty string between them."
    (loop for i = 0 then (1+ j)
          as j = (position #\Space string :start i)
          collect (subseq string i j)
          while i))
SPLIT-BY-ONE-SPACE
CL-USER> (split-by-one-space "Singing in the rain")
("Singing" "in" "the" "rain")
CL-USER> (split-by-one-space "Singing in the rain")
("Singing" "in" "the" "" "rain")
CL-USER> (split-by-one-space "Cool")
("Cool")
CL-USER> (split-by-one-space " Cool ")
("" "Cool" "")
CL-USER> (defun join-string-list (string-list)
    "Concatenates a list of strings
and puts spaces between the elements."
    (format nil "~{~A~^ ~}" string-list))
JOIN-STRING-LIST
CL-USER> (join-string-list '("We" "want" "better" "examples"))
"We want better examples"
CL-USER> (join-string-list '("Really"))
"Really"
CL-USER> (join-string-list '())
CL-USER> (join-string-list
          (nreverse
           (split-by-one-space
            "Reverse this sentence by word")))
"word by sentence this Reverse"
```

12.8. Dealing with unicode strings

We'll use here SBCL's string operations. More generally, see SBCL's unicode support.

12.8.1. Sorting unicode strings alphabetically

Sorting unicode strings with string-lessp as the comparison function isn't satisfying:

```
CL-USER> (sort '("Aaa" "Ééé" "Zzz") #'string-lessp)
("Aaa" "Zzz" "Ééé")
With SBCL, use sb-unicode:unicode<:
CL-USER> (sort '("Aaa" "Ééé" "Zzz") #'sb-unicode:unicode<)
("Aaa" "Ééé" "Zzz")</pre>
```

12.8.2. Breaking strings into graphemes, sentences, lines and words

These functions use SBCL's sb-unicode: they are SBCL specific.

Use sb-unicode: sentences to break a string into sentences according to the default sentence breaking rules.

Use sb-unicode: lines to break a string into lines that are no wider than the :margin keyword argument. Combining marks will always be kept together with their base characters, and spaces (but not other types of whitespace) will be removed from the end of lines. If :margin is unspecified, it defaults to 80 characters

```
CL-USER> (sb-unicode:lines "A first sentence. A second somewhat long one." :margin
10)
("A first"
   "sentence."
   "A second"
   "somewhat"
   "long one.")
```

See also sb-unicode:words and sb-unicode:graphemes.

Tip: you can ensure these functions are run only in SBCL with a feature flag:

```
#+sbcl
(runs on sbcl)
#-sbcl
(runs on other implementations)
```

12.9. Controlling Case

Common Lisp has a couple of functions to control the case of a string.

```
CL-USER> (string-upcase "cool")
"COOL"
CL-USER> (string-upcase "Cool")
"COOL"
CL-USER> (string-downcase "COOL")
"cool"
CL-USER> (string-downcase "Cool")
"cool"
CL-USER> (string-capitalize "cool")
"Cool"
CL-USER> (string-capitalize "cool example")
"Cool Example"
```

These functions take the :start and :end keyword arguments so you can optionally only manipulate a part of the string. They also have destructive counterparts whose names starts with "N".

```
CL-USER> (string-capitalize "cool example" :start 5)
"cool Example"
CL-USER> (string-capitalize "cool example" :end 5)
"Cool example"
CL-USER> (defparameter *my-string* (string "BIG"))
*MY-STRING*
CL-USER> (defparameter *my-downcase-string* (nstring-downcase *my-string*))
*MY-DOWNCASE-STRING*
CL-USER> *my-downcase-string*
"big"
CL-USER> *my-string*
"big"
```

Note this potential caveat: according to the HyperSpec,

for STRING-UPCASE, STRING-DOWNCASE, and STRING-CAPITALIZE, string is not modified. However, if no characters in string require conversion, the result may be either string or a copy of it, at the implementation's discretion.

This implies that the last result in the following example is implementation-dependent - it may either be "BIG" or "BUG". If you want to be sure, use copy-seq.

```
CL-USER> (defparameter *my-string* (string "BIG"))
*MY-STRING*
CL-USER> (defparameter *my-upcase-string* (string-upcase *my-string*))
*MY-UPCASE-STRING*
CL-USER> (setf (char *my-string* 1) #\U)
#\U
CL-USER> *my-string*
"BUG"
CL-USER> *my-upcase-string*
"BIG"
```

12.9.1. With the format function

The format function has directives to change the case of words:

```
12.9.1.1. To lower case: ~( ~)
CL-USER> (format t "~(~a~)" "HELLO WORLD")
hello world
12.9.1.2. Capitalize every word: ~:( ~)
CL-USER> (format t "~:(~a~)" "HELLO WORLD")
Hello World
NIL
12.9.1.3. Capitalize the first word: \sim @(\sim)
CL-USER> (format t "~@(~a~)" "hello world")
Hello world
NIL
12.9.1.4. To upper case: ~@:( ~)
Where we re-use the colon and the @:
CL-USER> (format t "~@:(~a~)" "hello world")
HELLO WORLD
NIL
```

12.10. Trimming Blanks from the Ends of a String

Not only can you trim blanks, but you can get rid of arbitrary characters. The functions string-trim, string-left-trim and string-right-trim return a substring of their second argument where all characters that are in the first argument are removed off the beginning and/or the end. The first argument can be any sequence of characters.

```
CL-USER> (string-trim " " trim me ")
"trim me"
CL-USER> (string-trim " et" " trim me ")
"rim m"
CL-USER> (string-left-trim " et" " trim me ")
"rim me "
CL-USER> (string-right-trim " et" " trim me ")
" trim m"
```

```
CL-USER> (string-right-trim '(#\Space #\e #\t) " trim me ")
" trim m"
CL-USER> (string-right-trim '(#\Space #\e #\t #\m) " trim me ")
```

Note: The caveat mentioned in the section about Controlling Case also applies here.

12.11. Converting between Symbols and Strings

The function intern will "convert" a string to a symbol. Actually, it will check whether the symbol denoted by the string (its first argument) is already accessible in the package (its second, optional, argument which defaults to the current package) and enter it, if necessary, into this package. It is beyond the scope of this chapter to explain all the concepts involved and to address the second return value of this function. See the CLHS chapter about packages for details.

Note that the case of the string is relevant.

```
CL-USER> (in-package "COMMON-LISP-USER")
#<The COMMON-LISP-USER package, 35/44 internal, 0/9 external>
CL-USER> (intern "MY-SYMBOL")
MY-SYMBOL
NIL
CL-USER> (intern "MY-SYMBOL")
MY-SYMBOL
:INTERNAL
CL-USER> (export 'MY-SYMBOL)
CL-USER> (intern "MY-SYMBOL")
MY-SYMBOL
:EXTERNAL
CL-USER> (intern "My-Symbol")
|My-Symbol|
CL-USER> (intern "MY-SYMBOL" "KEYWORD")
:MY-SYMBOL
NIL
CL-USER> (intern "MY-SYMBOL" "KEYWORD")
:MY-SYMBOL
:EXTERNAL
To do the opposite, convert from a symbol to a string, use symbol-name or string.
CL-USER> (symbol-name 'MY-SYMBOL)
"MY-SYMBOL"
CL-USER> (symbol-name 'my-symbol)
"MY-SYMBOL"
CL-USER> (symbol-name '|my-symbol|)
"my-symbol"
CL-USER> (string 'howdy)
"HOWDY"
```

12.12. Converting between Characters and Strings

You can use coerce to convert a string of length 1 to a character. You can also use coerce to convert any sequence of characters into a string. You can not use coerce to convert a character to a string, though - you'll have to use string instead.

```
CL-USER> (coerce "a" 'character)
#\a
CL-USER> (coerce (subseq "cool" 2 3) 'character)
```

```
#\o
CL-USER> (coerce "cool" 'list)
(#\c #\o #\o #\l)
CL-USER> (coerce '(#\h #\e #\y) 'string)
"hey"
CL-USER> (coerce (nth 2 '(#\h #\e #\y)) 'character)
CL-USER> (defparameter *my-array* (make-array 5 :initial-element #\x))
*MY-ARRAY*
CL-USER> *my-array*
#(#\x #\x #\x #\x #\x)
CL-USER> (coerce *my-array* 'string)
"XXXXX"
CL-USER> (string 'howdy)
"HOWDY"
CL-USER> (string #\y)
"v"
CL-USER> (coerce #\y 'string)
#\y can't be converted to type STRING.
   [Condition of type SIMPLE-TYPE-ERROR]
```

12.13. Finding an Element of a String

Use find, position, and their ...-if counterparts to find characters in a string, with the appropriate :test parameter:

```
CL-USER> (find #\t "Tea time." :test #'equal)
CL-USER> (find #\t "Tea time." :test #'equalp)
#\T
CL-USER> (find #\z "Tea time." :test #'equalp)
CL-USER> (find-if #'digit-char-p "Tea time.")
CL-USER> (find-if #'digit-char-p "Tea time." :from-end t)
#\0
CL-USER> (position #\t "Tea time." :test #'equal)
4 ;; <= the first lowercase t
CL-USER> (position #\t "Tea time." :test #'equalp)
    ;; <= the first capital T
CL-USER> (position-if #'digit-char-p "Tea time is at 5'00.")
CL-USER> (position-if #'digit-char-p "Tea time is at 5'00." :from-end t)
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Or use count and friends to count characters in a string:
CL-USER> (count #\t "Tea time." :test #'equal)
1 ;; <= equal ignores the capital T</pre>
CL-USER> (count #\t "Tea time." :test #'equalp)
2 ;; <= equalp counts the capital T</pre>
CL-USER> (count-if #'digit-char-p "Tea time is at 5'00.")
CL-USER> (count-if #'digit-char-p "Tea time is at 5'00." :start 18)
```

12.14. Finding a Substring of a String

The function search can find substrings of a string.

12.15. Converting a String to a Number

12.15.1. To an integer: parse-integer

CL provides the parse-integer function to convert a string representation of an integer to the corresponding numeric value. The second return value is the index into the string where the parsing stopped.

```
CL-USER> (parse-integer "42")
42
2
CL-USER> (parse-integer "42" :start 1)
2
2
CL-USER> (parse-integer "42" :end 1)
4
1
CL-USER> (parse-integer "42" :radix 8)
34
2
CL-USER> (parse-integer " 42 ")
42
3
CL-USER> (parse-integer " 42 is forty-two" :junk-allowed t)
42
3
CL-USER> (parse-integer " 42 is forty-two")
Error in function PARSE-INTEGER:
   There's junk in this string: " 42 is forty-two".
```

parse-integer doesn't understand radix specifiers like #X, nor is there a built-in function to parse other numeric types. You could use read-from-string in this case.

12.15.2. Extracting many integers from a string: ppcre:all-matches-as-strings

We show this in the Regular Expressions chapter but while we are on this topic, you can find it super useful:

```
CL-USER> (ppcre:all-matches-as-strings "-?\\d+" "42 is 41 plus 1")
;; ("42" "41" "1")

CL-USER> (mapcar #'parse-integer *)
;; (42 41 1)
```

12.15.3. To any number: read-from-string

Be aware that the full reader is in effect if you're using this function. This can lead to vulnerability issues. You should use a library like parse-number or parse-float instead.

```
CL-USER> (read-from-string "#X23")
35
CL-USER> (read-from-string "4.5")
4.5
CL-USER> (read-from-string "6/8")
CL-USER> (read-from-string "#C(6/8 1)")
\#C(3/4\ 1)
CL-USER> (read-from-string "1.2e2")
120.00001
CL-USER> (read-from-string "symbol")
CL-USER> (defparameter *foo* 42)
CL-USER> (read-from-string "#.(setq *foo* \"gotcha\")")
"gotcha"
23
CL-USER> *foo*
"gotcha"
```

12.15.4. Protecting read-from-string

At the very least, if you are reading data coming from the outside, use this:

```
(let ((cl:*read-eval* nil))
  (read-from-string "..."))
```

This prevents code to be evaluated at read-time. That way our last example, using the #. reader macro, would not work. You'll get the error "can't read #. while *READ-EVAL* is NIL".

And better yet, for more protection from a possibly custom readtable that would introduce another reader macro:

```
(with-standard-io-syntax
  (let ((cl:*read-eval* nil))
       (read-from-string "...")))
```

12.15.5. To a float: the parse-float library

There is no built-in function similar to parse-integer to parse other number types. The external library parse-float does exactly that. It doesn't use read-from-string so it is safe to use.

```
CL-USER> (ql:quickload "parse-float")
CL-USER> (parse-float:parse-float "1.2e2")
```

```
120.00001
```

LispWorks also has a <u>parse-float</u> function.

See also parse-number.

12.16. Converting a Number to a String

The general function write-to-string or one of its simpler variants prin1-to-string or princ-to-string may be used to convert a number to a string. With write-to-string, the :base keyword argument may be used to change the output base for a single call. To change the output base globally, set *print-base* which defaults to 10. Remember in Lisp, rational numbers are represented as quotients of two integers even when converted to strings.

```
CL-USER> (write-to-string 250)
"250"
CL-USER> (write-to-string 250.02)
"250.02"
CL-USER> (write-to-string 250 :base 5)
"2000"
CL-USER> (write-to-string (/ 1 3))
"1/3"
```

12.17. Comparing Strings

The general functions equal and equalp can be used to test whether two strings are equal. The strings are compared element-by-element, either in a case-sensitive manner (equal) or not (equalp). There's also a bunch of string-specific comparison functions. You'll want to use these if you're deploying implementation-defined attributes of characters. Check your vendor's documentation in this case.

Here are a few examples. Note that all functions that test for inequality return the position of the first mismatch as a generalized boolean. You can also use the generic sequence function mismatch if you need more versatility.

```
CL-USER> (string= "Marx" "Marx")
T
CL-USER> (string= "Marx" "marx")
NIL
CL-USER> (string-equal "Marx" "marx")
T
CL-USER> (string< "Groucho" "Zeppo")
0
CL-USER> (string< "groucho" "Zeppo")
NIL
CL-USER> (string-lessp "groucho" "Zeppo")
0
CL-USER> (string-lessp "groucho" "Zeppo")
0
CL-USER> (mismatch "Harpo Marx" "Zeppo Marx" :from-end t :test #'char=)
3
```

and also string/=, string-not-equal, string-not-lessp, string-not-greaterp.

12.18. String formatting: format

The format function has a lot of directives to print strings, numbers, lists, going recursively, even calling Lisp functions, etc. We'll focus here on a few things to print and format strings.

For our examples below, we'll work with a list of movies:

```
(defparameter *movies* '(
    (1 "Matrix" 5)
    (10 "Matrix Trilogy swe sub" 3.3)))
```

12.18.1. Structure of format

Format directives start with \sim . A final character like A or a (they are case insensitive) defines the directive. In between, it can accept coma-separated options and parameters. Further, some directives can take colon and at-sign modifiers, which change the behavior of the directive in some way. For example, with the D directive, the colon adds commas every three digits, and the at-sign adds a plus sign when the number is positive:

```
(format nil "~d" 2025)
;; => "2025"
(format nil "~:d" 2025)
;; => "2,025"
(format nil "~@d" 2025)
;; => "+2025"
(format nil "~@:d" 2025)
;; => "+2,025"
```

With the at-sign modifier, the R directive outputs Roman numerals rather than an English cardinal number:

```
(format nil "~r" 2025)
;; => "two thousand twenty-five"
(format nil "~@r" 2025)
;; => "MMXXV"
```

If there isn't a sensible interpretation for both modifiers used together, the result is either undefined or some additional meaning.

Print a tilde with ~~, or 10 tildes with ~10~.

Other directives include:

- R: Roman (e.g., prints in English): (format t "~R" 20) => "twenty".
- \$: monetary: (format t "~\$" 21982) => 21982.00
- D, B, O, X: Decimal, Binary, Octal, Hexadecimal.
- **F**: fixed-format Floating point.
- P: plural: (format nil "~D famil~:@P/~D famil~:@P" 7 1) => "7 families/1 family"

12.18.2. Basic primitive: ~A or ~a (Aesthetics)

```
(format t "~a" *movies*) is the most basic primitive.
```

t prints to *standard-output*.

```
(format nil "~a" *movies*)
;; => "((1 Matrix 5) (10 Matrix Trilogy swe sub 3.3))"
```

Here, nil tells format to return a new string.

12.18.3. Print to standard output or return a new string: t or nil

As seen above, (format t ...) prints to *standard-output* whereas (format nil ...) returns a new string.

Now observe:

```
(format t "~a" *movies*)
;; =>
```

```
((1 Matrix 5) (10 Matrix Trilogy swe sub 3.3))
NIL

format prints to stdout and returns NIL.
```

But now:

```
(format nil "~a" *movies*)
;; =>
"((1 Matrix 5) (10 Matrix Trilogy swe sub 3.3))"
```

format returned a string.

12.18.4. Newlines: ~% and ~&

~% is the newline character. ~10% prints 10 newlines.

~& does not print a newline if the output stream is already at one.

12.18.5. Tabs

with ~T. Also ~10T works.

Also i for indentation.

12.18.6. Justifying text / add padding on the right

Use a number as parameter, like ~2a:

text is justified on the right (this would be with option:).

12.18.6.1. Justifying on the left: @

Use a @ as in ~2@A:

12.18.7. Justifying decimals

In \sim , 2F, 2 is the number of decimals and F the floats directive: (format t " \sim , 2F" 20.1) => "20.10".

```
With ~2,2f:
```

And we're happy with this result.

12.18.8. Iteration

Create a string from a list with iteration construct ~{str~}:

```
(format nil "~{~A, ~}" '(a b c));; "A, B, C, "
```

using ~ to avoid printing the comma and space after the last element:

```
(format nil "~{~A~^, ~}" '(a b c));; "A, B, C"
```

~: {str~} is similar but for a list of sublists:

```
(format nil "~:{~S are ~S. ~}" '((pigeons birds) (dogs mammals)))
;; "PIGEONS are BIRDS. DOGS are MAMMALS."
```

~@{str~} is similar to ~{str~}, but instead of using one argument that is a list, all the remaining arguments are used as the list of arguments for the iteration:

```
(format nil "~@{~S are ~S. ~}" 'pigeons 'birds 'dogs 'mammals)
;; "PIGEONS are BIRDS. DOGS are MAMMALS. "
```

12.18.9. Formatting a format string (~v, ~?)

Sometimes you want to justify a string, but the length is a variable itself. You can't hardcode its value as in (format nil "~30a" "foo"). Enters the v directive. We can use it in place of the comma-separated prefix parameters:

```
(let ((padding 30))
    (format nil "~va" padding "foo"))
;; "foo "
```

Other times, you would like to insert a complete format directive at run time. Enters the ? directive.

Of course, it is always possible to format a format string beforehand:

```
(let* ((length 30)
            (directive (format nil "~~~aa" length)))
  (format nil directive "foo"))
```

12.18.10. Conditional Formatting

Choose one value out of many options by specifying a number:

```
(format nil "~[dog~;cat~;bird~:;default~]" 0)
;; "dog"
```

```
(format nil "~[dog~;cat~;bird~:;default~]" 1)
;; "cat"
```

If the number is out of range, the default option (after ~:;) is returned:

```
(format nil "~[dog~;cat~;bird~:;default~]" 9)
;; "default"
```

Combine it with ~:* to implement irregular plural:

```
(format nil "I saw ~r el~:*~[ves~;f~:;ves~]." 0)
;; => "I saw zero elves."
(format nil "I saw ~r el~:*~[ves~;f~:;ves~]." 1)
;; => "I saw one elf."
(format nil "I saw ~r el~:*~[ves~;f~:;ves~]." 2)
;; => "I saw two elves."
```

12.19. Capturing what is is printed into a stream

Inside (with-output-to-string (mystream) ...), everything that is printed into the stream mystream is captured and returned as a string:

12.20. Cleaning up strings

The following examples use the <u>cl-slug</u> library which, internally, iterates over the characters of the string and uses ppcre:regex-replace-all.

```
(gl:guickload "cl-slug")
```

Then it can be used with the slug prefix.

Its main function is to transform a string to a slug, suitable for a website's url:

```
(slug:slugify "My new cool article, for the blog (V. 2).")
;; "my-new-cool-article-for-the-blog-v-2"
```

12.20.1. Removing accentuated letters

Use slug:asciify to replace accentuated letters by their ascii equivalent:

```
(slug:asciify "ñ é ß ğ ö")
;; => "n e ss g o"
```

This function supports many (western) languages:

```
slug:*available-languages*
((:TR . "Türkçe (Turkish)") (:SV . "Svenska (Swedish)") (:FI . "Suomi (Finnish)")
(:UK . "українська (Ukrainian)") (:RU . "Ру́сский (Russian)") (:RO . "Română
(Romanian)")
(:RM . "Rumàntsch (Romansh)") (:PT . "Português (Portuguese)") (:PL . "Polski
(Polish)")
```

```
(:NO . "Norsk (Norwegian)") (:LT . "Lietuvių (Lithuanian)") (:LV . "Latviešu
(Latvian)")
 (:LA . "Lingua Latīna (Latin)") (:IT . "Italiano (Italian)") (:EL . "ελληνικά
(Greek)")
 (:FR . "Français (French)") (:EO . "Esperanto") (:ES . "Español (Spanish)") (:EN .
"English")
 (:DE . "Deutsch (German)") (:DA . "Dansk (Danish)") (:CS . "Čeština (Czech)")
 (:CURRENCY . "Currency"))
12.20.2. Removing punctuation
Use (str:remove-punctuation s) or (str:no-case s) (same as (cl-change-case:no-case s)):
(str:remove-punctuation "HEY! What's up ??")
;; "HEY What s up"
(str:no-case "HEY! What's up ??")
;; "hey what s up"
They strip the punctuation with one ppcre unicode regexp
((ppcre:regex-replace-all "[^{N}]+" where p{L} is the "letter" category and p{N} any
kind of numeric character).
12.21. Appendix
12.21.1. All format directives
All directives are case-insensivite: ~A is the same as ~a.
$ - Monetary Floating-Point
% - Newline
& - Fresh-line
( - Case Conversion
) - End of Case Conversion
* - Go-To
/ - Call Function
; - Clause Separator
< - Justification
< - Logical Block
> - End of Justification
? - Recursive Processing
A - Aesthetic
B - Binary
C - Character
D - Decimal
E - Exponential Floating-Point
F - Fixed-Format Floating-Point
G - General Floating-Point
I - Indent
Missing and Additional FORMAT Arguments
Nesting of FORMAT Operations
Newline: Ignored Newline
0 - Octal
P - Plural
R - Radix
S - Standard
```

T - Tabulate
W - Write
X - Hexadecimal

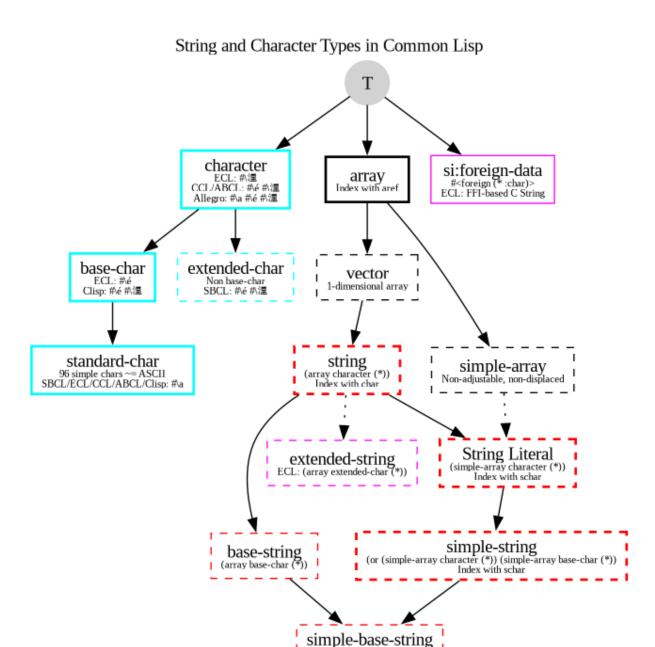
- [Conditional Expression
-] End of Conditional Expression
- ^ Escape Upward
- _ Conditional Newline
- $\{ \ \ Iteration$
- | Page
- } End of Iteration
- ~ Tilde

12.21.2. Slime help

• to look-up a format directive, such as ~A, use M-x common-lisp-hyperspec-format, bound to C-c C-d ~, and use TAB-completion.

12.22. String and character types hierarchy

Solid nodes are concrete types, while dashed ones are type aliases. For example, 'string is an alias for an array of characters of any size, (array character (*)).



(simple-array base-char (*)) Index with schar

12.23. See also

- the Pretty Printer *print-length*, *print-right-margin*, pprint-tabular etc.
- Pretty printing table data, in ASCII art, a tutorial as a Jupyter notebook.

13. Numbers

Common Lisp has a rich set of numerical types, including integer, rational, floating point, and complex.

Some sources:

- Numbers in Common Lisp the Language, 2nd Edition
- Numbers, Characters and Strings in Practical Common Lisp

13.1. Introduction

13.1.1. Integer types

Common Lisp provides a true integer type, called bignum, limited only by the total memory available (not the machine word size). For example this would overflow a 64 bit integer by some way:

```
* (expt 2 200)
1606938044258990275541962092341162602522202993782792835301376
```

For efficiency, integers can be limited to a fixed number of bits, called a fixnum type. The range of integers which can be represented is given by:

```
* most-positive-fixnum
4611686018427387903
* most-negative-fixnum
-4611686018427387904
```

Functions which operate on or evaluate to integers include:

• <u>isqrt</u>, which returns the greatest integer less than or equal to the exact positive square root of natural.

```
* (isqrt 10)
3
* (isqrt 4)
2
```

- gcd to find the Greatest Common Denominator
- 1cm for the Least Common Multiple.

Like other low-level programming languages, Common Lisp provides literal representation for hexadecimals and other radixes up to 36. For example:

```
* #xFF

255

* #2r1010

10

* #4r33

15

* #8r11

9

* #16rFF

255

* #36rz
```

13.1.2. Rational types

Rational numbers of type <u>ratio</u> consist of two bignums, the numerator and denominator. Both can therefore be arbitrarily large:

```
* (/ (1+ (expt 2 100)) (expt 2 100))
1267650600228229401496703205377/1267650600228229401496703205376
```

It is a subtype of the rational class, along with integer.

13.1.3. Floating point types

See Common Lisp the Language, 2nd Edition, section 2.1.3.

Floating point types attempt to represent the continuous real numbers using a finite number of bits. This means that many real numbers cannot be represented, but are approximated. This can lead to some nasty surprises, particularly when converting between base-10 and the base-2 internal representation. If you are working with floating point numbers, then reading What Every Computer Scientist Should Know About Floating-Point Arithmetic is highly recommended.

The Common Lisp standard allows for several floating point types. In order of increasing precision these are: short-float, single-float, double-float, and long-float. Their precisions are implementation dependent, and it is possible for an implementation to have only one floating point precision for all types.

The constants <u>short-float-epsilon</u>, <u>single-float-epsilon</u>, <u>double-float-epsilon</u> and <u>long-float-epsilon</u> give a measure of the precision of the floating point types, and are implementation dependent.

ECL specifically bases its long-float on C's long double, and thus has higher precision:

```
CL-USER> (lisp-implementation-type)
"ECL"
CL-USER> most-positive-single-float
3.4028235e38
CL-USER> most-positive-double-float
1.7976931348623157d308
CL-USER> most-positive-long-float
1.18973149535723176514932
```

13.1.3.1. Floating point literals

When reading floating point numbers, the default type is set by the special variable *read-default-float-format*. By default this is SINGLE-FLOAT, so if you want to ensure that a number is read as double precision then put a d0 suffix at the end

```
* (type-of 1.24)
SINGLE-FLOAT
* (type-of 1.24d0)
DOUBLE-FLOAT
```

Other suffixes are s (short), f (single float), d (double float), l (long float) and e (default; usually single float).

The default type can be changed, but note that this may break packages which assume single-float type.

```
* (setq *read-default-float-format* 'double-float)
* (type-of 1.24)
DOUBLE-FLOAT
```

Note that unlike in some languages, appending a single decimal point to the end of a number does not make it a float:

```
* (type-of 10.)
(INTEGER 0 4611686018427387903)
* (type-of 10.0)
SINGLE-FLOAT
```

13.1.3.2. Floating point errors

If the result of a floating point calculation is too large then a floating point overflow occurs. By default in SBCL (and other implementations) this results in an error condition:

```
* (exp 1000)
; Evaluation aborted on #<FLOATING-POINT-OVERFLOW {10041720B3}>.
```

The error can be handled, or this behaviour can be changed, to return +infinity. In SBCL this is:

```
* (sb-int:set-floating-point-modes :traps '(:INVALID :DIVIDE-BY-ZERO))
* (exp 1000)
#.SB-EXT:SINGLE-FLOAT-POSITIVE-INFINITY
* (/ 1 (exp 1000))
0.0
```

The calculation now silently continues, without an error condition.

A similar functionality to disable floating overflow errors exists in <u>CCL</u>:

```
* (set-fpu-mode :overflow nil)
```

In SBCL the floating point modes can be inspected:

```
* (sb-int:get-floating-point-modes)
(:TRAPS (:OVERFLOW :INVALID :DIVIDE-BY-ZERO) :ROUNDING-MODE :NEAREST
:CURRENT-EXCEPTIONS NIL :ACCRUED-EXCEPTIONS NIL :FAST-MODE NIL)
```

13.1.3.3. Arbitrary precision

For arbitrary high precision calculations there is the <u>computable-reals</u> library on QuickLisp:

```
* (ql:quickload :computable-reals)
* (use-package :computable-reals)

* (sqrt-r 2)
+1.41421356237309504880...

* (sin-r (/r +pi-r+ 2))
+1.0000000000000000000000...

The precision to print is set by *PRINT-PREC*, by default 20

* (setq *PRINT-PREC* 50)
* (sqrt-r 2)
+1.41421356237309504880168872420969807856967187537695...
```

13.1.4. Complex types

There are 5 types of complex number: The real and imaginary parts must be of the same type, and can be rational, or one of the floating point types (short, single, double or long).

Complex values can be created using the #C reader macro or the function complex. The reader macro does not allow the use of expressions as real and imaginary parts:

```
* #C(1 1)
#C(1 1)

* #C((+ 1 2) 5)
; Evaluation aborted on #<TYPE-ERROR expected-type: REAL datum: (+ 1 2)>.

* (complex (+ 1 2) 5)
#C(3 5)
```

If constructed with mixed types then the higher precision type will be used for both parts.

```
* (type-of #C(1 1))
(COMPLEX (INTEGER 1 1))

* (type-of #C(1.0 1))
(COMPLEX (SINGLE-FLOAT 1.0 1.0))

* (type-of #C(1.0 1d0))
(COMPLEX (DOUBLE-FLOAT 1.0d0 1.0d0))
```

The real and imaginary parts of a complex number can be extracted using realpart and imagpart:

```
* (realpart #C(7 9))
7
* (imagpart #C(4.2 9.5))
9.5
```

13.1.4.1. Complex arithmetic

Common Lisp's mathematical functions generally handle complex numbers, and return complex numbers when this is the true result. For example:

```
* (sqrt -1)

#C(0.0 1.0)

* (exp #C(0.0 0.5))

#C(0.87758255 0.47942555)

* (sin #C(1.0 1.0))

#C(1.2984576 0.63496387)
```

13.2. Reading numbers from strings

The parse-integer function reads an integer from a string.

The <u>parse-number</u> library cannot evaluate arbitrary expressions, so it should be safer to use on untrusted input. It can also parse floats.

```
* (ql:quickload :parse-number)
* (use-package :parse-number)

* (parse-number "23.4e2")
2340.0
6
```

The <u>Serapeum</u> library of course has a parse-float function too. You can even ask it the output type, for example, a double float:

```
* (ql:quickload "serapeum")
* (serapeum:parse-float "23.4e2" :type 'double-float)
```

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```
2340.0d0 ;; ^^ double
```

See the strings section on converting between strings and numbers.

13.3. Converting numbers

Most numerical functions automatically convert types as needed. The coerce function converts objects from one type to another, including numeric types.

See Common Lisp the Language, 2nd Edition, section 12.6.

13.3.1. Convert float to rational

The <u>rational and rationalize functions</u> convert a real numeric argument into a rational. rational assumes that floating point arguments are exact; <u>rationalize</u> exploits the fact that floating point numbers are only exact to their precision, so can often find a simpler rational number.

13.3.2. Convert rational to integer

If the result of a calculation is a rational number where the numerator is a multiple of the denominator, then it is automatically converted to an integer:

```
* (type-of (* 1/2 4))
(INTEGER 0 4611686018427387903)
```

13.4. Rounding floating-point and rational numbers

The <u>ceiling</u>, <u>floor</u>, <u>round</u> and <u>truncate</u> functions convert floating point or rational numbers to integers. The difference between the result and the input is returned as the second value, so that the input is the sum of the two outputs.

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```
* (ceiling 1.42)
2
-0.58000004

* (floor 1.42)
1
0.41999996

* (round 1.42)
1
0.41999996

* (truncate 1.42)
1
0.41999996
```

There is a difference between floor and truncate for negative numbers:

```
* (truncate -1.42)
-1
-0.41999996

* (floor -1.42)
-2
0.58000004

* (ceiling -1.42)
-1
-0.41999996
```

Similar functions fceiling, ffloor, fround and ftruncate return the result as floating point, of the same type as their argument:

```
* (ftruncate 1.3)
1.0
0.29999995

* (type-of (ftruncate 1.3))
SINGLE-FLOAT

* (type-of (ftruncate 1.3d0))
DOUBLE-FLOAT
```

13.5. Comparing numbers

See Common Lisp the Language, 2nd Edition, Section 12.3.

The predicate returns T if all arguments are numerically equal. Note that comparison of floating point numbers includes some margin for error, due to the fact that they cannot represent all real numbers and accumulate errors.

The constant <u>single-float-epsilon</u> is the smallest number which will cause an = comparison to fail, if it is added to 1.0:

```
* (= (+ 1s0 5e-8) 1s0)
T
* (= (+ 1s0 6e-8) 1s0)
NIL
```

Note that this does not mean that a single-float is always precise to within 6e-8:

```
* (= (+ 10s0 4e-7) 10s0)
T
* (= (+ 10s0 5e-7) 10s0)
NTI
```

Instead this means that single-float is precise to approximately seven digits. If a sequence of calculations are performed, then error can accumulate and a larger error margin may be needed. In this case the absolute difference can be compared:

```
* (< (abs (- (+ 10s0 5e-7)
10s0))
1s-6)
```

When comparing numbers with = mixed types are allowed. To test both numerical value and type use eql:

```
* (= 3 3.0)
T
* (eql 3 3.0)
```

13.6. Operating on a series of numbers

Many Common Lisp functions operate on sequences, which can be either lists or vectors (1D arrays). See the section on <u>mapping</u>.

Operations on multidimensional arrays are discussed in this section.

Libraries are available for defining and operating on lazy sequences, including "infinite" sequences of numbers. For example

- <u>Clazy</u> which is on QuickLisp.
- folio2 on QuickLisp. Includes an interface to the
- Series package for efficient sequences.
- <u>lazy-seq</u>.

13.7. Working with Roman numerals

The format function can convert numbers to roman numerals with the ~@r directive:

```
* (format nil "~@r" 42)
"XLII"
```

There is a gist by tormaroe for reading roman numerals.

13.8. Generating random numbers

The random function generates either integer or floating point random numbers, depending on the type of its argument.

```
* (random 10)
7

* (type-of (random 10))
(INTEGER 0 4611686018427387903)
* (type-of (random 10.0))
SINGLE-FLOAT
* (type-of (random 10d0))
DOUBLE-FLOAT
```

In SBCL a <u>Mersenne Twister</u> pseudo-random number generator is used. See section <u>7.13 of the SBCL</u> manual for details.

The random seed is stored in *random-state* whose internal representation is implementation dependent. The function make-random-state can be used to make new random states, or copy existing states.

To use the same set of random numbers multiple times, (make-random-state nil) makes a copy of the current *random-state*:

This generates 10 random numbers in a loop, but each time the sequence is the same because the *random-state* special variable is dynamically bound to a copy of its state before the let form.

Other resources:

• The <u>random-state</u> package is available on QuickLisp, and provides a number of portable random number generators.

13.9. Bit-wise Operation

Common Lisp also provides many functions to perform bit-wise arithmetic operations. Some commonly used ones are listed below, together with their C/C++ equivalence.

Common Lisp C/C++ Description (logand a b c) a & b & c Bit-wise AND of multiple operands (logior a b c) a \mid b \mid c Bit-wise OR of multiple operands (lognot a) ~a Bit-wise NOT of single operands (logxor a b c) a \mid b \mid c Bit-wise exclusive or (XOR) of multiple operands (ash a 3) a << 3 Bit-wise left shift (ash a -3) a >> 3 Bit-wise right shift Negative numbers are treated as two's-complements. If you have forgotten this, please refer to the <u>Wiki page</u>.

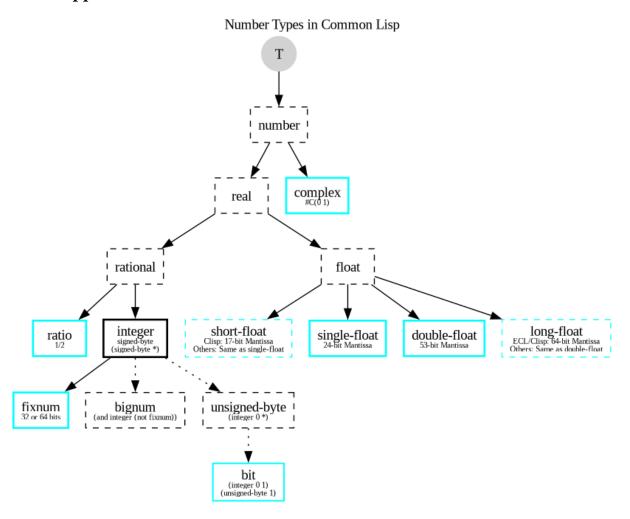
For example:

```
* (logior 1 2 4 8)
;; Explanation:
;;
    0001
    0010
;;
;;
   0100
;; | 1000
;; -----
   1111
;;
* (logand 2 -3 4)
;; Explanation:
;; 0010 (2)
    1101 (two's complement of -3)
;;
;; & 0100 (4)
;; -----
   0000
* (logxor 1 3 7 15)
10
;; Explanation:
    0001
;;
    0011
;;
;; 0111
;; ^ 1111
;; -----
;;
   1010
* (lognot -1)
;; Explanation:
;; 11 -> 00
* (lognot -3)
   101 -> 010
* (ash 3 2)
12
;; Explanation:
;; 11 -> 1100
```

```
* (ash -5 -2)
-2
;; Explanation
;; 11011 -> 110
```

Please see the <u>CLHS page</u> for a more detailed explanation or other bit-wise functions.

13.10. Appendix: the number tower



Types in bold, solid boxes are the ones you will typically use.

14. Loop, iteration, mapping

14.1. Introduction: loop, iterate, for, mapcar, series, transducers

14.1.1. The loop macro (built-in)

loop is the built-in macro for iteration.

Its simplest form is (loop (print "hello")): this will print forever.

A simple iteration over a list is:

It prints what's needed but returns nil.

If you want to return a list, use collect:

```
(loop for x in '(1 2 3)
collect (* x 10))
;; => (10 20 30)
```

The loop macro is different than most Lisp expressions in having a complex internal domain-specific language that doesn't use s-expressions, so you need to read loop expressions with half of your brain in Lisp mode and the other half in loop mode. You love it or you hate it. Usually you hate it for a while and then you love it.

Think of loop expressions as having four parts:

- 1. expressions that set up variables that will be iterated,
- 2. expressions that conditionally terminate the iteration,
- 3. expressions that do something on each iteration, and
- 4. expressions that do something right before the Loop exits.

In addition, loop expressions can return a value. It is very rare to use all of these parts in a given loop expression, but you can combine them in many ways.

The loop clauses can be written in two styles: either as symbols like we did above, either as keywords, like this:

```
(loop :for x :in '(1 2 3) :collect (* x 10))
```

We wrote: for,:in and:collect as keywords.

14.1.2. The iterate library

<u>iterate</u> is a popular iteration macro that aims at being simpler, "lispier" and more predictable than loop, besides being extensible. However it isn't built-in, so you have to import it:

```
(ql:quickload "iterate")
(use-package :iterate)
```

(If you use loop and Iterate in the same package, you might run into name conflicts.)

Iterate looks like this:

```
(iter (for i from 1 to 5)
  (collect (* i i)))
;; => (1 4 9 16 25)
```

Iterate also comes with display-iterate-clauses that can be quite handy:

```
(display-iterate-clauses '(for))
;; FOR PREVIOUS &OPTIONAL INITIALLY BACK Previous value of a variable
;; FOR FIRST THEN Set var on first, and then on subsequent iterations
;; ...
```

Many of the examples on this page that are valid for loop are also valid for Iterate, with minor modifications.

14.1.3. The for library

<u>for</u> is an extensible iteration macro that is often shorter than loop, that "unlike loop is extensible and sensible, and unlike Iterate does not require code-walking and is easier to extend".

It has the other advantage of having one construct that works for all data structures (lists, vectors, hash-tables...): if in doubt, just use for... over...:

```
(for:for ((x over your-data-structure))
    (print ...))
```

You also have to quickload it:

```
(ql:quickload "for")
```

14.1.4. map, mapcar et all (built-in)

We'll also give examples with **mapcar** and map, and eventually with their friends mapcon, mapcan, maplist, mapc and mapl which E. Weitz categorizes very well in his "Common Lisp Recipes", chap. 7. The one you are certainly accustomed to from other languages is mapcar: it takes a function, one or more lists as arguments, applies the function on each *element* of the lists one by one and returns a list of result.

```
(mapcar (lambda (it) (+ it 10)) '(1 2 3));; => (11 12 13)
```

map is generic, it accepts lists and vectors as arguments, and expects the type for its result as first argument:

```
(map 'vector (lambda (it) (+ it 10)) '(1 2 3))
;; => #(11 12 13)

(map 'list (lambda (it) (+ it 10)) #(1 2 3))
;; => (11 12 13)

(map 'string (lambda (it) (code-char it)) '#(97 98 99))
;; => "abc"
```

The other constructs have their advantages in some situations;) They either process the *tails* of lists, or *concatenate* the return values, or don't return anything. We'll see some of them.

If you like mapcar, use it a lot, and would like a quicker and shorter way to write lambdas, we offer a simple macro to you:

```
(defmacro ^ (&rest forms)
  `(lambda ,@forms))
```

Example:

```
(mapcar (^ (nb) (* nb 10)) '(1 2 3))
;; (10 20 30)
```

and voilà:) We won't use this more in this recipe, but feel free.

14.1.5. The series library

You might also like <u>series</u>, a library that describes itself as combining aspects of sequences, streams, and loops. Series expressions look like operations on sequences (= functional programming), but can achieve the same high level of efficiency as loop. Series first appeared in "Common Lisp the Language", in appendix A (it nearly became part of the language). Series looks like this:

```
(collect
  (mapping ((x (scan-range :from 1 :upto 5)))
    (* x x)))
;; (1 4 9 16 25)
```

series is good, but its function names are different from what we find in functional languages today. You might like the "Generators The Way I Want Them Generated" library. It is a lazy sequences library, similar to series although younger and not as complete, with a "modern" API with words like take, filter, for or fold, and that is easy to use.

```
(range :from 20)
;; #<GTWIWTG::GENERATOR! {1001A90CA3}>
(take 4 (range :from 20))
;; (20 21 22 23)
```

At the time of writing, GTWIWTG is licensed under the GPLv3.

14.1.6. The transducers library

The <u>transducers</u> pattern was ported to Common Lisp in 2023 and offers a full suite of functional programming idioms for efficiently iterating over "sources". A "source" could be simple collections like Lists or Vectors, but also potentially large files or generators of infinite data.

Transducers...

- allow the chaining of operations like map and filter without allocating memory between each step,
- aren't tied to any specific data type; they need only be implemented once,
- vastly simplify "data transformation code", and
- have nothing to do with "lazy evaluation".

Let's sum the squares of the first 1000 odd integers:

Here, even though ints is an infinite generator, only as many values as are needed for the final result are actually created.

The user is free to invent their own transducers (i.e. functions like map) and reducers (i.e. functions like +) to traverse data streams in any way they wish, all while being very memory efficient.

See its README, its API, or the original Transducers document for more information.

14.2. Recipes

14.2.1. Looping forever, return

```
(loop (print "hello"))
return can return a result:
(loop for i in '(1 2 3)
          when (> i 1)
          return i)
;; => 2
```

14.2.2. Looping a fixed number of times

14.2.2.1. dotimes

```
(dotimes (n 3)
    (print n))
;; =>
0
1
2
NIL
```

Here dotimes returns nil. There are two ways to return a value. First, you can set a result form in the lambda list:

Or you can use return with return values:

14.2.2.2. loop... repeat

This prints "Hello!" 3 times and returns nil.

```
;; =>
Hello!
Hello!
Hello!
NIL
```

With collect, this returns a list.

14.2.3. Looping an infinite number of times, cycling over a circular list

First, as shown above, we can simply use (loop ...) to loop infinitely. Here we show how to loop on a list forever.

We can build an infinite list by setting its last element to the list itself:

```
(loop with list-a = (list 1 2 3)
    with infinite-list = (setf (cdr (last list-a)) list-a)
    for item in infinite-list
    repeat 8
    collect item)
;; => (1 2 3 1 2 3 1 2)
```

Illustration: (last (list 1 2 3)) is (3), a list, or rather a cons cell, whose car is 3 and cdr is NIL. See the <u>data-structures chapter</u> for a reminder. This is the representation of (list 3):

```
[o|/]
|
3
```

The representation of (list 1 2 3):

By setting the cdr of the last element to the list itself, we make it recur on itself.

A notation shortcut is possible with the #= syntax:

```
(defparameter *list-a* '#1=(1 2 3 . #1#))
(setf *print-circle* t) ;; don't print circular lists forever
;; *list-a*
```

If you need to alternate only between two values, use for ... then:

14.2.4. Iterate's for loop

For lists and vectors:

```
(iter (for item in '(1 2 3))
  (collect (+ item 1)))
;; (2 3 4)

(iter (for i in-vector #(1 2 3))
  (collect (+ item 1)))
;; (2 3 4)
```

or, for a generalized iteration clause for lists and vectors, use in-sequence (you'll pay a speed penalty).

Looping over a hash-table is also straightforward:

In fact, take a look here, or (display-iterate-clauses '(for)) to know about iterating over

- symbols: in-package
- a file or a stream: in-file, or in-stream
- elements: in-sequence (sequences can be vectors or lists).

14.2.5. Looping over a list

```
14.2.5.1. dolist
```

```
(dolist (item '(1 2 3))
  (print item))
;; =>
1
2
3
NIL
```

dolist returns nil.

14.2.5.2. loop

with in, no surprises:

```
(loop for x in '(a b c)
      collect x)
;; => (A B C)
With on, we loop over the cdr of the list:
(loop for i on '(1 2 3) collect i)
;; \Rightarrow ((1 2 3) (2 3) (3))
14.2.5.3. mapcar
(mapcar (lambda (x)
           (* \times 10))
         '(1 2 3))
;; (10 20 30)
mapcar returns the results of the lambda function as a list.
14.2.5.4. Series
(iterate ((item (scan '(1 2 3))))
  (print item))
;; =>
1
2
3
NIL
scan-sublists is the equivalent of loop for ... on:
(iterate ((i (scan-sublists '(1 2 3))))
  (print i))
;; =>
(1 \ 2 \ 3)
(2\ 3)
(3)
NIL
14.2.6. Looping over a vector and a string
14.2.6.1. loop: across
(loop for i across #(1 2 3) collect (+ i 1))
;; (2 3 4)
strings are vectors, so:
(loop for i across "foo" do (format t "~a " i))
;; f o o
;; NIL
14.2.6.2. iterate: in-vector, index-of-vector, in-string
Iterate uses in-vector to iterate through arrays.
(iter (for i in-vector #(100 20 3))
       (sum i))
You can directly assign the index of the vector to a variable by using index-of-vector:
(iter (for i index-of-vector #(100 20 3))
      (format t "~a " i))
```

;; => 0 1 2

14.2.6.3. Series

```
(iterate ((i (scan #(1 2 3))))
  (print i))
;; =>
1
2
3
NTI
```

14.2.7. Looping over a generic sequence

14.2.7.1. loop (nothing)

loop doesn't have one keyword to loop over any kind of sequence.

14.2.7.2. iterate: in-sequence

With iter one can use in-sequence to iterate through a string, a vector (and thus a list).

This can be slower than a specific iteration construct.

14.2.8. Looping over a hash-table

Iterating over a hash-table is possible with loop and other built-ins, with iterate and other libraries.

Note that due to the nature of hash tables you *can't* control the order in which the entries are provided.

Let's create a hash-table for our fowlling examples:

```
(defparameter *my-hash-table* (make-hash-table))
(setf (gethash 'a *my-hash-table*) 1)
(setf (gethash 'b *my-hash-table*) 2)
```

14.2.8.1. loop-ing over keys and values

To loop over keys, use this:

```
(loop :for k :being :the :hash-key :of *my-hash-table* :collect k)
;; (B A)
```

Looping over values uses the same concept but with the :hash-value keyword instead of :hash-key:

```
(loop :for v :being :the :hash-value :of *my-hash-table* :collect v)
;; (2 1)
```

Looping over key-values pairs:

14.2.8.2. maphash

The lambda function of maphash takes two arguments: the key and the value:

14.2.8.3. with-hash-table-iterator

You can also use with-hash-table-iterator, a macro which turns (via macrolet) its first argument into an iterator that on each invocation returns three values per hash table entry:

- a generalized boolean that's true if an entry is returned,
- the key of the entry,
- and the value of the entry.

If there are no more entries, only one value is returned, nil.

For example:

Note the following caveat from the HyperSpec:

It is unspecified what happens if any of the implicit interior state of an iteration is returned outside the dynamic extent of the with-hash-table-iterator form such as by returning some closure over the invocation form.

14.2.8.4. iterate: in-hashtable

Use in-hashtable:

```
(iter (for (k v) in-hashtable *my-hash-table*)
  (collect (list k v)))
;; ((B 2) (A 1))
```

14.2.8.5. Alexandria's maphash-keys and maphash-values

To map over keys or values (and only keys or only values) we can again rely on Alexandria with maphash-keys and maphash-values.

14.2.8.6. Serapeum's do-hash-table

The <u>Serapeum library</u> has a do-like macro called do-hash-table.

```
(do-hash-table (key value table &optional return) &body body)
```

14.2.8.7. for

With the for library, use the over keyword:

```
(for:for ((it over *my-hash-table*))
  (print it))
;; =>
(A 1)
(B 2)
NIL
```

14.2.8.8. trivial-do:dohash

Only because we like this topic, we introduce another library, <u>trivial-do</u>. It has the dohash macro, that ressembles dolist:

```
(dohash (key value *my-hash-table*)
  (format t "key: ~A, value: ~A~%" key value))
;; =>
key: A value: 1
key: B value: 2
NIL

14.2.8.9. Series
(iterate (((k v) (scan-hash *my-hash-table*)))
  (format t "~&~a ~a~%" k v))
;; =>
A 1
B 2
NIL
```

14.2.9. Looping over two lists in parallel

14.2.9.1. loop

```
(loop for x in '(a b c)
            for y in '(1 2 3)
            collect (list x y))
;; ((A 1) (B 2) (C 3))
```

To return a flat list, use nconcing instead of collect:

If a list is smaller than the other one, loop stops at the end of the small one:

```
(loop for x in '(a b c)
for y in '(1 2 3 4 5)
```

```
collect (list x y))
;; ((A 1) (B 2) (C 3))
```

We could loop over the biggest list and manually access the elements of the smaller one by index, but it would quickly be inefficient. Instead, we can tell loop to extend the short list.

```
(loop for y in '(1 2 3 4 5)
        for x-list = '(a b c) then (cdr x-list)
        for x = (or (car x-list) 'z)
        collect (list x y))
;; ((A 1) (B 2) (C 3) (Z 4) (Z 5))
```

The trick is that the notation for $\dots = \dots$ then (cdr \dots) (note the = and the role of then) shortens our intermediate list at each iteration (thanks to cdr). It will first be '(a b c), the initial value, then we will get the cdr: (b c), then (c), then NIL. And both (car NIL) and (cdr NIL) return NIL, so we are good.

```
14.2.9.2. mapcar
```

```
(mapcar (lambda (x y)
          (list x y))
        '(a b c)
        '(1 2 3))
;; ((A 1) (B 2) (C 3))
or simply:
(mapcar 'list '(a b c) '(1 2 3))
;; ((A 1) (B 2) (C 3))
Return a flat list:
(mapcan 'list '(a b c) '(1 2 3))
;; (A 1 B 2 C 3)
14.2.9.3. Series
(collect
  (#Mlist (scan '(a b c))
          (scan '(1 2 3))))
;; ((A 1) (B 2) (C 3))
```

A more efficient way, when the lists are known to be of equal length:

14.2.10. Nested loops

14.2.10.1. loop

To return a flat list, use nconcing instead of the first collect.

14.2.10.2. iterate

```
(iter outer
  (for i below 2)
  (iter (for j below 3)
        (in outer (collect (list i j)))))
;; ((0 0) (0 1) (0 2) (1 0) (1 1) (1 2))
```

14.2.10.3. Series

```
(collect
  (mapping ((x (scan-range :from 1 :upto 3)))
     (collect (scan-range :from 1 :upto x))))
;; ((1) (1 2) (1 2 3))
```

14.2.11. Computing an intermediate value

14.2.11.1. loop

Use for var = ... if you need the value to be computed on each iteration:

Use with var = ... if you only need the value to be computed once:

```
(loop for x from 1 to 3
    for y = (* x 10)
    with z = x
    collect (list x y z))
;; ((1 10 1) (2 20 1) (3 30 1))
```

The HyperSpec defines the with clause like this:

```
with-clause ::= with varl [type-spec] [= form1] {and var2 [type-spec] [= form2]}* so it turns out we can specify the type before the = and chain the with with and:
```

We can also give for a then clause that will be called at each iteration:

Here's a trick to alternate a boolean:

14.2.12. Loop with a counter

14.2.12.1. loop

Iterate through a list, and have a counter iterate in parallel. The first clause to terminate (in this case getting to the end of the list) determines when the iteration ends. Two sets of actions are defined, one of which is executed conditionally. (If do immediately follows a when, unless, or if clause, its actions are only executed when the test returns t.)

We could also write the preceding loop using if and a counter variable y:

14.2.12.2. Series

By iterating on multiple series in parallel, and using an infinite range, we can make a counter.

14.2.13. Ascending and descending order, upper and lower limits: to and below, downto and above

14.2.13.1. loop

```
from... to...:
```

```
(loop for i from 0 to 3 collect i)
;; (0 1 2 3)
from... below...: this stops at 2:
(loop for i from 0 below 3 collect i)
;; (0 1 2)
Similarly, use from 3 downto 0 to get (3 2 1 0) and from 3 above 0 to get (3 2 1).
14.2.13.2. Series
:from ... :upto, including the upper limit:
(iterate ((i (scan-range :from 0 :upto 3)))
  (print i))
;; =>
1
2
3
NIL
:from ... :below, excluding the upper limit:
(iterate ((i (scan-range :from 0 :below 3)))
  (print i))
;; =>
0
1
2
NIL
14.2.14. Steps
14.2.14.1. loop
with by:
(loop for i from 1 to 10 by 2 collect i)
;; (1 3 5 7 9)
The step clause is only evaluated once. If you use by (1+ (random 3)) it is equivalent to this:
(let ((step (1+ (random 3))))
  (loop for i from 1 to 10 by step
         do (print i)))
The step must always be a positive number. If you want to count down, see above.
14.2.14.2. Series
with: by:
(iterate ((i (scan-range :from 1 :upto 10 :by 2)))
  (print i))
14.2.15. Loop and conditionals
14.2.15.1. loop
with if, else and finally:
```

```
*(loop repeat 10
       for x = (random 100)
       if (evenp x)
         collect x into evens
       else
         collect x into odds
       finally (return (values evens odds)))
;; =>
(92 44 58 68)
(95 5 97 43 99 37)
(42 82 24 92 92)
(55 89 59 13 49)
Combining multiple clauses in an if body requires special syntax (and do, and count):
(loop repeat 10
      for x = (random 100)
      if (evenp x)
        collect x into evens
        and do (format t "~a is even!~%" x)
      else
        collect x into odds
        and count t into n-odds
      finally (return (values evens odds n-odds)))
;; =>
46 is even!
8 is even!
76 is even!
58 is even!
0 is even!
(46 8 76 58 0)
(7 45 43 15 69)
14.2.15.2. iterate
```

Translating (or even writing!) the above example using iterate is straight-forward:

14.2.15.3. Series

The preceding loop would be done a bit differently in Series. split sorts one series into multiple according to provided boolean series.

Note that although iterate and the three collect expressions are written sequentially, only one iteration is performed, the same as the example with loop.

14.2.16. Begin the loop with a clause (initially)

The initially forms are evaluated in the loop "prologue", before all loop code. Its counterpart for the end of the loop is finally.

If you tried to modify variables declared just after it, in a :for, :with or :as clause, it would have no effect *inside the loop body*. For example, trying to mutate a and b with initially below:

```
(loop with a = 20 with b = 10
    initially (rotatef a b) ;; warn: too late for a and b. No effect.
    for i from a to b
    do (print i))
;; => NIL
```

this doesn't swap a and b in time in order to loop from 10 to 20. We loop from 20 to 10 and thus we don't loop at all and we return NIL.

However if you print the values of a and b at the end of the loop (try finally (format t "a is ~a, b is ~a" a b)) you'll see that their values were swapped. You'll need to macro-expand the loop snippet to fully get why;) (there are intermediate variables)

initially also exists with iterate.

14.2.17. Terminate the loop with a test (until, while)

14.2.17.1. loop

```
(loop for x in '(1 2 3 4 5)
     until (> x 3)
     collect x)
;; (1 2 3)
```

the same, with while:

```
(loop for x in '(1 2 3 4 5)
      while (< x 4)
      collect x)
;; (1 2 3)</pre>
```

14.2.17.2. Series

We truncate the series with until-if, then collect from its result.

14.2.18. Loop, print and return a result

14.2.18.1. loop

do and collect can be combined in one expression

14.2.18.2. Series

By mapping, we can perform a side effect and also collect items.

14.2.19. Named loops and early exit

14.2.19.1. loop

The special loop named syntax allows you to create a block that can be used with return-from to exit the loop early. This can be especially useful in nested loops.

Sometimes, you want to return early but execute the finally clause anyway. Use loop-finish.

```
(loop for x from 0 to 100
  do (print x)
 when (>= x 3)
    return x
  finally (print :done)) ;; <-- not printed</pre>
0
1
2
3
3
(loop for x from 0 to 100
      do (print x)
      when (>= x 3)
        do (loop-finish)
      finally (print :done)
               (return x))
;; =>
0
1
2
3
:DONE
3
```

It is most needed when some computation must take place in the finally clause.

14.2.19.2. Loop shorthands for when/return: thereis, never, always

Several actions provide shorthands for combinations of when/return:

They correspond to the functions some, notany and every:

```
(some #'numberp '(foo 2)) => T
(notany #'numberp '(foo 2)) => NIL
(every #'numberp '(foo 2)) => NIL
```

14.2.19.3. Series

To exit the iteration early explicitly create a block to use with return-from.

```
0
3
14.2.20. Count
14.2.20.1. loop
(loop for i from 1 to 3 count (oddp i))
;; 2
14.2.20.2. Series
(collect-length (choose-if #'oddp (scan-range :from 1 :upto 3)))
14.2.21. Summation
14.2.21.1. loop
(loop for i from 1 to 3 sum (* i i))
;; 14
Summing into a variable:
(loop for i from 1 to 3
      sum (* i i) into total
      do (print i)
      finally (return total))
;; =>
1
2
3
14
14.2.21.2. Series
(collect-sum (#M(lambda (i) (* i i))
                 (scan-range :from 1 :upto 3)))
;; 14
14.2.22. max, min
14.2.22.1. loop
(loop for i from 1 to 3 maximize (mod i 3))
;; 2
and minimize.
14.2.22.2. Series
(collect-max (#M(lambda (i) (mod i 3))
                 (scan-range :from 1 :upto 3)))
;; 2
and collect-min.
14.2.23. Destructuring, aka pattern matching against the list or dotted pairs
14.2.23.1. loop
(loop for (a b) in '((x 1) (y 2) (z 3))
      collect (list b a))
;; ((1 X) (2 Y) (3 Z))
```

Use nil to ignore a term:

```
(loop for (nil . y) in '((1 . a) (2 . b) (3 . c)) collect y);; (A B C)
```

14.2.23.1.1. Iterating over a plist or 2 by 2 over a list

To iterate over a list two items at a time we use a combination of on, by and destructuring.

We use on to loop over the rest (the cdr) of the list.

We use by to skip one element at every iteration ((cddr list) is equivalent to (rest (rest list)))

Then we add destructuring to bind only the first two items at each iteration:

14.2.23.2. Series

In general, with destructuring-bind:

```
(collect
  (mapping ((l (scan '((x 1) (y 2) (z 3)))))
    (destructuring-bind (a b) l
        (list b a))))
```

But for alists, scan-alist is provided:

```
(collect
  (mapping (((a b) (scan-alist '((1 . a) (2 . b) (3 . c)))))
     (declare (ignore a))
     b))
;; (A B C)
```

14.2.24. Declaring variable types

Declaring types can help the compiler to optimize out code. SBCL is famously good at this.

You can check if the machine code got optimized with a call to disassembly.

14.2.24.1. Loop

Use : of - type:

```
(loop :for i :of-type fixnum :below 10
  :for j :of-type fixnum :from 1
  :sum (* i j))
```

For simple types like fixnum, float, t and nil you can omit:of-type:

```
(loop :for i fixnum :below 10
  :for j fixnum :from 1
  :sum (* i j))
```

You can also precise the type after sum and other accumulation clauses:

```
(loop for i fixnum below 10
  for j fixnum from 1
  sum (* i j) fixnum)

14.2.24.2. Iterate
Use (declare (fixnum i)):
  (iter (for i below 10)
        (for j from 1)
        (declare (fixnum i))
        (sum (* i j)))
```

14.3. Iterate unique features lacking in loop

Iterate has some other things unique to it.

If you are a newcomer to Common Lisp, it's perfectly OK to keep this section for later. You could very well spend your career in Lisp without resorting to these features... although they might turn out useful one day.

14.3.1. No rigid order for clauses

loop requires that all for clauses appear before the loop body, for example before a while. It's ok for iter to not follow this order:

```
(iter (for x in '(1 2 99))
  (while (< x 10))
  (for y = (print x))
  (collect (list x y)))
;; =>
1
2
((1 1) (2 2))
```

14.3.2. Accumulating clauses can be nested

collect, appending and other accumulating clauses can appear anywhere:

```
(iter (for x in '(1 2 3))
  (case x
    (1 (collect :a))
    ;; ^^ iter keyword, nested in a s-expression.
    (2 (collect :b))))
```

14.3.3. Finders: finding

iterate has finders.

A finder is a clause whose value is an expression that meets some condition.

We can use finding followed by maximizing, minimizing or such-that.

Here's how to find the longest list in a list of lists:

```
(iter (for elt in '((a) (b c d) (e f)))
      (finding elt maximizing (length elt)))
;; (B C D)
```

The rough equivalent in LOOP would be:

We can also write such-that #'evenp and such-that #'oddp. Note that such-that 'oddp will not work.

14.3.4. Control flow: next-iteration

It is like "continue" and loop doesn't have it.

Skips the remainder of the loop body and begins the next iteration of the loop.

iterate also has first-iteration-p and (if-first-time then else).

See control flow.

14.3.5. Generators

A generator is lazy, it goes to the next value when said explicitly.

Use generate and next:

14.3.6. Variable backtracking (previous) VS parallel binding

iterate allows us to get the previous value of a variable:

```
(iter (for el in '(a b c d e))
          (for prev-el previous el)
          (collect (list el prev-el)))
;; ((A NIL) (B A) (C B) (D C) (E D))
```

In this case however we can do it with loop's parallel binding and, which is unsupported in iterate:

```
(loop for el in '(a b c d e)
        and prev-el = nil then el
        collect (list el prev-el))
;; ((A NIL) (B A) (C B) (D C) (E D))
```

14.3.7. More clauses

• in-string can be used explicitly to iterate character by character over a string. With loop, use across.

• loop offers collecting, nconcing, and appending. iterate has these and also adjoining, unioning, nunioning, and accumulating.

```
(iter (for el in '(a b c a d b))
          (adjoining el))
;; (A B C D)
```

(adjoin is a set operation.)

• loop has summing, counting, maximizing, and minimizing. iterate also includes multiplying and reducing. Reducing is the generalized reduction builder:

```
(iter (with dividend = 100)
          (for divisor in '(10 5 2))
          (reducing divisor by #'/ initial-value dividend))
:: 1
```

14.3.8. Iterate is extensible

```
(defmacro dividing-by (num &key (initial-value 0))
  `(reducing ,num by #'/ initial-value ,initial-value))
;; DIVIDING-BY
(iter (for i in '(10 5 2))
        (dividing-by i :initial-value 100))
;; 1
```

but there is more to it, see the documentation.

We saw libraries extending loop, for example <u>CLSQL</u>, but they are full of feature flag checks (#+(or allegro clisp-aloop cmu openmcl sbcl scl)) and they call internal modules (ansi-loop::add-loop-path, sb-loop::add-loop-path etc).

14.4. Custom series scanners

If we often scan the same type of object, we can write our own scanner for it: the iteration itself can be factored out. Taking the example above, of scanning a list of two-element lists, we'll write a scanner that returns a series of the first elements and a series of the second.

14.5. Shorter series expressions

Consider this series expression:

It's a bit longer than it needs to be, the mapping form's only purpose is to bind the variable i, and i is used in only one place. Series has a "hidden feature" that allows us to simplify this expression to the following:

```
(collect-sum (* 2 (scan-range :length 5)))
```

This is called implicit mapping and can be enabled in the call to series::install:

```
(series::install :implicit-map t)
```

When using implicit mapping, the #M reader macro demonstrated above becomes redundant.

14.6. Loop gotchas

• The keyword it, often used in functional constructs, can be recognized as a loop keyword. Don't use it inside a loop.

```
(loop for i from 1 to 5 when (evenp i) collect it)
;; (T T)
```

14.7. Iterate gotchas

It breaks on the function count:

```
(iter (for i from 1 to 10)
(sum (count i '(1 3 5))))
```

It doesn't recognize the built-in count function and instead signals a condition.

It works in loop:

```
(loop for i from 1 to 10
    sum (count i '(1 3 5 99)))
;; 3
```

14.8. Appendix: list of loop keywords

Name Clause

named

Variable Clauses

initially finally for as with

Main Clauses

```
do collect collecting append
appending nconc nconcing into count
counting sum summing maximize return loop-finish
maximizing minimize minimizing doing
thereis always never if when
unless repeat while until
```

These don't introduce clauses:

```
= and it else end from upfrom
above below to upto downto downfrom
in on then across being each the hash-key
```

hash-keys of using hash-value hash-values symbol symbols present-symbol present-symbols external-symbol external-symbols fixnum float t nil of-type

But note that it's the parsing that determines what is a keyword. For example in:

(loop for key in hash-values)

Only for and in are keywords.

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14.9. Credit and references

14.9.1. Loop

- Tutorial for the Common Lisp Loop Macro by Peter D. Karp
- Common Lisp's Loop Macro Examples for Beginners by Yusuke Shinyama
- <u>Section 6.1 The LOOP Facility, of the draft Common Lisp Standard (X3J13/94-101R)</u> the (draft) standard provides background information on Loop development, specification and examples. <u>Single PDF file available</u>
- 26. Loop by Jon L White, edited and expanded by Guy L. Steele Jr.
 - from the book "Common Lisp the Language, 2nd Edition". Strong connection to the draft above, with supplementing comments and examples.

14.9.2. Iterate

- The Iterate Manual -by Jonathan Amsterdam and Luís Oliveira
- iterate Pseudocodic Iteration by Shubhamkar Ayare
- <u>Loop v Iterate SabraOnTheHill</u>
- Comparing loop and iterate by Stephen Bach (web archive)

14.9.3. Series

- Common Lisp the Language (2nd Edition) Appendix A. Series
- SERIES for Common Lisp Richard C. Waters

14.9.4. Others

• See also: more functional constructs (do-repeat, take,...)

15. Multidimensional arrays

Common Lisp has native support for multidimensional arrays, with some special treatment for 1-D arrays, called vectors. Arrays can be *generalised* and contain any type (element-type t), or they can be *specialised* to contain specific types such as single-float or integer. A good place to start is <u>Practical Common Lisp Chapter 11, Collections</u> by Peter Seibel.

A quick reference to some common operations on arrays is given in the section on <u>Arrays and</u> vectors.

Some libraries available on <u>Quicklisp</u> for manipulating arrays:

- <u>array-operations</u>, from the lisp-stat project, defines the functions generate, permute, displace, flatten, split, combine, reshape. It also defines each, for element-wise operations. This is a fork of <u>bendudson/array-operations</u> which is a fork of <u>tpapp/array-operations</u>, the original author.
- <u>cmu-infix</u> includes array indexing syntax for multidimensional arrays.
- <u>lla</u> is a library for linear algebra, calling BLAS and LAPACK libraries. It differs from most CL linear algebra packages in using intuitive function names, and can operate on native arrays as well as CLOS objects.

This page covers what can be done with the built-in multidimensional arrays, but there are limitations. In particular:

- Interoperability with foreign language arrays, for example when calling libraries such as BLAS, LAPACK or GSL.
- Extending arithmetic and other mathematical operators to handle arrays, for example so that (+ a b) works when a and/or b are arrays.

Both of these problems can be solved by using CLOS to define an extended array class, with native arrays as a special case. Some libraries available through <u>quicklisp</u> which take this approach are:

- matlisp, some of which is described in sections below.
- MGL-MAT, which has a manual and provides bindings to BLAS and CUDA. This is used in a machine learning library MGL.
- <u>cl-ana</u>, a data analysis package with a manual, which includes operations on arrays.
- Antik, used in GSLL, a binding to the GNU Scientific Library.

A relatively new but actively developed package is <u>MAGICL</u>, which provides wrappers around BLAS and LAPACK libraries. At the time of writing this package is not on Quicklisp, and only works under SBCL and CCL. It seems to be particularly focused on complex arrays, but not exclusively. To install, clone the repository in your quicklisp local-projects directory e.g. under Linux/Unix:

- \$ cd ~/quicklisp/local-projects
- \$ git clone https://github.com/rigetticomputing/magicl.git

Instructions for installing dependencies (BLAS, LAPACK and Expokit) are given on the <u>github web pages</u>. Low-level routines wrap foreign functions, so have the Fortran names e.g magicl.lapack-cffi::%zgetrf. Higher-level interfaces to some of these functions also exist, see the <u>source directory</u> and <u>documentation</u>.

Taking this further, domain specific languages have been built on Common Lisp, which can be used for numerical calculations with arrays. At the time of writing the most widely used and supported of these are:

- Maxima
- Axiom

<u>CLASP</u> is a project which aims to ease interoperability of Common Lisp with other languages (particularly C++), by using <u>LLVM</u>. One of the main applications of this project is to numerical/scientific computing.

15.1. Creating

The function <u>CLHS</u>: make-array can create arrays filled with a single value

```
* (defparameter *my-array* (make-array '(3 2) :initial-element 1.0))
*MY-ARRAY*
* *my-array*
#2A((1.0 1.0) (1.0 1.0))
```

More complicated array values can be generated by first making an array, and then iterating over the elements to fill in the values (see section below on element access).

The <u>array-operations</u> library provides generate, a convenient function for creating arrays which wraps this iteration.

