

# Problemas Analíticos para la Ecuación de Boltzmann

## Analytical issues from the Boltzmann Transport Equation

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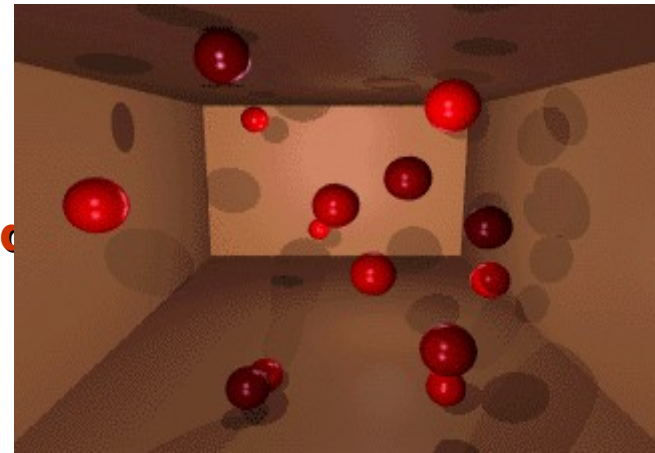
- **Classical problem:** Rarefied ideal gases: **conservative Boltzmann Transport eq.**
- **Energy dissipative phenomena:** Gas of elastic or inelastic interacting systems in the presence of a thermostat with a fixed background temperature  $\theta_b$  or Rapid granular flow dynamics: **(inelastic hard sphere interactions)**: homogeneous cooling states, randomly heated states, shear flows, shockwaves past wedges, etc.
- **(Soft) condensed matter at nano scale; mean field theory of charged transport:** Bose-Einstein condensates models, Boltzmann Poisson charge transport in electro chemistry and materials: hot electron transport and semiconductor modeling.
- **Emerging applications from stochastic dynamics and connections to probability theory** for multi-linear Maxwell type interactions : Social networks, Pareto tails for wealth distribution, non-conservative dynamics: opinion dynamic and information percolation models in social dynamics, particle swarms in population dynamics, etc.

**y: The classical Boltzmann equation:**

**olution estimates, exact and best constants**

**tence and stability for in a certain class of initial c**  
 **$L^p$  stability of the initial value problem**

**ectral-Lagrangian solvers for BTE**



# Overview

## Part I

- **Introduction to classical kinetic equations for elastic and inelastic interactions:**

### **The Boltzmann equation for binary elastic and inelastic collisions**

- \* Description of interactions, collisional frequency and potentials
- \* Energy dissipation & heat source mechanisms
- \* Revision of Elastic (conservative) vs inelastic (dissipative) theory.

## Part II

- **Convolution estimates type for the collisional integrals:**
  - Radial rearrangements methods
  - Connections to Brascamp-Lieb-Luttinger type estimates
  - Young and Hardy-Littlewood-Sobolev type inequalities
  - Exponentially weighted  $L^\infty$  estimates
- **Existence and stability of global in time of the Boltzmann equation**
  - $L^\infty \cap L^p$  solutions of the Cauchy problem of the space inhomogeneous problem with initial data near Maxwellian distributions
  - Propagation and moment creation of the space homogeneous solution for large data.

## Part III

### Some issues of variable hard and soft potential interactions

- Dissipative models for Variable hard potentials with heating sources:

All moments bounded

Stretched exponential high energy tails

### Spectral - Lagrange solvers for collisional problems

- Deterministic solvers for Dissipative models - The space homogeneous problem
- FFT application - Computations of Self-similar solutions
- Space inhomogeneous problems

### Time splitting algorithms

Simulations of boundary value - layers problems  
Benchmark simulations

# Part I

## The classical Elastic/Inelastic Boltzmann Transport Equation

for hard spheres in 3-d: ( L. Boltzmann 1880's), *in strong form*:

For  $f(t; x; v) = f$  and  $f(t; x; v_*) = f_*$  describes the *evolution of a probability distribution function (pdf)* of finding a particle *centered at  $x \in \mathbb{R}^d$ , with velocity  $v \in \mathbb{R}^d$ , at time  $t \in \mathbb{R}_+$ , satisfying*



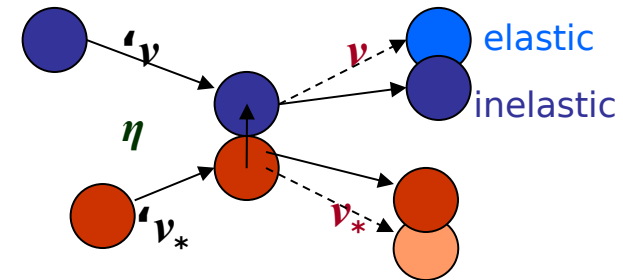
$$f_t + v \cdot \nabla_x f = C a^{d-1} G(x|\rho) \int_{\mathbb{R}^d} \int_{S_+^{d-1}} \left[ \frac{1}{e J_e} f' f'_* - f f_* \right] |u \cdot \eta| d\eta dv_*$$

$u = v - v_* :=$  *relative velocity*

$|u \cdot \eta| d\eta :=$  *collision rate*

$u \cdot \eta = u_\eta :=$  *impact velocity*

$\eta :=$  *impact direction (random in  $S_+^{d-1}$ )*



$v_*$  and  $v$  are called *pre-collisional velocities*, and  $v_*$  and  $v$  are the corresponding *post-collisional velocities*

$$u \cdot \eta = (v - v_*) \cdot \eta = -e (v - v_*) \cdot \eta = -e u \cdot \eta$$

$$u \cdot \eta_\perp = (v - v_*) \cdot \eta_\perp = (v - v_*) \cdot \eta_\perp = u \cdot \eta_\perp$$

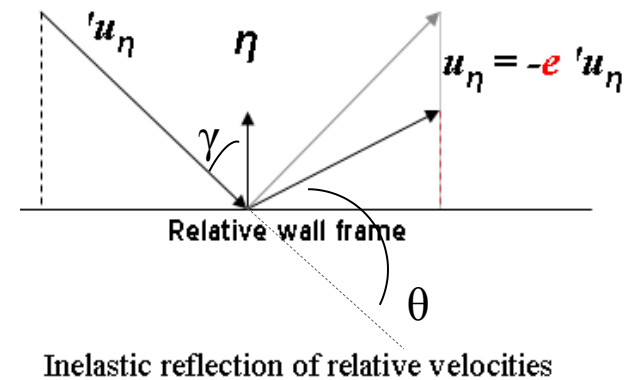
$C$  = number of particle in the box

$a$  = **diameter of the spheres**

$d$  = **space dimension**

$e :=$  *restitution coefficient* :  $0 < e \leq 1$

$e = 1$  *elastic interaction*,  $0 < e < 1$  *inelatic interaction*, ( $e=0$  *'sticky' particles*)



$$f_t + v \cdot \nabla_x f = C a^{d-1} G(x|\rho) \int_{\mathbb{R}^d} \int_{S_+^{d-1}} \left[ \frac{1}{e J_e} f' f'_* - f f_* \right] |u \cdot \eta| d\eta dv_*$$

$$\rho(x, t) = \int_{\mathbb{R}^d} f(x, v, t) dv \quad := \text{mass density}$$

$G(x|\rho) := \text{statistical correlation function}$  (sort of mean field ansatz, i.e. independent of  $v$ )  
 = for elastic interactions ( $e=1$ )

Main assumptions to be able to write the equation are:

- *Molecular Chaos hypothesis:* The probability of having the velocities of two interacting spheres are **uncorrelated before the interaction**  
 $f^{(2)}(t, x, v, y, v_*) = G(x | \rho(t, x)) f(t, x, v) f(t, x + a \cdot \eta, v_*) \Rightarrow \text{H-theorem}$

*Loss of memory of the **previous** collision*

- *The Boltzmann-Grad limit:* as  $C \rightarrow \infty$ ;  $a \rightarrow 0$  while  $C a^{d-1}$  remains bounded, i.e. "state of rarefied gas" **i.e. enough intersitial space**
- *Binary interactions:* the probability of three particle colliding at the **same** time is **zero**. **May be extended to multi-linear interactions** (in some special cases)

- Jacobian of the velocities transformation  $J_e : (v, v_*) \rightarrow (v', v'_*) = \left| \frac{v', v'_*}{v, v_*} \right|$ .

- Revised Enskog theory for inelastic collision mechanism

it is assumed that the restitution coefficient is only a function of the impact velocity  $\mathbf{e} = \mathbf{e}(|\mathbf{u} \cdot \boldsymbol{\eta}|)$ . The properties of the map  $z \rightarrow \mathbf{e}(z)$  are

- (i)  $z \mapsto \mathbf{e}(z)$  is absolutely continuous and non-increasing.
- (ii)  $z \mapsto z\mathbf{e}(z)$  is non-decreasing.

$$\mathbf{v}' = \mathbf{v} + \frac{(1+\mathbf{e})}{2} (\mathbf{u} \cdot \boldsymbol{\eta}) \boldsymbol{\eta} \quad \text{and} \quad \mathbf{v}'_* = \mathbf{v}_* + \frac{(1+\mathbf{e})}{2} (\mathbf{u} \cdot \boldsymbol{\eta}) \boldsymbol{\eta}$$

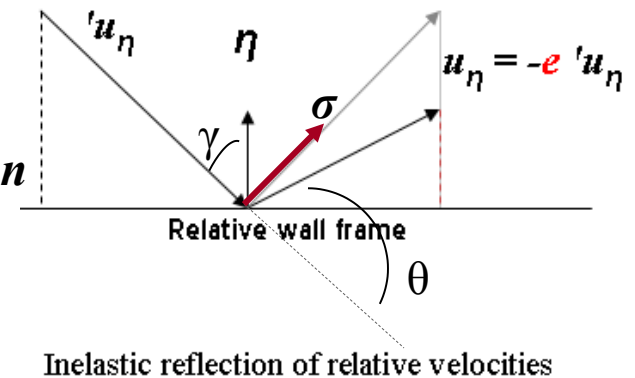
The notation for pre-collision perspective uses symbols  $\mathbf{v}, \mathbf{v}_*$ : Then, for  $\mathbf{e}' = \mathbf{e}(|\mathbf{u}' \cdot \boldsymbol{\eta}|) = 1/\mathbf{e}$ , the pre-collisional velocities are clearly given by

$$\mathbf{v} = \mathbf{v}' + \frac{(1+\mathbf{e}')}{2} (\mathbf{u}' \cdot \boldsymbol{\eta}) \boldsymbol{\eta} \quad \text{and} \quad \mathbf{v}_* = \mathbf{v}'_* + \frac{(1+\mathbf{e}')}{2} (\mathbf{u}' \cdot \boldsymbol{\eta}) \boldsymbol{\eta}$$

In addition, the Jacobian of the transformation is then given by

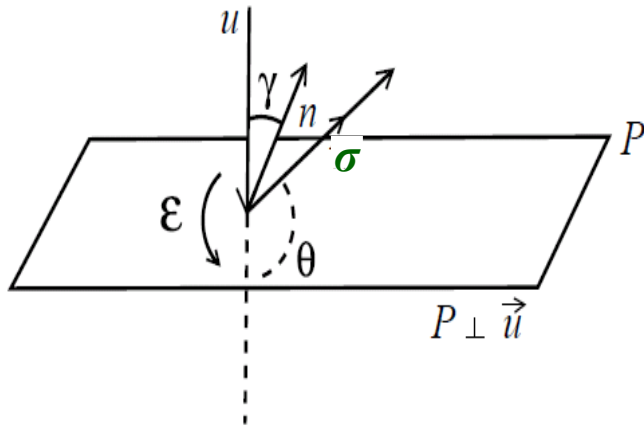
$$\mathbf{J}(\mathbf{e}(z)) = : \left| \frac{\partial \mathbf{v}', \mathbf{v}'_*}{\partial \mathbf{v}, \mathbf{v}_*} \right| = \mathbf{e}(z) + z\mathbf{e}_z(z) = \theta_z(z) = (z \mathbf{e}(z))_z$$

However, for a ‘handy’ weak formulation we need to write the equation in a different set of coordinates involving  $\boldsymbol{\sigma} := \mathbf{u}'/|\mathbf{u}'|$  the unit direction of the specular (elastic) reflection of the postcollisional relative velocity, for  $d=3$









**Goal:** Write the BTE in  $(\frac{(\mathbf{v} + \mathbf{v}_*)}{2}; u) =$

(center of mass, relative velocity) coordinates.

