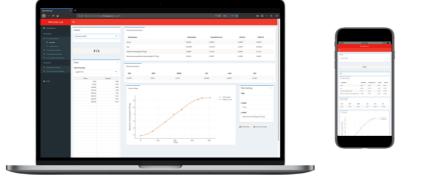
# Microrisk Lab

# **User Manual**

Revised at February 2021

Version 1.2





# **Disclaimer and Support**

#### **Disclaimer**

Microrisk Lab and this manual provide NO WARRANTY. This tool is free to use but only for research purposes. It is not permitted to include Microrisk Lab in any other application. We would very appreciate acknowledgement if the tool is used.

## **Feedback**

If you have any suggestion or for technical questions for Microrisk Lab, please contact the developer and maintainer Yangtai Liu (<u>usstlyt@163.com</u>), Your comments are highly appreciated.

## **Document Revisions**

Date	Version	<b>Document Changes</b>
01/09/2017	Beta 0.1	Initial draft
01/01/2018	1.0	Draft for updated version
09/29/2019	1.1	Revised draft
02/20/2021	1.2	Revised draft

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# 1 List of symbols

 $Y(t), Y_0, Y_{max}$  the natural logarithm of real-time, initial, and maximum bacterial counts (ln CFU/g).

y(t),  $y_0$ ,  $y_{max}$  the 10-base logarithm of real-time, initial, and maximum bacterial counts (log10 CFU/g).

 $y_{res}$  the 10-base logarithm of the residual bacterial counts (log10 CFU/g).

 $\mu_{max}$ ,  $\mu_{opt}$  the maximum and optimal specific growth rate.

 $k_{max}$  the maximum specific inactivation rate.

D the time of decimal reduction in inactivation.  $D_{ref}$  the referenced decimal reduction time at  $T_{ref}$ .

 $t_{lag}$  the time of lag in growth.

 $S_l$  the time of shoulder (or before inactivation) in inactivation.

t the observed time.

 $t_{max}$  the time when entering the stationary phase in growth.  $S_t$  the time when entering the stationary phasein inactivation.

T, pH, aw The temperature (°C), pH, and water activity at t.

 $T_{min}, T_{opt}, T_{max}$  the minimum, optimal, and maximum growth temperature (°C).

 $T_{ref}$  the referenced inactivation temperature (°C).

 $pH_{min}$ ,  $pH_{opt}$ ,  $pH_{max}$  the minimum, optimal, and maximum growth pH.

 $aw_{min}$ ,  $aw_{opt}$ ,  $aw_{max}$  the minimum, optimal, and maximum growth water activity.

 $q_0$  the initial physiological state of the inoculum in the Baranyi model.

 $\delta$ , p the coefficients in the Weibull model.

 $\delta_{ref}$  the referenced  $\delta$  value at  $T_{ref}$ .

a, bthe coefficients in the square-root model.A, mthe coefficients in the dynamic Huang model.

z the coefficients of the bacterial thermal resistance (°C).

## 2 Unit

The unit of the bacterial count and time related variables can be defined by the user. The unit of predicted counting outputs will be transferred into 10-base logarithm. Note that the unit of the specific (growth/ inactivation) rate is a natural logarithm combined with a unit of time, for example, ln CFU/g/h or ln CFU/g/min.

# 3 Programing basics

Microrisk Lab is developed by the open-source language R (version 3.5.1 for Mac OS X; <a href="http://www.r-project.org">http://www.r-project.org</a>). All users are free to access and use this tool through the browser of any internet-connected device by the following links:

http://microrisklab.shinyapps.io/english (in English)

http://microrisklab.shinyapps.io/chinese (in Chinese)

The operation of this Microrisk Lab must depend on certain developed R packages, which were listed in Tab.1. All the required packages have been hosted and deployed in the Shinyapps.io sever (<a href="https://www.shinyapps.io">https://www.shinyapps.io</a>).

Package name	Version	Reference	Purpose
ggplot2	3.3.1	Wickham et al.	to generate visualized plots for output
mc2d	0.1-18	Pouillot et al.	to generate certain distribution for output
Metrics	0.1.4	Hamner et al.	to calculate statistical indicators for output
plotly	4.9.0	Sievert et al.	to generate interactive plots for output
rhands on table	0.3.7	Owen et al.	to build interactive table for input
shiny	1.0.5	Chang et al.	to establish and upload the shiny app
shinyalert	1.0	Attali et al.	to pop the error alert for input and output
shinydashboard	0.7.1	Chang et al.	to build the interactive interface
shinyWidgets	0.4.8	Perrier et al.	to build the interactive interface
stats	3.4.3	-	to realize the regression analysis

Tab.1 Imported R packages in Microrisk Lab

Microrisk Lab can be also used on computers without internet connection when installed locally. In this case, please contact the developer.

## 4 Functionalities included in Microrisk Lab

Microrisk Lab includes the following functions:

- Kinetic analysis of microbial isothermal growth
- Kinetic analysis of microbial non-isothermal growth
- Kinetic analysis of microbial isothermal inactivation
- Kinetic analysis of microbial non-isothermal inactivation
- Kinetic analysis of two-flora isothermal competition growth
- Secondary modeling of specific growth rate vs. temperature, pH and Aw.
- Deterministic/ Stochastic simulation for microbial isothermal growth
- Deterministic simulation for microbial isothermal growth
- Deterministic/ Stochastic simulation for microbial isothermal inactivation
- Deterministic simulation for microbial isothermal inactivation
- Output interactive plots of the fitted and predicted curve.
- Output estimated results (estimates, standard error, and 95% confidential intervals) and multiple statistical indicators (RSS, MSE, RMSE, AIC, AICc, BIC, R<sup>2</sup>, and Adjusted R<sup>2</sup>) with respect to the experimental data in the 'Estimation' module.
- Output simulated bacterial counts or the distribution of the specific rate and final bacterial counts in the 'Simulation' module.
- Output correlation analysis between model parameters and simulated bacterial counts in the stochastic simulation.

# 5 Layout of Microrisk Lab

Fig.1 shows the page structure when loading in the Microrisk Lab via the browser in different devices. Users may switch the task by the main menu on the left side. In the setting panel, user can input the experimental data and choose the model here. The result panel will provide the estimated (predicted) values, statistical results, and interactive plots according to the setting.



Fig.1 Typical layout of Microrisk Lab.

## 6 Estimation module of Microrisk Lab

The estimation module allows to solve multiple inverse problems in predictive microbiology, including ① isothermal growth fitting, ② isothermal inactivation fitting, ③④⑤ secondary model fitting, ⑥ two flora competition growth fitting, ⑦ non-isothermal growth fitting, and ⑧ non-isothermal inactivation fitting (Fig.2).