```
* (ql:quickload :array-operations)
To load "array-operations":
  Load 1 ASDF system:
    array-operations
; Loading "array-operations"

(:ARRAY-OPERATIONS)

* (aops:generate #'identity 7 :position)
#(0 1 2 3 4 5 6)
```

Note that the nickname for array-operations is aops. The generate function can also iterate over the array subscripts by passing the key :subscripts. See the <u>Array Operations manual on generate</u> for more examples.

15.1.1. Random numbers

To create an 3x3 array containing random numbers drawn from a uniform distribution, generate can be used to call the CL <u>random</u> function:

```
* (aops:generate (lambda () (random 1.0)) '(3 3))
#2A((0.99292254 0.929777 0.93538976)
(0.31522608 0.45167792 0.9411855)
(0.96221936 0.9143338 0.21972346))
```

An array of Gaussian (normal) random numbers with mean of zero and standard deviation of one, using the <u>alexandria</u> package:

```
* (ql:quickload :alexandria)
To load "alexandria":
    Load 1 ASDF system:
        alexandria
; Loading "alexandria"

(:ALEXANDRIA)

* (aops:generate #'alexandria:gaussian-random 4)
#(0.5522547885338768d0 -1.2564808468164517d0 0.9488161476129733d0 -0.10372852118266523d0)
```

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Note that this is not particularly efficient: It requires a function call for each element, and although gaussian-random returns two random numbers, only one of them is used.

For more efficient implementations, and a wider range of probability distributions, there are packages available on Quicklisp. See <u>CLiki</u> for a list.

15.2. Accessing elements

To access the individual elements of an array there are the <u>aref</u> and <u>row-major-aref</u> functions.

The <u>aref</u> function takes the same number of index arguments as the array has dimensions. Indexing is from 0 and row-major as in C, but not Fortran.

```
* (defparameter *a* #(1 2 3 4))
*A*

* (aref *a* 0)
1

* (aref *a* 3)
4

* (defparameter *b* #2A((1 2 3) (4 5 6)))
*B*

* (aref *b* 1 0)
4

* (aref *b* 0 2)
3
```

The range of these indices can be found using <u>array-dimensions</u>:

```
* (array-dimensions *a*)
(4)
* (array-dimensions *b*)
(2 3)
```

or the rank of the array can be found, and then the size of each dimension queried:

```
* (array-rank *a*)
1
* (array-dimension *a* 0)
4
* (array-rank *b*)
2
* (array-dimension *b* 0)
2
* (array-dimension *b* 1)
3
```

To loop over an array nested loops can be used, such as:

```
A utility macro which does this for multiple dimensions is nested-loop:
(defmacro nested-loop (syms dimensions &body body)
  "Iterates over a multidimensional range of indices.
   SYMS must be a list of symbols, with the first symbol
   corresponding to the outermost loop.
   DIMENSIONS will be evaluated, and must be a list of
   dimension sizes, of the same length as SYMS.
   Example:
    (nested-loop (i j) '(10 20) (format t '~a ~a~%' i j))
  (unless syms (return-from nested-loop `(progn ,@body))) ; No symbols
  ;; Generate gensyms for dimension sizes
  (let* ((rank (length syms))
         ;; reverse our symbols list,
         ;; since we start from the innermost.
         (syms-rev (reverse syms))
         ;; innermost dimension first:
         (dims-rev (loop for i from 0 below rank
                         collecting (gensym)))
         ;; start with innermost expression
         (result `(progn ,@body)))
    ;; Wrap previous result inside a loop for each dimension
    (loop for sym in syms-rev for dim in dims-rev do
         (unless (symbolp sym)
           (error "~S is not a symbol. First argument to nested-loop must be a list
of symbols" sym))
         (setf result
                `(loop for ,sym from 0 below ,dim do
                     ,result)))
    ;; Add checking of rank and dimension types,
    ;; and get dimensions into gensym list.
    (let ((dims (gensym)))
      `(let ((,dims ,dimensions))
         (unless (= (length ,dims) ,rank)
           (error "Incorrect number of dimensions: Expected ~a but got ~a" ,rank
(length ,dims)))
         (dolist (dim ,dims)
           (unless (integerp dim)
             (error "Dimensions must be integers: ~S" dim)))
         ;; dimensions reversed so that innermost is last:
         (destructuring-bind ,(reverse dims-rev) ,dims
           ,result)))))
so that the contents of a 2D array can be printed using:
* (defparameter a #2A((1 2 3) (4 5 6)))
* (nested-loop (i j) (array-dimensions a)
      (format t "a[\sima \sima] = \sima\sim%" i j (aref a i j)))
```

 $a[1\ 2] = 6$

```
a[0 \ 0] = 1
a[0 \ 1] = 2
a[0\ 2] = 3
a[1 \ 0] = 4
a[1 \ 1] = 5
a[1\ 2] = 6
NIL
```

[Note: This macro is available in this fork of array-operations, but not Quicklisp]

15.2.1. Row major indexing

In some cases, particularly element-wise operations, the number of dimensions does not matter. To write code which is independent of the number of dimensions, array element access can be done using a single flattened index via <u>row-major-aref</u>. The array size is given by <u>array-total-size</u>, with the flattened index starting at 0.

```
* (defparameter a #2A((1 2 3) (4 5 6)))
Α
* (array-total-size a)
* (loop for i from 0 below (array-total-size a) do
     (setf (row-major-aref a i) (+ 2.0 (row-major-aref a i))))
NIL
#2A((3.0 4.0 5.0) (6.0 7.0 8.0))
```

15.2.2. Infix syntax

The cmu-infix library provides some different syntax which can make mathematical expressions easier to read:

```
* (ql:quickload :cmu-infix)
To load "cmu-infix":
  Load 1 ASDF system:
    cmu-infix
; Loading "cmu-infix"
(:CMU-INFIX)
* (named-readtables:in-readtable cmu-infix:syntax)
(("COMMON-LISP-USER" . #<NAMED-READTABLE CMU-INFIX:SYNTAX {10030158B3}>)
* (defparameter arr (make-array '(3 2) :initial-element 1.0))
* \#i(arr[0\ 1] = 2.0)
2.0
* arr
#2A((1.0 2.0) (1.0 1.0) (1.0 1.0))
A matrix-matrix multiply operation can be implemented as:
(let ((A #2A((1 2) (3 4)))
      (B \#2A((5 6) (7 8)))
```

```
(result (make-array '(2 2) :initial-element 0.0)))
```

See the section below on linear algebra, for alternative matrix-multiply implementations.

15.3. Element-wise operations

To multiply two arrays of numbers of the same size, pass a function to each in the <u>array-operations</u> library:

```
* (aops:each #'* #(1 2 3) #(2 3 4))
#(2 6 12)
```

For improved efficiency there is the aops: each* function, which takes a type as first argument to specialise the result array.

To add a constant to all elements of an array:

```
* (defparameter *a* #(1 2 3 4))
*A*
* (aops:each (lambda (it) (+ 42 it)) *a*)
#(43 44 45 46)
* *a*
#(1 2 3 4)
```

Note that each is not destructive, but makes a new array. All arguments to each must be arrays of the same size, so (aops:each #'+ 42 *a*) is not valid.

15.3.1. Vectorising expressions

An alternative approach to the each function above, is to use a macro to iterate over all elements of an array:

```
(defmacro vectorize (variables &body body)
  ;; Check that variables is a list of only symbols
  (dolist (var variables)
    (if (not (symbolp var))
        (error "~S is not a symbol" var)))
    ;; Get the size of the first variable, and create a new array
    ;; of the same type for the result
    `(let ((size (array-total-size ,(first variables))) ; Total array size (same for
all variables)
           (result (make-array (array-dimensions ,(first variables)); Returned array
                               :element-type (array-element-type ,(first
variables)))))
       ;; Check that all variables have the same sizeo
       ,@(mapcar (lambda (var) `(if (not (equal (array-dimensions ,(first variables))
                                                (array-dimensions ,var)))
                                    (error "~S and ~S have different dimensions" ',
(first variables) ',var)))
              (rest variables))
       (dotimes (indx size)
         ;; Locally redefine variables to be scalars at a given index
         (let ,(mapcar (lambda (var) (list var `(row-major-aref ,var indx)))
```

```
variables)
    ;; User-supplied function body now evaluated for each index in turn
        (setf (row-major-aref result indx) (progn ,@body))))
    result))
```

[Note: Expanded versions of this macro are available in <u>this fork</u> of array-operations, but not Quicklisp]

This can be used as:

```
* (defparameter *a* #(1 2 3 4))
*A*

* (vectorize (*a*) (* 2 *a*))
#(2 4 6 8)
```

Inside the body of the expression (second form in vectorize expression) the symbol *a* is bound to a single element. This means that the built-in mathematical functions can be used:

```
* (defparameter a #(1 2 3 4))
A
* (defparameter b #(2 3 4 5))
B
* (vectorize (a b) (* a (sin b)))
#(0.9092974 0.28224 -2.2704074 -3.8356972)
and combined with cmu-infix:
* (vectorize (a b) #i(a * sin(b)) )
#(0.9092974 0.28224 -2.2704074 -3.8356972)
```

15.3.2. Calling BLAS

Several packages provide wrappers around BLAS, for fast matrix manipulation.

The <u>lla</u> package in quicklisp includes calls to some functions:

15.3.2.1. Scale an array

scaling by a constant factor:

```
* (defparameter a #(1 2 3))
* (lla:scal! 2.0 a)
* a
#(2.0d0 4.0d0 6.0d0)
```

15.3.2.2. AXPY

This calculates a * x + y where a is a constant, x and y are arrays. The lla:axpy! function is destructive, modifying the last argument (y).

```
* (defparameter x #(1 2 3))

A

* (defparameter y #(2 3 4))

B

* (lla:axpy! 0.5 x y)

#(2.5d0 4.0d0 5.5d0)

* x

#(1.0d0 2.0d0 3.0d0)

* y

#(2.5d0 4.0d0 5.5d0)
```

If the y array is complex, then this operation calls the complex number versions of these operators:

15.3.3. Reductions

20.0d0

The <u>reduce</u> function operates on sequences, including vectors (1D arrays), but not on multidimensional arrays. To get around this, multidimensional arrays can be displaced to create a 1D vector. Displaced arrays share storage with the original array, so this is a fast operation which does not require copying data:

```
* (defparameter a #2A((1 2) (3 4)))
A
* (reduce #'max (make-array (array-total-size a) :displaced-to a))
4
```

The array-operations package contains flatten, which returns a displaced array i.e doesn't copy data:

```
* (reduce #'max (aops:flatten a))
```

An SBCL extension, <u>array-storage-vector</u> provides an efficient but not portable way to achieve the same thing:

```
* (reduce #'max (array-storage-vector a))
4
```

More complex reductions are sometimes needed, for example finding the maximum absolute difference between two arrays. Using the above methods we could do:

This involves allocating an array to hold the intermediate result, which for large arrays could be inefficient. Similarly to vectorize defined above, a macro which does not allocate can be defined as:

```
(defmacro vectorize-reduce (fn variables &body body)
  "Performs a reduction using FN over all elements in a vectorized expression
  on array VARIABLES.
VARIABLES must be a list of symbols bound to arrays.
```

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```
Each array must have the same dimensions. These are
   checked at compile and run-time respectively.
  ;; Check that variables is a list of only symbols
  (dolist (var variables)
    (if (not (symbolp var))
        (error "~S is not a symbol" var)))
  (let ((size (gensym)) ; Total array size (same for all variables)
        (result (gensym)) ; Returned value
        (indx (gensym))) ; Index inside loop from 0 to size
    ;; Get the size of the first variable
     (let ((,size (array-total-size ,(first variables))))
       ;; Check that all variables have the same size
       ,@(mapcar (lambda (var) `(if (not (equal (array-dimensions ,(first variables))
                                                (array-dimensions ,var)))
                                    (error "~S and ~S have different dimensions" ',
(first variables) ',var)))
              (rest variables))
       ;; Apply FN with the first two elements (or fewer if size < 2)
       (let ((,result (apply ,fn (loop for ,indx below (min ,size 2) collecting
                                      (let ,(map 'list (lambda (var) (list var `(row-
major-aref ,var ,indx))) variables)
                                        (progn ,@body)))))
         ;; Loop over the remaining indices
         (loop for ,indx from 2 below ,size do
            ;; Locally redefine variables to be scalars at a given index
              (let ,(mapcar (lambda (var) (list var `(row-major-aref ,var ,indx)))
variables)
                ;; User-supplied function body now evaluated for each index in turn
                (setf ,result (funcall ,fn ,result (progn ,@body)))))
         ,result))))
```

[Note: This macro is available in this fork of array-operations, but not Quicklisp]

Using this macro, the maximum value in an array A (of any shape) is:

```
* (vectorize-reduce #'max (a) a)
```

The maximum absolute difference between two arrays A and B, of any shape as long as they have the same shape, is:

```
* (vectorize-reduce #'max (a b) (abs (- a b)))
```

15.4. Linear algebra

Several packages provide bindings to BLAS and LAPACK libraries, including:

- lla
- MAGICL

A longer list of available packages is on CLiki's linear algebra page.

In the examples below the lla package is loaded:

```
* (ql:quickload :lla)
```

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```
To load "lla":
Load 1 ASDF system:
lla
; Loading "lla"
.
(:LLA)
```

15.4.1. Matrix multiplication

The <u>lla</u> function mm performs vector-vector, matrix-vector and matrix-matrix multiplication.

15.4.1.1. Vector dot product

Note that one vector is treated as a row vector, and the other as column:

```
* (lla:mm #(1 2 3) #(2 3 4))
20
```

15.4.1.2. Matrix-vector product

```
* (lla:mm #2A((1 1 1) (2 2 2) (3 3 3)) #(2 3 4))
#(9.0d0 18.0d0 27.0d0)
```

which has performed the sum over j of A[i j] * x[j]

15.4.1.3. Matrix-matrix multiply

```
* (lla:mm #2A((1 2 3) (1 2 3) (1 2 3)) #2A((2 3 4) (2 3 4) (2 3 4)))
#2A((12.0d0 18.0d0 24.0d0) (12.0d0 18.0d0 24.0d0) (12.0d0 18.0d0 24.0d0))
```

which summed over j in A[i j] * B[j k]

Note that the type of the returned arrays are simple arrays, specialised to element type double-float

```
* (type-of (lla:mm #2A((1 0 0) (0 1 0) (0 0 1)) #(1 2 3)))
(SIMPLE-ARRAY DOUBLE-FLOAT (3))
```

15.4.1.4. Outer product

The <u>array-operations</u> package contains a generalised <u>outer product</u> function:

```
* (ql:quickload :array-operations)
To load "array-operations":
  Load 1 ASDF system:
    array-operations
; Loading "array-operations"

(:ARRAY-OPERATIONS)
* (aops:outer #'* #(1 2 3) #(2 3 4))
#2A((2 3 4) (4 6 8) (6 9 12))
```

which has created a new 2D array A[i j] = B[i] * C[j]. This outer function can take an arbitrary number of inputs, and inputs with multiple dimensions.

15.4.2. Matrix inverse

The direct inverse of a dense matrix can be calculated with invert

```
* (lla:invert #2A((1 0 0) (0 1 0) (0 0 1)))
#2A((1.0d0 0.0d0 -0.0d0) (0.0d0 1.0d0 -0.0d0) (0.0d0 0.0d0 1.0d0))
e.g
```

Calculating the direct inverse is generally not advisable, particularly for large matrices. Instead the <u>LU decomposition</u> can be calculated and used for multiple inversions.

```
* (defparameter a #2A((1 2 3) (0 2 1) (1 3 2)))
A
* (defparameter b (lla:mm a #(1 2 3)))
B
* (lla:solve (lla:lu a) b)
#(1.0d0 2.0d0 3.0d0)
```

15.4.3. Singular value decomposition

The svd function calculates the <u>singular value decomposition</u> of a given matrix, returning an object with slots for the three returned matrices:

The diagonal matrix (singular values) and vectors can be accessed with functions:

15.5. Matlisp

The <u>Matlisp</u> scientific computation library provides high performance operations on arrays, including wrappers around BLAS and LAPACK functions. It can be loaded using quicklisp:

```
* (ql:quickload :matlisp)
```

The nickname for matlisp is m. To avoid typing matlisp: or m: in front of each symbol, you can define your own package which uses matlisp (See the <u>PCL section on packages</u>):

```
* (defpackage :my-new-code
     (:use :common-lisp :matlisp))
#<PACKAGE "MY-NEW-CODE">
* (in-package :my-new-code)
and to use the #i infix reader (note the same name as for cmu-infix), run:
* (named-readtables:in-readtable :infix-dispatch-table)
15.5.1. Creating tensors
* (matlisp:zeros '(2 2))
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: DOUBLE-FLOAT>| #(2 2)
  0.000
           0.000
  0.000
           0.000
Note that by default matrix storage types are double-float. To create a complex array using zeros,
ones and eye, specify the type:
* (matlisp:zeros '(2 2) '((complex double-float)))
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: (COMPLEX DOUBLE-FLOAT)>| #(2 2)
  0.000
         0.000
  0.000
           0.000
As well as zeros and ones there is eye which creates an identity matrix:
* (matlisp:eye '(3 3) '((complex double-float)))
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: (COMPLEX DOUBLE-FLOAT)>| #(3 3)
  1.000
         0.000 0.000
```

15.5.1.1. Ranges

1.000

0.000

0.000

0.000

To generate 1D arrays there are the range and linspace functions:

0.000

1.000

```
* (matlisp:range 1 10)
#<|<SIMPLE-DENSE-TENSOR: (INTEGER 0 4611686018427387903)>| #(9)
1 2 3 4 5 6 7 8 9
>
```

The range function rounds down it's final argument to an integer:

```
* (matlisp:range 1 -3.5)
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: SINGLE-FLOAT>| #(5)
1.000  0.000  -1.000  -2.000  -3.000
>
* (matlisp:range 1 3.3)
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: SINGLE-FLOAT>| #(3)
1.000  2.000  3.000
```

Linspace is a bit more general, and the values returned include the end point.

```
* (matlisp:linspace 1 10)
#<|<SIMPLE-DENSE-TENSOR: (INTEGER 0 4611686018427387903)>| #(10)
1 2 3 4 5 6 7 8 9 10
>
* (matlisp:linspace 0 (* 2 pi) 5)
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: DOUBLE-FLOAT>| #(5)
0.000 1.571 3.142 4.712 6.283
>
```

Currently linspace requires real inputs, and doesn't work with complex numbers.

15.5.1.2. Random numbers

There are functions for other distributions, including random-exponential, random-beta, random-gamma and random-pareto.

15.5.1.3. Reader macros

The #d and #e reader macros provide a way to create double-float and single-float tensors:

Note that the comma separators are needed.

15.5.1.4. Tensors from arrays

Common lisp arrays can be converted to Matlisp tensors by copying:

```
* (copy #2A((1 2 3)
	(4 5 6))
	'#.(tensor 'double-float))
#<|<BLAS-MIXIN SIMPLE-DENSE-TENSOR: DOUBLE-FLOAT>| #(2 3)
1.000 2.000 3.000
4.000 5.000 6.000
```

Instances of the tensor class can also be created, specifying the dimensions. The internal storage of tensor objects is a 1D array (simple-vector) in a slot store.

For example, to create a double-float type tensor:

```
(make-instance (tensor 'double-float)
   :dimensions (coerce '(2) '(simple-array index-type (*)))
   :store (make-array 2 :element-type 'double-float))
```

15.5.1.5. Arrays from tensors

The array store can be accessed using slots:

```
* (defparameter vec (m:range 0 5))
* vec
#<|<SIMPLE-DENSE-TENSOR: (INTEGER 0 4611686018427387903)>| #(5)
0  1  2  3  4
>
* (slot-value vec 'm:store)
#(0 1 2 3 4)
```

Multidimensional tensors are also stored in 1D arrays, and are stored in column-major order rather than the row-major ordering used for common lisp arrays. A displaced array will therefore be transposed.

The contents of a tensor can be copied into an array

```
* (let ((tens (m:ones '(2 3))))
          (m:copy tens 'array))
#2A((1.0d0 1.0d0 1.0d0) (1.0d0 1.0d0 1.0d0))
or a list:
* (m:copy (m:ones '(2 3)) 'cons)
((1.0d0 1.0d0 1.0d0 1.0d0 1.0d0))
```

15.5.2. Element access

The ref function is the equivalent of aref for standard CL arrays, and is also setf-able:

15.5.3. Element-wise operations

The matlisp-user package, loaded when matlisp is loaded, contains functions for operating element-wise on tensors.

This includes arithmetic operators '+', '-', '*','/' and 'expt', but also sqrt,sin,cos,tan, hyperbolic functions, and their inverses. The #i reader macro recognises many of these, and uses the matlisp-user functions:

```
* (let ((a (ones '(2 2)))
(b (random-normal '(2 2))))
```

16. Dates and Times

Common Lisp provides two different ways of looking at time: universal time, meaning time in the "real world", and run time, meaning time as seen by your computer's CPU. We will deal with both of them separately.

16.1. Built-in time functions

16.1.1. Universal Time

Universal time is represented as the number of seconds that have elapsed since 00:00 of January 1, 1900 in the GMT time zone. The function get-universal-time returns the current universal time:

```
CL-USER> (get-universal-time)
3220993326
```

Of course this value is not very readable, so you can use the function <u>decode-universal-time</u> to turn it into a "calendar time" representation:

```
CL-USER> (decode-universal-time 3220993326)
6
22
19
25
1
2002
4
NIL
```

NB: in the next section we'll use the local-time library to get more user-friendy functions, such as (local-time:universal-to-timestamp (get-universal-time)) which returns @2021-06-25T09:16:29.000000+02:00.

This call to decode-universal-time returns nine values:

```
seconds, minutes, hours, day, month, year, day of the week, daylight savings time flag and time zone
```

Note that the day of the week is represented as an integer in the range 0..6 with 0 being Monday and 6 being Sunday. Also, the **time zone** is represented as the number of hours you need to add to the current time in order to get GMT time.

So in this example the decoded time would be 19:22:06 of Friday, January 25, 2002, in the EST time zone, with no daylight savings in effect. This, of course, relies on the computer's own clock, so make sure that it is set correctly (including the time zone you are in and the DST flag). As a shortcut, you can use <u>get-decoded-time</u> to get the calendar time representation of the current time directly:

```
CL-USER> (get-decoded-time)
is equivalent to
CL-USER> (decode-universal-time (get-universal-time))
```

Here is an example of how to use these functions in a program (but frankly, use the local-time library instead):

Of course the call to <code>get-decoded-time</code> above could be replaced by (<code>decode-universal-time n</code>), where n is any integer number, to print an arbitrary date. You can also go the other way around: the function <code>encode-universal-time</code> lets you encode a calendar time into the corresponding universal time. This function takes six mandatory arguments (seconds, minutes, hours, day, month and year) and one optional argument (the time zone) and it returns a universal time:

```
CL-USER> (encode-universal-time 6 22 19 25 1 2002) 3220993326
```

Note that the result is automatically adjusted for daylight savings time if the time zone is not supplied. If it is supplied, than Lisp assumes that the specified time zone already accounts for daylight savings time, and no adjustment is performed.

Since universal times are simply numbers, they are easier and safer to manipulate than calendar times. Dates and times should always be stored as universal times if possible, and only converted to string representations for output purposes. For example, it is straightforward to know which of two dates came before the other, by simply comparing the two corresponding universal times with <.

16.1.2. Internal Time

Internal time is the time as measured by your Lisp environment, using your computer's clock. It differs from universal time in three important respects. First, internal time is not measured starting from a specified point in time: it could be measured from the instant you started your Lisp, from the instant you booted your machine, or from any other arbitrary time point in the past. As we will see shortly, the absolute value of an internal time is almost always meaningless; only differences between internal times are useful. The second difference is that internal time is not measured in seconds, but in a (usually smaller) unit whose value can be deduced from internal-time-units-per-second:

```
CL-USER> internal-time-units-per-second 1000
```

This means that in the Lisp environment used in this example, internal time is measured in milliseconds.

Finally, what is being measured by the "internal time" clock? There are actually two different internal time clocks in your Lisp:

- one of them measures the passage of "real" time (the same time that universal time measures, but in different units), and
- the other one measures the passage of CPU time, that is, the time your CPU spends doing actual computation for the current Lisp process.

On most modern computers these two times will be different, since your CPU will never be entirely dedicated to your program (even on single-user machines, the CPU has to devote part of its time to processing interrupts, performing I/O, etc). The two functions used to retrieve internal times are called get-internal-real-time and get-internal-run-time respectively. Using them, we can solve the above problem about measuring a function's run time, which is what the time built-in macro does.

```
CL-USER> (time (sleep 1))

Evaluation took:

1.000 seconds of real time

0.000049 seconds of total run time (0.000044 user, 0.000005 system)

0.00% CPU

2,594,553,447 processor cycles

0 bytes consed
```

16.2. The local-time library

The <u>local-time</u> library (<u>GitHub</u>) is a very handy extension to the somewhat limited functionalities as defined by the standard.

In particular, it can

- print timestamps in various standard or custom formats (e.g. RFC1123 or RFC3339)
- · parse timestrings,
- perform time arithmetic,
- convert Unix times, timestamps, and universal times to and from.

We present below what we find the most useful functions. See its <u>manual</u> for the full details.

It is available in Quicklisp:

```
CL-USER> (ql:quickload "local-time")
```

16.2.1. Create timestamps (encode-timestamp, universal-to-timestamp)

Create a timestamp with encode-timestamp, giving it its number of nanoseconds, seconds, minutes, days, months and years:

```
(local-time:encode-timestamp 0 0 0 0 1 1 1984)
@1984-01-01T00:00:00.000000+01:00
```

The complete signature is:

encode-timestamp nsec sec minute hour day month year &key timezone offset into

The offset is the number of seconds offset from UTC of the locale. If offset is not specified, the offset will be guessed from the timezone. If a timestamp is passed as the into argument, its value will be set and that timestamp will be returned. Otherwise, a new timestamp is created.

Create a timestamp from a universal time with universal-to-timestamp:

```
(get-universal-time)
3833588757
(local-time:universal-to-timestamp (get-universal-time))
@2021-06-25T07:45:59.000000+02:00

You can also parse a human-readable time string:
(local-time:parse-timestring "1984-01-01")
@1984-01-01T01:00:00.000000+01:00
```

But see the section on parsing timestrings for more.

16.2.2. Get today's date (now, today)

```
Use now or today:
(local-time:now)
@2019-11-13T20:02:13.529541+01:00
(local-time:today)
@2019-11-13T01:00:00.000000+01:00
```

"today" is the midnight of the current day in the UTC zone.

To compute "yesterday" and "tomorrow", see below.

16.2.3. Add or substract times (timestamp+, timestamp-)

Use timestamp+ and timestamp-. Each takes 3 arguments: a date, a number and a unit (and optionally a timezone and an offset):

```
(local-time:now)
@2021-06-25T07:19:39.836973+02:00

(local-time:timestamp+ (local-time:now) 1 :day)
@2021-06-26T07:16:58.086226+02:00

(local-time:timestamp- (local-time:now) 1 :day)
@2021-06-24T07:17:02.861763+02:00
```

The available units are :sec :minute :hour :day :year.

This operation is also possible with adjust-timestamp, which can do a bit more as we'll see right in the next section (it can do many operations at once).

```
(local-time:timestamp+ (today) 3 :day)
@2021-06-28T02:00:00.000000+02:00

(local-time:adjust-timestamp (today) (offset :day 3))
@2021-06-28T02:00:00.000000+02:00

Here's yesterday and tomorrow defined from today:
(defun yesterday ()
   "Returns a timestamp representing the day before today."
   (timestamp- (today) 1 :day))

(defun tomorrow ()
   "Returns a timestamp representing the day after today."
   (timestamp+ (today) 1 :day))
```

16.2.4. Modify timestamps with any offset (adjust-timestamp)

adjust-timestamp's first argument is the timestamp we operate on, and then it accepts a full &body changes where a "change" is in the form (offset :part value):

Please point to the previous Monday:

```
(local-time:adjust-timestamp (today) (offset :day-of-week :monday)) @2021-06-21T02:00:00.000000+02:00
```

We can apply many changes at once. Travel in time:

```
(local-time:adjust-timestamp (today)
  (offset :day 3)
  (offset :year 110)
  (offset :month -1))
@2131-05-28T02:00:00.000000+01:00
There is a destructive version, adjust-timestamp!.
16.2.5. Compare timestamps (timestamp<, timestamp= ...)
These should be self-explanatory.
timestamp< time-a time-b
timestamp<= time-a time-b</pre>
timestamp> time-a time-b
timestamp>= time-a time-b
timestamp= time-a time-b
timestamp/= time-a time-b
16.2.6. Find the minimum or maximum timestamp
Use timestamp-minimum and timestamp-maximum. They accept any number of arguments.
(local-time:timestamp-minimum (local-time:today)
                               (local-time:timestamp- (local-time:today) 100 :year))
@1921-06-25T02:00:00.000000+01:00
If you have a list of timestamps, use (apply #'timestamp-minimum <your list of timestamps>).
16.2.7. Maximize or minimize a timestamp according to a time unit (timestamp-maximize-
      part, timestamp-minimize-part)
We can answer quite a number of questions with this handy function.
Here's an example: please give me the last day of this month:
(let ((in-february (local-time:parse-timestring "1984-02-01")))
  (local-time:timestamp-maximize-part in-february :day))
@1984-02-29T23:59:59.999999+01:00
16.2.8. Querying timestamp objects (get the day, the day of week, the days in month...)
Use:
timestamp-[year, month, day, hour, minute, second, millisecond, microsecond,
           day-of-week (starts at 0 for sunday),
           millenium, century, decade]
Get all the values at once with decode-timestamp.
Bind a variable to a value of your choice with this convenient macro:
(local-time:with-decoded-timestamp (:hour h)
     (now)
   (print h))
8
```

You can of course bind each time unit (:sec :minute :day) to its variable, in any order.

See also (days-in-month <month> <year>).

8

16.2.9. Formatting time strings (format, format-timestring, +iso-8601-format+)

local-time's date representation starts with @. We can format them as usual, with the aesthetic directive for instance, to get a usual date representation.

```
(local-time:now)
@2019-11-13T18:07:57.425654+01:00
(format nil "~a" (local-time:now))
"2019-11-13T18:08:23.312664+01:00"
```

We can use format-timestring, which can be used like format (thus it takes a stream as first argument):

```
(local-time:format-timestring nil (local-time:now))
"2019-11-13T18:09:06.313650+01:00"
```

Here nil returns a new string. t would print to *standard-output*.

But format-timestring also accepts a :format argument. We can use predefined date formats as well as give our own in s-expression friendly way (see next section).

Its default value is +iso-8601-format+, with the output shown above. The +rfc3339-format+ format defaults to it.

```
With +rfc-1123-format+:
```

```
(local-time:format-timestring nil (local-time:now) :format local-time:+rfc-1123-
format+)
"Wed, 13 Nov 2019 18:11:38 +0100"
With +asctime-format+:
(local-time:format-timestring nil (local-time:now) :format local-time:+asctime-
format+)
"Wed Nov 13 18:13:15 2019"
With +iso-week-date-format+:
(local-time:format-timestring nil (local-time:now) :format local-time:+iso-week-date-
format+)
"2019-W46-3"
```

Putting all this together, here is a function that returns Unix times as a human readable string:

```
(defun unix-time-to-human-string (unix-time)
  (local-time:format-timestring
   nil
    (local-time:unix-to-timestamp unix-time)
    :format local-time:+asctime-format+))

(unix-time-to-human-string (get-universal-time))
"Mon Jun 25 06:46:49 2091"
```

16.2.10. Defining format strings (format-timestring (:year "-" :month "-" :day))

We can pass a custom: format argument to format-timestring.

The syntax consists of a list made of symbols with special meanings (:year, :day...), strings and characters:

```
(local-time:format-timestring nil (local-time:now) :format '(:year "-" :month
"-" :day))
"2019-11-13"
```

The list of symbols is available in the documentation: https://common-lisp.net/project/local-time/manual.html#Parsing-and-Formatting

There are :year :month :day :weekday :hour :hour12 :min :sec :msec, long and short notations (:long-weekday for "Monday", :short-weekday for "Mon.", :minimal-weekday for "Mo." as well as :long-month for "January" and :short-month for "Jan."), gmt offset, timezone markers, :ampm, :ordinal-day (1st, 23rd), iso numbers and more.

The +rfc-1123-format+ itself is defined like this:

```
(defparameter +rfc-1123-format+
   ;; Sun, 06 Nov 1994 08:49:37 GMT
   '(:short-weekday ", " (:day 2) #\space :short-month #\space (:year 4) #\space
        (:hour 2) #\: (:min 2) #\: (:sec 2) #\space :gmt-offset-hhmm)
   "See the RFC 1123 for the details about the possible values of the timezone
field.")
```

We see the form (:day 2): the 2 is for **padding**, to ensure that the day is printed with two digits (not only 1, but 01). There could be an optional third argument, the character with which to fill the padding (by default, #\0).

16.2.11. Parsing time strings

Use parse-timestring to parse timestrings, in the form 2019-11-13T18:09:06.313650+01:00. It works in a variety of formats by default, and we can change parameters to adapt it to our needs.

To parse more formats such as "Thu Jul 23 19:42:23 2013" (asctime), we'll use the <u>cl-date-time-parser</u> library.

The parse-timestring docstring is:

Parses a timestring and returns the corresponding timestamp. Parsing begins at start and stops at the end position. If there are invalid characters within timestring and fail-on-error is T, then an invalid-timestring error is signaled, otherwise NIL is returned.

If there is no timezone specified in timestring then offset is used as the default timezone offset (in seconds).

Examples:

```
(local-time:parse-timestring "2019-11-13T18:09:06.313650+01:00")
;; @2019-11-13T18:09:06.313650+01:00
(local-time:parse-timestring "2019-11-13")
;; @2019-11-13T01:00:00.0000000+01:00

This custom format fails by default: "2019/11/13", but we can set the :date-separator to "/":
    (local-time:parse-timestring "2019/11/13" :date-separator #\/)
;; @2019-11-13T19:42:32.394092+01:00
```

There is also a :time-separator (defaulting to #\:) and :date-time-separator (#\T).

Other options include:

- the start and end positions
- fail-on-error (defaults to t)
- (allow-missing-elements t)
- (allow-missing-date-part allow-missing-elements)

- (allow-missing-time-part allow-missing-elements)
- (allow-missing-timezone-part allow-missing-elements)
- (offset 0)

Now a format like ""Wed Nov 13 18:13:15 2019" will fail. We'll use the cl-date-time-parser library:

```
(cl-date-time-parser:parse-date-time "Wed Nov 13 18:13:15 2019") ;; 3782657595 ;; 0
```

It returns the universal time which, in turn, we can ingest with the local-time library:

```
(local-time:universal-to-timestamp *)
;; @2019-11-13T19:13:15.000000+01:00
```

16.2.12. Misc

To find out if it's Alice anniversary, use timestamp-whole-year-difference time-a time-b.

17. Pattern Matching

The ANSI Common Lisp standard does not include facilities for pattern matching, but libraries existed for this task and <u>Trivia</u> became a community standard.

For an introduction to the concepts of pattern matching, see Trivia's wiki.

Trivia matches against *a lot* of lisp objects and is extensible.

```
The library is in Quicklisp:
```

```
(ql:quickload "trivia")
```

For the following examples, let's use the library:

```
(use-package :trivia)
```

If you prefer, you can create a package for our tests:

```
(defpackage :trivia-tests (:use :cl :trivia))
(in-package :trivia-tests)
```

17.1. Common destructuring patterns

17.1.1. Match on simple values

You can use match to test a variable against other values:

```
(let ((x 3))
  (match x
     (0 :no-match)
     (1 :still-no-match)
     (3:yes)
     (_:other)))
;; => :yes
The same, with a list:
(let ((x (list :a)))
  (match x
    (0 :nope)
    (1:still-nope)
    (3:yes)
    ((list:a):a-list);; <-- match (list:a) entirely. Next we'll match on the
content.
    (_:other)))
;; => :A-LIST
```

It works with strings too (wherease CL's built-in case doesn't):

```
(let ((x "a string"))
  (match x
        ("a string" :a-string)
        (_ :other)))
;; => :A-STRING
```

We can use this knowledge to write an elegant recursive Fibonacci function:

```
(defun fib (n)
  (match n
    (0 0)
    (1 1)
    (_ (+ (fib (- n 1)) (fib (- n 2))))))
```

It would be more interesting to match against the content of our variable x, when it is a compound data structure: that's what we'll do in the next sections with Trivia's patterns.

17.1.2. The fall-through is

Observe how we used _ (the underscore) for the fall-through cause, and not t as it is used in cond or case.

17.1.3. cons

The cons pattern matches againts lists and other cons cells.

The lengths of the matched object and of the pattern can be different. Below, y receives all the rest that is not matched by x.

```
(match '(1 2 3)
  ((cons x y)
  ; ^^ pattern
    (print x)
    (print y)))
;; |-> 1
;; |-> (2 3)
```

17.1.4. list, list*

list is a strict pattern, it expects the length of the matched object to be the same length as its subpatterns.

```
(match '(something 2 3)
  ((list a b _)
    (values a b)))
SOMETHING
2
```

Without the placeholder, it would not match:

```
(match '(something 2 3)
  ((list a b)
   (values a b)))
NIL
```

The list* pattern is flexible on the object's length:

```
(match '(something 2 3)
  ((list* a b)
    (values a b)))
SOMETHING
(2 3)
(match '(1 2 . 3)
    ((list* _ _ x)
    x))
3
```

However pay attention that if list* receives only one object, that object is returned, regardless of whether or not it is a list:

```
(match #(0 1 2)
  ((list* a)
   a))
#(0 1 2)
```

This is related to the definition of list* in the HyperSpec: http://clhs.lisp.se/Body/f_list_.htm.

17.1.5. vector, vector*

vector checks if the object is a vector, if the lengths are the same, and if the contents matches against each subpatterns.

vector* is similar, but called a soft-match variant that allows if the length is larger-than-equal to the length of subpatterns.

```
(match #(1 2 3)
 ((vector _ x _)
  x))
;; -> 2
(match #(1 2 3 4)
 ((vector _ x _)
  x))
;; -> NIL : does not match
(match #(1 2 3 4)
 ((vector* _ x _)
  x))
;; -> 2 : soft match.
<vector-pattern> : vector
                             | simple-vector
                  bit-vector | simple-bit-vector
                   string | simple-string
                  base-string | simple-base-string | sequence
(<vector-pattern> &rest subpatterns)
```

17.1.6. Class and structure pattern

There are three styles that are equivalent:

```
(defstruct foo bar baz)
(defvar *x* (make-foo :bar 0 :baz 1)

(match *x*
    ;; make-instance style
    ((foo :bar a :baz b)
        (values a b))
    ;; with-slots style
    ((foo (bar a) (baz b))
        (values a b))
    ;; slot name style
    ((foo bar baz)
        (values bar baz)))
```

17.1.7. type, satisfies

The type pattern matches if the object is of type. satisfies matches if the predicate returns true for the object. A lambda form is acceptable.

17.1.8. assoc, property, alist, plist

All these patterns first check if the pattern is a list. If that is satisfied, then they obtain the contents, and the value is matched against the subpattern. The assoc and property patterns match single values. The alist and plist patterns effectively and several patterns.

```
(match '(:a 1 :b 2)
  ((property :a 1) 'found))
;; -> FOUND
```

```
(match '(:a 1 :b 2)
  ((property :a n) n))
;; -> 1
(match '(:a 1 :b 2)
   ((property :d n) n))
;; -> NIL
```

Like cl:getf you can add a default value to the property pattern. Unlike cl:getf you can further add a flag to catch if the default is being used.

```
(match '(:a 1 :b 2)
  ((property :c c 3) c))
;; -> 3
(match '(:a 1 :b 2)
  ((property :c c 3 foundp) (list c foundp)))
;; -> (3 NIL)
```

The pattern property! will only match if the key is actually present.

```
(match '(:a 1 :b 2)
  ((property :d n) (list n))
  (_ 'fail))
;; -> (NIL)
(match '(:a 1 :b 2)
  ((property! :d n) (list n))
  (_ 'fail))
;; -> FAIL
```

Several properties can be matched with plist.

```
(match '(:a 1 :b 2)
  ((plist :a 1 :b x) x))
;; -> 2
```

The pattern assoc matches association lists. It can take the :test keyword like cl:assoc.

```
(match '((a . 1) (b . 2) (c . 3))
  ((assoc 'a 1) 'ok))
;; -> 0K
(match '((a . 1) (b . 2) (c . 3))
  ((assoc 'b x) x))
;; -> 2
(match '(("one" . 1) ("two" . 2))
  ((assoc "one" x :test #'string-equal) x))
;; -> 1
```

The pattern alist matches several elements in an association list.

```
(match '((a . 1) (b . 2) (c . 3))
  ((alist ('a . 1) ('c . n)) n))
;; -> 3
```

17.1.9. Array, simple-array, row-major-array patterns

 $See \ https://github.com/guicho271828/trivia/wiki/Type-Based-Destructuring-Patterns\#array-simple-array-row-major-array-pattern \,!$

17.2. Logic based patterns

We can combine any pattern with some logic.

17.2.1. and, or

The following:

matches against both (1 2) and (4 . 3) and returns 2 and 4, respectively.

17.2.2. not

It does not match when subpattern matches. The variables used in the subpattern are not visible in the body.

17.3. Guards

Guards allow us to use patterns and to verify them against a predicate.

The syntax is guard + subpattern + a test form, and the body.

If the subpattern is true, the test form is evaluated, and if it is true it is matched against subpattern1.

17.4. Nesting patterns

Patterns can be nested:

```
(match '(:a (3 4) 5)
  ((list :a (list _ c) _)
    c))
```

returns 4.

17.5. See more

See special patterns: place, bind and access.

18. Regular Expressions

The <u>ANSI Common Lisp standard</u> does not include facilities for regular expressions, but a couple of libraries exist for this task, for instance: <u>cl-ppcre</u>.

See also the respective <u>Cliki: regexp</u> page for more links.

Note that some CL implementations include regexp facilities, notably <u>CLISP</u> and <u>ALLEGRO CL</u>. If in doubt, check your manual or ask your vendor.

The description provided below is far from complete, so don't forget to check the reference manual that comes along with the CL-PPCRE library.

18.1. PPCRE

<u>CL-PPCRE</u> (abbreviation for Portable Perl-compatible regular expressions) is a portable regular expression library for Common Lisp with a broad set of features and good performance. It has been ported to a number of Common Lisp implementations and can be easily installed (or added as a dependency) via Quicklisp:

```
(ql:quickload "cl-ppcre")
```

Basic operations with the CL-PPCRE library functions are described below.

18.1.1. Looking for matching patterns: scan, create-scanner

The scan function tries to match the given pattern and on success returns four multiple-values values - the start of the match, the end of the match, and two arrays denoting the beginnings and ends of register matches. On failure returns NIL.

A regular expression pattern can be compiled with the create-scanner function call. A "scanner" will be created that can be used by other functions.

For example:

```
(let ((ptrn (ppcre:create-scanner "(a)*b")))
  (ppcre:scan ptrn "xaaabd"))
will yield the same results as:
(ppcre:scan "(a)*b" "xaaabd")
```

but will require less time for repeated scan calls as parsing the expression and compiling it is done only once.

18.1.2. Extracting information

CL-PPCRE provides several ways to extract matching fragments.

18.1.2.1. all-matches, all-matches-as-strings

The function all-matches-as-strings is very handy: it returns a list of matches:

```
(ppcre:all-matches-as-strings "\\d+" "numbers: 1 10 42")
;; => ("1" "10" "42")
```

The function all-matches is similar, but it returns a list of positions:

```
(ppcre:all-matches "\\d+" "numbers: 1 10 42")
;; => (9 10 11 13 14 16)
```

Look carefully: it actually return a list containing the start and end positions of all matches: 9 and 10 are the start and end for the first number (1), and so on.

If you wanted to extract integers from this example string, simply map parse-integer to the result:

```
CL-USER> (ppcre:all-matches-as-strings "\\d+" "numbers: 1 10 42")
;; ("1" "10" "42")
CL-USER> (mapcar #'parse-integer *)
(1 10 42)
```

The two functions accept the usual :start and :end key arguments. Additionally, all-matches-as-strings accepts a :sharedp argument:

If SHAREDP is true, the substrings may share structure with TARGET-STRING.

18.1.2.2. count-matches (new in 2.1.2, April 2024)

(count-matches regex target-string) returns a count of all matches of regex against target-string:

```
CL-USER> (ppcre:count-matches "a" "foo bar baz")
2
CL-USER> (ppcre:count-matches "\\w*" "foo bar baz")
6
```

18.1.2.3. scan-to-strings, register-groups-bind

The scan-to-strings function is similar to scan but returns substrings of target-string instead of positions. This function returns two values on success: the whole match as a string plus an array of substrings (or NILs) corresponding to the matched registers.

The register-groups-bind function tries to match the given pattern against the target string and binds matching fragments with the given variables.

```
(ppcre:register-groups-bind (first second third fourth)
        ("((a)|(b)|(c))+" "abababc" :sharedp t)
        (list first second third fourth))
;; => ("c" "a" "b" "c")
```

CL-PPCRE also provides a shortcut for calling a function before assigning the matching fragment to the variable:

```
(ppcre:register-groups-bind
  (fname lname (#'parse-integer date month year))
        ("(\\w+)\\s+(\\d{1,2})\\.(\\d{1,2})\\.(\\d{4})"
        "Frank Zappa 21.12.1940")
        (list fname lname date month year))
;; => ("Frank" "Zappa" 21 12 1940)
```

18.1.3. Replacing text: regex-replace, regex-replace-all

```
(ppcre:regex-replace "a" "abc" "A") ;; => "Abc"
;; or
(let ((pat (ppcre:create-scanner "a")))
  (ppcre:regex-replace pat "abc" "A"))
```

18.2. See more

• <u>cl-ppcre on common-lisp-libraries.readthedocs.io</u> and read on: do-matches, do-matches-as-strings, do-register-groups, do-scans, parse-string, regex-apropos, quote-meta-chars, split...

19. Input/Output

Let's see some useful patterns for input/output.

19.1. Asking for user input: read, read-line

The <u>read</u> function, when called with no other argument, stops the world and waits for user input:

```
CL-USER> (read)
| ;; <---- point waiting for input.

Type in (1+ 2) and you will see this result:
(1+ 2)

Did you expect to see 3?

Let's try again:
(read)
hello ;; our input
HELLO ;; return value: a symbol, hence capitalized
```

(list a b c) ;; our input
(LIST A B C) ;; return value: some s-expression.

The results were *not* evaluated.

(read)

The read function reads source code. Not strings! It returns an s-expression.

If you want a string, write it inside quotes (or see the next section).

It doesn't evaluate the code yet. For this, we have eval:

```
(read)
(1+ 2) ;; input
(1+ 2) ;; return value
(eval *)
;; 3
```

This is how we can build the most primite REPL: a Read-Eval-Print-Loop.

```
CL-USER> (loop (print (eval (read))))
(1+ 1) ;; input
2 (1+ 2) ;; result + my next input
```

The above line will loop forever and you have no way to escape it, appart (quit) which quits your top-level REPL too. Here's a very simple loop that quits when seeing the q symbol (not a string):

```
(loop for input = (read)
    while (not (equal input 'q))
    do (print (eval input)))
```

19.1.1. Read input as string: read-line

To read the input as a *string*, use <u>read-line</u>:

```
CL-USER> (defparameter *input* (read-line))
This is a longer input.
```

```
*INPUT*

CL-USER> *input*

"This is a longer input."
```

It doesn't read multiple lines.

19.2. Redirecting the standard output of your program

You do it like this:

```
(let ((*standard-output* <some form generating a stream>))
   ...)
```

Because *standard-output* is a dynamic variable, all references to it during execution of the body of the LET form refer to the stream that you bound it to. After exiting the LET form, the old value of *STANDARD-OUTPUT* is restored, no matter if the exit was by normal execution, a RETURN-FROM leaving the whole function, an exception, or what-have-you. (This is, incidentally, why global variables lose much of their brokenness in Common Lisp compared to other languages: since they can be bound for the execution of a specific form without the risk of losing their former value after the form has finished, their use is quite safe; they act much like additional parameters that are passed to every function.)

If the output of the program should go to a file, you can do the following:

<u>with-open-file</u> opens the file - creating it if necessary - binds *standard-output*, executes its body, closes the file, and restores *standard-output* to its former value. It doesn't get more comfortable than this!

19.3. Faithful output with character streams

By *faithful output* I mean that characters with codes between 0 and 255 will be written out as is. It means, that I can (princ (code-char 0..255) s) to a stream and expect 8-bit bytes to be written out, which is not obvious in the times of Unicode and 16 or 32 bit character representations. It does *not* require that the characters ä, ß, or þ must have their <u>char-code</u> in the range 0..255 - the implementation is free to use any code. But it does require that no #\Newline to CRLF translation takes place, among others.

Common Lisp has a long tradition of distinguishing character from byte (binary) I/O, e.g. read-byte and read-char are in the standard. Some implementations let both functions be called interchangeably. Others allow either one or the other. (The <u>simple stream proposal</u> defines the notion of a *bivalent stream* where both are possible.)

Varying element-types are useful as some protocols rely on the ability to send 8-Bit output on a channel. E.g. with HTTP, the header is normally ASCII and ought to use CRLF as line terminators, whereas the body can have the MIME type application/octet-stream, where CRLF translation would destroy the data. (This is how the Netscape browser on MS-Windows destroys data sent by incorrectly configured Webservers which declare unknown files as having MIME type text/plain - the default in most Apache configurations).

What follows is a list of implementation dependent choices and behaviours and some code to experiment.

19.3.1. SBCL

To load arbitrary bytes into a string, use the :iso-8859-1 external format. For example:

```
(uiop:read-file-string "/path/to/file" :external-format :iso-8859-1)
```

19.3.2. CLISP

On CLISP, faithful output is possible using

You can also use (SETF (STREAM-ELEMENT-TYPE F) '(UNSIGNED-BYTE 8)), where the ability to SETF is a CLISP-specific extension. Using :EXTERNAL-FORMAT :UNIX will cause portability problems, since the default character set on MS-Windows is CHARSET:CP1252. CHARSET:CP1252 doesn't allow output of e.g. (CODE-CHAR #x81):

;*** - Character $\#\u0080$ cannot be represented in the character set CHARSET:CP1252 Characters with code > 127 cannot be represented in ASCII:

;*** - Character #\u0080 cannot be represented in the character set CHARSET:ASCII

19.3.3. AllegroCL

#+(AND ALLEGRO UNIX) :DEFAULT (untested) - seems enough on UNIX, but would not work on the MS-Windows port of AllegroCL.

19.3.4. LispWorks

```
:EXTERNAL-FORMAT '(:LATIN-1 :EOL-STYLE :LF) (confirmed by Marc Battyani)
```

19.3.5. Example

Here's some sample code to play with:

```
(defvar *unicode-test-file* "faithtest-out.txt")
(defun generate-256 (&key (filename *unicode-test-file*)
             #+CLISP (charset 'charset:iso-8859-1)
                          external-format)
  (let ((e (or external-format
           #+CLISP (ext:make-encoding :charset charset
                           :line-terminator :unix))))
    (describe e)
    (with-open-file (f filename :direction :output
             :external-format e)
      (write-sequence
        (loop with s = (make-string 256)
          for i from 0 to 255
          do (setf (char s i) (code-char i))
          finally (return s))
      f)
      (file-position f))))
;(generate-256 :external-format :default)
;#+CLISP (generate-256 :external-format :unix)
;#+CLISP (generate-256 :external-format 'charset:ascii)
; (generate-256)
(defun check-256 (&optional (filename *unicode-test-file*))
```

```
(with-open-file (f filename :direction :input
             :element-type '(unsigned-byte 8))
    (loop for i from 0
      for c = (read-byte f nil nil)
      while c
      unless (= c i)
      do (format t "~&Position ~D found ~D(#x~X)." i c c)
      when (and (= i 33) (= c 32))
      do (let ((c (read-byte f)))
           (format t "\sim&Resync back 1 byte \simD(\#x\simX) - cause CRLF?." c c) ))
    (file-length f)))
#| CLISP
(check-256 *unicode-test-file*)
(progn (generate-256 :external-format :unix) (check-256))
; uses UTF-8 -> 385 bytes
(progn (generate-256 :charset 'charset:iso-8859-1) (check-256))
(progn (generate-256 :external-format :default) (check-256))
; uses UTF-8 + CRLF(on MS-Windows) -> 387 bytes
(progn (generate-256 :external-format
  (ext:make-encoding :charset 'charset:iso-8859-1 :line-terminator :mac))
(check-256))
(progn (generate-256 :external-format
  (ext:make-encoding :charset 'charset:iso-8859-1 :line-terminator :dos))
(check-256))
|#
```

19.4. Fast bulk I/O

If you need to copy a lot of data and the source and destination are both streams (of the same <u>element type</u>), it's very fast to use <u>read-sequence</u> and <u>write-sequence</u>:

20. Files and Directories

We'll see here a handful of functions and libraries to operate on files and directories.

In this chapter, we use mainly <u>namestrings</u> to <u>specify filenames</u>. In a recipe or two we also use <u>pathnames</u>.

Many functions will come from UIOP, so we suggest you have a look directly at it:

- <u>UIOP/filesystem</u>
- UIOP/pathname

Of course, do not miss:

• Files and File I/O in Practical Common Lisp

20.0.1. Getting the components of a pathname

20.0.1.1. File name (sans directory)

Use file-namestring to get a file name from a pathname:

```
(file-namestring #p"/path/to/file.lisp") ;; => "file.lisp"
```

20.0.1.2. File extension

The file extension is called "pathname type" in Lisp parlance:

```
(pathname-type "~/foo.org") ;; => "org"
```

20.0.1.3. File basename

The basename is called the "pathname name" -

```
(pathname-name "~/foo.org") ;; => "foo"
(pathname-name "~/foo") ;; => "foo"
```

If a directory pathname has a trailing slash, pathname-name may return nil; use pathname-directory instead -

```
(pathname-name "~/foo/") ;; => NIL
(first (last (pathname-directory #P"~/foo/"))) ;; => "foo"
```

20.0.1.4. Parent directory

```
(uiop:pathname-parent-directory-pathname #P"/foo/bar/quux/")
;; => #P"/foo/bar/"
```

20.0.2. Testing whether a file exists

Use the function <u>probe-file</u> which will return a <u>generalized boolean</u> - either nil if the file doesn't exists, or its <u>truename</u> (which might be different from the argument you supplied).

For more portability, use uiop:probe-file* or uiop:file-exists-p which will return the file pathname (if it exists).

If you fear that your file name might contain a wildcard character such as *, [or], read below.

```
* (probe-file "/etc/passwd")
#p"/etc/passwd"

;; Create a symlink (shell):
$ ln -s /etc/passwd foo

* (probe-file "foo")
#p"/etc/passwd"
```

```
* (probe-file "bar")
NIL
```

20.0.3. Testing wether a file exists (beware of wildcard characters)

```
Use probe-file after (make-pathname : name filename-with-wild-chars) or sb-ext:parse-native-namestring on SBCL. Why?
```

The characters * but also [and] are wildcard characters. Inside a file name, they create wildcard pathnames with restrictions.

If a file contains any of them, uiop:probe-file* and uiop:file-exists-p will return NIL, even though your file exists.

Let's have a music file named "best-of-[2000]-01.mp3":

```
$ touch best-of-\[2000\]-01.mp3
```

You can't use probe-file, unless you escape the characters with two backslashes (which we would do with str:replace-all):

```
(probe-file "best-of-[2000]-01.mp3")
;; => NIL

(probe-file "best-of-\\[2000\\]-01.mp3")
;; => #P"best-of-\\[2000]-01.mp3"
```

You can use make-pathname followed by probe-file:

```
(probe-file (make-pathname :name "best-of-[2000]-01.mp3"))
;; => #P"/home/me/path/to/best-of-\\[2000]-01.mp3"
```

On SBCL, you can use sb-ext:parse-native-namestring:

```
(sb-ext:parse-native-namestring "best-of-[2000]-01.mp3");; \Rightarrow #P"best-of-\\[2000]-01.mp3"
```

With uiop:ensure-pathname, you can use the :want-non-wild t key parameter.

20.0.4. Expanding a file or a directory name with a tilde (~)

For portability, use uiop:native-namestring:

```
(uiop:native-namestring "~/.emacs.d/")
"/home/me/.emacs.d/"
```

It also expand the tilde with files and directories that don't exist:

```
(uiop:native-namestring "~/foo987.txt")
:: "/home/me/foo987.txt"
```

On several implementations (CCL, ABCL, ECL, CLISP, LispWorks), namestring works similarly. On SBCL, if the file or directory doesn't exist, namestring doesn't expand the path but returns the argument, with the tilde.

With files that exist, you can also use truename. But, at least on SBCL, it returns an error if the path doesn't exist.

20.0.5. Turning a pathname into a string with Windows' directory separator

Use again uiop:native-namestring:

```
CL-USER> (uiop:native-namestring #p"~/foo/")
"C:\\Users\\You\\foo\\"
```

See also uiop:parse-native-namestring for the inverse operation.

20.0.6. Creating directories

The function <u>ensure-directories-exist</u> creates the directories if they do not exist:

```
(ensure-directories-exist "foo/bar/baz/")
```

This may create foo, bar and baz. Don't forget the trailing slash.

20.0.7. Deleting directories

Use uiop:delete-directory-tree with a pathname (#p), a trailing slash and the :validate key:

```
;; mkdir dirtest
(uiop:delete-directory-tree #p"dirtest/" :validate t)
```

You can use pathname around a string that designates a directory:

```
(defun rmdir (path)
  (uiop:delete-directory-tree (pathname path) :validate t))
UIOP also has delete-empty-directory
cl-fad has (fad:delete-directory-and-files "dirtest").
```

20.0.8. Merging files and directories

Use merge-pathnames, with one thing to note: if you want to append directories, the second argument must have a trailing /.

As always, look at UIOP functions. We have a uiop:merge-pathnames* equivalent which fixes corner cases.

So, here's how to append a directory to another one:

Look at the difference: if you don't include a trailing slash to either paths, otherpath and projects are seen as files, so otherpath is appended to the base directory containing projects:

or again, with otherpath/ (a trailing /) but projects seen as a file:

20.0.9. Get the current working directory (CWD)

Use uiop/os:getcwd:

20.0.10. Get the current directory relative to a Lisp project

Use asdf:system-relative-pathname system path.

Say you are working inside mysystem. It has an ASDF system declaration, the system is loaded in your Lisp image. This ASDF file is somewhere on your filesystem and you want the path to src/web/. Do this:

```
(asdf:system-relative-pathname "mysystem" "src/web/")
;; => #P"/home/vince/projects/mysystem/src/web/"
```

This will work on another user's machine, where the system sources are located in another location.

20.0.11. Setting the current working directory

Use uiop:chdir path:

```
(uiop:chdir "/bin/")
0
```

The trailing slash in *path* is optional.

Or, to set for the current directory for the next operation only, use uiop:with-current-directory:

20.0.12. Opening a file

Common Lisp has open and close functions which resemble the functions of the same denominator from other programming languages you're probably familiar with. However, it is almost always recommendable to use the macro with-open-file instead. Not only will this macro open the file for you and close it when you're done, it'll also take care of it if your code leaves the body abnormally (such as by a use of go, return-from, or throw). A typical use of with-open-file looks like this:

```
(with-open-file (str <_file-spec_>
    :direction <_direction_>
    :if-exists <_if-exists_>
    :if-does-not-exist <_if-does-not-exist_>)
    (your code here))
```

- str is a variable which'll be bound to the stream which is created by opening the file.
- <_file-spec_> will be a truename or a pathname.
- <_direction_> is usually :input (meaning you want to read from the file), :output (meaning you want to write to the file) or :io (which is for reading *and* writing at the same time) the default is :input.
- <_iif-exists_> specifies what to do if you want to open a file for writing and a file with that name already exists this option is ignored if you just want to read from the file. The default is :error which means that an error is signalled. Other useful options are :supersede (meaning that the new file will replace the old one), :append (content is added to the file), nil (the stream variable will be bound to nil), and :rename (i.e. the old file is renamed).
- <_if-does-not-exist_> specifies what to do if the file you want to open does not exist. It is one of :error for signalling an error, :create for creating an empty file, or nil for binding the stream variable to nil. The default is, to be brief, to do the right thing depending on the other options you provided. See the CLHS for details.

Note that there are a lot more options to with-open-file. See the CLHS entry for open for all the details. You'll find some examples on how to use with-open-file below. Also note that you usually don't need to provide any keyword arguments if you just want to open an existing file for reading.

20.0.13. Reading files

20.0.13.1. Reading a file into a string or a list of lines

It's quite common to need to access the contents of a file in string form, or to get a list of lines.

uiop is included in ASDF (there is no extra library to install or system to load) and has the following functions:

```
(uiop:read-file-string "file.txt")
and
(uiop:read-file-lines "file.txt")
```

Otherwise, this can be achieved by using read-line or read-char functions, that probably won't be the best solution. The file might not be divided into multiple lines or reading one character at a time might bring significant performance problems. To solve this problems, you can read files using buckets of specific sizes.

Furthermore, you're free to change the format of the read/written data, instead of using elements of type character every time. For instance, you can set :element-type type argument of with-output-to-string, with-open-file and make-array functions to '(unsigned-byte 8) to read data in octets.

20.0.13.2. Reading with an utf-8 encoding

To avoid an ASCII stream decoding error you might want to specify an UTF-8 encoding:

20.0.13.3. Set SBCL's default encoding format to utf-8

Sometimes you don't control the internals of a library, so you'd better set the default encoding to utf-8. Add this line to your ~/.sbclrc:

```
(setf sb-impl::*default-external-format* :utf-8)
and optionally
(setf sb-alien::*default-c-string-external-format* :utf-8)
```

20.0.13.4. Reading a file one line at a time

<u>read-line</u> will read one line from a stream (which defaults to <u>standard input</u>) the end of which is determined by either a newline character or the end of the file. It will return this line as a string without the trailing newline character. (Note that read-line has a second return value which is true if there was no trailing newline, i.e. if the line was terminated by the end of the file.) read-line will

by default signal an error if the end of the file is reached. You can inhibit this by supplying NIL as the second argument. If you do this, read-line will return nil if it reaches the end of the file.

You can also supply a third argument which will be used instead of nil to signal the end of the file:

```
(with-open-file (stream "/etc/passwd")
  (loop for line = (read-line stream nil 'foo)
  until (eq line 'foo)
  do (print line)))
```

20.0.13.5. Reading a file one character at a time

<u>read-char</u> is similar to <u>read-line</u>, but it only reads one character as opposed to one line. Of course, newline characters aren't treated differently from other characters by this function.

20.0.13.6. Looking one character ahead

You can 'look at' the next character of a stream without actually removing it from there - this is what the function peek-char is for. It can be used for three different purposes depending on its first (optional) argument (the second one being the stream it reads from): If the first argument is nil, peek-char will just return the next character that's waiting on the stream:

If the first argument is T, peek-char will skip <u>whitespace</u> characters, i.e. it will return the next non-whitespace character that's waiting on the stream. The whitespace characters will vanish from the stream as if they had been read by read-char:

```
#\n
#\n
#\o
```

If the first argument to peek-char is a character, the function will skip all characters until that particular character is found:

Note that peek-char has further optional arguments to control its behaviour on end-of-file similar to those for read-line and read-char (and it will signal an error by default):

You can also put one character back onto the stream with the function <u>unread-char</u>. You can use it as if, *after* you have read a character, you decide that you'd better used peek-char instead of read-char:

Note that the front of a stream doesn't behave like a stack: You can only put back exactly *one* character onto the stream. Also, you *must* put back the same character that has been read previously, and you can't unread a character if none has been read before.

20.0.13.7. Random access to a File

Use the function <u>file-position</u> for random access to a file. If this function is used with one argument (a stream), it will return the current position within the stream. If it's used with two arguments (see below), it will actually change the <u>file position</u> in the stream.

20.0.14. Writing content to a file

With with-open-file, specify: direction: output and use write-sequence inside:

If the file exists, you can also : append content to it.

If it doesn't exist, you can :error out. See the standard for more details.

20.0.14.1. Using libraries

The library Alexandria has a function called write-string-into-file

```
(alexandria:write-string-into-file content "file.txt")
```

Alternatively, the library <u>str</u> has the to-file function.

```
(str:to-file "file.txt" content) ;; with optional options
```

Both alexandria:write-string-into-file and str:to-file take the same keyword arguments as cl:open that controls file creation: :if-exists and if-does-not-exists.

20.0.15. Getting file attributes (size, access time,...)

Osicat is a lightweight operating system interface for Common Lisp on POSIX-like systems, including Windows. With Osicat we can get and set **environment variables** (now doable with uiop:getenv), manipulate **files and directories**, **pathnames** and a bit more.

<u>file-attributes</u> is a newer and lighter OS portability library specifically for getting file attributes, using system calls (cffi).

SBCL with its sb-posix contrib can be used too.

20.0.15.1. File attributes (Osicat)

Once Osicat is installed, it also defines the osicat-posix system, which permits us to get file attributes.