Let  $u = \mathbf{v} - \mathbf{v}_*$  the relative velocity associated to an **elastic** interaction. Let  $\mathbf{P}$  be the orthogonal plane to  $\mathbf{u}$ .

Spherical coordinates to represent the  $\mathbf{d}$ -space spanned by  $\{\mathbf{u}; \mathbf{P}\}$  are  $\{\mathbf{r}; \varphi; \varepsilon_1; \varepsilon_2; \dots; \varepsilon_{d-2}\}$ , where  $\mathbf{r}$  = radial coordinates,  $\varphi$  = polar angle, and  $\{\varepsilon_1; \varepsilon_2; \dots; \varepsilon_{d-2}\}$ , the  $n-2$  azimuthal angular variables.

then  $\cos \gamma = \frac{u}{|u|} \cdot \eta$  with  $\gamma = \frac{\pi - \theta}{2}$ ,  $\theta$  = scattering angle

$$|u|\sigma = u - 2(u \cdot \eta)\eta$$

- $0 \leq \sin \gamma = b/a \leq 1$ , with  $b$  = impact parameter,  $a$  = diameter of particle
- Assume scattering effects are symmetric with respect to  $\theta = 0 \rightarrow 0 \leq \theta \leq \pi \leftrightarrow 0 \leq \gamma \leq \pi/2$
- The unit direction  $\sigma$  is the **specular reflection** of  $u$  w.r.t.  $\gamma$ , that is  $|u|\sigma = u - 2(u \cdot \eta)\eta$
- Then write the BTE collisional integral with the  $\sigma$ -direction  $d\eta dv_* \rightarrow d\sigma dv_*$ ,  $\eta, \sigma$  in  $S^{d-1}$

using the identity

$$\frac{1}{|S^{d-1}| |u|} \int_{S^{d-1}} (u \cdot \eta)_+ g((u \cdot \eta)\eta) d\eta = \frac{1}{|S^{d-2}|} \int_{S^{d-1}} g\left(\frac{u - |u|\sigma}{2}\right) d\sigma$$

So the exchange of coordinates can be performed.

In addition, since  $d\sigma = |S^{d-2}| \sin^{d-2} \theta d\theta$ , then any function  $b(\frac{\mathbf{u} \cdot \sigma}{|u|})$  defined on  $S^{d-1}$  satisfies

$$\int_{S^{d-1}} b\left(\frac{\mathbf{u} \cdot \sigma}{|u|}\right) d\sigma = |S^{d-2}| \int_0^1 b(z) (1-z^2)^{(d-3)/2} dz, \quad z = \cos \theta$$

## Weak (Maxwell) Formulation: center of mass/ (specular reflected) relative velocity

Due to symmetries of the collisional integral one can obtain (after interchanging the variables of integration)  
Both **Elastic/inelastic** formulations: The inelasticity shows only in the exchange of velocities.

$$\left(\frac{\partial}{\partial t} + \nabla_x\right) \int_{\mathbb{R}^d} f(t, x, v) \varphi(v) dv = \int_{\mathbb{R}^d} Q(f, f)(t, x, v) \varphi(v) dv$$

*Center of mass-relative velocity coordinates for  $Q(f; f)$ : (see ref. [19])*

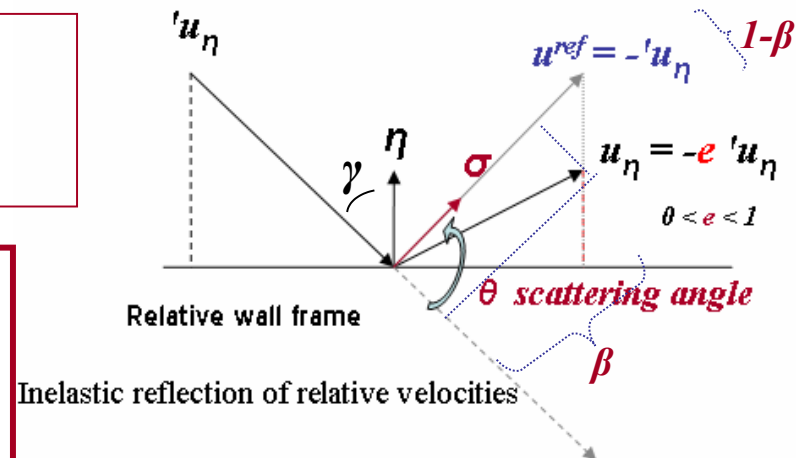
$$\int_{\mathbb{R}^d} Q(f, f) \varphi dv = \frac{1}{2} \int_{\mathbb{R}^{2d}} \int_{S_{\perp}^{d-1}} f f_* (\varphi' + \varphi'_* - \varphi - \varphi_*) |u|^\gamma b(\sigma) d\sigma dv_* dv$$

$$v' = \frac{v+v_*}{2} + \frac{1-\beta}{2}u + \frac{\beta}{2}|u|\sigma \quad \text{or} \quad u' = (1-\beta)u + \beta|u|\sigma$$

$$v'_* = \frac{v+v_*}{2} - \frac{1-\beta}{2}u - \frac{\beta}{2}|u|\sigma \quad \text{for } 0 < \beta = \frac{1+e}{2} \leq 1$$

$\sigma = u^{\text{ref}}/|u|$  is the unit vector in the direction of the relative velocity with respect to an elastic collision

$\lambda = 0$  for **Maxwell Type** (or Maxwell Molecule) models  $\gamma$   
 $= 1$  for **hard spheres** models;  
 $0 < \lambda < 1$  for variable **hard potential** models,  
 $-d < \lambda < 0$  for variable **soft potential** models.



***Collisional kernel*** or transition probability of interactions is calculated using intramolecular potential laws:

$$V = r^{-s} \quad \text{with} \quad s \in (2, \infty)$$

$$B_{\beta,\gamma,d}(|u|, \sigma(\theta)) = b_{\beta,\gamma,d}(\sigma(\theta)) |u|^\gamma, \quad \text{with } b_{\beta,\gamma,d}(\sigma(\theta)) \text{ *the angular cross section*}$$

*which satisfies*

$$\int_{\sigma \in S^{d-1}} b_{\beta}(\sigma) d\sigma = 1 \quad \text{Grad cut-off condition}$$

**In 3 dimensions:**

$$\gamma = \frac{s-5}{s-1} \quad \text{and} \quad b_{\beta,\gamma,d}(\sigma(\theta)) \approx \theta^{-d+3-\nu} \quad \text{with} \quad \nu = \frac{2}{s-1}$$

- the Grad cut-off assumption is satisfied for variable hard potentials ( $s \in (5, \infty)$ )

In addition, for some extra properties we call for the  $\alpha$ -growth condition

$$0 < b_{\beta,\gamma,d}(\sigma(\theta)) \theta^{\alpha(d)} < K$$

which is satisfied for ***angular cross section function***  $b_{\beta,\gamma,d}(\sigma(\theta))$  for  $\alpha > d-1$  (in 3-d is for  $\alpha > 2$ )

# Weak Formulation & fundamental properties of the collisional integral and the equation: Conservation of moments & entropy inequality

**x**-space homogeneous (or periodic boundary condition) problem: Due to symmetries of the collisional integral one can obtain (after interchanging the variables of integration): **Maxwell form of the BTE**

$$\frac{\partial}{\partial t} \int_{\mathbb{R}^d} f \varphi dv = \int_{\mathbb{R}^N} Q(f, f) \varphi(v) dv =$$

$$\frac{\kappa(t)}{2} \int_{\mathbb{R}^{2d}} \int_{S_+^{d-1}} f f_* (\varphi' + \varphi'_* - \varphi - \varphi_*) |u|^\gamma \tilde{b}(\sigma) d\sigma dv_* dv$$

**Invariant quantities (or observables) - These are statistical moments of the ‘pdf’**

conservation of mass  $\rho$  and momentum  $J$ : set  $\varphi(v) = 1$  and  $\varphi(v) = v$

Using local conservation of momentum on the test function:  $\boxed{v + v_* = v + v_*}$

$$\frac{\partial}{\partial t} \int_{\mathbb{R}^d} f \{1, v_i\} dv = \kappa(t) \int_{\mathbb{R}^d} Q(f, f)(v) \{1, v_i\} dv = 0, \quad i = 1, 2, 3.$$

holds, both for the **Elastic** and **Inelastic** cases

Next, set  $\varphi(v) = |v|^2 \Rightarrow$  It **conserves energy for  $e = 1$  – ELASTIC:**

Using local conservation of energy on the test function:  $\boxed{|v|^2 + |v_*|^2 = |v|^2 + |v_*|^2}$

$$\rightarrow \frac{\partial}{\partial t} \Theta(t) = \kappa(t) \int_{\mathbb{R}^d} Q(f, f)(v) |v|^2 dv = 0 \quad \text{Conservation of energy}$$

Recall **Boltzmann H-Theorem** for **ELASTIC** interactions:

$$\begin{aligned} \frac{\partial}{\partial t} \int f \log f \, dv &= \kappa(t) \int_{\mathbb{R}^d} Q(f, f) \log f \, dv = \\ &= \frac{\kappa(t)}{2} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{S_+^{d-1}} (ff_* - f'f'_*) \log \frac{f'f'_*}{ff_*} |u|^\gamma b(\sigma) \, d\sigma \, dv \, dv_* \leq 0 \end{aligned}$$

**Time irreversibility is expressed in this inequality**  $\Rightarrow$  **stability**

In addition:

**The Boltzmann Theorem:** *there are only  $N+2$  collision invariants*  $\Leftrightarrow$

$$\int_{\mathbb{R}^N} Q(f, f) \log f \, dv = 0 \iff \log f(\cdot, v) = A + B \cdot v + C|v|^2 \iff$$

$f(\cdot, v) = M_{A,B,C}(v)$  Maxwellian (Gaussian in  $v$ -space) parameterized by  $A, B, C$

related the first  $N + 2$  moments of the initial probability state of  $f(0, v) = f_0(v)$

## *Elastic (conservative) Interactions*

### **Time Irreversibility** and relation to Thermodynamics

- **Stability**  $\lim_{t \rightarrow \infty} \|f(t, v) - M_{A,B,C}\|_{L_2^1} \rightarrow 0$  where  $\{A, B, C\} \longleftrightarrow \{\rho, u, w\}$ ,  $\rho = \int f_0 dv$ ,  $\rho u = \int v f_0 dv$  and  $\rho w = \int |v|^2 f_0 dv$

- **Macroscopic balance equations:** For the space inhomogeneous problem:  
Under the ansatz of a Maxwellian state in  $v$ -space

$$f(t, x, v) = M_{a,b,\mathbf{u}} = a e^{-(b|v-\mathbf{u}|^2)}$$

where the dependance of  $(t, x)$  is only through the parameters  $(a, b, \mathbf{u})$ :

$$\mathbf{u} = \frac{\mathbf{J}}{\rho} \quad \text{mean velocity} \quad \text{and} \quad \Theta = \rho w = \frac{1}{2} \rho \mathbf{u}^2 + \rho e \quad \text{kinetic energy,} \quad e = \text{internal energy}$$

$$\text{choosing} \quad a = \frac{3^{3/2} \rho}{(4\pi e)^{3/2}}; \quad b = \frac{3}{4e}$$

plus **equilibrium constitutive relations**:  $P = \frac{2}{3} \rho e$  **pressure**.