Fig.2 Different sections of model fitting in the estimation module.

#### Practical example I - Isothermal growth fitting

- (1) In this case, a group of *Listera monocytogenes/innocua* growth in tryptose phosphate broth (TPB) obtained from the ComBase database (www.combase.cc, ComBase ID: LM127\_11) was used as the test dataset for the growth fitting.
- (2) Choose ① the 'Growth' in the section of the 'Primary Models', and the setting panel of isothermal growth model will show up (Fig.3).

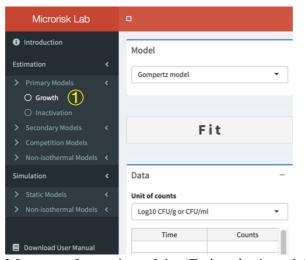


Fig.3 Layout of a section of the 'Estimation' module.

#### Microrisk Lab - version 1.2

(3) The experimental data can be ① directly typed (or ② copied from other table files) in the 'Data' box. Specifically, ③ the unit of bacterial counts should be confirmed by the user. If the inputted observations are more than 30, please ④ right click the mouse or ⑤ drag the last column to add additional columns (Fig.4).

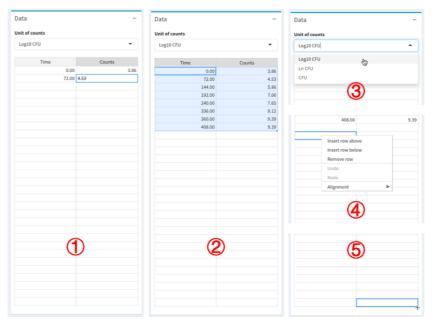


Fig.4 Boxes for the data input and unit selection.

(4) After entering the data for model fitting, the growth model can be selected in the 'Model' list (Fig.5).

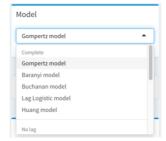


Fig.5 Box for the growth model selection

(5) Click ① the 'Fitting' button. After a necessary loading time, if the regression can be solved successfully, the ② estimated result, ③ evaluated result, and ④ interactive plot of the observation and fitted curve will show in the result panel (Fig.6A). Otherwise, a popup message will appear for the regression warning, which means that the non-linear regression is failed (Fig.6B). In this case, please re-check the unit of bacterial counts and the model selection.

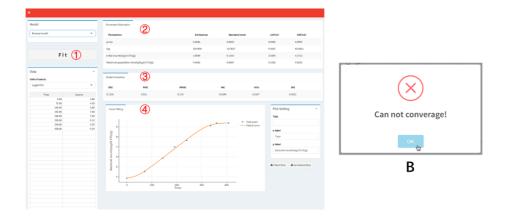


Fig.6 Layout of the interface after model fitting.

(6) The observed and predicted value can be viewed on ① the interactive plot. The observed data or fitting curve can be omitted from the plot by clicking ② the legend. Meanwhile, it is easy to edit the axis detail (③ the range and ④ title) of the interactive plot in real-time by ⑤ the box of 'Plot Setting'. After all, the plot is adjustable and downloadable by using ⑥ the 'Plotly toolbox'. Meanwhile, ⑦ the fitted and simulated data can be saved as the '.csv' file for comparison and further model development (Fig.7).

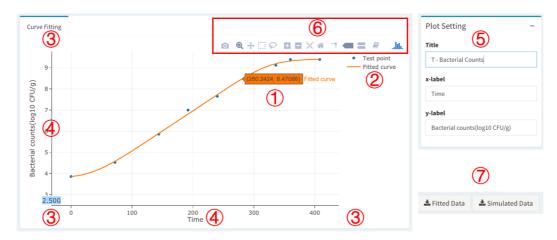


Fig.7 The interactive plot and the editorial box.

(7) For this case, the estimated result of parameters by using different growth models are listed in Tab.1, Tab.2, and Tab.3.

Tab.1 Static growth fitting results of the complete model in Microrisk Lab

	Gomper	tz	Barany	i	Buchana	ın	Lag Logis	tic	Huang		
Parameter estimation*											
Parameters	Est.	SE	Est.	SE	Est.	SE	Est.	SE	Est.	SE	
Turumeters	(95% CI) **	SE.	(95% CI) **	5L	(95% CI) **	SE	(95% CI) **	SE.	(95% CI) **	S.E.	
$y_0$	3.78	0.21	3.85	0.12	3.86	0.15	3.86	0.11	3.86	0.11	
(log10 CFU/g)	(3.19, 4.36)	0.21	(3.53, 4.17)	0.12	(3.44, 4.28)	4, 4.28)	(3.53, 4.17)	0.11	(3.55, 4.17)	0.11	
y <sub>max</sub>	10.10	0.30	9.41	0.09	9.30	0.00	9.42	0.09	9.42	0.09	
(log10 CFU/g)	(9.27, 10.94)	0.30	(9.16, 9.66)	0.09	(9.06, 9.54)	0.09	(9.16, 9.66)	0.09	(9.18, 9.66)	0.09	
( (I-)	46.90	17.43	38.09	10.36	36.01	11.87	35.52	8.59	35.52	8.59	
$t_{lag}$ (h)	(-1.49, 95.28)	17.43	(9.32, 66.86)	10.30	(3.06, 68.97)	11.07	(9.32, 66.86)		(11.69, 59.36)	0.39	
$\mu_{max}(1/h)$	0.050	0.004	0.044	0.002	0.044	0.002	0.044	0.002	0.044	0.00	
$\mu_{max}(1/11)$	(0.04, 0.06)	0.004	(0.038, 0.051)	0.002	(0.036, 0.052)	0.003	(0.038, 0.051)	0.002	(0.039, 0.049)		
Model evaluation	on										
RSS	0.4566		0.2853		0.0897		0.2598		0.2598		
MSE	0.1141		0.0713		0.0224		0.0649		0.0649		
RMSE	0.3379		0.2671		0.1497		0.2548		0.2548		
AIC	7.7956		4.0349		-5.2237		3.2840		3.2840		
AICc	13.1289		9.3683		0.1096		8.6173		8.6173		
BIC	8.1133		4.3527		-4.9059		3.6018		3.6018		

<sup>\*</sup> Est.: Estimation; SE: Standard error.