```
(ql:quickload "osicat")
(let ((stat (osicat-posix:stat #P"./files.md")))
  (osicat-posix:stat-size stat)) ;; => 10629
```

We can get the other attributes with the following methods:

```
osicat-posix:stat-dev
osicat-posix:stat-gid
osicat-posix:stat-ino
osicat-posix:stat-uid
osicat-posix:stat-mode
osicat-posix:stat-rdev
osicat-posix:stat-size
osicat-posix:stat-atime
osicat-posix:stat-time
osicat-posix:stat-mtime
osicat-posix:stat-nlink
osicat-posix:stat-blocks
osicat-posix:stat-blocks
```

20.0.15.2. File attributes (file-attributes)

Install the library with

```
(gl:quickload "file-attributes")
```

Its package is org.shirakumo.file-attributes. You can use a package-local nickname for a shorter access to its functions, for example:

```
(uiop:add-package-local-nickname :file-attributes :org.shirakumo.file-attributes)
```

Then simply use the functions:

- access-time, modification-time, creation-time. You can setf them.
- owner, group, and attributes. The values used are OS specific for these functions. The attributes
 flag can be decoded and encoded via a standardised form with decode-attributes and
 encode-attributes.

See its documentation.

20.0.15.3. File attributes (sb-posix)

This contrib is loaded by default on POSIX systems.

First get a stat object for a file, then get the stat you want:

```
CL-USER> (sb-posix:stat "test.txt")
#<SB-POSIX:STAT {10053FCBE3}>
CL-USER> (sb-posix:stat-mtime *)
1686671405
```

20.0.16. Listing files and directories

Some functions below return pathnames, so you might need the following:

```
(namestring #p"/foo/bar/baz.txt") ==> "/foo/bar/baz.txt"
(directory-namestring #p"/foo/bar/baz.txt") ==> "/foo/bar/"
(file-namestring #p"/foo/bar/baz.txt") ==> "baz.txt"
```

20.0.16.1. Listing files in a directory

```
(uiop:directory-files "./")
```

Returns a list of pathnames:

```
(#P"/home/vince/projects/cl-cookbook/.emacs"
#P"/home/vince/projects/cl-cookbook/.gitignore"
#P"/home/vince/projects/cl-cookbook/AppendixA.jpg"
#P"/home/vince/projects/cl-cookbook/AppendixB.jpg"
#P"/home/vince/projects/cl-cookbook/AppendixC.jpg"
#P"/home/vince/projects/cl-cookbook/CHANGELOG"
#P"/home/vince/projects/cl-cookbook/CONTRIBUTING.md"
[...]
```

20.0.16.2. Listing sub-directories

```
(uiop:subdirectories "./")
(#P"/home/vince/projects/cl-cookbook/.git/"
#P"/home/vince/projects/cl-cookbook/.sass-cache/"
#P"/home/vince/projects/cl-cookbook/_includes/"
#P"/home/vince/projects/cl-cookbook/_layouts/"
#P"/home/vince/projects/cl-cookbook/_site/"
#P"/home/vince/projects/cl-cookbook/assets/")
```

20.0.16.3. Iterating on files (lazily)

In addition to the above functions, we mention solutions that *lazily* traverse a directory. They don't load the entire list of files before returning it.

Osicat has with-directory-iterator:

LispWorks has the <u>fast-directory-files</u> function, and AllegroCL has <u>map-over-directory</u>.

20.0.16.4. Traversing (walking) directories recursively

See uiop/filesystem:collect-sub*directories. It takes as arguments:

- a directory
- a collectp function
- a recursep function
- a collector function

Given a directory, when collectp returns true with the directory, call the collector function on the directory, and recurse each of its subdirectories on which recursep returns true.

This function will thus let you traverse a filesystem hierarchy, superseding the functionality of cl-fad:walk-directory.

The behavior in presence of symlinks is not portable. Use IOlib to handle such situations.

Examples:

• this collects only subdirectories:

```
(defparameter *dirs* nil "All recursive directories.")
(uiop:collect-sub*directories "~/cl-cookbook"
    (constantly t)
    (constantly t)
    (lambda (it) (push it *dirs*)))

    this collects files and subdirectories:

(let ((results))
    (uiop:collect-sub*directories
     "./"
     (constantly t)
     (constantly t)
     (lambda (subdir)
       (setf results
              (nconc results
                     ;; A detail: we return strings, not pathnames.
                     (loop for path in (append (uiop:subdirectories subdir)
                                                 (uiop:directory-files subdir))
                           collect (namestring path))))))
    results)
• we can do the same with the cl-fad library:
(cl-fad:walk-directory "./"
  (lambda (name)
     (format t "~A~%" name))
   :directories t)
```

• and of course, we can use an external tool: the good ol' unix find, or the newer fd (fdfind on Debian) that has a simpler syntax and filters out a set of common files and directories by default (node_modules, .git...):

```
(str:lines (uiop:run-program (list "find" ".") :output :string))
;; or
(str:lines (uiop:run-program (list "fdfind") :output :string))
```

Here with the help of the str library.

20.0.16.5. Finding files matching a pattern

Below we simply list files of a directory and check that their name contains a given string.

We used namestring to convert a pathname to a string, thus a sequence that search can deal with.

20.0.16.6. Finding files with a wildcard

We can not transpose unix wildcards to portable Common Lisp.

In pathname strings we can use * and ** as wildcards. This works in absolute and relative pathnames.

```
(directory #P"*.jpg")
```

```
(directory #P"**/*.png")
```

20.0.16.7. Change the default pathname

The concept of . denoting the current directory does not exist in portable Common Lisp. This may exist in specific filesystems and specific implementations.

Also ~ to denote the home directory does not exist. They may be recognized by some implementations as non-portable extensions.

*default-pathname-defaults*provides a default for some pathname operations.

21. Error and exception handling

Common Lisp has mechanisms for error and condition handling as found in other languages, and can do more.

What is a condition?

Just like in languages that support exception handling (Java, C++, Python, etc.), a condition represents, for the most part, an "exceptional" situation. However, even more so than those languages, a condition in Common Lisp can represent a general situation where some branching in program logic needs to take place, not necessarily due to some error condition. Due to the highly interactive nature of Lisp development (the Lisp image in conjunction with the REPL), this makes perfect sense in a language like Lisp rather than say, a language like Java or even Python, which has a very primitive REPL. In most cases, however, we may not need (or even allow) the interactivity that this system offers us. Thankfully, the same system works just as well even in non-interactive mode.

z0ltan

Let's dive into it step by step. More resources are given afterwards.

21.1. Throwing/catching versus signaling/handling

Common Lisp has a notion of throwing and catching, but it refers to a different concept than throwing and catching in C++ or Java. In Common Lisp, throw and catch (like in <u>Ruby!</u>) are a mechanism for transfers of control; they do not refer to working with conditions.

In Common Lisp, conditions are *signaled* and the process of executing code in response to a signaled condition is called *handling*. Unlike in Java or C++, handling a condition does not mean that the stack is immediately unwound - it is up to the individual handler functions to decide if and in what situations the stack should be unwound.

21.2. Ignoring all errors, returning nil

Sometimes you know that a function can fail and you just want to ignore it: use <u>ignore-errors</u>:

```
(ignore-errors
  (/ 3 0))
; in: IGNORE-ERRORS (/ 3 0)
;  (/ 3 0)
;
; caught STYLE-WARNING:
; Lisp error during constant folding:
; arithmetic error DIVISION-BY-ZERO signalled
; Operation was (/ 3 0).
;
; compilation unit finished
; caught 1 STYLE-WARNING condition
NIL
#<DIVISION-BY-ZERO {1008FF5F13}>
```

We get a welcome division-by-zero warning but the code runs well and it returns two things: nil and the condition that was signaled. We could not choose what to return.

Remember that we can inspect the condition with a right click in Slime.

21.3. Handling all error conditions with handler-case

ignore-errors is built from <u>handler-case</u>. We can write the previous example by handling the general error but now we can return whatever we want:

```
(handler-case (/ 3 0)
  (error (c)
      (format t "We handled an error.~&")
      (values 0 c)))
; in: HANDLER-CASE (/ 3 0)
;      (/ 3 0)
;
; caught STYLE-WARNING:
; Lisp error during constant folding:
; Condition DIVISION-BY-ZERO was signalled.
;
; compilation unit finished
; caught 1 STYLE-WARNING condition
We handled an error.
0
#<DIVISION-BY-ZERO {1004846AE3}>
```

We also returned two values, 0 and the signaled condition.

The general form of handler-case is

```
(handler-case (code that can error out)
  (condition-type (the-condition) ;; <-- optional argument
        (code))
  (another-condition (the-condition)
        ...))</pre>
```

The (code that can error out) following handler-case must be one form. If you want to write multiple expressions, wrap them in a progn.

21.4. Handling a specific condition

We can specify what condition to handle:

```
(handler-case (/ 3 0)
  (division-by-zero (c)
     (format t "Got division by zero: ~a~%" c)))
;; ...
;; Got division by zero: arithmetic error DIVISION-BY-ZERO signalled
;; Operation was (/ 3 0).
;; NIL
```

This is the mechanism that is the most similar to the "usual" exception handling as known from other languages: throw/try/catch from C++ and Java, raise/try/except from Python, raise/begin/rescue in Ruby, and so on. But we can do more.

21.5. Absolute control over conditions and restarts: handler-bind

<u>handler-bind</u> is what to use when we need absolute control over what happens when a condition is signaled. It doesn't unwind the stack, which we illustrate in the next section. It allows us to use the debugger and restarts, either interactively or programmatically.

Its general form is:

You'll notice that its syntax is "in reverse" compared to handler-case: we have the bindings first, the forms (in an implicit progn) next.

If the handler returns normally (it declines to handle the condition), the condition continues to bubble up, searching for another handler, and it will find the interactive debugger.

This is another difference from handler-case: if our handler function didn't explicitly return from its calling function with return-from handler-bind-example, the error would continue to bubble up, and we would get the interactive debugger.

This behaviour is particularly useful when your program signaled a simple condition. A simple condition isn't an error (see our "conditions hierarchy" below) so it won't trigger the debugger. You can do something to handle the condition (it's a signal for something occurring in your application), and let the program continue.

If some library doesn't handle all conditions and lets some bubble out to us, we can see the restarts (established by restart-case) anywhere deep in the stack, including restarts established by other libraries that this library called.

21.5.1. handler-bind doesn't unwind the stack

With handler-bind, we can see the full stack trace, with every frame that was called. Once we use handler-case, we "forget" many steps of our program's execution until the condition is handled: the call stack is unwound. handler-bind does *not* rewind the stack. Let's illustrate this.

For the sake of our demonstration, we will use the library trivial-backtrace, which you can install with Quicklisp:

```
(ql:quickload "trivial-backtrace")
```

It is a wrapper around the implementations' primitives such as sb-debug: print-backtrace.

Consider the following code: our main function calls a chain of functions which ultimately fail by signaling an error. We handle the error in the main function and print the backtrace.

```
(defun f0 ()
  (error "oh no"))
(defun f1 ()
  (f0))
(defun f2 ()
  (f1))
```

So far so good. It is trivial-backtrace that prints the "Date/time" and the message "An unhandled error condition...".

Now compare the stacktrace when we use handler-bind:

```
(defun main-no-stack-unwinding ()
  (handler-bind
      ((error (lambda (c)
                (format t "in main, we handle: \sima" c)
                (trivial-backtrace:print-backtrace c)
                (return-from main-no-stack-unwinding))))
    (f2)))
CL-REPL> (main-no-stack-unwinding)
in main, we handle: oh no
Date/time: 2025-07-04-11:32!
An unhandled error condition has been signalled: oh no
Backtrace for: #<SB-THREAD:THREAD "repl-thread" RUNNING {1008695453}>
1: (TRIVIAL-BACKTRACE:PRINT-BACKTRACE ...)
2: ...
3: ...
4: (ERROR "oh no")
5: (F0)
6: (F1)
7: (MAIN-NO-STACK-UNWINDING)
```

That's right: you can see all the call stack: from the main function to the error through f1 and f0. These two intermediate functions were not present in the backtrace when we used handler-case because, as the error was signaled and bubbled up in the call stack, the stack was *unwound* (or "untangled", "shortened"), and we lost information.

21.5.2. When to use which?

handler-case is enough when you expect a situation to fail. For example, in the context of an HTTP request, it is a common to anticipate a 400-ish error:

```
;; using the dexador library.
(handler-case (dex:get "http://bad-url.lisp")
```

```
(dex:http-request-failed (e)
  ;; For 4xx or 5xx HTTP errors: it's OK, this can happen.
  (format *error-output* "The server returned ~D" (dex:response-status e))))
```

In other exceptional situations, we'll surely want handler-bind. For example, when we want to handle what went wrong and we want to print a backtrace, or if we want to invoke the debugger manually (see below) and see exactly what happened.

21.6. Running some code, condition or not ("finally") (unwind-protect)

The "finally" part of others try/catch/finally forms is done with unwind-protect.

It is the construct used in "with-" macros, like with-open-file, which always closes the file after it.

With this example:

```
(unwind-protect (/ 3 0)
  (format t "This place is safe.~&"))
SBCL source:
(sb-xc:defmacro with-open-file ((stream filespec &rest options)
                                 &body body)
  (multiple-value-bind (forms decls) (parse-body body nil)
    (let ((abortp (sb-xc:gensym)))
      `(let ((,stream (open ,filespec ,@options))
             (,abortp t))
         ,@decls
         (unwind-protect
              (multiple-value-prog1
                  (progn ,@forms)
                (setq ,abortp nil))
           (when ,stream
             (close ,stream :abort ,abortp))))))
simplified:
(defmacro with-open-file ((stream filespec) &body body)
  `(let ((,stream (open ,filespec)))
    (unwind-protect
      (progn ,@body)
     (when ,stream
       (close ,stream)))))
because we want:
(let ((stream (open "filename.txt" :direction :input :if-does-not-exist :create :if-
exists :overwrite)))
    (unwind-protect
      (format stream "hello file")
     (when stream
       (close stream))))
as simply as:
(with-open-file (f "filename.txt" ...)
  (format stream "hello"))
```

We *do* get the interactive debugger (we didn't use handler-bind or anything), but our message is printed afterwards anyway.

21.7. Defining and making conditions

We define conditions with <u>define-condition</u> and we make (initialize) them with <u>make-condition</u>.

```
(define-condition my-division-by-zero (error)
  ())
(make-condition 'my-division-by-zero)
;; #<MY-DIVISION-BY-ZERO {1005A5FE43}>
```

It's better if we give more information to it when we create a condition, so let's use slots:

Now, when we signal the condition in our code, we'll be able to populate it with information to be consumed later:

```
(make-condition 'my-division-by-zero :dividend 3)
;; #<MY-DIVISION-BY-ZERO {1005C18653}>
```

Note: here's a quick reminder on classes, if you are not fully operational on the Common Lisp Object System.

and : reader dividend created a *generic function* that is a "getter" for the dividend of a my-division-by-zero object:

```
(make-condition 'my-division-by-zero :dividend 3)
;; #<MY-DIVISION-BY-ZERO {1005C18653}>
(dividend *)
;; 3
```

an ":accessor" would be both a getter and a setter.

So, the general form of define-condition looks and feels like a regular class definition, but despite the similarities, conditions are not standard objects.

A difference is that we can't use slot-value on slots.

21.8. Signaling conditions: error, cerror, warn, signal

We can use <u>error</u> in two ways:

- (error "some text"): signals a condition of type simple-error,.
- (error 'my-error :message "We did this and that and it didn't work."): creates and signals a custom condition with a value provided for the message slot.

In both cases, if the condition is not handled, error opens up the interactive debugger, where the user may select a restart to continue execution.

With our own condition type from above, we can do:

```
(error 'my-division-by-zero :dividend 3)
;; which is a shortcut for
(error (make-condition 'my-division-by-zero :dividend 3))
```

cerror is like error, but automatically establishes a continue restart that the user can use to continue execution. It accepts a string as its first argument - this string will be used as the user-visible report for that restart.

warn will not enter the debugger (create warning conditions by subclassing [warning][warning]) - if its condition is unhandled, it will log the warning to error output instead.

Use <u>signal</u> if you do not want to do any printing or enter the debugger, but you still want to signal to the upper levels that some sort of noticeable situation has occurred.

That situation can be anything, from passing information during normal operation of your code to grave situations like errors. For example, it can be used to track progress during an operation. You can create a condition with a percent slot, signal one whenever progress is made, and the higher level code would handle it and display it to the user. See the resources below for more.

21.8.1. Conditions hierarchy

```
The class precedence list of simple-error is simple-error, simple-condition, error, serious-condition, condition, t. The class precedence list of simple-warning is simple-warning, simple-condition, warning, condition, t.
```

21.8.2. Custom error messages (:report)

So far, when signaling our error, we saw this default text in the debugger:

```
Condition COMMON-LISP-USER::MY-DIVISION-BY-ZERO was signalled. [Condition of type MY-DIVISION-BY-ZERO]
```

We can do better by giving a : report function in our condition declaration:

[Condition of type MY-DIVISION-BY-ZERO]

21.9. Inspecting the stacktrace

That's another quick reminder, not a Slime tutorial. In the debugger, you can inspect the stacktrace, the arguments to the function calls, go to the erroneous source line (with \vec{v} in Slime), execute code in the context (e), etc.

Often, you can edit a buggy function, compile it (with the C-c Shortcut in Slime), choose the "RETRY" restart and see your code pass.

All this depends on compiler options, wether it is optimized for debugging, speed or security.

See our <u>debugging section</u>.

21.10. Restarts, interactive choices in the debugger

Restarts are the choices we get in the debugger, which always has the RETRY and ABORT ones.

By handling restarts we can start over the operation as if the error didn't occur (as seen in the stack).

21.10.1. Using assert's optional restart

In its simple form assert does what we know:

```
(assert (realp 3))
;; NIL = passed
```

When the assertion fails, we are prompted into the debugger:

```
(defun divide (x y)
  (assert (not (zerop y)))
  (/ x y))

(divide 3 0)
;; The assertion (NOT #1=(ZEROP Y)) failed with #1# = T.
;;  [Condition of type SIMPLE-ERROR]
;;
;; Restarts:
;; 0: [CONTINUE] Retry assertion.
;; 1: [RETRY] Retry SLIME REPL evaluation request.
;; ...
```

It also accepts an optional parameter to offer to change values:

Now we get a new restart that offers to change the value of Y:

```
(divide 3 0)
;; Y can not be zero. Please change it
;; [Condition of type SIMPLE-ERROR]
;;
;; Restarts:
;; 0: [CONTINUE] Retry assertion with new value for Y. <--- new restart
;; 1: [RETRY] Retry SLIME REPL evaluation request.
;; ...</pre>
```

and when we choose it, we are prompted for a new value in the REPL:

```
The old value of Y is 0.

Do you want to supply a new value? (y or n) y

Type a form to be evaluated:

2

3/2 ;; and our result.
```

21.10.2. Defining restarts (restart-case)

All this is good but we might want more custom choices. We can add restarts on the top of the list by wrapping our function call inside <u>restart-case</u>.

In case of *any error* (we'll improve on that with handler-bind), we'll get those two new choices at the top of the debugger:

```
arithmetic error DIVISION-BY-ZERO signalled
Operation was (/ 3 0).
   [Condition of type DIVISION-BY-ZERO]
Restarts:
0: [RETURN-ZERO] RETURN-ZERO
1: [DIVIDE-BY-ONE] DIVIDE-BY-ONE
 [RETRY] Retry SLIME REPL evaluation request.
 3: [*ABORT] Return to SLIME's top level.
4: [ABORT] abort thread (#<THREAD "repl-thread" RUNNING {1003A6FFA3}>)
Backtrace:
0: (SB-KERNEL::INTEGER-/-INTEGER 3 0)
  1: (/ 3 0)
  2: (DIVISION-RESTARTER)
 3: (SB-INT:SIMPLE-EVAL-IN-LEXENV (DIVISION-RESTARTER) #<NULL-LEXENV>)
 4: (EVAL (DIVISION-RESTARTER))
--more--
```

That's allright but let's just write more human-friendy "reports":

That's better, but we lack the ability to change an operand, as we did with the assert example above.

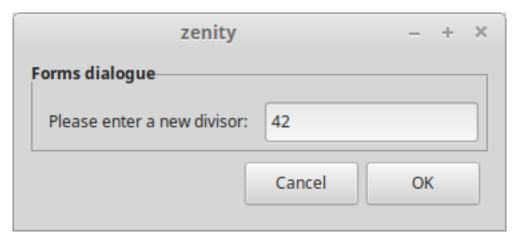
21.10.3. Changing a variable with restarts

The two restarts we defined didn't ask for a new value. To do this, we add an :interactive lambda function to the restart, that asks for the user a new value with the input method of its choice. Here, we'll use the regular read.

```
(defun divide-with-restarts (x y)
  (restart-case (/ x y)
      (return-zero ()
      :report "Return 0"
```

```
0)
    (divide-by-one ()
      :report "Divide by 1"
      (/ \times 1)
    (set-new-divisor (value)
      :report "Enter a new divisor"
      ;; Ask the user for a new value:
      :interactive (lambda () (prompt-new-value "Please enter a new divisor: "))
      ;; and call the divide function with the new value...
      ;; ... possibly handling bad input again!
      (divide-with-restarts x value))))
(defun prompt-new-value (prompt)
  (format *query-io* prompt) ;; *query-io*: the special stream to make user queries.
  (force-output *query-io*) ;; Ensure the user sees what he types.
  (list (read *query-io*))) ;; We must return a list.
(divide-with-restarts 3 0)
When calling it, we are offered a new restart, we enter a new value, and we get our result:
(divide-with-restarts 3 0)
;; Debugger:
;; 2: [SET-NEW-DIVISOR] Enter a new divisor
;; Please enter a new divisor: 10
;; 3/10
Oh, you prefer a graphical user interface? We can use the zenity command line interface on GNU/
Linux.
(defun prompt-new-value (prompt)
  (list
   (let ((input
          ;; We capture the program's output to a string.
          (with-output-to-string (s)
            (let* ((*standard-output* s))
              (uiop:run-program `("zenity"
                                   "--forms"
                                   ,(format nil "--add-entry=~a" prompt))
                                 :output s)))))
     ;; We get a string and we want a number.
     ;; We could also use parse-integer, the parse-number library, etc.
     (read-from-string input))))
```

Now try again and you should get a little window asking for a new number:



That's fun, but that's not all. Choosing restarts manually is not always (or often?) satisfactory. And by *handling* restarts we can start over the operation as if the error didn't occur, as seen in the stack.

21.10.4. Calling restarts programmatically (handler-bind, invoke-restart)

We have a piece of code that we know can signal conditions. Here, divide-with-restarts can signal an error about a division by zero. What we want to do, is our higher-level code to automatically handle it and call the appropriate restart.

We can do this with handler-bind and <u>invoke-restart</u>:

21.10.5. Using other restarts (find-restart)

Use find-restart.

find-restart 'name-of-restart will return the most recent bound restart with the given name, or nil.

21.10.6. Hiding and showing restarts

Restarts can be hidden. In restart-case, in addition to :report and :interactive, they also accept a :test key:

21.11. Invoking the debugger manually

Suppose you handle a condition with handler-bind, and your condition object is bound to the c variable (as in our examples above). Suppose a parameter of yours, say *devel-mode*, tells you are not in production. It may be handy to fire the debugger on the given condition. Use:

```
(invoke-debugger c)
```

In production, you can print the backtrace instead with trivial-backtrace:print-backtrace.

21.12. Disabling the debugger

We can run our lisp programs in production with the debugger turned off. Each implementation has a command-line switch. In SBCL, it is:

```
$ sbcl --disable-debugger
```

(which is implied by --script and --non-interactive).

21.13. Conclusion

You're now ready to write some production code!

21.14. Resources

- <u>Practical Common Lisp: "Beyond Exception Handling: Conditions and Restarts"</u> the go-to tutorial, more explanations and primitives.
- Common Lisp Recipes, chap. 12, by E. Weitz
- language reference
- Video tutorial: introduction on conditions and restarts, by Patrick Stein.
- Condition Handling in the Lisp family of languages
- <u>z0ltan.wordpress.com</u> (the article this recipe is heavily based upon)

21.15. See also

- <u>Algebraic effects You can touch this !</u> how to use conditions and restarts to implement progress reporting and aborting of a long-running calculation, possibly in an interactive or GUI context.
- A tutorial on conditions and restarts, based around computing the roots of a real function. It was presented by the author at a Bay Area Julia meetup on may 2019 (talk slides here).
- <u>lisper.in</u> example with parsing a csv file and using restarts with success, <u>in a flight travel</u> <u>company</u>.
- https://github.com/svetlyak40wt/python-cl-conditions implementation of the CL condition system in Python.
- https://github.com/phoe/portable-condition-system portable implementation of the CL condition system in Common Lisp.

21.16. Acknowledgements

• @vindarel's video course on Udemy for the handler-bind part.

22. Packages

See: The Complete Idiot's Guide to Common Lisp Packages

22.1. Creating a package

Here's an example package definition. It takes a name, and you probably want to :use the Common Lisp symbols and functions.

```
(defpackage :my-package
  (:use :cl))
```

To start writing code for this package, go inside it:

```
(in-package :my-package)
```

This in-package macro puts you "inside" a package:

- any new variable or function will be created in this package, aka in the "namespace" of this package.
- you can call all this package's symbols directly, without using the package prefix.

Just try!

We can also use in-package to try packages on the REPL. Note that on a new Lisp REPL session, we are "inside" the CL-USER package. It is a regular package.

Let's show you an example. We open a new .lisp file and we create a new package with a function inside our package:

```
;; in test-package.lisp
(defpackage :my-package
   (:use :cl))
(in-package :my-package)
(defun hello ()
   (print "Hello from my package."))
```

This "hello" function lives inside "my-package". It is not exported yet.

Continue below to see how to call it.

22.1.1. Accessing symbols from a package

As soon as you have defined a package or loaded one (with Quicklisp, or if it was defined as a dependency in your .asd system definition), you can access its symbols with package:a-symbol, using a colon as delimiter.

For example:

```
(str:concat ...)
```

When the symbol is not exported (it is "private"), use a double colon:

```
(package::non-exported-symbol)
(my-package::hello)
```

Continuing our example: in the REPL, be sure to be in my-package and not in CL-USER. There you can call "hello" directly:

```
CL-USER> (in-package :my-package)
#<PACKAGE "MY-PACKAGE">
;; ^^^ this is the package object. You can right click or call INSPECT on it.
```

```
MY-PACKAGE> (hello)
;; ^^^ the REPL shows you the current package.
"Hello from my package."
But now, come back to the CL-USER package and try to call "hello": we get an error.
MY-PACKAGE> (in-package :cl-user)
#<PACKAGE "COMMON-LISP-USER">
CL-USER> (hello)
=> you get the interactive debugger that says:
The function COMMON-LISP-USER::HELLO is undefined.
(quit)
We have to "namespace" our hello function with its package name:
CL-USER> (my-package::hello)
"Hello from my package."
Let's export the function.
22.1.2. Exporting symbols
Augment our defpackage declaration to export our "hello" function like so:
(defpackage :my-package
  (:use :cl)
  (:export
   #:hello))
Compile this (C-c in Slime), and now you can call
CL-USER> (my-package:hello)
with a single colon.
You can also use the export function:
(in-package :my-package)
```

Observation:

(export #:hello)

• exporting:hello without the sharpsign (#:hello) works too, but it will always create a new symbol. The #: notation does not create a new symbol. More precisely: it doesn't *intern* a new symbol in our current package. It is a detail and at this point, a personal preference to use it or not. It can be helpful to not clutter our symbols namespace, specially when we import and reexport symbols from other libraries. That way, our editor's symbols completion only shows relevant results. It is not useful for us at this point, don't worry.

Now we might want to import individual symbols in order to access them right away, without the package prefix.

22.1.3. Importing symbols from another package

You can import exactly the symbols you need with :import-from:

```
(defpackage :my-package
  (:import-from :ppcre #:regex-replace)
  (:use :cl))
```

Now you can call regex-replace from inside my-package, without the ppcre package prefix. regex-replace is a new symbol inside your package. It is not exported.

Sometimes, we see (:import-from :ppcre), without an explicit import. This helps people using ASDF's package inferred system.

You can also use the import function from outside a package definition:

```
CL-USER> (import 'ppcre:regex-replace)
CL-USER> (regex-replace ...)
```

22.1.4. Importing all symbols

It is a better practice to carefully choose what symbols you import from another package (read below), but we can also import all symbols at once with :use:

```
(defpackage :my-package
  (:use :cl :ppcre))
```

Now you can access all variables, functions and macros that were exported by cl-ppcre from your my-package package.

You can also use the use-package function:

```
CL-USER> (use-package 'cl-ppcre)
```

Its counterpart, to undo the imports of use-package, is... unuse-package.

22.1.5. About "use"-ing packages being a bad practice

:use is a well spread idiom. You could do:

```
(defpackage :my-package
  (:use :cl :ppcre))
```

and now, **all** symbols that are exported by cl-ppcre (aka ppcre) are available to use directly in your package. However, this should be considered bad practice, unless you use another package of your project that you control. Indeed, if the external package adds a symbol, it could conflict with one of yours, or you could add one which will hide the external symbol and you might not see a warning.

To quote this thorough explanation (a recommended read):

USE is a bad idea in contemporary code except for internal packages that you fully control, where it is a decent idea until you forget that you mutate the symbol of some other package while making that brand new shiny DEFUN. USE is the reason why Alexandria cannot nowadays even add a new symbol to itself, because it might cause name collisions with other packages that already have a symbol with the same name from some external source.

22.2. List all Symbols in a Package (do-external-symbols)

Common Lisp provides some macros to iterate through the symbols of a package. The two most interesting are: DO-SYMBOLS and DO-EXTERNAL-SYMBOLS. DO-SYMBOLS iterates over the symbols accessible in the package and DO-EXTERNAL-SYMBOLS only iterates over the external symbols (you can see them as the real package API).

To print all exported symbols of a package named "PACKAGE", you can write:

```
(do-external-symbols (s (find-package "PACKAGE"))
  (print s))
```

You can also collect all these symbols in a list by writing:

```
(let (symbols)
  (do-external-symbols (s (find-package "PACKAGE"))
      (push s symbols))
  symbols)
Or you can do it with LOOP.
(loop for s being the external-symbols of (find-package "PACKAGE")
  collect s)
See also with-package-iterator.
```

22.3. Package nickname

22.3.0.1. Package Local Nicknames (PLN)

Sometimes it is handy to give a local name to an imported package to save some typing, especially when the imported package does not provide nice global nicknames.

Many implementations (SBCL, CCL, ECL, Clasp, ABCL, ACL, LispWorks >= 7.2...) support Package Local Nicknames (PLN).

To use a PLN you can simply do the following, for example, if you'd like to try out a local nickname in an ad-hoc fashion:

```
(uiop:add-package-local-nickname :a :alexandria)
(a:iota 12) ; (0 1 2 3 4 5 6 7 8 9 10 11)
```

You can also set up a PLN in a defpackage form. The effect of PLN is totally within mypackage i.e. the nickname won't work in other packages unless defined there too. So, you don't have to worry about unintended package name clash in other libraries.

Another facility exists for adding nicknames to packages. The function RENAME-PACKAGE can be used to replace the name and nicknames of a package. But it's use would mean that other libraries may not be able to access the package using the original name or nicknames. There is rarely any situation to use this. Use Package Local Nicknames instead.

22.3.1. Nickname Provided by Packages

When defining a package, it is trivial to give it a nickname for better user experience. But this mechanism is *global*, a nickname defined here is visible by all other packages everywhere. If you were thinking in giving a short name to a package you use often, you can get a conflict with another package. That's why *package-local* nicknames appeared. You should use them instead.

Here's an example anyways, from the prove package:

```
(defpackage prove
  (:nicknames :cl-test-more :test-more)
  (:export #:run
```

```
#:is
#:ok)
```

Afterwards, a user may use a nickname instead of the package name to refer to this package. For example:

```
(prove:run)
(cl-test-more:is)
(test-more:ok)
```

Please note that although Common Lisp allows defining multiple nicknames for one package, too many nicknames may bring maintenance complexity to the users. Thus the nicknames shall be meaningful and straightforward. For example:

```
(defpackage #:iterate
  (:nicknames #:iter))
(defpackage :cl-ppcre
  (:nicknames :ppcre)
```

22.3.2. Package locks

The package common-lisp and SBCL internal implementation packages are locked by default, including sb-ext.

In addition, any user-defined package can be declared to be locked so that it cannot be modified by the user. Attempts to change its symbol table or redefine functions which its symbols name result in an error.

More detailed information can be obtained from documents of SBCL and CLisp.

For example, if you try the following code:

```
(asdf:load-system :alexandria)
(rename-package :alexandria :alex)
You will get the following error (on SBCL):
Lock on package ALEXANDRIA violated when renaming as ALEX while
in package COMMON-LISP-USER.
   [Condition of type PACKAGE-LOCKED-ERROR]
See also:
 SBCL Manual, Package Locks [:node]
Restarts:
 0: [CONTINUE] Ignore the package lock.
 1: [IGNORE-ALL] Ignore all package locks in the context of this operation.
 2: [UNLOCK-PACKAGE] Unlock the package.
 3: [RETRY] Retry SLIME REPL evaluation request.
 4: [*ABORT] Return to SLIME's top level.
 5: [ABORT] abort thread (#<THREAD "repl-thread" RUNNING {10047A8433}>)
. . .
```

If a modification is required anyway, a package named <u>cl-package-lock</u> can be used to ignore package locks. For example:

```
(cl-package-locks:without-package-locks
  (rename-package :alexandria :alex))
```

22.4. See also

• <u>Package Local Nicknames in Common Lisp</u> article.

23. Macros

The word *macro* is used generally in computer science to mean a syntactic extension to a programming language. (Note: The name comes from the word "macro-instruction," which was a useful feature of many second-generation assembly languages. A macro-instruction looked like a single instruction, but expanded into a sequence of actual instructions. The basic idea has since been used many times, notably in the C preprocessor. The name "macro" is perhaps not ideal, since it connotes nothing relevant to what it names, but we're stuck with it.) Although many languages have a macro facility, none of them are as powerful as Lisp's. The basic mechanism of Lisp macros is simple, but has subtle complexities, so learning your way around it takes a bit of practice.

23.1. How Macros Work

A macro is an ordinary piece of Lisp code that operates on *another piece of putative Lisp code*, translating it into (a version closer to) executable Lisp. That may sound a bit complicated, so let's give a simple example. Suppose you want a version of setq that sets two variables to the same value. So if you write

```
(setq2 \times y (+ z 3))
```

when z=8 then both x and y are set to 11. (I can't think of any use for this, but it's just an example.)

It should be obvious that we can't define setq2 as a function. If x=50 and y=-5, this function would receive the values 50, -5, and 11; it would have no knowledge of what variables were supposed to be set. What we really want to say is, When you (the Lisp system) see:

```
(setq2 v1 v2 e)
```

then treat it as equivalent to:

```
(progn
  (setq v1 e)
  (setq v2 e))
```

Actually, this isn't quite right, but it will do for now. A macro allows us to do precisely this, by specifying a program for transforming the input pattern (setq2 v1 v2 e) into the output pattern (progn ...).

23.1.1. Quote

Here's how we could define the setq2 macro:

```
(defmacro setq2 (v1 v2 e)
  (list 'progn (list 'setq v1 e) (list 'setq v2 e)))
```

It takes as parameters two variables and one expression.

Then it returns a piece of code. In Lisp, because code is represented as lists, we can simply return a list that represents code.

We also use the *quote*, a *special operator* (not a function nor a macro, but one of a few special operators forming the core of Lisp).

Each *quoted* object evaluates to itself, aka it is returned as is:

- (+ 1 2) evaluates to 3 but (quote (+ 1 2)) evaluates to (+ 1 2)
- (quote (foo bar baz)) evaluates to (foo bar baz)
- ' is a shortcut for quote: (quote foo) and 'foo are equivalent both evaluate to foo.

So, our macro returns the following bits:

- the symbol progn,
- a second list, that contains
 - ▶ the symbol setq
 - ▶ the variable v1: note that the variable is not evaluated inside the macro!
 - the expression e: it is not evaluated either!
- a second list, with v2.

We can use it like this:

```
(defparameter v1 1)
(defparameter v2 2)
(setq2 v1 v2 3)
;; 3
```

We can check, v1 and v2 were set to 3.

23.1.2. Macroexpand

We must start writing a macro when we know what code we want to generate. Once we've begun writing one, it becomes very useful to check effectively what code does the macro generate. The function for that is macroexpand. It is a function, and we give it some code, as a list (so, we quote the code snippet we give it):

```
(macroexpand '(setq2 v1 v2 3))
;; (PROGN (SETQ V1 3) (SETQ V2 3))
;; T
```

Yay, our macro expands to the code we wanted!

More interestingly:

```
(macroexpand '(setq2 v1 v2 (+ z 3)))
;; (PROGN (SETQ V1 (+ z 3)) (SETQ V2 (+ z 3)))
;; T
```

We can confirm that our expression e, here (+z 3), was not evaluated. We will see how to control the evaluation of arguments with the comma:

23.1.3. Note: Slime tips

With Slime, you can call macroexpand by putting the cursor at the left of the parenthesis of the sexpr to expand and call the function M-x slime-macroexpand-[1,all], or C-c M-m:

```
[|](setq2 v1 v2 3)
;^ cursor
; C-c M-m
; =>
; (PROGN (SETQ V1 3) (SETQ V2 3))
```

Another tip: on a macro name, type C-c C-w m (or M-x slime-who-macroexpands) to get a new buffer with all the places where the macro was expanded. Then type the usual C-c C-k (slime-compile-and-load-file) to recompile all of them.

23.1.4. Macros VS functions

Our macro is very close to the following function definition:

```
(defun setq2-function (v1 v2 e)
  (list 'progn (list 'setq v1 e) (list 'setq v2 e)))
```

If we evaluated (setq2-function 'x 'y '(+ z 3)) (note that each argument is *quoted*, so it isn't evaluated when we call the function), we would get

```
(progn (setq x (+ z 3)) (setq y (+ z 3)))
```

This is a perfectly ordinary Lisp computation, whose sole point of interest is that its output is a piece of executable Lisp code. What defmacro does is create this function implicitly and make sure that whenever an expression of the form (setq2 x y (+ z 3)) is seen, setq2-function is called with the pieces of the form as arguments, namely x, y, and (+ z 3). The resulting piece of code then replaces the call to setq2, and execution resumes as if the new piece of code had occurred in the first place. The macro form is said to *expand* into the new piece of code.

23.1.5. Evaluation context

This is all there is to it, except, of course, for the myriad subtle consequences. The main consequence is that *run time for the setq2 macro* is *compile time for its context*. That is, suppose the Lisp system is compiling a function, and midway through it finds the expression (setq2 x y (+ z 3)). The job of the compiler is, of course, to translate source code into something executable, such as machine language or perhaps byte code. Hence it doesn't execute the source code, but operates on it in various mysterious ways. However, once the compiler sees the setq2 expression, it must suddenly switch to executing the body of the setq2 macro. As I said, this is an ordinary piece of Lisp code, which can in principle do anything any other piece of Lisp code can do. That means that when the compiler is running, the entire Lisp (run-time) system must be present.

We'll stress this once more: at compile-time, you have the full language at your disposal.

Novices often make the following sort of mistake. Suppose that the setq2 macro needs to do some complex transformation on its e argument before plugging it into the result. Suppose this transformation can be written as a Lisp procedure some-computation. The novice will often write:

```
(defmacro setq2 (v1 v2 e)
  (let ((e1 (some-computation e)))
    (list 'progn (list 'setq v1 e1) (list 'setq v2 e1))))
(defmacro some-computation (exp) ...) ;; _Wrong!_
```

The mistake is to suppose that once a macro is called, the Lisp system enters a "macro world," so naturally everything in that world must be defined using defmacro. This is the wrong picture. The right picture is that defmacro enables a step into the *ordinary Lisp world*, but in which the principal object of manipulation is Lisp code. Once that step is taken, one uses ordinary Lisp function definitions:

```
(defmacro setq2 (v1 v2 e)
  (let ((el (some-computation e)))
     (list 'progn (list 'setq v1 el) (list 'setq v2 el))))
(defun some-computation (exp) ...) ;; _Right!_
```

One possible explanation for this mistake may be that in other languages, such as C, invoking a preprocessor macro *does* get you into a different world; you can't run an arbitrary C program. It might be worth pausing to think about what it might mean to be able to.

Another subtle consequence is that we must spell out how the arguments to the macro get distributed to the hypothetical behind-the-scenes function (called setq2-function in my example). In most cases, it is easy to do so: In defining a macro, we use all the usual lambda-list syntax, such as &optional, &rest, &key, but what gets bound to the formal parameters are pieces of the macro form,

not their values (which are mostly unknown, this being compile time for the macro form). So if we defined a macro thus:

```
(defmacro foo (x &optional y &key (cxt 'null)) ...)
then
```

- if we call it with (foo a), the parameters' values are: x=a, y=nil, cxt=null.
- calling (foo (+ a 1) (- y 1)) gives: x=(+ a 1), y=(- y 1), cxt=null.
- and (foo a b :cxt (zap zip)) gives: x=a, y=b, cxt=(zap zip).

Note that the values of the variables are the actual expressions (+ a 1) and (zap zip). There is no requirement that these expressions' values be known, or even that they have values. The macro can do anything it likes with them. For instance, here's an even more useless variant of setq: (setq-reversible e1 e2 d) behaves like (setq e1 e2) if d=:normal, and behaves like (setq e2 e1) if d=:backward. It could be defined thus:

```
(defmacro setq-reversible (el e2 direction)
  (case direction
      (:normal (list 'setq el e2))
      (:backward (list 'setq e2 el))
      (t (error "Unknown direction: ~a" direction))))

Here's how it expands:

(macroexpand '(setq-reversible x y :normal))
(SETQ X Y)

T
  (macroexpand '(setq-reversible x y :backward))
(SETQ Y X)

T

And with a wrong direction:

(macroexpand '(setq-reversible x y :other-way-around))
```

We get an error and are prompted into the debugger!

We'll see the backquote and comma mechanism in the next section, but here's a fix:

Now when we call (setq-reversible v1 v2 :other-way-around) we still get the error and the debugger, but at least not when using macroexpand.

23.2. Backquote and comma

Before taking another step, we need to introduce a piece of Lisp notation that is indispensable to defining macros, even though technically it is quite independent of macros. This is the *backquote facility*. As we saw above, the main job of a macro, when all is said and done, is to define a piece of Lisp code, and that means evaluating expressions such as (list 'prog (list 'setq ...) ...). As

these expressions grow in complexity, it becomes hard to read them and write them. What we find ourselves wanting is a notation that provides the skeleton of an expression, with some of the pieces filled in with new expressions. That's what backquote provides. Instead of the list expression given above, one writes

The backquote (`) character signals that in the expression that follows, every subexpression *not* preceded by a comma is to be quoted, and every subexpression preceded by a comma is to be evaluated

You can think of it, and use it, as data interpolation:

```
(v1 = ,v1) ;; => (V1 = 3)
```

That's mostly all there is to backquote. There are just two extra items to point out.

23.2.0.1. Comma-splice ,@

First, if you write ",@e" instead of ",e" then the value of *e* is *spliced* (or "joined", "combined", "interleaved") into the result. So if v equals (oh boy), then

The second occurrence of v is replaced by its value. The first is replaced by the elements of its value. If v had had value (), it would have disappeared entirely: the value of (zap ,@v ,v) would have been (zap ()), which is the same as (zap nil).

23.2.0.2. Quote-comma ',

When we are inside a backquote context and we want to print an expression literally, we have no choice but to use the combination of quote and comma:

```
(defmacro explain-exp (exp)
  `(format t "\simS = \simS" ',exp ,exp))
  ;;
(explain-exp (+ 2 3))
;; (+ 2 3) = 5
See by yourself:
;; Defmacro with no quote at all:
(defmacro explain-exp (exp)
  (format t "\sim a = \sim a" exp exp))
(explain-exp v1)
;; V1 = V1
;; OK, with a backquote and a comma to get the value of exp:
(defmacro explain-exp (exp)
  ;; WRONG example
  `(format t "\sima = \sima" exp ,exp))
(explain-exp v1)
;; => error: The variable EXP is unbound.
```

```
;; We then must use quote-comma:
(defmacro explain-exp (exp)
  `(format t "~a = ~a" ',exp ,exp))
(explain-exp (+ 1 2))
;; (+ 1 2) = 3
```

23.2.0.3. Nested backquotes

Second, one might wonder what happens if a backquote expression occurs inside another backquote. The answer is that the backquote becomes essentially unreadable and unwriteable; using nested backquote is usually a tedious debugging exercise. The reason, in my not-so-humble opinion, is that backquote is defined wrong. A comma pairs up with the innermost backquote when the default should be that it pairs up with the outermost. But this is not the place for a rant; consult your favorite Lisp reference for the exact behavior of nested backquote plus some examples.

23.2.0.4. Building lists with backquote

One problem with backquote is that once you learn it you tend to use for every list-building occasion. For instance, you might write

which yields ((a) 15 15) when some-list = (a 6 15). The problem is that mapcan destructively alters the results returned by the <u>lambda-expression</u>. Can we be sure that the lists returned by that expression are "<u>fresh</u>," that is, they are different (in the <u>eq</u> sense) from the structures returned on other calls of that <u>lambda expression</u>? In the present case, close analysis will show that they must be fresh, but in general backquote is not obligated to return a fresh list every time (whether it does or not is implementation-dependent). If the example above got changed to

then backquote may well treat (low) as if it were '(low); the list will be allocated at load time, and every time the lambda is evaluated, that same chunk of storage will be returned. So if we evaluate the expression with some-list = (a 6 15), we will get ((a) low 15 15), but as a side effect the constant (low) will get clobbered to become (low 15 15). If we then evaluate the expression with, say, some-list = (8 oops), the result will be (low 15 15 (oops)), and now the "constant" that started off as '(low) will be (low 15 15 (oops)). (Note: The bug exemplified here takes other forms, and has often bit newbies - as well as experienced programmers - in the ass. The general form is that a constant list is produced as the value of something that is later destructively altered. The first line of defense against this bug is never to destructively alter any list. For newbies, this is also the last line of defense. For those of us who imagine we're more sophisticated, the next line of defense is to think very carefully any time you use nconc or mapcan).

To fix the bug, you can write (map 'list ...) instead of mapcan. However, if you are determined to use mapcan, write the expression this way:

My personal preference is to use backquote *only* to build S-expressions, that is, hierarchical expressions that consist of symbols, numbers, and strings, and that are not conceptualized as changing in length. For instance, I would never write

```
(setq sk `(,x ,@sk))
```

If sk is being used as a stack, that is, it's going to be popped in the normal course of things, I would write (push x sk). If not, I would write (setq sk (cons x sk)).

23.3. Getting Macros Right

I said in the first section that my definition of setq2 wasn't quite right, and now it's time to fix it.

Suppose we write ($setq2 \times y \ (+ \times 2)$), when x=8. Then according to the definition given above, this form will expand into

```
(progn
(setq x (+ x 2))
(setq y (+ x 2)))
```

so that x will have value 10 and y will have value 12. Indeed, here's its macroexpansion:

```
(macroexpand '(setq2 x y (+ x 2)))
;;(PROGN (SETQ X (+ X 2)) (SETQ Y (+ X 2)))
```

Chances are that isn't what the macro is expected to do (although you never know). Another problematic case is $(setq2 \times y \pmod{1})$, which causes 1 to be popped twice; again, probably not right.

The solution is to evaluate the expression e just once, save it in a temporary variable, and then set v1 and v2 to it.

23.3.1. Gensym

To make temporary variables, we use the gensym function, which returns a fresh variable guaranteed to appear nowhere else. Here is what the macro should look like:

Here gensym has returned the symbol #:g2003, which prints in this funny way because it won't be recognized by the reader. (Nor is there any need for the reader to recognize it, since it exists only long enough for the code that contains it to be compiled.)

Exercise: Verify that this new version works correctly for the case (setq2 x y (pop l1)).

Exercise: Try writing the new version of the macro without using backquote. If you can't do it, you have done the exercise correctly, and learned what backquote is for!

The moral of this section is to think carefully about which expressions in a macro get evaluated and when. Be on the lookout for situations where the same expression gets plugged into the output twice (as e was in my original macro design). For complex macros, watch out for cases where the order that expressions are evaluated differs from the order in which they are written. This is sure to trip up some user of the macro - even if you are the only user.

23.4. What Macros are For

Macros are for making syntactic extensions to Lisp. One often hears it said that macros are a bad idea, that users can't be trusted with them, and so forth. Balderdash. It is just as reasonable to extend a language syntactically as to extend it by defining your own procedures. It may be true that the casual reader of your code can't understand the code without seeing the macro definitions, but then the casual reader can't understand it without seeing function definitions either. Having defmethods strewn around several files contributes far more to unclarity than macros ever have, but that's a different diatribe.

Before surveying what sorts of syntactic extensions I have found useful, let me point out what sorts of syntactic extensions are generally *not* useful, or best accomplished using means other than macros. Some novices think macros are useful for open-coding functions. So, instead of defining

```
(defun sqone (x)
   (let ((y (+ x 1))) (* y y)))
they might define
(defmacro sqone (x)
    `(let ((y (+ ,x 1))) (* y y)))
So that (sqone (* z 13)) might expand into
(let ((y (+ (* z 13) 1)))
   (* y y))
```

This is correct, but a waste of effort. For one thing, the amount of time saved is almost certainly negligible. If it's really important that sqone be expanded inline, one can put (declaim (inline sqone)) before sqone is defined (although the compiler is not obligated to honor this declaration). For another, once sqone is defined as a macro, it becomes impossible to write (mapcar #'sqone ll), or to do anything else with it except call it.

But macros have a thousand and one legitimate uses. Why write (lambda (x) ...) when you can write $(^{(x)}$...)? Just define $^{(x)}$ as a macro:

```
(defmacro ^ (&rest body)
  `(lambda ,@body))
```

Many people find mapcar and mapcan a bit too obscure, especially when used with large lambda expressions. Rather than write something like

```
))))
        list)
we might prefer to write
(for (x :in list)
     (let ((y (hairy-fun1 x))
           (z (hairy-fun2 x)))
       (dolist (y1 y)
         (dolist (z1 z)
           _... and further meaningless_
           _space-filling nonsense..._
          ))))
This macro might be defined thus:
(defmacro for (listspec exp)
              ^{^{}} listspec = (x :in list), a list of length 3.
  ;;
                       ;;
  (cond
    ((and (= (length listspec) 3)
          (symbolp (first listspec))
          (eq (second listspec) ':in))
     `(mapcar (lambda (,(first listspec))
                ,exp)
              ,(third listspec)))
```

(This is a simplified version of a macro by Chris Riesbeck.)

(t (error "Ill-formed for spec: ~A" listspec)))))

It's worth stopping for a second to discuss the role the keyword: in plays in this macro. It serves as a sort of "local syntax marker," in that it has no meaning as far as Lisp is concerned, but does serve as a syntactic guidepost for the macro itself. I will refer to these markers as *guide symbols*. (Here its job may seem trivial, but if we generalized the for macro to allow multiple list arguments and an implicit progn in the body the :ins would be crucial in telling us where the arguments stopped and the body began.)

It is not strictly necessary for the guide symbols of a macro to be in the <u>keyword package</u>, but it is a good idea, for two reasons. First, they highlight to the reader that something idiosyncratic is going on. A form like (for ((x in (foobar a b 'oof))) (something-hairy x (list x))) looks a bit wrong already, because of the double parentheses before the x. But using ":in" makes it more obvious.

Second, notice that I wrote (eq (second listspec) ':in) in the macro definition to check for the presence of the guide symbol. If I had used in instead, I would have had to think about which package my in lives in and which package the macro user's in lives in. One way to avoid trouble would be to write

which neither of which is particularly clear or aesthetic. The keyword package is there to provide a home for symbols whose home is not per se relevant to anything; you might as well use it. (Note: In ANSI Lisp, I could have written "IN" instead of (symbol-name 'in), but there are Lisp implementations that do not convert symbols' names to uppercase. Since I think the whole uppercase conversion idea is an embarrassing relic, I try to write code that is portable to those implementations.)

Let's look at another example, both to illustrate a nice macro, and to provide an auxiliary function for some of the discussion below. One often wants to create new symbols in Lisp, and gensym is not always adequate for building them. Here is a description of an alternative facility called build-symbol:

(build-symbol [(:package p)] -pieces-) builds a symbol by concatenating the given *pieces* and interns it as specified by *p*. For each element of *pieces*, if it is a ...

- ... string: The string is added to the new symbol's name.
- ... symbol: The name of the symbol is added to the new symbol's name.
- ... expression of the form (:< e): *e* should evaluate to a string, symbol, or number; the characters of the value of *e* (as printed by princ) are concatenated into the new symbol's name.
- ... expression of the form (:++ p): *p* should be a place expression (i.e., appropriate as the first argument to setf), whose value is an integer; the value is incremented by 1, and the new value is concatenated into the new symbol's name.

If the :package specification is omitted, it defaults to the value of *package*. If *p* is nil, the symbol is interned nowhere. Otherwise, it should evaluate to a package designator (usually, a keyword whose name is the same of a package).

For example, (build-symbol (:< x) "-" (:++ *x-num*)), when x = foo and *x-num* = 8, sets *x-num* to 9 and evaluates to F00-9. If evaluated again, the result will be F00-10, and so forth.

Obviously, build-symbol can't be implemented as a function; it has to be a macro. Here is an implementation:

(Another approach would be have symstuff return a single call of the form (format nil format-string -forms-), where the *forms* are derived from the *pieces*, and the *format-string* consists of interleaved ~a's and strings.)

Sometimes a macro is needed only temporarily, as a sort of syntactic scaffolding. Suppose you need to define 12 functions, but they fall into 3 stereotyped groups of 4:

```
(defun make-a-zip (y z)
  (vector 2 'zip y z))
(defun test-whether-zip (x)
  (and (vectorp x) (eq (aref x 1) 'zip)))
(defun zip-copy (x) ...)
(defun zip-deactivate (x) ...)
(defun make-a-zap (u v w)
  (vector 3 'zap u v w))
(defun test-whether-zap (x) ...)
(defun zap-copy (x) ...)
(defun zap-deactivate (x) ...)
(defun make-a-zep ()
 (vector 0 'zep))
(defun test-whether-zep (x) ...)
(defun zep-copy (x) ...)
(defun zep-deactivate (x) ...)
```

Where the omitted pieces are the same in all similarly named functions. (That is, the "..." in zep-deactivate is the same code as the "..." in zip-deactivate, and so forth.) Here, for the sake of concreteness, if not plausibility, zip, zap, and zep are behaving like odd little data structures. The functions could be rather large, and it would get tedious keeping them all in sync as they are debugged. An alternative would be to use a macro:

```
(odd-define zip (y z))
(odd-define zap (u v w))
(odd-define zep ())
```

If all the uses of this macro are collected in this one place, it might be clearer to make it a local macro using macrolet:

Finally, macros are essential for defining "command languages." A *command* is a function with a short name for use by users in interacting with Lisp's read-eval-print loop. A short name is useful and possible because we want it to be easy to type and we don't care much whether the name clashes some other command; if two command names clash, we can change one of them.

As an example, let's define a little command language for debugging macros. (You may actually find this useful.) There are just two commands, ex and fi. They keep track of a "current form," the thing to be macro-expanded or the result of such an expansion:

- 1. (ex [form]): Apply macroexpand-1 to *form* (if supplied) or the current form, and make the result the current form. Then pretty-print the current form.
- 2. (fi s [k]): Find the k'th subexpression of the current form whose car is s. (k defaults to 0.) Make that subexpression the current form and pretty-print it.

Suppose you're trying to debug a macro hair-squared that expands into something complex containing a subform that is itself a macro form beginning with the symbol odd-define. You suspect there is a bug in the subform. You might issue the following commands:

Once again, it is clear that ex and fi cannot be functions, although they could easily be made into functions if we were willing to type a quote before their arguments. But using "quote" often seems

inappropriate in commands. For one thing, having to type it is a nuisance in a context where we are trying to save keystrokes, especially if the argument in question is always quoted. For another, in many cases it just seems inappropriate. If we had a command that took a symbol as one of its arguments and set it to a value, it would just be strange to write (command 'x ...) instead of (command x ...), because we want to think of the command as a variant of setq.

Here is how ex and fi might be defined:

The ex macro expands to a form containing a call to macroexpand-1, a built-in function that does one step of macro expansion to a form whose car is the name of a macro. (If given some other form, it returns the form unchanged.) pprint is a built-in function that pretty-prints its argument. Because we are using ex and fi at a read-eval-print loop, any value returned by their expansions will be printed. Here the expansion is executed for side effect, so we arrange to return no values at all by having the expansion return (values).

In some Lisp implementations, read-eval-print loops routinely print results using pprint. In those implementations we could simplify ex and fi by having them print nothing, but just return the value of *current-form*, which the read-eval-print loop will then print prettily. Use your judgment.

I leave the definition of find-nth-occurrence as an exercise. You might also want to define a command that just sets and prints the current form: (cf e).

One caution: In general, command languages will consist of a mixture of macros and functions, with convenience for their definer (and usually sole user) being the main consideration. If a command seems to "want" to evaluate some of its arguments sometimes, you have to decide whether to define two (or more) versions of it, or just one, a function whose arguments must be quoted to prevent their being evaluated. For the cf command mentioned in the previous paragraph, some users might prefer cf to be a function, some a macro.

23.5. define-symbol-macro, symbol-macrolet

These two macros allow to define a symbol that will act as a "shortcut" for another, more complex form.

In the spec words, they "provide a mechanism for affecting the macro expansion of the indicated symbol".

define-symbol-macro affects the global environment (like defparameter, defun and all), symbol-macrolet is to be used for a local scope like let.

We gave an example in the Data Structures section. We use a struct:

```
(defstruct ship x-position y-position x-velocity y-velocity)
```

Its slot accessors are ship-x-position, etc.

We write a move-ship function, that has to access all the different structure slots:

but we find it too wordy: we'll use a local symbol macro so that x will expand to ship-x-position.

symbol-macrolet looks like this, its syntax is similar to let:

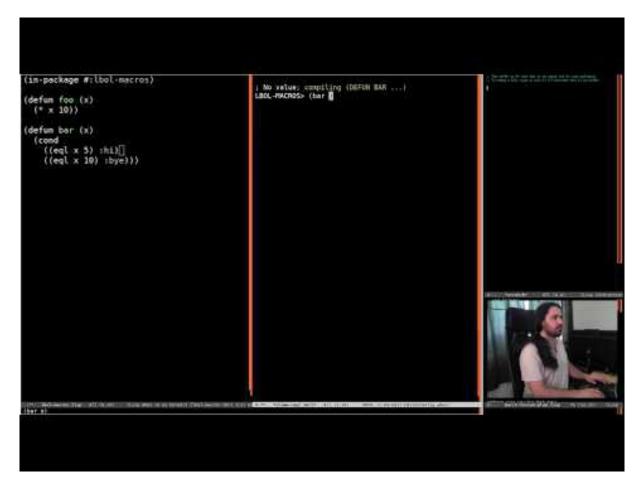
```
(symbol-macrolet ((x (ship-x-position ship))
                  (y (other-form ship)))
    (use x and y here))
let's use it in our function:
(defun move-ship (ship)
  (symbol-macrolet
                                      ;; <---- like a LET
      ((x (ship-x-position ship))
                                      ;; <---- a list of (symbol (expansion form))</pre>
       (y (ship-y-position ship))
       (xv (ship-x-velocity ship))
       (yv (ship-y-velocity ship)))
    (psetf x (+ x xv)
                                      ;; <---- use x in the body
           y (+ y yv))
    ship))
```

At compile-time, during the phase of macro-expansions, x witll be expanded to the form (ship-x-position ship), and the function will be compiled with that form.

Read more on the Community Spec.

23.6. See also

- <u>A gentle introduction to Compile-Time Computing Part 1</u>
- <u>Safely dealing with scientific units of variables at compile time (a gentle introduction to Compile-Time Computing part 3)</u>
- The following video, from the series <u>"Little bits of Lisp"</u> by <u>cbaggers</u>, is a two hours long talk on macros, showing simple to advanced concepts such as compiler macros: <u>https://www.youtube.com/watch?v=ygKXeLKhiTI</u> It also shows how to manipulate macros (and their expansion) in Emacs.



• the article "Reader macros in Common Lisp": https://lisper.in/reader-macros

24. Fundamentals of CLOS

CLOS is the "Common Lisp Object System", arguably one of the most powerful object systems available in any language.

Some of its features include:

- it is **dynamic**, making it a joy to work with in a Lisp REPL. For example, changing a class definition will update the existing objects, given certain rules which we have control upon.
- it supports multiple dispatch and multiple inheritance,
- it is different from most object systems in that class and method definitions are not tied together,
- it has excellent **introspection** capabilities,
- it is provided by a **meta-object protocol**, which provides a standard interface to the CLOS, and can be used to create new object systems.

The functionality belonging to this name was added to the Common Lisp language between the publication of Steele's first edition of "Common Lisp, the Language" in 1984 and the formalization of the language as an ANSI standard ten years later.

This page aims to give a good understanding of how to use CLOS, but only a brief introduction to the MOP.

To learn the subjects in depth, you will need two books:

- Object-Oriented Programming in Common Lisp: a Programmer's Guide to CLOS, by Sonya Keene,
- the Art of the Metaobject Protocol, by Gregor Kiczales, Jim des Rivières et al.

But see also

- the introduction in <u>Practical Common Lisp</u> (online), by Peter Seibel.
- Common Lisp, the Language
- and for reference, the complete <u>CLOS-MOP specifications</u>.

24.1. Classes and instances

24.1.1. Diving in

Let's dive in with an example showing class definition, creation of objects, slot access, methods specialized for a given class, and inheritance.

```
(defclass person ()
 ((name
   :initarg :name
   :accessor name)
   (lisper
   :initform nil
   :accessor lisper)))
;; => #<STANDARD-CLASS PERSON>
(defvar p1 (make-instance 'person :name "me" ))
                                   ^^^^ initarg
;;
;; => #<PERSON {1006234593}>
(name p1)
;;^^^ accessor
;; => "me"
(lisper p1)
```

```
;; => nil
;; ^^ initform (slot unbound by default)
(setf (lisper p1) t)
(defclass child (person)
  ())
(defclass child (person)
  ((can-walk-p
     :accessor can-walk-p
     :initform t)))
;; #<STANDARD-CLASS CHILD>
(can-walk-p (make-instance 'child))
;; T
24.1.2. Defining classes (defclass)
```

The macro used for defining new data types in CLOS is defclass.

We used it like this:

```
(defclass person ()
 ((name
    :initarg :name
    :accessor name)
   (lisper
    :initform nil
    :accessor lisper)))
```

This gives us a CLOS type (or class) called person and two slots, named name and lisper.

```
(class-of p1)
#<STANDARD-CLASS PERSON>
(type-of p1)
PERSON
The general form of defclass is:
(defclass <class-name> (list of super classes)
  ((slot-1
     :slot-option slot-argument)
   (slot-2, etc))
  (:optional-class-option
   :another-optional-class-option))
```

So, our person class doesn't explicitly inherit from another class (it gets the empty parentheses ()). However it still inherits by default from the class t and from standard-object. See below under "inheritance".

We could write a minimal class definition without slot options like this:

```
(defclass point ()
  (x y z))
or even without slot specifiers: (defclass point () ()).
```

24.1.3. Creating objects (make-instance)

We create instances of a class with make-instance:

```
(defvar p1 (make-instance 'person :name "me" ))
```

It is generally good practice to define a constructor:

```
(defun make-person (name &key lisper)
  (make-instance 'person :name name :lisper lisper))
```

This has the direct advantage that you can control the required arguments. You should now export the constructor from your package and not the class itself.

24.1.4. Slots

24.1.4.1. A function that always works (slot-value)

The function to access any slot anytime is (slot-value <object> <slot-name>).

Given our point class above, which didn't define any slot accessors:

```
(defvar pt (make-instance 'point))
(inspect pt)
The object is a STANDARD-OBJECT of type POINT.
0. X: "unbound"
1. Y: "unbound"
2. Z: "unbound"
```

We got an object of type POINT, but **slots are unbound by default**: trying to access them will raise an UNBOUND-SLOT condition:

```
(slot-value pt 'x) ;; => condition: the slot is unbound
slot-value is setf-able:
(setf (slot-value pt 'x) 1)
(slot-value pt 'x) ;; => 1
```

24.1.4.2. Initial and default values (initarg, initform)

• :initarg :foo is the keyword we can pass to make-instance to give a value to this slot:

```
(make-instance 'person :name "me")
```

(again: slots are unbound by default)

• :initform <val> is the *default value* in case we didn't specify an initarg. This form is evaluated each time it's needed, in the lexical environment of the defclass.

Sometimes we see the following trick to clearly require a slot:

```
(defclass foo ()
    ((a
        :initarg :a
        :initform (error "you didn't supply an initial value for slot a"))))
;; #<STANDARD-CLASS F00>
(make-instance 'foo) ;; => enters the debugger.
```

24.1.4.3. Getters and setters (accessor, reader, writer)

• :accessor foo: an accessor is both a **getter** and a **setter**. Its argument is a name that will become a **generic function**.

```
(name p1) ;; => "me"

(type-of #'name)
STANDARD-GENERIC-FUNCTION
```

• :reader and :writer do what you expect. Only the :writer is setf-able.

If you don't specify any of these, you can still use slot-value.

You can give a slot more than one :accessor, :reader or :initarg.

We introduce two macros to make the access to slots shorter in some situations:

1-with-slots allows to abbreviate several calls to slot-value. The first argument is a list of slot names. The second argument evaluates to a CLOS instance. This is followed by optional declarations and an implicit progn. Lexically during the evaluation of the body, an access to any of these names as a variable is equivalent to accessing the corresponding slot of the instance with slot-value.

2-with-accessors is equivalent, but instead of a list of slots it takes a list of accessor functions. Any reference to the variable inside the macro is equivalent to a call to the accessor function.

24.1.4.4. Class VS instance slots

:allocation specifies whether this slot is local or shared.

- a slot is *local* by default, that means it can be different for each instance of the class. In that case :allocation equals :instance.
- a shared slot will always be equal for all instances of the class. We set it with :allocation :class.

In the following example, note how changing the value of the class slot species of p2 affects all instances of the class (whether or not those instances exist yet).

```
;; 1. SPECIES: HOMO-SAPIENS
;; > q
(defvar p2 (make-instance 'person))
(species p1)
(species p2)
;; HOMO-SAPIENS
(setf (species p2) 'homo-numericus)
;; HOMO-NUMERICUS
(species p1)
;; HOMO-NUMERICUS
(species (make-instance 'person))
;; HOMO-NUMERICUS
(let ((temp (make-instance 'person)))
    (setf (species temp) 'homo-lisper))
;; HOMO-LISPER
(species (make-instance 'person))
;; HOMO-LISPER
```

24.1.4.5. Slot documentation

Each slot accepts one :documentation option. To obtain its documentation via documentation, you need to obtain the slot object. This can be done compatibly using a library such as <u>closer-mop</u>. For instance:

```
(closer-mop:class-direct-slots (find-class 'my-class))
;; => list of slots (objects)
(find 'my-slot * :key #'closer-mop:slot-definition-name)
;; => find desired slot by name
(documentation * t); obtain its documentation
```

Note however that generally it may be better to document slot accessors instead, as a popular viewpoint is that slots are implementation details and not part of the public interface.

24.1.4.6. Slot type

The :type slot option may not do the job you expect it does. If you are new to the CLOS, we suggest you skip this section and use your own constructors to manually check slot types.

Indeed, whether slot types are being checked or not is undefined. See the <u>Hyperspec</u>.

Few implementations will do it. Clozure CL does it, SBCL does it since its version 1.5.9 (November, 2019) or when safety is high ((declaim (optimise safety))).

To do it otherwise, see <u>this Stack-Overflow answer</u>, and see also <u>quid-pro-quo</u>, a contract programming library.

24.1.5. find-class, class-name, class-of

```
(find-class 'point)
;; #<STANDARD-CLASS POINT 275B78DC>
(class-name (find-class 'point))
;; POINT
```

```
(class-of my-point)
;; #<STANDARD-CLASS POINT 275B78DC>
(typep my-point (class-of my-point))
;; T
```

CLOS classes are also instances of a CLOS class, and we can find out what that class is, as in the example below:

```
(class-of (class-of my-point))
;; #<STANDARD-CLASS STANDARD-CLASS 20306534>
```

Note: this is your first introduction to the MOP. You don't need that to get started!

The object my-point is an instance of the class named point, and the class named point is itself an instance of the class named standard-class. We say that the class named standard-class is the *metaclass* (i.e. the class of the class) of my-point. We can make good uses of metaclasses, as we'll see later.

24.1.6. Subclasses and inheritance

As illustrated above, child is a subclass of person.

All objects inherit from the class standard-object and t.

Every child instance is also an instance of person.

```
(type-of c1)
;; CHILD

(subtypep (type-of c1) 'person)
;; T

(ql:quickload "closer-mop")
;; ...

(closer-mop:subclassp (class-of c1) 'person)
;; T
```

The <u>closer-mop</u> library is *the* portable way to do CLOS/MOP operations.

A subclass inherits all of its parents' slots, and it can override any of their slot options. Common Lisp makes this process dynamic, great for REPL session, and we can even control parts of it (like, do something when a given slot is removed/updated/added, etc).

The **class precedence list** of a child is thus:

```
child <- person <-- standard-object <- t
Which we can get with:

(closer-mop:class-precedence-list (class-of c1))
;; (#<standard-class child>
;; #<standard-class person>
;; #<standard-class standard-object>
;; #<sb-pcl::slot-class sb-pcl::slot-object>
;; #<sb-pcl:system-class t>)

However, the direct superclass of a child is only:
```

```
(closer-mop:class-direct-superclasses (class-of c1))
;; (#<standard-class person>)
```

We can further inspect our classes with class-direct-[subclasses, slots, default-initargs] and many more functions.

How slots are combined follows some rules:

- :accessor and :reader are combined by the **union** of accessors and readers from all the inherited slots.
- :initarg: the **union** of initialization arguments from all the inherited slots.
- :initform: we get **the most specific** default initial value form, i.e. the first :initform for that slot in the precedence list.
- :allocation is not inherited. It is controlled solely by the class being defined and defaults to :instance.

Last but not least, be warned that inheritance is fairly easy to misuse, and multiple inheritance is multiply so, so please take a little care. Ask yourself whether foo really wants to inherit from bar, or whether instances of foo want a slot containing a bar. A good general guide is that if foo and bar are "same sort of thing" then it's correct to mix them together by inheritance, but if they're really separate concepts then you should use slots to keep them apart.

24.1.7. Multiple inheritance

CLOS supports multiple inheritance.