→ yields the compressible Euler equations →

**Hydrodynamic limits: evolution models of a 'few' statistical moments  
(mass, momentum and energy)**

One obtains the Euler equations:

$$\frac{\partial \rho}{\partial t} + \sum_{i=1}^3 \frac{\partial}{\partial x_i} (\rho u_i) = 0,$$

$$\frac{\partial}{\partial t} (\rho u_j) + \sum_{i=1}^3 \frac{\partial}{\partial x_i} (\rho u_i u_j + p) = 0, \quad (j = 1, 2, 3)$$

$$\frac{\partial}{\partial t} (\rho (\frac{1}{2} |u|^2 + e)) = \sum_{i=1}^3 \frac{\partial}{\partial x_i} (\rho u_i (\frac{1}{2} |u|^2 + e + \frac{p}{\rho})) = 0.$$

- **Hydrodynamic limits:** for  $\epsilon$ -perturbations of Maxwellians plus constitutive relations  $\Rightarrow \{A, B, C\}(t, x)$  the corresponding macroscopic system satisfy compressible Euler or  $\epsilon$ -Navier-Stokes equations with higher order partial derivatives terms proportional to an  $O(\epsilon)$  deviations from Gaussian (Maxwellian) distributions.

## Reviewing Inelastic (dissipative) properties: loss of classical hydrodynamics

Set  $\varphi(v) = |v|^2$  and using local energy dissipation:

$$|v|^2 + |v_*|^2 - |v'|^2 - |v'_*|^2 = -\frac{1-e^2}{4}(1 - \nu \cdot \sigma)|v - v_*|^2$$

**INELASTIC** Boltzmann collision term:

It dissipates total energy for  $e=e(z) < 1$  (by Jensen's inequality):

$$\frac{\partial}{\partial t} \Theta(t) = -c_d \frac{(1-e^2)}{4} \kappa(t) \int_{\mathbb{R}^{2d}} f f_* |v - v_*|^{2+\gamma} dv_* dv \leq -c_d \frac{(1-e^2)}{4} \kappa(t) \Theta(t)^{\frac{\gamma+2}{2}}$$

and there is no classical H-Theorem if  $e = \text{constant} < 1$

$$\begin{aligned} \int_{\mathbb{R}^d} Q(f, f) \log f \, dv &= \frac{1}{2} \int_{\mathbb{R}^{2d} \times S^{d-1}} f f_* \left( \log \frac{f' f'_*}{f f_*} - \frac{f' f'_*}{f f_*} + 1 \right) |u|^\gamma b(\sigma) \, d\sigma \, dv \, dv_* \\ &\quad + \frac{1-e^2}{2e^2} \int_{\mathbb{R}^{2d}} f f_* |u|^\gamma \, dv \, dv_*. \end{aligned}$$

→ **Inelasticity brings loss of micro reversibility**

→ but keeps **time irreversibility !!**: That is, there are stationary states and, in some particular cases we can show stability to stationary and self-similar states → However: Existence of

**NESS**: Non Equilibrium Statistical States (**stable stationary states are non-Gaussian** pdf's)

→  $f(v, t) \rightarrow \delta_0$  as  $t \rightarrow \infty$  to a singular concentrated measure (unless there is 'source')

→ (Multi-linear Maxwell molecule equations of collisional type and variable hard potentials for collisions with a background thermostat)



## Part II

- **Convolution estimates type for the collisional integrals:**
  - Radial rearrangements methods
  - Connections to Brascamp-Lieb-Luttinger type estimates
  - Young and Hardy-Littlewood-Sobolev type inequalities
  - Exponentially weighted  $L^\infty$  estimates
- **Existence and stability of global in time of the Boltzmann equation**
  - $L^\infty \cap L^p$  solutions of the Cauchy problem of the space inhomogeneous problem with initial data near Maxwellian distributions
  - Propagation and moment creation of the space homogeneous solution for large data.

**Consider the Cauchy Boltzmann problem** (Maxwell, Boltzmann 1860s-80s);  
 Grad 1950s; Cercignani 60s; Kaniel Shimbrot 80's, Di Perna-Lions late 80's)

Find a function  $f(t, x, v) \geq 0$  that solves the equation (written in **strong form**)

$$\frac{\partial f}{\partial t} + v \cdot \nabla f = Q(f, f) \quad \text{in } (0, +\infty) \times \mathbb{R}^{2n} \quad \text{with} \quad f(0, x, v) = f_0(x, v).$$

$$Q(f, g) := \int_{\mathbb{R}^n} \int_{S^{n-1}} \{f(v')g(v'_*) - f(v)g(v_*)\} B(u, \hat{u} \cdot \sigma) d\sigma dv_*$$

$$v' = v - (u \cdot \sigma) \sigma, \quad v'_* = v_* + (u \cdot \sigma) \sigma \quad \text{and} \quad u = v - v_*. \quad \text{Conservative interaction (elastic)}$$

**Assumption on the model:** the collision kernel  $B(u, \hat{u} \cdot \sigma)$  satisfies

(i)  $B(u, \hat{u} \cdot \sigma) = |u|^\lambda b(\hat{u} \cdot \sigma)$  with  $-n < \lambda \leq 1$ ; we call **soft potentials:  $-n < \lambda < 0$**

(i) **Grad's assumption:**  $b(\hat{u} \cdot \sigma) \in L^1(S^{n-1})$ , that is

$$\|b\|_{L^1(S^{n-1})} = \int_{S^{n-1}} b(\hat{u} \cdot \sigma) d\sigma.$$

Grad's assumption allows to **split the collision operator in a gain and a loss part**,

$$Q(f, g) = Q^+(f, g) - Q^-(f, g) = \text{Gain} - \text{Loss}$$

**But not pointwise bounds** are assumed on  $b(\hat{u} \cdot \sigma)$

The loss operator has the following structure

$$Q^-(f, g) = f \cdot R(g), \quad \text{with} \quad R(g), \text{ called the collision frequency, given by}$$

$$\begin{aligned} R(g) &= \int_{\mathbb{R}^n} \int_{S^{n-1}} g(v_*) |u|^\lambda b(\hat{u} \cdot \sigma) d\sigma dv_* \\ &= \|b\|_{L^1(S^{n-1})} \int_{\mathbb{R}^n} g(v_*) |u|^\lambda dv_* = \|b\|_{L^1(S^{n-1})} g * |v|^\lambda. \end{aligned}$$

The **loss** bilinear form is a **convolution**.

We shall see also the **gain is a weighted convolution**

**Recall:  $Q^+(v)$  operator in weak (Maxwell) form, and then it can easily be extended to dissipative (inelastic) collisions**

$$\int_{\mathbb{R}^n} Q^+(f, g)(v) \psi(v) \, dv := \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(v) g(v_*) \int_{S^{n-1}} \psi(v') B(|u|, \hat{u} \cdot \omega) \, d\omega \, dv_* \, dv$$

**Exchange of velocities in center of mass-relative velocity frame**

$$u = v - v_* \quad , \quad v' = v - \frac{\beta}{2}(u - |u|\omega) \quad \text{and} \quad v + v_* = v' + v'_*$$

**Energy dissipation parameter or restitution parameters**

$$\beta : [0, \infty) \rightarrow (0, 1] \text{ defined by } \beta(z) := \frac{1+e(z)}{2} \quad \text{with} \quad z = |u| \sqrt{\frac{1-\hat{u} \cdot \omega}{2}}$$

- (i)  $z \mapsto e(z)$  is absolutely continuous and non-increasing.
- (ii)  $z \mapsto ze(z)$  is non-decreasing.

**Same the collision kernel form**  $B(|u|, \hat{u} \cdot \omega) = |u|^\lambda b(\hat{u} \cdot \omega)$  with  $-n < \lambda$ .

**With the Grad Cut-off assumption:**  $\int_{S^{n-1}} b(\hat{u} \cdot \omega) d\omega < \infty$ .

**And convolution structure in the loss term:**  $Q(f, g) = f \cdot \|b\|_{L^1(S^{n-1})} g * |v|^\lambda$ .

## Outline of recent work

*Average angular estimates (for the inelastic case as well) by means of radial rearrangement arguments*

- *Young's inequalities for  $1 \leq p, q, r \leq \infty$  (with exact constants) for Maxwell type and hard potentials  $|u|^\lambda$  with  $0 \leq \lambda = 1$*

→ *Sharp constants for Maxwell type interaction for  $(p, q, r) = (1, 2, 2)$  and  $(2, 1, 2)$   $\lambda = 0$*

- *Hardy Littlewood Sobolev inequalities, for  $1 < p, q, r < \infty$  (with exact constants) for soft potentials  $|u|^\lambda$  with  $-n \leq \lambda < 0$*

- *Triple Young's inequalities for  $1 \leq p, q, r, s \leq \infty$  (with exact constants) for radial non-increasing potentials in  $L^s(\mathbb{R}^d)$*

- *Existence, uniqueness and regularity estimates for the near vacuum and near (different) Maxwellian solutions for the space inhomogeneous problem (using Kaniel-Shimbrod iteration type solutions) elastic interactions for soft potential and the above estimates.*

- *$L^p$  stability estimates in the soft potential case, for  $1 \leq p \leq \infty$*

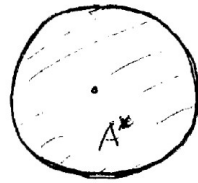
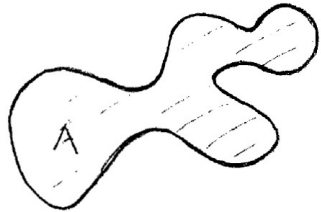
*Work in collaboration with Ricardo Alonso and Emanuel Carneiro*

# First, some useful concepts of real analysis

## 1. Radial rearrangements and $L^p$ norms

Let  $A$  be a measurable set of finite volume in  $\mathbb{R}^n$ . Its **symmetric rearrangement**  $A^*$  is the open centered ball whose volume agrees with  $A$ :

$$A^* = \{x \in \mathbb{R}^n \mid \omega_n |x|^n < \text{Vol}(A)\}$$



Define the **symmetric decreasing rearrangement**  $f^*$  of  $f$  by **symmetrizing** its level sets,

$$f^*(x) = \int_0^\infty \chi_{\{f(x) > t\}^*} dt.$$

Then  $f^*$  is lower semicontinuous (since its level sets are open), and is uniquely determined by the **distribution function**

$\mu_f(t) = \text{Vol}\{x \mid f(x) > t\}$   $\rightarrow$  By construction,  $f^*$  is equimeasurable with  $f$ , i.e., corresponding level sets of the two functions have the same volume

$$\mu_{f^*}(t) = \mu_f(t), \quad (\text{all } t > 0).$$

**Lemma: (Rearrangement preserves  $L^p$ -norms)** For every nonnegative function  $f$  in  $L^p(\mathbb{R}^n)$ ,

$$\|f\|_p = \|f^*\|_p \quad 1 \leq p \leq \infty,$$

See reference [17]

2. **Brascamp, Lieb, and Luttinger** (1974) showed that functionals of the form

$$\int_{(\mathbb{R}^k)^m} \prod_{i=1}^m f_i \left( \sum_{j=1}^n \eta_{ij} x_j \right) dx_1, \dots, dx_n$$

can only increase under a radial rearrangement, where the  $\eta_{ij}$  form an arbitrary real  $n \times m$  matrix

Moreover, they obtain **exact inequality constants**

3. **Beckner** (75), **Brascamp-Lieb** (76, 83) calculation of best/sharp constants for maximizations by **radial rearrangements by constructing a family of optimizers**.

1. **Calculation of Young and Hardy-Littlewood-Sobolev (convolutions) inequalities with exact and best constants – Also extended to multiple Young's ineq.**

Applications to problems in mathematical physics where the solutions are probabilities, i.e. Ornstein-Uhlenbeck; Fokker Plank equations, optimal decay rates to equilibrium, stability estimates Isoperimetric inequalities, etc.

## Recall classical $L^p$ convolution inequalities

### Youngs inequality (1912)

Suppose  $f$  is in  $L^p(\mathbb{R}^d)$  and  $g$  is in  $L^q(\mathbb{R}^d)$  and

$$\|f * g\|_r \leq c_{p,q} \|f\|_p \|g\|_q.$$

$$\frac{1}{p} + \frac{1}{q} = \frac{1}{r} + 1$$

with  $1 \leq p, q, r \leq \infty$ . Then

### Hardy-Littlewood-Sobolev inequality (1928-38)

Let  $p, q > 1$  and  $0 < \lambda < n$  be such that  $1/p + 1/q + \lambda/n = 2$ . There exists a constant  $C(n, \lambda, p)$  such that

$$\int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(x)g(y)|x - y|^{-\lambda} dx dy \leq C(n, \lambda, p) \|f\|_p \|g\|_q$$

for all  $f \in L^p(\mathbb{R}^n), g \in L^q(\mathbb{R}^n)$ .