Tab.2 Static growth fitting results of the no lag model and linear model in Microrisk Lab

	stic	No lag Buc	hanan	Linear		
Parameter estimation	n*					
Parameters	Est. (95% CI) **	SE	Est. (95% CI) **	SE	Est. (95% CI) **	SE
$y_0 (\log 10 \text{ CFU/g})$	3.60 (3.18, 4.02)	0.16	3.65 (3.27, 4.03)	0.15	3.81 (3.25, 4.37)	0.23
y <sub>max</sub> (log10 CFU/g)	9.51 (8.98, 10.04)	0.21	9.39 (9.02, 9.76)	0.14	-	-
$\mu_{max}(1/\mathrm{h})$	0.039 (0.033, 0.045)	0.002	0.038 (0.033, 0.042)	0.002	0.035 (0.030, 0.040)	0.002
Model evaluation						
$\mathbb{R}^2$	-		-		0.9793	
Adjusted R <sup>2</sup>	-		-		0.9751	
RSS	1.1793		0.2037		0.3017	
MSE	0.2359		0.0407		0.0503	
RMSE	0.4857		0.2019		0.2242	
AIC	13.3871		-0.6606		7.1519	
AICc	13.3871		-0.6606		5.5519	
BIC	13.6254		-0.4222		7.3108	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

Tab.3 Static growth fitting results of the reduced model in Microrisk Lab

	Reduced Baranyi		Reduced B	uchanan	Reduced Huang	
Parameter estima	tion*					
Danamatana	Est.	CE	Est.	SE	Est.	CE
Parameters	(95% CI) **	SE	(95% CI) **	SE	(95% CI) **	SE
(110 CELV-)	3.83	0.37	3.86		3.86	
$y_0 (\log 10 \text{ CFU/g})$	(2.90, 4.82)	0.37	(3.53, 4.17)		(3.53, 4.17)	
4 (b)	2.13	22.02	5.77	9.50	5.77	9.50
$t_{lag}$ (h)	(-78.88, 90.42)	32.93	(9.32, 66.86)	8.59	(9.32, 66.86)	8.59
(1/h)	0.035	0.003	0.035		0.035	
$\mu_{max}(1/h)$	(0.028, 0.042)	0.003	(0.038, 0.051)		(0.038, 0.051)	
Model evaluation						
RSS	3.6796		0.6904		3.6605	
MSE	0.7359		0.1381		0.7321	
RMSE	0.8579		0.3716		0.8556	
AIC	22.4900		9.1037		22.4482	
AICc	22.4900		9.1037		22.4482	
BIC	22.7283		9.3420		22.6865	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

#### Practical example II - Isothermal inactivation fitting

- (1) In this case, a group of *Escherichia coli* inactivation in broth with 5% ethanol and 11200 ppm lactic acid (pH=3.8) at 5°C obtained from the ComBase database (ComBase ID: CA\_Ec025) was used as the test dataset for the inactivation fitting.
- (2) Choose the ② 'Inactivation' in the section of the 'Primary Models' (see Fig.2), and the setting panel of isothermal inactivation model will show up. The steps of importing data and obtaining results are similar to the isothermal growth fitting (see Fig.4 to Fig.7).
- (3) For this case, the estimated result of parameters by using different growth model are listed in Tab.4, Tab.5 and Tab.6.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

Tab.4 Static inactivation fitting results of the Geeraerd model in Microrisk Lab

Complete Geeraerd			No shoulder G	eeraerd	No tail Geeraerd	
Parameter estimat	ion*					
Parameters	Est. (95% CI) **	SE	Est. (95% CI) **	SE	Est. (95% CI) **	SE
$y_0 (\log 10 \text{ CFU/g})$	8.97 (6.37, 11.56)	0.20	9.10 (8.24, 9.97)	0.20	9.02 (7.86, 10.18)	0.27
y <sub>res</sub> (log10 CFU/g)	6.71 (3.42, 9.99)	0.26	6.52 (4.34, 8.71)	0.51	-	-
$S_l$ (h)	1.65 (-11.56, 14.86)	1.04			-0.01 (-8.44, 8.41)	1.96
$k_{max}(1/\mathrm{h})$	1.184 (-3.944, 6.311)	0.404	0.805 (0.099, 1.511)	0.164	0.690 (-0.084, 1.464)	0.180
Model evaluation						
RSS	0.0429		0.1047		0.1480	
MSE	0.0429		0.0523		0.0740	
RMSE	0.2071		0.2288		0.2720	
AIC	-1.6056		0.8567		2.5894	
AICc	-		18.8567		20.5894	
BIC	-3.1678		-0.3150		1.4177	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

Tab.5 Static inactivation fitting results of the three/ two phase model in Microrisk Lab

	Three-pha	se	No shoulder tw	o-phase	No tail two-p	phase
Parameter estimat	ion*					
Parameters	Est. (95% CI) **	SE	Est. (95% CI) **	SE	Est. (95% CI) **	SE
$y_0 (\log 10 \text{ CFU/g})$	9.00 (6.93, 11.07)	0.16	9.11 (8.45, 9.77)	0.15	9.00 (7.83, 10.17)	0.27
y <sub>res</sub> (log10 CFU/g)	6.80 (4.73, 8.87)	0.16	6.80 (6.01, 7.59)	0.18	-	-
$S_l$ (h)	0.92 (-7.32, 9.15)	0.65	-	-	0.16 (-5.78, 6.11)	1.38
$k_{max}(1/h)$	0.921 (-0.768, 2.610)	0.133	0.794 (0.389, 1.200)	0.094	0.702 (0.102, 1.303)	0.140
Model evaluation						
RSS	0.0267		0.0670		0.1470	
MSE	0.0267		0.0335		0.0735	
RMSE	0.1633		0.1830		0.2711	
AIC	-3.9795		-1.3731		2.5556	
AICc	-		16.6269		20.5556	
BIC	-5.5418		-2.5448		1.3839	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

<sup>\*\*~95%</sup>CI: lower and upper 95% confidence intervals.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

Tab.6 Static inactivation fitting results of the Weibull model and Bigelow model in Microrisk Lab

	Webull-tail		Webull		Bigelow				
Parameter estimation*									
Parameters	Est. (95% CI) **	SE	Est. (95% CI) **	SE	Est. (95% CI) **	SE			
$y_0 (\log 10 \text{ CFU/g})$	8.96 (6.94, 10.97)	0.16	9.04 (7.89, 10.19)	0.27	9.02 (8.47, 9.57)	0.17			
$y_{res}$ (log 10 CFU/g)	8.96 (4.53, 8.98)	0.18	-	-					
p	1.73 (-5.05, 8.51)	0.53	3.21 (-1.58, 8.00)	1.11					
δ	3.63 (-2.65, 9.90)	0.49	0.96 (-0.38, 2.30)	0.31					
D(h)	-	-	-	-	3.33 (2.09, 4.58)	0.39			
Model evaluation									
$\mathbb{R}^2$	-		-		0.9605				
Adjusted R <sup>2</sup>	-		-		0.9408				
RSS	0.0271		0.1467		0.1480				
MSE	0.0271		0.0733		0.0493				
RMSE	0.1648		0.2708		0.2221				
AIC	-3.8901		2.5449		0.5895				
AICc	-		20.5449		2.5895				
BIC	-5.4524		1.3732		-0.1916				

<sup>\*</sup> Est.: Estimation; SE: Standard error.