```
(defclass baby (child person)
  ())
```

The first class on the list of parent classes is the most specific one, child's slots will take precedence over the person's. Note that both child and person have to be defined prior to defining baby in this example.

24.1.8. Redefining and changing a class

This section briefly covers two topics:

- redefinition of an existing class, which you might already have done by following our code snippets, and what we do naturally during development, and
- changing an instance of one class into an instance of another, a powerful feature of CLOS that you'll probably won't use very often.

We'll gloss over the details. Suffice it to say that everything's configurable by implementing methods exposed by the MOP.

To redefine a class, simply evaluate a new defclass form. This then takes the place of the old definition, the existing class object is updated, and all instances of the class (and, recursively, its subclasses) are lazily updated to reflect the new definition. You don't have to recompile anything other than the new defclass, nor to invalidate any of your objects. Think about it for a second: this is awesome!

For example, with our person class:

```
(defclass person ()
  ((name
    :initarg :name
    :accessor name)
  (lisper
    :initform nil
    :accessor lisper)))
```

```
(setf p1 (make-instance 'person :name "me" ))
Changing, adding, removing slots,...
(lisper p1)
;; NIL
(defclass person ()
  ((name
    :initarg :name
    :accessor name)
   (lisper
                      ;; <-- from nil to t
    :initform t
    :accessor lisper)))
(lisper p1)
;; NIL (of course!)
(lisper (make-instance 'person :name "You"))
;; T
(defclass person ()
  ((name
    :initarg :name
    :accessor name)
   (lisper
   :initform nil
    :accessor lisper)
   (age
                      ;; <-- new slot
    :initarg :arg
                      ;; <-- default value
    :initform 18
    :accessor age)))
(age p1)
;; => 18. Correct. This is the default initform for this new slot.
(slot-value p1 'bwarf)
;; => "the slot bwarf is missing from the object #<person...>"
(setf (age p1) 30)
(age p1) ;; => 30
(defclass person ()
  ((name
    :initarg :name
    :accessor name)))
(slot-value p1 'lisper) ;; => slot lisper is missing.
(lisper p1) ;; => there is no applicable method for the generic function lisper when
called with arguments #(lisper).
To change the class of an instance, use change-class:
(change-class p1 'child)
;; we can also set slots of the new class:
(change-class p1 'child :can-walk-p nil)
```

```
(class-of p1)
;; #<STANDARD-CLASS CHILD>
(can-walk-p p1)
;; T
```

In the above example, I became a child, and I inherited the can-walk-p slot, which is true by default.

24.1.9. Pretty printing

Every time we printed an object so far we got an output like

```
#<PERSON {1006234593}>
```

which doesn't say much.

What if we want to show more information? Something like

```
#<PERSON me lisper: t>
```

Pretty printing is done by specializing the generic print-object method for this class:

It gives:

```
pl
;; #<PERSON me, lisper: T>
```

print-unreadable-object prints the #<...>, that says to the reader that this object can not be read back in. Its:type t argument asks to print the object-type prefix, that is, PERSON. Without it, we get #<me, lisper: T>.

We used the with-accessors macro, but of course for simple cases this is enough:

```
(defmethod print-object ((obj person) stream)
  (print-unreadable-object (obj stream :type t)
      (format stream "~a, lisper: ~a" (name obj) (lisper obj))))
```

Caution: trying to access a slot that is not bound by default will lead to an error. Use slot-boundp.

For reference, the following reproduces the default behaviour:

```
(defmethod print-object ((obj person) stream)
  (print-unreadable-object (obj stream :type t :identity t)))
```

Here, :identity to t prints the {1006234593} address.

24.1.10. Classes of traditional lisp types

Where we approach that we don't need CLOS objects to use CLOS.

Generously, the functions introduced in the last section also work on lisp objects which are not CLOS instances:

```
(find-class 'symbol)
;; #<BUILT-IN-CLASS SYMBOL>
(class-name *)
```

```
;; SYMBOL
(eq ** (class-of 'symbol))
;; T
(class-of ***)
;; #<STANDARD-CLASS BUILT-IN-CLASS>
```

We see here that symbols are instances of the system class symbol. This is one of 75 cases in which the language requires a class to exist with the same name as the corresponding lisp type. Many of these cases are concerned with CLOS itself (for example, the correspondence between the type standard-class and the CLOS class of that name) or with the condition system (which might or might not be built using CLOS classes in any given implementation). However, 33 correspondences remain relating to "traditional" lisp types:

array hash-table readtable bit-vector integer real broadcast-stream list sequence character logical-pathname stream complex null string concatenated-stream number string-stream cons package symbol echo-stream pathname synonym-stream file-stream random-state t float ratio two-way-stream function rational vector Note that not all "traditional" lisp types are included in this list. (Consider: atom, fixnum, short-float, and any type not denoted by a symbol.)

The presence of t is interesting. Just as every lisp object is of type t, every lisp object is also a member of the class named t. This is a simple example of membership of more then one class at a time, and it brings into question the issue of *inheritance*, which we will consider in some detail later.

```
(find-class t)
;; #<BUILT-IN-CLASS T 20305AEC>
```

In addition to classes corresponding to lisp types, there is also a CLOS class for every structure type you define:

```
(defstruct foo)
F00

(class-of (make-foo))
;; #<STRUCTURE-CLASS F00 21DE8714>
```

The metaclass of a structure-object is the class structure-class. It is implementation-dependent whether the metaclass of a "traditional" lisp object is standard-class, structure-class, or built-in-class. Restrictions:

built-in-class: May not use make-instance, may not use slot-value, may not use defclass to modify, may not create subclasses.

structure-class: May not use make-instance, might work with slot-value (implementation-dependent). Use defstruct to subclass application structure types. Consequences of modifying an existing structure-class are undefined: full recompilation may be necessary.

standard-class: None of these restrictions.

24.1.11. Introspection

We already saw some introspection functions.

Your best option is to discover the <u>closer-mop</u> library and to keep the <u>CLOS & MOP specifications</u> at hand.

More functions:

```
closer-mop:class-default-initargs
closer-mop:class-direct-default-initargs
```

```
closer-mop:class-direct-slots
closer-mop:class-direct-subclasses
closer-mop:class-direct-superclasses
closer-mop:class-precedence-list
closer-mop:class-slots
closer-mop:classp
closer-mop:extract-lambda-list
closer-mop:extract-specializer-names
closer-mop:generic-function-argument-precedence-order
closer-mop:generic-function-declarations
closer-mop:generic-function-lambda-list
closer-mop:generic-function-method-class
closer-mop:generic-function-method-combination
closer-mop:generic-function-methods
closer-mop:generic-function-name
closer-mop:method-combination
closer-mop:method-function
closer-mop:method-generic-function
closer-mop:method-lambda-list
closer-mop:method-specializers
closer-mop:slot-definition
closer-mop:slot-definition-allocation
closer-mop:slot-definition-initargs
closer-mop:slot-definition-initform
closer-mop:slot-definition-initfunction
closer-mop:slot-definition-location
closer-mop:slot-definition-name
closer-mop:slot-definition-readers
closer-mop:slot-definition-type
closer-mop:slot-definition-writers
closer-mop:specializer-direct-generic-functions
closer-mop:specializer-direct-methods
closer-mop:standard-accessor-method
```

24.1.12. See also

24.1.12.1. Slime export class symbols

The command **M-x slime-export-class** will add the class symbols to the "export" clause of your package definition. This way, you can export dozens of symbols all at once.

Imagine you have this class:

```
(defclass test ()
  ((foo :accessor foo)
    (bar :reader bar)))
```

Using "M-x slime-export-class RET test RET" will export "test", "foot" and "bar".

Removing a slot from the class definition will alas not remove it from the export clause.

This works also on structures (only on SBCL and Clozure CL).

24.1.12.2. defclass/std: write shorter classes

The library <u>defclass/std</u> provides a macro to write shorter defclass forms.

By default, it adds an accessor, an initarg and an initform to nil to your slots definition:

This:

It does much more and it is very flexible, however it is seldom used by the Common Lisp community: use at your own risk[©].

24.2. Methods

24.2.1. Diving in

Recalling our person and child classes from the beginning:

Below we create methods, we specialize them, we use method combination (before, after, around), and qualifiers.

```
(defmethod greet (obj)
  (format t "Are you a person ? You are a ~a.~&" (type-of obj)))
;; style-warning: Implicitly creating new generic function common-lisp-user::greet.
;; #<STANDARD-METHOD GREET (t) {1008EE4603}>

(greet :anything)
;; Are you a person ? You are a KEYWORD.
;; NIL
(greet p1)
;; Are you a person ? You are a PERSON.

(defgeneric greet (obj)
  (:documentation "say hello"))
;; STYLE-WARNING: redefining COMMON-LISP-USER::GREET in DEFGENERIC
;; #<STANDARD-GENERIC-FUNCTION GREET (2)>
```

```
(defmethod greet ((obj person))
 (format t "Hello ~a !~&" (name obj)))
;; #<STANDARD-METHOD GREET (PERSON) {1007C26743}>
(greet p1) ;; => "Hello me !"
(greet c1) ;; => "Hello Alice !"
(defmethod greet ((obj child))
 (format t "ur so cute~&"))
;; #<STANDARD-METHOD GREET (CHILD) {1008F3C1C3}>
(greet p1) ;; => "Hello me !"
(greet c1) ;; => "ur so cute"
;;; Method combination: before, after, around.
(defmethod greet :before ((obj person))
 (format t "-- before person~&"))
#<STANDARD-METHOD GREET :BEFORE (PERSON) {100C94A013}>
(greet p1)
;; -- before person
;; Hello me
(defmethod greet :before ((obj child))
 (format t "-- before child~&"))
;; #<STANDARD-METHOD GREET :BEFORE (CHILD) {100AD32A43}>
(greet c1)
;; -- before child
;; -- before person
;; ur so cute
(defmethod greet :after ((obj person))
 (format t "-- after person~&"))
;; #<STANDARD-METHOD GREET :AFTER (PERSON) {100CA2E1A3}>
(greet p1)
;; -- before person
;; Hello me
;; -- after person
(defmethod greet :after ((obj child))
 (format t "-- after child~&"))
;; #<STANDARD-METHOD GREET :AFTER (CHILD) {10075B71F3}>
(greet c1)
;; -- before child
;; -- before person
;; ur so cute
;; -- after person
;; -- after child
(defmethod greet :around ((obj child))
 (format t "Hello my dear~&"))
;; #<STANDARD-METHOD GREET :AROUND (CHILD) {10076658E3}>
```

```
(greet c1) ;; Hello my dear
;; call-next-method
(defmethod greet :around ((obj child))
 (format t "Hello my dear~&")
 (when (next-method-p)
    (call-next-method)))
;; #<standard-method greet :around (child) {100AF76863}>
(greet c1)
;; Hello my dear
;; -- before child
;; -- before person
;; ur so cute
;; -- after person
;; -- after child
;; Adding in &key
;; In order to add "&key" to our generic method, we need to remove its definition
first.
(fmakunbound 'greet) ;; with Slime: C-c C-u (slime-undefine-function)
(defmethod greet ((obj person) &key talkative)
 (format t "Hello ~a~&" (name obj))
 (when talkative
   (format t "blah")))
(defgeneric greet (obj &key &allow-other-keys)
 (:documentation "say hi"))
(defmethod greet (obj &key &allow-other-keys)
 (format t "Are you a person ? You are a ~a.~&" (type-of obj)))
(defmethod greet ((obj person) &key talkative &allow-other-keys)
 (format t "Hello ~a !~&" (name obj))
 (when talkative
   (format t "blah")))
(greet p1 :talkative t) ;; ok
(greet p1 :foo t) ;; still ok
(defgeneric greet (obj)
 (:documentation "say hello")
 (:method (obj)
    (format t "Are you a person ? You are a ~a~&." (type-of obj)))
 (:method ((obj person))
    (format t "Hello ~a !~&" (name obj)))
 (:method ((obj child))
    (format t "ur so cute~&")))
```

```
;;; Specializers
(defgeneric feed (obj meal-type)
 (:method (obj meal-type)
   (declare (ignorable meal-type))
   (format t "eating~&")))
(defmethod feed (obj (meal-type (eql :dessert)))
   (declare (ignorable meal-type))
   (format t "mmh, dessert !~&"))
(feed c1 :dessert)
;; mmh, dessert !
(defmethod feed ((obj child) (meal-type (eql :soup)))
   (declare (ignorable meal-type))
   (format t "bwark~&"))
(feed p1 :soup)
;; eating
(feed c1 :soup)
;; bwark
```

24.2.2. Generic functions (defgeneric, defmethod)

A generic function is a lisp function which is associated with a set of methods and dispatches them when it's invoked. All the methods with the same function name belong to the same generic function.

The defmethod form is similar to a defun. It associates a body of code with a function name, but that body may only be executed if the types of the arguments match the pattern declared by the lambda list.

They can have optional, keyword and &rest arguments.

The defgeneric form defines the generic function. If we write a defmethod without a corresponding defgeneric, a generic function is automatically created (see examples).

It is generally a good idea to write the defgenerics. We can add a default implementation and even some documentation.

```
(defgeneric greet (obj)
  (:documentation "says hi")
  (:method (obj)
     (format t "Hi")))
```

The required parameters in the method's lambda list may take one of the following three forms:

1- a simple variable:

```
(defmethod greet (foo)
)
```

This method can take any argument, it is always applicable.

The variable foo is bound to the corresponding argument value, as usual.

2- a variable and a **specializer**, as in:

```
(defmethod greet ((foo person))
...)
```

In this case, the variable foo is bound to the corresponding argument only if that argument is of specializer class person *or a subclass*, like child (indeed, a "child" is also a "person").

If any argument fails to match its specializer then the method is not *applicable* and it cannot be executed with those arguments. We'll get an error message like "there is no applicable method for the generic function xxx when called with arguments yyy".

Only required parameters can be specialized. We can't specialize on optional &key arguments.

3- a variable and an eql specializer

```
(defmethod feed ((obj child) (meal-type (eql :soup)))
    (declare (ignorable meal-type))
    (format t "bwark~&"))

(feed cl :soup)
;; "bwark"
```

In place of a simple symbol (:soup), the eql specializer can be any lisp form. It is evaluated at the same time of the defmethod.

You can define any number of methods with the same function name but with different specializers, as long as the form of the lambda list is *congruent* with the shape of the generic function. The system chooses the most *specific* applicable method and executes its body. The most specific method is the one whose specializers are nearest to the head of the class-precedence-list of the argument (classes on the left of the lambda list are more specific). A method with specializers is more specific to one without any.

Notes:

- It is an error to define a method with the same function name as an ordinary function. If you really want to do that, use the shadowing mechanism.
- To add or remove keys or rest arguments to an existing generic method's lambda list, you will need to delete its declaration with fmakunbound (or C-c C-u (slime-undefine-function) with the cursor on the function in Slime) and start again. Otherwise, you'll see:

```
attempt to add the method

#<STANDARD-METHOD NIL (#<STANDARD-CLASS CHILD>) {1009504233}>
to the generic function

#<STANDARD-GENERIC-FUNCTION GREET (2)>;
but the method and generic function differ in whether they accept
&REST or &KEY arguments.
```

- Methods can be redefined (exactly as for ordinary functions).
- The order in which methods are defined is irrelevant, although any classes on which they specialize must already exist.
- An unspecialized argument is more or less equivalent to being specialized on the class t. The only difference is that all specialized arguments are implicitly taken to be "referred to" (in the sense of declare ignore.)
- Each defmethod form generates (and returns) a CLOS instance, of class standard-method.

- An eql specializer won't work as is with strings. Indeed, strings need equal or equal to be compared. But, we can assign our string to a variable and use the variable both in the eql specializer and for the function call.
- All the methods with the same function name belong to the same generic function.
- All slot accessors and readers defined by defclass are methods. They can override or be overridden by other methods on the same generic function.

See more about defmethod on the CLHS.

24.2.3. Multimethods

Multimethods explicitly specialize more than one of the generic function's required parameters.

They don't belong to a particular class. Meaning, we don't have to decide on the class that would be best to host this method, as we might have to in other languages.

```
(defgeneric hug (a b)
   (:documentation "Hug between two persons."))
;; #<STANDARD-GENERIC-FUNCTION HUG (0)>

(defmethod hug ((a person) (b person))
   :person-person-hug)

(defmethod hug ((a person) (b child))
   :person-child-hug)
```

Read more on Practical Common Lisp.

24.2.4. Controlling setters (setf-ing methods)

In Lisp, we can define setf counterparts of functions or methods. We might want this to have more control on how to update an object.

```
(defmethod (setf name) (new-val (obj person))
  (if (equalp new-val "james bond")
     (format t "Dude that's not possible.~&")
     (setf (slot-value obj 'name) new-val)))

(setf (name pl) "james bond") ;; -> no rename
```

If you know Python, this behaviour is provided by the @property decorator.

24.2.5. Dispatch mechanism and next methods

When a generic function is invoked, the application cannot directly invoke a method. The dispatch mechanism proceeds as follows:

- 1. compute the list of applicable methods
- 2. if no method is applicable then signal an error
- 3. sort the applicable methods in order of specificity
- 4. invoke the most specific method.

Our greet generic function has three applicable methods:

```
(closer-mop:generic-function-methods #'greet)
(#<STANDARD-METHOD GREET (CHILD) {10098406A3}>
#<STANDARD-METHOD GREET (PERSON) {1009008EC3}>
#<STANDARD-METHOD GREET (T) {1008E6EBB3}>)
```

During the execution of a method, the remaining applicable methods are still accessible, via the *local function* call-next-method. This function has lexical scope within the body of a method but indefinite extent. It invokes the next most specific method, and returns whatever value that method returned. It can be called with either:

- no arguments, in which case the *next method* will receive exactly the same arguments as this method did, or
- explicit arguments, in which case it is required that the sorted set of methods applicable to the new arguments must be the same as that computed when the generic function was first called.

For example:

```
(defmethod greet ((obj child))
  (format t "ur so cute~&")
  (when (next-method-p)
       (call-next-method)))
;; STYLE-WARNING: REDEFINING GREET (#<STANDARD-CLASS CHILD>) in DEFMETHOD
;; #<STANDARD-METHOD GREET (child) {1003D3DB43}>

(greet cl)
;; ur so cute
;; Hello Alice !
```

Calling call-next-method when there is no next method signals an error. You can find out whether a next method exists by calling the local function next-method-p (which also has has lexical scope and indefinite extent).

Note finally that the body of every method establishes a block with the same name as the method's generic function. If you return-from that name you are exiting the current method, not the call to the enclosing generic function.

24.2.6. Method qualifiers (before, after, around)

In our "Diving in" examples, we saw some use of the :before, :after and :around qualifiers:

```
(defmethod foo :before (obj) (...))(defmethod foo :after (obj) (...))(defmethod foo :around (obj) (...))
```

By default, in the *standard method combination* framework provided by CLOS, we can only use one of those three qualifiers, and the flow of control is as follows:

- a **before-method** is called, well, before the applicable primary method. If they are many beforemethods, **all** are called. The most specific before-method is called first (child before person).
- the most specific applicable **primary method** (a method without qualifiers) is called (only one).
- all applicable **after-methods** are called. The most specific one is called *last* (after-method of person, then after-method of child).

The generic function returns the value of the primary method. Any values of the before or after methods are ignored. They are used for their side effects.

And then we have **around-methods**. They are wrappers around the core mechanism we just described. They can be useful to catch return values or to set up an environment around the primary method (set up a catch, a lock, timing an execution,...).

If the dispatch mechanism finds an around-method, it calls it and returns its result. If the around-method has a call-next-method, it calls the next most applicable around-method. It is only when we reach the primary method that we start calling the before and after-methods.

Thus, the full dispatch mechanism for generic functions is as follows:

- 1. compute the applicable methods, and partition them into separate lists according to their qualifier;
- 2. if there is no applicable primary method then signal an error;
- 3. sort each of the lists into order of specificity;
- 4. execute the most specific : around method and return whatever that returns;
- 5. if an :around method invokes call-next-method, execute the next most specific :around method;
- 6. if there were no :around methods in the first place, or if an :around method invokes call-next-method but there are no further :around methods to call, then proceed as follows:
 - a. run all the :before methods, in order, ignoring any return values and not permitting calls to call-next-method or next-method-p;
 - b. execute the most specific primary method and return whatever that returns;
 - c. if a primary method invokes call-next-method, execute the next most specific primary method;
 - d. if a primary method invokes call-next-method but there are no further primary methods to call then signal an error;
 - e. after the primary method(s) have completed, run all the :after methods, in **reverse** order, ignoring any return values and not permitting calls to call-next-method or next-method-p.

Think of it as an onion, with all the :around methods in the outermost layer, :before and :after methods in the middle layer, and primary methods on the inside.

24.2.7. Other method combinations

The default method combination type we just saw is named standard, but other method combination types are available, and no need to say that you can define your own.

The built-in types are:

```
progn + list nconc and max or append min
```

You notice that these types are named after a lisp operator. Indeed, what they do is they define a framework that combines the applicable primary methods inside a call to the lisp operator of that name. For example, using the progn combination type is equivalent to calling **all** the primary methods one after the other:

```
(progn
  (method-1 args)
  (method-2 args)
  (method-3 args))
```

Here, unlike the standard mechanism, all the primary methods applicable for a given object are called, the most specific first.

To change the combination type, we set the :method-combination option of defgeneric and we use it as the methods' qualifier:

```
(defgeneric foo (obj)
  (:method-combination progn))
(defmethod foo progn ((obj obj))
   (...))
An example with progn:
(defgeneric dishes (obj)
   (:method-combination progn)
   (:method progn (obj)
     (format t "- clean and dry.~&"))
   (:method progn ((obj person))
     (format t "- bring a person's dishes~&"))
   (:method progn ((obj child))
     (format t "- bring the baby dishes~&")))
;; #<STANDARD-GENERIC-FUNCTION DISHES (3)>
(dishes c1)
;; - bring the baby dishes
;; - bring a person's dishes
;; - clean and dry.
(greet c1)
;; ur so cute --> only the most applicable method was called.
Similarly, using the list type is equivalent to returning the list of the values of the methods.
(list
  (method-1 args)
  (method-2 args)
  (method-3 args))
(defgeneric tidy (obj)
  (:method-combination list)
  (:method list (obj)
  (:method list ((obj person))
    :books)
  (:method list ((obj child))
    :toys))
;; #<STANDARD-GENERIC-FUNCTION TIDY (3)>
(tidy c1)
;; (:toys :books :foo)
Around methods are accepted:
(defmethod tidy :around (obj)
   (let ((res (call-next-method)))
     (format t "I'm going to clean up ~a~&" res)
     (when (> (length res)
              1)
       (format t "that's too much !~&"))))
(tidy c1)
```

```
;; I'm going to clean up (toys book foo)
;; that's too much !
```

Note that these operators don't support before, after and around methods (indeed, there is no room for them anymore). They do support around methods, where call-next-method is allowed, but they don't support calling call-next-method in the primary methods (it would indeed be redundant since all primary methods are called, or clunky to *not* call one).

CLOS allows us to define a new operator as a method combination type, be it a lisp function, macro or special form. We'll let you refer to the books if you feel the need.

24.2.8. Debugging: tracing method combination

It is possible to <u>trace</u> the method combination, but this is implementation dependent.

In SBCL, we can use (trace foo :methods t). See this post by an SBCL core developer.

For example, given a generic:

```
(defgeneric foo (x)
  (:method (x) 3))
(defmethod foo :around ((x fixnum))
  (1+ (call-next-method)))
(defmethod foo ((x integer))
  (* 2 (call-next-method)))
(defmethod foo ((x float))
  (* 3 (call-next-method)))
(defmethod foo :before ((x single-float))
(defmethod foo :after ((x double-float))
 'double)
Let's trace it:
(trace foo :methods t)
(foo 2.0d0)
  0: (F00 2.0d0)
    1: ((SB-PCL::COMBINED-METHOD FOO) 2.0d0)
      2: ((METHOD FOO (FLOAT)) 2.0d0)
        3: ((METHOD FOO (T)) 2.0d0)
        3: (METHOD FOO (T)) returned 3
      2: (METHOD FOO (FLOAT)) returned 9
      2: ((METHOD FOO :AFTER (DOUBLE-FLOAT)) 2.0d0)
      2: (METHOD FOO :AFTER (DOUBLE-FLOAT)) returned DOUBLE
    1: (SB-PCL::COMBINED-METHOD FOO) returned 9
  0: F00 returned 9
```

24.2.9. Difference between defgeneric and defmethod: redefinition

There is a difference between declaring methods inside a defgeneric body or by writing multiple defmethods: the two methods handle re-definition of methods differently. defgeneric will delete methods that are not in its body anymore.

Below we define a new generic function, using two defmethod that specialize on person and child:

```
(defmethod goodbye ((p person))
  (format t "goodbye ~a.~&" (name p)))
```

```
(defmethod goodbye ((c child))
  (format t "love you lil' one <3~&"))</pre>
```

You can try them with (goodbye (make-instance 'person :name "you")).

Now, later in your work session, you decide that you don't need the one specializing on child any more. You delete its source code. But **the method still exists in the image**. You have to programmatically remove the method, see below.

Had you used defgeneric, all the methods would have been updated, added or deleted. We have defined the tidy generic function already with three methods:

```
(defgeneric tidy (obj)
  (:method-combination list)
  (:method list (obj)
    :foo)
  (:method list ((obj person))
    :books)
  (:method list ((obj child))
    :toys))
```

It works for any object type, a person or a child. Try it on a string: (tidy "tidy what?"), it works.

Now remove this declaration from the defgeneric:

```
(defgeneric tidy (obj)
  (:method-combination list)
  ;;(:method list (obj) ;; <--- commented out
  ;; :foo)
  (:method list ((obj person))
    :books)
  (:method list ((obj child))
    :toys))</pre>
```

Try to call it again: you get a "no applicable method" error:

```
There is no applicable method for the generic function #<STANDARD-GENERIC-FUNCTION TRADESIGNAL::TIDY (2)> when called with arguments ("tidy what?").
```

This might or might not be important to you during development, but knowing this can help you keep your lisp image in sync with your source code. Otherwise, you can remove an old method when it gets on your way.

24.2.10. Removing a method

First, we need to find the method object:

```
(find-method #'goodbye nil (list (find-class 'child)))
;; => #<STANDARD-METHOD GOODBYE (CHILD) {10073EFD73}>
```

find-method takes as arguments: a function reference, a qualifier (like before, after or around), and a list of class specializers.

Once you found the method, use remove-method.

You could use (fmakunbound 'goodbye), but this makes all methods unbound.

24.3. MOP

We gather here some examples that make use of the framework provided by the meta-object protocol, the configurable object system that rules Lisp's object system. We touch advanced concepts so, new reader, don't worry: you don't need to understand this section to start using the Common Lisp Object System.

We won't explain much about the MOP here, but hopefully sufficiently to make you see its possibilities or to help you understand how some CL libraries are built. We invite you to read the books referenced in the introduction.

24.3.1. Metaclasses

Metaclasses are needed to control the behaviour of other classes.

As announced, we won't talk much. See also Wikipedia for metaclasses or CLOS.

The standard metaclass is standard-class:

```
(class-of p1) ;; #<STANDARD-CLASS PERSON>
```

But we'll change it to one of our own, so that we'll be able to **count the creation of instances**. This same mechanism could be used to auto increment the primary key of a database system (this is how the Postmodern or Mito libraries do), to log the creation of objects, etc.

Our metaclass inherits from standard-class:

The :metaclass class option can appear only once.

Actually you should have gotten a message asking to implement validate-superclass. So, still with the closer-mop library:

Now we can control the creation of new person instances:

```
(defmethod make-instance :after ((class counted-class) &key)
  (incf (slot-value class 'counter)))
;; #<STANDARD-METHOD MAKE-INSTANCE :AFTER (COUNTED-CLASS) {1007718473}>
```

See that an :after qualifier is the safest choice, we let the standard method run as usual and return a new instance.

The &key is necessary, remember that make-instance is given initargs.

Now testing:

```
(defvar p3 (make-instance 'person :name "adam"))
#<PERSON {1007A8F5B3}>

(slot-value p3 'counter)
;; => error. No, our new slot isn't on the person class.
(slot-value (find-class 'person) 'counter)
;; 1

(make-instance 'person :name "eve")
;; #<PERSON {1007AD5773}>
(slot-value (find-class 'person) 'counter)
;; 2
```

It's working.

24.3.2. Controlling the initialization of instances (initialize-instance)

To further control the creation of object instances, we can specialize the method initialize-instance. It is called by make-instance, just after a new instance was created but wasn't initialized yet with the default initargs and initforms.

It is recommended (Keene) to create an after method, since creating a primary method would prevent slots' initialization.

A typical example would be to validate the initial values. Here we'll check that the person's name is longer than 3 characters:

```
(defmethod initialize-instance :after ((obj person) &key)
  (with-slots (name) obj
    (assert (>= (length name) 3))))
```

So this call doesn't work anymore:

```
(make-instance 'person :name "me")
;; The assertion (>= #1=(LENGTH NAME) 3) failed with #1# = 2.
;; [Condition of type SIMPLE-ERROR]
```

We are prompted into the interactive debugger and we are given a choice of restarts (continue, retry, abort).

So while we're at it, here's an assertion that uses the debugger features to offer to change "name". We give assert a list of places that can be changed from the debugger:

We get:

```
The value of name is me. It should be longer than 3 characters.

[Condition of type SIMPLE-ERROR]

Restarts:

0: [CONTINUE] Retry assertion with new value for NAME.

^^^^^^^^ our new restart

1: [RETRY] Retry SLIME REPL evaluation request.

2: [*ABORT] Return to SLIME's top level.
```

Another rationale. The CLOS implementation of make-instance is in two stages: allocate the new object, and then pass it along with all the make-instance keyword arguments, to the generic function initialize-instance. Implementors and application writers define :after methods on initialize-instance, to initialize the slots of the instance. The system-supplied primary method does this with regard to (a) :initform and :initarg values supplied with the class was defined and (b) the keywords passed through from make-instance. Other methods can extend this behaviour as they see fit. For example, they might accept an additional keyword which invokes a database access to fill certain slots. The lambda list for initialize-instance is:

initialize-instance instance &rest initargs &key &allow-other-keys

24.3.3. Controlling the update of instances (update-instance-for-redefined-class)

Suppose you created a "circle" class, with coordinates and a diameter. Later on, you decide to replace the diameter by a radius. You want all the existing objects to be cleverly updated: the radius should have the diameter value, divided by 2. Use update-instance-for-redefined-class.

Its parameters are:

- instance: the object instance that is being updated
- added-slots: a list of added slots
- discarded-slots: a list of discarded slots
- property-list: a plist that captured the slot names and values of all the discarded-slots with values in the original instance.
- initargs: an initialization argument list. &key catches them below.

and it returns an object.

We actually don't call the method directly, but we use a :before method:

```
(defmethod update-instance-for-redefined-class
    :before ((obj circle) added deleted plist-values &key)
  (format t "plist values: ~a~&" plist-values)
  (let ((diameter (getf plist-values 'diameter)))
        (setf (radius obj) (/ diameter 2))))
Here's how to try it. Start with a circle class:
  (defclass circle ()
        ((diameter :accessor diameter :initform 9)))
and create a circle object:
  (make-instance 'circle)
inspect it or check its diameter value.
Now write and compile a new class definition:
  (defclass circle ()
```

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((radius :accessor radius)))

Nothing happens yet, you don't see the output of our "plist values" print.

Inspect or describe the object: now it will be updated, and you'll find the radius slot.

Existing objects are updated lazily.

See more on the <u>HyperSpec</u> or on the <u>Community Spec</u>.

24.3.4. Controlling the update of instances to new classes (update-instance-for-different-class)

Now imagine you are working with the circle class, but you realize you only need a surface kind of objects. You will discard the circle class altogether, but you want your existing objects to be updated -to this new class, and compute new slots intelligently. Use update-instance-for-different-class.

See more on the <u>HyperSpec</u> or on the <u>Community Spec</u>.

And see more in the books!

25. Type System

Common Lisp has a complete and flexible type system and corresponding tools to inspect, check and manipulate types. It allows creating custom types, adding type declarations to variables and functions and thus to get compile-time warnings and errors.

25.1. Values Have Types, Not Variables

Being different from some languages such as C/C++, variables in Lisp are just *placeholders* for objects¹. When you <u>setf</u> a variable, an object is "placed" in it. You can place another value to the same variable later, as you wish.

This implies a fact that in Common Lisp **objects have types**, while variables do not. This might be surprising at first if you come from a C/C++ background.

For example:

```
(defvar *var* 1234)
*VAR*

(type-of *var*)
(INTEGER 0 4611686018427387903)
```

The function <u>type-of</u> returns the type of the given object. The returned result is a <u>type-specifier</u>. In this case the first element is the type and the remaining part is extra information (lower and upper bound) of that type. You can safely ignore it for now. Also remember that integers in Lisp have no limit!

Now let's try to setf the variable:

```
* (setf *var* "hello")
"hello"

* (type-of *var*)
(SIMPLE-ARRAY CHARACTER (5))
```

You see, type-of returns a different result: <u>simple-array</u> of length 5 with contents of type <u>character</u>. This is because *var* is evaluated to string "hello" and the function type-of actually returns the type of object "hello" instead of variable *var*.

25.2. Type Hierarchy

The inheritance relationship of Lisp types consists a type graph and the root of all types is T. For example:

```
* (describe 'integer)
COMMON-LISP:INTEGER
[symbol]

INTEGER names the built-in-class #<BUILT-IN-CLASS COMMON-LISP:INTEGER>:
    Class precedence-list: INTEGER, RATIONAL, REAL, NUMBER, T
    Direct superclasses: RATIONAL
    Direct subclasses: FIXNUM, BIGNUM
    No direct slots.

INTEGER names a primitive type-specifier:
    Lambda-list: (&OPTIONAL (SB-KERNEL::LOW '*) (SB-KERNEL::HIGH '*))
```

¹on LispWorks, on LispWorks for Java, on AllegroCL.

The function <u>describe</u> shows that the symbol <u>integer</u> is a primitive type-specifier that has optional information lower bound and upper bound. Meanwhile, it is a built-in class. But why?

Most common Lisp types are implemented as CLOS classes. Some types are simply "wrappers" of other types. Each CLOS class maps to a corresponding type. In Lisp types are referred to indirectly by the use of type specifiers.

There are some differences between the function type-of and class-of. The function type-of returns the type of a given object in type specifier format while class-of returns the implementation details.

```
* (type-of 1234)
(INTEGER 0 4611686018427387903)

* (class-of 1234)

#<BUILT-IN-CLASS COMMON-LISP:FIXNUM>
```

25.3. Checking Types

The function type can be used to check if the first argument is of the given type specified by the second argument.

```
* (typep 1234 'integer)
T
```

The function <u>subtypep</u> can be used to inspect if a type inherits from the another one. It returns 2 values:

- T, T means first argument is sub-type of the second one.
- NIL, T means first argument is *not* sub-type of the second one.
- NIL, NIL means "not determined".

For example:

```
* (subtypep 'integer 'number)
T
T

* (subtypep 'string 'number)
NIL
T
```

Sometimes you may want to perform different actions according to the type of an argument. The macro typecase is your friend:

```
* (plus1 'hello)
ERROR
```

25.4. Type Specifier

A type specifier is a form specifying a type. As mentioned above, returning value of the function type-of and the second argument of typep are both type specifiers.

As shown above, (type-of 1234) returns (INTEGER 0 4611686018427387903). This kind of type specifiers are called compound type specifier. It is a list whose head is a symbol indicating the type. The rest part of it is complementary information.

```
* (typep #(1 2 3) '(vector number 3))
T
```

Here the complementary information of the type vector is its elements type and size respectively.

The rest part of a compound type specifier can be a *, which means "anything". For example, the type specifier (vector number *) denotes a vector consisting of any number of numbers.

```
* (typep #(1 2 3) '(vector number *))
T
```

The trailing parts can be omitted, the omitted elements are treated as *s:

```
* (typep #(1 2 3) '(vector number))
T

* (typep #(1 2 3) '(vector))
T
```

As you may have guessed, the type specifier above can be shortened as following:

```
* (typep #(1 2 3) 'vector)
T
```

You may refer to the <u>CLHS page</u> for more information.

25.5. Defining New Types

You can use the macro <u>deftype</u> to define a new type-specifier.

Its argument list can be understood as a direct mapping to elements of rest part of a compound type specifier. They are defined as optional to allow symbol type specifier.

Its body should be a macro checking whether given argument is of this type (see defmacro).

We can use member to define enum types, for example:

```
(deftype fruit () '(member :apple :orange :pear))
```

Now let us define a new data type. The data type should be a array with at most 10 elements. Also each element should be a number smaller than 10. See following code for an example:

```
* (defun small-number-array-p (thing)
    (and (arrayp thing)
    (<= (length thing) 10)
        (every #'numberp thing)
        (every (lambda (x) (< x 10)) thing)))

* (deftype small-number-array (&optional type)
    `(and (array ,type 1)
        (satisfies small-number-array-p)))</pre>
```

```
* (typep #(1 2 3 4) '(small-number-array number))
T

* (typep #(1 2 3 4) 'small-number-array)
T

* (typep #(1 2 3 4 100) 'small-number-array)
NIL

* (small-number-array-p '(1 2 3 4 5 6 7 8 9 0 1))
NIL
```

25.6. Run-time type Checking

Common Lisp supports run-time type checking via the macro <u>check-type</u>. It accepts a <u>place</u> and a type specifier as arguments and signals an <u>type-error</u> if the contents of place are not of the given type.

```
* (defun plus1 (arg)
        (check-type arg number)
        (1+ arg))
PLUS1

* (plus1 1)
2 (2 bits, #x2, #o2, #b10)

* (plus1 "hello")
; Debugger entered on #<SIMPLE-TYPE-ERROR expected-type: NUMBER datum: "Hello">
The value of ARG is "Hello", which is not of type NUMBER.
        [Condition of type SIMPLE-TYPE-ERROR]
```

25.7. Compile-time type checking

You may provide type information for variables, function arguments etc via proclaim, declaim (at the toplevel) and declare (inside functions and macros).

However, similar to the : type slot introduced in <u>CLOS section</u>, the effects of type declarations are undefined in Lisp standard and are implementation specific. So there is no guarantee that the Lisp compiler will perform compile-time type checking.

However, it is possible, and SBCL is an implementation that does thorough type checking.

Let's recall first that Lisp already warns about simple type warnings. The following function wrongly wants to concatenate a string and a number. When we compile it, we get a type warning.

```
(defconstant +foo+ 3)
(defun bar ()
  (concatenate 'string "+" +foo+))
; caught WARNING:
; Constant 3 conflicts with its asserted type SEQUENCE.
; See also:
; The SBCL Manual, Node "Handling of Types"
```

The example is simple, but it already shows a capacity some other languages don't have, and it is actually useful during development;) Now, we'll do better.

25.7.1. Declaring the type of variables

Use the macro <u>declaim</u> with a type declaration identifier (other identifiers are "ftype, inline, notinline, optimize...).

Let's declare that our global variable *name* is a string. You can type the following in any order in the REPL:

```
(declaim (type (string) *name*))
(defparameter *name* "book")
```

Now if we try to set it with a bad type, it might just work on some implementations, and we might get a type error on others.

On SBCL, we get a simple-type-error:

```
(setf *name* :me)
Value of :ME in (THE STRING :ME) is :ME, not a STRING.
[Condition of type SIMPLE-TYPE-ERROR]
```

On LispWorks and ECL, for example, we can do it with no warning or error:

```
(setf *name* :me)
*name*
```

:ME

We can do the same with our custom types. Let's quickly declare the type list-of-strings:

Now let's declare that our *all-names* variables is a list of strings:

```
(declaim (type (list-of-strings) *all-names*))
;; and with a wrong value:
(defparameter *all-names* "")
;; we get an error, still at compile-time:
Cannot set SYMBOL-VALUE of *ALL-NAMES* to "", not of type
(SATISFIES LIST-OF-STRINGS-P).
   [Condition of type SIMPLE-TYPE-ERROR]
```

25.7.2. Composing types

We can compose types. Following the previous example:

```
(declaim (type (or null list-of-strings) *all-names*))
```

25.7.3. Declaring the input and output types of functions

We use again the declaim macro, with ftype (function ...) instead of just type:

With this we get nice type warnings at compile time.

If we change the function to erroneously return a string instead of a fixnum, we get a warning:

```
(defun add (n)
  (format nil "~a" (+ n 1)))
; caught WARNING:
; Derived type of ((GET-OUTPUT-STREAM-STRING STREAM)) is
; (VALUES SIMPLE-STRING &OPTIONAL),
; conflicting with the declared function return type
; (VALUES FIXNUM &REST T).
```

If we use add inside another function, to a place that expects a string, we get a warning:

```
(defun bad-concat (n)
  (concatenate 'string (add n)))
; caught WARNING:
; Derived type of (ADD N) is
; (VALUES FIXNUM &REST T),
; conflicting with its asserted type
; SEQUENCE.
```

If we use add inside another function, and that function declares its argument types which appear to be incompatible with those of add, we get a warning:

```
(declaim (ftype (function (string)) bad-arg))
(defun bad-arg (n)
        (add n))
; caught WARNING:
; Derived type of N is
; (VALUES STRING &OPTIONAL),
; conflicting with its asserted type
: FIXNUM.
```

This all happens indeed *at compile time*, either in the REPL, either with a simple C-c C-c in Slime, or when we load a file.

25.7.4. Declaring &key parameters

```
Use &key (:argument type).
For example:
  (declaim (ftype (function (string &key (:n integer))) foo))
  (defun foo (bar &key n) ...)
```

25.7.5. Declaring &rest parameters

This is less evident, you might need a well-placed declare.

In the following, we declare a fruit type and we write a function that uses a single fruit argument, so compiling placing-order gives us a type warning as expected:

```
(deftype fruit () '(member :apple :orange :pear))
(declaim (ftype (function (fruit)) one-order))
(defun one-order (fruit)
   (format t "Ordering ~S~%" fruit))
(defun placing-order ()
   (one-order :bacon))
```

But in this version, we use &rest parameters, and we don't have a type warning anymore:

```
(declaim (ftype (function (&rest fruit)) place-order))
(defun place-order (&rest selections)
   (dolist (s selections)
        (format t "Ordering ~S~%" s)))
(defun placing-orders ()
   (place-order :orange :apple :bacon)) ;; => no type warning
```

The declaration is correct, but our compiler doesn't check it. A well-placed declare gives us the compile-time warning back:

For portable code, we would add run-time checks with an assert.

25.7.6. Declaring class slots types

A class slot accepts a :type slot option. It is however generally *not* used to check the type of the initform. SBCL, starting with <u>version 1.5.9</u> released on november 2019, now gives those warnings, meaning that this:

```
(defclass foo ()
   ((name :type number :initform "17")))
```

signals a warning at compile time.

Note: see also <u>sanity-clause</u>, a data serialization/contract library to check slots' types during make-instance (which is not compile time).

25.7.7. Alternative type checking syntax: defstar, serapeum

The <u>Serapeum</u> library provides a shortcut that looks like this:

```
(-> mod-fixnum+ (fixnum fixnum) fixnum)
(defun mod-fixnum+ (x y) ...)
```

The <u>Defstar</u> library provides a defun* macro that allows to add the type declarations into the lambda list. It looks like this:

```
(defun* sum ((a real) (b real))
  (+ a b))
```

It also allows:

- to declare the return type, either in the function definition or in its body
- to quickly declare variables that are ignored, with the placeholder
- to add assertions for each arguments
- to do the same with defmethod, defparameter, defvar, flet, labels, let* and lambda.

25.7.8. Limitations

Complex types involving satisfies are not checked inside a function body by default, only at its boundaries. Even if it does a lot, SBCL doesn't do as much as a statically typed language.

Consider this example, where we badly increment an integer with a string:

```
(declaim (ftype (function () string) bad-adder))
(defun bad-adder ()
  (let ((res 10))
      (loop for name in '("alice")
            do (incf res name)) ;; <= bad
      (format nil "finally doing sth with ~a" res)))</pre>
```

Compiling this function doesn't signal a type warning.

However, if we had the problematic line at the function's boundary we'd get the warning:

```
(defun bad-adder ()
  (let ((res 10))
      (loop for name in '("alice")
            return (incf res name))))
; in: DEFUN BAD-ADDER
;      (SB-INT:NAMED-LAMBDA BAD-ADDER
;            NIL
;      (BLOCK BAD-ADDER
;            (LET ((RES 10))
;            (LOOP FOR NAME IN *ALL-NAMES* RETURN (INCF RES NAME)))))
;
; caught WARNING:
; Derived type of ("a hairy form" NIL (SETQ RES (+ NAME RES))) is
; (VALUES (OR NULL NUMBER) &OPTIONAL),
; conflicting with the declared function return type
; (VALUES STRING &REST T).
```

We could also use a the declaration in the loop body to get a compile-time warning:

```
do (incf res (the string name)))
```

What can we conclude? This is yet another reason to decompose your code into small functions.

25.8. See also

- the article Static type checking in SBCL, by Martin Cracauer
- the article <u>Typed List</u>, a <u>Primer</u> let's explore Lisp's fine-grained type hierarchy! with a shallow comparison to Haskell.
- the <u>Coalton</u> library: an efficient, statically typed functional programming language that supercharges Common Lisp. It is as an embedded DSL in Lisp that resembles Haskell or Standard ML, but lets you seamlessly interoperate with non-statically-typed Lisp code (and vice versa).
- <u>exhaustiveness type checking at compile-time</u> with <u>Serapeum</u> for enum types and union types (ecase-of, etypecase-of).

26. TCP/UDP programming with sockets

This is a short guide to TCP/IP and UDP/IP client/server programming in Common Lisp using usockets.

26.1. TCP/IP

As usual, we will use quicklisp to load usocket.

```
(ql:quickload "usocket")
```

Now we need to create a server. There are 2 primary functions that we need to call. usocket:socket-listen and usocket:socket-accept.

usocket:socket-listen binds to a port and listens on it. It returns a socket object. We need to wait with this object until we get a connection that we accept. That's where usocket:socket-accept comes in. It's a blocking call that returns only when a connection is made. This returns a new socket object that is specific to that connection. We can then use that connection to communicate with our client.

So, what were the problems I faced due to my mistakes?

Mistake 1 - My initial understanding was that socket-accept would return a stream object. NO.... It returns a socket object. In hindsight, its correct and my own mistake cost me time. So, if you want to write to the socket, you need to actually get the corresponding stream from this new socket. The socket object has a stream slot and we need to explicitly use that. And how does one know that? (describe connection) is your friend!

Mistake 2 - You need to close both the new socket and the server socket. Again this is pretty obvious but since my initial code was only closing the connection, I kept running into a socket in use problem. Of course one more option is to reuse the socket when we listen.

Once you get past these mistakes, it's pretty easy to do the rest. Close the connections and the server socket and boom you are done!

Now for the client. This part is easy. Just connect to the server port and you should be able to read from the server. The only silly mistake I made here was to use read and not read-line. So, I ended up seeing only a "Hello" from the server. I went for a walk and came back to find the issue and fix it.

```
(format t "Input is: ~a~%" (read-line stream)))
(usocket:socket-close socket))))
```

So, how do you run this? You need two REPLs, one for the server and one for the client. Load this file in both REPLs. Create the server in the first REPL.

```
(create-server 12321)
```

Now you are ready to run the client on the second REPL

```
(create-client 12321)
```

Voilà! You should see "Hello World" on the second REPL.

26.2. UDP/IP

As a protocol, UDP is connection-less, and therefore there is no concept of binding and accepting a connection. Instead we only do a socket-connect but pass a specific set of parameters to make sure that we create an UDP socket that's waiting for data on a particular port.

So, what were the problems I faced due to my mistakes? Mistake 1 - Unlike TCP, you don't pass host and port to socket-connect. If you do that, then you are indicating that you want to send a packet. Instead, you pass nil but you set :local-host and :local-port to the address and port that you want to receive data on. This part took some time to figure out, because the documentation didn't cover it. Instead reading a bit of code from blackthorn-engine-3d helped a lot.

Also, since UDP is connectionless, anyone can send data to it at any time. So, we need to know which host/port did we get data from so that we can respond on it. So we bind multiple values to socket-receive and use those values to send back data to our peer "client".

Now for the sender/receiver. This part is pretty easy. Create a socket, send data on it and receive data back.

```
(format t "~A~%" buffer))
(usocket:socket-close socket))))
```

So, how do you run this? You need again two REPLs, one for the server and one for the client. Load this file in both REPLs. Create the server in the first REPL.

```
(create-server 12321 (make-array 8 :element-type '(unsigned-byte 8)))
```

Now you are ready to run the client on the second REPL

```
(create-client 12321 (make-array 8 :element-type '(unsigned-byte 8)))
```

Voilà! You should see a vector #(1 2 3 4 5 6 7 8) on the first REPL and #(8 7 6 5 4 3 2 1) on the second one.

26.3. Credit

This guide originally comes from shortsightedsid

26.4. See also

• sockets: reconnect on failure

27. Interfacing with your OS

The ANSI Common Lisp standard doesn't mention this topic. (Keep in mind that it was written at a time where <u>Lisp Machines</u> were at their peak. On these boxes Lisp *was* your operating system!) So almost everything that can be said here depends on your OS and your implementation. There are, however, some widely used libraries, which either come with your Common Lisp implementation, or are easily available through <u>Quicklisp</u>. These include:

- ASDF3, which is included with almost all Common Lisp implementations, includes <u>Utilities for Implementation</u>- and OS- Portability (UIOP).
- osicat
- <u>unix-opts</u> or the newer <u>clingon</u> are a command-line argument parsers, similar to Python's argparse.

27.1. Accessing Environment variables

UIOP comes with a function that'll allow you to look at Unix/Linux environment variables on a lot of different CL implementations:

```
* (uiop:getenv "HOME")
  "/home/edi"
```

Below is an example implementation, where we can see /feature flags/ used to run code on specific implementations:

```
* (defun my-getenv (name &optional default)
    "Obtains the current value of the POSIX environment variable NAME."
    (declare (type (or string symbol) name))
    (let ((name (string name)))
      (or #+abcl (ext:getenv name)
         #+ccl (ccl:getenv name)
         #+clisp (ext:getenv name)
         #+cmu (unix:unix-getenv name) ; since CMUCL 20b
         #+ecl (si:getenv name)
         #+gcl (si:getenv name)
         #+mkcl (mkcl:getenv name)
         #+sbcl (sb-ext:posix-getenv name)
         default)))
MY-GETENV
* (my-getenv "HOME")
"/home/edi"
* (my-getenv "HOM")
* (my-getenv "HOM" "huh?")
"huh?"
```

You should also note that some of these implementations also provide the ability to *set* these variables. These include ECL (si:setenv) and AllegroCL, LispWorks, and CLISP where you can use the functions from above together with setf. This feature might be important if you want to start subprocesses from your Lisp environment.

To set an envionmental variable, you can setf with (uiop:getenv "lisp") in a implementation-independent way.

Also note that the <u>Osicat</u> library has the method (environment-variable "name"), on POSIX-like systems including Windows. It is also fset-able.

27.1.1. Environment variables with directories (PATH)

A function allows to retrieve the list of directories from an environment variable:

```
(uiop:getenv-absolute-directories "PATH")
;; => (#P"/home/vince/.local/bin/" #P"/usr/local/bin/" #P"/usr/sbin/" #P"/usr/bin/")
```

Its documentation:

Extract a list of absolute directories from a user-configured environment variable, as per native OS. Any empty entries in the environment variable X will be returned as NILs.

Use uiop:getenv-absolute-directory when the env var contains one directory. See also: uiop:getenv-pathname[s].

27.2. Accessing the command line arguments

27.2.1. Basics

Accessing command line arguments is implementation-specific but it appears most implementations have a way of getting at them. UIOP with uiop:command-line-arguments or <u>Roswell</u> as well as external libraries (see next section) make it portable.

<u>SBCL</u> stores the arguments list in the special variable sb-ext:*posix-argv*

```
$ sbcl my-command-line-arg
....
* sb-ext:*posix-argv*
("sbcl" "my-command-line-arg")
*
```

More on using this to write standalone Lisp scripts can be found in the SBCL Manual

LispWorks has system:*line-arguments-list*

```
* system:*line-arguments-list*
("/Users/cbrown/Projects/lisptty/tty-lispworks" "-init" "/Users/cbrown/Desktop/lisp/
lispworks-init.lisp")
```

Here's a quick function to return the argument strings list across multiple implementations:

```
(defun my-command-line ()
  (or
    #+SBCL *posix-argv*
    #+LISPWORKS system:*line-arguments-list*)
    #+CLISP *args*)
```

Now it would be handy to access them in a portable way and to parse them according to a schema definition.

27.2.2. Parsing command line arguments

We have a look at the Awesome CL list#scripting section and we'll show how to use clingon.

Please see our scripting recipe.

27.3. Running external programs

uiop has us covered, and is probably included in your Common Lisp implementation.

27.3.1. Synchronously

<u>uiop: run-program</u> either takes a string as argument, denoting the name of the executable to run, or a list of strings, for the program and its arguments:

```
(uiop:run-program "ls | grep lisp" :output t)
or
(uiop:run-program (list "ls" "-lh") :output t)
```

This will process the program output as specified and return the processing results when the program and its output processing are complete.

Passing the command as a string runs it in a shell, passing it as a list doesn't.

We use :output t to print to standard output. We can capture its output in a string, a file or any other stream, or have an interactive output, see below.

This function has the following optional arguments:

It will always call a shell (rather than directly executing the command when possible) if force-shell is specified. Similarly, it will never call a shell if force-shell is specified to be nil.

Signal a continuable subprocess-error if the process wasn't successful (exit-code 0), unless ignore-error-status is specified.

The :output argument can be of the following:

- if output is nil (the default, designating the null device), a pathname or a string designating a pathname, the file at that path is used as output.
- if it's t, output goes to your current *standard-output* stream.
- :output :string or even :output '(:string :stripped t) to strip any ending newline
- if it's :interactive, output is inherited from the current process; beware that this may be different from your *standard-output*, and under slime will be on your *inferior-lisp* buffer.
- otherwise, output should be a value that is a suitable first argument to uiop:slurp-input-stream, or a list of such a value and keyword arguments: like :string and '(:string :stripped t). In this case, run-program will create a temporary stream for the program output; the program output, in that stream, will be processed by a call to slurp-input-stream, using output as the first argument (or the first element of output, and the rest as keywords). The primary value resulting from that call (or nil if no call was needed) will be the first value returned by run-program. E.g., using :output :string will have it return the entire output stream as a string.

if-output-exists, which is only meaningful if output is a string or a pathname, can take the values :error, :append, and :supersede (the default). The meaning of these values and their effect on the

case where output does not exist, is analogous to the if-exists parameter to open with :direction :output.

error-output is similar to output, except that the resulting value is returned as the second value of run-program t designates the *error-output*. Also :output means redirecting the error output to the output stream, in which case nil is returned.

if-error-output-exists is similar to if-output-exist, except that it affects error-output rather than output.

input is similar to output, except that vomit-output-stream is used, no value is returned, and T designates the *standard-input*.

if-input-does-not-exist, which is only meaningful if input is a string or a pathname, can take the values : create and :error (the default). The meaning of these values is analogous to the if-does-not-exist parameter to open with :direction :input.

element-type and external-format are passed on to your Lisp implementation, when applicable, for creation of the output stream.

One and only one of the stream slurping or vomiting may or may not happen in parallel in parallel with the subprocess, depending on options and implementation, and with priority being given to output processing. Other streams are completely produced or consumed before or after the subprocess is spawned, using temporary files.

run-program returns 3 values:

- the result of the output slurping if any, or nil
- the result of the error-output slurping if any, or nil
- either 0 if the subprocess exited with success status, or an indication of failure via the exit-code of the process

27.3.2. Asynchronously

With uiop: launch-program.

Its signature is the following:

Output (stdout) from the launched program is set using the output keyword:

- If output is a pathname, a string designating a pathname, or nil (the default) designating the null device, the file at that path is used as output.
- If it's :interactive, output is inherited from the current process; beware that this may be different from your *standard-output*, and under Slime will be on your *inferior-lisp* buffer.
- If it's T, output goes to your current *standard-output* stream.

- If it's :stream, a new stream will be made available that can be accessed via process-info-output and read from.
- Otherwise, output should be a value that the underlying lisp implementation knows how to handle.

if-output-exists, which is only meaningful if output is a string or a pathname, can take the values :error, :append, and :supersede (the default). The meaning of these values and their effect on the case where output does not exist, is analogous to the if-exists parameter to open with :DIRECTION :output.

error-output is similar to output. T designates the *error-output*, :output means redirecting the error output to the output stream, and :stream causes a stream to be made available via process-info-error-output.

launch-program returns a process-info object, which look like the following (source):

```
(defclass process-info ()
    (
     ;; The advantage of dealing with streams instead of PID is the
    ;; availability of functions like `sys:pipe-kill-process`.
    (process :initform nil)
    (input-stream :initform nil)
    (output-stream :initform nil)
    (bidir-stream :initform nil)
    (error-output-stream :initform nil)
    ;; For backward-compatibility, to maintain the property (zerop
    ;; exit-code) <-> success, an exit in response to a signal is
    ;; encoded as 128+signum.
    (exit-code :initform nil)
    ;; If the platform allows it, distinguish exiting with a code
    ;; >128 from exiting in response to a signal by setting this code
    (signal-code :initform nil)))
```

See the <u>docstrings</u>.

27.3.2.1. Test if a subprocess is alive

uiop:process-alive-p tests if a process is still alive, given a process-info object returned by launch-program:

27.3.2.2. Get the exit code

We can use uiop:wait-process. If the process is finished, it returns immediately, and returns the exit code. If not, it waits for the process to terminate.

```
(uiop:process-alive-p *process*)
NIL
```

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```
(uiop:wait-process *process*)
0
```

An exit code to 0 means success (use zerop).

The exit code is also stored in the exit-code slot of our process-info object. We see from the class definition above that it has no accessor, so we'll use slot-value. It has an initform to nil, so we don't have to check if the slot is bound. We can do:

```
(slot-value *my-process* 'uiop/launch-program::exit-code)
```

The trick is that we *must* run wait-process beforehand, otherwise the result will be nil.

Since wait-process is blocking, we can do it on a new thread:

Note that run-program returns the exit code as the third value.

27.3.3. Input and output from subprocess

If the input keyword is set to :stream, then a stream is created and can be written to in the same way as a file. The stream can be accessed using uiop:process-info-input:

where <u>write-line</u> writes the string to the given stream, adding a newline at the end. The <u>force-output</u> call attempts to flush the stream, but does not wait for completion.

Reading from the output stream is similar, with uiop:process-info-output returning the output stream:

```
* (read-line (uiop:process-info-output *shell*))
```

In some cases the amount of data to be read is known, or there are delimiters to determine when to stop reading. If this is not the case, then calls to <u>read-line</u> can hang while waiting for data. To avoid this, <u>listen</u> can be used to test if a character is available:

There is also <u>read-char-no-hang</u> which reads a single character, or returns nil if no character is available. Note that due to issues like buffering, and the timing of when the other process is

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executed, there is no guarantee that all data sent will be received before listen or read-char-no-hang return nil.

27.3.4. Capturing standard and error output

Capturing standard output, as seen above, is easily done by telling :output to be :string, or using :output '(:string :stripped t) to strip any ending newline.

You can ask the same to :error-output and, in addition, you can ask uiop:run-program to *not* signal an error, thus to not enter the interactive debugger, with :ignore-error-status t.

In that case, you can check the success or the failure of the program with the returned exit-code. 0 is success.

Here's everything together:

uiop: run-program returns 3 values:

- the standard output (here, as a blank string)
- the error output (here, as a string with our error message)
- · the exit code

We can bind them with multiple-value-bind:

```
(multiple-value-bind (output error-output exit-code)
   (uiop:run-program (list ...))
  (unless (zerop exit-code)
    (format t "error output is: ~a" error-output)))
```

27.3.5. Running interactive and visual commands (htop)

Use uiop:run-program and set both:input and:output to:interactive:

This will spawn htop in full screen, as it should.

It works for more commands (sudo, vim, less...).

27.4. Piping

Here's an example to do the equivalent of ls | sort. Note that "ls" uses launch-program (async) and outputs to a stream, where "sort", the last command of the pipe, uses run-program and outputs to a string.

27.5. Get Lisp's current Process ID (PID)

Implementations provide their own functions for this.

```
On SBCL:
(sb-posix:getpid)
It is possible portably with the osicat library:
(osicat-posix:getpid)
Here again, we could find it by using the apropos function:
CL-USER> (apropos "pid")
OSICAT-POSIX:GETPID (fbound)
OSICAT-POSIX::PID
[...]
SB-IMPL::PID
SB-IMPL::WAITPID (fbound)
SB-POSIX:GETPID (fbound)
SB-POSIX:GETPPID (fbound)
SB-POSIX:LOG-PID (bound)
SB-POSIX::PID
SB-POSIX::PID-T
SB-POSIX:WAITPID (fbound)
[...]
```

28. Foreign Function Interfaces

The ANSI Common Lisp standard doesn't mention this topic. So almost everything that can be said here depends on your OS and your implementation. However these days, we can use the <u>CFFI</u> library, a portable and easy-to-use C foreign function interface.

CFFI, the Common Foreign Function Interface, purports to be a portable FFI for Common Lisp. It abstracts away the differences between the API of the native FFI's of the various Common Lisp implementations.

We'll see an example right now.

28.1. CFFI: calling a C function from the math.h header file.

Let's use defcfun to interface with the foreign ceil C function from math.h.

<u>defcfun</u> is a macro in the cffi library that generates a function with the name you give it.

```
CL-USER> (cffi:defcfun ("ceil" c-ceil) :double (number :double))
```

We say that the "ceil" C function will be called "c-ceil" on our Lisp side, it takes one argument that is a double float, and it returns a number that is also a double float.

Here is the above function macroexpanded with macrostep-expand:

The reason we called it c-ceil and not ceil is only for the example, so we know this is a wrapper around C. You can name it "ceil", since it doesn't designate a built-in Common Lisp function or macro.

Now that we have a c-ceil function from math.h, let's use it! We must give it double float.

```
CL-USER> (c-ceil 5.4d0)
```

6.041522986797286d0

As you can see, it works! The double gets rounded up to 6.0d0 as expected.

Let's try another one! This time, we'll use <u>floor</u>, and we couldn't name it "floor" because this Common Lisp function exists.

```
CL-USER> (cffi:defcfun ("floor" c-floor) :double (number :double))
C-FLOOR
CL-USER> (c-floor 5d0)
5.0d0
CL-USER> (c-floor 5.4d0)
5.0d0
Great!
One more, let's try sqrt from math.h, still with double floats:
CL-USER> (cffi:defcfun ("sqrt" c-sqrt) :double (number :double))
C-SQRT
CL-USER> (c-sqrt 36.50d0)
```

We can do arithmetic with our new c-sqrt:

```
CL-USER> (+ 2 (c-sqrt 3d0))
3.732050807568877d0
```

We can even use our new shiny c-sqrt to map over a list of doubles and take the square root of all of them!

```
CL-USER> (mapcar #'c-sqrt '(3d0 4d0 5d0 6d0 7.5d0 12.75d0))
(1.7320508075688772d0 2.0d0 2.23606797749979d0 2.449489742783178d0 2.7386127875258306d0 3.570714214271425d0)
```

29. Building Dynamic Libraries

Although the vast majority of Common Lisp implementations have some kind of <u>foreign function</u> <u>interface</u> which allows you to call functions from libraries which use C ABI, the other way around, i.e. compiling your CL library as a library callable via C ABI from other languages, might be rare.

Commercial implementations like LispWorks and Allegro CL usually offer this functionality, and they are well documented².

This chapter describes a project called <u>SBCL-Librarian</u>, an opinionated way to create libraries callable from C (anything which has C FFI) and Python using the excellent open-source and free-to-use implementation <u>SBCL</u> (<u>Steel Bank Common Lisp</u>).

SBCL-Librarian does support callbacks so you can integrate your Lisp library with any code, including Python code which might use its great machine learning and statistical libraries.

The way SBCL-Librarian works is that it generates C source files, a C header and a Python module.

The C source file is compiled first into a dynamic library which is (using the provided header file) loadable from any C project or by any project in a language which supports loading C libraries.

The generated Python module loads the compiled library into the Python process. This means that the C library needs to be compiled before your Lisp library is used from Python code. This fact has two main consequences:

- on one hand the Lisp library is all efficient native code, which is great. The Python interpreter can be quite slow and many libraries, especially the ones for machine learning and statistics, are all compiled to native code. You can achieve the same efficiency with Common Lisp.
- on the other hand, your library can only use the C interface to communicate with Python primitive data types from C, structures, functions and pointers (including pointers to functions). Some basic knowledge of C is required.

NOTE: The team behind SBCL-Librarian works on quantum computing in the industry. More precisely on a programming language for quantum computing called Quil, and its ecosystem.

29.1. Preparing the Environment

29.1.1. Build SBCL with Shared Library Support

Binary distributions of SBCL usually do not come with SBCL built as a shared library, which is necessary for SBCL-Librarian. You can download it either from the <u>SBCL git repository</u> or by <u>using Roswell</u> and running the command ros install sbcl-source.

SBCL also requires a working Common Lisp system to bootstrap the compilation process. An easy trick is to download a binary installation from Roswell and add it to your PATH variable.

SBCL depends on the zstd library. On Linux-based systems, you can obtain both the library and its header files from the package manager, where it is usually named libzstd-dev. On Windows, the recommended approach is to use MSYS2 which includes Roswell, zstd, and its headers.

Navigate to the directory with the sources and run:

```
# Bash
# (assuming the version of your SBCL installed via Roswell is 2.4.1)
export PATH=~/.roswell/impls/x86-64/linux/sbcl-bin/2.4.1/bin/:$PATH
```

² <u>on LispWorks</u>, <u>on LispWorks for Java</u>, <u>on AllegroCL</u>.

```
./make-config.sh --fancy
./make.sh --fancy
./make-shared-library.sh --fancy
```

Note that the shared library has a .so extension even on Windows and Mac, but it seems to work just fine. If you use Roswell in MSYS2, it can use your Windows home directory rather than your MSYS2 home directory, which are different paths. Therefore, the path to Roswell might be \$USERPROFILE/.roswell (or /C/Users/<username>/.roswell), not ~/.roswell/.

29.1.2. Download and Setup SBCL-Librarian

Clone the SBCL-Librarian repostiory:

```
git clone https://github.com/quil-lang/sbcl-librarian.git
```

29.2. Hello World from Lisp

Although SBCL-Librarian comes with some documentation and a couple of examples, it doesn't really have anything like a basic tutorial. In this chapter we'll make a basic function which adds two numbers and we'll call it from Python.

Let's set a couple of environment variables for convenience:

```
# Directory with SBCL sources
export SBCL_SRC=~/.roswell/src/sbcl-2.4.1
# Directory with this project, don't forget the double slash at the end
# or it might not work
export CL SOURCE REGISTRY="~/prg/sbcl-librarian//"
```

Libraries are usually not searched for in the current directory on more modern Linux-based systems. The paths which Python searches libraries on are usually not set to the current working directory either. Let's set them this way for convenience.

```
export LD_LIBRARY_PATH=.:
export PATH=.:$PATH
```

Now we can create a file helloworld.lisp with the following content:

```
(require '#:asdf)
(asdf:load-system :sbcl-librarian)
(defpackage libhelloworld
 (:use :cl :sbcl-librarian))
(in-package libhelloworld)
;; will be called from Python
(defun hello-world (a b)
 (+ a b))
;; error enum to be used in C/Python code for error handling
(define-enum-type error-type "err_t"
 ("ERR SUCCESS" 0)
 ("ERR_FAIL" 1))
;; mapping Common Lisp conditions to C enums
;; in this simple example, all conditions are mapped to number 1
;; which is "ERR_FAIL" in `error-type` enum
(define-error-map error-map error-type €
```

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```
((t (lambda (condition)
        (declare (ignore condition))
        (return-from error-map 1)))))
;; structure of the generated C source file
(define-api libhelloworld-api (:error-map error-map
                                                                ; error enum
                              :function-prefix "helloworld ") ; prefix for all
function names (C doesn't have namespaces)
  (:literal "/* types */") ; just a comment (whatever is there will be printed
as-is)
  (:type error-type)
                                ; outputs the error enum
  (:literal "/* functions */")
  (:function
                                ; function declaration - name, return type,
argument types
     (hello-world :int ((a :int) (b :int)))))
;; definition of the whole library - what is there
(define-aggregate-library libhelloworld (:function-linkage "LIBHELLOWORLD_API")
  sbcl-librarian:handles sbcl-librarian:environment libhelloworld-api)
;; builds the bindings
(build-bindings libhelloworld ".")
(build-python-bindings libhelloworld ".")
;; outputs the Lisp core
(build-core-and-die libhelloworld "." :compression t)
```

The macro define-enum-type creates a mapping between conditions signaled by Common Lisp functions and a return type for the wrapping C functions. If a condition is signaled from Common Lisp, it is translated into a number - a C function return value - within define-error-map. The enumeration type adds a C enum, so instead of:

```
if (1 == cl_function()) {
you can write:
if (ERR_FAIL == cl_function()) {
which is more readable.
```

define-api outlines the structure of the library code to be created, specifying the error map, types, functions, and their order (:literal is used for comments in this case).

define-aggregate-library defines the entire library, specifying what should be included and in what order.

You can compile the file with the following commands:

```
$SBCL_SRC/run-sbcl.sh --script "helloworld.lisp"
cc -shared -fpic -o libhelloworld.so libhelloworld.c -L$SBCL_SRC/src/runtime -lsbcl
cp $SBCL_SRC/src/runtime/libsbcl.so .
```

You can run a Python console and check that the helloworld module was created successfully:

```
import helloworld
dir(helloworld)
```

The function helloworld_hello_world should be present in the printed dictionary.

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This function follows a C standard that the return value of the function is its error code (0 is for success, other numbers should be defined in err_t class which follows the error-map definitions), the last parameter of the function is its return value. Since this is a pointer to integer in this case, an integer needs to be created using ctypes library and helloworld_hello_world has to be called with a pointer to the result value.

The following program should print 11:

```
import helloworld
import ctypes

rv = ctypes.c_int(0)
helloworld.helloworld_hello_world(5, 6, ctypes.pointer(rv))
print(rv.value)
```

There are two common problems which can occur, depending on your system.

First is a rather cryptic error from Python:

```
>>> import helloworld
Traceback (most recent call last):
   File "<stdin>", line 1, in <module>
ImportError: dynamic module does not define module export function
(PyInit_helloworld)
```

This means that Python tries to open helloworld.so as a Python module rather than helloworld.py. Since helloworld.so is just an ordinary dynamic library and not a natively-compiled Python module, it will not work.