The calculation of exact and sharp constants was done was Brascamp-Lieb 76 and Lieb 83 and 90 (see ref [35] and more refs therein).  $\rightarrow$

By interpolation arguments

$$\left\| \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(x)g(y)|x - y|^{-\lambda} dx dy \right\|_r \leq C(n, \lambda, p) \|f\|_p \|g\|_q$$

for  $1/p + 1/q + \lambda/n = 1 + 1/r$



# Average angular estimates & weighted Young's inequalities & Hardy Littlewood Sobolev inequalities & sharp constants

R. Alonso and E. Carneiro'08 (to appear in Adv. Math.), and R. Alonso and E. Carneiro, IG, 09 (refs[1,2]):  
by means of **radial symmertrization (rearrangement) techniques**

The weak formulation of the gain operator is a **weighted convolution**

$$\int_{\mathbb{R}^n} Q^+(f, g)(v) \psi(v) dv = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(v) g(v - u) \mathcal{P}(\tau_v \mathcal{R}\psi, 1)(u) |u|^\lambda d$$

Where the weight is an invariant under rotation operator involving

**translations and reflections**  $\tau_v \psi(x) := \psi(x - v)$  and  $\mathcal{R}\psi(x) := \psi(-x)$

and the **Bobylev's variables and operator**

$$\mathcal{P}(\psi, \phi)(u) := \int_{S^{n-1}} \psi(u^-) \phi(u^+) b(\hat{u} \cdot \omega) d\omega,$$

$$u^- = \frac{\beta}{2}(u - |u|\sigma) \quad \text{and} \quad u^+ = u - u^- = (1 - \beta)u + \frac{\beta}{2}(u + |u|\sigma).$$

is invariant under rotations

**Bobylev's operator ('75) on Maxwell type interactions  $\lambda=0$**   
is the well know identity for the Fourier transform of the  $Q^+$

$$\widehat{Q^+(f, g)} = \mathcal{P}(\hat{f}, \hat{g})$$

for  $\|f\|_{L_k^p(\mathbb{R}^n)} = \left( \int_{\mathbb{R}^n} |f(v)|^p (1 + |v|^{pk}) dv \right)^{1/p}$  and  $B(|u|, \hat{u} \cdot \omega) = |u|^\lambda b(\hat{u} \cdot \omega),$

**Young's inequality for variable hard potentials :  $0 \leq \lambda \leq 1$**

**Theorem 1.** Let  $1 \leq p, q, r \leq \infty$  with  $1/p + 1/q = 1 + 1/r$  and  $\lambda \geq 0$ . For  $\alpha \geq 0$ , the bilinear operator  $Q^+$  extends to a bounded operator from  $L_{\alpha+\lambda}^p(\mathbb{R}^n) \times L_{\alpha+\lambda}^q(\mathbb{R}^n) \rightarrow L_\alpha^r(\mathbb{R}^n)$  via the estimate

$$\|Q^+(f, g)\|_{L_\alpha^r(\mathbb{R}^n)} \leq C \|f\|_{L_{\alpha+\lambda}^p(\mathbb{R}^n)} \|g\|_{L_{\alpha+\lambda}^q(\mathbb{R}^n)}. \quad 0 \leq \lambda \leq 1$$

**Hardy-Littlewood-Sobolev type inequality for soft potentials :  $-n < \lambda < 0$**

**Theorem 2.** Let  $1 < p, q, r < \infty$  with  $-n < \lambda < 0$  and  $1/p + 1/q = 1 + \lambda/n + 1/r$ . Then the bilinear operator  $Q^+$  extends to a bounded operator from  $L^p(\mathbb{R}^n) \times L^q(\mathbb{R}^n) \rightarrow L^r(\mathbb{R}^n)$  via the estimate

$$\|Q^+(f, g)\|_{L^r(\mathbb{R}^n)} \leq C \|f\|_{L^p(\mathbb{R}^n)} \|g\|_{L^q(\mathbb{R}^n)}. \quad -n < \lambda < 0$$

• In both theorems the constant depends on  $C = C(n, \alpha, p, q, b, \beta, \lambda)$  are explicit and depend on bounds for  $\mathcal{P}(\tau_v \mathcal{R}\psi, 1)(u)$ , **but generally not sharp.**

• **Only in the cases  $\alpha = \lambda = 0$  (Maxwell type interactions),  $(p, q, r) = (2, 1, 2)$  and  $(p, q, r) = (1, 2, 2)$  we find sharp constants  $C$  for the Young's inequality.**

• This theorem exhibits the convolution character of  $Q^+(f, g)$ : it behaves as  $f * g * |u|^\lambda$  in the case of soft potentials.

## *Sketch of proof: important facts*

### *1- Radial rearrangement*

$$\mathcal{P}(\psi, \phi)(u) := \int_{S^{n-1}} \psi(u^-) \phi(u^+) b(\hat{u} \cdot \omega) d\omega,$$

## **Radial Symmetrization and the operator $\mathcal{P}$**

- $G = SO(n)$  the group of orthonormal rotations in  $\mathbb{R}^n$ .
- The Haar measure  $d\mu$  of this compact topological group re-normalized to  $\int_G d\mu(R) = 1$ .
- The radial symmetrization  $f_p^*$  is defined by

$$f_p^*(x) = \left( \int_G |f(Rx)|^p d\mu(R) \right)^{\frac{1}{p}}, \quad \text{if } f \in L^p(\mathbb{R}^n) \quad 1 \leq p < \infty.$$

and

$$f_\infty^*(x) = \text{ess sup}_{|y|=|x|} |f(y)|$$

taken over the sphere of radius  $|x|$  w.r.t. measure over that sphere

- The rearrangement  $f_p^*$  can be seen as an  $L^p$ -average of  $f$  over all the rotations  $R \in G$ :

Let  $d\nu$  be a rotationally invariant measure on  $\mathbb{R}^n$ :

$$\int_{\mathbb{R}^n} |f(x)|^p d\nu(x) = \int_{\mathbb{R}^n} |f_p^*(x)|^p d\nu(x) \quad \text{so} \quad \|f\|_{L^p(\mathbb{R}^n)} = \|f_p^*\|_{L^p(\mathbb{R}^n)}.$$

**2- Radial symmetrization lemma: the the weak formulation of opertor invariant under rotations is maximized on its radial rearrangement**  
**This approach is a non-linear analog to a Brascamp-Lieb-Luttinger type of argument**

**Lemma 3.** Let  $\psi, \varphi, \nu \in C_0(\mathbb{R}^n)$  and  $1/p + 1/q + 1/r = 1$ , with  $1 \leq p, q, r \leq \infty$   
Then

$$\left| \int_{\mathbb{R}^n} \mathcal{P}(\psi, \varphi)(u) \eta(u) du \right| \leq \int_{\mathbb{R}^n} \mathcal{P}(\psi_p^*, \varphi_q^*)(u) \eta_r^*(u) du.$$

**Sketch of proof**

- $\mathcal{P}(\psi, \varphi)(Ru) = \mathcal{P}(\psi \circ R, \varphi \circ R)(u)$  for any rotation  $R$ .
- $\left| \int_{\mathbb{R}^n} \mathcal{P}(\psi, \varphi)(u) \eta(u) du \right| \leq \int_{\mathbb{R}^n} \int_{S^{n-1}} |\psi(Ru^-)| |\varphi(Ru^+)| |\eta(Ru)| b(\hat{u} \cdot \omega) d\omega du$ . ind. of  $R$ .
- Integration over the group  $G = SO(n)$  leads to
$$\left| \int_{\mathbb{R}^n} \mathcal{P}(\psi, \varphi)(u) \eta(u) du \right| \leq \int_{\mathbb{R}^n} \int_{S^{n-1}} \left( \int_G |\psi(Ru^-)| |\varphi(Ru^+)| |\eta(Ru)| d\mu(R) \right) b(\hat{u} \cdot \omega) d\omega du.$$
- Applying of Hölder's inequality with exponents  $p, q$  and  $r$  yields
$$\int_G |\psi(Ru^-)| |\varphi(Ru^+)| |\eta(Ru)| d\mu(R) \leq \psi_p^*(u^-) \varphi_q^*(u^+) \eta_r^*(u),$$

In particular, for radial function set:  $f(x) = \tilde{f}(|x|)$

$\alpha$  corresponds to moments weights

$$\Rightarrow \int_{\mathbb{R}^n} f(x)^p |x|^\alpha dx = |S^{n-1}| \int_0^\infty \tilde{f}(t)^p t^{n-1+\alpha} dt.$$

and for  $d\nu_\alpha(x) = |x|^\alpha dx$ , and  $\sigma_n^\alpha$  on  $\mathbb{R}^+$  by  $d\sigma_n^\alpha(t) = t^{n-1+\alpha} dt$  **set**

$$\Rightarrow \|f\|_{L^p(\mathbb{R}^n, d\nu_\alpha)} = |S^{n-1}|^{\frac{1}{p}} \|\tilde{f}\|_{L^p(\mathbb{R}^+, d\sigma_n^\alpha)}$$

- For radial functions  $\mathcal{P}$  simplifies to a 1-dimensional integral

$$\begin{aligned} \mathcal{P}(\psi, \varphi)(u) &= \int_{S^{n-1}} \tilde{\psi}(|u^-|) \tilde{\varphi}(|u^+|) b(\hat{u} \cdot \omega) d\omega \\ &= |S^{n-2}| \int_{-1}^1 \tilde{\psi}(a_1(|u|, s)) \tilde{\varphi}(a_2(|u|, s)) b(s) (1-s^2)^{\frac{n-3}{2}} ds. \end{aligned}$$

with  $a_1$  and  $a_2$  are defined on  $\mathbb{R}^+ \times [-1, 1] \rightarrow \mathbb{R}^+$  by

$$a_1(x, s) = \beta x \left(\frac{1-s}{2}\right)^{1/2} \quad \text{and} \quad a_2(x, s) = x \left[\left(\frac{1+s}{2}\right) + (1-\beta)^2 \left(\frac{1-s}{2}\right)\right]^{1/2}$$

$$\text{So } \widetilde{\mathcal{P}(\psi, \varphi)}(x) = |S^{n-2}| \int_{-1}^1 \tilde{\psi}(a_1(x, s)) \tilde{\varphi}(a_2(x, s)) d\xi_n^b(s)$$

where the measure  $\xi_n^b$  on  $[-1, 1]$  is defined as  $d\xi_n^b(s) = |S^{n-2}| b(s)(1 - s^2)^{\frac{n-3}{2}}$

## ***Angular averaging lemma***

**Lemma** Let  $1 \leq p, q, r \leq \infty$  with  $1/p + 1/q = 1/r$ . For  $\psi \in L^p(\mathbb{R}^n, d\sigma_n^\alpha)$  and  $\varphi \in L^q(\mathbb{R}^n, d\sigma_n^\alpha)$  we have

$$\|\mathcal{P}(\psi, \varphi)\|_{L^r(\mathbb{R}^n, d\sigma_n^\alpha)} \leq \left\| \widetilde{\mathcal{P}(\psi, \varphi)} \right\|_{L^r(\mathbb{R}^+, d\sigma_n^\alpha)} \leq C \|\psi\|_{L^p(\mathbb{R}^n, d\sigma_n^\alpha)} \|\varphi\|_{L^q(\mathbb{R}^n, d\sigma_n^\alpha)},$$

where the constant  $C$  is given explicitly as a functions of the weight, the inelasticity and the angular integration.