## Practical example III - Temperature secondary model fitting

- (1) In this case, a study on the maximum specific growth rate of *Salmonella* Typhimurium (ATCC 14028) in chicken breast (Oscar, 2002) was cited for fitting different secondary models.
- (2) Choose the ③ 'Temperature' in the section of the 'Secondary Models' (see Fig.2), and the setting panel of temperature secondary model will show up. The steps of importing data and obtaining results are similar to the isothermal growth fitting (see Fig.4 to Fig.7).
- (3) For this case, the estimated result of parameters by using different temperature secondary model are listed in Tab.7 and Tab.8.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

Tab.7 Specific maximum growth rate fitting results of the suboptimal temperature secondary model in Microrisk Lab

	Square-root i	Huang square-r	oot model	
Parameter 6	estimation*			
Parameters	Est.	SE	Est.	SE
Parameters	(95% CI) **	SE	(95% CI) **	SE
	0.018	0.004	0.063	0.009
а	(0.010, 0.027)	(0.044, 0.082)	0.009	
T (°C)	-21.17	11.70	-7.17	7.81
$T_{min}$ (°C)	(-45.65, 3.31)	11.70	(-23.51, 9.18)	7.01
Model evalu	ation			
RSS	2.5196		2.3500	
MSE	0.1326		0.1237	
RMSE	0.3642		0.3517	
AIC	19.0665		17.6036	
AICc	15.7332		14.2703	
BIC	21.1556		19.6926	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

Tab.8 Specific maximum growth rate fitting results of the full temperature secondary model in Microrisk Lab

	Square-root i	model	Huang square-r	oot model	Cardinal parameter model	
Parameter of	estimation*					
Parameters	Est. (95% CI) **	SE	Est. (95% CI) **	SE	Est. (95% CI) **	SE
a	0.043 (0.036, 0.051)	0.004	0.087 (0.077, 0.098)	0.005	-	-
b	0.15 (0.09, 0.20)	0.02	0.79 (0.60, 0.99)	0.09	-	-
$T_{min}$ (°C)	4.09 (0.88, 7.31)	1.52	3.59 (-0.78, 7.97)	2.08	5.56 (3.02, 8.10)	1.21
$T_{max}$ (°C)	51.89 (50.55, 53.23)	0.63	47.49 (47.35, 47.63)	0.07	49.59 (48.88, 50.30)	0.336
$\mu_{opt}$ (1/h)	-	-	-	-	1.621 (1.559, 1.682)	0.029
$T_{opt}$ (°C)	-	-		-	39.76 (38.96, 40.56)	0.38
Model evalu	ation					
RSS	0.0999		0.3851		0.0811	
MSE	0.0059		0.0227		0.0048	
RMSE	0.0767		0.1505		0.0691	
AIC	-44.7130		-16.3782		-48.1028	
AICc	-50.2130		-21.8782		-54.6028	
BIC	-40.5349		-12.2001		-44.9248	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

## Practical example IV - Two flora competition growth fitting

- (1) In this case, a study on the competition growth of *Escherichia coli* O157:H7 in ground beef and the background microflora (Vimont et al., 2006) was cited for fitting. Note that the dataset was obtain from the R package '*nlsMicrobio*' (Baty & Delignette-Muller, 2015).
- (2) Choose the ⑤ section of the 'Competition Models' (see Fig.2), and the setting panel of the competition growth model will show up. The steps of importing data and obtaining results are similar to the isothermal growth fitting (see Fig.4 to Fig.7). While the counting data of the two flora should be input together in the 'Data' box (Fig.8).

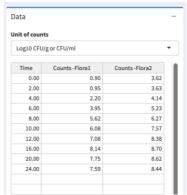


Fig.8 Box for the competition growth data input.

(3) For this case, the estimated result of parameters by using different temperature secondary model are listed in Tab.9.

Tab.9 Two flora growth fitting results of the competition model in Microrisk Lab

	Jameson-No lag Buchana	an model	Jameson-Buchanan	model
Parameter estimation*				
Parameters	Est. (95% CI) **	SE	Est. (95% CI) **	SE
$\mu_{max}(1/h)$ - Flora 1	1.323 (1.150, 1.467)	0.081	1.566 (1.360, 1.771)	0.10
$\mu_{max}(1/h)$ - Flora 2	1.001 (0.842, 1.160)	0.075	1.323 (1.075, 1.571)	0.115
$y_0 (log 10 \text{ CFU/g})$ - Flora 1	0.38 (-0.21, 0.96)	0.27	0.90 (0.26, 1.55)	0.30
$y_0 (log 10 \text{ CFU/g})$ - Flora 2	2.94 (2.37, 3.52)	0.27	3.63 (3.17, 4.08)	0.21
$t_{lag}$ (h) - Flora 1			1.80 (0.60, 3.00)	0.55
$t_{lag}$ (h) - Flora 2			3.20 (1.91, 4.48)	0.59
$t_{max}$ (h)	12.97 (11.81, 14.13)	0.54	11.73 (10.87, 12.58)	0.40
Model evaluation				
RSS	2.5373		1.1581	
MSE	0.1692		0.0891	
RMSE	0.4113		0.2985	
AIC	25.4652		13.7782	
AICc	19.7509		9.1115	
BIC	30.4439		20.7483	

<sup>\*</sup> Est.: Estimation; SE: Standard error.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

## Practical example V - Non-isothermal growth fitting

- (1) In this case, a study on the non-isothermal growth of *L. monocytogenes* in ready-to-eat braised beef was introduced for fitting two dynamic growth models.
- (2) Choose the ⑦ 'Growth' in the section of the 'Non-isothermal Models' (see Fig.2), and the setting panel of temperature secondary model will show up.
- (3) Both time-temperature profile and the bacterial counting data are required in the non-isothermal modeling (Fig. 9A and Fig. 9B). Meanwhile, the initial guess of the model parameter was also necessary to conduct the regression (Fig. 9C). User can also change the time-step of the regression (default is 0.1). Then the estimated results should be listed if the converge is successful.