```
cp ./helloworld.py ./py_helloworld.py
and in Python import py_helloworld.
```

If you experience a following exception being raised:

```
Traceback (most recent call last):
    ...
    raise Exception('Unable to locate libhelloworld') from e
Exception: Unable to locate libhelloworld
```

the first, check that all required dependencies - libsbcl and libzstd in this case - are either copied to the output directory or are in the path which your operating system loads libraries from. If it still doesn't work, it might be a problem with the mechanism Python locates libraries on your particular system.

Open helloworld.py (or py_helloworld.py if you renamed it as suggested earlier) and change the line

```
libpath = Path(find_library('libcallback')).resolve()
to a path for your operating system, e.g.
libpath = Path('./libhelloworld.so').resolve()
```

29.3. More Complex Example: Callback Example

SBCL-Librarian includes several examples, one of which is a simple callback to Python code. This example comes with a Makefile and with a properly defined system using asdf.

29.3.1. ASDF system definition

The system definition in libcallback.asd declares a dependency on SBCL-Librarian:

```
(asdf:defsystem #:libcallback
  :defsystem-depends-on (#:sbcl-librarian)
  :depends-on (#:sbcl-librarian)
```

The ASDF system needs to know where to find the SBCL-Librarian sources. One way to specify this is by setting the CL_SOURCE_REGISTRY environment variable to include its directory, as seen above, or to clone the project in a location whene ASDF can find it (~/common-lisp/, ~/quicklisp/local-projects/).

29.3.2. Bindings.lisp

bindings. lisp contains the crucial elements for generating the C bindings:

```
(defun call-callback (callback outbuffer)
  (sb-alien:with-alien ((str sb-alien:c-string "I guess "))
      (sb-alien:alien-funcall callback str outbuffer)))
```

This function is key to the example; it is invoked from Python code and calls back a Python method (the callback parameter). As SBCL-Librarian generates both a C library and a Python module that wraps it, this function can be called from either C or Python. This example focuses on Python.

SBCL-Librarian utilizes sb-alien, an SBCL package for interfacing with C functions. with-alien creates a resource (here it is str of type c-string) that is valid within its scope and is automatically disposed of afterward, preventing memory leaks. alien-funcall is used to call a C function, in this case callback, with a newly created string and a string buffer passed in as arguments.

```
(sbcl-librarian::define-type :callback
    :c-type "void*"
    :alien-type (sb-alien:* (sb-alien:function sb-alien:void sb-alien:c-string (sb-alien:* sb-alien:char)))
    :python-type "c_void_p")

(sbcl-librarian::define-type :char-buffer
    :c-type "char*"
    :alien-type (sb-alien:* sb-alien:char)
    :python-type "c_char_p")
```

This section defines the types callback and char-buffer in C, Python, and Common Lisp. The C and Python types for both are void* and char*, respectively. The Common Lisp type for callback specifies a function prototype: a pointer to a function that returns void and takes two parameters, a c-string and a pointer to a char. The sb-alien:* indicates a pointer, so :callback is a pointer to a function. The :char-buffer type represents a char* in all three languages.

The rest of this file is similar to what was described in the Hello World section.

29.3.3. Compile LISP Code

script.lisp is a straightforward Lisp script for compiling the Lisp sources and outputting the wrapper code and the Lisp core.

```
(require '#:asdf)
(asdf:load-system '#:libcallback)
(in-package #:sbcl-librarian/example/libcallback)
(build-bindings libcallback ".")
(build-python-bindings libcallback ".")
(build-core-and-die libcallback "." :compression t)
```

Now you have a couple of new files.

libcallback.c is the source code for the library:

```
#define CALLBACKING_API_BUILD
#include "libcallback.h"
void (*lisp_release_handle)(void* handle);
int (*lisp_handle_eq)(void* a, void* b);
void (*lisp_enable_debugger)();
void (*lisp_disable_debugger)();
void (*lisp gc)();
err_t (*callback_call_callback)(void* fn, char* out_buffer);
extern int initialize_lisp(int argc, char **argv);
CALLBACKING_API int init(char* core) {
  static int initialized = 0;
  char *init_args[] = {"", "--core", core, "--noinform", };
  if (initialized) return 1;
  if (initialize_lisp(4, init_args) != 0) return -1;
  initialized = 1;
  return 0; }
```

At the top, you'll find several SBCL-related functions, such as <code>lisp_gc</code>, which signals to the Lisp garbage collector that it is a good time to run. Then there is a pointer to the <code>callback_call_callback</code> function. Finally, the <code>init</code> function, which should be run before executing any Lisp code.

SBCL (as of version 2.4.2) didn't support de-initialize the Lisp core so there are no functions for doing so.

libcallback.h is a header file that should be included in both lispcallback.c and any calling C code. It contains prototypes of functions and function pointers in lispcallback.c, includes the error enum, and any comments added in bindings.lisp:

```
typedef enum { ERR_SUCCESS = 0, ERR_FAIL = 1, } err_t;
```

The last file, lispcallback.py, is a Python wrapper around the library. The most notable part is this:

```
from ctypes import *
from ctypes.util import find_library

try:
    libpath = Path(find_library('libcallback')).resolve()
except TypeError as e:
    raise Exception('Unable to locate libcallback') from e
```

The rest of the file is similar to the C header file.

This setup loads a compiled C library (shared object, DLL, dylib) and informs the Python interpreter about the functions and types included in the library. It also initializes the Lisp core when loaded by the Python interpreter. The initialization needs to be called manually when the generated library is called from C.

29.3.4. Compile C Code

```
cc -shared -fpic -o libcallback.so libcallback.c -L$SBCL_SRC/src/runtime -lsbcl
On Mac OS the command might be a bit different:
```

cc -dynamiclib -o libcallback.dylib libcallback.c -L\$SBCL SRC/src/runtime -lsbcl

If you do not have \$SBCL_SRC/src/runtime in your \$PATH, you should copy the \$SBCL_SRC/src/runtime/libsbcl.so file to the current directory.

29.3.5. Run

Now that everything is set up, you can run the example code using the following command:

```
$ python3 ./example.py
```

If it's successful, you should see the output:

```
I guess it works!
```

29.4. Makefile

Each example comes with a Makefile designed for building on Mac. It even automatically builds the libsbcl.so library and copies it into the current directory. However, the command for building the project (e.g., libcallback) needs to be modified to work on Linux-based operating systems and on Windows (with MSYS2).

29.5. CMake

Using CMake is relatively straightforward. Unfortunately, there is currently no CMake-aware library or a vcpkg/conan package, so you'll need to use HINTS with find_library to locate the necessary libraries.

Assuming you would like to compile a project named my_project and would like to add a LISP library, you could proceed as follows:

```
# If there is a better way, let me know.
if(WIN32)
    set(DIR_SEPARATOR ";")
else()
    set(DIR_SEPARATOR ":")
endif()
# Set the ENV Vars for building the LISP part
set(SBCL SRC "$ENV{SBCL SRC}" CACHE PATH "Path to SBCL sources directory.")
set(SBCL_LIBRARIAN_DIR "${CMAKE_CURRENT_SOURCE_DIR}/../sbcl-librarian" CACHE PATH
"Source codes of SBCL-LIBRARIAN project.")
set(CL_SOURCE_REGISTRY
"${CMAKE CURRENT SOURCE DIR}${DIR SEPARATOR}${SBCL LIBRARIAN DIR}" CACHE PATH "ASDF
registry for building of the libray.")
# Find the SBCL library
find_library(libsbcl NAMES sbcl HINTS ${SBCL_SRC}/src/runtime/)
# Link the library to the C project
target link libraries(my project ${libsbcl})
# Build LISP part of the project
add_custom_command(OUTPUT my_project-lisp.core my_project-lisp.c my_project-lisp.h
my project-lisp.py
    WORKING_DIRECTORY ${CMAKE_CURRENT_SOURCE_DIR}
```

```
COMMAND ${CMAKE COMMAND} -E env CL SOURCE REGISTRY="${CL SOURCE REGISTRY}"
        ${SBCL SRC}/run-sbcl.sh ARGS --script script.lisp
    COMMAND ${CMAKE_COMMAND} -E copy_if_different my_project-lisp.core
$<TARGET_FILE_DIR:my_project>
    COMMAND ${CMAKE_COMMAND} -E copy_if_different my_project-lisp.c
$<TARGET_FILE_DIR:my_project>
    COMMAND ${CMAKE COMMAND} -E copy if different my project-lisp.h
$<TARGET FILE DIR:my project>
    COMMAND ${CMAKE_COMMAND} -E copy_if_different my_project-lisp.py
$<TARGET_FILE_DIR:my_project>
    COMMAND ${CMAKE_COMMAND} -E rm my_project-lisp.core my_project-lisp.c my_project-
lisp.h my_project-lisp.py
# Copy SBCL library if newer
add_custom_command(TARGET my_project POST_BUILD
    COMMAND ${CMAKE COMMAND} -E copy if different
        "${libsbcl}"
        $<TARGET_FILE_DIR:my_project>)
```

This concludes our tutorial on getting started with SBCL-librarian. We hope it expands your imagination in what you can build with Common Lisp and that it put you in the right tracks.

30. Threads, concurrency, parallelism

30.1. Introduction

By *threads*, we mean separate execution strands within a single Lisp process, sharing the same address space. Typically, execution is automatically switched between these strands by the system (either by the lisp kernel or by the operating system) so that tasks appear to be completed in parallel (asynchronously). This page discusses the creation and management of threads and some aspects of interactions between them. For information about the interaction between lisp and other *processes*, see Interfacing with your OS.

An instant pitfall for the unwary is that most implementations refer (in nomenclature) to threads as *processes* - this is a historical feature of a language which has been around for much longer than the term *thread*. Call this maturity a sign of stable implementations, if you will.

The ANSI Common Lisp standard doesn't mention this topic. We will present here the portable <u>bordeaux-threads</u> library, an example implementation via <u>SBCL threads</u> from the <u>SBCL Manual</u>, and the <u>lparallel</u> library (<u>GitHub</u>).

Bordeaux-threads is a de-facto standard portable library, that exposes rather low-level primitives. Lparallel builds on it and features:

- a simple model of task submission with receiving queue
- constructs for expressing fine-grained parallelism
- asynchronous condition handling across thread boundaries
- parallel versions of map, reduce, sort, remove, and many others
- promises, futures, and delayed evaluation constructs
- computation trees for parallelizing interconnected tasks
- bounded and unbounded FIFO queues
- channels
- · high and low priority tasks
- · task killing by category
- integrated timeouts

For more libraries on parallelism and concurrency, see the <u>Awesome CL list</u> and <u>Quickdocs</u> such as quickdocks on <u>thread</u> and <u>concurrency</u>.

30.1.1. Why bother?

The first question to resolve is: why bother with threads? Sometimes your answer will simply be that your application is so straightforward that you need not concern yourself with threads at all. But in many other cases it's difficult to imagine how a sophisticated application can be written without multi-threading. For example:

- you might be writing a server which needs to be able to respond to more than one user / connection at a time (for instance: a web server) on the Sockets page);
- you might want to perform some background activity, without halting the main application while this is going on;
- you might want your application to be notified when a certain time has elapsed;
- you might want to keep the application running and active while waiting for some system resource to become available;
- you might need to interface with some other system which requires multithreading (for example, "windows" under Windows which generally run in their own threads);
- you might want to associate different contexts (e.g. different dynamic bindings) with different parts of the application;

• you might even have the simple need to do two things at once.

30.1.2. What is Concurrency? What is Parallelism?

Credit: The following was first written on <u>z0ltan.wordpress.com</u> by Timmy Jose.

Concurrency is a way of running different, possibly related, tasks seemingly simultaneously. What this means is that even on a single processor machine, you can simulate simultaneity using threads (for instance) and context-switching them.

In the case of system (native OS) threads, the scheduling and context switching is ultimately determined by the OS. This is the case with Java threads and Common Lisp threads.

In the case of "green" threads, that is to say threads that are completely managed by the program, the scheduling can be completely controlled by the program itself. Erlang is a great example of this approach.

So what is the difference between Concurrency and Parallelism? Parallelism is usually defined in a very strict sense to mean independent tasks being run in parallel, simultaneously, on different processors or on different cores. In this narrow sense, you really cannot have parallelism on a single-core, single-processor machine.

It rather helps to differentiate between these two related concepts on a more abstract level – concurrency primarily deals with providing the illusion of simultaneity to clients so that the system doesn't appear locked when a long running operation is underway. GUI systems are a wonderful example of this kind of system. Concurrency is therefore concerned with providing good user experience and not necessarily concerned with performance benefits.

Java's Swing toolkit and JavaScript are both single-threaded, and yet they can give the appearance of simultaneity because of the context switching behind the scenes. Of course, concurrency is implemented using multiple threads/processes in most cases.

Parallelism, on the other hand, is mostly concerned with pure performance gains. For instance, if we are given a task to find the squares of all the even numbers in a given range, we could divide the range into chunks which are then run in parallel on different cores or different processors, and then the results can be collated together to form the final result. This is an example of Map-Reduce in action.

So now that we have separated the abstract meaning of Concurrency from that of Parallelism, we can talk a bit about the actual mechanism used to implement them. This is where most of the confusion arise for a lot of people. They tend to tie down abstract concepts with specific means of implementing them. In essence, both abstract concepts may be implemented using the same mechanisms! For instance, we may implement concurrent features and parallel features using the same basic thread mechanism in Java. It's only the conceptual intertwining or independence of tasks at an abstract level that makes the difference for us.

For instance, if we have a task where part of the work can be done on a different thread (possibly on a different core/processor), but the thread which spawns this thread is logically dependent on the results of the spawned thread (and as such has to "join" on that thread), it is still Concurrency!

So the bottomline is this – Concurrency and Parallelism are different concepts, but their implementations may be done using the same mechanisms — threads, processes, etc.

30.2. Bordeaux threads

The Bordeaux library provides a platform independent way to handle basic threading on multiple Common Lisp implementations. The interesting bit is that it itself does not really create any native threads — it relies entirely on the underlying implementation to do so.

On the other hand, it does provide some useful extra features in its own abstractions over the lower-level threads.

Also, you can see from the demo programs that a lot of the Bordeaux functions seem quite similar to those used in SBCL. I don't really think that this is a coincidence.

You can refer to the documentation for more details (check the "Wrap-up" section).

30.2.1. Installing Bordeaux Threads

First let's load up the Bordeaux library using Quicklisp:

```
CL-USER> (ql:quickload "bt-semaphore")
To load "bt-semaphore":
  Load 1 ASDF system:
    bt-semaphore
; Loading "bt-semaphore"

(:BT-SEMAPHORE)
```

30.2.2. Checking for thread support in Common Lisp

Regardless of the Common Lisp implementation, there is a standard way to check for thread support availability:

```
CL-USER> (member :thread-support *FEATURES*)
(:THREAD-SUPPORT :SWANK :QUICKLISP :ASDF-PACKAGE-SYSTEM :ASDF3.1 :ASDF3 :ASDF2
 :ASDF :OS-MACOSX :OS-UNIX :NON-BASE-CHARS-EXIST-P :ASDF-UNICODE :64-BIT
 :64-BIT-REGISTERS :ALIEN-CALLBACKS :ANSI-CL :ASH-RIGHT-VOPS :BSD
 :C-STACK-IS-CONTROL-STACK :COMMON-LISP :COMPARE-AND-SWAP-VOPS
 :COMPLEX-FLOAT-VOPS :CYCLE-COUNTER :DARWIN :DARWIN9-OR-BETTER :FLOAT-EQL-VOPS
 :FP-AND-PC-STANDARD-SAVE :GENCGC :IEEE-FLOATING-POINT :INLINE-CONSTANTS
 :INODE64 :INTEGER-EQL-VOP :LINKAGE-TABLE :LITTLE-ENDIAN
 :MACH-EXCEPTION-HANDLER :MACH-0 :MEMORY-BARRIER-VOPS :MULTIPLY-HIGH-VOPS
 :OS-PROVIDES-BLKSIZE-T :OS-PROVIDES-DLADDR :OS-PROVIDES-DLOPEN
 :OS-PROVIDES-PUTWC :OS-PROVIDES-SUSECONDS-T :PACKAGE-LOCAL-NICKNAMES
 :PRECISE-ARG-COUNT-ERROR :RAW-INSTANCE-INIT-VOPS :SB-DOC :SB-EVAL :SB-LDB
 :SB-PACKAGE-LOCKS :SB-SIMD-PACK :SB-SOURCE-LOCATIONS :SB-TEST :SB-THREAD
 :SB-UNICODE :SBCL :STACK-ALLOCATABLE-CLOSURES :STACK-ALLOCATABLE-FIXED-OBJECTS
 :STACK-ALLOCATABLE-LISTS :STACK-ALLOCATABLE-VECTORS
 :STACK-GROWS-DOWNWARD-NOT-UPWARD :SYMBOL-INFO-VOPS :UD2-BREAKPOINTS :UNIX
 :UNWIND-TO-FRAME-AND-CALL-VOP :X86-64)
```

If there were no thread support, it would show "NIL" as the value of the expression.

Depending on the specific library being used, we may also have different ways of checking for concurrency support, which may be used instead of the common check mentioned above.

For instance, in our case, we are interested in using the Bordeaux library. To check whether there is support for threads using this library, we can see whether the *supports-threads-p* global variable is set to NIL (no support) or T (support available):

```
CL-USER> bt:*supports-threads-p*
```

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Okay, now that we've got that out of the way, let's test out both the platform-independent library (Bordeaux) as well as the platform-specific support (SBCL in this case).

To do this, let us work our way through a number of simple examples:

- Basics list current thread, list all threads, get thread name
- Update a global variable from a thread
- Print a message onto the top-level using a thread
- Print a message onto the top-level fixed
- Print a message onto the top-level better
- Modify a shared resource from multiple threads
- Modify a shared resource from multiple threads fixed using locks
- Modify a shared resource from multiple threads using atomic operations
- Joining on a thread, destroying a thread example

30.2.3. Basics – list current thread, list all threads, get thread name

```
;;; Print the current thread, all the threads, and the current thread's name
    (defun print-thread-info ()
      (let* ((curr-thread (bt:current-thread))
             (curr-thread-name (bt:thread-name curr-thread))
             (all-threads (bt:all-threads)))
        (format t "Current thread: ~a~%~%" curr-thread)
        (format t "Current thread name: ~a~%~%" curr-thread-name)
        (format t "All threads:~% ~{~a~%~}~%" all-threads))
      nil)
And the output:
    CL-USER> (print-thread-info)
    Current thread: #<THREAD "repl-thread" RUNNING {10043B8003}>
    Current thread name: repl-thread
    All threads:
     #<THREAD "repl-thread" RUNNING {10043B8003}>
    #<THREAD "auto-flush-thread" RUNNING {10043B7DA3}>
    #<THREAD "swank-indentation-cache-thread" waiting on: #<WAITQUEUE {1003A28103}>
{1003A201A3}>
    #<THREAD "reader-thread" RUNNING {1003A20063}>
    #<THREAD "control-thread" waiting on: #<WAITQUEUE {1003A19E53}> {1003A18C83}>
    #<THREAD "Swank Sentinel" waiting on: #<WAITQUEUE {1003790043}> {1003788023}>
    #<THREAD "main thread" RUNNING {1002991CE3}>
    NIL
```

Update a global variable from a thread:

```
(defparameter *counter* 0)
(defun test-update-global-variable ()
  (bt:make-thread
   (lambda ()
       (sleep 1)
       (incf *counter*)))
  *counter*)
```

We create a new thread using bt:make-thread, which takes a lambda abstraction as a parameter. Note that this lambda abstraction cannot take any parameters.

Another point to note is that unlike some other languages (Java, for instance), there is no separation from creating the thread object and starting/running it. In this case, as soon as the thread is created, it is executed.

The output:

```
CL-USER> (test-update-global-variable)

CL-USER> *counter*
1
```

As we can see, because the main thread returned immediately, the initial value of *counter* is 0, and then around a second later, it gets updated to 1 by the anonymous thread.

30.2.4. Create a thread: print a message onto the top-level

```
;;; Print a message onto the top-level using a thread
(defun print-message-top-level-wrong ()
   (bt:make-thread
    (lambda ()
         (format *standard-output* "Hello from thread!"))
   :name "hello")
nil)
```

And the output:

```
CL-USER> (print-message-top-level-wrong)
NIL
```

So what went wrong? The problem is variable binding. Now, the 't' parameter to the format function refers to the top-level, which is a Common Lisp term for the main console stream, also referred to by the global variable *standard-output*. So we could have expected the output to be shown on the main console screen.

The same code would have run fine if we had not run it in a separate thread. What happens is that each thread has its own stack where the variables are rebound. In this case, even for *standard-output*, which being a global variable, we would assume should be available to all threads, is rebound inside each thread! This is similar to the concept of ThreadLocal storage in Java.

30.2.5. Print a message onto the top-level - fixed

So how do we fix the problem of the previous example? By binding the top-level at the time of thread creation of course. Pure lexical scoping to the rescue!

```
;;; Print a message onto the top-level using a thread - fixed
(defun print-message-top-level-fixed ()
  (let ((top-level *standard-output*))
    (bt:make-thread
        (lambda ()
            (format top-level "Hello from thread!"))
        :name "hello"))
nil)
```

Which produces:

```
CL-USER> (print-message-top-level-fixed)
Hello from thread!
NIL
```

Phew! However, there is another way of producing the same result using a very interesting reader macro as we'll see next.

30.2.6. Print a message onto the top-level — read-time eval macro

Let's take a look at the code first:

So it works, but what's the deal with the eval-when and what is that strange #. symbol before *standard-output*?

eval-when controls when evaluation of Lisp expressions takes place. We can have three targets — :compile-toplevel, :load-toplevel, and :execute.

The #. symbol is what is called a "Reader macro". A reader (or read) macro is called so because it has special meaning to the Common Lisp Reader, which is the component that is responsible for reading in Common Lisp expressions and making sense out of them. This specific reader macro ensures that the binding of *standard-output* is done at read time.

Binding the value at read-time ensures that the original value of *standard-output* is maintained when the thread is run, and the output is shown on the correct top-level.

Now this is where the eval-when bit comes into play. By wrapping the whole function definition inside the eval-when, and ensuring that evaluation takes place during compile time, the correct value of *standard-output* is bound. If we had skipped the eval-when, we would see the following error:

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Compilation failed.

And that makes sense because SBCL cannot make sense of what this output stream returns since it is a stream and not really a defined value (which is what the 'format' function expects). That is why we see the "unreachable code" error.

Note that if the same code had been run on the REPL directly, there would be no problem since the resolution of all the symbols would be done correctly by the REPL thread.

30.2.7. Modify a shared resource from multiple threads

Suppose we have the following setup with a minimal bank-account class (no error checks):

```
;;; Modify a shared resource from multiple threads
(defclass bank-account ()
  ((id :initarg :id
       :initform (error "id required")
       :accessor :id)
   (name :initarg :name
         :initform (error "name required")
         :accessor :name)
   (balance :initarg :balance
            :initform 0
            :accessor :balance)))
(defgeneric deposit (account amount)
  (:documentation "Deposit money into the account"))
(defgeneric withdraw (account amount)
  (:documentation "Withdraw amount from account"))
(defmethod deposit ((account bank-account) (amount real))
  (incf (:balance account) amount))
(defmethod withdraw ((account bank-account) (amount real))
  (decf (:balance account) amount))
```

And we have a simple client which apparently does not believe in any form of synchronisation:

This is all we are doing – create a new bank account instance (balance 0), and then create a 100 threads, each of which simply deposits an amount of 100 10000 times, and then withdraws the same

amount the same number of times. So the final result should be the same as that of the opening balance, which is 0, right? Let's check that and see.

On a sample run, we might get the following results:

Whoa! The reason for this discrepancy is that incf and decf are not atomic operations — they consist of multiple sub-operations, and the order in which they are executed is not in our control.

This is what is called a "race condition" — multiple threads contending for the same shared resource with at least one modifying thread which, more likely than not, reads the wrong value of the object while modifying it. How do we fix it? One simple way it to use locks (mutex in this case, could be semaphores for more complex situations).

30.2.8. Modify a shared resource from multiple threads – fixed using locks

Let's rest the balance for the account back to 0 first:

```
CL-USER> (setf (:balance *rich*) 0)
0
CL-USER> (:balance *rich*)
0
```

Now let's modify the demo-race-condition function to access the shared resource using locks (created using bt:make-lock and used as shown):

And let's do a bigger sample run this time around:

Excellent! Now this is better. Of course, one has to remember that using a mutex like this is bound to affect performance. There is a better way in quite a few circumstances — using atomic operations when possible. We'll cover that next.

30.2.9. Modify a shared resource from multiple threads — using atomic operations

Atomic operations are operations that are guaranteed by the system to all occur inside a conceptual transaction, i.e., all the sub-operations of the main operation all take place together without any interference from outside. The operation succeeds completely or fails completely. There is no middle ground, and there is no inconsistent state.

Another advantage is that performance is far superior to using locks to protect access to the shared state. We will see this difference in the actual demo run.

The Bordeaux library does not provide any real support for atomics, so we will have to depend on the specific implementation support for that. In our case, that is SBCL, and so we will have to defer this demo to the SBCL section.

30.2.10. Joining on a thread, destroying a thread

To join on a thread, we use the bt:join-thread function, and for destroying a thread (not a recommended operation), we can use the bt:destroy-thread function.

A simple demo:

```
(defmacro until (condition &body body)
  (let ((block-name (gensym)))
    `(block ,block-name
       (loop
           (if , condition
               (return-from ,block-name nil)
               (progn
                   ,@body))))))
(defun join-destroy-thread ()
  (let* ((s *standard-output*)
        (joiner-thread
          (bt:make-thread
            (lambda ()
              (loop for i from 1 to 10
                   (format s "~%[Joiner Thread] Working...")
                   (sleep (* 0.01 (random 100)))))))
        (destroyer-thread
            (bt:make-thread
               (lambda ()
                (loop for i from 1 to 1000000
                   do
                     (format s "~%[Destroyer Thread] Working...")
                     (sleep (* 0.01 (random 10000))))))))
    (format t "~%[Main Thread] Waiting on joiner thread...")
    (bt:join-thread joiner-thread)
    (format t "~%[Main Thread] Done waiting on joiner thread")
    (if (bt:thread-alive-p destroyer-thread)
          (format t "~%[Main Thread] Destroyer thread alive... killing it")
          (bt:destroy-thread destroyer-thread))
        (format t "~%[Main Thread] Destroyer thread is already dead"))
    (until (bt:thread-alive-p destroyer-thread)
           (format t "[Main Thread] Waiting for destroyer thread to die..."))
    (format t "~%[Main Thread] Destroyer thread dead")
    (format t "~%[Main Thread] Adios!~%")))
```

And the output on a run:

```
CL-USER> (join-destroy-thread)
[Joiner Thread] Working...
[Destroyer Thread] Working...
[Main Thread] Waiting on joiner thread...
[Joiner Thread] Working...
[Main Thread] Done waiting on joiner thread
[Main Thread] Destroyer thread alive... killing it
[Main Thread] Destroyer thread dead
[Main Thread] Adios!
NIL
```

The until macro simply loops around until the condition becomes true. The rest of the code is pretty much self-explanatory — the main thread waits for the joiner-thread to finish, but it immediately destroys the destroyer-thread.

Again, it is not recommended to use bt:destroy-thread. Any conceivable situation which requires this function can probably be done better with another approach.

Now let's move onto some more comprehensive examples which tie together all the concepts discussed thus far.

30.2.11. Timeouts

We can use bt:with-timeout.

Sometimes we want to run a background operation, but we want to ensure that it doesn't take a maximum time limit. We can use bt:with-timeout (n) where n is a number of seconds. In case of a timeout, Bordeaux-threads signals a bt:timeout error.

In our scenario below, we create a thread that launches a potentially long operation, we join the thread with a timeout, and we handle any timeout error. In our case, we destroy the running thread. This also kills its underlying processes (were they run with uiop:run-program).

```
(defun maybe-costly-operation ()
  (print "working hard...")
 (sleep 10))
(let ((thread (bt:make-thread
                                         ;; <--- create a thread
                 (lambda ()
                   ;; maybe a long operation:
                   (maybe-costly-operation))
                 :name "maybe-costly-thread")))
    (handler-case
        (bt:with-timeout (timeout)
                                         ;; <-- with-timeout
                                         ;; <-- join the thread
          (bt:join-thread thread))
      (bt:timeout ()
                                         ;; <-- handle timeout.
        (bt:destroy-thread thread))))
```

30.2.12. Useful functions

Here is a summary of the functions, macros and global variables which were used in the demo examples along with some extras. These should cover most of the basic programming scenarios:

- bt:*supports-thread-p* (to check for basic thread support)
- bt:make-thread (create a new thread)
- bt:current-thread (return the current thread object)
- bt:all-threads (return a list of all running threads)
- bt:thread-alive-p (checks if the thread is still alive)
- bt:thread-name (return the name of the thread)
- bt:join-thread (join on the supplied thread)
- bt:interrupt-thread (interrupt the given thread)
- bt:destroy-thread (attempt to abort the thread)
- bt:make-lock (create a mutex)
- bt:with-lock-held (use the supplied lock to protect critical code)
- bt:with-timeout (to signal a timeout error)

30.3. SBCL threads

<u>SBCL</u> provides support for native threads via its <u>sb-thread</u> package. These are very low-level functions, but we can build our own abstractions on top of these as shown in the demo examples.

You can refer to the documentation for more details (check the "Wrap-up" section).

You can see from the examples below that there is a strong correspondence between Bordeaux and SBCL Thread functions. In most cases, the only difference is the change of package name from bt to sb-thread.

It is evident that the Bordeaux thread library was more or less based on the SBCL implementation. As such, explanation will be provided only in those cases where there is a major difference in syntax or semantics.

30.3.1. Basics – list current thread, list all threads, get thread name

The code:

```
;;; Print the current thread, all the threads, and the current thread's name
    (defun print-thread-info ()
      (let* ((curr-thread sb-thread:*current-thread*)
             (curr-thread-name (sb-thread:thread-name curr-thread))
             (all-threads (sb-thread:list-all-threads)))
        (format t "Current thread: ~a~%~%" curr-thread)
        (format t "Current thread name: ~a~%~%" curr-thread-name)
        (format t "All threads:~% ~{~a~%~}~%" all-threads))
      nil)
And the output:
    CL-USER> (print-thread-info)
    Current thread: #<THREAD "repl-thread" RUNNING {10043B8003}>
    Current thread name: repl-thread
    All threads:
     #<THREAD "repl-thread" RUNNING {10043B8003}>
    #<THREAD "auto-flush-thread" RUNNING {10043B7DA3}>
    #<THREAD "swank-indentation-cache-thread" waiting on: #<WAITQUEUE {1003A28103}>
```

```
{1003A201A3}>
    #<THREAD "reader-thread" RUNNING {1003A20063}>
    #<THREAD "control-thread" waiting on: #<WAITQUEUE {1003A19E53}> {1003A18C83}>
    #<THREAD "Swank Sentinel" waiting on: #<WAITQUEUE {1003790043}> {1003788023}>
    #<THREAD "main thread" RUNNING {1002991CE3}>
    NIL
30.3.2. Update a global variable from a thread
The code:
    ;;; Update a global variable from a thread
    (defparameter *counter* 0)
    (defun test-update-global-variable ()
      (sb-thread:make-thread
       (lambda ()
         (sleep 1)
         (incf *counter*)))
      *counter*)
And the output:
    CL-USER> (test-update-global-variable)
30.3.3. Print a message onto the top-level using a thread
The code:
    ;;; Print a message onto the top-level using a thread
    (defun print-message-top-level-wrong ()
      (sb-thread:make-thread
       (lambda ()
         (format *standard-output* "Hello from thread!")))
      nil)
And the output:
    CL-USER> (print-message-top-level-wrong)
Print a message onto the top-level — fixed:
The code:
    ;;; Print a message onto the top-level using a thread - fixed
    (defun print-message-top-level-fixed ()
      (let ((top-level *standard-output*))
        (sb-thread:make-thread
         (lambda ()
           (format top-level "Hello from thread!"))))
```

And the output:

```
CL-USER> (print-message-top-level-fixed)
Hello from thread!
NIL
```

30.3.4. Print a message onto the top-level — better

The code:

30.3.5. Modify a shared resource from multiple threads

The code:

```
;;; Modify a shared resource from multiple threads
(defclass bank-account ()
  ((id :initarg :id
      :initform (error "id required")
      :accessor :id)
   (name :initarg :name
         :initform (error "name required")
         :accessor :name)
   (balance :initarg :balance
            :initform 0
            :accessor :balance)))
(defgeneric deposit (account amount)
  (:documentation "Deposit money into the account"))
(defgeneric withdraw (account amount)
  (:documentation "Withdraw amount from account"))
(defmethod deposit ((account bank-account) (amount real))
  (incf (:balance account) amount))
(defmethod withdraw ((account bank-account) (amount real))
  (decf (:balance account) amount))
(defparameter *rich*
  (make-instance 'bank-account
                 :id 1
                 :name "Rich"
                 :balance 0))
(defun demo-race-condition ()
  (loop repeat 100
```

30.3.6. Modify a shared resource from multiple threads – fixed using locks

The code:

The only difference here is that instead of make-lock as in Bordeaux, we have make-mutex and that is used along with the macro with-mutex as shown in the example.

And the output:

```
CL-USER> (:balance *rich*)
0
CL-USER> (demo-race-condition-locks)
NIL
CL-USER> (:balance *rich*)
0
```

30.3.7. Modify a shared resource from multiple threads — using atomic operations

First, the code:

```
;;; Modify a shared resource from multiple threads - atomics

(defgeneric atomic-deposit (account amount)
    (:documentation "Atomic version of the deposit method"))

(defgeneric atomic-withdraw (account amount)
    (:documentation "Atomic version of the withdraw method"))

(defmethod atomic-deposit ((account bank-account) (amount real))
    (sb-ext:atomic-incf (car (cons (:balance account) nil)) amount))

(defmethod atomic-withdraw ((account bank-account) (amount real))
    (sb-ext:atomic-decf (car (cons (:balance account) nil)) amount))
```

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```
(defun demo-race-condition-atomics ()
      (loop repeat 100
         do (sb-thread:make-thread
             (lambda ()
               (loop repeat 10000 do (atomic-deposit *rich* 100))
               (loop repeat 10000 do (atomic-withdraw *rich* 100))))))
And the output:
    CL-USER> (dotimes (i 5)
               (format t "~%Opening: ~d" (:balance *rich*))
               (demo-race-condition-atomics)
               (format t "~%Closing: ~d~%" (:balance *rich*)))
    Opening: 0
    Closing: 0
    NIL
```

As you can see, SBCL's atomic functions are a bit quirky. The two functions used here: sb-ext:incf and sb-ext:atomic-decf have the following signatures:

```
Macro: atomic-incf [sb-ext] place &optional diff and

Macro: atomic-decf [sb-ext] place &optional diff
```

The interesting bit is that the "place" parameter must be any of the following (as per the documentation):

- a defstruct slot with declared type (unsigned-byte 64) or aref of a (simple-array (unsigned-byte 64) (*)) The type sb-ext:word can be used for these purposes.
- car or cdr (respectively first or REST) of a cons.
- a variable defined using defglobal with a proclaimed type of fixnum.

This is the reason for the bizarre construct used in the atomic-deposit and atomic-decf methods.

One major incentive to use atomic operations as much as possible is performance. Let's do a quick run of the demo-race-condition-locks and demo-race-condition-atomics functions over 1000 times and check the difference in performance (if any):

With locks:

```
431.451639 seconds of total run time (408.014746 user, 23.436893 system)
      747.61% CPU
      126,674,011,941 processor cycles
      3,329,504 bytes consed
    NIL
With atomics:
    CL-USER> (time
                        (loop repeat 100
                         do (demo-race-condition-atomics)))
    Evaluation took:
      2.495 seconds of real time
      8.175454 seconds of total run time (6.124259 user, 2.051195 system)
      [ Run times consist of 0.420 seconds GC time, and 7.756 seconds non-GC time. ]
      327.66% CPU
      5,477,039,706 processor cycles
      3,201,582,368 bytes consed
    NIL
```

The results? The locks version took around 57s whereas the lockless atomics version took just 2s! This is a massive difference indeed!

30.3.8. Joining on a thread, destroying a thread example

The code:

```
;;; Joining on and destroying a thread
(defmacro until (condition &body body)
 (let ((block-name (gensym)))
    `(block ,block-name
       (loop
           (if ,condition
               (return-from ,block-name nil)
               (progn
                   ,@body))))))
(defun join-destroy-thread ()
  (let* ((s *standard-output*)
        (joiner-thread
           (sb-thread:make-thread
              (lambda ()
                (loop for i from 1 to 10
                     (format s "~%[Joiner Thread] Working...")
                     (sleep (* 0.01 (random 100))))))
        (destroyer-thread
           (sb-thread:make-thread
              (lambda ()
                (loop for i from 1 to 1000000
                     (format s "~%[Destroyer Thread] Working...")
                     (sleep (* 0.01 (random 10000))))))))
    (format t "~%[Main Thread] Waiting on joiner thread...")
    (bt:join-thread joiner-thread)
```

```
(format t "~%[Main Thread] Done waiting on joiner thread")
    (if (sb-thread:thread-alive-p destroyer-thread)
        (progn
          (format t "~%[Main Thread] Destroyer thread alive... killing it")
          (sb-thread:terminate-thread destroyer-thread))
        (format t "~%[Main Thread] Destroyer thread is already dead"))
    (until (sb-thread:thread-alive-p destroyer-thread)
       (format t "[Main Thread] Waiting for destroyer thread to die..."))
    (format t "~%[Main Thread] Destroyer thread dead")
    (format t "~%[Main Thread] Adios!~%")))
And the output:
    CL-USER> (join-destroy-thread)
    [Joiner Thread] Working...
    [Destroyer Thread] Working...
    [Main Thread] Waiting on joiner thread...
    [Joiner Thread] Working...
    [Main Thread] Done waiting on joiner thread
    [Main Thread] Destroyer thread alive... killing it
    [Main Thread] Destroyer thread dead
    [Main Thread] Adios!
    NIL
```

30.3.9. Useful functions

Here is a summarised list of the functions, macros and global variables used in the examples along with some extras:

```
(member :thread-support *features*) (check thread support)sb-thread:make-thread (create a new thread)
```

- sb-thread:*current-thread* (holds the current thread object)
- sb-thread: list-all-threads (return a list of all running threads)
- sb-thread:thread-alive-p (checks if the thread is still alive)
- sb-thread:thread-name (return the name of the thread)
- sb-thread: join-thread (join on the supplied thread)
- sb-thread:interrupt-thread (interrupt the given thread)
- sb-thread:destroy-thread (attempt to abort the thread)
- sb-thread:make-mutex (create a mutex)
- sb-thread:with-mutex (use supplied lock to protect critical code)

30.4. Wrap-up

As you can see, concurrency support is rather primitive in Common Lisp, but that's primarily due to the glaring absence of this important feature in the ANSI Common Lisp specification. That does not detract in the least from the support provided by Common Lisp implementations, nor wonderful libraries like the Bordeaux library.

You should follow up on your own by reading a lot more on this topic. I share some of my own references here:

- Common Lisp Recipes by Edmund Weitz
- Bordeaux API Reference
- SBCL Manual on Threading
- The Common Lisp Hyperspec

Next up, the final post in this mini-series: parallelism in Common Lisp using the **lparallel** library.

30.5. Parallel programming with lparallel

It is important to note that lparallel also provides extensive support for asynchronous programming, and is not a purely parallel programming library. As stated before, parallelism is merely an abstract concept in which tasks are conceptually independent of one another.

The lparallel library is built on top of the Bordeaux threading library.

As mentioned previously, parallelism and concurrency can be (and usually are) implemented using the same means — threads, processes, etc. The difference between lies in their conceptual differences.

Note that not all the examples shown in this post are necessarily parallel. Asynchronous constructs such as Promises and Futures are, in particular, more suited to concurrent programming than parallel programming.

The modus operandi of using the lparallel library (for a basic use case) is as follows:

- Create an instance of what the library calls a kernel using <code>lparallel:make-kernel</code>. The kernel is the component that schedules and executes tasks.
- Design the code in terms of futures, promises and other higher level functional concepts. To this end, lparallel provides support for **channels**, **promises**, **futures**, and **cognates**.
- Perform operations using what the library calls cognates, which are simply functions which have equivalents in the Common Lisp language itself. For instance, the <code>lparallel:pmap</code> function is the parallel equivalent of the Common Lisp map function.
- Finally, close the kernel created in the first step using lparallel:end-kernel.

Note that the onus of ensuring that the tasks being carried out are logically parallelisable as well as taking care of all mutable state is on the developer.

Credit: this article first appeared on <u>z0ltan.wordpress.com</u>.

30.5.1. Installation

Let's check if lparallel is available for download using Quicklisp:

```
CL-USER> (ql:system-apropos "lparallel")

#<SYSTEM lparallel / lparallel-20160825-git / quicklisp 2016-08-25>

#<SYSTEM lparallel-bench / lparallel-20160825-git / quicklisp 2016-08-25>

#<SYSTEM lparallel-test / lparallel-20160825-git / quicklisp 2016-08-25>

; No value

Looks like it is. Let's go ahead and install it:

CL-USER> (ql:quickload "lparallel")
```

```
To load "lparallel":

Load 2 ASDF systems:

alexandria bordeaux-threads

Install 1 Quicklisp release:

lparallel
```

```
; Fetching #<URL "http://beta.quicklisp.org/archive/lparallel/2016-08-25/lparallel-
20160825-git.tgz">
; 76.71KB
78,551 bytes in 0.62 seconds (124.33KB/sec)
; Loading "lparallel"
[package lparallel.util].....
[package lparallel.thread-util].....
[package lparallel.raw-queue].....
[package lparallel.cons-queue].....
[package lparallel.vector-queue].....
[package lparallel.queue].....
[package lparallel.counter].....
[package lparallel.spin-queue].....
[package lparallel.kernel].....
[package lparallel.kernel-util].....
[package lparallel.promise].....
[package lparallel.ptree].....
[package lparallel.slet].....
[package lparallel.defpun].....
[package lparallel.cognate].....
[package lparallel]
(:LPARALLEL)
```

And that's all it took! Now let's see how this library actually works.

30.5.2. Preamble - get the number of cores

First, let's get hold of the number of threads that we are going to use for our parallel examples. Ideally, we'd like to have a 1:1 match between the number of worker threads and the number of available cores.

We can use the great **Serapeum** library to this end, which has a count-cpus function, that works on all major platforms.

```
Install it:
```

```
CL-USER> (ql:quickload "serapeum")
and call it:
CL-USER> (serapeum:count-cpus)
8
```

and check that is correct.

30.5.3. Common Setup

In this example, we will go through the initial setup bit, and also show some useful information once the setup is done.

Load the library:

```
CL-USER> (ql:quickload "lparallel")
To load "lparallel":
  Load 1 ASDF system:
    lparallel
; Loading "lparallel"

(:LPARALLEL)
```

Initialise the lparallel kernel:

```
CL-USER> (defun show-kernel-info ()
           (let ((name (lparallel:kernel-name))
                 (count (lparallel:kernel-worker-count))
                 (context (lparallel:kernel-context))
                 (bindings (lparallel:kernel-bindings)))
             (format t "Kernel name = ~a~%" name)
             (format t "Worker threads count = ~d~%" count)
             (format t "Kernel context = ~a~%" context)
             (format t "Kernel bindings = ~a~%" bindings)))
WARNING: redefining COMMON-LISP-USER::SHOW-KERNEL-INFO in DEFUN
SHOW-KERNEL-INFO
CL-USER> (show-kernel-info)
Kernel name = custom-kernel
Worker threads count = 8
Kernel context = #<FUNCTION FUNCALL>
Kernel bindings = ((*STANDARD-OUTPUT* . #<SLIME-OUTPUT-STREAM {10044EEEA3}>)
                   (*ERROR-OUTPUT* . #<SLIME-OUTPUT-STREAM {10044EEEA3}>))
NIL
```

End the kernel (this is important since *kernel* does not get garbage collected until we explicitly end it):

```
CL-USER> (lparallel:end-kernel :wait t)

(#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {100723FA83}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {100723FE23}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {10072581E3}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {1007258583}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {1007258923}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {1007258CC3}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {1007259063}>

#<SB-THREAD:THREAD "custom--kernel" FINISHED values: NIL {1007259403}>)
```

Let's move on to some more examples of different aspects of the lparallel library.

For these demos, we will be using the following initial setup from a coding perspective:

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```
(require 'lparallel)
(require 'bt-semaphore)

(defpackage :lparallel-user
   (:use :cl :lparallel :lparallel.queue :bt-semaphore))

(in-package :lparallel-user)

;;; initialise the kernel
(defun init ()
```

```
(setf *kernel* (make-kernel 8 :name "channel-queue-kernel")))
(init)
```

So we will be using a kernel with 8 worker threads (one for each CPU core on the machine).

And once we're done will all the examples, the following code will be run to close the kernel and free all used system resources:

```
;;; shut the kernel down
(defun shutdown ()
  (end-kernel :wait t))
(shutdown)
```

30.5.4. Using channels and queues

First some definitions are in order.

A **task** is a job that is submitted to the kernel. It is simply a function object along with its arguments.

A **channel** in lparallel is similar to the same concept in Go. A channel is simply a means of communication with a worker thread. In our case, it is one particular way of submitting tasks to the kernel.

A channel is created in lparallel using lparallel:make-channel. A task is submitted using lparallel:submit-task, and the results received via lparallel:receive-result.

For instance, we can calculate the square of a number as:

Now let's try submitting multiple tasks to the same channel. In this simple example, we are simply creating three tasks that square, triple, and quadruple the supplied input respectively.

Note that in case of multiple tasks, the output will be in non-deterministic order:

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lparallel also provides support for creating a blocking queue in order to enable message passing between worker threads. A queue is created using <code>lparallel.queue:make-queue</code>.

Some useful functions for using queues are:

- lparallel.queue:make-queue: create a FIFO blocking queue
- lparallel.queue:push-queue: insert an element into the queue
- lparallel.queue:pop-queue:pop an item from the queue
- lparallel.queue:peek-queue:inspect value without popping it
- lparallel.queue:queue-count: the number of entries in the queue
- lparallel.queue:queue-full-p: check if the queue is full
- lparallel.queue:queue-empty-p:check if the queue is empty
- lparallel.queue:with-locked-queue: lock the queue during access

A basic demo showing basic queue properties:

```
(defun test-queue-properties ()
  (let ((queue (make-queue :fixed-capacity 5)))
      (loop
            when (queue-full-p queue)
            do (return)
            do (push-queue (random 100) queue))
            (print (queue-full-p queue))
            (loop
                 when (queue-empty-p queue)
            do (return)
            do (print (pop-queue queue)))
            (print (queue-empty-p queue)))
            return (queue-empty-p queue)))
```

Which produces:

```
LPARALLEL-USER> (test-queue-properties)

T
17
51
55
42
82
T
NIL
```

Note: lparallel.queue:make-queue is a generic interface which is actually backed by different types of queues. For instance, in the previous example, the actual type of the queue is lparallel.vector-queue since we specified it to be of fixed size using the :fixed-capacity keyword argument.

The documentation doesn't actually specify what keyword arguments we can pass to <code>lparallel.queue:make-queue</code>, so let's and find that out in a different way:

```
LPARALLEL-USER> (describe 'lparallel.queue:make-queue)
    LPARALLEL.QUEUE: MAKE-QUEUE
      [symbol]
    MAKE-QUEUE names a compiled function:
      Lambda-list: (&REST ARGS)
     Derived type: FUNCTION
     Documentation:
        Create a queue.
        The queue contents may be initialized with the keyword argument
        `initial-contents'.
        By default there is no limit on the queue capacity. Passing a
        `fixed-capacity' keyword argument limits the capacity to the value
        passed. `push-queue' will block for a full fixed-capacity queue.
      Source file: /Users/z0ltan/quicklisp/dists/quicklisp/software/
lparallel-20160825-git/src/queue.lisp
    MAKE-QUEUE has a compiler-macro:
      Source file: /Users/z0ltan/quicklisp/dists/quicklisp/software/
lparallel-20160825-git/src/queue.lisp
    ; No value
```

So, as we can see, it supports the following keyword arguments: :fixed-capacity, and initial-contents.

Now, if we do specify: fixed-capacity, then the actual type of the queue will be <code>lparallel.vector-queue</code>, and if we skip that keyword argument, the queue will be of type <code>lparallel.cons-queue</code> (which is a queue of unlimited size), as can be seen from the output of the following snippet:

Of course, you can always create instances of the specific queue types yourself, but it is always better, when you can, to stick to the generic interface and letting the library create the proper type of queue for you.

Now, let's just see the queue in action!

Here we submit a single task that repeatedly scans the queue till it's empty, pops the available values, and pushes them into the res list.

And the output:

```
LPARALLEL-USER> (test-basic-queue)
9604 9409 9216 9025 8836 8649 8464 8281 8100 7921 7744 7569 7396 7225 7056 6889
6724 6561 6400 6241 6084 5929 5776 5625 5476 5329 5184 5041 4900 4761 4624 4489 4356
4225 4096 3969 3844 3721 3600 3481 3364 3249 3136 3025 2916 2809 2704 2601 2500 2401
2304 2209 2116 2025 1936 1849 1764 1681 1600 1521 1444 1369 1296 1225 1156 1089 1024
961 900 841 784 729 676 625 576 529 484 441 400 361 324 289 256 225 196 169 144 121
100 81 64 49 36 25 16 9 4 1 0
NIL
```

30.5.5. Killing tasks

A small note mentioning the <code>lparallel:kill-task</code> function would be apropos at this juncture. This function is useful in those cases when tasks are unresponsive. The lparallel documentation clearly states that this must only be used as a last resort.

All tasks which are created are by default assigned a category of :default. The dynamic property, *task-category* holds this value, and can be dynamically bound to different values (as we shall see).

```
;;; kill default tasks
(defun test-kill-all-tasks ()
  (let ((channel (make-channel))
        (stream *query-io*))
    (dotimes (i 10)
      (submit-task
          channel
          (lambda (x)
            (sleep (random 10))
            (format stream "~d~%" (* x x))) (random 10)))
    (sleep (random 2))
    (kill-tasks :default)))
Sample run:
LPARALLEL-USER> (test-kill-all-tasks)
16
1
WARNING: lparallel: Replacing lost or dead worker.
WARNING: lparallel: Replacing lost or dead worker.
```

```
WARNING: lparallel: Replacing lost or dead worker. WARNING: lparallel: Replacing lost or dead worker.
```

Since we had created 10 tasks, all the 8 kernel worker threads were presumably busy with a task each. When we killed tasks of category :default, all these threads were killed as well and had to be regenerated (which is an expensive operation). This is part of the reason why <code>lparallel:kill-tasks</code> must be avoided.

Now, in the example above, all running tasks were killed since all of them belonged to the :default category. Suppose we wish to kill only specific tasks, we can do that by binding *task-category* when we create those tasks, and then specifying the category when we invoke lparallel:kill-tasks.

For example, suppose we have two categories of tasks – tasks which square their arguments, and tasks which cube theirs. Let's assign them categories 'squaring-tasks and 'cubing-tasks respectively. Let's then kill tasks of a randomly chosen category 'squaring-tasks or 'cubing-tasks.

Here is the code:

```
;;; kill tasks of a randomly chosen category
(defun test-kill-random-tasks ()
  (let ((channel (make-channel))
        (stream *query-io*))
    (let ((*task-category* 'squaring-tasks))
      (dotimes (i 5)
        (submit-task channel
                      (lambda (x)
                        (sleep (random 5))
                        (format stream "~%[Squaring] ~d = ~d"
                                x (* x x))) i)))
    (let ((*task-category* 'cubing-tasks))
      (dotimes (i 5)
        (submit-task channel
                    (lambda (x)
                        (sleep (random 5))
                        (format stream "~%[Cubing] ~d = ~d"
                               x (* x x x))) i)))
    (sleep 1)
    (if (evenp (random 10))
          (print "Killing squaring tasks")
          (kill-tasks 'squaring-tasks))
          (print "Killing cubing tasks")
          (kill-tasks 'cubing-tasks)))))
And here is a sample run:
LPARALLEL-USER> (test-kill-random-tasks)
[Cubing] 2 = 8
[Squaring] 4 = 16
[Cubing] 4
 = [Cubing] 643 = 27
```

```
"Killing squaring tasks"
WARNING: lparallel: Replacing lost or dead worker.
[Cubing] 1 = 1
[Cubing] 0 = 0
LPARALLEL-USER> (test-kill-random-tasks)
[Squaring] 1 = 1
[Squaring] 3 = 9
"Killing cubing tasks"
WARNING: lparallel: Replacing lost or dead worker.
WARNING: lparallel: Replacing lost or dead worker.
WARNING: lparallel: Replacing lost or dead worker.
[Squaring] 2 = 4
WARNING: lparallel: Replacing lost or dead worker.
WARNING: lparallel: Replacing lost or dead worker.
[Squaring] 0 = 0
[Squaring] 4 = 16
```

30.5.6. Using promises and futures

Promises and Futures provide support for Asynchronous Programming.

In lparallel-speak, a lparallel:promise is a placeholder for a result which is fulfilled by providing it with a value. The promise object itself is created using lparallel:promise, and the promise is given a value using the lparallel:fulfill macro.

To check whether the promise has been fulfilled yet or not, we can use the <code>lparallel:fulfilledp</code> predicate function. Finally, the <code>lparallel:force</code> function is used to extract the value out of the promise. Note that this function blocks until the operation is complete.

Let's solidify these concepts with a very simple example first:

EVEN-RECEIVED!

Explanation: This simple example simply keeps looping forever until an even number has been entered. The promise is fulfilled inside the loop using <code>lparallel:fulfill</code>, and the value is then returned from the function by forcing it with <code>lparallel:force</code>.

Now, let's take a bigger example. Assuming that we don't want to have to wait for the promise to be fulfilled, and instead have the current do some useful work, we can delegate the promise fulfillment to external explicitly as seen in the next example.

Consider we have a function that squares its argument. And, for the sake of argument, it consumes a lot of time doing so. From our client code, we want to invoke it, and wait till the squared value is available.

```
(defun promise-with-threads ()
  (let ((p (promise))
        (stream *query-io*)
        (n (progn
             (princ "Enter a number: ")
             (read))))
    (format t "In main function...~%")
    (bt:make-thread
     (lambda ()
         (sleep (random 10))
         (format stream "Inside thread... fulfilling promise~%")
         (fulfill p (* n n))))
    (bt:make-thread
     (lambda ()
         (loop
            when (fulfilledp p)
            do (return)
            do (progn
                 (format stream "~d~%" (random 100))
                 (sleep (* 0.01 (random 100)))))))
    (format t "Inside main function, received value: ~d~%"
            (force p))))
And the output:
LPARALLEL-USER> (promise-with-threads)
Enter a number: 19
In main function...
44
59
90
34
30
Inside thread... fulfilling promise
Inside main function, received value: 361
```

Explanation: There is nothing much in this example. We create a promise object p, and we spawn off a thread that sleeps for some random time and then fulfills the promise by giving it a value.

Meanwhile, in the main thread, we spawn off another thread that keeps checking if the promise has been fulfilled or not. If not, it prints some random number and continues checking. Once the promise has been fulfilled, we can extract the value using <code>lparallel:force</code> in the main thread as shown.

This shows that promises can be fulfilled by different threads while the code that created the promise need not wait for the promise to be fulfilled. This is especially important since, as mentioned before, <code>lparallel:force</code> is a blocking call. We want to delay forcing the promise until the value is actually available.

Another point to note when using promises is that once a promise has been fulfilled, invoking force on the same object will always return the same value. That is to say, a promise can be successfully fulfilled only once.

For instance:

```
(defun multiple-fulfilling ()
  (let ((p (promise)))
    (dotimes (i 10)
      (fulfill p (random 100))
      (format t "~d~%" (force p)))))
Which produces:
LPARALLEL-USER> (multiple-fulfilling)
15
15
15
15
15
15
15
15
15
15
NIL
```

So how does a future differ from a promise?

A lparallel: future is simply a promise that is run in parallel, and as such, it does not block the main thread like a default use of lparallel:promise would. It is executed in its own thread (by the lparallel library, of course).

Here is a simple example of a future:

```
Hello from future!
```

Explanation: This exactly is similar to the promise-with-threads example. Observe two differences, however - first of all, the lparallel: future macro has a body as well. This allows the future to fulfill itself! What this means is that as soon as the body of the future is done executing, lparallel: fulfilledp will always return true for the future object.

Secondly, the future itself is spawned off on a separate thread by the library, so it does not interfere with the execution of the current thread very much unlike promises as could be seen in the promise-with-threads example (which needed an explicit thread for the fulfilling code in order to avoid blocking the current thread).

The most interesting bit is that (even in terms of the actual theory propounded by Dan Friedman and others), a Future is conceptually something that fulfills a Promise. That is to say, a promise is a contract that some value will be generated sometime in the future, and a future is precisely that "something" that does that job.

What this means is that even when using the lparallel library, the basic use of a future would be to fulfill a promise. This means that hacks like promise-with-threads need not be made by the user.

Let's take a small example to demonstrate this point (a pretty contrived example, I must admit!).

Here's the scenario: we want to read in a number and calculate its square. So we offload this work to another function, and continue with our own work. When the result is ready, we want it to be printed on the console without any intervention from us.

Here's how the code looks:

```
;;; Callback example using promises and futures
(defun callback-promise-future-demo ()
  (let* ((p (promise))
         (stream *query-io*)
         (n (progn
              (princ "Enter a number: ")
              (read)))
         (f (future
              (sleep (random 10))
              (fulfill p (* n n))
              (force (future
                        (format stream "Square of ~d = ~d~%"
                               n (force p)))))))
    (loop
       when (fulfilledp f)
       do (return)
       do (sleep (* 0.01 (random 100)))))
And the output:
LPARALLEL-USER> (callback-promise-future-demo)
Enter a number: 19
Square of 19 = 361
NIL
```

Explanation: All right, so first off, we create a promise to hold the squared value when it is generated. This is the p object. The input value is stored in the local variable n.

Then we create a future object f. This future simply squares the input value and fulfills the promise with this value. Finally, since we want to print the output in its own time, we force an anonymous future which simply prints the output string as shown.

Note that this is very similar to the situation in an environment like Node, where we pass callback functions to other functions with the understanding that the callback will be called when the invoked function is done with its work.

Finally note that the following snippet is still fine (even if it uses the blocking lparallel:force call because it's on a separate thread):

```
(force (future
(format stream "Square of ~d = ~d~%" n (force p))))
```

To summarise, the general idiom of usage is: define objects which will hold the results of asynchronous computations in promises, and use futures to fulfill those promises.

30.5.7. Using cognates - parallel equivalents of Common Lisp counterparts

Cognates are arguably the raison d'etre of the lparallel library. These constructs are what truly provide parallelism in the lparallel. Note, however, that most (if not all) of these constructs are built on top of futures and promises.

To put it in a nutshell, cognates are simply functions that are intended to be the parallel equivalents of their Common Lisp counterparts. However, there are a few extra lparallel cognates that have no Common Lisp equivalents.

At this juncture, it is important to know that cognates come in two basic flavours:

- Constructs for fine-grained parallelism: defpun, plet, plet-if, etc.
- Explicit functions and macros for performing parallel operations pmap, preduce, psort, pdotimes, etc.

In the first case we don't have much explicit control over the operations themselves. We mostly rely on the fact that the library itself will optimise and parallelise the forms to whatever extent it can. In this post, we will focus on the second category of cognates.

Take, for instance, the cognate function <code>lparallel:pmap</code> is exactly the same as the Common Lisp equivalent, <code>map</code>, but it runs in parallel. Let's demonstrate that through an example.

Suppose we had a list of random strings of length varying from 3 to 10, and we wished to collect their lengths in a vector.

Let's first set up the helper functions that will generate the random strings:

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And here's how the Common Lisp map version of the solution might look like:

```
;;; map demo
(defun test-map ()
    (map 'vector #'length (get-random-strings 100)))
And let's have a test run:
LPARALLEL-USER> (test-map)
#(7 5 10 8 7 5 3 4 4 10)
And here's the lparallel:pmap equivalent:
;;; pmap demo
(defun test-pmap ()
    (pmap 'vector #'length (get-random-strings 100)))
which produces:
LPARALLEL-USER> (test-pmap)
#(8 7 6 7 6 4 5 6 5 7)
LPARALLEL-USER>
```

As you can see from the definitions of test-map and test-pmap, the syntax of the <code>lparallel:map</code> and <code>lparallel:pmap</code> functions are exactly the same (well, almost - <code>lparallel:pmap</code> has a few more optional arguments).

Some useful cognate functions and macros (all of them are functions except when marked so explicitly. Note that there are quite a few cognates, and I have chosen a few to try and represent every category through an example:

30.5.7.1. lparallel:pmap: parallel version of map.

Note that all the mapping functions (lparallel:pmap, lparallel:pmapc,lparallel:pmapc, lparallel:pmapc, etc.) take two special keyword arguments:

- :size, specifying the number of elements of the input sequence(s) to process.
- :parts which specifies the number of parallel parts to divide the sequence(s) into.

```
LPARALLEL-USER> (test-pmap)
#(0 1 4 9 16 25 36 49 64 81)
```

30.5.7.2. lparallel:por: parallel version of or.

The behaviour is that it returns the first non-nil element amongst its arguments. However, due to the parallel nature of this macro, that element varies.

```
;;; por - macro
(defun test-por ()
  (let ((a 100)
```

```
(b 200)
             (c nil)
             (d 300))
        (por a b c d)))
Sample run:
    LPARALLEL-USER> (dotimes (i 10)
                       (print (test-por)))
    300
    300
    100
    100
    100
    300
    100
    100
    100
    100
    NIL
```

In the case of the normal or operator, it would always have returned the first non-nil element viz. 100.

30.5.7.3. lparallel:pdotimes: parallel version of dotimes.

Note that this macro also take an optional :parts argument.

```
;;; pdotimes - macro
(defun test-pdotimes ()
    (pdotimes (i 5)
        (declare (ignore i))
        (print (random 100))))

Sample run:

LPARALLEL-USER> (test-pdotimes)

39
29
81
42
```

30.5.7.4. lparallel:pfuncall: parallel version of funcall.

LPARALLEL-USER> (test-pfuncall)

120

56 NIL

30.5.7.5. lparallel:preduce: parallel version of reduce.

This very important function also takes two optional keyword arguments: :parts (same meaning as explained), and :recurse. If :recurse is non-nil, it recursively applies lparallel:preduce to its arguments, otherwise it default to using reduce.

30.5.7.6. lparallel:premove-if-not: parallel version of remove-if-not.

This is essentially equivalent to "filter" in Functional Programming parlance.

30.5.7.7. lparallel:pevery: parallel version of every.

In this example, we are performing two checks - firstly, whether all the numbers in the range [1,100] are even, and secondly, whether all the numbers in the same range are integers.

30.5.7.8. lparallel:count: parallel version of count.

```
Sample run:
```

```
LPARALLEL-USER> (test-pcount)
3
```

30.5.7.9. lparallel:psort: parallel version of sort.

```
;;; psort - function
    (defstruct person
      name
      age)
    (defun test-psort ()
      (let* ((names (list "Peter" "Sybil" "Basil" "Candy" "Olga"))
             (people (loop for name in names
                        collect (make-person :name name
                                              :age (+ (random 20)
                                                       20)))))
        (print "Before sorting...")
        (print people)
        (fresh-line)
        (print "After sorting...")
        (psort
         people
         (lambda (x y)
             (< (person-age x)</pre>
                (person-age y)))
         :test #'=)))
Sample run:
    LPARALLEL-USER> (test-psort)
    "Before sorting..."
    (#S(PERSON :NAME "Peter" :AGE 24) #S(PERSON :NAME "Sybil" :AGE 20)
     #S(PERSON: NAME "Basil": AGE 22) #S(PERSON: NAME "Candy": AGE 23)
     #S(PERSON :NAME "Olga" :AGE 33))
    "After sorting..."
    (#S(PERSON :NAME "Sybil" :AGE 20) #S(PERSON :NAME "Basil" :AGE 22)
     #S(PERSON: NAME "Candy": AGE 23) #S(PERSON: NAME "Peter": AGE 24)
     #S(PERSON :NAME "Olga" :AGE 33))
```

In this example, we first define a structure of type person for storing information about people. Then we create a list of 7 people with randomly generated ages (between 20 and 39). Finally, we sort them by age in non-decreasing order.

30.5.8. Error handling

To see how lparallel handles error handling (hint: with lparallel:task-handler-bind), please read lparallel-error-handling.

30.6. Monitoring and controlling threads with Slime

M-x slime-list-threads (you can also access it through the *slime-selector*, shortcut **t**) will list running threads by their names, and their statuses.

The thread on the current line can be killed with \mathbf{k} , or if there's a lot of threads to kill, several lines can be selected and \mathbf{k} will kill all the threads in the selected region.

g will update the thread list, but when you have a lot of threads starting and stopping it may be too cumbersome to always press **g**, so there's a variable slime-threads-update-interval, when set to a number X the thread list will be automatically updated each X seconds, a reasonable value would be 0.5.

Thanks to Slime tips.

30.7. References

There are, of course, a lot more functions, objects, and idiomatic ways of performing parallel computations using the lparallel library. This post barely scratches the surface on those. However, the general flow of operation is amply demonstrated here, and for further reading, you may find the following resources useful:

- The official homepage of the lparallel library, including documentation
- The Common Lisp Hyperspec, and, of course
- Your Common Lisp implementation's manual. For SBCL, here is a link to the official manual
- Common Lisp recipes by the venerable Edi Weitz.
- more concurrency and threading libraries on the Awesome-cl#parallelism-and-concurrency list.

31. Defining Systems

A **system** is a collection of Lisp files that together constitute an application or a library, and that should therefore be managed as a whole. A **system definition** describes which source files make up the system, what the dependencies among them are, and the order they should be compiled and loaded in.

31.1. ASDF

<u>ASDF</u> is the standard build system for Common Lisp. It is shipped in most Common Lisp implementations. It includes <u>UIOP</u>, "the Utilities for Implementation- and OS- Portability". You can read <u>its manual</u> and the <u>tutorial</u> and <u>best practices</u>.

31.2. Simple examples

31.2.1. Loading a system definition

When you start your Lisp, it knows about its internal modules and, by default, it has no way to know that your shiny new project is located under your ~/code/foo/bar/new-ideas/ directory. So, in order to load your project in your image, you have one of three ways:

- use ASDF or Quicklisp defaults
- configure where ASDF or Quicklisp look for project definitions
- load your project definition explicitely.

Please read our section on the getting started#how-to-load-an-existing-project page.

31.2.2. Loading a system

Once your Lisp knows what your system is and where it lives, you can load it.

The most trivial use of ASDF is by calling asdf:load-system to load your library. Then you can use it. For instance, if it exports a function some-fun in its package foobar, then you will be able to call it with (foobar:some-fun ...) or with:

```
(in-package :foobar)
(some-fun ...)
```

You can also use Quicklisp.

Quicklisp calls ASDF under the hood, with the advantage that it will download and install any dependency if they are not already installed.

```
(ql:quickload "foobar")
;; =>
;; installs all dependencies
;; and loads the system.
```

Also, you can use SLIME to load a system, using the M-x slime-load-system Emacs command or the , load-system comma command in the prompt. The interesting thing about this way of doing it is that SLIME collects all the system warnings and errors in the process, and puts them in the *slime-compilation* buffer, from which you can interactively inspect them after the loading finishes.

31.2.3. Testing a system

To run the tests for a system, you may use:

```
(asdf:test-system :foobar)
```

The convention is that an error SHOULD be signalled if tests are unsuccessful.

31.2.4. Designating a system

The proper way to designate a system in a program is with lower-case strings, not symbols, as in:

```
(asdf:load-system "foobar")
(asdf:test-system "foobar")
```

31.2.5. How to write a trivial system definition

A trivial system would have a single Lisp file called foobar.lisp, located at the project's root. That file would depend on some existing libraries, say alexandria for general purpose utilities, and trivia for pattern-matching. To make this system buildable using ASDF, you create a system definition file called foobar.asd, with the following contents:

```
(asdf:defsystem "foobar"
  :depends-on ("alexandria" "trivia")
  :components ((:file "foobar")))
```

Note how the type lisp of foobar. lisp is implicit in the name of the file above. As for contents of that file, they would look like this:

```
(defpackage :foobar
  (:use :common-lisp :alexandria :trivia)
  (:export
    #:some-function
    #:another-function
    #:call-with-foobar
    #:with-foobar))

(in-package :foobar)
(defun some-function (...)
    ...)
...
```

Instead of using multiple complete packages, you might want to just import parts of them:

31.2.5.1. Using the system you defined

Assuming your system is installed under ~/common-lisp/, ~/quicklisp/local-projects/ or some other filesystem hierarchy already configured for ASDF, you can load it with: (asdf:load-system "foobar").

If your Lisp was already started when you created that file, you may have to, either:

- load the new .asd file: (asdf:load-asd "path/to/foobar.asd"), or with C-c C-k in Slime to compile and load the whole file.
 - note: avoid using the built-in load for ASDF files, it may work but asdf:load-asd is preferred.
- (asdf:clear-configuration) to re-process the configuration.

31.2.6. How to write a trivial testing definition

Even the most trivial of systems needs some tests, if only because it will have to be modified eventually, and you want to make sure those modifications don't break client code. Tests are also a good way to document expected behavior.

The simplest way to write tests is to have a file foobar-tests.lisp and modify the above foobar.asd as follows:

```
(asdf:defsystem "foobar"
    :depends-on ("alexandria" "trivia")
    :components ((:file "foobar"))
    :in-order-to ((test-op (test-op "foobar/tests"))))

(asdf:defsystem "foobar/tests"
    :depends-on ("foobar" "fiveam")
    :components ((:file "foobar-tests"))
    :perform (test-op (o c) (symbol-call :fiveam '#:run! :foobar)))
```

The :in-order-to clause in the first system allows you to use (asdf:test-system :foobar) which will chain into foobar/tests. The :perform clause in the second system does the testing itself.

In the test system, fiveam is the name of a popular test library, and the content of the perform method is how to invoke this library to run the test suite: foobar. Obvious YMMV if you use a different library.

31.3. Create a project skeleton

<u>cl-project</u> can be used to generate a project skeleton. It will create a default ASDF definition, generate a system for unit testing, etc.