In the case of constant parameter  $\beta = (1 + e)/2$ , one can show that  $C$  is sharp

$$C(n, \alpha, p, q, b, \beta) = \beta^{-\frac{n+\alpha}{p}} \int_{-1}^1 \left(\frac{1-s}{2}\right)^{-\frac{n+\alpha}{2p}} \left[\left(\frac{1+s}{2}\right) + (1 - \beta)^2 \left(\frac{1-s}{2}\right)\right]^{-\frac{n+\alpha}{2q}} d\xi_n^b(s)$$

How to show ***C is sharp?***

The radial symmetrization method generated the “extremal” operator for  $x \in \mathbb{R}^+$

$$\widetilde{\mathcal{P}(\psi, \varphi)}(x) = \left| S^{n-2} \right| \int_{-1}^1 \tilde{\psi}(a_1(x, s)) \tilde{\varphi}(a_2(x, s)) \, d\xi_n^b(s)$$

where the measure  $\xi_n^b$  on  $[-1, 1]$  is defined as  $d\xi_n^b(s) = \left| S^{n-2} \right| b(s)(1 - s^2)^{\frac{n-3}{2}}$

Then , define the following bilinear operator for any two bounded and continuous functions  $f, g : \mathbb{R}^+ \rightarrow \mathbb{R}$ ,

$$\mathcal{B}(f, g)(x) := \int_{-1}^1 f(a_1(x, s)) g(a_2(x, s)) \, d\xi_n^b(s)$$

Following Beckner’s approach ’75 Brascamp Lieb 76, one can find show  $C$  if the “best” constant by finding a pair sequence of functions such the operator acting on them achieves it.

take the sequences  $\{\psi_\epsilon\}$  and  $\{\varphi_\epsilon\}$  with  $\epsilon > 0$  defined by

$$\psi_\epsilon(x) = \begin{cases} \epsilon^{1/p} x^{-(n+\alpha-\epsilon)/p} & \text{for } 0 < x < 1, \\ 0 & \text{otherwise.} \end{cases}, \quad \varphi_\epsilon(x) = \begin{cases} \epsilon^{1/q} x^{-(n+\alpha-\epsilon)/q} & \text{for } 0 < x < 1 \\ 0 & \text{otherwise.} \end{cases}$$

so  $\|\psi_\epsilon\|_{L^p(\mathbb{R}^+, d\sigma_n^\alpha)} = \|\varphi_\epsilon\|_{L^q(\mathbb{R}^+, d\sigma_n^\alpha)} = 1$  and  $\|\mathcal{B}(\psi_\epsilon, \varphi_\epsilon)\|_{L^r(\mathbb{R}^+, d\sigma_n^\alpha)} \rightarrow C$

**Maxwell type interactions with  $\beta$  constant: the constants are sharp in (1,2, 2) and in (2,1,2)**

**Corollary:** Let  $f \in L^1(\mathbb{R}^n)$  and  $g \in L^2(\mathbb{R}^n)$ . Then

$$\begin{aligned} \|Q^+(f, g)\|_{L^2(\mathbb{R}^n)} &= \left\| \widehat{Q^+(f, g)} \right\|_{L^2(\mathbb{R}^n)} = \left\| \mathcal{P}(\hat{f}, \hat{g}) \right\|_{L^2(\mathbb{R}^n)} \\ &\leq C_0 \|\hat{f}\|_{L^\infty(\mathbb{R}^n)} \|\hat{g}\|_{L^2(\mathbb{R}^n)} \leq C_0 \|f\|_{L^1(\mathbb{R}^n)} \|g\|_{L^2(\mathbb{R}^n)} \end{aligned}$$

The constant is given by

$$C_0 = |S^{n-2}| \int_{-1}^1 \left[ \left(\frac{1+s}{2}\right) + (1-\beta)^2 \left(\frac{1-s}{2}\right) \right]^{-\frac{n}{4}} d\xi_n^b(s).$$

Similarly, for  $f \in L^2(\mathbb{R}^n)$  and  $g \in L^1(\mathbb{R}^n)$  we have

$$\|Q^+(f, g)\|_{L^2(\mathbb{R}^n)} \leq C_1 \|f\|_{L^2(\mathbb{R}^n)} \|g\|_{L^1(\mathbb{R}^n)},$$

where

$$C_1 = |S^{n-2}| \beta^{-\frac{n}{2}} \int_{-1}^1 \left(\frac{1-s}{2}\right)^{-\frac{n}{4}} d\xi_n^b(s).$$

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**The constant is achieved by the sequences:**  $f \geq 0$   $\|\hat{f}\|_{L^\infty(\mathbb{R}^n)} = \|f\|_{L^1(\mathbb{R}^n)}$  **So approximate**

**a Dirac  
in  $x$**

$$\tilde{f}_\epsilon(x) = e^{-\pi\epsilon^2 x^2}$$

**and**

$$\tilde{g}_\epsilon(x) = \begin{cases} \epsilon^{1/2} x^{-(n-\epsilon)/2} & \text{for } 0 < x < 1 \\ 0 & \text{otherwise.} \end{cases}$$

(see Alonso and Carneiro, to appear in Adv Math 2009)



## **Young's inequality for hard potentials for general $1 \leq p, q, r \leq \infty$**

The main idea is to establish a connection between the  $Q^+$  and  $P$  operators, and then use the knowledge from the previous estimates. *For  $\alpha = 0 = \lambda$  (Maxwell type interactions) no weighted norms*

$$I := \int_{\mathbb{R}^n} Q^+(f, g)(v) \psi(v) \, dv = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(v) g(v - u) \mathcal{P}(\tau_v \mathcal{R}\psi, 1)(u) \, du \, dv.$$

The exponents  $p, q, r$  in Theorem 1 satisfy  $1/p' + 1/q' + 1/r = 1$ ,

*First introduced by Gustafsson in '88, here is obtain in a sharp form.*

*Regroup and use Holder's inequality and the angular averaging estimates on  $L^{r'/q'}$  to obtain*

$$I = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \left( f(v)^{\frac{p}{r}} g(v - u)^{\frac{q}{r}} \right) \left( f(v)^{\frac{p}{q'}} \mathcal{P}(\tau_v \mathcal{R}\psi, 1)(u)^{\frac{r'}{q'}} \right) \\ \left( g(v - u)^{\frac{q}{p'}} \mathcal{P}(\tau_v \mathcal{R}\psi, 1)(u)^{\frac{r'}{p'}} \right) du \, dv \leq C \|f\|_{L^p(\mathbb{R}^n)} \|g\|_{L^q(\mathbb{R}^n)} \|\psi\|_{L^{r'}(\mathbb{R}^n)},$$

$$C = |S^{n-2}| \left( 2^{\frac{n}{r'}} \int_{-1}^1 \left( \frac{1-s}{2} \right)^{-\frac{n}{2r'}} d\xi_n^b(s) \right)^{\frac{r'}{q'}} \\ \left( \int_{-1}^1 \left[ \left( \frac{1+s}{2} \right) + (1 - \beta_0)^2 \left( \frac{1-s}{2} \right) \right]^{-\frac{n}{2r'}} d\xi_n^b(s) \right)^{\frac{r'}{p'}}$$

*These estimates resemble a **Brascamp-Lieb** type inequality argument (for a nonlinear weight) with best/exact constants approach to obtain **Young's inequality***

## 2- Young's inequality for hard potentials with $|v|^\alpha$ weights with $\alpha + \lambda > 0$ :

**For**  $\psi_\alpha(v) = \psi(v)|v|^\alpha$

As in the previous case, by Holder and the unitary transformations

$$\begin{aligned} \int_{\mathbb{R}^n} Q^+(f, g)(v) \psi_\alpha(v) dv &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(v) g(v-u) \mathcal{P}(\tau_v \mathcal{R} \psi_\alpha, 1)(u) |u|^\lambda du dv \\ &\leq 4 \cdot 2^{\alpha/2} \cdot 2^\lambda C \|f\|_{L_{\alpha+\lambda}^p(\mathbb{R}^n)} \|g\|_{L_{\alpha+\lambda}^q(\mathbb{R}^n)} \|\psi\|_{L^{r'}(\mathbb{R}^n)}. \end{aligned}$$

Then, one obtains

$$1- \quad \|Q^+(f, g)(v)|v|^\alpha\|_{L^r(\mathbb{R}^n)} \leq 2^{\alpha/2} \cdot 2^{\lambda+2} C \|f\|_{L_{\alpha+\lambda}^p(\mathbb{R}^n)} \|g\|_{L_{\alpha+\lambda}^q(\mathbb{R}^n)}.$$

$$2- \quad \|Q^+(f, g)(v)\|_{L^r(\mathbb{R}^n)} \leq 2^{\lambda+1} C \|f\|_{L_{\alpha+\lambda}^p(\mathbb{R}^n)} \|g\|_{L_{\alpha+\lambda}^q(\mathbb{R}^n)},$$

$$3- \quad \|Q^+(f, g)(v)\|_{L_\alpha^r(\mathbb{R}^n)} \leq 2^{1/r} \cdot 2^{\alpha/2} \cdot 2^{\lambda+2} C \|f\|_{L_{\alpha+\lambda}^p(\mathbb{R}^n)} \|g\|_{L_{\alpha+\lambda}^q(\mathbb{R}^n)},$$

all with the same

$$C = |S^{n-2}| \left( 2^{\frac{n}{r'}} \int_{-1}^1 \left( \frac{1-s}{2} \right)^{-\frac{n}{2r'}} d\xi_n^b(s) \right)^{\frac{r'}{q'}} \left( \int_{-1}^1 \left[ \left( \frac{1+s}{2} \right) + (1 - \beta_0)^2 \left( \frac{1-s}{2} \right) \right]^{-\frac{n}{2r'}} d\xi_n^b(s) \right)^{\frac{r'}{p'}}$$

**Remark:** 1- Previous  $L^p$  estimates by Gustafsson 88, Villani-Mouhot '04 for pointwise bounded  $b(u \cdot \sigma)$ , I.M.G-Panferov-Villani '03 for  $(p, 1, p)$  with  $\sigma$ -integrable  $b(u \cdot \sigma)$  in  $S^{n-1}$ .

2-The dependence on the weight  $\alpha$  may have room to improvement. One may expect estimates with polynomial (?) decay in  $\alpha$ , like in  $L_\alpha^1$  as shown Bobylev, I.M.G, Panferov and recently with Villani (97, 04, 08) (also previous work of Wennberg '94, Desvillettes, 96, without decay rates.)

**Hardy-Littlewood-Sobolev inequality for soft potentials  $-n < \lambda < 0$  :**

$$\begin{aligned} \int_{\mathbb{R}^n} Q^+(f, g)(v) \psi(v) \, dv &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} f(v) g(v-u) \mathcal{P}(\tau_v \mathcal{R} \psi, 1)(u) |u|^\lambda \, du \, dv \\ &= \int_{\mathbb{R}^n} f(v) \left( \int_{\mathbb{R}^n} \tau_v \mathcal{R} g(u) \mathcal{P}(\tau_v \mathcal{R} \psi, 1)(u) |u|^\lambda \, du \right) \, dv. \end{aligned}$$

Also here estimates resemble a **Brascamp-Lieb** type inequality argument (for a nonlinear weight)

Applying Holder's inequality and then the angular averaging lemma to the inner integral with  $(p, q, r) = (a, 1, a)$ ,  $a$  to be determined, one obtains

$1/a + 1/a' = 1$

$$\begin{aligned} \int_{\mathbb{R}^n} \tau_v \mathcal{R} g(u) \mathcal{P}(\tau_v \mathcal{R} \psi, 1)(u) |u|^\lambda \, du &\leq C_1 \|\tau_v \mathcal{R} \psi\|_{L^a(\mathbb{R}^n, d\nu_\lambda)} \|\tau_v \mathcal{R} g\|_{L^{a'}(\mathbb{R}^n, d\nu_\lambda)} \\ &= C_1 \left[ (|\psi|^a * |u|^\lambda)(v) \right]^{1/a} \left[ (|g|^{a'} * |u|^\lambda)(v) \right]^{1/a'} \end{aligned}$$

$$C_1 = |S^{n-2}| \, 2^{\frac{n+\lambda}{a}} \int_{-1}^1 \left( \frac{1-s}{2} \right)^{-\frac{n+\lambda}{2a}} \, d\xi_n^b(s).$$

The choice of integrability exponents allowed to get rid of the integrand singularity at  $s = -1$ , producing a uniform control with respect to the inelasticity  $\beta$ .