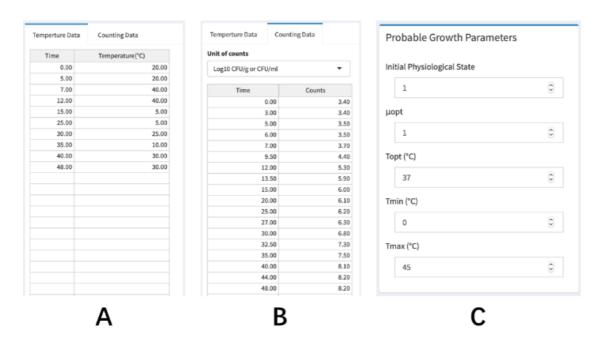


Fig. 9 Box for (A) temperature profile and (B) input; and (B) the setting of initial guesses.

(4) For this case, the estimated result of parameters by using two non-isothermal growth model are listed in Tab.10.

Tab.10 Non-isothermal growth fitting results of the dynamic model in Microrisk Lab

Baranyi-Cardinal parameter model			Huang-Cardinal parameter model				
Parameter estimation*							
Parameters	Int.	Est. (95% CI) **	SE	Parameters	Int.	Est. (95% CI) **	SE
$y_0 (\log 10 \text{ CFU/g})$	-	3.39 (3.36, 3.43)	0.02	$y_0 (\log 10 \text{ CFU/g})$	-	3.45 (3.41, 3.50)	0.02
$y_{max}(\log 10 \text{ CFU/g})$	-	8.21 (8.18, 8.25)	0.02	$y_{max}(\log 10 \text{ CFU/g})$	-	8.21 (8.16, 8.27)	0.03
$\mu_{opt}(1/\mathrm{h})$	1	1.065 (0.854, 1.276)	0.096	$\mu_{opt}( ext{-h})$	1	1.242 (0.825, 1.659)	0.187
$T_{opt}(^{\circ}\mathrm{C})$	37	36.4 (35.4, 37.5)	0.5	$T_{opt}(^{\circ}\mathrm{C})$	37	38 (33.5, 42.4)	2
$T_{min}$ (°C)	0	-1.1 (-2.6, 0.5)	0.7	$T_{min}(^{\circ}\mathrm{C})$	0	-2.8 (-7.4, -1.8)	2.1
$T_{max}(^{\circ}\mathrm{C})$	45	42.4 (38.4, 46.4)	1.8	$T_{max}$ (°C)	45	40.3 (38.7, 41.9)	0.7
$q_0$	1	0.0244 (0.0167, 0.0321)	0.0035	A	1	1.91 (1.84, 1.99)	0.04
				m	1	0.33 (0.17, 0.48)	0.07
Step size (h)				0.1			
Model evaluation							
RSS	0.00	0.0071			0.0155		
MSE	0.000	0.0006			0.0016		
RMSE	0.023	0.0253			0.0394		
AIC	-46.0602			-29.8674			
AICc	-48.8602			-29.8674			
BIC	-39.8276				-22.7	444	

<sup>\*</sup> Int.: Initial guess; Est.: Estimation; SE: Standard error.

# Practical example VI – Non-isothermal inactivation fitting

- (1) In this case, a study on the non-isothermal inactivation of *Bacillus sporothermodurans* IC4 spores under dynamic heating conditions (Garre et al, 2018) was cited for fitting two dynamic inactivation models.
- (2) Choose the ® 'Inactivation' in the section of the 'Non-isothermal Models' (see Fig.2), and the setting panel of temperature secondary model will show up. The steps of importing data and obtaining results are similar to the non-isothermal growth fitting (see Fig. 9).
- (3) For this case, the estimated result of parameters by using two non-isothermal inactivation model are listed in Tab.11.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

Tab.11 Non-isothermal inactivation fitting results of the dynamic model in Microrisk Lab

Dynmaic Bigelow model			Dynamic Weibull model					
Parameter estimation	l*							
Parameters	Int.	Est. (95% CI) **	SE	Parameters	Int.	Est. (95% CI) **	SE	
$T_{ref}(^{\circ}C)$	130	-	-	$T_{ref}(^{\circ}C)$	130	-	-	
$y_0 (\log 10 \text{ CFU/g})$	-	5.78 (5.69, 5.87)	0.04	$y_0$ (log10 CFU/g)	-	5.78 (5.69, 5.88)	0.04	
$D_{ref}(\min)$	2	0.18 (0.05, 0.31)	0.06	$\delta_{ref}$	2.00	4.88 (-8.72, 18.48)	6.42	
z (°C)	6	6.67 (4.7, 8.6)	0.92	z (°C)	6.00	9.79 (-3.52, 23.09)	6.28	
				p	1.00	1.35 (0.01, 2.69)	0.63	
Step size (h)				0.01				
Model evaluation								
RSS	SS 0.1737				0.170	4		
MSE	0.010	)2			0.010	6		
RMSE	0.101	0.1011			0.103	2		
AIC	-32.1	-32.1667			-30.55	504		
AICc	-36.6	667			-35.88	-35.8837		
BIC	-29.1	795			-26.50	575		

<sup>\*</sup> Int.: Initial guess; Est.: Estimation; SE: Standard error.

<sup>\*\* 95%</sup>CI: lower and upper 95% confidence intervals.

## 7 Simulation module of Microrisk Lab

The simulation module allows to solve the ①② isothermal and ③④ non-isothermal forward problem in predictive microbiology (Fig.10). There are no limitations in the condition setting. Users may simulate the bacterial growth or inactivation with the prior knowledge on the kinetic parameter and growth/ death boundary. Moreover, both deterministic and stochastic models are provided in the isothermal simulation.



Fig. 10 Different sections of model fitting in the simulation module.

#### Practical example VII- Stochastic growth simulation

(1) The condition setting of the growth simulation is adopted from the stochastic growth of *Salmonella* Typhimurium individual cells researched by Koutsoumanis and Lianou (2013). Tab.12 lists the setting for simulation. The Buchanan model is chosen as the growth model for individual cells. A 10,000 times iteration was realized based on the simple sampling method for Monte-Carlo simulation.