```
Install with
```

```
(ql:quickload "cl-project")
Create a project:
(cl-project:make-project #p"lib/cl-sample/"
:author "Eitaro Fukamachi"
:email "e.arrows@gmail.com"
:license "LLGPL"
:depends-on '(:clack :cl-annot))
;-> writing /Users/fukamachi/Programs/lib/cl-sample/.gitignore
; writing /Users/fukamachi/Programs/lib/cl-sample/README.markdown
; writing /Users/fukamachi/Programs/lib/cl-sample/cl-sample-test.asd
; writing /Users/fukamachi/Programs/lib/cl-sample/cl-sample.asd
; writing /Users/fukamachi/Programs/lib/cl-sample/src/hogehoge.lisp
; writing /Users/fukamachi/Programs/lib/cl-sample/t/hogehoge.lisp
; writing /Users/fukamachi/Programs/lib/cl-sample/t/hogehoge.lisp
;=> T
```

And you're done.

32. Debugging

You entered this new world of Lisp and now wonder: how can we debug what's going on? How is it more interactive than other platforms? What does the interactive debugger bring, apart from stack traces?

32.1. Print debugging

Well of course we can use the famous technique of "print debugging". Let's just recap a few print functions.

print works, it prints a readable representation of its argument, which means what is printed can be read back in by the Lisp reader. It accepts only one argument.

princ focuses on an aesthetic representation.

(format t "~a" ...), with the *aesthetic* directive, prints a string (in t, the standard output stream) and returns nil, whereas format nil ... doesn't print anything and returns a string. With many format controls we can print several variables at once.

print has this useful debugging feature that it prints *and* returns the result form it was given as argument. You can intersperse print statements in the middle of your algorithm, it won't break it.

```
(+ 2 (print 40))
```

32.2. Logging

Logging is already a good evolution from print debugging ;)

log4cl is the popular, de-facto logging library although it isn't the only one. Download it:

```
(ql:quickload "log4cl")
```

and let's have a dummy variable:

```
(defvar *foo* '(:a :b :c))
```

We can use log4cl with its log nickname, then it is as simple to use as:

```
(log:info *foo*)
;; <INFO> [13:36:49] cl-user () - *FOO*: (:A :B :C)
```

We can interleave strings and expressions, with or without format control strings:

```
(log:info "foo is " *foo*)
;; <INFO> [13:37:22] cl-user () - foo is *F00*: (:A :B :C)
(log:info "foo is ~{~a~}" *foo*)
;; <INFO> [13:39:05] cl-user () - foo is ABC
```

With its companion library log4slime, we can interactively change the log level:

- globally
- · per package
- per function
- and by CLOS methods and CLOS hierarchy (before and after methods)

It is very handy, when we have a lot of output, to turn off the logging of functions or packages we know to work, and thus narrowing our search to the right area. We can even save this configuration and re-use it in another image, be it on another machine.

We can do all this through commands, keyboard shortcuts and also through a menu or mouse clicks.

```
CL-USER> (log:config:debug)
CL-USER> (progn
           (log:info "I just ate a ~5f, feeling tired" pi)
           (when (log:debug)
              (dotimes (sheep 3)
                (log:debug sheep "zzz")))
           (log:warn "doh fell asleep for" (random 10) "minutes"))
 <INFO> [15:41:50] cl-user () - I just ate a 3.142, feeling tired
<DEBUG> [15:41:50] cl-user () - SHEEP: 0 zzz
<DEBUG> [15:41:50] cl-user () - SHEEP: 1 zzz
<DEBUG> [15:41:50] cl
                                       : 2 zzz
 <WARN> [15:41:50] cl
                                       ell asleep for (RANDOM 10): 4 minutes
CL-USER>

    Inherit - Debug

                        Off
                        Fatal
                        Error
                        Warn
```

Figure 5: "changing the log level with log4slime"

We invite you to read log4cl's README.

32.3. Using the powerful REPL

Part of the joy of Lisp is the excellent REPL. Its existence usually delays the need to use other debugging tools, if it doesn't annihilate them for the usual routine.

As soon as we define a function, we can try it in the REPL. In Slime, compile a function with C-c C-c (the whole buffer with C-c C-k), switch to the REPL with C-c C-z and try it. Eventually enter the package you are working on with (in-package :your-package) or C-c ~ (slime-sync-package-and-default-directory, which will also change the default working directory to the package definition's directory).

The feedback is immediate. There is no need to recompile everything, nor to restart any process, nor to create a main function and define command line arguments for use in the shell (which we can of course do later on when needed).

We usually need to create some data to test our function(s). This is a subsequent art of the REPL existence and it may be a new discipline for newcomers. A trick is to write the test data alongside your functions but below a #+nil feature test (or safer, +(or): it is still possible that someone pushed NIL to the *features* list) so that only you can manually compile them:

```
#+nil
(progn
    (defvar *test-data* nil)
    (setf *test-data* (make-instance 'foo ...)))
```

When you load this file, *test-data* won't exist, but you can manually create it with C-c C-c.

We can define tests functions like this.

Some do similarly inside #| ... |# comments.

All that being said, keep in mind to write unit tests when time comes;)

32.4. Inspect and describe

These two commands share the same goal, printing a description of an object, inspect being the interactive one.

```
(inspect *foo*)
The object is a proper list of length 3.
0. 0: :A
1. 1: :B
2. 2: :C
> q
```

We can also, in editors that support it, right-click on any object in the REPL and inspect them (or C-c I on the object to inspect in Slime). We are presented a screen where we can dive deep inside the data structure and even change it.

Let's have a quick look with a more interesting structure, an object:

```
(defclass foo ()
     ((a :accessor foo-a :initform '(:a :b :c))
     (b :accessor foo-b :initform :b)))
;; #<STANDARD-CLASS F00>
(make-instance 'foo)
;; #<F00 {100F2B6183}>
```

We right-click on the #<F00 object and choose "inspect". We are presented an interactive pane (in Slime):

```
#<F00 {100F8DAE53}>
Class: #<STANDARD-CLASS COMMON-LISP-USER::F00>
Group slots by inheritance [ ]
Sort slots alphabetically [X]

All Slots:
[ ] A = (:A :B :C)
[ ] B = :B

[set value] [make unbound]
```

Figure 6: "Slime's inspector, a textual window with buttons"

When we click or press enter on the line of slot A, we inspect it further:

```
#<CONS {100F5E2A07}>
    --
A proper list:
0: :A
```

1: :B 2: :C

In LispWorks, we can use a graphical inspector:

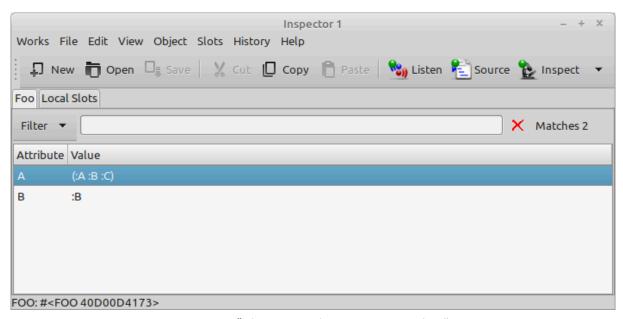


Figure 7: "The LispWorks inspector window"

32.5. Trace

<u>trace</u> allows us to see when a function was called, what arguments it received, and the value it returned.

```
(defun factorial (n)
  (if (plusp n)
    (* n (factorial (1- n)))
    1))
```

To start tracing a function, just call trace with the function name (or several function names):

```
(trace factorial)

(factorial 2)
    0: (FACTORIAL 3)
    1: (FACTORIAL 2)
    2: (FACTORIAL 1)
        3: (FACTORIAL 0)
        3: FACTORIAL returned 1
        2: FACTORIAL returned 1
        1: FACTORIAL returned 2
    0: FACTORIAL returned 6

(untrace factorial)
```

To untrace all functions, just evaluate (untrace).

To get a list of currently traced functions, evaluate (trace) with no arguments.

In Slime we have the shortcut C-c M-t to trace or untrace a function.

If you don't see recursive calls, that may be because of the compiler's optimizations. Try this before defining the function to be traced:

```
(declaim (optimize (debug 3))) ;; or C-u C-c C-c to compile with maximal debug
settings.
```

The output is printed to *trace-output* (see the CLHS).

32.5.1. Trace options

trace accepts options. For example, you can use :break t to invoke the debugger at the start of the function, before it is called (more on break below):

```
(trace factorial :break t)
(factorial 2)
```

We can define many things in one call to trace. For instance, options that appear before the first function name to trace are *global*, they affect all traced functions that we add afterwards. Here, :break t is set for every function that follows: factorial, foo and bar:

```
(trace :break t factorial foo bar)
```

On the contrary, if an option comes after a function name, it acts as a *local* option, only for its *preceding* function. That's how we first did. Below foo and bar come after, they are not affected by :break:

```
(trace factorial :break t foo bar)
```

But do you actually want to break *before* the function call or just *after* it? With :break as with many options, you can choose. These are the options for :break:

```
:break form ;; before
:break-after form
:break-all form ;; before and after
```

form can be any form that evaluates to true.

Note that we explained the trace function of SBCL. Other implementations may have the same feature with another syntax and other option names. For example, in LispWorks it is ":break-on-exit" instead of ":break-after", and we write (trace (factorial :break t)).

Below are some other options but first, a trick with : break.

32.5.2. Trace options: break

The argument to an option can be any form. Here's a trick, on SBCL, to get the break window when we are about to call factorial with 0. (sb-debug:arg 0) refers to n, the first argument.

```
CL-USER> (trace factorial :break (equal 0 (sb-debug:arg 0)))
;; WARNING: FACTORIAL is already TRACE'd, untracing it first.
;; (FACTORIAL)

Running it again:
CL-USER> (factorial 3)
    0: (FACTORIAL 3)
    1: (FACTORIAL 2)
    2: (FACTORIAL 1)
    3: (FACTORIAL 0)

breaking before traced call to FACTORIAL:
    [Condition of type SIMPLE-CONDITION]
Restarts:
    0: [CONTINUE] Return from BREAK.
```

```
1: [RETRY] Retry SLIME REPL evaluation request.
2: [*ABORT] Return to SLIME's top level.
3: [ABORT] abort thread (#<THREAD "repl-thread" RUNNING {1003551BC3}>)
Backtrace:
    0: (FACTORIAL 1)
        Locals:
        N = 1  <------ before calling (factorial 0), n equals 1.</pre>
```

32.5.3. Trace options: trace on conditions, trace if called from another function

:condition enables tracing only if the condition in form evaluates to true.

```
:condition form
:condition-after form
:condition-all form
```

If :condition is specified, then trace does nothing unless Form evaluates to true at the time of the call. :condition-after is similar, but suppresses the initial printout, and is tested when the function returns, :condition-all tries both before and after.

:wherein can be super useful:

```
:wherein Names
```

If specified, Names is a function name or list of names. trace does nothing unless a call to one of those functions encloses the call to this function (i.e. it would appear in a backtrace.)

Anonymous functions have string names like "DEFUN FOO".

```
:report Report-Type
```

If Report-Type is trace (the default) then information is reported by printing immediately. If Report-Type is nil, then the only effect of the trace is to execute other options (e.g. print or break). Otherwise, Report-Type is treated as a function designator and, for each trace event, funcalled with 5 arguments: trace depth (a non-negative integer), a function name or a function object, a keyword (:enter, :exit or :non-local-exit), a stack frame, and a list of values (arguments or return values).

See also :print to enrich the trace output.

It is expected that implementations extend trace with non-standard options. And we didn't list all available options, so please refer to your implementation's documentation:

- SBCL trace
- CCL trace
- <u>LispWorks trace</u>
- Allegro trace

32.5.4. Tracing method invocation

In SBCL, we can use (trace foo :methods t) to trace the execution order of method combination (before, after, around methods). For example:

```
(trace foo :methods t)
```

```
(foo 2.0d0)
    0: (F00 2.0d0)
    1: ((SB-PCL::COMBINED-METHOD F00) 2.0d0)
    2: ((METHOD F00 (FLOAT)) 2.0d0)
    3: ((METHOD F00 (T)) 2.0d0)
    3: (METHOD F00 (T)) returned 3
    2: (METHOD F00 (FLOAT)) returned 9
    2: ((METHOD F00 :AFTER (DOUBLE-FLOAT)) 2.0d0)
    2: (METHOD F00 :AFTER (DOUBLE-FLOAT)) returned DOUBLE
    1: (SB-PCL::COMBINED-METHOD F00) returned 9
    0: F00 returned 9
```

It is also possible in CCL.

See the <u>CLOS</u> section for a tad more information.

32.5.5. Interactive Trace Dialog

Both SLIME and SLY provide an <u>interactive view for traces</u> that features better visualization of traces, and also access to the arguments and return values in their real form, via inspectors, not just the printed representation.

```
Traced specs (1)
                                                   [refresh]
                                                   [untrace all]
   [untrace] mattermost-app::channel-messages
Trace collection status (1/1)
                                                   [refresh]
                                                   [clear]
П
    0 - mattermost-app::channel-messages
       "r87prmkp9f85pbcyx5w3suggzh"
       < ((:FBPJTIUTG-7-R-4-BG-38-QUC-8-J-7-NYCW (:ID . "fbpjtiutg7r4bg38quc8j7ny ≥
Gcw") (:CREATE--AT . 1697073900816) (:UPDATE--AT . 1697073900816) (:EDIT--AT . 0)
⊈(:DELETE--AT . 0) (:IS--PINNED) (:USER--ID . "c9ykcuyd7bymjjgqxa4pknekfo") (:CHAN 🗣
NEL--ID . "r87prmkp9f85pbcyx5w3suggzh") (:R00T--ID . "") (:ORIGINAL--ID . "") (:M ₽
©ESSAGE . "mariano joined the team.") (:TYPE . "system_join_team") (:PROPS (:USERN ≥
SAME . "mariano")) (:HASHTAGS . "") (:PENDING--POST--ID . "") (:REPLY--COUNT . 0)
U:%*- *traces for sbcl*
                            Top L8 (SLIME Trace Dialog PBUI WK FlyC Projectile)
#<0: CHANNEL-MESSAGES>
Called with args:
   0: "r87prmkp9f85pbcyx5w3suggzh"
Returned values:
    0: ((:FBPJTIUTG-7-R-4-BG-38-QUC-8-J-7-NYCW (:ID . "fbpjtiutg7r4bg38quc8j7nycw")>
```

Figure 8: trace-dialog

How it works: (the following instructions are for SLIME)

- 1. Select the functions to trace using M-x slime-trace-dialog-toggle-trace bound to C-c M-t.
- 2. Evaluate code that calls the traced functions.

- 3. Open the trace dialog tool via M-x slime-trace-dialog bound to C-c T.
- 4. The list of traced functions appear under Traced specs. Traces are fetched in batches. So use the the [refresh] button to update status information about tracing (number of available traces that can be fetched).
- 5. Then use either the [fetch next batch] or [fetch all] buttons to fetch the traces. Traces appear under Traced specs after that, and you can use the SLIME inspector to visualize their data (arguments and return values).
- 6. After more code that calls the traced functions is evaluated, repeat the process (go to step 4).

But, that flow can get a bit tedious, because of the separation between updating the status of the traces and fetching them. Sometimes it is better to just fetch the traces without updating the status first. We can do that invoking the command M-x slime-trace-dialog-fetch-traces bound to G. So, instead of steps 4 and 5, just press G to update the user interface.

These are some of the Emacs commands bound to useful keys:

g M-x slime-trace-dialog-fetch-status

Update information on the trace collection and traced specs.

GM-x slime-trace-dialog-fetch-traces

Fetch the next batch of outstanding (not fetched yet) traces. With a C-u prefix argument, repeat until no more outstanding traces.

C-k M-x slime-trace-dialog-clear-fetched-traces

Prompt for confirmation, then clear all traces, both fetched and outstanding.

Finally, the arguments and return values for each trace entry are interactive buttons. Clicking them opens the SLIME inspector on them. Invoking M-RET M-x slime-trace-dialog-copy-down-to-repl returns them to the REPL for manipulation . The number left of each entry indicates its absolute position in the calling order, which might differ from display order in case multiple threads call the same traced function.

M-x slime-trace-dialog-hide-details-mode hides arguments and return values so you can concentrate on the calling logic. Additionally, M-x slime-trace-dialog-autofollow-mode will automatically display additional detail about an entry when the cursor moves over it.

32.6. The interactive debugger

Whenever an exceptional situation happens (see <u>error handling</u>), or when you ask for it (using step or break), the interactive debugger pops up.

It presents the error message, the available actions (restarts), and the backtrace. A few remarks:

- the restarts are programmable, we can create our own.
- in Slime, press v on a stack trace frame to view the corresponding source file location.
- hit Enter (or t) on a frame to toggle more details,
- use e to evaluate some code from within that frame,
- hit r to restart a given frame (see below).
- we can explore the functionality with the menu that should appear in our editor.

32.6.1. Compile with maximum debugging information (declaim and C-u prefix)

Usually your compiler will optimize things out and this will reduce the amount of information available to the debugger. For example sometimes we can't see intermediate variables of computations. We can change the optimization choices with:

```
(declaim (optimize (speed 0) (space 0) (debug 3)))
```

and recompile our code. You can achieve the same with a handy shortcut: C-u C-c C-c: the form is compiled with maximum debug settings. You can on the contrary use a negative prefix argument (M--) to compile for speed. And use a numeric argument to set the setting to it (you should read the docstring of slime-compile-defun).

Likewise, you can apply maximum debug settings to all the code of your current buffer with C-u C-c C-k. Use the M-- prefix for maximum speed.

32.7. Step

step is an interactive command with similar scope than trace. This:

```
;; note: we copied factorial over to a file, to have more debug information.
(step (factorial 3))
gives an interactive pane with available actions (restarts) and the backtrace:
Evaluating call:
  (FACTORIAL 3)
With arguments:
  3
   [Condition of type SB-EXT:STEP-FORM-CONDITION]
Restarts:
 0: [STEP-CONTINUE] Resume normal execution <----- stepping actions
 1: [STEP-OUT] Resume stepping after returning from this function
 2: [STEP-NEXT] Step over call
 3: [STEP-INTO] Step into call
 4: [RETRY] Retry SLIME REPL evaluation request.
 5: [*ABORT] Return to SLIME's top level.
 --more--
Backtrace:
  0: (FACTORIAL 3) <----- press Enter to fold/unfold. Fix your code and
press "r" to restart it.
      Locals:
        N = 3
                      <----- want to check? Move the point here and
                                   press "e" to evaluate code on that frame.
  1: (SB-INT:SIMPLE-EVAL-IN-LEXENV (LET ((SB-IMPL::*STEP-OUT* :MAYBE)) (UNWIND-
PROTECT (SB-IMPL::WITH-STEPPING-ENABLED #))) #S(SB-KERNEL:LEXENV:FUNS NIL:VARS
NIL :BLOCKS NIL :TAGS NIL :TYPE-RESTRICTIONS ..
  2: (SB-INT:SIMPLE-EVAL-IN-LEXENV (STEP (FACTORIAL 3)) #<NULL-LEXENV>)
  3: (EVAL (STEP (FACTORIAL 3)))
```

(again, be sure you compiled your function with maximum debug settings (see above). Otherwise, your compiler might do optimizations under the hood and you might not see useful information such as local variables, or you might not be able to step at all.)

You have many options here. If you are using Emacs (or any other editor actually), keep in mind that you have a "SLDB" menu that shows you the available actions, in addition to the step window.

• follow the restarts to **continue stepping**: continue the execution, step out of this function, step into the function call the point is on, step over to the next function call, or abort everything. The shortcuts are:

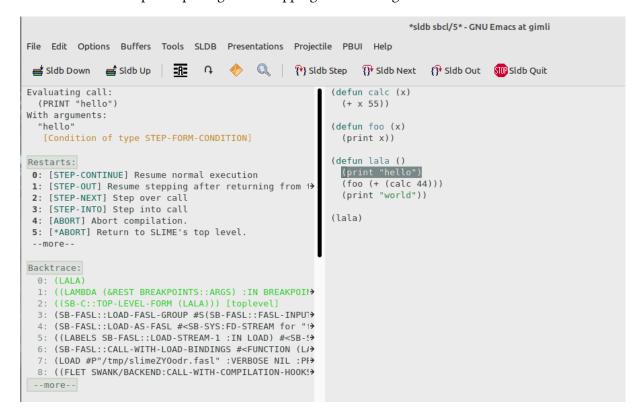
- ► c: continue
- ▶ s: step
- ▶ x: step next
- ▶ o: step out
- inspect the backtrace and the source code. You can go to the source file with v, on each stackframe (each line of the backtrace). Press Enter or t ("toggle details") on the stackframe to see more information, such as the function parameters for this call. Use n and p to navigate, use M-n and M-p to navigate to the next or previous stackframe and to open the corresponding source file at the same time. The point will be placed on the function being called.
- evaluate code from within the context of that stackframe. In Slime, use e ("eval in frame" and d to pretty-pint the result) and type a Lisp form. It will be executed in the context of the stackframe the point is on. Look, you can even inspect variables and have Slime open another inspector window. If you are on the first frame (0:), press i, then "n" to inspect the intermediate variable.
- **resume execution** from where you want. Use r to restart the frame the point is on. For example, go change the source code (without quitting the interactive debugger), re-compile it, re-run the frame to see if it works better. You didn't restart all the program execution, you just restarted your program from a precise point. Use R to return from a stackframe, by giving its return value.

NB: let's think about it, this is awesome! We just restarted our program from any point in time. If we work with long-running computations, we don't need to restart it from the start. We can change, recompile our erroneous code and resume execution from where it is needed to pass, no more.

Stepping is precious. However, if you find yourself inspecting the behaviour of a function a lot, it may be a sign that you need to simplify it and divide it in smaller pieces.

And again, LispWorks has a graphical stepper.

TIP: the slime-breakpoints package adds stepping and breaking buttons to Slime too.



32.7.1. Resume a program execution from anywhere in the stack (demo)

In <u>this video</u> you will find a demo that shows the process explained above: how to fix a buggy function and how to **resume the program execution** from anywhere in the stack, without running everything from zero again. The video shows it with Emacs and Slime, the Lem editor, both with SBCL.

They key point is to use r (sldb-restart-frame) on a stack frame to restart it.

32.8. Break

A call to <u>break</u> makes the program enter the debugger, from which we can inspect the call stack, and do everything described above in the stepper.

32.8.1. Breakpoints in Slime

Look at the SLDB menu, it shows navigation keys and available actions. Of which:

- e (*sldb-eval-in-frame*) prompts for an expression and evaluates it in the selected frame. This is how we can explore our intermediate variables
- d is similar with the addition of pretty printing the result

Once we are in a frame and detect a suspicious behavior, we can even re-compile a function at runtime and resume the program execution from where it stopped (using the "step-continue" restart or using r ("restart frame") on a given stackframe).

See also the <u>Slime-star</u> Emacs extension mentioned above to set breakpoints without code annotations.

32.9. Break when a condition occurs: *break-on-signals*

<u>break-on-signals</u> can be specially helpful when you see that an error (or any condition) occured, but you didn't get the debugger, and you want to force the debugger to open *just before* the error (or any condition) is signaled.

For example, you witness that a print-object method of a database record from a third-party library tells you something wrong happened:

```
#<DB record: <<ERROR while printing the DB object>> >
```

However, the library handled the error and you didn't get the interactive debugger.

To debug this, you can set *break-on-signals to 'error (or any symbol referring to an existing condition type).

This is the usual case: *break-on-signals* is NIL.

Even though our error is surrounded by ignore-errors, we get the interactive debugger:

```
Oh no!
```

```
BREAK was entered because of *BREAK-ON-SIGNALS* (now rebound to NIL). [Condition of type SIMPLE-CONDITION]
```

Restarts:

- 0: [CONTINUE] Return from BREAK.
- 1: [RESET] Set *BREAK-ON-SIGNALS* to NIL and continue.
- 2: [REASSIGN] Return from BREAK and assign a new value to *BREAK-ON-SIGNALS*.

...

Backtrace:

...

from the debugger, we can inspect the stack trace, go to the line signaling the condition, fix it and resume execution.

When the condition signaled is an error, we don't enter the debugger a second time after we handled the break.

32.10. Advise and watch

advise and watch are available in some implementations, like CCL (advise and watch) and LispWorks. They do exist in SBCL but are not exported. advise allows to modify a function without changing its source, or to do something before or after its execution, similar to CLOS method combination (before, after, around methods).

watch will signal a condition when a thread attempts to write to an object being watched. It can be coupled with the display of the watched objects in a GUI. For a certain class of bugs (someone is changing this value, but I don't know who), this can be extremely helpful.

32.11. Cross-referencing

Your Lisp can tell you all the places where a function is referenced or called, where a global variable is set, where a macro is expanded, and so on. For example, slime-who-calls (C-c C-w C-c or the Slime > Cross-Reference menu) will show you all the places where a function is called.

- slime-who-references: global variable references
- slime-who-bind: global variable bindings
- slime-who-sets: global variable setters
- slime-who-specializes: methods specialized on a symbol
- slime-who-macroexpands: places where a macro is expanded
- slime-list-callees: lists all the functions that are called inside a given function body.
- slime-list-callers: lists all the functions that call a given function.

Calling such a cross-reference function opens a new buffer with the list of results. You can navigate between references, and also recompile all the listed functions and macros with the usual shortcuts (C-c C-k). This is specially useful when you just changed a macro and you want to recompile all the functions that are using this macro.

See our Emacs page for a complete list of commands and their Slime shortcuts.

32.12. SLY stepper and SLY stickers

SLY has an improved <u>stepper</u> and a unique feature, <u>stickers</u>. You mark a piece of code, you run your code, SLY captures the results for each sticker and lets you examine the program execution

interactively. It allows to see what sticker was captured, or not, so we can see at a glance the code coverage of that function call.

They are a non-intrusive alternative to print and break.

32.13. Unit tests

Last but not least, automatic testing of functions in isolation might be what you're looking for! See the testing section and a list of test frameworks and libraries.

32.14. Remote debugging

You can have your software running on a machine over the network, connect to it and debug it from home, from your development environment.

The steps involved are to start a Swank server on the remote machine (Swank is the backend companion of Slime), create an ssh tunnel and connect to the Swank server from our editor. Then we can browse and evaluate code on the running instance transparently.

To test this, let's define a function that prints forever.

If needed, import the dependencies first:

```
(ql:quickload '("swank" "bordeaux-threads"))
;; a little common lisp swank demo
;; while this program is running, you can connect to it from
;; another terminal or machine
;; and change the definition of doprint to print something else out!
(require :swank)
(require :bordeaux-threads)
(defparameter *counter* 0)
(defun dostuff ()
  (format t "hello world ~a!~%" *counter*))
(defun runner ()
  (swank:create-server :port 4006 :dont-close t)
  (format t "we are past go!~%")
  (bt:make-thread (lambda ()
                    (loop repeat 5 do
                          (sleep 5)
                           (dostuff)
                          (incf *counter*)))
                  :name "do-stuff"))
(runner)
On the server, we can run this code with
```

```
sbcl --load demo.lisp
```

If you check with (bt:all-threads), you'll see your Swank server running on port 4006, as well as the other thread ready to do stuff:

```
(#<SB-THREAD:THREAD "do-stuff" RUNNING {10027CEDC3}>
#<SB-THREAD:THREAD "Swank Sentinel" waiting on:</pre>
     #<WAITQUEUE {10027D0003}>
    {10027CE8B3}>
```

```
#<SB-THREAD:THREAD "Swank 4006" RUNNING {10027CEB63}>
#<SB-THREAD:THREAD "main thread" RUNNING {1007C40393}>)
```

We do port forwarding on our development machine:

```
ssh -L4006:127.0.0.1:4006 username@example.com
```

this will securely forward port 4006 on the server at example.com to our local computer's port 4006 (Swank only accepts connections from localhost).

We connect to the running Swank with M-x slime-connect, choosing localhost for the host and port 4006.

We can write new code:

```
(defun dostuff ()
  (format t "goodbye world ~a!~%" *counter*))
(setf *counter* 0)
```

and eval it as usual with C-c C-c or M-x slime-eval-region for instance. The output should change.

That's how Ron Garret debugged the Deep Space 1 spacecraft from the earth in 1999:

We were able to debug and fix a race condition that had not shown up during ground testing. (Debugging a program running on a \$100M piece of hardware that is 100 million miles away is an interesting experience. Having a read-eval-print loop running on the spacecraft proved invaluable in finding and fixing the problem.

32.15. References

- <u>"How to understand and use Common Lisp"</u>, chap. 30, David Lamkins (book download from author's site)
- Malisper: debugging Lisp series
- Two Wrongs: debugging Common Lisp in Slime
- Slime documentation: connecting to a remote Lisp
- cvberrycom: remotely modifying a running Lisp program using Swank
- Ron Garret: Lisping at the JPL
- the Remote Agent experiment: debugging code from 60 million miles away (youtube) ("AMA" on reddit)

33. Performance Tuning and Tips

Many Common Lisp implementations translate the source code into assembly language, so the performance is really good compared with some other interpreted languages.

However, sometimes we just want the program to be faster. This chapter introduces some techniques to squeeze the CPU power out.

33.1. Finding Bottlenecks

33.1.1. Acquiring Execution Time

The macro time is very useful for finding out bottlenecks. It takes a form, evaluates it and prints timing information in *trace-output*, as shown below:

By using the time macro it is fairly easy to find out which part of your program takes too much time.

Please note that the timing information provided here is not guaranteed to be reliable enough for marketing comparisons. It should only be used for tuning purpose, as demonstrated in this chapter.

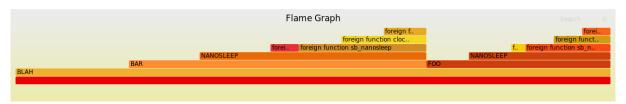
33.1.2. Know your Lisp's statistical profiler

Implementations ship their own profilers. SBCL has <u>sb-profile</u>, a "classic, per-function-call" deterministic profiler and <u>sb-sprof</u>, a statistical profiler. The latter works by taking samples of the program execution at regular intervals, instead of instrumenting functions like <u>sb-profile</u>:profile does.

You might find sb-sprof more useful than the deterministic profiler when profiling functions in the common-lisp-package, SBCL internals, or code where the instrumenting overhead is excessive.

33.1.3. Use flamegraphs and other tracing profilers

<u>cl-flamegraph</u> is a wrapper around SBCL's statistical profiler to generate FlameGraph charts. Flamegraphs are a very visual way to search for hotspots in your code:



See also <u>tracer</u>, a tracing profiler for SBCL. Its output is suitable for display in Chrome's or Chromium's Tracing Viewer (chrome://tracing).

33.1.4. Checking Assembly Code

The function <u>disassemble</u> takes a function and prints the compiled code of it to *standard-output*. For example:

```
* (defun plus (a b)
    (+ a b))
PLUS
* (disassemble 'plus)
; disassembly for PLUS
; Size: 37 bytes. Origin: #x52B8063B
; 3B: 498B5D60 MOV RBX, [R13+96] ; no-arg-parsing entry point
                                      ; thread.binding-stack-pointer
; 3F: 48895DF8
                   MOV [RBP-8], RBX
; 43: 498BD0
; 46: 488BFE
                   MOV RDX, R8
                   MOV RDI, RSI
; 49: FF14250102 CALL QWORD PTR [#x52100] ; GENERIC-+
; 50: 488B75E8
                   MOV RSI, [RBP-24]
                   MOV R8, [RBP-16]
; 54: 4C8B45F0
; 58: 488BE5
                   MOV RSP, RBP
: 5B: F8
                   CLC
; 5C: 5D
                   POP RBP
; 5D: C3
                   RET
; 5E: CC0F
                   BREAK 15
                              ; Invalid argument count trap
```

The code above was evaluated in SBCL. In some other implementations such as CLISP, disassembly might return something different:

```
* (defun plus (a b)
    (+ a b))
PLUS
* (disassemble 'plus)
Disassembly of function PLUS
2 required arguments
0 optional arguments
No rest parameter
No keyword parameters
4 byte-code instructions:
0
      (LOAD&PUSH 2)
1
      (LOAD&PUSH 2)
2
      (CALLSR 2 55)
5
      (SKIP&RET 3)
NIL
```

It is because SBCL compiles the Lisp code into machine code, while CLISP does not.

33.2. Using Declare Expression

The <u>declare expression</u> can be used to provide hints for compilers to perform various optimization. Please note that these hints are implementation-dependent. Some implementations such as SBCL support this feature, and you may refer to their own documentation for detailed information. Here only some basic techniques mentioned in CLHS are introduced.

In general, declare expressions can occur only at the beginning of the bodies of certain forms, or immediately after a documentation string if the context allows. Also, the content of a declare expression is restricted to limited forms. Here we introduce some of them that are related to performance tuning.

Please keep in mind that these optimization skills introduced in this section are strongly connected to the Lisp implementation selected. Always check their documentation before using declare!

33.2.1. Speed and Safety

Lisp allows you to specify several quality properties for the compiler using the declaration <u>optimize</u>. Each quality may be assigned a value from 0 to 3, with 0 being "totally unimportant" and 3 being "extremely important".

The most significant qualities might be safety and speed.

By default, Lisp considers code safety to be much more important than speed. But you may adjust the weight for more aggressive optimization.

```
* (defun max-original (a b)
    (max a b))
MAX-ORIGINAL
* (disassemble 'max-original)
; disassembly for MAX-ORIGINAL
; Size: 144 bytes. Origin: #x52D450EF
: 7A7:
           8D46F1
                     lea eax, [rsi-15]
                                                     ; no-arg-parsing entry point
; 7AA:
            A801
                      test al, 1
; 7AC:
           750E
                      jne L0
; 7AE:
           3C0A
                      cmp al, 10
; 7B0:
           740A
                       jeq L0
; 7B2:
            A80F
                      test al, 15
; 7B4:
            7576
                      jne L5
           807EF11D cmp byte ptr [rsi-15], 29
: 7B6:
; 7BA:
            7770
                       jnbe L5
; 7BC: L0:
            8D43F1
                       lea eax, [rbx-15]
; 7BF:
                       test al, 1
            A801
; 7C1:
            750E
                       jne L1
; 7C3:
            3C0A
                       cmp al, 10
: 7C5:
            740A
                       jeg L1
; 7C7:
            A80F
                       test al, 15
: 7C9:
            755A
                      jne L4
; 7CB:
            807BF11D cmp byte ptr [rbx-15], 29
; 7CF:
            7754
                     jnbe L4
            488BD3
; 7D1: L1:
                       mov rdx, rbx
            488BFE
                       mov rdi, rsi
; 7D4:
: 7D7:
            B9C1030020 mov ecx, 536871873 ; generic->
; 7DC:
            FFD1 call rcx
: 7DE:
            488B75F0 mov rsi, [rbp-16]
; 7E2:
                       mov rbx, [rbp-8]
            488B5DF8
: 7E6:
            7E09
                       jle L3
; 7E8:
            488BD3
                       mov rdx, rbx
; 7EB: L2:
            488BE5
                       mov rsp, rbp
; 7EE:
            F8
                       clc
: 7EF:
            5D
                        pop rbp
; 7F0:
            C3
                       ret
; 7F1: L3: 4C8BCB
                       mov r9, rbx
: 7F4:
            4C894DE8 mov [rbp-24], r9
```

```
; 7F8:
            4C8BC6
                      mov r8, rsi
: 7FB:
            4C8945E0
                     mov [rbp-32], r8
; 7FF:
            488BD3
                        mov rdx, rbx
; 802:
            488BFE
                        mov rdi, rsi
            B929040020 mov ecx, 536871977 ; generic-=
; 805:
                        call rcx
; 80A:
            FFD1
; 80C:
            4C8B45E0
                       mov r8, [rbp-32]
; 810:
            4C8B4DE8
                        mov r9, [rbp-24]
; 814:
            488B75F0
                        mov rsi, [rbp-16]
; 818:
            488B5DF8
                        mov rbx, [rbp-8]
; 81C:
            498BD0
                        mov rdx, r8
; 81F:
            490F44D1
                        cmoveq rdx, r9
; 823:
            EBC6
                        jmp L2
; 825: L4: CC0A
                        break 10
                                          ; error trap
: 827:
                        byte #X04
            04
                                          ; OBJECT-NOT-REAL-ERROR
; 828:
            13
                        byte #X13
: 829:
           FE9B01
                        byte #XFE, #X9B, #X01 ; RBX
; 82C: L5:
           CC0A
                        break 10
                                          ; error trap
; 82E:
            04
                        byte #X04
; 82F:
            13
                        byte #X13
                                          ; OBJECT-NOT-REAL-ERROR
; 830:
            FE1B03
                        byte #XFE, #X1B, #X03 ; RSI
; 833:
            CC0A
                        break 10
                                          ; error trap
; 835:
                        byte #X02
            02
: 836:
            19
                        byte #X19
                                          ; INVALID-ARG-COUNT-ERROR
; 837:
            9A
                        byte #X9A
                                          ; RCX
* (defun max-with-speed-3 (a b)
    (declare (optimize (speed 3) (safety 0)))
    (max a b))
MAX-WITH-SPEED-3
* (disassemble 'max-with-speed-3)
; disassembly for MAX-WITH-SPEED-3
; Size: 92 bytes. Origin: #x52D452C3
; 3B:
           48895DE0
                           mov [rbp-32], rbx
                                                           ; no-arg-parsing entry
point
; 3F:
           488945E8
                            mov [rbp-24], rax
; 43:
           488BD0
                            mov rdx, rax
; 46:
           488BFB
                            mov rdi, rbx
; 49:
          B9C1030020
                            mov ecx, 536871873 ; generic->
; 4E:
                            call rcx
          FFD1
                            mov rax, [rbp-24]
; 50:
           488B45E8
; 54:
           488B5DE0
                            mov rbx, [rbp-32]
; 58:
           7E0C
                            jle L1
; 5A:
           4C8BC0
                            mov r8, rax
; 5D: L0: 498BD0
                            mov rdx, r8
; 60:
           488BE5
                            mov rsp, rbp
; 63:
           F8
                            clc
; 64:
           5D
                            pop rbp
; 65:
           C3
                            ret
; 66: L1: 488945E8
                            mov [rbp-24], rax
; 6A:
           488BF0
                            mov rsi, rax
: 6D:
           488975F0
                            mov [rbp-16], rsi
; 71:
           4C8BC3
                            mov r8, rbx
: 74:
          4C8945F8
                            mov [rbp-8], r8
; 78:
           488BD0
                            mov rdx, rax
```

```
; 7B:
           488BFB
                          mov rdi, rbx
; 7E:
          B929040020
                          mov ecx, 536871977
                                                 ; generic-=
: 83:
                          call rcx
         FFD1
                          mov rax, [rbp-24]
; 85:
          488B45E8
; 89:
          488B75F0
                          mov rsi, [rbp-16]
          4C8B45F8
; 8D:
                          mov r8, [rbp-8]
; 91:
          4C0F44C6
                          cmoveq r8, rsi
; 95:
          EBC6
                           jmp L0
```

As you can see, the generated assembly code is much shorter (92 bytes VS 144). The compiler was able to perform optimizations. Yet we can do better by declaring types.

33.2.2. Type Hints

As mentioned in the <u>Type System</u> chapter, Lisp has a relatively powerful type system. You may provide type hints so that the compiler may reduce the size of the generated code.

```
* (defun max-with-type (a b)
    (declare (optimize (speed 3) (safety 0)))
    (declare (type integer a b))
    (max a b))
MAX-WITH-TYPE
* (disassemble 'max-with-type)
; disassembly for MAX-WITH-TYPE
; Size: 42 bytes. Origin: #x52D48A23
: 1B:
          488BF7
                           mov rsi, rdi
                                                           ; no-arg-parsing entry
point
; 1E:
          488975F0
                           mov [rbp-16], rsi
; 22:
         488BD8
                           mov rbx, rax
         48895DF8
: 25:
                         mov [rbp-8], rbx
         488BD0 mov rdx, rax
B98C030020 mov ecx, 536871820
; 29:
; 2C:
                                              ; generic-<
         FFD1
; 31:
                          call rcx
: 33:
         488B75F0
                         mov rsi, [rbp-16]
: 37:
          488B5DF8
                         mov rbx, [rbp-8]
          480F4CDE
; 3B:
                           cmovl rbx, rsi
; 3F:
          488BD3
                           mov rdx, rbx
          488BE5
; 42:
                           mov rsp, rbp
; 45:
          F8
                           clc
: 46:
          5D
                           pop rbp
           С3
: 47:
                           ret
```

The size of generated assembly code shrunk to about 1/3 of the size. What about speed?

```
* (time (dotimes (i 10000) (max-original 100 200)))
Evaluation took:
    0.000 seconds of real time
    0.000107 seconds of total run time (0.000088 user, 0.000019 system)
    100.00% CPU
    361,088 processor cycles
    0 bytes consed

* (time (dotimes (i 10000) (max-with-type 100 200)))
Evaluation took:
    0.000 seconds of real time
    0.000044 seconds of total run time (0.000036 user, 0.000008 system)
    100.00% CPU
```

```
146,960 processor cycles
0 bytes consed
```

You see, by specifying type hints, our code runs much faster!

But wait...What happens if we declare wrong types? The answer is: it depends.

For example, SBCL treats type declarations in a <u>special way</u>. It performs different levels of type checking according to the safety level. If safety level is set to 0, no type checking will be performed. Thus a wrong type specifier might cause a lot of damage.

33.2.3. More on Type Declaration with declaim

If you try to evaluate a declare form in the top level, you might get the following error:

```
Execution of a form compiled with errors.

Form:
    (DECLARE (SPEED 3))

Compile-time error:
    There is no function named DECLARE. References to DECLARE in some contexts (like starts of blocks) are unevaluated expressions, but here the expression is being evaluated, which invokes undefined behaviour.
    [Condition of type SB-INT:COMPILED-PROGRAM-ERROR]
```

This is because type declarations have <u>scopes</u>. In the examples above, we have seen type declarations applied to a function.

During development it is usually useful to raise the importance of safety in order to find out potential problems as soon as possible. On the contrary, speed might be more important after deployment. However, it might be too verbose to specify declaration expression for each single function.

The macro declaim provides such possibility. It can be used as a top level form in a file and the declarations will be made at compile-time.

```
* (declaim (optimize (speed 0) (safety 3)))
NTL

* (defun max-original (a b)
        (max a b))
MAX-ORIGINAL

* (disassemble 'max-original)
; disassembly for MAX-ORIGINAL
; Size: 181 bytes. Origin: #x52D47D9C
...

* (declaim (optimize (speed 3) (safety 3)))
NIL

* (defun max-original (a b)
        (max a b))
MAX-ORIGINAL

* (disassemble 'max-original)
; disassembly for MAX-ORIGINAL
; Size: 142 bytes. Origin: #x52D4815D
```

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Please note that declaim works in **compile-time** of a file. It is mostly used to make some declares local to that file. And it is unspecified whether or not the compile-time side-effects of a declaim persist after the file has been compiled.

33.2.4. Declaring function types

Another useful declaration is a ftype declaration which establishes the relationship between the function argument types and the return value type. If the type of passed arguments matches the declared types, the return value type is expected to match the declared one. Because of that, a function can have more than one ftype declaration associated with it. A ftype declaration restricts the type of the argument every time the function is called. It has the following form:

If the function returns nil, its return type is null. This declaration does not put any restriction on the types of arguments by itself. It only takes effect if the provided arguments have the specified types – otherwise no error is signaled and declaration has no effect. For example, the following declaration states that if the argument to the function square is a fixnum, the value of the function will also be a fixnum:

```
(declaim (ftype (function (fixnum) fixnum) square))
(defun square (x) (* x x))
```

If we provide it with the argument which is not declared to be of type fixnum, no optimization will take place:

```
(defun do-some-arithmetic (x)
  (the fixnum (+ x (square x))))
```

Now let's try to optimize the speed. The compiler will state that there is type uncertainty:

```
(defun do-some-arithmetic (x)
 (declare (optimize (speed 3) (debug 0) (safety 0)))
 (the fixnum (+ x (square x))))
; compiling (DEFUN DO-SOME-ARITHMETIC ...)
; file: /tmp/slimeRzDh1R
in: DEFUN DO-SOME-ARITHMETIC
     (+ TEST-FRAMEWORK::X) (TEST-FRAMEWORK::SQUARE TEST-FRAMEWORK::X))
; note: forced to do GENERIC-+ (cost 10)
       unable to do inline fixnum arithmetic (cost 2) because:
       The first argument is a NUMBER, not a FIXNUM.
       unable to do inline (signed-byte 64) arithmetic (cost 5) because:
       The first argument is a NUMBER, not a (SIGNED-BYTE 64).
       etc.
; compilation unit finished
  printed 1 note
      (disassemble 'do-some-arithmetic)
; disassembly for DO-SOME-ARITHMETIC
; Size: 53 bytes. Origin: #x52CD1D1A
; 1A: 488945F8 MOV [RBP-8], RAX ; no-arg-parsing entry point
          488BD0
; 1E:
                           MOV RDX, RAX
```

```
; 21:
           4883EC10
                            SUB RSP, 16
: 25:
           B902000000
                            MOV ECX, 2
: 2A:
                            MOV [RSP], RBP
           48892C24
; 2E:
           488BEC
                            MOV RBP, RSP
           E8C2737CFD
; 31:
                            CALL #x504990F8
                                               ; #<FDEFN SQUARE>
                            CMOVB RSP, RBX
; 36:
           480F42E3
; 3A:
           488B45F8
                            MOV RAX, [RBP-8]
                            MOV RDI, RDX
; 3E:
          488BFA
: 41:
           488BD0
                            MOV RDX, RAX
; 44:
          E807EE42FF
                           CALL #x52100B50
                                               ; GENERIC-+
; 49:
                            MOV RSP, RBP
           488BE5
; 4C:
           F8
                            CLC
; 4D:
           5D
                            POP RBP
; 4E:
           C3
                            RET
NTI
```

Now we can add a type declaration for x, so the compiler can assume that the expression (square x) is a fixnum, and use the fixnum-specific +:

```
(defun do-some-arithmetic (x)
  (declare (optimize (speed 3) (debug 0) (safety 0)))
  (declare (type fixnum x))
  (the fixnum (+ x (square x))))
       (disassemble 'do-some-arithmetic)
; disassembly for DO-SOME-ARITHMETIC
; Size: 48 bytes. Origin: #x52C084DA
                                               ; no-arg-parsing entry point
: 4DA:
          488945F8
                          MOV [RBP-8], RAX
; 4DE:
            4883EC10
                            SUB RSP, 16
; 4E2:
            488BD0
                            MOV RDX, RAX
; 4E5:
          B902000000
                           MOV ECX, 2
; 4EA:
          48892C24
                           MOV [RSP], RBP
; 4EE:
            488BEC
                            MOV RBP, RSP
          E8020C89FD
: 4F1:
                          CALL #x504990F8
                                               ; #<FDEFN SQUARE>
; 4F6:
          480F42E3
                            CMOVB RSP, RBX
; 4FA:
                           MOV RAX, [RBP-8]
          488B45F8
                            ADD RAX, RDX
; 4FE:
            4801D0
; 501:
            488BD0
                            MOV RDX, RAX
; 504:
            488BE5
                            MOV RSP, RBP
            F8
; 507:
                            CLC
                            POP RBP
: 508:
            5D
; 509:
            C3
                            RFT
NIL
```

33.2.5. Code Inline

The declaration <u>inline</u> replaces function calls with function body, if the compiler supports it. It will save the cost of function calls but will potentially increase the code size. The best situation to use <u>inline</u> might be those small but frequently used functions. The following snippet shows how to encourage and prohibit code inline.

```
;; The globally defined function DISPATCH should be open-coded,
;; if the implementation supports inlining, unless a NOTINLINE
;; declaration overrides this effect.
(declaim (inline dispatch))
(defun dispatch (x) (funcall (get (car x) 'dispatch) x))
```

```
;; Here is an example where inlining would be encouraged.
;; Because function DISPATCH was defined as INLINE, the code
;; inlining will be encouraged by default.
(defun use-dispatch-inline-by-default ()
  (dispatch (read-command)))
;; Here is an example where inlining would be prohibited.
;; The NOTINLINE here only affects this function.
(defun use-dispatch-with-declare-notinline ()
 (declare (notinline dispatch))
 (dispatch (read-command)))
;; Here is an example where inlining would be prohibited.
;; The NOTINLINE here affects all following code.
(declaim (notinline dispatch))
(defun use-dispatch-with-declaim-noinline ()
 (dispatch (read-command)))
;; Inlining would be encouraged because you specified it.
;; The INLINE here only affects this function.
(defun use-dispatch-with-inline ()
  (declare (inline dispatch))
  (dispatch (read-command)))
```

Please note that when the inlined functions change, all the callers must be re-compiled.

33.3. Optimizing Generic Functions

33.3.1. Using Static Dispatch

Generic functions provide much convenience and flexibility during development. However, the flexibility comes with cost: generic methods are much slower than trivial functions. The performance cost becomes a burden especially when the flexibility is not needed.

The package <u>inlined-generic-function</u> provides functions to convert generic functions to static dispatch, moving the dispatch cost to compile-time. You just need to define generic function as a <u>inlined-generic-function</u>.

Caution

This package is declared as experimental thus is not recommended to be used in a serious software production. Use it at your own risk!

```
* (defgeneric plus (a b)
    (:generic-function-class inlined-generic-function))
#<INLINED-GENERIC-FUNCTION HELLO::PLUS (2)>

* (defmethod plus ((a fixnum) (b fixnum))
    (+ a b))
#<INLINED-METHOD HELLO::PLUS (FIXNUM FIXNUM) {10056D7513}>

* (defun func-using-plus (a b)
    (plus a b))
FUNC-USING-PLUS

* (defun func-using-plus-inline (a b)
    (declare (inline plus))
    (plus a b))
```

```
* (time
   (dotimes (i 100000)
     (func-using-plus 100 200)))
Evaluation took:
  0.018 seconds of real time
  0.017819 seconds of total run time (0.017800 user, 0.000019 system)
  100.00% CPU
  3 lambdas converted
  71,132,440 processor cycles
  6,586,240 bytes consed
* (time
   (dotimes (i 100000)
     (func-using-plus-inline 100 200)))
Evaluation took:
  0.001 seconds of real time
  0.000326 seconds of total run time (0.000326 user, 0.000000 system)
  0.00% CPU
  1,301,040 processor cycles
  0 bytes consed
```

The inlining is not enabled by default because once inlined, changes made to methods will not be reflected.

It can be enabled globally by adding :inline-generic-function flag in *features*.

```
* (push :inline-generic-function *features*)
(:INLINE-GENERIC-FUNCTION :SLYNK :CLOSER-MOP :CL-FAD :BORDEAUX-THREADS
:THREAD-SUPPORT :CL-PPCRE ALEXANDRIA.0.DEV::SEQUENCE-EMPTYP :QUICKLISP
:QUICKLISP-SUPPORT-HTTPS :SB-BSD-SOCKETS-ADDRINFO :ASDF3.3 :ASDF3.2 :ASDF3.1
:ASDF3 :ASDF2 :ASDF :OS-UNIX :NON-BASE-CHARS-EXIST-P :ASDF-UNICODE :ROS.INIT
:X86-64 :64-BIT :64-BIT-REGISTERS :ALIEN-CALLBACKS :ANSI-CL :AVX2
:C-STACK-IS-CONTROL-STACK :CALL-SYMBOL :COMMON-LISP :COMPACT-INSTANCE-HEADER
:COMPARE-AND-SWAP-VOPS :CYCLE-COUNTER :ELF :FP-AND-PC-STANDARD-SAVE ..)
```

When this feature is present, all inlinable generic functions are inlined unless it is declared notinline.

33.4. Block compilation

SBCL got block compilation on version 2.0.2, which was in CMUCL since 1991 but a little forgotten since.

You can enable block compilation with a one-liner:

```
(setq *block-compile-default* t)
```

But what is it?

Block compilation addresses a known aspect of dynamic languages: function calls to global, top-level functions are expensive.

Much more expensive than in a statically compiled language. They're slow because of the late-bound nature of top-level defined functions, allowing arbitrary redefinition while the program is running and forcing runtime checks on whether the function is being called with the right number or types of arguments. This type of call is known as a "full call" in Python (the

compiler used in CMUCL and SBCL, not to be confused with the programming language), and their calling convention permits the most runtime flexibility.

But local calls, the ones inside a top-level functions (for example lambdas, labels and flets) are fast.

These calls are more 'static' in the sense that they are treated more like function calls in static languages, being compiled "together" and at the same time as the local functions they reference, allowing them to be optimized at compile-time. For example, argument checking can be done at compile time because the number of arguments of the callee is known at compile time, unlike in the full call case where the function, and hence the number of arguments it takes, can change dynamically at runtime at any point. Additionally, the local call calling convention can allow for passing unboxed values like floats around, as they are put into unboxed registers never used in the full call convention, which must use boxed argument and return value registers.

So enabling block compilation kind of turns your code into a giant labels form.

One evident possible drawback, dependending on your application, is that you can't redefine functions at runtime anymore.

We can also enable block compilation with the :block-compile keyword to compile-file:

you [will] see that FOO and BAR are now compiled into the same component (with local calls), and both have valid external entry points. This improves locality of code quite a bit and still allows calling both FOO and BAR externally from the file (e.g. in the REPL). [...]

But there is one more goody block compilation adds...

If you inspect the assembly,

Notice we specified :entry-points nil above. That's telling the compiler to still create external entry points to every function in the file, since we'd like to be able to call them normally from outside the code component (i.e. the compiled compilation unit, here the entire file).

For more explanations, I refer you to the mentioned blog post, the current de-facto documentation for SBCL, in addition to <u>CMUCL's documentation</u> (note that the form-by-form level granularity in

CMUCL ((declaim (start-block ...)) ... (declaim (end-block ..))) is missing in SBCL, at the time of writing).

Finally, be aware that "block compiling and inlining currently does not interact very well [in SBCL]".

33.5. See also

• CMUCL's Advanced Compiler Use and Efficiency Hints, which is were SBCL comes from.

34. Scripting. Command line arguments. Executables.

Using a program from a REPL is fine and well, but once it's ready we'll surely want to call it from the terminal. We can run Lisp **scripts** for this.

Next, if we want to distribute our program easily, we'll want to build an **executable**.

Lisp implementations differ in their processes, but they all create **self-contained executables**, for the architecture they are built on. The final user doesn't need to install a Lisp implementation, he can run the software right away.

Start-up times are near to zero, specially with SBCL and CCL.

Binaries **size** are large-ish. They include the whole Lisp including its libraries, the names of all symbols, information about argument lists to functions, the compiler, the debugger, source code location information, and more.

Note that we can similarly build self-contained executables for **web apps**, that will include all the static assets (HTML, JS, etc).

34.1. Scripting with Common Lisp

Create a file named hello (you can drop the .lisp extension) and add this:

```
#!/usr/bin/env -S sbcl --script
(require :uiop)
(format t "hello ~a!~&" (uiop:getenv "USER"))
Make the script executable (chmod +x hello) and run it:
$ ./hello
```

Nice! We can use this to a great extent already.

hello me!

In addition, the script was quite fast to start, 0.03s on my system.

However, we will get longer startup times as soon as we add dependencies. The solution is to build a binary. They start even faster, with all dependencies compiled.

We used the SBCL CLI option --script. It is the equivalent of --no-sysinit --no-userinit --disable-debugger --end-toplevel-options:

- --no-sysinit doesn't load a system-wide init file.
- --no-userinit doesn't load the user's ~/.sbclrc file.
- --disable-debugger disables the debugger. On an error, the Lisp process prints a backtrace on and exits with a status code of 1. It doesn't give us a Lisp REPL.
- --end-toplevel-options is optional and it "prevents options intended for your program being accidentally processed by SBCL".

34.1.1. Quickloading dependencies from a script

Say you don't bother with an .asd project definition yet, you just want to write a quick script, but you need to load a quicklisp dependency. You'll need a bit more ceremony:

```
#!/usr/bin/env -S sbcl --script
(require :uiop)
;; We want quicklisp, which is loaded from our initfile,
;; after a classical installation.
```

```
;; However the --script flag doesn't load our init file:
;; it implies --no-sysinit --no-userinit --disable-debugger --end-toplevel-options
;; So, please load it:
(load "~/.sbclrc")

;; Load a quicklisp dependency silently.
(ql:quickload "str" :silent t)

(princ (str:concat "hello " (uiop:getenv "USER") "!"))
```

Accordingly, you could only use require, if the quicklisp dependency is already installed:

```
;; replace loading sbclrc and ql:quickload.
(require :str)
```

Also note that when you put a ql:quickload in the middle of your code, you can't load the file anymore, you can't C-c C-k from your editor. This is because the reader will see the "quickload" without running it yet, then sees "str:concat", a call to a package that doesn't exist (it wasn't loaded yet). Common Lisp has you covered, with a form that executes code during the read phase:

```
;; you shouldn't need this. Use an .asd system definition!
(eval-when (:load-toplevel :compile-toplevel :execute)
  (ql:quickload "str" :silent t))
```

but ASDF project definitions are here for a reason.

Find me another language that makes you install dependencies in the middle of the application code.

34.1.2. The "main" entry point

When you load a file, all top-level instructions are executed.

With this:

```
(defun foo ()
  :hello)
```

the foo function is compiled but nothing gets executed. With this:

```
(defun foo ()
  :hello)

(foo)
```

foo is compiled and then executed. However you didn't print anything to standard output, so you may see nothing in the terminal.

So, you can consider that (foo) is your main entry point. There is no "main" function.

However, a top-level expression prevents you from compiling and loading the whole file, without a side effect each time, like with C-c C-k in Slime. To fix this, you can do:

```
(eval-when (:execute)
  (foo))
```

Now, you can interactively develop your script from your editor, you can use C-c C-k (slime-compile-and-load-file) without hitting side effects of the top-level expressions.

34.2. Building a self-contained executable

34.2.1. With SBCL - Images and Executables

How to build (self-contained) executables is, by default, implementation-specific (see below for portable ways). With SBCL, as says <u>its documentation</u>, it is a matter of calling save-lisp-and-die with the :executable argument to T:

sb-ext is an SBCL extension to run external processes. See other <u>SBCL extensions</u> (many of them are made implementation-portable in other libraries).

:executable t tells to build an executable instead of an image. We could build an image to save the state of our current Lisp image, to come back working with it later. This is especially useful if we made a lot of work that is computing intensive. In that case, we re-use the image with sbcl --core name-of-image.

:toplevel gives the program's entry point, here my-app:main-function. Don't forget to export the symbol, or use my-app::main-function (with two colons).

If you try to run this in Slime, you'll get an error about threads running:

Cannot save core with multiple threads running.

We must run the command from a simple SBCL repl, from the terminal.

I suppose your project has Quicklisp dependencies. You must then:

- ensure Quicklisp is installed and loaded at the Lisp startup (you completed Quicklisp installation),
- asdf: load-asd the project's .asd (recommended instead of just load),
- install the dependencies,
- build the executable.

That gives:

From the command line, or from a Makefile, use --load and --eval:

build:

34.2.2. With uiop:dump-image

sb-ext:save-lisp-and-die is SBCL-specific. Although the feature exists in other implementations, the function to use is named differently and it accepts different arguments. On CCL (Clozure CL), it is named ccl:save-application.

If you want to write a build script that is portable across CL implementations, you can use uiop:dump-image. It takes roughly the same arguments as save-lisp-and-die described above, with the exception of:toplevel that should be given to the variable uiop:*image-entry-point*:

```
;; build.lisp
(asdf:load-asd "my-app.asd")
(ql:quickload "my-app")

(setf uiop:*image-entry-point* #'my-app:main)
(uiop:dump-image "my-app-binary" :executable t :compression 9)

You can run this file, that we named build.lisp, with any implementation:
$ sbcl --load build.lisp
$ ecl --load build.lisp
$ ccl --load build.lisp
```

34.2.3. With ASDF

You can choose to add the build instructions directly in the .asd project definition.

Since its version 3.1, ASDF allows to do that. It introduced the <u>make command</u>, that reads parameters from the .asd. Add this to your .asd file:

34.2.4. With Deploy - ship foreign libraries dependencies

All this is good, you can create binaries that work on your machine... but maybe not on someone else's or on your server. Your program probably relies on C shared libraries that are defined somewhere on your filesystem. For example, libssl might be located on

```
/usr/lib/x86_64-linux-gnu/libssl.so.1.1
```

but on your VPS, maybe somewhere else.

<u>Deploy</u> to the rescue.

It will create a bin/directory with your binary and the required foreign libraries. It will auto-discover the ones your program needs, but you can also help it (or tell it to not do so much).

Its use is very close to the above recipe with asdf:make and the .asd project configuration. Use this:

```
:defsystem-depends-on (:deploy) ;; (ql:quickload "deploy") before
:build-operation "deploy-op" ;; instead of "program-op"
```

```
:build-pathname "my-application-name" ;; doesn't change
:entry-point "my-package:my-start-function" ;; doesn't change
```

and build your binary with (asdf:make :my-app) like before.

Now, ship the bin/ directory to your users.

When you run the binary, you'll see it uses the shipped libraries:

```
$ ./my-app
==> Performing warm boot.
    -> Runtime directory is /home/debian/projects/my-app/bin/
    -> Resource directory is /home/debian/projects/my-app/bin/
==> Running boot hooks.
==> Reloading foreign libraries.
    -> Loading foreign library #<LIBRARY LIBRT>.
    -> Loading foreign library #<LIBRARY LIBMAGIC>.
==> Launching application.
[...]
```

Success!

A note regarding libssl. It's easier, on Linux at least, to rely on your OS' current installation, so we'll tell Deploy to not bother shipping it (nor libcrypto):

```
(require :cl+ssl)
#+linux (deploy:define-library cl+ssl::libssl :dont-deploy T)
#+linux (deploy:define-library cl+ssl::libcrypto :dont-deploy T)
```

The day you want to ship a foreign library that Deploy doesn't find, you can instruct it like this:

A last remark. Once you built your binary and you run it for the first time, you might get a funny message from ASDF that tries to upgrade itself, finds nothing into a ~/common-lisp/asdf/repository, and quits. To tell it to not upgrade itself, add this into your .asd:

```
;; Tell ASDF to not update itself.
(deploy:define-hook (:deploy asdf) (directory)
  (declare (ignorable directory))
  #+asdf (asdf:clear-source-registry)
  #+asdf (defun asdf:upgrade-asdf () nil))
```

You can also silence Deploy's start-up messages by adding this in your build script, before asdf:make is called:

```
(push :deploy-console *features*)
```

And there is more, so we refer you to Deploy's documentation.

34.2.5. With Roswell or Buildapp

<u>Roswell</u>, an implementation manager, script launcher and much more, has the ros build command, that should work for many implementations.

This is how we can make our application easily installable by others, with a ros install my-app. See Roswell's documentation.

Be aware that ros build adds core compression by default. That adds a significant startup overhead of the order of 150ms (for a simple app, startup time went from about 30ms to 180ms). You can disable it with ros build <app.ros> --disable-compression. Of course, core compression reduces your binary size significantly. See the table below, "Size and startup times of executables per implementation".

We'll finish with a word on <u>Buildapp</u>, a battle-tested and still popular "application for SBCL or CCL that configures and saves an executable Common Lisp image".

Example usage:

```
buildapp --output myapp \
    --asdf-path . \
    --asdf-tree ~/quicklisp/dists \
    --load-system my-app \
    --entry my-app:main
```

Many applications use it (for example, <u>pgloader</u>), it is available on Debian: apt install buildapp, but you shouldn't need it now with asdf:make or Roswell.

34.2.6. For web apps

We can similarly build a self-contained executable for our web appplication. It would thus contain a web server and would be able to run on the command line:

```
$ ./my-web-app
Hunchentoot server is started.
Listening on localhost:9003.
```

Note that this runs the production webserver, not a development one, so we can run the binary on our VPS right away and access the application from the outside.

We have one thing to take care of, it is to find and put the thread of the running web server on the foreground. In our main function, we can do something like this:

```
(defun main ()
  (handler-case
      (progn
       (start-app :port 9003) ;; our start-app, for example clack:clack-up
        ;; let the webserver run,
        ;; keep the server thread in the foreground:
        ;; sleep for ± a hundred billion years.
        (sleep most-positive-fixnum))
    ;; Catch a user's C-c
    (#+sbcl sb-sys:interactive-interrupt
     #+ccl ccl:interrupt-signal-condition
     #+clisp system::simple-interrupt-condition
     #+ecl ext:interactive-interrupt
     #+allegro excl:interrupt-signal
           (format *error-output* "Aborting.~&")
           (clack:stop *server*)
           (uiop:quit)))
    (error (c) (format t "Woops, an unknown error occured:~&~a~&" c))))
```

We used the bordeaux-threads library ((ql:quickload "bordeaux-threads"), alias bt) and uiop, which is part of ASDF so already loaded, in order to exit in a portable way (uiop:quit, with an optional return code, instead of sb-ext:quit).

34.2.7. Size and startup times of executables per implementation

SBCL isn't the only Lisp implementation. <u>**ECL**</u>, Embeddable Common Lisp, transpiles Lisp programs to C. That creates a smaller executable.

According to <u>this reddit source</u>, ECL produces indeed the smallest executables of all, an order of magnitude smaller than SBCL, but with a longer startup time.

CCL's binaries seem to be as fast to start up as SBCL and nearly half the size.

program size	implementation		CPU	startup	time	
+		+-		+		
28	/bin/true		15%	1	.0004	
1005	ecl		115%	1	5093	
48151	sbcl		91%	1	.0064	١
27054	ccl		93%	1	.0060	١
10162	clisp		96%	1	.0170	١
4901	ecl.big		113%	1	8223	١
70413	sbcl.big		93%	1	.0073	١
41713	ccl.big		95%	1	.0094	١
19948	clisp.big		97%	1	.0259	l

Regarding compilation times, **CCL** is famous for being fast in that regards. ECL is more involved and takes the longer to compile of these three implementations.

You'll also want to investigate the proprietary Lisps' **tree shakers** capabilities. **LispWorks** can build a 8MB hello-world program, without compression but fully tree-shaken. Such an executable is generated in about 1 second and the runtime is inferior to 0.02 seconds on an Apple M2 Pro CPU.

34.2.8. Building a smaller binary with SBCL's core compression

Building with SBCL's core compression can dramatically reduce your application binary's size. In our case, it reduced it from 120MB to 23MB, for a loss of a dozen milliseconds of start-up time, which was still under 50ms.

Note: SBCL 2.2.6 switched to compression with zstd instead of zlib,
which provides smaller binaries and faster compression and decompression times. Unofficial numbers are: about 4x faster compression, 2x faster decompression, and
smaller binaries by 10%.

Your SBCL must be built with core compression, see the documentation: Saving-a-Core-Image

Is it the case?

```
(find :sb-core-compression *features*)
:SB-CORE-COMPRESSION
```

Yes, it is the case with this SBCL installed from Debian.

With SBCL

In SBCL, we would give an argument to save-lisp-and-die, where :compression

may be an integer from -7 to 22, corresponding to zstd compression levels, or t (which is equivalent to the default compression level, 9).

For a simple "Hello, world" program:

```
| Program size | Compression level | |-----| | 46MB | Without compression |
```

22MB	-7	
12MB	9	- 1
11MB	22	1

For a bigger project like StumpWM, an X window manager written in Lisp:

	Compression level
1	Without compression
27MB	-7
15MB	9
13MB	22

With ASDF

However, we prefer to do this with ASDF (or rather, UIOP). Add this in your .asd:

With Deploy

Also, the <u>Deploy</u> library can be used to build a fully standalone application. It will use compression if available.

Deploy is specifically geared towards applications with foreign library dependencies. It collects all the foreign shared libraries of dependencies, such as libssl.so in the bin subdirectory.

And voilà!

34.3. Parsing command line arguments

SBCL stores the command line arguments into sb-ext:*posix-argv*.

But that variable name differs from implementations, so we want a way to handle the differences for us.

We have (uiop:command-line-arguments), shipped in ASDF and included in nearly all implementations. From anywhere in your code, you can simply check if a given string is present in this list:

```
(member "-h" (uiop:command-line-arguments) :test #'string-equal)
```

That's good, but we also want to parse the arguments, have facilities to check short and long options, build a help message automatically, etc.

We chose the <u>Clingon</u> library, because it may have the richest feature set:

- it handles subcommands,
- it supports various kinds of options (flags, integers, booleans, counters, enums...),
- it generates Bash and Zsh completion files as well as man pages,
- it is extensible in many ways,
- we can easily try it out on the REPL
- etc

Let's download it:

```
(ql:quickload "clingon")
```

As often, work happens in two phases:

- we first declare the options that our application accepts, their kind (flag, string, integer...), their long and short names and the required ones.
- we ask Clingon to parse the command-line options and run our app.

34.3.1. Declaring options

We want to represent a command-line tool with this possible usage:

```
$ myscript [-h, --help] [-n, --name NAME]
```

Ultimately, we need to create a Clingon command (with clingon:make-command) to represent our application. A command is composed of options and of a handler function, to do the logic.

So first, let's create options. Clingon already handles "-help" for us, but not the short version. Here's how we use clingon:make-option to create an option:

This is a **flag**: if "-h" is present on the command-line, the option's value will be truthy, otherwise it will be falsy. A flag does not expect an argument, it's here for itself.

Similar kind of options would be:

- :boolean: that one expects an argument, which can be "true" or 1 to be truthy. Anything else is considered falsy.
- :counter: a counter option counts how many times the option is provided on the command line. Typically, use it with -v / --verbose, so the user could use -vvv to have extra verbosity. In that case, the option value would be 3. When this option is not provided on the command line, Clingon sets its value to 0.

We'll create a second option ("-name" or "-n" with a parameter) and we put everything in a litle function.

