



GAI Profile Adoption

for End Users and 'Other AI Actors'

September 24, 2024

Presented by Jurnell Cockhren



This Talk's Primary Goal

Proposes a contribution format where **Other AI Actors** can facilitate the adoption of relevant GAI profiles for **End Users**.

Possible Contribution Format

→ **GOVERN 6.2:** Contingency processes are in place to handle failures or incidents in third-party data or AI systems deemed to be high-risk.

Action ID	Suggested Action	GAI Risks
GV-6.2-001	Document GAI risks associated with system value chain to identify over-reliance on third-party data and to identify fallbacks.	Value Chain and Component Integration
GV-6.2-002	Document incidents involving third-party GAI data and systems, including open-data and open-source software.	Intellectual Property; Value Chain and Component Integration

→ **MANAGE 4.2:** Measurable activities for continual improvements are integrated into AI system updates and include regular engagement with interested parties, including relevant AI Actors.

Action ID	Suggested Action	GAI Risks
MG-4.2-001	Conduct regular monitoring of GAI systems and publish reports detailing the performance, feedback received, and improvements made.	Harmful Bias and Homogenization
MG-4.2-002	Practice and follow incident response plans for addressing the generation of inappropriate or harmful content and adapt processes based on findings to prevent future occurrences. Conduct post-mortem analyses of incidents with relevant AI Actors, to understand the root causes and implement preventive measures.	Human-AI Configuration; Dangerous, Violent, or Hateful Content
MG-4.2-003	Use visualizations or other methods to represent GAI model behavior to ease non-technical stakeholders understanding of GAI system functionality.	Human-AI Configuration

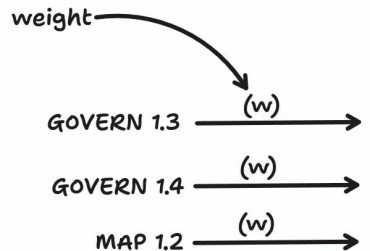
→ **AI Actor Tasks:** AI Deployment, AI Design, AI Development, Affected Individuals and Communities, End-Users, Operation and Monitoring, TEVV

Selection Methodology

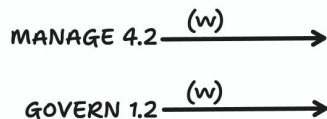


(3 paragraphs max.)

Entities with the bandwidth

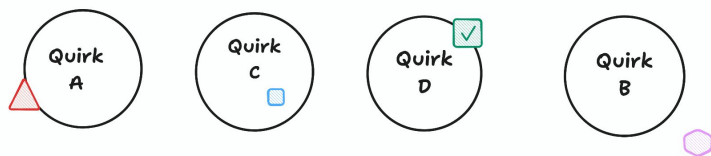


One or More AI systems



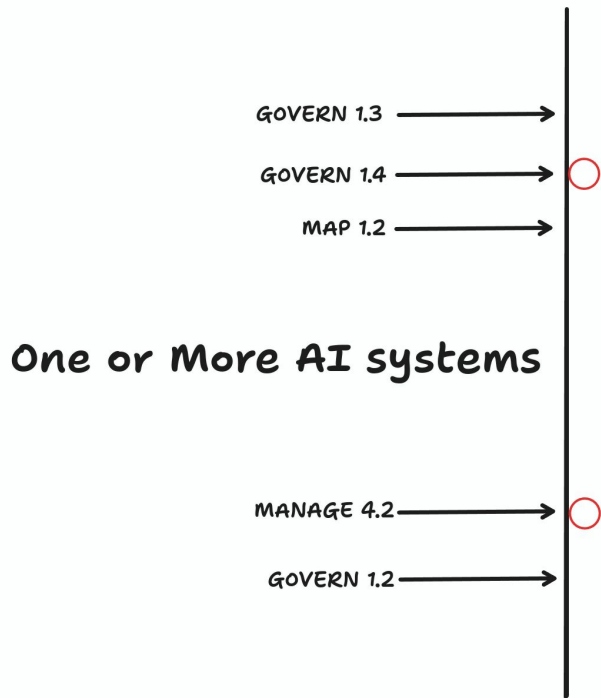
- Can develop comprehensive GAI profiles using VRTs
- Will use spreadsheets, may be motivated by scoring
- Can repurpose existing setups used in similar compliance efforts (CSF, SOC)
- Can purpose software to help gather evidence