Tab.12 Stochastic growth simulation settings for Microrisk Lab

Parameters	Microrisk Lab		
	Distribution	Normal	
$y_0 (\log_{10} \text{CFU/g})$	Mean	0	
	Standard deviation	0	
	Distribution	Normal	
$y_{max}$ (log <sub>10</sub> CFU/g)	Mean	8	
	Standard deviation	0	
	Distribution	LogNormal	
$t_{lag}$	Mean	3.355	
	Standard deviation	0.896	
	Shift	-1.628	
	Distribution	Logistic	
$\mu_{max}$	Mean	0.754	
	Standard deviation	0.024	
	Distribution	Uniform	
t	Maximum	0	
	Minimum	8	
Model	Buchanan model		
<b>Iteration times</b>	10,000		

(2) Choose ① the 'Growth' section of the 'Isothermal Models' in the 'Simulation' module, and ② choose 'Stochastic' model type in the setting panel (Fig.11). Then set the ③ 'Iteration time' and ④ 'Model' to '10,000' and 'Buchanan model', respectively.

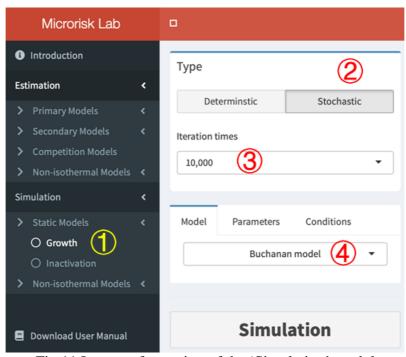


Fig.11 Layout of a section of the 'Simulation' module.

(3) Switch to the ① 'Parameters' tab to determine the setting of the (distribution of) ②  $y_{max}$ , ③  $t_{lag}$ , and ④  $\mu_{max}$  according to Tab.12 (Fig.12).

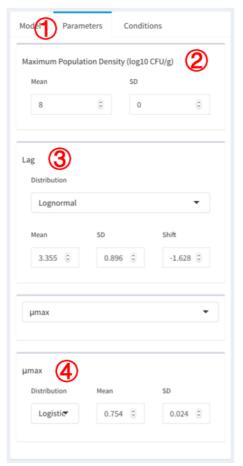


Fig.12 Box for kinetic parameter setting.

(4) Switch to the ① 'Conditions' tab to determine the setting of the (distribution of) ②  $y_0$ , ③ t according to Tab.12 (Fig.13).



Fig.13 Box for condition setting.

(5) Click the ① 'Simulation' button. After a necessary loading time, if no contradiction in the setting, the ② simulated curve/point and ③ predicted result will show in the result panel (Fig.14A). Otherwise, different popup messages will appear for the simulation warning (Fig.14B-D). In these cases, please check the setting of kinetic parameters and the condition of the simulated environment.



Fig.14 Layout of the interface after simulation.

(6) The stochastic growth simulation can be viewed on ① the interactive plot, which is also adjustable and downloadable (Fig.15A). The distribution of ② the estimated  $\mu_{max}$  and ③ final bacterial concentration ( $y_{final}$ ), as well as ④ the estimated mean value and standard deviation will

be presented and listed (Fig.15B). The sensitivity analysis on model parameters is realized by calculating the Pearson correlation between different factors and the bacterial counts. Here, according to ⑤ the correlation plot, the duration of growth time is the most sensitive parameter for the bacterial counts during the stochastic growth of *S.* Typhimurium single cell (Fig.15B).

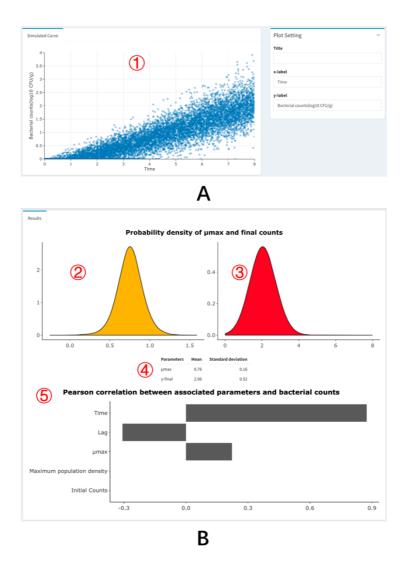


Fig.15 The result of stochastic simulation.

(7) Note that, in the section of 'Non-isothermal Models' of the simulation module, only deterministic model was provided in this version.

# 8 Predictive models integrated in Microrisk Lab

Microrisk Lab consists of 11 isothermal growth models (Tab.13), 9 inactivation models (Tab.14),10 secondary models (Tab.15), 2 competition growth models (Tab.16), and 4 non-isothermal models (Tab.17) for estimation or simulation work.

Tab.13. Explicit equations for growth included in Microrisk Lab

Name	Formula					
	Complete model					
Gompertz model <sup>1</sup>	$Y(t) = Y_0 + (Y_{max} - Y_0)exp\left\{-\exp\left[\frac{2.71\mu_{max}(t_{lag} - t)}{Y_{max} - Y_0} + 1\right]\right\}$					
Baranyi model <sup>2</sup>	$\begin{cases} Y(t) = Y_0 + \mu_{max} A(t) - \ln\left[1 + \frac{\exp(\mu_{max} A(t)) - 1}{\exp(Y_{max} - Y_0)}\right] \\ A(t) = t + \frac{1}{\mu_{max}} \ln\left[\exp(-\mu_{max} t) + \exp(-\mu_{max} t_{lag}) - \exp(-\mu_{max} t - \mu_{max} t_{lag})\right] \end{cases}$					
	$\mu_{max}$					
	$ (y(t) = y_0, \ t < t_{lag} $					
Buchanan model <sup>3</sup>	$\left\{ y(t) = y_0 + \frac{\mu_{max}}{\ln 10} \left( t - t_{lag} \right), \ t_{lag} \le t < t_{max} \right\}$					
	$\begin{cases} y(t) = y_0, \ t < t_{lag} \\ y(t) = y_0 + \frac{\mu_{max}}{\ln 10} (t - t_{lag}), \ t_{lag} \le t < t_{max} \\ y(t) = y_{max}, \ t \ge t_{max} \end{cases}$					
Lag-logistic model <sup>4</sup>	$Y(t) = Y_{01} \ t < t_{lag}$					
	$\begin{cases} Y(t) = Y_0, \ t < t_{lag} \\ Y(t) = Y_{max} - \ln\{1 + [\exp(Y_{max} - Y_0) - 1] \exp[-\mu_{max}(t - t_{lag})]\}, \ t \ge t_{lag} \end{cases}$					
	$(Y(t) = Y_0 + Y_{max} - \ln\{\exp(Y_0) + [\exp(Y_{max}) - \exp(Y_0)] \exp(-\mu_{max}B(t))\}$					
Huang model <sup>5</sup>	$\begin{cases} Y(t) = Y_0 + Y_{max} - \ln\{\exp(Y_0) + [\exp(Y_{max}) - \exp(Y_0)] \exp(-\mu_{max}B(t))\} \\ B(t) = t + \frac{1}{4}\ln\frac{1 + \exp[-4(t - t_{lag})]}{1 - \exp(4t_{lag})} \end{cases}$					
	$(1-\exp(4t_{lag}))$					
	No lag model					
Logistic model <sup>6</sup>	$Y(t) = Y_0 + Y_{max} - \ln\{\exp(Y_0) + [\exp(Y_{max}) - \exp(Y_0)]\exp(-\mu_{max}t)\}\$					
Buchanan model <sup>7</sup>	$(y(t) = y_0 + \frac{\mu_{max}}{\ln 10}t, \ t < t_{max})$					
Buchanan moder	$\begin{cases} y(t) = y_0 + \frac{\mu_{max}}{\ln 10}t, \ t < t_{max} \\ y(t) = y_{max}, \ t \ge t_{max} \end{cases}$					
Reduced model						
Baranyi model <sup>8</sup>	$Y(t) = Y_0 + \mu_{max}t + \ln\left[\exp(-\mu_{max}t) + \exp(-\mu_{max}t_{lag}) - \exp(-\mu_{max}t - \mu_{max}t_{lag})\right]$					
Buchanan model 9	$(y(t) = y_0, \ t < t_{lag}$					
	$\begin{cases} y(t) = y_0, \ t < t_{lag} \\ y(t) = y_0 + \frac{\mu_{max}}{\ln 10} (t - t_{lag}), \ t \ge t_{lag} \end{cases}$					
Huang model 10	$Y(t) = Y_0 + \mu_{max}t + \frac{1}{4}\mu_{max}\ln\frac{1 + \exp[-4(t - t_{lag})]}{1 - \exp(4t_{lag})}$					
Linear model						
Linear model	$Y(t) = Y_0 + \mu_{max}t$					