```
;; The naming with a "/" is just our convention.
(defun cli/options ()
  "Returns a list of options for our main command"
 (list
   (clingon:make-option
   :flag
   :description "short help."
   :short-name #\h
   :key :help)
   (clingon:make-option
                        ;; <--- string type: expects one parameter on the CLI.
   :string
   :description "Name to greet"
   :short-name #\n
    :long-name "name"
    :env-vars '("USER") ;; <-- takes this default value if the env var exists.</pre>
```

```
:initial-value "lisper" ;; <-- default value if nothing else is set.
:key :name)))</pre>
```

The second option we created is of kind:string. This option expects one argument, which will be parsed as a string. There is also:integer, to parse the argument as an integer.

There are more option kinds of Clingon, which you will find on its good documentation: :choice, :enum, :list, :filepath, :switch and so on.

34.3.2. Top-level command

We have to tell Clingon about our top-level command. clingon:make-command accepts some descriptive fields, and two important ones:

- :options is a list of Clingon options, each created with clingon:make-option
- : handler is the function that will do the app's logic.

And finally, we'll use clingon: run in our main function (the entry point of our binary) to parse the command-line arguments, and apply our command's logic. During development, we can also manually call clingon: parse-command-line to try things out.

Here's a minimal command. We'll define our handler function afterwards:

```
(defun cli/command ()
  "A command to say hello to someone"
  (clingon:make-command
    :name "hello"
    :description "say hello"
    :version "0.1.0"
    :authors '("John Doe <john.doe@example.org")
    :license "BSD 2-Clause"
    :options (cli/options) ;; <-- our options
    :handler #'null)) ;; <-- to change. See below.</pre>
```

At this point, we can already test things out on the REPL.

34.3.3. Testing options parsing on the REPL

Use clingon: parse-command-line: it wants a top-level command, and a list of command-line arguments (strings):

```
CL-USER> (clingon:parse-command-line (cli/command) '("-h" "-n" "me"))
#<CLINGON.COMMAND:COMMAND name=hello options=5 sub-commands=0>
```

It works!

We can even inspect this command object, we would see its properties (name, hooks, description, context...), its list of options, etc.

Let's try again with an unknown option:

```
CL-USER> (clingon:parse-command-line (cli/command) '("-x"))
;; => debugger: Unknown option -x of kind SHORT
```

In that case, we are dropped into the interactive debugger, which says

```
Unknown option -x of kind SHORT [Condition of type CLINGON.CONDITIONS:UNKNOWN-OPTION]
```

and we are provided a few restarts:

```
Restarts:
```

```
0: [DISCARD-OPTION] Discard the unknown option
```

```
    [TREAT-AS-ARGUMENT] Treat the unknown option as a free argument
    [SUPPLY-NEW-VALUE] Supply a new value to be parsed
    [RETRY] Retry SLIME REPL evaluation request.
    [*ABORT] Return to SLIME's top level.
```

which are very practical. If we needed, we could create an :around method for parse-command-line, handle Clingon's conditions with handler-bind and use its restarts, to do something different with unknown options. But we don't need that yet, if ever: we want our command-line parsing engine to warn us on invalid options.

Last but not least, we can see how Clingon prints our CLI tool's usage information:

```
CL-USER> (clingon:print-usage (cli/command) t)
NAME:
  hello - say hello
USAGE:
  hello [options] [arguments ...]
OPTIONS:
      --help
                      display usage information and exit
                      display version and exit
      --version
                      short help.
  -n, --name <VALUE> Name to greet [default: lisper] [env: $USER]
AUTHORS:
  John Doe <john.doe@example.org
LICENSE:
  BSD 2-Clause
```

We can tweak the "USAGE" part with the :usage key parameter of the lop-level command.

34.3.4. Handling options

When the parsing of command-line arguments succeeds, we need to do something with them. We introduce two new Clingon functions:

- clingon: getopt is used to get an option's value by its: key
- clingon: command-arguments gets use the free arguments remaining on the command-line.

Here's how to use them:

It is with them that we will write the handler of our top-level command:

416

```
(length free-args))
(format t "Bye!~%")))
```

We must tell our top-level command to use this handler:

```
;; from above:
(defun cli/command ()
  "A command to say hello to someone"
  (clingon:make-command
    ...
  :handler #'cli/handler)) ;; <-- changed.</pre>
```

We now only have to write the main entry point of our binary and we're done.

By the way, clingon: getopt returns 3 values:

- the option's value
- a boolean, indicating wether this option was provided on the command-line
- the command which provided the option for this value.

See also clingon:opt-is-set-p.

34.3.5. Main entry point

This can be any function, but to use Clingon, use its run function:

```
(defun main ()
  "The main entrypoint of our CLI program"
  (clingon:run (cli/command)))
```

To use this main function as your binary entry point, see above how to build a Common Lisp binary. A reminder: set it in your .asd system declaration:

```
:entry-point "my-package::main"
```

And that's about it. Congratulations, you can now properly parse command-line arguments!

Go check Clingon's documentation, because there is much more to it: sub-commands, contexts, hooks, handling a C-c, developing new options such as an email kind, Bash and Zsh completion...

34.4. Catching a C-c termination signal

By default, **Clingon provides a handler for SIGINT signals**. It makes the application to immediately exit with the status code 130.

If your application needs some clean-up logic, you can use an unwind-protect form. However, it might not be appropriate for all cases, so Clingon advertises to use the <u>with-user-abort</u> helper library.

Below we show how to catch a C-c manually. Because by default, you would get a Lisp stacktrace.

We built a simple binary, we ran it and pressed C-c. Let's read the stacktrace:

```
$ ./my-app
sleep...
^C
debugger invoked on a SB-SYS:INTERACTIVE-INTERRUPT in thread <== condition name
#<THREAD "main thread" RUNNING {1003156A03}>:
    Interactive interrupt at #x7FFFF6C6C170.
```

Type HELP for debugger help, or (SB-EXT:EXIT) to exit from SBCL.

The signaled condition is named after our implementation: sb-sys:interactive-interrupt. We just have to surround our application code with a handler-case:

This code is only for SBCL though. We know about <u>trivial-signal</u>, but we were not satisfied with our test yet. So we can use something like this:

```
(handler-case
    (run-my-app free-args)
  (#+sbcl sb-sys:interactive-interrupt
    #+ccl ccl:interrupt-signal-condition
    #+clisp system::simple-interrupt-condition
    #+ecl ext:interactive-interrupt
    #+allegro excl:interrupt-signal
    ()
    (uiop:quit)))
```

here #+ includes the line at compile time depending on the implementation. There's also #-. What #+ does is to look for symbols in the *features* list. We can also combine symbols with and, or and not.

34.5. Continuous delivery of executables

We can make a Continuous Integration system (Travis CI, Gitlab CI,...) build binaries for us at every commit, or at every tag pushed or at whichever other policy.

See Continuous Integration.

34.6. See also

- <u>SBCL-GOODIES</u> Allows to distribute SBCL binaries with foreign libraries: <u>libssl</u>, <u>libcrypto</u> and <u>libfixposix</u> are statically baked in. This removes the need of Deploy, when only these three foreign libraries are used.
 - ▶ it was released on February, 2023.
- <u>CIEL Is an Extended Lisp</u> a batteries-included Common Lisp package with script facilities.
- <u>kiln</u> an infrastructure (managing a hidden multicall binary) to make Lisp scripting efficient and ergonomic.
 - Kiln makes it practical to write very small scripts. Kiln scripts are fast and cheap to the point where it makes sense to expose even small pieces of Lisp functionality to the shell.

34.7. Credit

- · cl-torrents' tutorial
- <u>lisp-journey/web-dev</u>

35. Testing the code

So you want to easily test the code you're writing? The following recipe covers how to write automated tests and see their code coverage. We also give pointers to plug those in modern continuous integration services like GitHub Actions, Gitlab CI, Travis CI or Coveralls.

We will be using a mature testing framework called <u>FiveAM</u>. It supports test suites, random testing, test fixtures (to a certain extent) and, of course, interactive development.

Previously on the Cookbook, the recipe was cooked with <u>Prove</u>. It used to be a widely liked testing framework but, because of some shortcomings, its repository was later archived. Its successor <u>Rove</u> is not stable enough and lacks some features, so we didn't pick it. There are also some <u>other testing frameworks</u> to explore if you feel like it.

FiveAM has an <u>API documentation</u>. You may inspect it or simply read the docstrings in code. Most of the time, they would provide sufficient information that answers your questions... if you didn't find them here. Let's get started.

35.1. Testing with FiveAM

FiveAM has 3 levels of abstraction: check, test and suite. As you may have guessed:

- 1. A **check** is a single assertion that checks that its argument is truthy. The most used check is is. For example, (is (= 2 (+ 1 1))).
- 2. A **test** is the smallest runnable unit. A test case may contain multiple checks. Any check failure leads to the failure of the whole test.
- 3. A **suite** is a collection of tests. When a suite is run, all tests inside would be performed. A suite allows paternity, which means that running a suite will run all the tests defined in it and in its children suites.

A simple code sample containing the 3 basic blocks mentioned above can be shown as follows:

```
(def-suite* my-suite)
(test my-test
  (is (= 2 (+ 1 1))))
```

It is totally up to the user to decide the hierarchy of tests and suites. Here we mainly focus on the usage of FiveAM.

Suppose we have built a rather complex system and the following functions are part of it:

```
;; We have a custom "file doesn't exist" condition.
(define-condition file-not-existing-error (error)
   ((filename :type string :initarg :filename :reader filename)))

;; We have a function that tries to read a file and signals the above condition
;; if the file doesn't exist.
(defun read-file-as-string (filename &key (error-if-not-exists t))
   "Read file content as string. FILENAME specifies the path of file.

Keyword ERROR-IF-NOT-EXISTS specifies the operation to perform when the file
is not found. T (by default) means an error will be signaled. When given NIL,
the function will return NIL in that case."
   (cond
        ((uiop:file-exists-p filename))
        (uiop:read-file-string filename))
        (error-if-not-exists
```

```
(error 'file-not-existing-error :filename filename))
(t nil)))
```

We will write tests for that code. In particular, we must ensure:

- that the content read in a file is the expected content,
- that the condition is signaled if the file doesn't exist.

35.1.1. Install and load

FiveAM is in Quicklisp and can be loaded with the following command:

```
(ql:quickload "fiveam")
```

The package is named fiveam with a nickname 5am. For the sake of simplicity, we will ignore the package prefix in the following code samples.

It is like we :used fiveam in our test package definition. You can also follow along in the REPL with (use-package :fiveam).

Here is a package definition you can use:

35.1.2. Defining suites (def-suite, def-suite*)

Testing in FiveAM usually starts by defining a suite. A suite helps separating tests to smaller collections that makes them more organized. It is highly recommended to define a single *root* suite for the sake of ASDF integration. We will talk about it later, now let's focus on the testing itself.

The code below defines a suite named my-system. We will use it as the root suite for the whole system.

```
(def-suite my-system
   :description "Test my system")
```

Then let's define another suite for testing the read-file-as-string function.

```
;; Define a suite and set it as the default for the following tests.
(def-suite read-file-as-string
   :description "Test the read-file-as-string function."
   :in my-system)
(in-suite read-file-as-string)

;; Alternatively, the following line is a combination of the 2 lines above.
(def-suite* read-file-as-string :in my-system)
```

Here a new suite named read-file-as-string has been defined. It is declared to be a child suite of my-system as specified by the :in keyword. The macro in-suite sets it as the default suite for the tests defined later.

35.1.3. Defining tests

Before diving into tests, here is a brief introduction of the available checks you may use inside tests:

- The is macro is likely the most used check. It simply checks if the given expression returns a true value and generates a test-passed or test-failure result accordingly.
- The skip macro takes a reason and generates a test-skipped result.

• The signals macro checks if the given condition was signaled during execution.

There is also:

- finishes: passes if the assertion body executes to normal completion. In other words, if the body signals an error or makes a non-local jump, then this test fails.
- pass: marks the test as passed.
- is-true: like is, but unlike it this check does not inspect the assertion body to determine how to report the failure. Similarly, there is is-false.

Please note that all the checks accept an optional reason, as string, that can be formatted with format directives (see more below). When omitted, FiveAM generates a report that explains the failure according to the arguments passed to the function.

The test macro provides a simple way to define a test with a name.

Note that below, we expect two files to exist: /tmp/hello.txt should contain "hello" and /tmp/empty.txt should be empty.

```
;; Our first "base" case: we read a file that contains "hello".
(test read-file-as-string-normal-file
  (let ((result (read-file-as-string "/tmp/hello.txt")))
    ;; Tip: put the expected value as the first argument of = or equal, string= etc.
    ;; FiveAM generates a more readable report following this convention.
   (is (string= "hello" result))))
;; We read an empty file.
(test read-file-as-string-empty-file
 (let ((result (read-file-as-string "/tmp/empty.txt")))
    (is (not (null result)))
    ;; The reason can be used to provide formatted text.
    (is (= 0 (length result)))
        "Empty string expected but got ~a" result))
;; Now we test that reading a non-existing file signals our condition.
(test read-file-as-string-non-existing-file
 (let ((result (read-file-as-string "/tmp/non-existing-file.txt"
                                     :error-if-not-exists nil)))
    (is (null result)
      "Reading a file should return NIL when :ERROR-IF-NOT-EXISTS is set to NIL"))
  ;; SIGNALS accepts the unquoted name of a condition and a body to evaluate.
  ;; Here it checks if FILE-NOT-EXISTING-ERROR is signaled.
 (signals file-not-existing-error
    (read-file-as-string "/tmp/non-existing-file.txt"
                         :error-if-not-exists t)))
```

In the above code, three tests were defined with 5 checks in total. Some checks were actually redundant for the sake of demonstration. You may put all the checks in one big test, or in multiple scenarios. It is up to you.

The macro test is a convenience for def-test to define simple tests. You may read its docstring for a more complete introduction, for example to read about :depends-on.

35.1.4. Running tests

FiveAm provides multiple ways to run tests. The macro run! is a good start point during development. It accepts a name of suite or test and run it, then prints testing report in standard output. Let's run the tests now!

```
(run! 'my-system)
; Running test suite MY-SYSTEM
; Running test READ-FILE-AS-STRING-EMPTY-FILE ..
; Running test READ-FILE-AS-STRING-NON-EXISTING-FILE ..
; Running test READ-FILE-AS-STRING-NORMAL-FILE .
; Did 5 checks.
; Pass: 5 (100%)
; Skip: 0 ( 0%)
; Fail: 0 ( 0%)
; => T, NIL, NIL
```

If we mess read-file-as-string-non-existing-file up by replacing /tmp/non-existing-file.txt with /tmp/hello.txt, the test would fail (sure!) as expected:

```
(run! 'read-file-as-string-non-existing-file)
; Running test READ-FILE-AS-STRING-NON-EXISTING-FILE ff
; Did 2 checks.
     Pass: 0 ( 0%)
     Skip: 0 ( 0%)
     Fail: 2 (100%)
; Failure Details:
; READ-FILE-AS-STRING-NON-EXISTING-FILE []:
       Should return NIL when :ERROR-IF-NOT-EXISTS is set to NIL.
 -----
; READ-FILE-AS-STRING-NON-EXISTING-FILE []:
      Failed to signal a FILE-NOT-EXISTING-ERROR.
 -----
 => NIL
; (#<IT.BESE.FIVEAM::TEST-FAILURE {10064485F3}>
; #<IT.BESE.FIVEAM::TEST-FAILURE {1006438663}>)
```

The behavior of the suite/test runner can be customized by the *on-failure* variable, which controls what to do when a check failure happens. It can be set to one of the following values:

- : debug to drop to the debugger.
- :backtrace to print a backtrace and continue.
- NIL (default) to simply continue and print the report.

There is also *on-error*.

35.1.4.1. Running tests as they are compiled

Under normal circumstances, a test is written and compiled (with the usual C-c C-c in Slime) separately from the moment it is run. If you want to run the test when it is defined (with C-c C-c), set this:

```
(setf fiveam:*run-test-when-defined* t)
```

35.1.5. Custom and shorter tests explanations

We said earlier that a check accepts an optional custom reason that can be formatted with format directives. Here's a simple example.

We are testing a math function:

```
(fiveam:test simple-maths
  (is (= 3 (+ 1 1))))
```

When we run! it, we see this somewhat lengthy but informative output (and that's very important):

```
Running test suite NIL
 Running test SIMPLE-MATHS f
 Did 1 check.
    Pass: 0 ( 0%)
    Skip: 0 ( 0%)
    Fail: 1 (100%)
 Failure Details:
 SIMPLE-MATHS []:
(+11)
 evaluated to
 which is not
 to
3
Now, we can give it a custom reason:
(fiveam:test simple-maths
  (is (= 3 (+ 1 1))
      "Maths should work, right? ~a. Another parameter is: ~S" t :foo))
And we will see:
Running test suite NIL
 Running test SIMPLE-MATHS f
 Did 1 check.
    Pass: 0 ( 0%)
    Skip: 0 (0%)
    Fail: 1 (100%)
 Failure Details:
 SIMPLE-MATHS []:
      Maths should work, right? T. Another parameter is: :F00
```

35.1.6. Fixtures

FiveAM also provides a feature called **fixtures** for setting up testing context. The goal is to ensure that some functions are not called and always return the same result. Think functions hitting the network: you want to isolate the network call in a small function and write a fixture so that in your tests, this function always returns the same, known result. (But if you do so, you might also need an "end to end" test that tests with real data and all your code…)

However, FiveAM's fixture system is nothing more than a macro, it is not fully-featured compared to other libraries such as <u>Mockingbird</u>, and even FiveAM's maintainer encourages to "just use a macro" instead.

Mockingbird (and maybe other libraries), in addition to the basic feature descibed above, also allows to count the number of times a function was called, with what arguments, and so on.

35.1.7. Random checking

The goal of random testing is to assist the developer in generating test cases, and thus, to find cases that the developer would not have thought about.

We have a few data generators at our disposal, for example:

```
(gen-float)
#<CLOSURE (LAMBDA () :IN GEN-FLOAT) {1005A906AB}>

(funcall (gen-float))
9.220082e37

(funcall (gen-integer :max 27 :min -16))
26

or again, gen-string, gen-list, gen-tree, gen-buffer, gen-character.
```

And we have a function to run 100 checks, taking each turn a new value from the given generators: for-all:

When you run! 'randomtest this, I expect you will hit an error. You can't possibly always get a lower than b, can you?

For more, see FiveAM's documentation.

See also <u>cl-quickcheck</u> and <u>Check-it</u>, inspired by Haskell's <u>QuickCheck</u> test framework.

35.1.8. ASDF integration

So it would be nice to provide a one-line trigger to test our my-system system. Recall that we said it is better to provide a root suite? Here is the reason:

The last line tells ASDF to load symbol :my-system from my-system/test package and call fiveam:run!. It fact, it is equivalent to (run! 'my-system) as mentioned above.

35.1.9. Running tests on the terminal

Until now, we ran our tests from our editor's REPL. How can we run them from a terminal window?

As always, the required steps are as follow:

- start our Lisp
- make sure Quicklisp is enabled (if we have external dependencies)
- load our main system
- load the test system
- run the FiveAM tests.

(load "mysystem.lisp")

file like .sbclrc.

You could put them in a new run-tests.lisp file:

```
(load "mysystem-tests.lisp") ;; <-- where all the FiveAM tests are written.
(in-package :mysystem-tests)

(run!) ;; <-- run all the tests and print the report.

and you could invoke it like so, from a source file or from a Makefile:

rlwrap sbcl --non-interactive --load mysystem.asd --eval '(ql:quickload :mysystem)'
   --load run-tests.lisp
;; we assume Quicklisp is installed and loaded. This can be done in the Lisp startup</pre>
```

Before going that route however, have a look at the CI-Utils tool that we use in the Continuous Integration section below. It provides a run-fiveam command that can do all that for you.

But let us highlight something you'll have to take care of if you ran your tests like this: the **exit code**. Indeed, (run!) prints a report, but it doesn't say to your Lisp wether the tests were successful or not, and wether to exit with an exit code of 0 (for success) or more (for errors). So, if your testst were run on a CI system, the CI status would be always green, even if tests failed. To remedy that, replace run! by:

```
(let ((result (run!)))
  (cond
      ((null result)
       (log:info "Tests failed!") ;; FiveAM printed the report already.
      (uiop:quit 1))
  (t
      (log:info "All pass.")
      (uiop:quit))))
```

Check with echo \$? on your shell that the exit code is correct.

35.1.10. Testing report customization

It is possible to generate our own testing report. The macro run! is nothing more than a composition of explain! and run.

Instead of generating a testing report like its cousin run!, the function run runs suite or test passed in and returns a list of test-result instance, usually instances of test-failure or test-passed sub-classes.

A class text-explainer is defined as a basic class for testing report generator. A generic function explain is defined to take a text-plainer instance and a test-result instance (returned by run) and generate testing report. The following 2 code snippets are equivalent:

```
(run! 'read-file-as-string-non-existing-file)
```

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By creating a new sub-class of text-explainer and a method explain for it, it is possible to define a new test reporting system.

The following code just provides a proof-of-concept implementation. You may need to read the source code of 5am::detailed-text-explainer to fully understand it.

35.2. Interactively fixing unit tests

Common Lisp is interactive by nature (or so are most implementations), and testing frameworks make use of it. It is possible to ask the framework to open the debugger on a failing test, so that we can inspect the stack trace and go to the erroneous line instantly, fix it and re-run the test from where it left off, by choosing the suggested *restart*.

```
With FiveAM, set fiveam: *on-failure* to :debug:
(setf fiveam: *on-failure* :debug)
```

You will be dropped into the interactive debugger if an error occurs.

Use: backtrace to print a backtrace, continue to run the following tests and print FiveAM's report.

The default is nil: carry on the tests execution and print the report.

Note that in the debugger:

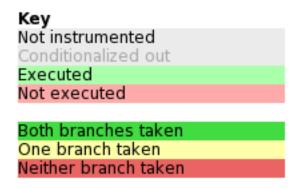
- <enter> on a backtrace shows more of it
- v on a backtrace goes to the corresponding line or function.
- you can discover more options with the menu.

35.3. Code coverage

A code coverage tool produces a visual output that allows to see what parts of our code were tested or not:

Coverage report: /tmp/test1.lisp

Kind	Covered	All	%
expression	17	29	58.6
branch	6	10	60.0



```
(declaim (optimize sb-cover:store-cover
 2
    (defun test (n)
 3
      (when (zerop n)
 4
        (if (eql n 0)
 5
            (print 'zero)
 6
 7
            (if (eql n 0.0)
                 (print 'single-fp-zero)
 8
                 (print 'double-fp-zero))))
 9
      (when (minusp n)
10
        (print 'negative))
11
      (when (plusp n)
12
        (tagbody
13
           (print 'positive)
14
           (go end)
15
           (print 'dummy)
16
17
           end)))
18
19
    (test 0)
    (test 1)
20
21
```

Such capabilities are included into Lisp implementations. For example, SBCL has the <u>sb-cover</u> module and the feature is also built-in in <u>CCL</u> or <u>LispWorks</u>.

35.3.1. Generating an html test coverage output

Let's do it with SBCL's sb-cover.

Coverage reports are only generated for code compiled using compile-file with the value of the sb-cover:store-coverage-data optimization quality set to 3.

```
;;; Load SB-COVER
(require :sb-cover)

;;; Turn on generation of code coverage instrumentation
;;; in the compiler
(declaim (optimize sb-cover:store-coverage-data))

;;; Load some code, ensuring that it's recompiled
;;; with the new optimization policy.
(asdf:oos 'asdf:load-op :cl-ppcre-test :force t)

;;; Run the test suite.
(fiveam:run! yoursystem-test)

Produce a coverage report, set the output directory:
(sb-cover:report "coverage/")

Finally, turn off instrumentation:
(declaim (optimize (sb-cover:store-coverage-data 0)))
```

You can open your browser at ../yourproject/t/coverage/cover-index.html to see the report like the capture above or like this code coverage of cl-ppcre.

35.4. Continuous Integration

Continuous Integration is important to run automatic tests after a commit or before a pull request, to run code quality checks, to build and distribute your software... well, to automate everything about software.

We want our programs to be portable across Lisp implementations, so we'll set up our CI pipeline to run our tests against several of them (it could be SBCL and CCL of course, but while we're at it ABCL, ECL and possibly more).

We have a choice of Continuous Integration services: Travis CI, Circle, Gitlab CI, now also GitHub Actions, etc (many existed before GitHub Actions, if you wonder). We'll have a look at how to configure a CI pipeline for Common Lisp, and we'll focus a little more on Gitlab CI on the last part.

We'll also quickly show how to publish coverage reports to the <u>Coveralls</u> service. <u>cl-coveralls</u> helps to post our coverage to the service.

35.4.1. GitHub Actions, Circle CI, Travis... with CI-Utils

We'll use <u>CI-Utils</u>, a set of utilities that comes with many examples. It also explains more precisely what is a CI system and compares a dozen of services.

It relies on <u>Roswell</u> to install the Lisp implementations and to run the tests. They all are installed with a bash one-liner:

(note that on the Gitlab CI example, we use a ready-to-use Docker image that contains them all)

It also ships with a test runner for FiveAM, which eases some rough parts (like returning the right error code to the terminal). We install ci-utils with Roswell, and we get the run-fiveam executable.

Then we can run our tests:

```
run-fiveam -e t -l foo/test :foo-tests # foo is our project
Following is the complete .travis.yml file.
```

The first part should be self-explanatory:

```
### Example configuration for Travis CI ###
language: generic
addons:
 homebrew:
    update: true
   packages:
    - roswell
  apt:
    packages:
      - libc6-i386 # needed for a couple implementations
      - default-jre # needed for abcl
# Runs each lisp implementation on each of the listed OS
0S:
  - linux
# - osx # OSX has a long setup on travis, so it's likely easier
           to just run select implementations on OSX.
```

This is how we configure the implementations matrix, to run our tests on several Lisp implementations. We also send the test coverage made with SBCL to Coveralls.

```
env:
  alobal:
    - PATH=~/.roswell/bin:$PATH
    - ROSWELL_INSTALL_DIR=$HOME/.roswell
    - COVERAGE_EXCLUDE=t # for rove
 jobs:
   # The implementation and whether coverage
    # is sent to coveralls are controlled
    # with these environmental variables
    - LISP=sbcl-bin COVERALLS=true
    - LISP=ccl-bin
    - LISP=abcl
    - LISP=ecl # warn: in our experience,
    # compilations times can be long on ECL.
# Additional OS/Lisp combinations can be added
# to those generated above
jobs:
  include:
    - OS: OSX
     env: LISP=sbcl-bin
    - 05: 05X
     env: LISP=ccl-bin
```

Some jobs can be marked as allowed to fail:

```
# Note that this should only be used if there is no interest
# for the library to work on that system
# allow_failures:
     - env: LISP=abcl
     - env: LISP=ecl
#
    - env: LISP=cmucl
    - env: LISP=alisp
      OS: OSX
  fast finish: true
We finally install Roswell, the implementations, and we run our tests.
cache:
  directories:
    - $HOME/.roswell
    - $HOME/.config/common-lisp
install:
```

```
install:
    curl -L https://raw.githubusercontent.com/roswell/roswell/release/scripts/
install-for-ci.sh | sh
    ros install ci-utils #for run-fiveam
# - ros install rove #for [run-] rove

# If asdf 3.16 or higher is needed, uncomment the following lines
#- mkdir -p ~/common-lisp
#- if [ "$LISP" == "ccl-bin" ]; then git clone https://gitlab.common-lisp.net/asdf/
asdf.git ~/common-lisp; fi

script:
    run-fiveam -e t -l foo/test :foo-tests
#- rove foo.asd
```

Below with Gitlab CI, we'll use a Docker image that already contains the Lisp binaries and every Debian package required to build Quicklisp libraries.

35.4.2. Gitlab CI

<u>Gitlab CI</u> is part of Gitlab and is available on <u>Gitlab.com</u>, for public and private repositories. Let's see straight away a simple .gitlab-ci.yml:

```
variables:
   QUICKLISP_ADD_TO_INIT_FILE: "true"

image: clfoundation/sbcl:latest

before_script:
   - install-quicklisp
   - git clone https://github.com/foo/bar ~/quicklisp/local-projects/

test:
   script:
   - make test
```

Gitlab CI is based on Docker. With image we tell it to use the latest tag of the <u>clfoundation/sbcl</u> image. This includes the latest version of SBCL, many OS packages useful for CI purposes, and a script to install Quicklisp. Gitlab will load the image, clone our project and put us at the project root with administrative rights to run the rest of the commands.

test is a "job" we define, script is a recognized keywords that takes a list of commands to run.

Suppose we must install dependencies before running our tests: before_script will run before each job. Here we install Quicklisp (adding it to SBCL's init file), and clone a library where Quicklisp can find it.

We can try locally ourselves. If we already installed <u>Docker</u> and started its daemon (sudo service docker start), we can do:

```
docker run --rm -it -v /path/to/local/code:/usr/local/share/common-lisp/source
clfoundation/sbcl:latest bash
```

This will download the lisp image (±300MB compressed), mount some local code in the image where indicated, and drop us in bash. Now we can try a make test.

Here is a more complete example that tests against several CL implementations in parallel:

```
variables:
  IMAGE_TAG: latest
  QUICKLISP ADD TO INIT FILE: "true"
  QUICKLISP_DIST_VERSION: latest
image: clfoundation/$LISP:$IMAGE TAG
stages:
 - test
  - build
before script:
  - install-quicklisp
  - git clone https://github.com/foo/bar ~/quicklisp/local-projects/
.test:
 stage: test
 script:
   - make test
abcl test:
 extends: .test
 variables:
   LISP: abcl
ccl test:
 extends: .test
  variables:
    LISP: ccl
ecl test:
  extends: .test
 variables:
   LISP: ecl
sbcl test:
  extends: .test
 variables:
   LISP: sbcl
build:
```

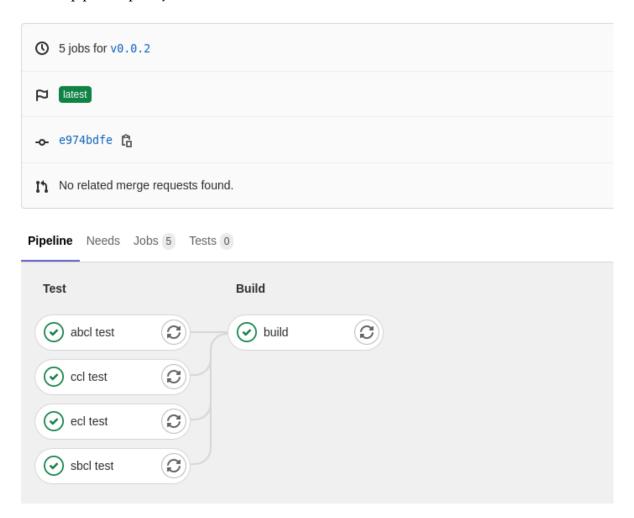
```
stage: build
variables:
  LISP: sbcl
only:
  - tags
script:
  - make build
artifacts:
  paths:
  - some-file-name
```

Here we defined two stages (see <u>environments</u>), "test" and "build", defined to run one after another. A "build" stage will start only if the "test" one succeeds.

"build" is asked to run only when a new tag is pushed, not at every commit. When it succeeds, it will make the files listed in artifacts's paths available for download. We can download them from Gitlab's Pipelines UI, or with an url. This one will download the file "some-file-name" from the latest "build" job:

https://gitlab.com/username/project-name/-/jobs/artifacts/master/raw/some-file-name?
job=build

When the pipelines pass, you will see:



You now have a ready to use Gitlab CI.

35.4.3. SourceHut

It's very easy to set up <u>SourceHut</u>'s CI system for Common Lisp. Here is a minimal .build.yml file that you can test via the <u>build manifest tester</u>:

```
image: archlinux
packages:
- sbcl
- quicklisp
sources:
- https://git.sr.ht/~fosskers/cl-transducers
# If our project isn't in the special `common-lisp` directory, quicklisp won't
# be able to find it for loading.
- move:
   mkdir common-lisp
   mv cl-transducers ~/common-lisp
- quicklisp: |
    sbcl --non-interactive --load /usr/share/quicklisp/quicklisp.lisp --eval
"(quicklisp-quickstart:install)"
- test:
    cd common-lisp/cl-transducers
    sbcl --non-interactive --load ~/quicklisp/setup.lisp --load run-tests.lisp
```

Since the Docker image we're given is nearly empty, we need to install sbcl and quicklisp manually. Notice also that we're running a run-tests.lisp file to drive the tests. Here's what it could look like:

Here, examples of the <u>Parachute</u> testing library are shown. As shown elsewhere, in order for the CI job to fail when any test fails, we manually check the test result status and return 1 when there's a problem.

35.5. Emacs integration: running tests using Slite

<u>Slite</u> stands for SLIme TEst runner. It allows you to see the summary of test failures, jump to test definitions, rerun tests with the debugger... all from inside Emacs. We get a dashboard-like buffer with green and red badges, from where we can act on tests. It makes the testing process *even more* integrated and interactive.

It consists of an ASDF system and an Emacs package. It is a new project (it appeared mid 2021) so, as of September 2021, neither can be installed via Quicklisp or MELPA yet. Please refer to its <u>repository</u> for instructions.

35.6. References

- Tutorial: Working with FiveAM, by Tomek "uint" Kurcz
- <u>Comparison of Common Lisp Testing Frameworks</u>, by Sabra Crolleton.
- the <u>CL Foundation Docker images</u>

35.7. See also

• <u>cl-cookieproject</u>, a project skeleton with a FiveAM tests structure.

36. Database Access and Persistence

The <u>Database section on the Awesome-cl list</u> is a resource listing popular libraries to work with different kind of databases. We can group them roughly in four categories:

- wrappers to one database engine (cl-sqlite, postmodern, cl-redis,...),
- interfaces to several DB engines (clsql, sxql,...),
- persistent object databases (bknr.datastore (see chap. 21 of "Common Lisp Recipes"), ubiquitous,...),
- Object Relational Mappers (Mito),

and other DB-related tools (pgloader).

We'll begin with an overview of Mito. If you must work with an existing DB, you might want to have a look at <u>cl-dbi</u> and <u>clsql</u>. If you don't need a SQL database and want automatic persistence of Lisp objects, you also have a choice of libraries.

36.1. The Mito ORM and SxQL

Mito is in Quicklisp:

```
(ql:quickload "mito")
```

Mito will load another system on the fly depending on your database's driver. These systems are:

```
:dbd-sqlite3
:dbd-mysql
:dbd-postgres
```

You can "quickload" one of them now, or let Mito (actually cl-dbi) do it when required.

But if you build an executable of your program, and if you plan on using it on a machine where Quicklisp is not installed, you must reference the required additional system into your .asd system definition.

36.1.1. Overview

Mito is "an ORM for Common Lisp with migrations, relationships and PostgreSQL support".

- it supports MySQL, PostgreSQL and SQLite3,
- when defining a model, it adds an id (serial primary key), created_at and updated_at fields by default like Ruby's ActiveRecord or Django,
- handles DB **migrations** for the supported backends,
- permits DB schema versioning,
- is tested under SBCL and CCL.

As an ORM, it allows to write class definitions, to specify relationships, and provides functions to query the database. For custom queries, it relies on \underline{SxQL} , an \underline{SQL} generator that provides the same interface for several backends.

Working with Mito generally involves these steps:

- connecting to the DB
- writing CLOS classes to define models
- running migrations to create or alter tables
- creating objects, saving same in the DB,

and iterating.

36.1.2. Connecting to a DB

Mito provides the function connect-toplevel to establish a connection to RDBMs:

The driver type can be of :mysql, :sqlite3 and :postgres.

With sqlite you don't need the username and password:

```
(mito:connect-toplevel :sqlite3 :database-name "myapp")
```

As usual, you need to create the MySQL or PostgreSQL database beforehand. Refer to their documentation.

Connecting sets mito: *connection* to the new connection and returns it.

Disconnect with disconnect-toplevel.

You might make good use of a wrapper function:

```
(defun connect ()
  "Connect to the DB."
  (mito:connect-toplevel :sqlite3 :database-name "myapp"))
```

36.1.3. Connecting to an in-memory DB (SQLite)

To connect to a sqlite3 in-memory database, you use the ":memory:" string as the DB name. It has a special meaning for SQLite.

```
(mito:connect-toplevel :sqlite3 :database-name ":memory:")
```

This doesn't create a file on disk, and the DB will be even faster. But you'll loose all the data when you close the connection. It is consequently specially useful for unit-tests, to load data for temporary analytics, etc.

You can read more about <u>in-memory SQLite databases here</u>.

36.1.4. Models

36.1.4.1. Defining models

In Mito, you can define a class which corresponds to a database table with the deftable macro:

```
(mito:deftable user ()
  ((name :col-type (:varchar 64))
   (email :col-type (or (:varchar 128) :null))))
```

Alternatively, you can specify (:metaclass mito:dao-table-class) in a regular class definition.

The deftable macro automatically adds some slots: a primary key named id if there's no primary key, and created_at and updated_at for recording timestamps. Specifying (:auto-pk nil) and (:record-timestamps nil) in the deftable form will disable these behaviours. A deftable class will also come with initializers, named after the slot, and accessors, of form <class-name>-<slot-name>, for each named slot. For example, for the name slot in the above table definition, the initarg :name will be added to the constuctor, and the accessor user-name will be created.

You can inspect the new class:

```
(mito.class:table-column-slots (find-class 'user))
;=> (#<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS MITO.DAO.MIXIN::ID>
     #<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS COMMON-LISP-USER::NAME>
     #<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS COMMON-LISP-USER::EMAIL>
     #<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS MITO.DAO.MIXIN::CREATED-AT>
     #<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS MITO.DAO.MIXIN::UPDATED-AT>)
The class inherits mito: dao-class implicitly.
(find-class 'user)
;=> #<MITO.DAO.TABLE:DAO-TABLE-CLASS COMMON-LISP-USER::USER>
(c2mop:class-direct-superclasses *)
;=> (#<STANDARD-CLASS MITO.DAO.TABLE:DAO-CLASS>)
This may be useful when you define methods which can be applied for all table classes.
For more information on using the Common Lisp Object System, see the <u>clos</u> page.
36.1.4.2. Creating the tables
After defining the models, you must create the tables:
(mito:ensure-table-exists 'user)
So a helper function:
(defun ensure-tables ()
  (mapcar #'mito:ensure-table-exists '(user foo bar)))
See Mito's documentation for a couple more ways.
When you alter the model you'll need to run a DB migration, see the next section.
36.1.4.3. Fields
36.1.4.3.1. Fields types
Field types are:
(:varchar <integer>), text,
:serial, :bigserial, :integer, :bigint, :unsigned,
:timestamp, :timestamptz,
:bytea,
36.1.4.3.2. Optional fields
Use (or <real type> :null):
   (email :col-type (or (:varchar 128) :null))
36.1.4.3.3. Field constraints
:unique-keys can be used like so:
(mito:deftable user ()
```

We already saw:primary-key.

(:unique-keys email))

((name :col-type (:varchar 64))
 (email :col-type (:varchar 128))

You can change the table name with: table-name.

36.1.4.4. Relationships

You can define a relationship by specifying a foreign class with : col-type:

Now you can create or retrieve a TWEET by a USER object, not a USER-ID.

```
(defvar *user* (mito:create-dao 'user :name "Eitaro Fukamachi"))
(mito:create-dao 'tweet :user *user*)
(mito:find-dao 'tweet :user *user*)
```

Mito doesn't add foreign key constraints for referring tables.

36.1.4.4.1. One-to-one

A one-to-one relationship is simply represented with a simple foreign key on a slot (as :col-type user in the tweet class). Besides, we can add a unicity constraint, as with (:unique-keys email).

36.1.4.4.2. One-to-many, many-to-one

The tweet example above shows a one-to-many relationship between a user and his tweets: a user can write many tweets, and a tweet belongs to only one user.

The relationship is defined with a foreign key on the "many" side linking back to the "one" side. Here the tweet class defines a user foreign key, so a tweet can only have one user. You didn't need to edit the user class.

A many-to-one relationship is actually the contrary of a one-to-many. You have to put the foreign key on the appropriate side.

36.1.4.4.3. Many-to-many

A many-to-many relationship needs an intermediate table, which will be the "many" side for the two tables it is the intermediary of.

And, thanks to the join table, we can store more information about the relationship.

Let's define a book class:

```
(mito:deftable book ()
    ((title :col-type (:varchar 128))
        (ean :col-type (or (:varchar 128) :null))))
```

A user can have many books, and a book (as the title, not the physical copy) is likely to be in many people's library. Here's the intermediate class:

```
(mito:deftable user-books ()
      ((user :col-type user)
      (book :col-type book)))
```

Each time we want to add a book to a user's collection (say in a add-book function), we create a new user-books object.

But someone may very well own many copies of one book. This is an information we can store in the join table:

```
(mito:deftable user-books ()
    ((user :col-type user)
      (book :col-type book)
    ;; Set the quantity, 1 by default:
      (quantity :col-type :integer)))
```

36.1.4.5. Inheritance and mixin

A subclass of DAO-CLASS is allowed to be inherited. This may be useful when you need classes which have similar columns:

```
(mito:deftable user ()
 ((name :col-type (:varchar 64))
   (email :col-type (:varchar 128)))
  (:unique-keys email))
(mito:deftable temporary-user (user)
 ((registered-at :col-type :timestamp)))
(mito:table-definition 'temporary-user)
;=> (#<SXQL-STATEMENT: CREATE TABLE temporary user (
        id BIGSERIAL NOT NULL PRIMARY KEY,
        name VARCHAR(64) NOT NULL,
        email VARCHAR(128) NOT NULL,
        registered at TIMESTAMP NOT NULL,
        created_at TIMESTAMP,
        updated at TIMESTAMP,
        UNIQUE (email)
    )>)
```

If you need a 'template' for tables which aren't related to any database tables, you can use DAO-TABLE-MIXIN in a defclass form. The has-email class below will not create a table.

```
; email VARCHAR(128) NOT NULL,
; created_at TIMESTAMP,
; updated_at TIMESTAMP,
; UNIQUE (email)
; )>)
```

See more examples of use in mito-auth.

36.1.4.6. Troubleshooting

36.1.4.6.1. "Cannot CHANGE-CLASS objects into CLASS metaobjects."

If you get the following error message:

```
Cannot CHANGE-CLASS objects into CLASS metaobjects.
  [Condition of type SB-PCL::METAOBJECT-INITIALIZATION-VIOLATION]
See also:
  The Art of the Metaobject Protocol, CLASS [:initialization]
```

it is certainly because you first wrote a class definition and *then* added the Mito metaclass and tried to evaluate the class definition again.

If this happens, you must remove the class definition from the current package:

```
(setf (find-class 'foo) nil)
```

or, with the Slime inspector, click on the class and find the "remove" button.

More info here.

36.1.5. Migrations

We can run database migrations manually, as shown below, or we can automatically run migrations after a change to the model definitions. To enable automatic migrations, set mito:*auto-migration-mode* to t.

The first step is to create the tables, if needed:

```
(ensure-table-exists 'user)
```

then alter the tables:

```
(mito:migrate-table 'user)
```

You can check the SQL generated code with migration-expressions 'class. For example, we create the user table:

```
(ensure-table-exists 'user)
;-> ;; CREATE TABLE IF NOT EXISTS "user" (
;       "id" BIGSERIAL NOT NULL PRIMARY KEY,
;       "name" VARCHAR(64) NOT NULL,
;       "email" VARCHAR(128),
;       "created_at" TIMESTAMP,
;       "updated_at" TIMESTAMP
; ) () [0 rows] | MITO.DAO:ENSURE-TABLE-EXISTS
```

There are no changes from the previous user definition:

```
(mito:migration-expressions 'user)
;=> NIL
```

Now let's add a unique email field:

```
(mito:deftable user ()
  ((name :col-type (:varchar 64))
   (email :col-type (:varchar 128)))
  (:unique-keys email))
The migration will run the following code:
(mito:migration-expressions 'user)
;=> (#<SXQL-STATEMENT: ALTER TABLE user ALTER COLUMN email TYPE character
varying(128), ALTER COLUMN email SET NOT NULL>
     #<SXQL-STATEMENT: CREATE UNIQUE INDEX unique_user_email ON user (email)>)
so let's apply it:
(mito:migrate-table 'user)
;-> ;; ALTER TABLE "user" ALTER COLUMN "email" TYPE character varying(128), ALTER
COLUMN "email" SET NOT NULL () [0 rows] | MITO.MIGRATION.TABLE:MIGRATE-TABLE
    ;; CREATE UNIQUE INDEX "unique_user_email" ON "user" ("email") () [0 rows] |
MITO.MIGRATION.TABLE:MIGRATE-TABLE
;-> (#<SXQL-STATEMENT: ALTER TABLE user ALTER COLUMN email TYPE character
varying(128), ALTER COLUMN email SET NOT NULL>
     #<SXQL-STATEMENT: CREATE UNIQUE INDEX unique_user_email ON user (email)>)
36.1.6. Queries
36.1.6.1. Creating objects
We can create user objects with the regular make-instance:
(defvar me
  (make-instance 'user :name "Eitaro Fukamachi" :email "e.arrows@gmail.com"))
;=> USER
To save it in DB, use insert-dao:
(mito:insert-dao me)
;-> ;; INSERT INTO `user` (`name`, `email`, `created_at`, `updated_at`) VALUES
(?, ?, ?, ?) ("Eitaro Fukamachi", "e.arrows@gmail.com",
"2016-02-04T19:55:16.365543Z", "2016-02-04T19:55:16.365543Z") [0 rows] |
MITO.DAO:INSERT-DAO
;=> #<USER {10053C4453}>
Do the two steps above at once:
(mito:create-dao 'user :name "Eitaro Fukamachi" :email "e.arrows@gmail.com")
You should not export the user class and create objects outside of its package (it is good practice
anyway to keep all database-related operations in say a models package and file). You should instead
use a helper function:
(defun make-user (&key name)
  (make-instance 'user :name name))
36.1.6.2. Updating fields
(setf (slot-value me 'name) "nitro idiot")
;=> "nitro idiot"
and save it:
```

(mito:save-dao me)

```
36.1.6.3. Deleting
```

```
(mito:delete-dao me)
;-> ;; DELETE FROM `user` WHERE (`id` = ?) (1) [0 rows] | MITO.DAO:DELETE-DAO
;; or:
(mito:delete-by-values 'user :id 1)
;-> ;; DELETE FROM `user` WHERE (`id` = ?) (1) [0 rows] | MITO.DAO:DELETE-DAO
36.1.6.4. Get the primary key value
(mito:object-id me)
;=> 1
36.1.6.5. Count
(mito:count-dao 'user)
;=> 1
36.1.6.6. Find one
(mito:find-dao 'user :id 1)
;-> ;; SELECT * FROM `user` WHERE (`id` = ?) LIMIT 1 (1) [1 row] | MITO.DB:RETRIEVE-
BY-S0L
;=> #<USER {10077C6073}>
So here's a possibility of generic helpers to find an object by a given key:
(defgeneric find-user (key-name key-value)
  (:documentation "Retrieves an user from the data base by one of the unique
keys."))
(defmethod find-user ((key-name (eql :id)) (key-value integer))
  (mito:find-dao 'user key-value))
(defmethod find-user ((key-name (eql :name)) (key-value string))
  (first (mito:select-dao 'user
                           (sxql:where (:= :name key-value)))))
36.1.6.7. Find all
Use the macro select-dao.
Get a list of all users:
(mito:select-dao 'user)
; (#<USER {10077C6073}>)
;#<SXQL-STATEMENT: SELECT * FROM user>
36.1.6.8. Find by relationship
As seen above:
(mito:find-dao 'tweet :user *user*)
36.1.6.9. Custom queries
```

It is with select-dao that you can write more precise queries by giving it <u>SxQL</u> statements.

Example:

```
(select-dao 'tweet
     (where (:like :status "%Japan%")))
another:
```

You can compose your queries with regular Lisp code:

select-dao is a macro that expands to the right thing.

Note: if you didn't use SXQL, then write (sxql:where ...) and (sxql:order-by ...).

You can compose your queries further with the backquote syntax.

Imagine you receive a query string, maybe composed of space-separated words, and you want to search for books that have either one of these words in their title or in their author's name. Searching for "bob adventure" would return a book that has "adventure" in its title and "bob" in its author name, or both in the title.

For the example sake, an author is a string, not a link to another table:

```
(mito:deftable book ()
    ((title :col-type (:varchar 128))
        (author :col-type (:varchar 128))
        (ean :col-type (or (:varchar 128) :null))))
```

You want to add a clause that searches on both fields for each word.

By the way, we are still using a LIKE statement, but with a non-small dataset you'll want to use your database's full text search engine.

36.1.6.10. Clauses

See the <u>SxQL documentation</u>.

Examples:

```
(select-dao 'foo
  (where (:and (:> :age 20) (:<= :age 65))))
(order-by :age (:desc :id))</pre>
```

```
(group-by :sex)
(having (:>= (:sum :hoge) 88))
(limit 0 10)
and joins, etc.
```

36.1.6.11. Operators

```
:not
:is-null, :not-null
:asc, :desc
:distinct
:=, :!=
:<, :>, :<= :>=
:a<, :a>
:as
:in, :not-in
:like
:and, :or
:+, :-, :* :/ :%
:raw
```

36.1.7. Triggers

Since insert-dao, update-dao and delete-dao are defined as generic functions, you can define :before, :after or :around methods to those, like regular method combination.

```
(defmethod mito:insert-dao :before ((object user))
  (format t "~&Adding ~S...~%" (user-name object)))

(mito:create-dao 'user :name "Eitaro Fukamachi" :email "e.arrows@gmail.com")
;-> Adding "Eitaro Fukamachi"...
; ;; INSERT INTO "user" ("name", "email", "created_at", "updated_at") VALUES
(?, ?, ?, ?) ("Eitaro Fukamachi", "e.arrows@gmail.com", "2016-02-16 21:13:47",
"2016-02-16 21:13:47") [0 rows] | MITO.DAO:INSERT-DAO
;=> #<USER {100835FB33}>
```

36.1.8. Inflation/Deflation

Inflation/Deflation is a function to convert values between Mito and RDBMS.

36.1.9. Eager loading

One of the pains in the neck to use ORMs is the "N+1 query" problem.

```
;; BAD EXAMPLE

(use-package '(:mito :sxql))

(defvar *tweets-contain-japan*
  (select-dao 'tweet
        (where (:like :status "%Japan%"))))
```

This example sends a query to retrieve a user like "SELECT * FROM user WHERE id = ?" at each iteration.

To prevent this performance issue, add includes to the above query which only sends a single WHERE IN query instead of N queries:

```
;; GOOD EXAMPLE with eager loading

(use-package '(:mito :sxql))

(defvar *tweets-contain-japan*
    (select-dao 'tweet
          (includes 'user)
          (where (:like :status "%Japan%"))))
;-> ;; SELECT * FROM `tweet` WHERE (`status` LIKE ?) ("%Japan%") [3 row] |
MITO.DB:RETRIEVE-BY-SQL
;-> ;; SELECT * FROM `user` WHERE (`id` IN (?, ?, ?)) (1, 3, 12) [3 row] |
MITO.DB:RETRIEVE-BY-SQL
;=> (#<TWEET {1003513EC3}> #<TWEET {1007BABEF3}> #<TWEET {1007BB9D63}>)

;; No additional SQLs will be executed.
(tweet-user (first *))
;=> #<USER {100361E813}>
```

36.1.10. Iteration with cursor (do-select)

do-select is a macro to iterate over results from SELECT one by one.

On PostgreSQL, it uses CURSOR, which can reduce memory usage since it won't load all results in memory.

NOTE: do-select was added on August of 2024. It requires DBI v0.11.1 or above.

36.1.11. Schema versioning

```
$ ros install mito
$ mito
Usage: mito command [option...]

Commands:
    generate-migrations
    migrate
```

```
Options:
    -t, --type DRIVER-TYPE
                                     DBI driver type (one of "mysql", "postgres" or
"sqlite3")
    -d, --database DATABASE-NAME
                                     Database name to use
    -u, --username USERNAME
                                     Username for RDBMS
    -p, --password PASSWORD
                                     Password for RDBMS
    -s, --system SYSTEM
                                     ASDF system to load (several -s's allowed)
    -D, --directory DIRECTORY
                                     Directory path to keep migration SQL files
(default: "/Users/nitro idiot/Programs/lib/mito/db/")
    --dry-run
                                     List SQL expressions to migrate
36.1.12. Introspection
Mito provides some functions for introspection.
We can access the information of columns with the functions in (mito.class.column:...):

    table-column-[class, name, info, not-null-p,...]

primary-key-p
and likewise for tables with (mito.class.table:...).
Given we get a list of slots of our class:
(ql:quickload "closer-mop")
(closer-mop:class-direct-slots (find-class 'user))
;; (#<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS NAME>
;; #<MITO.DAO.COLUMN:DAO-TABLE-COLUMN-CLASS EMAIL>)
(defparameter user-slots *)
We can answer the following questions:
36.1.12.1. What is the type of this column?
(mito.class.column:table-column-type (first user-slots))
;; (: VARCHAR 64)
36.1.12.2. Is this column nullable?
(mito.class.column:table-column-not-null-p
  (first user-slots))
;; T
(mito.class.column:table-column-not-null-p
  (second user-slots))
```

36.1.13. Testing

;; NIL

We don't want to test DB operations against the production one. We need to create a temporary DB before each test.

The macro below creates a temporary DB with a random name, creates the tables, runs the code and connects back to the original DB connection.

```
:migrate-all)
  (:export :with-empty-db))
(in-package my-test.utils)
(defun random-string (length)
  ;; thanks 40ants/hacrm.
  (let ((chars "ABCDEFGHIJKLMNOPQRSTUVWXYZabcdefghijklmnopqrstuvwxyz0123456789"))
    (coerce (loop repeat length
                  collect (aref chars (random (length chars))))
            'string)))
(defmacro with-empty-db (&body body)
  "Run `body` with a new temporary DB."
  `(let* ((*random-state* (make-random-state t))
          (prefix (concatenate 'string
                                (random-string 8)
                                "/"))
          ;; Save our current DB connection.
          (connection mito:*connection*))
     (uiop:with-temporary-file (:pathname name :prefix prefix)
       ;; Bind our *db-name* to a new name, so as to create a new DB.
       (let* ((*db-name* name))
         ;; Always re-connect to our real DB even in case of
         ;; error in body.
         (unwind-protect
           (progn
             ;; our functions to connect to the DB, create the tables
             ;; and run the migrations.
             (connect)
             (ensure-tables-exist)
             (migrate-all)
             ,@body)
           (setf mito:*connection* connection))))))
Use it like this:
(prove:subtest "Creation in a temporary DB."
  (with-empty-db
    (let ((user (make-user :name "Cookbook")))
      (save-user user)
      (prove:is (name user)
                "Cookbook"
                "Test username in a temp DB."))))
;; Creation in a temporary DB
;; CREATE TABLE "user" (
         id BIGSERIAL NOT NULL PRIMARY KEY,
;;
         name VARCHAR(64) NOT NULL,
;;
         email VARCHAR(128) NOT NULL,
;;
         created at TIMESTAMP,
;;
         updated at TIMESTAMP,
::
         UNIQUE (email)
;;
;; ) () [0 rows] | MITO.DB:EXECUTE-SQL
;; ✓ Test username in a temp DB.
```

36.2. See also

- exploring an existing (PostgreSQL) database with postmodern
- mito-attachment
- mito-auth
- can a role-based access right control library

37. GUI toolkits

Lisp has a long and rich history and so does the development of Graphical User Interfaces in Lisp. In fact, the first GUI builder was written in Lisp (and sold to Apple. It is now Interface Builder).

Lisp is also famous and unrivalled for its interactive development capabilities, a feature even more worth having to develop GUI applications. Can you imagine compiling one function and seeing your GUI update instantly? We can do this with many GUI frameworks today, even though the details differ from one to another.

Finally, a key part in building software is how to build it and ship it to users. Here also, we can build self-contained binaries, for the three main operating systems, that users can run with a double click.

We aim here to give you the relevant information to help you choose the right GUI framework and to put you on tracks. Don't hesitate to <u>contribute</u>, to send more examples and to furnish the upstream documentations.

37.1. Introduction

In this recipe, we'll present the following GUI toolkits:

- Tk with Ltk and nodgui
- Qt4 with Qtools
- IUP with lispnik/iup
- Gtk3 with cl-cffi-gtk
 - ▶ if you want Gtk4 bindings, see <u>cl-gtk4</u>. They are new bindings, released in September, 2022.
- Nuklear with Bodge-Nuklear

In addition, you might want to have a look to:

- the <u>CAPI</u> toolkit (Common Application Programming Interface), which is proprietary and made by LispWorks. It is a complete and cross-platform toolkit (Windows, Gtk+, Cocoa), very praised by its users. LispWorks also has <u>iOS and Android runtimes</u>. Example software built with CAPI include <u>ScoreCloud</u>. It is possible to try it with the LispWorks free demo.
- <u>Allegro CL's IDE and Common Graphics windowing system</u> (proprietary): Allegro's IDE is a general environment for developing applications. It works in concert with a windowing system called Common Graphics. The IDE is available for Allegro CL's Microsoft Windows, on Linux platforms, Free BSD and on the Mac.
 - ► NEW! 🮉 since Allegro CL 10.1 (released in March of 2022), the IDE, and the Common Graphics GUI toolkit, runs in the browser. It is called <u>CG/JS</u>.
- CCL's built-in Cocoa interface, used to build applications such as Opusmodus.
- Clozure CL's built-in <u>Objective-C bridge</u> and <u>CocoaInterface</u>, a Cocoa interface for CCL. Build Cocoa user interface windows dynamically using Lisp code and bypass the typical Xcode processes.
 - the bridge is good at catching ObjC errors and turning them into Lisp errors, so one can have an iterative REPL-based development cycle for a macOS GUI application.
- McCLIM and Garnet are toolkit in 100% Common Lisp. McClim even has a prototype running in the browser with the Broadway protocol and Garnet has an ongoing interface to Gtk.
- Alloy, another very new toolkit in 100% Common Lisp, used for example in the Kandria game.
- <u>eql, eql5, eql5-android</u>, embedded Qt4 and Qt5 Lisp, embedded in ECL, embeddable in Qt. Port of EQL5 to the Android platform.
- this demo using Java Swing from ABCL
- <u>examples of using Gtk without C files with SBCL</u>, as well as GTK-server.
- Ceramic, to ship a cross-platform web app with Electron.

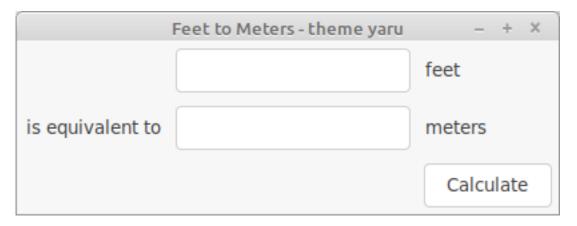
- read more: <u>Electron</u> and <u>web views (webview, webui, CLOG Frame</u>) on Web Apps in Lisp.
- the Barium toolkit
 - ▶ an example application: <u>ChessLab</u> (2025)

as well as the other ones listed on awesome-cl#gui and Cliki.

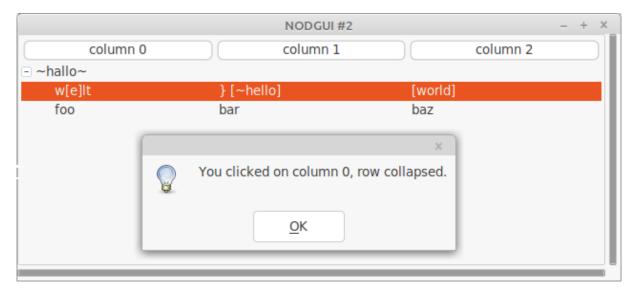
37.1.1. Tk (Ltk and nodgui)

 $\underline{\text{Tk}}$ (or Tcl/Tk, where Tcl is the programming language) has the infamous reputation of having an outdated look. This is not (so) true anymore since its version 8 of 1997 (!). It is probably better than you think.

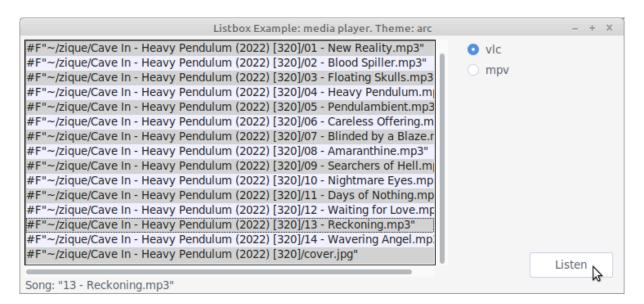
This is a simple GUI with nodgui's built-in theme (more on that below):



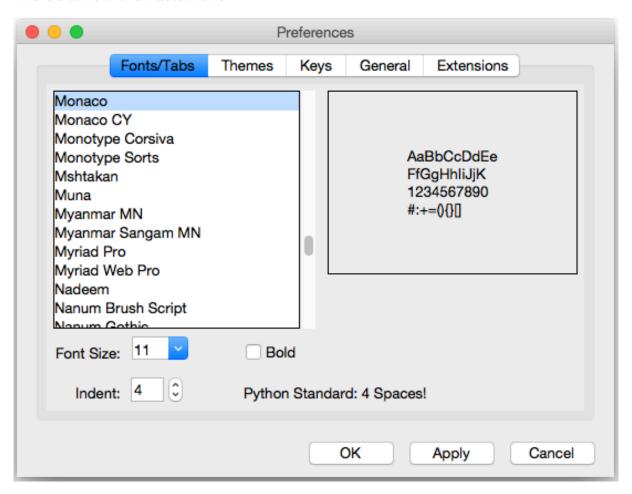
This is a treeview, with the same theme:



A toy mediaplayer, showing a tree list, checkboxes, buttons and labels, with the Arc theme:



This is a demo with a Macos theme:



In addition to those, we can use many of the <u>ttkthemes</u>, the <u>Forest theme</u>, and more. See <u>this tcl/tk</u> list

But what is Tk good for? Tk doesn't have a great choice of widgets, but it has a useful canvas, and it has a couple of unique features: we can develop a graphical interface **fully interactively** and we can run the GUI **remotely** from the core app. It is also cross-platform.

So, Tk isn't native and doesn't have the most advanced features, but it is a used and proven GUI toolkit (and programming language) still used in the industry. It can be a great choice to quickly create simple GUIs, to leverage its ease of deployment, or when stability is required.

There are two Lisp bindings: <u>Ltk</u> and <u>nodgui</u>. Nodgui ("No Drama GUI") is a fork of Ltk, with added widgets (such as an auto-completion list widget), an asynchronous event loop and, what we really enjoy, the surprisingly nice-looking "Yaru" theme that comes with the library. It is also very easy to install and use any other theme of our choice, see below.

- Tk is Written in: Tcl
- Portability: cross-platform (Windows, macOS, Linux).
- Widgets: this is not the fort of Tk. It has a small set of default widgets, and misses important ones, for example a date picker. We can find some in extensions (such as in Nodgui), but they don't feel native, at all. The calendar is brought by a Tk extension and looks better.
- Interactive development: very much.
- Graphical builder: no
- · Other features:
 - **remote execution**: the connection between Lisp and Tcl/Tk is done via a stream. It is thus possible to run the Lisp program on one computer, and to display the GUI on another one. The only thing required on the client computer is tcl/tk installed and the remote.tcl script. See <u>Ltk-remote.</u>
- Bindings documentation: short but complete. Nodgui too.
- Bindings stability: very stable
- Bindings activity: low for Ltk (mostly maintenance), active for nodgui (new features).
- Licence: Tcl/Tk is BSD-style, Ltk is LGPL.
- Example applications:
 - Fulci a program to organise your movie collections.
 - ▶ <u>Ltk small games</u> snake and tic-tac-toe.
 - <u>cl-pkr</u> a cross-platform color picker.
 - <u>cl-torrents</u> searching torrents on popular trackers. CLI, readline and a simple Tk GUI.
- More examples:
 - ▶ https://peterlane.netlify.app/ltk-examples/: LTk examples for the tkdocs tutorial.
 - <u>LTk Plotchart</u> A wrapper around the tklib/plotchart library to work with LTk. This includes over 20 different chart types (xy-plots, gantt charts, 3d-bar charts etc...).

List of widgets

(please don't suppose the list is exhaustive)

Button Canvas Check-button Entry Frame Label Labelframe Listbox Menu Menubutton Message Paned-window Radio-button Scale Scrollbar Spinbox Text Toplevel Widget Canvas

Ltk-megawidgets:

```
progress
history-entry
menu-entry
```

nodgui adds:

```
treelist tooltip searchable-listbox date-picker calendar autocomplete-listbox password-entry progress-bar-star notify-window dot-plot bar-chart equalizer-bar swap-list
```

37.1.2. Qt4 (Qtools)

Do we need to present Qt and Qt4? Qt is huge and contains everything and the kitchen sink. Qt not only provides UI widgets, but numerous other layers (networking, D-BUS...).

Qt is free for open-source software, however you'll want to check the conditions to ship proprietary ones.

The Qtools bindings target Qt4. The Qt5 Lisp bindings are https://github.com/commonqt/commonqt 5/ and not ready for prime time..

A companion library for Qtools, that you'll want to check out once you made your first Qtool application, is Qtools-ui, a collection of useful widgets and pre-made components. It comes with short demonstrations videos.

- Framework written in: C++
- Framework Portability: multi-platform, Android, embedded systems, WASM.
- **Bindings Portability**: Qtools runs on x86 desktop platforms on Windows, macOS and GNU/Linux.
- Widgets choice: large.
- Graphical builder: yes.
- Other features: Web browser, a lot more.
- Bindings documentation: lengthy explanations, a few examples. Prior Qt knowledge is required.
- Bindings stability: stable
- Bindings activity: active
- Qt Licence: both commercial and open source licences.
- Example applications:
 - https://github.com/Shinmera/qtools/tree/master/examples
 - https://github.com/Shirakumo/lionchat
 - ► https://github.com/shinmera/halftone a simple image viewer

37.1.3. Gtk+3 (cl-cffi-gtk)

<u>Gtk+3</u> is the primary library used to build <u>GNOME</u> applications. Its (currently most advanced) lisp bindings is <u>cl-cffi-gtk</u>. While primarily created for GNU/Linux, Gtk works fine under macOS and can now also be used on Windows.

- Framework written in: C
- Portability: GNU/Linux and macOS, also Windows.
- Widgets choice: large.

- Graphical builder: yes: Glade.
- Other features: web browser (WebKitGTK)
- Bindings documentation: very good: http://www.crategus.com/books/cl-gtk/gtk-tutorial.html
- Bindings stability: stable
- Bindings activity: low activity, active development.
- Licence: LGPL
- Example applications:
 - an Atmosphere Calculator, built with Glade.
- more documentation and examples:
 - ► Learn Common Lisp by Example: GTK GUI with SBCL

37.1.4. IUP (lispnik/IUP)

<u>IUP</u> is a cross-platform GUI toolkit actively developed at the PUC university of Rio de Janeiro, Brazil. It uses **native controls**: the Windows API for Windows, Gtk3 for GNU/Linux. At the time of writing, it has a Cocoa port in the works (as well as iOS, Android and WASM ones). A particularity of IUP is its **small API**.

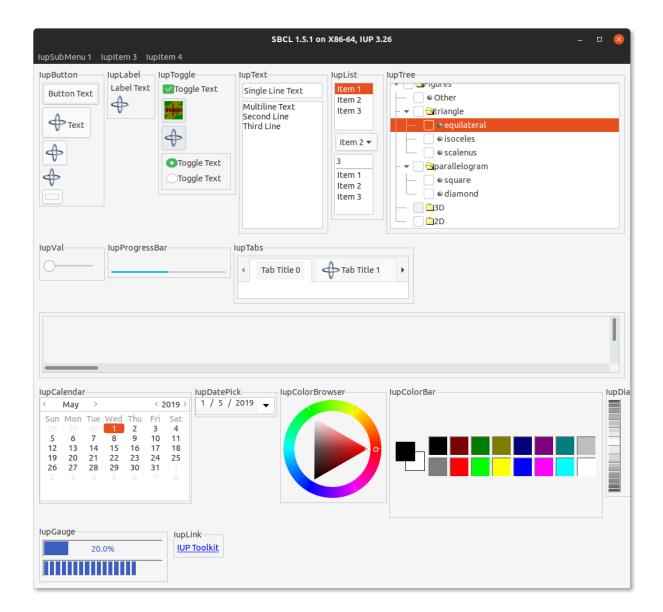
The Lisp bindings are <u>lispnik/iup</u>. They are nicely done in that they are automatically generated from the C sources. They can follow new IUP versions with a minimal work and the required steps are documented. All this gives us good guarantee over the bus factor.

IUP stands as a great solution in between Tk and Gtk or Qt.

- Framework written in: C (official API also in Lua and LED)
- Portability: Windows and Linux, work started for Cocoa, iOS, Android, WASM.
- Widgets choice: medium. Includes a web browser window (WebkitGTK on Linux, IE's WebBrowser on Windows).
- Graphical builder: yes: <u>IupVisualLED</u>
- Other features: OpenGL, Web browser (WebKitGTK on GNU/Linux), plotting, Scintilla text editor
- Bindings documentation: good examples and good readme, otherwise low.
- Bindings stability: alpha (but fully generated and working nicely).
- Bindings activity: low but steady, and reactive to new IUP versions.
- Licence: IUP and the bindings are MIT licenced.

List of widgets

```
Radio, Tabs, FlatTabs, ScrollBox, DetachBox,
Button, FlatButton, DropButton, Calendar, Canvas, Colorbar, ColorBrowser, DatePick,
Dial, Gauge, Label, FlatLabel,
FlatSeparator, Link, List, FlatList, ProgressBar, Spin, Text, Toggle, Tree, Val,
listDialog, Alarm, Color, Message, Font, Scintilla, file-dialog...
Cells, Matrix, MatrixEx, MatrixList,
GLCanvas, Plot, MglPlot, OleControl, WebBrowser (WebKit/Gtk+)...
drag-and-drop
WebBrowser
```



37.1.5. Nuklear (Bodge-Nuklear)

Nuklear is a small immediate-mode GUI toolkit:

<u>Nuklear</u> is a minimal-state, immediate-mode graphical user interface toolkit written in ANSI C and licensed under public domain. It was designed as a simple embeddable user interface for application and does not have any dependencies, a default render backend or OS window/input handling but instead provides a highly modular, library-based approach, with simple input state for input and draw commands describing primitive shapes as output. So instead of providing a layered library that tries to abstract over a number of platform and render backends, it focuses only on the actual UI.

its Lisp binding is <u>Bodge-Nuklear</u>, and its higher level companions <u>bodge-ui</u> and <u>bodge-ui-window</u>.

Unlike traditional UI frameworks, Nuklear allows the developer to take over the rendering loop or the input management. This might require more setup, but it makes Nuklear particularly well suited for games, or for applications where you want to create new controls.

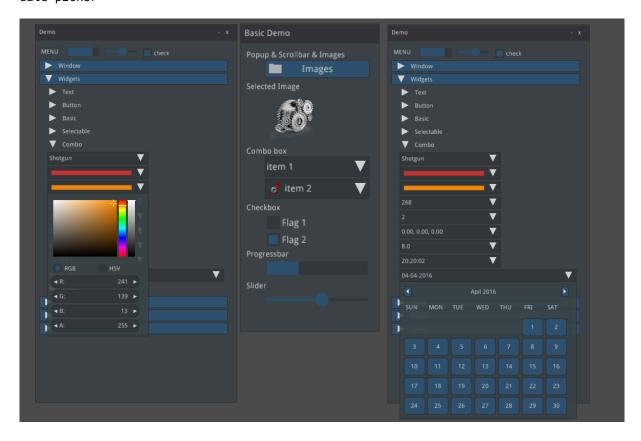
• Framework written in: ANSI C, single-header library.

- **Portability**: where C runs. Nuklear doesn't contain platform-specific code. No direct OS or window handling is done in Nuklear. Instead *all input state has to be provided by platform specific code*.
- Widgets choice: small.
- Graphical builder: no.
- Other features: fully skinnable and customisable.
- Bindings stability: stable
- Bindings activity: active
- Licence: MIT or Public Domain (unlicence).
- Example applications:
 - ▶ Trivial-gamekit
 - Obvius a resurrected image processing library.
 - ▶ Notalone an autumn 2017 Lisp Game Jam entry.

List of widgets

Non-exhaustive list:

buttons, progressbar, image selector, (collapsable) tree, list, grid, range, slider, color picker, date-picker



37.2. Getting started

37.2.1. Tk

Ltk is quick and easy to grasp.