**Is it possible to make such choice of  $a$  ?**

Indeed, combining with the complete integral above, using triple Holder's inq. yields

$$\int_{\mathbb{R}^n} Q^+(f, g)(v) \psi(v) \, dv \leq C_1 \|f\|_{L^p(\mathbb{R}^n)} \| |\psi|^a * |u|^\lambda \|_{L^{b/a}(\mathbb{R}^n)}^{1/a} \| |g|^{a'} * |u|^\lambda \|_{L^{c/a'}(\mathbb{R}^n)}^{1/a'}$$

**Then:** for  $\frac{1}{a} + \frac{1}{a'} = 1, \quad 1 \leq a \leq \infty$  and  $\frac{1}{p} + \frac{1}{b} + \frac{1}{c} = 1, \quad 1 < b, c < \infty$

$$\int_{\mathbb{R}^n} Q^+(f, g)(v) \psi(v) dv \leq C_1 \|f\|_{L^p(\mathbb{R}^n)} \left\| |\psi|^a * |u|^\lambda \right\|_{L^{b/a}(\mathbb{R}^n)}^{1/a} \left\| |g|^{a'} * |u|^\lambda \right\|_{L^{c/a'}(\mathbb{R}^n)}^{1/a'}$$

Using the classical **Hardy-Littlewood-Sobolev** inequality to obtain (Lieb '83)

$$\left\| |\psi|^a * |u|^\lambda \right\|_{L^{b/a}(\mathbb{R}^n)} \leq C_2 \|\psi\|_{L^{ad}(\mathbb{R}^n)}^a \quad ad = r'$$

$$\left\| |g|^{a'} * |u|^\lambda \right\|_{L^{c/a'}(\mathbb{R}^n)} \leq C_3 \|g\|_{L^{a'e}(\mathbb{R}^n)}^{a'} \quad a'e = q$$

where the exponents satisfy

$$1 + \frac{a}{b} = \frac{1}{d} - \frac{\lambda}{n} \quad \text{and} \quad 1 + \frac{a'}{c} = \frac{1}{e} - \frac{\lambda}{n}.$$

*In fact, it is possible to find  $1/a$  in the non-empty interval*

$$\max \left\{ \frac{1}{r'(2 + \frac{\lambda}{n})}, 1 - \frac{1}{q(1 + \frac{\lambda}{n})} \right\} < \frac{1}{a} < \min \left\{ \frac{1}{r'(1 + \frac{\lambda}{n})}, 1 - \frac{1}{q(2 + \frac{\lambda}{n})} \right\}$$

such that

$$\left\| Q^+(f, g) \right\|_{L^r(\mathbb{R}^n)} \leq C \|f\|_{L^p(\mathbb{R}^n)} \|g\|_{L^q(\mathbb{R}^n)} \quad C = C_1 C_2^{1/a} C_3^{1/a'}$$

for  $1 < p, q, r < \infty$  with  $-n < \lambda < 0$  and  $1/p + 1/q = 1 + \lambda/n + 1/r$ .

***Inequalities with Maxwellian weights – fundamental estimates for pointwise exponentially weighted estimates***

*As an application of these ideas one can also show Young type estimates for the non-symmetric Boltzmann collision operator with exponential weights.*

First, for any  $a > 0$  and  $\gamma \geq 0$  define  $\mathcal{M}_\gamma(v) := \exp(-a|v|^\gamma)$

**Theorem 7.** *Let  $1 \leq p, q, r \leq \infty$  with  $1/p + 1/q = 1 + 1/r$ . Assume that*

$$B(|u|, \hat{u} \cdot \omega) = |u|^\lambda b(\hat{u} \cdot \omega),$$

*with  $0 \leq \lambda \leq 2$ . Then, for non-increasing restitution coefficient such that  $e(z) < 1$  for  $z \in (0, \infty)$ ,*

$$\|Q^+(f, g) \mathcal{M}_\lambda^{-1}\|_{L^r(\mathbb{R}^n)} \leq C \|f \mathcal{M}_\lambda^{-1}\|_{L^p(\mathbb{R}^n)} \|g \mathcal{M}_\lambda^{-1}\|_{L^q(\mathbb{R}^n)}$$

*The constant  $C := C(n, \lambda, p, q, b, \beta)$  is computed in the proof and is similar to the one obtained for Young's inequality proof.*

*In the important case  $(p, q, r) = (\infty, 1, \infty)$  The constant reduces to*

$$C = C(n, \lambda) \int_{-1}^1 \left[ \left(\frac{1+s}{2}\right) + (1 - \beta(0))^2 \left(\frac{1-s}{2}\right) \right]^{-n/2} b_\beta(s) \, ds, \qquad b_\beta(s) := \left[ 1 - \left(\frac{1+|\vartheta(s)|}{2}\right)^{\lambda/2} \right]^{-1} b(s),$$

with  $|\vartheta(s)| = \sqrt{(1 - \beta(x))^2 + \beta^2(x) + 2(1 - \beta(x))\beta(x)s}$ , and  $x = \sqrt{\frac{1-s}{2}}$ .

*Proof: an elaborated argument of the pre/post collision exchange of coordinates (see Alonso, Carneiro, G, 09)*

# ***Distributional and classical solutions to the Cauchy Boltzmann problem for soft potentials with integrable angular cross section*** (Ricardo Alonso & I.M.G., 09 submitted)

***Consider the Cauchy Boltzmann problem:***

$$(1) \quad \frac{\partial f}{\partial t} + v \cdot \nabla f = Q(f, f) \quad \text{in } (0, +\infty) \times \mathbb{R}^{2n} \quad f(0, x, v) = f_0(x, v).$$

$$Q(f, g) := \int_{\mathbb{R}^n} \int_{S^{n-1}} \{f(v')g(v'_*) - f(v)g(v_*)\} B(u, \hat{u} \cdot \sigma) d\sigma dv_*$$

$$v' = v - (u \cdot \sigma) \sigma, \quad v'_* = v_* + (u \cdot \sigma) \sigma \quad \text{and} \quad u = v - v_*.$$

$$B(u, \hat{u} \cdot \sigma) = |u|^{-\lambda} b(\hat{u} \cdot \sigma) \quad \text{with} \quad \mathbf{0} \leq \lambda < n-1 \quad \text{with the} \quad \textbf{Grad's assumption:} \quad \|b\|_{L^1(S^{n-1})} = \int_{S^{n-1}} b(\hat{u} \cdot \sigma) d\sigma.$$

$$\text{with} \quad Q(f, g) = f \|b\|_{L^1(S^{n-1})} g * |v|^{-\lambda}.$$

**Notation and spaces:** For  $M_{\alpha, \beta}(x, v) := \exp(-\alpha|x|^2 - \beta|v|^2)$

$$\text{Set } \mathcal{M}_{\alpha, \beta} = L^\infty(\mathbb{R}^{2n}, M_{\alpha, \beta}^{-1}). \quad \text{with the norm} \quad \|f\|_{\alpha, \beta} = \|f M_{\alpha, \beta}^{-1}\|_{L^\infty(\mathbb{R}^{2n})} \quad \text{and}$$

$$\text{Set } f^\#(t, x, v) := f(t, x + tv, v), \quad \text{so problem one reduces to} \quad \frac{df^\#}{dt}(t) = Q^\#(f, f)(t) \quad \text{with } f(0) = f_0.$$

**Definition.** A distributional solution in  $[0, T]$  of problem (1) is a function  $f \in W^{1,1}(0, T; L^\infty(\mathbb{R}^{2n}))$  that solves (5) a.e. in  $(0, T] \times \mathbb{R}^{2n}$ .

**Kaniel & Shinbrot iteration '78:** define the sequences  $\{l_n(t)\}$  and  $\{u_n(t)\}$  as the mild solutions to  
*(also Illner & Shinbrot '83)*

$$\begin{aligned} \frac{dl_n^\#}{dt}(t) + Q_-^\#(l_n, u_{n-1})(t) &= Q_+^\#(l_{n-1}, l_{n-1})(t) \\ \frac{du_n^\#}{dt}(t) + Q_-^\#(u_n, l_{n-1})(t) &= Q_+^\#(u_{n-1}, u_{n-1})(t) \end{aligned} \quad \text{with } 0 \leq l_n(0) \leq f_0 \leq u_n(0).$$

which relies in choosing a pair of functions  $(l_0, u_0)$  satisfying so called *the beginning condition* in  $[0, T]$ :

$$u_0^\# \in L^\infty(0, T; \mathcal{M}_{\alpha, \beta}) \quad \text{and} \quad 0 \leq l_0^\#(t) \leq l_1^\#(t) \leq u_1^\#(t) \leq u_0^\#(t) \quad \text{a.e. in } 0 \leq t \leq T.$$

**Theorem:** Let  $\{l_n(t)\}$  and  $\{u_n(t)\}$  the sequences defined by the mild solutions of the linear system above, such that the *beginning condition* is satisfied in  $[0, T]$ , then

(i) The sequences  $\{l_n(t)\}$  and  $\{u_n(t)\}$  are well defined for  $n \geq 1$ . In addition,  $\{l_n(t)\}$ ,  $\{u_n(t)\}$  are increasing and decreasing sequences respectively, and

$$l_n^\#(t) \leq u_n^\#(t) \quad \text{a.e. in } 0 \leq t \leq T.$$

(ii) If  $0 \leq l_n(0) = f_0 = u_n(0)$  for  $n \geq 1$ , then

$$\lim_{n \rightarrow \infty} l_n(t) = \lim_{n \rightarrow \infty} u_n(t) = f(t) \quad \text{a.e. in } [0, T].$$

The limit  $f(t) \in C(0, T; M_{\alpha, \beta}^\#)$  is the unique distributional solution of the Boltzmann equation in  $[0, T]$  and fulfills

$$0 \leq l_0^\#(t) \leq f^\#(t) \leq u_0^\#(t) \quad \text{a.e. in } [0, T].$$

## Hard and soft potentials case for small initial data

**Lemma :** Assume  $-1 \leq \lambda < n - 1$ . Then, for any  $0 \leq s \leq t \leq T$  and functions  $f^\#, g^\#$  that lie in  $L^\infty(0, T; \mathcal{M}_{\alpha, \beta}^\#)$ , then the following inequality holds

$$\int_s^t |Q_+^\#(f, g)(\tau)| d\tau \leq k_{\alpha, \beta} \exp(-\alpha|x|^2 - \beta|v|^2) \|f^\#\|_{L^\infty(0, T; \mathcal{M}_{\alpha, \beta}^\#)} \|g^\#\|_{L^\infty(0, T; \mathcal{M}_{\alpha, \beta}^\#)},$$

with

$$k_{\alpha, \beta} = \sqrt{\pi} \alpha^{-1/2} \|b\|_{L^1(S^{n-1})} \left( \frac{|S^{n-1}|}{n - \lambda - 1} + C_n \beta^{-n/2} \right)$$

So the following statement holds: **Distributional solutions for small initial data:** (near vacuum)

**Theorem:** Let  $B(u, \hat{u} \cdot \sigma) = |u|^{-\lambda} b(\hat{u} \cdot \sigma)$  with  $-1 \leq \lambda < n-1$  with the **Grad's assumption**  
Then, the Boltzmann equation has a unique global distributional solution if

$$\|f_0\|_{\alpha, \beta} \leq \frac{1}{4k_{\alpha, \beta}}. \text{ Moreover for any } T \geq 0, \quad \|f^\#\|_{L^\infty(0, T; \mathcal{M}_{\alpha, \beta}^\#)} \leq C := \frac{1 - \sqrt{1 - 4k_{\alpha, \beta} \|f_0\|_{\alpha, \beta}}}{2k_{\alpha, \beta}}.$$

As a consequence, one concludes that the distributional solution  $f$  is controlled by a traveling Maxwellian, and that

$$\lim_{t \rightarrow \infty} f(t, x, \xi) \rightarrow 0 \text{ a.e. in } \mathbb{R}^{2n}. \quad \text{It behaves like the heat equation, as mass spreads as } t \text{ grows}$$



## ***Distributional solutions near local Maxwellians : Ricardo Alonso, IMG'08***

*Previous work by Toscani '88, Goudon '97, Mischler –Perthame '97*

**Theorem:** Let  $B(u, \hat{u} \cdot \sigma) = |u|^{-\lambda} b(\hat{u} \cdot \sigma)$  with  $-n < \lambda \leq 0$  with the **Grad's assumption**  
In addition, assume that  $f_0$  is  $\varepsilon$ -close to the local Maxwellian distribution  $M(x, v) = C M_{\alpha, \beta}(x - v, v)$  ( $0 < \alpha, 0 < \beta$ ).