<sup>&</sup>lt;sup>1</sup>Zwietering et al., 1990; <sup>2/8</sup> Baranyi and Roberts, 1995; <sup>3/7/9</sup> Buchanan et al., 1997; <sup>4</sup>Rosso et al., 1996; <sup>5/6/10</sup> Huang, 2008.

Tab.14. Explicit equations for inactivtion included in Microrisk Lab

Name	Formula		
	Complete model		
Completed Geeraerd model <sup>1</sup>	$y(t) = y_{res} + \log_{10} \left[ \frac{(10^{y_0 - y_{res}} - 1) \exp(k_{max} S_l)}{\exp(k_{max} t) + \exp(k_{max} S_l) - 1} + 1 \right]$		
Three-phase model <sup>2</sup>	$\begin{cases} y(t) = y_0, \ t < S_l \\ y(t) = y_0 + \frac{k_{max}}{\ln 10} (t - S_l), \ S_l \le t < S_t \\ y(t) = y_{res}, \ t \ge S_t \end{cases}$		
Weibull-tail model <sup>3</sup>	$y(t) = y_{res} + \log_{10} \left[ (10^{y_0 - y_{res}} - 1) \cdot 10^{-\left(\frac{t}{\delta}\right)^p} + 1 \right]$		
	No shoulder model		
No shoulder Geeraerd model <sup>4</sup>	$y(t) = y_{res} + \log_{10} \{ (10^{y_0 - y_{res}} - 1) \exp(k_{max}t) + 1 \}$		
No shoulder two-phase model <sup>5</sup>	$\begin{cases} y(t) = y_0 + \frac{k_{max}}{\ln 10}t, & t < S_t \\ y(t) = y_{res}, & t \ge S_t \end{cases}$		
	No tail model		
No tail Geeraerd model <sup>6</sup>	$y(t) = y_0 + \frac{k_{max}t}{\ln 10} + \log_{10} \left\{ \frac{\exp(k_{max}S_l)}{1 + [\exp(k_{max}S_l) - 1]\exp(k_{max}t)} \right\}$		
No tail two-phase model <sup>7</sup>	$\begin{cases} y(t) = y_0, \ t < S_l \\ y(t) = y_0 + \frac{k_{max}}{\ln 10} (t - S_l), \ t \ge S_l \end{cases}$		
Weibull model <sup>8</sup>	$y(t) = y_0 - \left(\frac{t}{\delta}\right)^p$		
Linear model			
Bigelow model <sup>9</sup>	$y(t) = y_0 - \frac{t}{D}$		

 $^{1/4/6}\ Geeraerd\ et\ al.,\ \overline{2000;}\ ^{2/5/7}\ Buchanan\ and\ Golden,\ 1995;\ ^3\ Albert\ and\ Mafart,\ 2005;\ ^8\ van\ Boekel,\ 2002;\ ^9\ Bigelow,\ 1921.$ 

Tab.15. Secondary models for  $\mu_{max}$  included in Microrisk Lab

Name	Formula			
Temperature models				
Suboptimal square-root model <sup>1</sup>	$\mu_{max} = [a(T - T_{min})]^2$			
Full square-root model <sup>2</sup>	$\mu_{max} = \langle a(T - T_{min})\{1 - \exp[b(T - T_{max})]\} \rangle^2$			
Suboptimal Huang square-root model $^3$	$\mu_{max} = [a(T - T_{min})^{0.75}]^2$			
Full Huang square-root model 4	$\mu_{max} = \langle a(T - T_{min})^{0.75} \{1 - \exp[b(T - T_{max})]\} \rangle^2$			
Cardinal parameter model <sup>5</sup>	$\mu_{max} = \frac{\mu_{opt}(T - T_{max})(T - T_{min})^2}{[(T_{opt} - T_{min})(T - T_{opt}) - (T_{opt} - T_{max})(T_{opt} + T_{min} - 2T)](T_{opt} - T_{min})}$			
	pH models			
Cardinal 3-parameter model <sup>6</sup>	$\mu_{max} = \frac{\mu_{opt}(pH - pH_{min})[pH - (2pH_{opt} - pH_{min})]}{(pH - pH_{min})[pH - (2pH_{opt} - pH_{min})] - (pH - pH_{opt})^2}$			
Cardinal 4-parameter model <sup>7</sup>	$\mu_{max} = \frac{\mu_{opt}(pH - pH_{min})(pH - pH_{max})}{(pH - pH_{min})(pH - pH_{max}) - (pH - pH_{opt})^2}$			
Quasi-mechanistic model <sup>8</sup>	$\mu_{max} = \mu_{opt} (1 - 10^{pH_{min} - pH})$			
Water activity models				
Cardinal 2-parameter model <sup>9</sup>	$\mu_{max} = \frac{\mu_{opt}(aw - aw_{min})^2}{\left(1 - aw_{min}\right)^2}$			
Cardinal 3-parameter model <sup>10</sup>	$\mu_{max} = \frac{\mu_{opt}(aw-1)(aw-aw_{min})^2}{(aw_{opt}-aw_{min})[(aw_{opt}-aw_{min})(aw-aw_{opt})-(aw_{opt}-1)(aw_{opt}+aw_{min}-2aw)]}$			