```
(ql:quickload "ltk")
(in-package :ltk-user)
```

How to create widgets

All widgets are created with a regular make-instance and the widget name:

```
(make-instance 'button)
(make-instance 'treeview)
```

This makes Ltk explorable with the default symbol completion.

How to start the main loop

As with most bindings, the GUI-related code must be started inside a macro that handles the main loop, here with-ltk:

```
(with-ltk ()
  (let ((frame (make-instance 'frame)))
   ...))
```

How to display widgets

After we created some widgets, we must place them on the layout. There are a few Tk systems for that, but the most recent one and the one we should start with is the grid. grid is a function that takes as arguments the widget, its column, its row, and a few optional parameters.

As with any Lisp code in a regular environment, the functions' signatures are indicated by the editor. It makes Ltk explorable.

Here's how to display a button:

```
(with-ltk ()
  (let ((button (make-instance 'button :text "hello")))
     (grid button 0 0)))
```

That's all there is to it.

37.2.1.1. Reacting to events

Many widgets have a :command argument that accept a lambda which is executed when the widget's event is started. In the case of a button, that will be on a click:

37.2.1.2. Interactive development

When we start the Tk process in the background with (start-wish), we can create widgets and place them on the grid interactively.

See the documentation.

Once we're done, we can (exit-wish).

37.2.1.3. Nodgui

To try the Nodgui demo, do:

```
(ql:quickload "nodgui")
(nodgui.demo:demo)
```

but hey, to load the demo with the better looking theme, do:

```
(nodgui.demo:demo :theme "yaru")
or
(setf nodgui:*default-theme* "yaru")
(nodgui.demo:demo)
```

37.2.1.4. Nodgui UI themes

To use the "yaru" theme that comes with nodgui, we can simply do:

```
(with-nodgui ()
  (use-theme "yaru")
  ...)
or
(with-nodgui (:theme "yaru")
  ...)
or
(setf nodgui:*default-theme* "yaru")
(with-nodgui ()
  ...)
```

It is also possible to install and load another tcl theme. For example, clone the <u>Forest ttk theme</u> or the <u>ttkthemes</u>. Your project directory would look like this:

```
yourgui.asd
yourgui.lisp
ttkthemes/
```

Inside ttkthemes/, you will find themes under the png/ directory (the other ones are currently not supported):

/ttkthemes/ttkthemes/png/arc/arc.tcl

You need to load the .tcl file with nodgui, and tell it to use this theme:

```
(with-nodgui ()
  (eval-tcl-file "/ttkthemes/ttkthemes/png/arc/arc.tcl")
  (use-theme "arc")
  ... code here ...)
```

and that's it. Your application now uses a new and decently looking GUI theme.

37.2.2. Qt4

```
(ql:quickload '(:qtools :qtcore :qtgui))
(defpackage #:qtools-test
  (:use #:cl+qt)
   (:export #:main))
(in-package :qtools-test)
(in-readtable :qtools)
```

We create our main widget that will contain the rest:

```
(define-widget main-window (QWidget)
  ())
```

We create an input field and a button inside this main widget:

That's cool, but we don't react to the click event yet.

37.2.2.1. Reacting to events

Reacting to events in Qt happens through signals and slots. **Slots** are functions that receive or "connect to" signals, and **signals** are event carriers.

Widgets already send their own signals: for example, a button sends a "pressed" event. So, most of the time, we only need to connect to them.

However, had we extra needs, we can create our own set of signals.

37.2.2.1.1. Built-in events

We want to connect our go-button to the pressed and return-pressed events and display a message box.

- we need to do this inside a define-slot function,
- where we establish the connection to those events,
- and where we create the message box. We grab the text of the name input field with (q+:text name).

And voilà. Run it with

```
(with-main-window (window 'main-window))
```

37.2.2.1.2. Custom events

We'll implement the same functionality as above, but for demonstration purposes we'll create our own signal named name-set to get emitted when the button is clicked.

We start by defining the signal, which happens inside the main-window, and which is of type string:

```
(define-signal (main-window name-set) (string))
```

We create a **first slot** to make our button react to the pressed and return-pressed events. But instead of creating the message box here, as above, we send the name-set signal, with the value of our input field..

```
(define-slot (main-window go-button) ()
  (declare (connected go-button (pressed)))
  (declare (connected name (return-pressed)))
  (signal! main-window (name-set string) (q+:text name)))
```

So far, nobody reacts to name-set. We create a **second slot** that connects to it, and displays our message. Here again, we precise the parameter type.

37.2.2.2. Building and deployment

It is possible to build a binary and bundle it together with all the necessary shared libraries.

Please read https://github.com/Shinmera/qtools#deployment.

You might also like this Travis CI script to build a self-contained binary for the three OSes.

37.2.3. Gtk3

The <u>documentation</u> is exceptionally good, including for beginners.

The library to quickload is cl-cffi-gtk. It is made of numerous ones, that we have to :use for our package.

How to run the main loop

As with the other libraries, everything happens inside the main loop wrapper, here with-main-loop.

How to create a window

```
(make-instance 'gtk-window :type :toplevel :title "hello" ...).
```

How to create a widget

All widgets have a corresponding class. We can create them with make-instance 'widget-class, but we preferably use the constructors.

The constructors end with (or contain) "new":

```
(gtk-label-new)
(gtk-button-new-with-label "Label")
```

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How to create a layout

```
(let ((box (make-instance 'gtk-box :orientation :horizontal
                                    :spacing 6))) ...)
then pack a widget onto the box:
(gtk-box-pack-start box mybutton-1)
and add the box to the window:
(gtk-container-add window box)
and display them all:
(gtk-widget-show-all window)
37.2.3.1. Reacting to events
Use g-signal-connect + the concerned widget + the event name (as a string) + a lambda, that takes
the widget as argument:
(g-signal-connect window "destroy"
  (lambda (widget)
    (declare (ignore widget))
    (leave-gtk-main)))
Or again:
(g-signal-connect button "clicked"
  (lambda (widget)
    (declare (ignore widget))
    (format t "Button was pressed.~%")))
37.2.3.2. Full example
(defun hello-world ()
  ;; in the docs, this is example-upgraded-hello-world-2.
  (within-main-loop
    (let ((window (make-instance 'gtk-window
                                  :type :toplevel
                                  :title "Hello Buttons"
                                  :default-width 250
                                  :default-height 75
                                  :border-width 12))
          (box (make-instance 'gtk-box
                               :orientation :horizontal
                               :spacing 6)))
      (g-signal-connect window "destroy"
                         (lambda (widget)
                           (declare (ignore widget))
                           (leave-gtk-main)))
      (let ((button (gtk-button-new-with-label "Button 1")))
        (g-signal-connect button "clicked"
                           (lambda (widget)
                             (declare (ignore widget))
                             (format t "Button 1 was pressed.~%")))
        (gtk-box-pack-start box button))
      (let ((button (gtk-button-new-with-label "Button 2")))
        (g-signal-connect button "clicked"
                         (lambda (widget)
                           (declare (ignore widget))
```

```
(format t "Button 2 was pressed.~%")))
(gtk-box-pack-start box button))
(gtk-container-add window box)
(gtk-widget-show-all window))))
```



37.2.4. IUP

Please check the installation instructions upstream. You may need one system dependency on GNU/Linux, and to modify an environment variable on Windows.

Finally, do:

```
(ql:quickload "iup")
```

We are not going to :use IUP (it is a bad practice generally after all).

```
(defpackage :test-iup
  (:use :cl))
(in-package :test-iup)
```

The following snippet creates a dialog frame to display a text label.

Important note for SBCL: we currently must trap division-by-zero errors (see advancement on <u>this</u> <u>issue</u>). So, run snippets like so:

How to run the main loop

As with all the bindings seen so far, widgets are shown inside a with-iup macro, and with a call to iup:main-loop.

How to create widgets

The constructor function is the name of the widget: iup:label, iup:dialog.

How to display a widget

```
Be sure to "show" it: (iup:show dialog).
```

You can group widgets on frames, and stack them vertically or horizontally (with vbox or hbox, see the example below).

To allow a widget to be expanded on window resize, use :expand :yes (or :horizontal and :vertical).

Use also the :alignement properties.

How to get and set a widget's attributes

Use (iup:attribute widget attribute) to get the attribute's value, and use setf on it to set it.

37.2.4.1. Reacting to events

Most widgets take an :action parameter that takes a lambda function with one parameter (the handle).

Below we create a label and put a button below it. We display a message dialog when we click on the button.

```
(defun click-button ()
  (iup:with-iup ()
    (let* ((label (iup:label :title
                      (format nil "Hello, World!~%IUP ~A~%~A ~A"
                          (iup:version)
                          (lisp-implementation-type)
                          (lisp-implementation-version)))
           (button (iup:button :title "Click me"
                                :expand :yes
                                :tip "yes, click me"
                                :action
                                (lambda (handle)
                                  (declare (ignorable handle))
                                  (iup:message "title"
                                               "button clicked")
                                  iup:+default+)))
           (vbox
            (iup:vbox (list label button)
                      :gap "10"
                      :margin "10x10"
                      :alignment :acenter))
           (dialog (iup:dialog vbox :title "Hello, World!")))
      (iup:show dialog)
      (iup:main-loop))))
#+sbcl
(sb-int:with-float-traps-masked
```

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```
(:divide-by-zero :invalid)
(click-button))
```

Here's a similar example to make a counter of clicks. We use a label and its title to hold the count. The title is an integer.

```
(defun counter ()
  (iup:with-iup ()
    (let* ((counter (iup:label :title 0))
           (label (iup:label :title
                     (format nil "The button was clicked ~a time(s)."
                              (iup:attribute counter :title))))
           (button (iup:button :title "Click me"
                                :expand :yes
                                :tip "yes, click me"
                                :action (lambda (handle)
                                          (declare (ignorable handle))
                                          (setf (iup:attribute counter :title)
                                                (1+ (iup:attribute counter :title
'number)))
                                          (setf (iup:attribute label :title)
                                                (format nil "The button was clicked ~a
times."
                                                         (iup:attribute
counter :title)))
                                          iup:+default+)))
           (vbox
            (iup:vbox (list label button)
                      :gap "10"
                      :margin "10x10"
                      :alignment :acenter))
           (dialog (iup:dialog vbox :title "Counter")))
      (iup:show dialog)
      (iup:main-loop))))
(defun run-counter ()
  #-sbcl
  (counter)
  #+sbcl
  (sb-int:with-float-traps-masked
      (:divide-by-zero :invalid)
    (counter)))
```

37.2.4.2. List widget example

Below we create three list widgets with simple and multiple selection, we set their default value (the pre-selected row) and we place them horizontally side by side.

```
(iup:hbox
                     (progn
                       ;; populate the lists: display integers.
                       (loop for i from 1 upto 10
                          do (setf (iup:attribute list-1 i)
                                   (format nil "~A" i))
                          do (setf (iup:attribute list-2 i)
                                   (format nil "~A" (+ i 10)))
                          do (setf (iup:attribute list-3 i)
                                   (format nil "~A" (+ i 50))))
                       ;; hbox wants a list of widgets.
                       (list list-1 list-2 list-3)))
                    :title "IUP List"))
            (dialog (iup:dialog frame :menu "menu" :title "List example")))
      (iup:map dialog)
      (iup:show dialog)
      (iup:main-loop))))
(defun run-list-test ()
 #-sbcl (hello)
 #+sbcl
 (sb-int:with-float-traps-masked
      (:divide-by-zero :invalid)
    (list-test)))
```

37.2.5. Nuklear

Disclaimer: as per the author's words at the time of writing, bodge-ui is in early stages of development and not ready for general use yet. There are some quirks that need to be fixed, which might require some changes in the API.

bodge-ui is not in Quicklisp but in its own Quicklisp distribution. Let's install it:

```
(ql-dist:install-dist "http://bodge.borodust.org/dist/org.borodust.bodge.
txt" :replace t :prompt nil)
```

Uncomment and evaluate this line only if you want to enable the OpenGL 2 renderer:

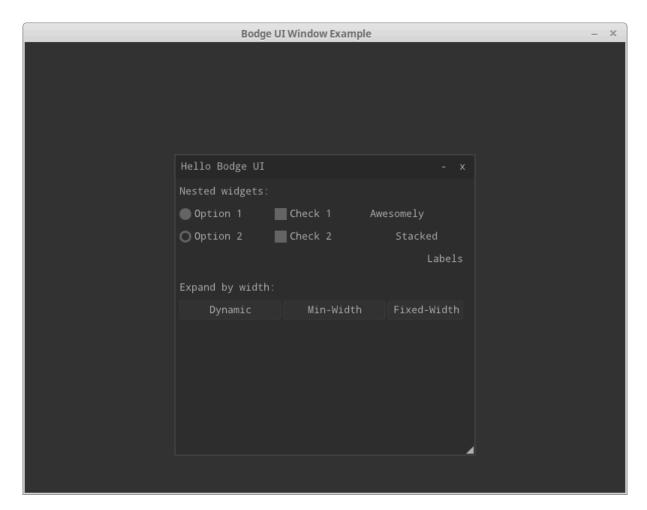
```
;; (cl:pushnew :bodge-gl2 cl:*features*)
Quickload bodge-ui-window:
(ql:quickload "bodge-ui-window")
We can run the built-in example:
(ql:quickload "bodge-ui-window/examples")
(bodge-ui-window.example.basic:run)
Now let's define a package to write a simple application.
(cl:defpackage :bodge-ui-window-test
  (:use :cl :bodge-ui :bodge-host))
(in-package :bodge-ui-window-test)
(defpanel (main-panel)
```

(:title "Hello Bodge UI")

(:width 400) (:height 400)
(:options :movable :resizable

(:origin 200 50)

```
:minimizable :scrollable
                     :closable))
    (label :text "Nested widgets:")
  (horizontal-layout
   (radio-group
    (radio :label "Option 1")
    (radio :label "Option 2" :activated t))
   (vertical-layout
    (check-box :label "Check 1" :width 100)
    (check-box :label "Check 2"))
   (vertical-layout
    (label :text "Awesomely" :align :left)
    (label :text "Stacked" :align :centered)
    (label :text "Labels" :align :right)))
  (label :text "Expand by width:")
  (horizontal-layout
   (button :label "Dynamic")
   (button :label "Min-Width" :width 80)
   (button :label "Fixed-Width" :expandable nil :width 100))
  (label :text "Expand by width:")
  (horizontal-layout
   (button :label "1.0" :expand-ratio 1.0)
   (button :label "0.75" :expand-ratio 0.75)
   (button :label "0.5" :expand-ratio 0.5))
  (label :text "Rest:")
  (button :label "Top-level Button"))
(defparameter *window-width* 800)
(defparameter *window-height* 600)
(defclass main-window (bodge-ui-window:ui-window) ()
  (:default-initargs
   :title "Bodge UI Window Example"
   :width *window-width*
   :height *window-height*
   :panels '(main-panel)
   :floating t
   :opengl-version #+bodge-gl2 '(2 1)
                   #+bodge-gl2 '(3 3)))
(defun run ()
  (bodge-host:open-window (make-instance 'main-window)))
and run it:
(run)
```



To react to events, use the following signals:

```
:on-click
:on-hover
:on-leave
:on-change
:on-mouse-press
:on-mouse-release
```

They take as argument a function with one argument, the panel. But beware: they will be called on each rendering cycle when the widget is on the given state, so potentially a lot of times.

37.2.5.1. Interactive development

If you ran the example in the REPL, you couldn't see what's cool. Put the code in a lisp file and run it, so than you get the window. Now you can change the panel widgets and the layout, and your changes will be immediately applied while the application is running!

37.3. Conclusion

Have fun, and don't hesitate to share your experience and your apps.

38. Web development

For web development as for any other task, one can leverage Common Lisp's advantages: the unmatched REPL that even helps to interact with a running web app, the exception handling system, performance, the ability to build a self-contained executable, stability, good threads story, strong typing, etc. We can, say, define a new route and try it right away, there is no need to restart any running server. We can change and compile *one function at a time* (the usual C-c C-c in Slime) and try it. The feedback is immediate. We can choose the degree of interactivity: the web server can refuse to handle exceptions and fire the interactive debugger instead, or handle them and print lisp backtraces on the browser, or display a 404 error page and print logs on standard output. The ability to build self-contained executables eases deployment tremendously (compared to, for example, npm-based apps), in that we just copy the executable to a server and run it.

And when we have deployed our app, we can still interact with it, allowing for hot reload, that even works when new dependencies have to be installed. If you are careful and don't want to use full live reload, you might still enjoy this capability to reload, for example, a user's configuration file.

We'll present here some established web frameworks and other common libraries to help you getting started in developing a web application. We do *not* aim to be exhaustive nor to replace the upstream documentation. Your feedback and contributions are appreciated.

INFO: a new website now specializes on web development in Common Lisp: Web Apps in Lisp, Know-how (sources).

38.1. Overview

Hunchentoot and Clack are two projects that you'll often hear about.

Hunchentoot is

a web server and at the same time a toolkit for building dynamic websites. As a stand-alone web server, Hunchentoot is capable of HTTP/1.1 chunking (both directions), persistent connections (keep-alive), and SSL. It provides facilities like automatic session handling (with and without cookies), logging, customizable error handling, and easy access to GET and POST parameters sent by the client.

It is a software written by Edi Weitz ("Common Lisp Recipes", cl-ppcre and <u>much more</u>), it's used and proven solid. One can achieve a lot with it, but sometimes with more friction than with a traditional web framework. For example, dispatching a route by the HTTP method is a bit convoluted, one must write a function for the :uri parameter that does the check, when it is a built-in keyword in other frameworks like Caveman.

Clack is

a web application environment for Common Lisp inspired by Python's WSGI and Ruby's Rack.

Also written by a prolific lisper (<u>E. Fukamachi</u>), it actually uses Hunchentoot by default as the server, but thanks to its pluggable architecture one can use another web server, like the asynchronous <u>Woo</u>, built on the <u>libev</u> event loop, maybe "the fastest web server written in any programming language".

We'll cite also <u>Wookie</u>, an asynchronous HTTP server, and its companion library <u>cl-async</u>, for general purpose, non-blocking programming in Common Lisp, built on libuv, the backend library in Node.js.

Clack being more recent and less documented, and Hunchentoot a de-facto standard, we'll concentrate on the latter for this recipe. Your contributions are of course welcome.

Web frameworks build upon web servers and can provide facilities for common activities in web development, like a templating system, access to a database, session management, or facilities to build a REST api.

Some web frameworks include:

- <u>Caveman</u>, by E. Fukamachi. It provides, out of the box, database management, a templating engine (Djula), a project skeleton generator, a routing system à la Flask or Sinatra, deployment options (mod_lisp or FastCGI), support for Roswell on the command line, etc.
- <u>Radiance</u>, by <u>Shinmera</u> (Qtools, Portacle, lquery, ...), is a web application environment, more general than usual web frameworks. It lets us write and tie websites and applications together, easing their deployment as a whole. It has thorough <u>documentation</u>, a <u>tutorial</u>, <u>modules</u>, <u>prewritten applications</u> such as <u>an image board</u> or a <u>blogging platform</u>, and more. For example websites, see https://shinmera.com/, reader.tymoon.eu and events.tymoon.eu.
- <u>Snooze</u>, by João Távora (Sly, Emacs' Yasnippet, Eglot, ...), is "an URL router designed around REST web services". It is different because in Snooze, routes are just functions and HTTP conditions are just Lisp conditions.
- <u>cl-rest-server</u> is a library for writing REST web APIs. It features validation with schemas, annotations for logging, caching, permissions or authentication, documentation via OpenAPI (Swagger), etc.
- last but not least, <u>Weblocks</u> is a venerable Common Lisp web framework that permits to write ajax-based dynamic web applications without writing any JavaScript, nor writing some lisp that would transpile to JavaScript. It is seeing an extensive rewrite and update since 2017. We present it in more details below.

For a full list of libraries for the web, please see the <u>awesome-cl list #network-and-internet</u> and <u>Cliki</u>. If you are looking for a featureful static site generator, see <u>Coleslaw</u>.

38.2. Installation

Let's install the libraries we'll use:

To try Weblocks, please see its documentation. The Weblocks in Quicklisp is not yet, as of writing, the one we are interested in.

We'll start by serving local files and we'll run more than one local server in the running image.

38.3. Simple webserver

38.3.1. Serve local files

38.3.1.1. Hunchentoot

Create and start a webserver like this:

We create an instance of easy-acceptor on port 4242 and we start it. We can now access http://127.0.0.1:4242/. You should get a welcome screen with a link to the documentation and logs to the console.

By default, Hunchentoot serves the files from the www/directory in its source tree. Thus, if you go to the source of easy-acceptor (M-. in Slime), which is probably

~/quicklisp/dists/quicklisp/software/hunchentoot-v1.2.38/, you'll find the www/ directory. It contains:

- an errors/directory, with the error templates 404.html and 500.html,
- an img/directory,
- an index.html file.

To serve another directory, we give the option :document-root to easy-acceptor. We can also set the slot with its accessor:

Let's create our index.html first. Put this in a new www/index.html at the current directory (of the lisp repl):

```
<html>
    <head>
        <title>Hello!</title>
    </head>
    <body>
        <h1>Hello local server!</h1>

        We just served our own files.

        </body>
    </html>
```

Let's start a new acceptor on a new port:

go to http://127.0.0.1:4444/ and see the difference.

Note that we just created another *acceptor* on a different port on the same lisp image. This is already pretty cool.

38.4. Access your server from the internet

38.4.1. Hunchentoot

With Hunchentoot we have nothing to do, we can see the server from the internet right away.

If you evaluate this on your VPS:

```
(hunchentoot:start (make-instance 'hunchentoot:easy-acceptor :port 4242))
```

You can see it right away on your server's IP.

```
Stop it with (hunchentoot:stop *).
```

38.5. Routing

38.5.1. Simple routes

38.5.1.1. Hunchentoot

To bind an existing function to a route, we create a "prefix dispatch" that we push onto the *dispatch-table* list:

```
(defun hello ()
    (format nil "Hello, it works!"))

(push
    (hunchentoot:create-prefix-dispatcher "/hello.html" #'hello)
    hunchentoot:*dispatch-table*)
```

To create a route with a regexp, we use create-regex-dispatcher, where the url-as-regexp can be a string, an s-expression or a cl-ppcre scanner.

If you didn't yet, create an acceptor and start the server:

```
(defvar *server* (make-instance 'hunchentoot:easy-acceptor :port 4242))
(hunchentoot:start *server*)
```

and access it on http://localhost:4242/hello.html.

We can see logs on the REPL:

```
127.0.0.1 - [2018-10-27 23:50:09] "get / http/1.1" 200 393 "-" "Mozilla/5.0 (X11; Linux x86_64; rv:58.0) Gecko/20100101 Firefox/58.0"  
127.0.0.1 - [2018-10-27 23:50:10] "get /img/made-with-lisp-logo.jpg http/1.1" 200  
12583 "http://localhost:4242/" "Mozilla/5.0 (X11; Linux x86_64; rv:58.0)  
Gecko/20100101 Firefox/58.0"  
127.0.0.1 - [2018-10-27 23:50:10] "get /favicon.ico http/1.1" 200 1406 "-"  
"Mozilla/5.0 (X11; Linux x86_64; rv:58.0) Gecko/20100101 Firefox/58.0"  
127.0.0.1 - [2018-10-27 23:50:19] "get /hello.html http/1.1" 200 20 "-" "Mozilla/5.0 (X11; Linux x86 64; rv:58.0) Gecko/20100101 Firefox/58.0"
```

<u>define-easy-handler</u> allows to create a function and to bind it to an uri at once.

Its form follows

```
define-easy-handler (function-name :uri <uri> ...) (lambda list parameters) where <uri> can be a string or a function.
```

Example:

```
(hunchentoot:define-easy-handler (say-yo :uri "/yo") (name)
  (setf (hunchentoot:content-type*) "text/plain")
  (format nil "Hey~@[ ~A~]!" name))
```

Visit it at http://localhost:4242/yo and add parameters on the url: http://localhost:4242/yo

Just a thought... we didn't explicitly ask Hunchentoot to add this route to our first acceptor of the port 4242. Let's try another acceptor (see previous section), on port 4444: http://localhost:4444/yo?name=Bob It works too! In fact, define-easy-handler accepts an acceptor-names parameter:

acceptor-names (which is evaluated) can be a list of symbols which means that the handler will only be returned by DISPATCH-EASY-HANDLERS in acceptors which have one of these names

(see ACCEPTOR-NAME). acceptor-names can also be the symbol T which means that the handler will be returned by DISPATCH-EASY-HANDLERS in every acceptor.

So, define-easy-handler has the following signature:

```
define-easy-handler (function-name &key uri acceptor-names default-request-type)
(lambda list parameters)
```

It also has a default-parameter-type which we'll use in a minute to get url parameters.

There are also keys to know for the lambda list. Please see the documentation.

38.5.1.2. Easy-routes (Hunchentoot)

<u>easy-routes</u> is a route handling extension on top of Hunchentoot. It provides:

- **dispatch** based on the HTTP method, such as GET or POST (which is otherwise cumbersome to do in Hunchentoot)
- arguments extraction from the url path
- **decorators** (functions to run before the route body, typically used to add a layer of authentication or changing the returned content type)
- URL generation from route names and given URL parameters
- visualization of routes
- and more

To use it, don't create a server with hunchentoot: easy-acceptor but with easy-routes: easy-routes - acceptor:

```
(setf *server* (make-instance 'easy-routes:easy-routes-acceptor))
```

Note: there is also routes-acceptor. The difference is that easy-routes-acceptor iterates over Hunchentoot's *dispatch-table* if no route is found by easy-routes. That allows us, for example, to serve static content the usual way with Hunchentoot.

Then define a route like this:

```
(easy-routes:defroute my-route-name ("/foo/:x" :method :get) (y &get z)
    (format nil "x: ~a y: ~a z: ~a" x y z))
```

the route signature is made up of two parts:

```
("/foo/:x" :method :get) (y &get z)
```

Here, :x captures the path parameter and binds it to the x variable into the route body. y and &get z define URL parameters, and we can have &post parameters to extract from the HTTP request body.

These parameters can take an :init-form and :parameter-type options as in define-easy-handler.

Now, imagine that we are deeper in our web application logic, and we want to redirect our user to the route "/foo/3". Instead of hardcoding the URL, we can **generate the URL from its name**. Use easy-routes:genurl like this:

```
(easy-routes:genurl my-route-name :id 3)
;; => /foo/3
(easy-routes:genurl my-route-name :id 3 :y "yay")
;; => /foo/3?y=yay
```

Decorators are functions that are executed before the route body. They should call the next parameter function to continue executing the decoration chain and the route body finally. Examples:

```
(defun @auth (next)
  (let ((*user* (hunchentoot:session-value 'user)))
      (if (not *user*)
        (hunchentoot:redirect "/login")
      (funcall next))))

(defun @html (next)
      (setf (hunchentoot:content-type*) "text/html")
      (funcall next))

(defun @json (next)
      (setf (hunchentoot:content-type*) "application/json")
      (funcall next))
(defun @db (next)
      (postmodern:with-connection *db-spec*
            (funcall next)))
```

See easy-routes' readme for more.

38.5.1.3. Caveman

<u>Caveman</u> provides two ways to define a route: the defroute macro and the @route pythonic annotation:

```
(defroute "/welcome" (&key (|name| "Guest"))
  (format nil "Welcome, ~A" |name|))

@route GET "/welcome"
(lambda (&key (|name| "Guest"))
  (format nil "Welcome, ~A" |name|))
```

A route with an url parameter (note : name in the url):

```
(defroute "/hello/:name" (&key name)
  (format nil "Hello, ~A" name))
```

It is also possible to define "wildcards" parameters. It works with the splat key:

```
(defroute "/say/*/to/*" (&key splat)
  ; matches /say/hello/to/world
  (format nil "~A" splat))
;=> (hello world)
```

We must enable regexps with : regexp t:

```
(defroute ("/hello/([\\w]+)" :regexp t) (&key captures)
  (format nil "Hello, ~A!" (first captures)))
```

38.5.2. Accessing GET and POST parameters

38.5.2.1. Hunchentoot

First of all, note that we can access query parameters anytime with

```
(hunchentoot:parameter "my-param")
```

It acts on the default *request* object which is passed to all handlers.

There is also get-parameter and post-parameter.

Earlier we saw some key parameters to define-easy-handler. We now introduce default-parameter-type.

We defined the following handler:

```
(hunchentoot:define-easy-handler (say-yo :uri "/yo") (name)
  (setf (hunchentoot:content-type*) "text/plain")
  (format nil "Hey~@[ ~A~]!" name))
```

The variable name is a string by default. Let's check it out:

```
(hunchentoot:define-easy-handler (say-yo :uri "/yo") (name)
  (setf (hunchentoot:content-type*) "text/plain")
  (format nil "Hey~@[ ~A~] you are of type ~a" name (type-of name)))
```

Going to http://localhost:4242/yo?name=Alice returns

```
Hey Alice you are of type (SIMPLE-ARRAY CHARACTER (5))
```

To automatically bind it to another type, we use default-parameter-type. It can be one of those simple types:

- 'string (default),
- 'integer,
- 'character (accepting strings of length 1 only, otherwise it is nil)
- or 'boolean

or a compound list:

```
• '(:list <type>)
• '(:array <type>)
• '(:hash-table <type>)
```

where <type> is a simple type.

38.5.3. Accessing a JSON request body

38.5.3.1. Hunchentoot

To read a request body, use hunchentoot: raw-post-data, to which you can add: force-text t to always get a string (and not a vector of octets).

Then you can parse this string to JSON with the library of your choice (<u>jzon</u>, <u>shasht</u>...).

38.6. Error handling

In all frameworks, we can choose the level of interactivity. The web framework can return a 404 page and print output on the repl, it can invoke the interactive lisp debugger, or it can handle the error and show the lisp backtrace on the html page.

38.6.1. Hunchentoot

The global variables to set to choose the error handling behaviour are:

• *catch-errors-p*: set to nil if you want unhandled errors to invoke the interactive debugger (for development only, of course):

```
(setf hunchentoot:*catch-errors-p* nil)
```

See also the generic function maybe-invoke-debugger if you want to fine-tune this behaviour. You might want to specialize it on specific condition classes (see below) for debugging purposes.

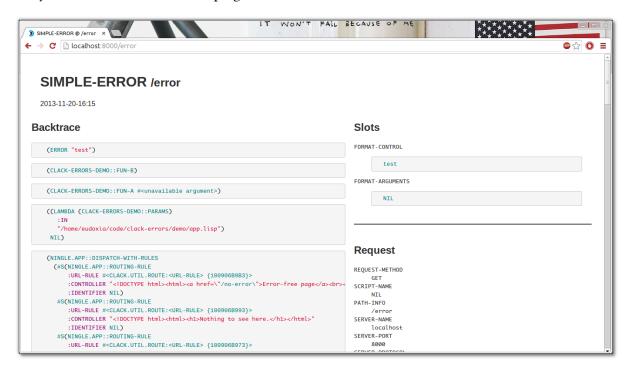
- *show-lisp-errors-p*: set to t if you want to see errors in HTML output in the browser.
- *show-lisp-backtraces-p*: set to nil if the errors shown in HTML output (when *show-lisp-errors-p* is t) should *not* contain backtrace information (defaults to t, shows the backtrace).

Hunchentoot defines condition classes. The superclass of all conditions is hunchentoot-condition. The superclass of errors is hunchentoot-error (itself a subclass of hunchentoot-condition).

See the documentation: https://edicl.github.io/hunchentoot/#conditions.

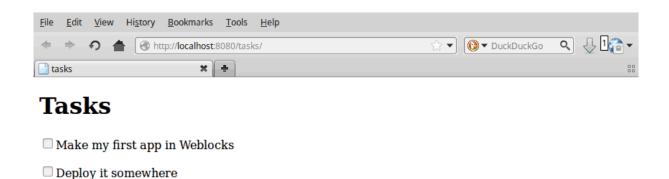
38.6.2. Clack

Clack users might make a good use of plugins, like the clack-errors middleware: https://github.com/ CodyReichert/awesome-cl#clack-plugins.



38.7. Weblocks - solving the "JavaScript problem"©

<u>Weblocks</u> is a widgets-based and server-based framework with a built-in ajax update mechanism. It allows to write dynamic web applications without the need to write JavaScript or to write lisp code that would transpile to JavaScript.



Task's title Add

Weblocks is an old framework developed by Slava Akhmechet, Stephen Compall and Leslie Polzer. After nine calm years, it is seeing a very active update, refactoring and rewrite effort by Alexander Artemenko.

It was initially based on continuations (they were removed to date) and thus a lispy cousin of Smalltalk's <u>Seaside</u>. We can also relate it to Haskell's Haste, OCaml's Eliom, Elixir's Phoenix LiveView and others.

The <u>Ultralisp</u> website is an example Weblocks website in production known in the CL community.

Weblock's unit of work is the *widget*. They look like a class definition:

```
(defwidget task ()
    ((title
        :initarg :title
        :accessor title)
    (done
        :initarg :done
        :initform nil
        :accessor done)))
```

☐ Have a profit

Then all we have to do is to define the render method for this widget:

It uses the Spinneret template engine by default, but we can bind any other one of our choice.

To trigger an ajax event, we write lambdas in full Common Lisp:

The function make-js-action creates a simple javascript function that calls the lisp one on the server, and automatically refreshes the HTML of the widgets that need it. In our example, it rerenders one task only.

Is it appealing? Carry on this quickstart guide here: http://40ants.com/weblocks/quickstart.html.

38.8. Templates

38.8.1. Djula - HTML markup

<u>Djula</u> is a port of Python's Django template engine to Common Lisp. It has <u>excellent documentation</u>.

Caveman uses it by default, but otherwise it is not difficult to setup. We must declare where our templates are with something like

```
(djula:add-template-directory (asdf:system-relative-pathname "webapp" "templates/"))
and then we can declare and compile the ones we use, for example::
(defparameter +base.html+ (djula:compile-template* "base.html"))
(defparameter +welcome.html+ (djula:compile-template* "welcome.html"))
A Djula template looks like this (forgive the antislash in {\%, this is a Jekyll limitation):
{\% extends "base.html" \%}
{\% block title %}Memberlist{\% endblock \%}
{\% block content \%}
  {\% for user in users \%}
    <a href="{{ user.url }}">{{ user.username }}</a>
  {\% endfor \%}
  {\% endblock \%}
At last, to render the template, call djula: render-template* inside a route.
(easy-routes:defroute root ("/" :method :get) ()
```

Note that for efficiency Djula compiles the templates before rendering them.

:users (get-users)

(djula:render-template* +welcome.html+ nil

It is, along with its companion access library, one of the most downloaded libraries of Quicklisp.

38.8.1.1. Djula filters

Filters allow to modify how a variable is displayed. Djula comes with a good set of built-in filters and they are <u>well documented</u>. They are not to be confused with <u>tags</u>.

They look like this: {{ name | lower }}, where lower is an existing filter, which renders the text into lowercase.

Filters sometimes take arguments. For example: {{ value | add:2 }} calls the add filter with arguments value and 2.

Moreover, it is very easy to define custom filters. All we have to do is to use the def-filter macro, which takes the variable as first argument, and which can take more optional arguments.

Its general form is:

```
(def-filter :myfilter-name (value arg) ;; arg is optional
     (body))
and it is used like this: {{ value | myfilter-name }}.
Here's how the add filter is defined:
```

```
(def-filter :add (it n)
  (+ it (parse-integer n)))
```

Once you have written a custom filter, you can use it right away throughout the application.

Filters are very handy to move non-trivial formatting or logic from the templates to the backend.

38.8.2. Spinneret - lispy templates

Spinneret is a "lispy" HTML5 generator. It looks like this:

The author finds it is easier to compose the HTML in separate functions and macros than with the more famous cl-who. But it has more features under it sleeves:

- it warns on invalid tags and attributes
- it can automatically number headers, given their depth
- it pretty prints html per default, with control over line breaks
- it understands embedded markdown
- it can tell where in the document a generator function is (see get-html-tag)

38.9. Serve static assets

38.9.1. Hunchentoot

With Hunchentoot, use create-folder-dispatcher-and-handler prefix directory.

For example:

Now our project's static files located under /path/to/myproject/src/static/ are served with the /static/ prefix:

```
<img src="/static/img/banner.jpg" />
```

38.10. Connecting to a database

Please see the <u>databases section</u>. The Mito ORM supports SQLite3, PostgreSQL, MySQL, it has migrations and db schema versioning, etc.

In Caveman, a database connection is alive during the Lisp session and is reused in each HTTP requests.

38.10.1. Checking a user is logged-in

A framework will provide a way to work with sessions. We'll create a little macro to wrap our routes to check if the user is logged in.

In Caveman, *session* is a hash table that represents the session's data. Here are our login and logout functions:

If the user isn't logged in, there will nothing in the session store, and we render the login page. When all is well, we execute the macro's body. We use it like this:

38.10.2. Encrypting passwords

38.10.2.1. With cl-bcrypt

<u>cl-bcrypt</u> is a password hashing and verification library. It is as simple to use as this:

```
;; Create a password object with 12 rounds:
(defparameter *password* (bcrypt:make-password "test" :cost 12 :identifier "2a"))
;; Generate a hash:
```

```
(bcrypt:password-hash *password*)
;; #(249 97 146 214 147 168 142 174 40 17 15 74 150 236 240 184 72 175 74 206 160 168
22)
;; String representation:
(defparameter *password-string* (bcrypt:encode *password*))
;; Check the password by comparing "test" to the stored string:
(bcrypt:password= "test" *password-string*)
;; T
(bcrypt:password= "correct horse battery staple" *password-string*)
;; NIL
```

38.10.2.2. Manually (with Ironclad)

In this recipe we do the encryption and verification ourselves. We use the de-facto standard <u>Ironclad</u> cryptographic toolkit and the <u>Babel</u> charset encoding/decoding library.

The following snippet creates the password hash that should be stored in your database. Note that Ironclad expects a byte-vector, not a string.

```
(defun password-hash (password)
  (ironclad:pbkdf2-hash-password-to-combined-string
    (babel:string-to-octets password)))
```

pbkdf2 is defined in <u>RFC2898</u>. It uses a pseudorandom function to derive a secure encryption key based on the password.

The following function checks if a user is active and verifies the entered password. It returns the user-id if active and verified and nil in all other cases even if an error occurs. Adapt it to your application.

And the following is an example on how to set the password on the database. Note that we use (password-hash password) to save the password. The rest is specific to the web framework and to the DB library.

Credit: /u/arvid on <u>/r/learnlisp</u>.

38.11. Runnning and building

38.11.1. Running the application from source

To run our Lisp code from source, as a script, we can use the --load switch from our implementation.

We must ensure:

- to load the project's .asd system declaration (if any)
- to install the required dependencies (this demands we have installed Quicklisp previously)
- and to run our application's entry point.

We could use such commands:

In addition we have allowed the user to set the application's port with an environment variable.

We can run the file like so:

```
sbcl --load run.lisp
```

After loading the project, the web server is started in the background. We are offered the usual Lisp REPL, from which we can interact with the running application.

We can also connect to the running application from our preferred editor, from home, and compile the changes in our editor to the running instance. Read below in "Connecting to a remote Lisp image".

38.11.2. Building a self-contained executable

As for all Common Lisp applications, we can bundle our web app in one single executable, including the assets. It makes deployment very easy: copy it to your server and run it.

```
$ ./my-web-app
Hunchentoot server is started.
Listening on localhost:9003.
```

See this recipe on <u>scripting#for-web-apps</u>.

38.11.3. Continuous delivery with Travis CI or Gitlab CI

Please see the section on <u>testing#continuous-integration</u>.

38.11.4. Multi-platform delivery with Electron

Once you built a binary of your web application, you can point an Electron window to it.

Ceramic is a collection of tools that make all the work for us.

It is as simple as this:

```
;; Load Ceramic and our app
(ql:quickload '(:ceramic :our-app))

;; Ensure Ceramic is set up
(ceramic:setup)
(ceramic:interactive)

;; Start our app (here based on the Lucerne framework)
(lucerne:start our-app.views:app :port 8000)

;; Open a browser window to it
(defvar window (ceramic:make-window :url "http://localhost:8000/"))

;; start Ceramic
(ceramic:show-window window)
```

and we can ship this on Linux, Mac and Windows.

There is more:

Ceramic applications are compiled down to native code, ensuring both performance and enabling you to deliver closed-source, commercial applications.

Thus, no need to minify our JS.

38.12. Deployment

38.12.1. Deploying manually

We can start our executable in a shell and send it to the background (C-z bg), or run it inside a tmux session. These are not the best but hey, it works©.

38.12.2. Systemd: Daemonizing, restarting in case of crashes, handling logs

This is actually a system-specific task. See how to do that on your system.

Most GNU/Linux distros now come with Systemd, so here's a little example.

Deploying an app with Systemd is as simple as writing a configuration file:

```
$ sudo emacs -nw /etc/systemd/system/my-app.service
[Unit]
Description=your lisp app on systemd example

[Service]
WorkingDirectory=/path/to/your/project/directory/
ExecStart=/usr/bin/make run # or anything
Type=simple
Restart=on-failure

[Install]
WantedBy=network.target

Then we have a command to start it, only now:
sudo systemctl start my-app.service
```

and a command to install the service, to **start the app after a boot or reboot** (that's the "[Install]" part):

sudo systemctl enable my-app.service

Then we can check its status:

systemctl status my-app.service

and see our application's **logs** (we can write to stdout or stderr, and Systemd handles the logging):

journalctl -u my-app.service

(you can also use the -f option to see log updates in real time, and in that case augment the number of lines with -n 50 or --lines).

Systemd handles crashes and **restarts the application**. That's the Restart=on-failure line.

Now keep in mind a couple things:

- your main thread has to be kept active, otherwise Systemd will successfully start your app, think that nothing is happening, and it will successfully stop your app. If your app offers a Lisp REPL upon start, this is not enough.
 - ► see how we keep our web server thread active in this recipe on <u>scripting#for-web-apps</u>.
 - then, if you want to connect to the running Lisp image, in that case where you don't have access to your app's REPL, use a <u>Swank server</u>.
- we want our app to crash so that it can be re-started automatically: you'll want the --disable-debugger flag with SBCL.
- Systemd will, by default, run your app as root. If you rely on your Lisp to read your startup file (~/.sbclrc), especially to setup Quicklisp, you will need to use the --userinit flag, or to set the Systemd user with User=xyz in the [service] section. And if you use a startup file, be aware that the line (user-homedir-pathname) will not return the same result depending on the user, so the snippet might not find Quicklisp's setup.lisp file.

See more: https://www.freedesktop.org/software/systemd/man/systemd.service.html.

38.12.3. With Docker

There are several Docker images for Common Lisp. For example:

- <u>clfoundation/sbcl</u> includes the latest version of SBCL, many OS packages useful for CI purposes, and a script to install Quicklisp.
- <u>40ants/base-lisp-image</u> is based on Ubuntu LTS and includes SBCL, CCL, Quicklisp, Qlot and Roswell.
- <u>container-lisp/s2i-lisp</u> is CentOs based and contains the source for building a Quicklisp based Common Lisp application as a reproducible docker image using OpenShift's source-to-image.

38.12.4. With Guix

<u>GNU Guix</u> is a transactional package manager, that can be installed on top of an existing OS, and a whole distro that supports declarative system configuration. It allows to ship self-contained tarballs, which also contain system dependencies. For an example, see the <u>Nyxt browser</u>.

38.12.5. Running behind Nginx

There is nothing CL-specific to run your Lisp web app behind Nginx. Here's an example to get you started

We suppose you are running your Lisp app on a web server, with the IP address 1.2.3.4, on the port 8001. Nothing special here. We want to access our app with a real domain name (and eventuall

benefit of other Nginx's advantages, such as rate limiting etc). We bought our domain name and we created a DNS record of type A that links the domain name to the server's IP address.

We must configure our server with Nginx to tell it that all connections coming from "your-domain-name.org", on port 80, are to be sent to the Lisp app running locally.

Create a new file: /etc/nginx/sites-enabled/my-lisp-app.conf and add this proxy directive:

```
server {
    listen www.your-domain-name.org:80;
    server_name your-domain-name.org www.your-domain-name.org; # with and without

www

location / {
    proxy_pass http://1.2.3.4:8001/;
  }

# Optional: serve static files with nginx, not the Lisp app.
location /files/ {
    proxy_pass http://1.2.3.4:8001/files/;
  }
}
```

Note that on the proxy_pass directive: proxy_pass http://1.2.3.4:8001/; we are using our server's public IP address. Often, your Lisp webserver such as Hunchentoot directly listens on it. You might want, for security reasons, to run the Lisp app on localhost.

Reload nginx (send the "reload" signal):

```
$ nginx -s reload
```

and that's it: you can access your Lisp app from the outside through http://www.your-domain-name.org.

38.12.6. Deploying on Heroku and other services

See <u>heroku-buildpack-common-lisp</u> and the <u>Awesome CL#deploy</u> section for interface libraries for Kubernetes, OpenShift, AWS, etc.

38.13. Monitoring

See <u>Prometheus.cl</u> for a Grafana dashboard for SBCL and Hunchentoot metrics (memory, threads, requests per second,...).

38.14. Connecting to a remote Lisp image

This this section: debugging#remote-debugging.

38.15. Hot reload

This is an example from Quickutil. It is actually an automated version of the precedent section.

It has a Makefile target:

It has to be run on the server (a simple fabfile command can call this through ssh). Beforehand, a fab update has run git pull on the server, so new code is present but not running. It connects to the local swank server, loads the new code, stops and starts the app in a row.

38.16. See also

- Web Apps in Lisp, Know-how
- <u>lisp-web-template-productlist</u>, a simple project template with Hunchentoot, Easy-Routes, Djula and Bulma CSS.
- <u>lisp-web-live-reload-example</u> a toy project to show how to interact with a running web app.
- <u>video</u>: how to build a web app in Lisp · part 1 featuring Hunchentoot, easy-routes, Djula templates, error handling, common traps.

38.17. Credits

• https://lisp-journey.gitlab.io/web-dev/

39. Web Scraping

The set of tools to do web scraping in Common Lisp is pretty complete and pleasant. In this short tutorial we'll see how to make http requests, parse html, extract content and do asynchronous requests.

Our simple task will be to extract the list of links on the CL Cookbook's index page and check if they are reachable.

We'll use the following libraries:

- Dexador an HTTP client (that aims at replacing the venerable Drakma),
- Plump a markup parser, that works on malformed HTML,
- Lquery a DOM manipulation library, to extract content from our Plump result,
- <u>lparallel</u> a library for parallel programming (read more in the <u>process section</u>).

Before starting let's install those libraries with Quicklisp:

```
(ql:quickload '("dexador" "plump" "lquery" "lparallel"))
```

39.1. HTTP Requests

Easy things first. Install Dexador. Then we use the get function:

```
(defvar *url* "https://lispcookbook.github.io/cl-cookbook/")
(defvar *request* (dex:get *url*))
```

This returns a list of values: the whole page content, the return code (200), the response headers, the uri and the stream.

```
"<!DOCTYPE html>
  <html lang=\"en\">
    <head>
        <title>Home &ndash; the Common Lisp Cookbook</title>
        [...]
        "
200
#<HASH-TABLE :TEST EQUAL :COUNT 19 {1008BF3043}>
#<QURI.URI.HTTP:URI-HTTPS https://lispcookbook.github.io/cl-cookbook/>
#<CL+SSL::SSL-STREAM for #<FD-STREAM for "socket 192.168.0.23:34897, peer: 151.101.120.133:443" {100781C133}>>
```

Remember, in Slime we can inspect the objects with a right-click on them.

39.2. Parsing and extracting content with CSS selectors

We'll use Iquery to parse the html and extract the content.

• https://shinmera.github.io/lquery/

We first need to parse the html into an internal data structure. Use (lquery:\$ (initialize <html>)):

```
(defvar *parsed-content* (lquery:$ (initialize *request*)))
;; => #<PLUMP-DOM:ROOT {1009EE5FE3}>
```

lquery uses <u>Plump</u> internally.

Now we'll extract the links with CSS selectors.

Note: to find out what should be the CSS selector of the element I'm interested in, I right click on an element in the browser and I choose "Inspect element". This opens up the inspector of my browser's web dev tool and I can study the page structure.

So the links I want to extract are in a page with an id of value "content", and they are in regular list elements (li).

Let's try something:

```
(lquery:$ *parsed-content* "#content li")
;; => #(#<PLUMP-DOM:ELEMENT li {100B3263A3}> #<PLUMP-DOM:ELEMENT li {100B3263E3}>
;; #<PLUMP-DOM:ELEMENT li {100B326423}> #<PLUMP-DOM:ELEMENT li {100B326463}>
;; #<PLUMP-DOM:ELEMENT li {100B3264A3}> #<PLUMP-DOM:ELEMENT li {100B3264E3}>
;; #<PLUMP-DOM:ELEMENT li {100B326523}> #<PLUMP-DOM:ELEMENT li {100B326563}>
;; #<PLUMP-DOM:ELEMENT li {100B3265A3}> #<PLUMP-DOM:ELEMENT li {100B3266E3}>
;; #<PLUMP-DOM:ELEMENT li {100B326623}> #<PLUMP-DOM:ELEMENT li {100B326663}>
:: [...]
```

Wow it works! We get here a vector of plump elements.

I'd like to easily check what those elements are. To see the entire html, we can end our lquery line with (serialize):

```
(lquery:$ *parsed-content* "#content li" (serialize))
#("<a href=\"license.html\">License</a>"
   "<a href=\"getting-started.html\">Getting started</a>"
   "<a href=\"editor-support.html\">Editor support</a>"
[...]
```

And to see their *textual* content (the user-visible text inside the html), we can use (text) instead:

```
(lquery:$ *parsed-content* "#content" (text))
#("License" "Editor support" "Strings" "Dates and Times" "Hash Tables"
   "Pattern Matching / Regular Expressions" "Functions" "Loop" "Input/Output"
   "Files and Directories" "Packages" "Macros and Backquote"
   "CLOS (the Common Lisp Object System)" "Sockets" "Interfacing with your OS"
   "Foreign Function Interfaces" "Threads" "Defining Systems"
   [...]
   "Pascal Costanza's Highly Opinionated Guide to Lisp"
   "Loving Lisp - the Savy Programmer's Secret Weapon by Mark Watson"
   "FranzInc, a company selling Common Lisp and Graph Database solutions.")
```

All right, so we see we are manipulating what we want. Now to get their href, a quick look at lquery's doc and we'll use (attr "some-name"):

```
(lquery:$ *parsed-content* "#content li a" (attr :href))
;; => #("license.html" "editor-support.html" "strings.html" "dates_and_times.html"
;; "hashes.html" "pattern_matching.html" "functions.html" "loop.html" "io.html"
;; "files.html" "packages.html" "macros.html"
;; "/cl-cookbook/clos-tutorial/index.html" "os.html" "ffi.html"
;; "process.html" "systems.html" "win32.html" "testing.html" "misc.html"
;; [...]
;; "http://www.nicklevine.org/declarative/lectures/"
;; "http://www.p-cos.net/lisp/guide.html" "https://leanpub.com/lovinglisp/"
;; "https://franz.com/")
```

Note: using (serialize) after attr leads to an error.

Nice, we now have the list (well, a vector) of links of the page. We'll now write an async program to check and validate they are reachable.

External resources:

· CSS selectors

39.3. Async requests

In this example we'll take the list of url from above and we'll check if they are reachable. We want to do this asynchronously, but to see the benefits we'll first do it synchronously!

We need a bit of filtering first to exclude the email addresses (maybe that was doable in the CSS selector?).

We put the vector of urls in a variable:

```
(defvar *urls* (lquery:$ *parsed-content* "#content li a" (attr :href)))
```

We remove the elements that start with "mailto:": (a quick look at the <u>strings</u> page will help)

Actually before writing the remove-if (which works on any sequence, including vectors) I tested with a (map 'vector ...) to see that the results where indeed nil or t.

As a side note, there is a handy starts-with-p function in the "str" library available in Quicklisp. So we could do:

While we're at it, we'll only consider links starting with "http", in order not to write too much stuff irrelevant to web scraping:

All right, we put this result in another variable:

```
(defvar *filtered-urls* *)
```

and now to the real work. For every url, we want to request it and check that its return code is 200. We have to ignore certain errors. Indeed, a request can timeout, be redirected (we don't want that) or return an error code.

To be in real conditions we'll add a link that times out in our list:

```
(setf (aref *filtered-urls* 0) "http://lisp.org") ;; :/
```

We'll take the simple approach to ignore errors and return nil in that case. If all goes well, we return the return code, that should be 200.

As we saw at the beginning, dex:get returns many values, including the return code. We'll access only this one with nth-value (instead of all of them with multiple-value-bind) and we'll use ignore-errors, that returns nil in case of an error. We could also use handler-case and handle specific error types (see examples in dexador's documentation).

(ignore-errors has the caveat that when there's an error, we can not return the element it comes from. We'll get to our ends though.)

21 seconds! Obviously this synchronous method isn't efficient. We wait 10 seconds for links that time out. It's time to write and measure an async version.

After installing lparallel and looking at <u>its documentation</u>, we see that the parallel map <u>pmap</u> seems to be what we want. And it's only a one word edit. Let's try:

Bingo. It still takes more than 10 seconds because we wait 10 seconds for one request that times out. But otherwise it proceeds all the http requests in parallel and so it is much faster.

Shall we get the urls that aren't reachable, remove them from our list and measure the execution time in the sync and async cases?

What we do is: instead of returning only the return code, we check it is valid and we return the url:

```
... (if (and status (= 200 status)) it) ...
(defvar *valid-urls* *)
```

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we get a vector of urls with a couple of nils: indeed, I thought I would have only one unreachable url but I discovered another one. Hopefully I have pushed a fix before you try this tutorial.

But what are they? We saw the status codes but not the urls: S We have a vector with all the urls and another with the valid ones. We'll simply treat them as sets and compute their difference. This will show us the bad ones. We must transform our vectors to lists for that.

Gotcha!

BTW it takes 8.280 seconds of real time to me to check the list of valid urls synchronously, and 2.857 seconds async.

Have fun doing web scraping in CL!

More helpful libraries:

- we could use <u>VCR</u>, a store and replay utility to set up repeatable tests or to speed up a bit our experiments in the REPL.
- <u>cl-async</u>, <u>carrier</u> and others network, parallelism and concurrency libraries to see on the <u>awesome-cl</u> list, <u>Cliki</u> or <u>Quickdocs</u>.

40. WebSockets

The Common Lisp ecosystem boasts a few approaches to building WebSocket servers. First, there is the excellent <u>Hunchensocket</u> that is written as an extension to <u>Hunchentoot</u>, the classic web server for Common Lisp. I have used both and I find them to be wonderful.

Today, however, you will be using the equally excellent <u>websocket-driver</u> to build a WebSocket server with <u>Clack</u>. The Common Lisp web development community has expressed a slight preference for the Clack ecosystem because Clack provides a uniform interface to a variety of backends, including Hunchentoot. That is, with Clack, you can pick and choose the backend you prefer.

In what follows, you will build a simple chat server and connect to it from a web browser. The tutorial is written so that you can enter the code into your REPL as you go, but in case you miss something, the full code listing can be found at the end.

As a first step, you should load the needed libraries via quicklisp:

```
(gl:guickload '(clack websocket-driver alexandria))
```

40.1. The websocket-driver Concept

In websocket-driver, a WebSocket connection is an instance of the ws class, which exposes an event-driven API. You register event handlers by passing your WebSocket instance as the second argument to a method called on. For example, calling

(on :message my-websocket #'some-message-handler) would invoke some-message-handler whenever a new message arrives.

The websocket-driver API provides handlers for the following events:

- : open: When a connection is opened. Expects a handler with zero arguments.
- :message When a message arrives. Expects a handler with one argument, the message received.
- :close When a connection closes. Expects a handler with two keyword args, a "code" and a "reason" for the dropped connection.
- :error When some kind of protocol level error occurs. Expects a handler with one argument, the error message.

For the purposes of your chat server, you will want to handle three cases: when a new user arrives to the channel, when a user sends a message to the channel, and when a user leaves.

40.2. Defining Handlers for Chat Server Logic

In this section you will define the functions that your event handlers will eventually call. These are helper functions that manage the chat server logic. You will define the WebSocket server in the next section.

First, when a user connects to the server, you need to give that user a nickname so that other users know whose chats belong to whom. You will also need a data structure to map individual WebSocket connections to nicknames:

```
;; make a hash table to map connections to nicknames
(defvar *connections* (make-hash-table))

;; and assign a random nickname to a user upon connection
(defun handle-new-connection (con)
```

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```
(setf (gethash con *connections*)
     (format nil "user-~a" (random 100000))))
```

Next, when a user sends a chat to the room, the rest of the room should be notified. The message that the server receives is prepended with the nickname of the user who sent it.

Finally, when a user leaves the channel, by closing the browser tab or navigating away, the room should be notified of that change, and the user's connection should be dropped from the *connections* table.

40.3. Defining A Server

Using Clack, a server is started by passing a function to clack:clackup. You will define a function called chat-server that you will start by calling (clack:clackup #'chat-server :port 12345).

A Clack server function accepts a single plist as its argument. That plist contains environment information about a request and is provided by the system. Your chat server will not make use of that environment, but if you want to learn more you can check out Clack's documentation.

When a browser connects to your server, a websocket will be instantiated and handlers will be defined on it for each of the the events you want to support. A WebSocket "handshake" will then be sent back to the browser, indicating that the connection has been made. Here's how it works:

You may now start your server, running on port 12345:

```
;; Keep the handler around so that
;; you can stop your server later on:
(defvar *chat-handler* (clack:clackup #'chat-server :port 12345))
```

40.4. A Quick HTML Chat Client

So now you need a way to talk to your server. Using Clack, define a simple application that serves a web page to display and send chats. First the web page:

```
(defvar *html*
  "<!doctype html>
<html lang=\"en\">
<head>
  <meta charset=\"utf-8\">
  <title>LISP-CHAT</title>
</head>
<body>
    ul id=\"chat-echo-area\">
    <div style=\"position:fixed; bottom:0;\">
        <input id=\"chat-input\" placeholder=\"say something\" >
    </div>
    <script>
     window.onload = function () {
         const inputField = document.getElementById(\"chat-input\");
         function receivedMessage(msg) {
             let li = document.createElement(\"li\");
             li.textContent = msq.data;
             document.getElementById(\"chat-echo-area\").appendChild(li);
         }
         const ws = new WebSocket(\"ws://localhost:12345/chat\");
         ws.addEventListener('message', receivedMessage);
         inputField.addEventListener(\"keyup\", (evt) => {
             if (evt.key === \"Enter\") {
                 ws.send(evt.target.value);
                 evt.target.value = \"\";
             }
         });
     };
    </script>
</body>
</html>
")
(defun client-server (env)
    (declare (ignore env))
    `(200 (:content-type "text/html")
          (,*html*)))
```

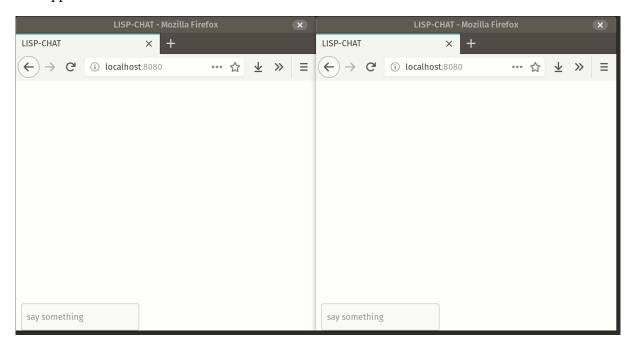
You might prefer to put the HTML into a file, as escaping quotes is kind of annoying. Keeping the page data in a defvar was simpler for the purposes of this tutorial.

You can see that the client-server function just serves the HTML content. Go ahead and start it, this time on port 8080:

```
(defvar *client-handler* (clack:clackup #'client-server :port 8080))
```

40.5. Check it out!

Now open up two browser tabs and point them to http://localhost:8080 and you should see your chat app!



40.6. All The Code

```
(ql:quickload '(clack websocket-driver alexandria))
(defvar *connections* (make-hash-table))
(defun handle-new-connection (con)
 (setf (gethash con *connections*)
        (format nil "user-~a" (random 100000))))
(defun broadcast-to-room (connection message)
  (let ((message (format nil "~a: ~a"
                         (gethash connection *connections*)
                         message)))
    (loop :for con :being :the :hash-key :of *connections* :do
          (websocket-driver:send con message))))
(defun handle-close-connection (connection)
  (let ((message (format nil " .... ~a has left."
                         (gethash connection *connections*))))
    (remhash connection *connections*)
    (loop :for con :being :the :hash-key :of *connections* :do
          (websocket-driver:send con message))))
(defun chat-server (env)
```

```
(let ((ws (websocket-driver:make-server env)))
    (websocket-driver:on :open ws
                         (lambda () (handle-new-connection ws)))
    (websocket-driver:on :message ws
                         (lambda (msg)
                           (broadcast-to-room ws msg)))
    (websocket-driver:on :close ws
                         (lambda (&key code reason)
                           (declare (ignore code reason))
                           (handle-close-connection ws)))
    (lambda (responder)
      (declare (ignore responder))
      (websocket-driver:start-connection ws))))
(defvar *html*
  "<!doctype html>
<html lang=\"en\">
<head>
  <meta charset=\"utf-8\">
  <title>LISP-CHAT</title>
</head>
<body>
    ul id=\"chat-echo-area\">
    <div style=\"position:fixed; bottom:0;\">
        <input id=\"chat-input\" placeholder=\"say something\" >
    </div>
    <script>
     window.onload = function () {
         const inputField = document.getElementById(\"chat-input\");
         function receivedMessage(msg) {
             let li = document.createElement(\"li\");
             li.textContent = msq.data;
             document.getElementById(\"chat-echo-area\").appendChild(li);
         }
         const ws = new WebSocket(\"ws://localhost:12345/\");
         ws.addEventListener('message', receivedMessage);
         inputField.addEventListener(\"keyup\", (evt) => {
             if (evt.key === \"Enter\") {
                 ws.send(evt.target.value);
                 evt.target.value = \"\";
             }
         });
     };
    </script>
</body>
</html>
")
```

41. APPENDIX: Contributors

Thank you to all contributors, as well as to the people reviewing pull requests whose name won't appear here.

The contributors on Github are:

- vindarel
- Paul Nathan
- nhabedi³
- Fernando Borretti
- bill_clementson
- chuchana
- Ben Dudson
- YUE Daian
- Pierre Neidhardt
- Rommel MARTINEZ
- digikar99
- nicklevine
- Dmitry Petrov
- otjura
- skeptomai
- alx-a
- jgart
- thegoofist
- Francis St-Amour
- Johan Widén
- emres
- jdcal
- Boutade
- airfoyle
- contrapunctus
- mvilleneuve
- Alex Ponomarev
- Alexander Artemenko
- · Johan Sjölén
- Mariano Montone
- albertoriva
- Blue
- · Daniel Keogh
- David Pflug
- David Sun
- Jason Legler
- Jiho Sung
- Kilian M. Haemmerle
- Matteo Landi
- Nikolaos Chatzikonstantinou
- · Nisar Ahmad
- Nisen

³nhabedi is Edmund Weitz ;)

- Vityok
- · ctoid
- ozten
- reflektoin
- · Ahmad Edrisy
- Alberto Ferreira
- · Amol Dosanjh
- Andrew
- Andrew Hill
- André Alexandre Gomes
- · Ankit Chandawala
- · August Feng
- B1nj0y
- Bibek Panthi
- Bo Yao
- Brandon Hale
- Burhanuddin Baharuddin
- · Coin Okay
- Colin Woodbury
- Daniel Uber
- Eric Timmons
- · Giorgos Makris
- HiPhish
- Inc0n
- · John Zhang
- Justin
- Kevin Layer
- Kevin Secretan
- LdBeth
- Matthew Kennedy
- Momozor
- NCM
- Noor
- Paul Donnelly
- Pavel Kulyov
- Phi-Long Nguyen
- R Primus
- Ralf Doering
- Salad Tea
- Victor Anyakin
- · alaskasquirrel
- blackeuler
- contrapunctus-1
- convert-repo
- dangerdyke
- grobe0ba
- jthing
- mavis
- mwgkgk

- paul-donnelly
- various-and-sundry
- Štěpán Němec

(this list is sorted by number of commits)

And the contributors on the original SourceForge version are:

- Marco Antoniotti
- Zach Beane
- Pierpaolo Bernardi
- Christopher Brown
- Frederic Brunel
- Jeff Caldwell
- Bill Clementson
- Martin Cracauer
- Gerald Doussot
- Paul Foley
- Jörg-Cyril Höhle
- Nick Levine
- Austin King
- Lieven Marchand
- <u>Drew McDermott</u>
- Kalman Reti
- Alberto Riva
- Rudi Schlatte
- Emre Sevinç
- Paul Tarvydas
- Kenny Tilton
- Reini Urban
- Matthieu Villeneuve
- Edi Weitz

Finally, the credit for finally giving birth to the project probably goes to Edi Weitz who posted <u>this message</u> to <u>comp.lang.lisp</u>.