Then, for sufficiently small  $\varepsilon$  the Boltzmann equation has a unique solution satisfying

$$C_1(t) M_{\alpha_1, \beta_1}(x - (t+1)v, v) \leq f(t, x-vt, v) \leq C_2(t) M_{\alpha_2, \beta_2}(x - (t+1)v, v)$$

for some positive functions  $0 < C_1(t) \leq C \leq C_2(t) < \infty$ , and parameters  $0 < \alpha_2 \leq \alpha \leq \alpha_1$  and  $0 < \beta_2 \leq \beta \leq \beta_1$ .

Moreover, the case  $\beta = 0$  (infinite mass) is permitted as long as  $\beta_1 = \beta_2 = 0$ .

*(this last part extends the result of Mishler & Perthame '97 to soft potentials)*

**Distributional solutions near local Maxwellians : Ricardo Alonso, IMG'08**

Sketch of proof:

Define the *distance* between two Maxwellian distributions  $M_i = C_i M_{\alpha_i, \beta_i}$  for  $i = 1, 2$  as

$$d(M_1, M_2) := |C_2 - C_1| + |\alpha_2 - \alpha_1| + |\beta_2 - \beta_1|.$$

Second, we say that  $f$  is  $\epsilon$ -close to the Maxwellian distribution  $M = C M_{\alpha, \beta}$  if there exist Maxwellian distributions  $M_i (i = 1, 2)$  such that  $d(M_i, M) < \epsilon$  for some small  $\epsilon > 0$ , and  $M_i \leq f \leq M_j$ .

Also define

$$\phi_{\alpha, \beta}(t, x, v) := \|b\|_{L^1(S^{n-1})} \int_{\mathbb{R}^n} \exp \left( -\alpha |x + u|^2 - \beta |v - u/t|^2 \right) |u|^{-\lambda} du.$$

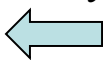
and notice that for  $-n < \lambda \leq 0$

$$\|\phi_{\alpha_2, \beta_2} - \phi_{\alpha_1, \beta_1}\|_{L^\infty} \leq C(\min \alpha_i, \min \beta_i) d(M_1, M_2),$$

Following the **Kaniel-Shimbro** procedure, one obtains the following non-linear system of inequations

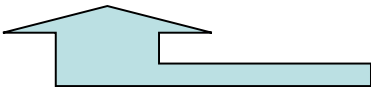
$$\begin{aligned} C_1'(t) + \frac{C_1(t) C_2(t)}{t^{n-\lambda}} \phi_2 &\leq \frac{C_1^2(t)}{t^{n-\lambda}} \phi_1 \\ C_2'(t) + \frac{C_1(t) C_2(t)}{t^{n-\lambda}} \phi_1 &\geq \frac{C_2^2(t)}{t^{n-\lambda}} \phi_2. \end{aligned}$$

which can be solved for a suitable choice of  $C_1(t)$  and  $C_2(t)$  satisfying:



$$\frac{C_1(t)}{C_1(t_0)} = \frac{C_2(t_0)}{C_2(t)}.$$

and the initial data for  $t_0=1$  satisfying:



$$\begin{aligned} |C_2(1) - k| &\leq K_1(C, \alpha, \beta) d(M_1, M_2) \leq 2 K_1(C, \alpha, \beta) \epsilon, \\ \exp \left( k \frac{\|\phi_1 + \phi_2\|_{L^\infty} + \|\phi_1 - \phi_2\|_{L^\infty}}{n - \lambda - 1} \right) &\leq K_2(C, \alpha, \beta), \\ \text{and } C_2(1) + k &\geq K_3(C, \alpha, \beta), \end{aligned}$$

## Classical solutions

(Different approach from Guo '03, our methods follow some of the those by Boudin & Desvillettes '00, plus new ones )

**Definition.** A *classical solution* in  $[0, T]$  of problem our is a function such that

$$(i) \ f(t) \in W^{1,1}(0, T; L^\infty(\mathbb{R}^{2n})) \ , \quad (ii) \ \nabla f \in L^1(0, T; L^p(\mathbb{R}^{2n})) \text{ for some } 1 \leq p,$$

**Theorem (Application of HLS inequality to  $Q^+$  for soft potentials):** *Let the collision kernel satisfying assumptions  $\lambda < n$  and the Grad cut-off, then for  $1 < p < \infty$*

$$\begin{aligned} \|Q_+(f, g)\|_{L_v^p(\mathbb{R}^n)} &\leq C_1 \|f\|_{L_v^p(\mathbb{R}^n)} \|g\|_{L_v^\gamma(\mathbb{R}^n)} \ , \\ \|Q_+(f, g)\|_{L_v^p(\mathbb{R}^n)} &\leq C_2 \|g\|_{L_v^p(\mathbb{R}^n)} \|f\|_{L_v^\gamma(\mathbb{R}^n)} \text{ and} \\ \|Q_-(g, f)\|_{L_v^p(\mathbb{R}^n)} &\leq C_3 \|f\|_{L_v^p(\mathbb{R}^n)} \|g\|_{L_v^\gamma(\mathbb{R}^n)} \ , \end{aligned}$$

where  $\gamma = n/(n-\lambda)$  and  $C_i = C(n, \lambda, p, \|b\|_L \mathbf{1}_{(s^{n-1})})$  with  $i = 1, 2, 3$ .

The constants can be explicitly computed and are proportional to

$$C_i \propto |S^{n-2}| \int_{-1}^1 \left( \frac{2}{1-s} \right)^{\frac{n-\lambda}{2q}} b(s) (1-s^2)^{\frac{n-3}{2}} ds \text{ with } i = 1, 2$$

with parameter  $1 < q = q(n, \lambda, p) < \infty$

(the singularity at  $s = 1$  is removed by symmetrizing  $b(s)$  when  $f = g$ )

**Theorem (global regularity near Maxwellian data)** Fix  $0 \leq T \leq \infty$  and assume the collision kernel satisfies  $B(u, \hat{u} \cdot \sigma) = |u|^{-\lambda} b(\hat{u} \cdot \sigma)$  with  $-1 \leq \lambda < n-1$  with the **Grad's assumption**.

Also, assume that  $f_0$  satisfies the smallness assumption or is near to a local Maxwellian. In addition, assume that  $\nabla f_0 \in L^p(\mathbb{R}^{2n})$  for some  $1 < p < \infty$ . Then, there is a unique classical solution  $f$  to problem (1) in the interval  $[0, T]$  satisfying the estimates of these theorems, and

$$\|\nabla f\|_{L^p(\mathbb{R}^{2n})}(t) \leq C \|\nabla f_0\|_{L^p(\mathbb{R}^{2n})} \quad \text{for all } t \in [0, T],$$

with constant  $C = C(n, p, \lambda, \|b\|_{L^1(S^{n-1})})$ .


**Proof:** set

$$(D_{h,\hat{x}}f)(x) := \frac{f(x+h\hat{x})-f(x)}{h}, \qquad (\tau_{h,\hat{x}}f)(x) := f(x+h\hat{x}).$$

$p |(Df)^\#|^{p-1} \operatorname{sgn}((Df)^\#)$

:

$\int \frac{d(Df)^\#}{dt}(t) = (DQ(f,f))^\#(t) = Q^\#(Df,f)(t) + Q^\#(\tau f,Df)(t).$



$\frac{d \|Df\|_{L^p}^p}{dt} \leq p C \int_{\mathbb{R}^n} \|Df\|_{L_v^p(\mathbb{R}^n)}^p \left( \|f\|_{L_v^a(\mathbb{R}^n)} + \|\tau f\|_{L_v^a(\mathbb{R}^n)} \right) dx.$

with

$$\begin{cases} \|f\|_{L_v^a(\mathbb{R}^n)} \leq \frac{C}{(1+t)^{n/a}} = \frac{C}{(1+t)^{n-\lambda}}, \\ \|\tau f\|_{L_v^a(\mathbb{R}^n)} \leq \frac{C}{(1+t)^{n-\lambda}}. \end{cases}$$



By Gronwall inequality

$$\|Df\|_{L^p(\mathbb{R}^{2n})}(t) \leq \|Df_0\|_{L^p(\mathbb{R}^{2n})} \exp\left(\int_0^t \frac{C}{(1+s)^{n-\lambda}} ds\right),$$

with  $a = n/(n-\lambda)$

**Velocity regularity**

**Theorem** Let  $f$  be a classical solution in  $[0, T]$  with  $f_0$  satisfying the condition of smallness assumption or is near to a local Maxwellian and  $\nabla_x f_0 \in L^p(\mathbb{R}^{2n})$  for some  $1 < p < \infty$ . In addition assume that  $\nabla_v f_0 \in L^p(\mathbb{R}^{2n})$ . Then,  $f$  satisfies the estimate

$$\|(\nabla_v f)(t)\|_{L^p(\mathbb{R}^{2n})} \leq C \left( \|\nabla_v f_0\|_{L^p(\mathbb{R}^{2n})} + t \|\nabla_x f_0\|_{L^p(\mathbb{R}^{2n})} \right),$$

with  $C = C(n, p, \lambda, \|b\|_{L^1(S^{n-1})})$  independent of the time.


**Proof :** Take  $(D_{h,\hat{v}} f)(v) := \frac{f(v + h\hat{v}) - f(v)}{h}$

for a fix  $h > 0$  and  $\hat{v} \in S^{n-1}$  and the corresp. translation operator and transforming  $v_* \rightarrow v_* + h\hat{v}$  in the collision operator.

$p |(Df)|^{p-1} \operatorname{sgn}((Df))$

 $: \int$

$$\frac{d(Df)}{dt}(t) + v \cdot \nabla(Df)(t) + \hat{v} \cdot \nabla(\tau f)(t) = Q(Df, f)(t) + Q(\tau f, Df)(t).$$



$$\frac{d \|Df\|_{L^p}^p}{dt}(t) \leq \frac{p C}{(1 + t)^{n-\lambda}} \|Df\|_{L^p(\mathbb{R}^{2n})}^p + p \|Df\|_{L^p(\mathbb{R}^{2n})}^{p-1} \|\nabla f\|_{L^p(\mathbb{R}^{2n})} .$$

$$\frac{d \|Df\|_{L^p}^p}{dt}(t) \leq \frac{p C}{(1+t)^{n-\lambda}} \|Df\|_{L^p(\mathbb{R}^{2n})}^p + p \|Df\|_{L^p(\mathbb{R}^{2n})}^{p-1} \|\nabla f\|_{L^p(\mathbb{R}^{2n})}.$$

Just set

$$X(t) := \|Df\|_{L^p(\mathbb{R}^{2n})}^p(t)$$

then

$$\boxed{\frac{dX(t)}{dt} \leq a(t)X(t) + b(t)X^{\frac{p-1}{p}}(t).} \quad \textit{Bernoulli ODE}$$

$$\text{with} \quad a(t) = \frac{p C}{(1+t)^{n-\lambda}} \quad \text{and} \quad b(t) = p \|(\nabla f)(t)\|_{L^p(\mathbb{R}^{2n})}^{p-1}.$$

Which is solved by

$$X^{\frac{1}{p}}(t) \leq X_0^{\frac{1}{p}} \exp\left(\frac{1}{p} \int_0^t a(s) ds\right) + \frac{1}{p} \int_0^t \exp\left(\frac{1}{p} \int_\sigma^t a(s) ds\right) b(\sigma) d\sigma.$$

Then, by the regularity estimate

$$\|Df\|_{L^p(\mathbb{R}^{2n})}(t) \leq \left(\|Df_0\|_{L^p(\mathbb{R}^{2n})} + t \|\nabla f_0\|_{L^p(\mathbb{R}^{2n})}\right) \exp\left(\int_0^t \frac{C}{1+s^{n-\lambda}} ds\right).$$