Entities that may need help



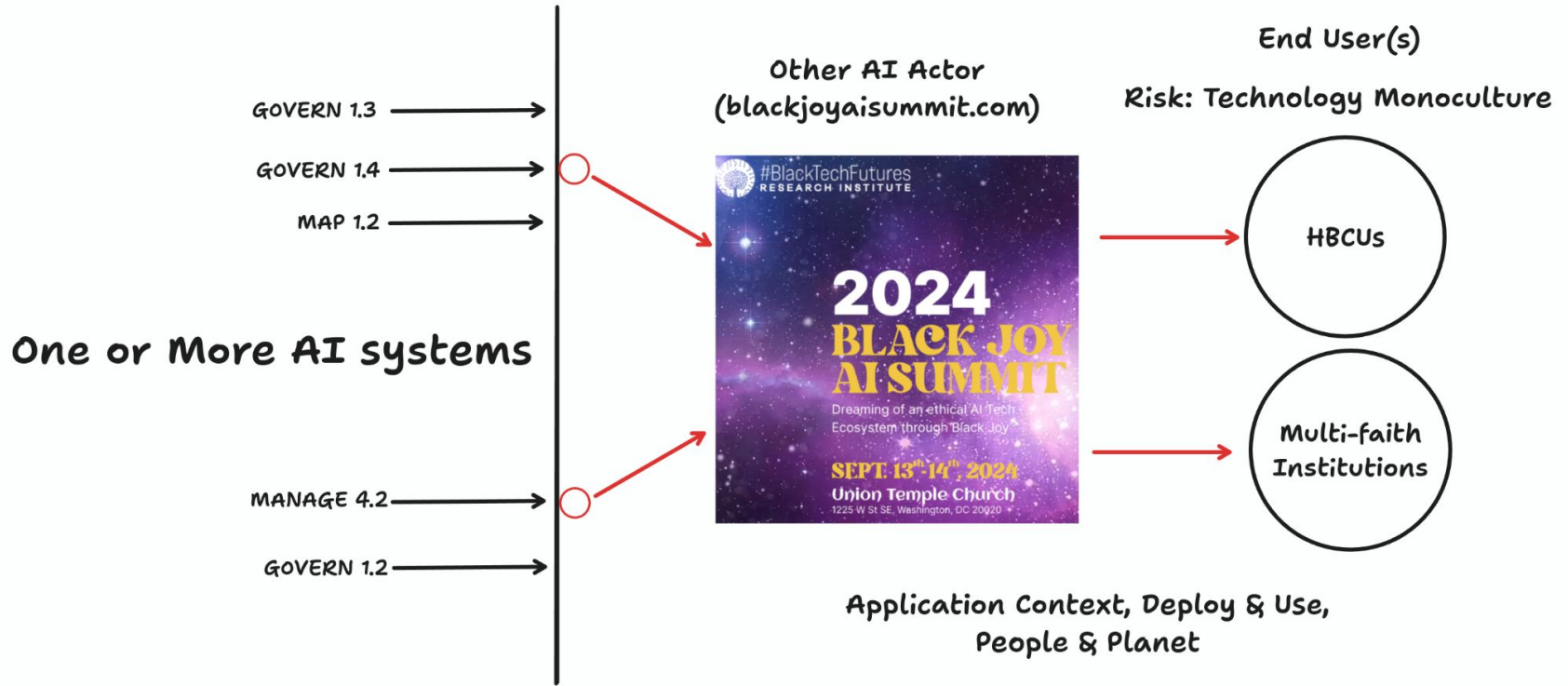
- Don't have resources to crawl through 600-1 document
- Motivated by their differing uses/intents/risks/needs within their domain
- small changes can yield massive impact
- Can readily determine if first & third-party actors GAI profile fulfills their concern(s)

'Other AI Actors' can help carry the load



- Can readily determine **feasible suggested actions** for End Users
- subcategories can serve as **docking mechanism** for End Users
- Can readily '**make it make sense**' to their community

Ex: Communities of Practice



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Key Takeaways

- 'Other AI actors' are inherently incentivized to participate.
- Contributions as 2 to 3 suggested actions (subcategories)
- Include the Selection methodology (w/ intended End User)