<sup>&</sup>lt;sup>1/2</sup> Ratkowsky et al., 1983; <sup>3/4</sup> Huang and Hwang, 2011; <sup>5</sup> Rosso et al, 1993; <sup>6/7</sup> Rosso et al, 1995; <sup>8</sup> Presser et al. 1997; <sup>9/10</sup> Rosso and Robinson, 2001

# Name Formula $\begin{cases} y_{1}(t) = \begin{cases} y_{1} + \frac{\mu_{max1}}{\ln 10} t, & t < t_{max} \\ y_{1} + \frac{\mu_{max1}}{\ln 10} t_{max}, & t \geq t_{max} \end{cases} \\ y_{2}(t) = \begin{cases} y_{2} + \frac{\mu_{max2}}{\ln 10} t, & t < t_{max} \\ y_{2} + \frac{\mu_{max2}}{\ln 10} t_{max}, & t \geq t_{max} \end{cases} \end{cases}$ $\begin{cases} y_{1}(t) = \begin{cases} y_{1} + \frac{\mu_{max1}}{\ln 10} (t - t_{lag1}), & t_{lag1} \leq t < t_{max} \\ y_{1} + \frac{\mu_{max1}}{\ln 10} (t_{max} - t_{lag1}), & t \geq t_{max} \end{cases}$ $\begin{cases} y_{2}(t) = \begin{cases} y_{2} + \frac{\mu_{max2}}{\ln 10} (t - t_{lag2}), & t \geq t_{max} \\ y_{2} + \frac{\mu_{max2}}{\ln 10} (t_{max} - t_{lag2}), & t \geq t_{max} \end{cases}$ $\begin{cases} y_{2} + \frac{\mu_{max2}}{\ln 10} (t_{max} - t_{lag2}), & t \geq t_{max} \end{cases}$ Jameson - No lag Buchanan model <sup>1</sup> Jameson - Buchanan model<sup>2</sup>

1/2 Vimont et al., 2006

Tab.17. Ordinary differential equations for growth/ inactivtion included in Microrisk Lab

1 ab.17. Ordinary differen	intal equations for growth/ mactivition included in wherofisk Lab
Name	Formula
Non-isothermal growth models	
Baranyi - Cardinal parameter model <sup>1</sup>	$\begin{cases} \frac{dY}{dt} = \mu_{max} \left[ \frac{1}{1 + \exp{(-Q)}} \right] [1 - \exp{(Y - Y_{max})}] \\ \frac{dQ}{dt} = \mu_{max} \\ Q = \ln{\frac{q}{1 - q}} \\ Y(0) = Y_0 \\ q(0) = q_0 \\ \mu_{max} = \frac{\mu_{opt}(T - T_{max})(T - T_{min})^2}{[(T_{opt} - T_{min})(T - T_{opt}) - (T_{opt} - T_{max})(T_{opt} + T_{min} - 2T)](T_{opt} - T_{min})} \end{cases}$
Huang - Cardinal parameter model <sup>2/3</sup>	$\begin{cases} \frac{dY}{dt} = \mu_{max} \left[ \frac{1}{1 + \exp{(-4(t - t_{lag}))}} \right] [1 - \exp{(Y - Y_{max})}] \\ t_{lag} = \frac{\exp{(A)}}{\mu_{max}^m} \\ Y(0) = Y_0 \\ \mu_{opt}(T - T_{max})(T - T_{min})^2 \\ [(T_{opt} - T_{min})(T - T_{opt}) - (T_{opt} - T_{max})(T_{opt} + T_{min} - 2T)](T_{opt} - T_{min}) \end{cases}$
Non-isothermal inactivation model	
Dynamic Weibull model <sup>4</sup>	$\frac{dy}{dt} = -p \left( \frac{10^{\frac{T-T_{ref}}{z}}}{\delta_{ref}} \right)^p t^{p-1}, y(0) = y_0$

<sup>1/2/3</sup> Huang, 2017; <sup>4</sup> Mafart et al, 2002; <sup>5</sup> Van Impe et al., 1992.

Dynamic Bigelow model 5

The inferior number 1 or 2 in competition growth models represent the flora type.

# 9 Statistical indicators in Microrisk Lab

To evaluate and compare the goodness of fit, the statistical indicator of residual sum of squares (RSS, Eq.1), mean square error (MSE, Eq.2), root mean square error (RMSE, Eq.3), regular Akaike information criterion (AIC, Eq.4, Akaike, 1974), modified AIC (AICc, Eq.5, Burnham & Anderson, 2003) and Bayesian information criterions (BIC, Eq.6, Schwarz, 1978) are provided such in the 'Model Evaluation' tab for all regression analyses. The coefficient of determination (R-square R2, Eq.7) and adjusted coefficient of determination (Adjusted R<sup>2</sup>, Eq.8) were provided only for linear models.

$$\text{RSS} = \sum_{i=1}^{n} (y_i - \hat{y}_i)^2$$
 Eq.1 
$$\text{MSE} = \frac{\text{RSS}}{n-k}$$
 Eq.2 
$$\text{RMSE} = \sqrt{\text{MSE}}$$
 Eq.3 
$$\text{AIC} = -2\log(\hat{\theta}) + 2k$$
 Eq.4 
$$\text{AIC}_c = \text{AIC} + \frac{2k(k+1)}{n-k-1}$$
 Eq.5 
$$\text{BIC} = -2\log(\hat{\theta}) + k \ln(n)$$
 Eq.6 
$$\text{R}^2 = \frac{\sum_{i=1}^{n} (\hat{y}_i - \frac{1}{n} \sum_{i=1}^{n} y_i)^2}{\sum_{i=1}^{n} (y_i - \frac{1}{n} \sum_{i=1}^{n} y_i)^2}$$
 Eq.7 
$$\text{Adjusted } \text{R}^2 = 1 - (1 - \text{R}^2) \frac{n-1}{n-k-1}$$
 Eq.8

where  $y_i$  is the i th value of the observation;  $\hat{y}_i$  is the i th value of the prediction; k is the number of parameters; and n is the number of sample data;  $\log(\hat{\theta})$  is the numerical value of the log-likelihood for the fitted model (the probability of the data given a model in the model).

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