*with  $0 < \lambda < n-1$*

## ***$L^p$ and $M_{\alpha,\beta}$ stability***

Set 
$$\frac{d(f-g)^\#}{dt}(t) = Q^\#(f, f)(t) - Q^\#(g, g)(t) = \frac{1}{2} \left[ Q^\#(f-g, f+g) - Q^\#(f+g, f-g) \right].$$

multiplying by  $|(f-g)^\#|^{p-1} \text{sgn}((f-g)^\#)$  with  $p > 1$

$$\Rightarrow \frac{d \|f-g\|_{L^p}^p}{dt}(t) \leq C \int_{\mathbb{R}^n} \|f-g\|_{L_v^p(\mathbb{R}^n)}^p \|f+g\|_{L_v^q(\mathbb{R}^n)} dx.$$

Now, since  $f$  and  $g$  are controlled by traveling Maxwellians one has 
$$\|f+g\|_{L_v^q(\mathbb{R}^n)} \leq \frac{C}{(1+t)^{n-\lambda}}.$$
  
**with  $0 < \lambda < n-1$**

**Theorem** *Let  $f$  and  $g$  distributional solutions of problem associated to the initial datum  $f_0$  and  $g_0$  respectively. Assume that these datum satisfies the condition of theorems for small data or near Maxwellians solutions ( $0 < \lambda < n-1$ ). Then, there exist  $C > 0$  independent of time such that*

$$\|f-g\|_{L^p} \leq C \|f_0-g_0\|_{L^p} \quad \text{with} \quad 1 < p < \infty.$$

***Our result is for integrable  $b(\hat{u} \cdot \sigma)$***

Moreover, for  $f_0$  and  $g_0$  sufficiently small in  $M_{\alpha,\beta}$

$$\|(f-g)^\#\|_{L^\infty(0,T;\mathcal{M}_{\alpha,\beta})} \leq C \|f_0-g_0\|_{L^\infty(0,T;\mathcal{M}_{\alpha,\beta})}.$$

(For the extension to  $p=1$  and  $p=\infty$  see R.Alonso & I.M Gamba [3])

## Part III

### Some issues of variable hard and soft potential interactions

- Dissipative models for Variable hard potentials with heating sources:

All moments bounded

Stretched exponential high energy tails

### Spectral - Lagrange solvers for collisional problems

- Deterministic solvers for Dissipative models - The space homogeneous problem
- FFT application - Computations of Self-similar solutions
- Space inhomogeneous problems

### Time splitting algorithms

Simulations of boundary value - layers problems  
Benchmark simulations



**A general form statistical transport :** The space-homogenous BTE with external heating sources Important examples from mathematical physics and social sciences:

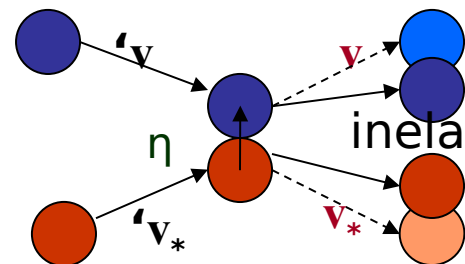
$$f_t + v \cdot \nabla_x f = \mathcal{Q}_{\beta, \gamma, d}(f)(v, t) + \mathcal{G}(f)(v, t)$$

where the interacting integral is written in weak form as

$$\int_{v \in \mathbb{R}^d} \mathcal{Q}_{\beta, \gamma, d}(f)(\cdot, t) \phi dv = c_d \int_{v, v_* \in \mathbb{R}^{2d}; \sigma \in S^{d-1}} f f_* (\phi(v') - \phi(v)) B_{\beta, \gamma, d}(|u|, \frac{u \cdot \sigma}{|u|}) d\sigma dv_* dv$$

The term  $\mathcal{G}(f)(v, t)$  models external heating sources:

- background thermostat (linear collisions),
- thermal bath (diffusion)
- shear flow (friction),
- dynamically scaled long time limits (self-similar solutions).



$$v' = v + \frac{\beta}{2}(|u|\sigma - u), \quad v'_* = v_* - \frac{\beta}{2}(|u|\sigma - u) \text{ interaction law;}$$

$$u = v - v_* \text{ (relative velocity)}$$

$$B_{\beta, \gamma, d}(|u|, \sigma(\theta)) \quad \text{(collisional kernel)}$$

$$\cos \theta = \frac{(u \cdot \sigma)}{|u|} \text{ cosine of scattering angle,}$$

$$\beta = \frac{1+e}{2}, \quad e = \text{restitution coefficient}$$

inelastic collision  $\beta = e = 1$  elastic interaction,  $\beta < 1$  dissipative interaction

$$J_{\beta} = \frac{\partial(v, v_*)}{\partial(v', v'_*)} \text{ post-precollision Jacobian}$$

**inelastic Collision**  $u' = (1-\beta)u + \beta|u|\sigma$ , with  $\sigma$  the direction of elastic post-collisional relative velocity

# Non-Equilibrium Stationary Statistical States

$$(\frac{\partial f}{\partial t}, \varphi)(t) = g(\rho, \theta) \left[ \int_{\mathbb{R}^{2d} \times S^{d-1}} f f_* [\varphi(v') - \varphi(v)] |u|^\gamma b_{\gamma, d, \beta} \left( \frac{u \cdot \sigma}{|u|} \right) d\sigma dv_* dv \right] (t) + (\mathcal{G}(f), \varphi)(t)$$

**NESS** satisfies :

$$\int_{\mathbb{R}^d} f_\infty(v) \mathcal{M}_\gamma^{-1} dv$$

$\beta$	$\gamma$	$\mathcal{G}(f)$	$\mathcal{M}_\gamma = \text{NESS}$ tail asymptotics
$\beta = 1$	$0 \leq \gamma \leq 1$ (VHP)	0	$C \exp(-r  v ^2)$
$\frac{1}{2} \leq \beta < 1$	$0 \leq \gamma \leq 1$ (VHP)	$\Delta_v f$	$C \exp(-r  v ^{\frac{\gamma+2}{2}})$
$\frac{1}{2} \leq \beta < 1$	$\gamma = 1$ (HS)	$\Delta_v f + \tau \nabla \cdot (vf)$	$C \exp(-r  v ^2)$
$\frac{1}{2} \leq \beta < 1$	$\gamma = 1$ (HS)	$v_2 \frac{\partial f}{\partial v_3}$	at least $C \exp(-r  v ^1)$
$\frac{1}{2} \leq \beta < 1$	$0 < \gamma \leq 1$ (VHP)	$Q(f, M_{aT}) - \mu v \cdot \nabla f$ $a = 0$ or $1$	$C((1-a) \exp(-r  v ^\gamma) + aC \exp(-r  v ^2))$
$\frac{1}{2} \leq \beta \leq 1$	$\gamma = 0$ (MM)	$\theta_b Q(f, M_{aT}) - \mu v \cdot \nabla f$ $a = 0$ or $1$	$(1-a)C(c_1 + c_2  v ^k)^{-1} + aC \exp(-r  v ^2)$

for  $C = C_{(\gamma, \beta, \theta, d)}$  and  $r = r_{(\gamma, \beta, \theta, d)}$ . Also  $C, c_1, c_2$  and  $k$  in the last case depend on  $\beta, \theta, \theta_b, T, d$

# Spectral - Lagrange solvers for collisional problems

## Transformations for a efficient Numerical Method

- The difficulty lays in computing the collision integral.
- The crux of the method is the weak form of the collision integral.

Thus for a suitably regular test function  $\psi(v)$ , the weak form of the collision integral (operator) takes the form (suppressing the time dependence in  $f$ ):

$$\int_{v \in \mathbb{R}^d} Q(f, f) \psi(v) dv = \int \int \int_{v, v_*, \sigma \in \mathbb{R}^{2d} \times S^{d-1}} f f^* B(|u|, \mu(\sigma)) [\psi(v') - \psi(v)] d\sigma dv_* dv$$

Using  $e^{-ik \cdot v}$  for  $\psi(v)$  and substituting the definition of  $v'$ , we get the Fourier transformed collision operator:

$$\hat{Q}(k) = \int \int \int_{v, v_*, \sigma \in \mathbb{R}^{2d} \times S^{d-1}} f f^* B(|u|, \mu(\sigma)) [e^{-ik \cdot (v + \frac{\beta}{2}(|u|\sigma - u))} - e^{-ik \cdot v}] d\sigma dv_* dv$$

Substituting the definition of the **Variable Hard Potential (VHP)** collision kernel  $B(|u|, \mu(\sigma)) = b_{\lambda}(\sigma)|u|^{\lambda}$ , get:

$$\hat{Q}(k) = \int \int \int_{v, v_*, \sigma \in \mathbb{R}^{2d} \times S^{d-1}} f f^* b_{\lambda}(\sigma) |u|^{\lambda} e^{-ik \cdot v} [e^{-i \frac{\beta}{2} k \cdot (|u| \sigma - u)} - 1] d\sigma dv_* dv$$

With a change of variables  $v_* = v - u \Rightarrow dv_* = du$ , re-arrangement and re-grouping:

$$\hat{Q}(k) = \int_{y \in \mathbb{R}^d} \hat{f}(y) \hat{f}(k - y) \hat{G}_{\lambda, \beta}(y, k) dy$$

with  $\hat{G}(y, k) = \mathcal{F}_{u \rightarrow y} G(u, k)$  and

$G_{\lambda, \beta}$  depends on the integral of the scattering function  $b_{\lambda}$

$$G_{\lambda, \beta}(u, k) = \int_{\sigma \in S^{d-1}} b_{\lambda}(\sigma) |u|^{\lambda} [e^{-i \frac{\beta}{2} k \cdot (|u| \sigma - u)} - 1] d\sigma$$

is an operator invariant under rotations in  $(y, k)$ : **it has an expansion on a basis of  $d$ -dimensional spherical harmonics**

**$d$ -dimensional Spherical Harmonics:** orthogonal set of  $d$ -dimensional polynomials that are harmonic functions on the  $S^{d-1}$ -sphere

$$\hat{Q}_{\lambda,\beta}[f](k) = \int_{u \in \mathbb{R}^d} \hat{G}_{\lambda,\beta}(y, k) \hat{f}(y) \hat{f}(y - k) dy$$

with either  $G_{\lambda,\beta}(u, k) = \int_{\sigma \in S^{d-1}} b_{\lambda}(\sigma) |u|^{\lambda} [e^{-i \frac{\beta}{2} k \cdot (|u| \sigma - u)} - 1] d\sigma$  **anisotropic**

or  $G_{\lambda,\beta}(u, k) = b_{\lambda} c_d |u|^{\lambda} \{e^{i \frac{\beta}{2} k \cdot u} \text{sinc}(\frac{\beta |u| |k|}{2}) - 1\}$  **isotropic case.**

To get back the collision integral, one takes the inverse Fourier Transform of  $\hat{Q}_{\lambda,\beta}[f](k)$

$$Q_{\lambda,\beta}[f, f](v) = \check{Q}_{\lambda,\beta}[f](k) = \int_{k \in \mathbb{R}^d} \left\{ \int_{y \in \mathbb{R}^d} \hat{G}_{\lambda,\beta}(y, k) \hat{f}(y) \hat{f}(y - k) dy \right\} e^{ik \cdot v}$$

🔴 computational cost: **FFTW** of  $f(v)f(v - u)$  for each  $u$ , with respect to  $v$ ; multiplying this result with  $G_{\lambda,\beta}(u, k)$  for each  $u$  and  $k$ . Take Inverse **FFTW** with respect to  $k$ :

Total # operations  $dO(N^{2d} \log N) + O(N^{2d})$ .

## Collision Integral Algorithm

[1] ( $O(N^3 \log(N))$ )

$$\hat{f}(\zeta_{\mathbf{m}}) = \text{FFT}_{\mathbf{v}_{\mathbf{k}} \rightarrow \zeta_{\mathbf{m}}} [f(\mathbf{v}_{\mathbf{k}})]$$

[2] ( $O(N^3)$ )

For  $\zeta_{\mathbf{m}} \in C_u$ , Do

$$[2.1] \quad \hat{Q}(\zeta_{\mathbf{m}}) = 0$$

[2.2] ( $O(N^3)$ ) For  $\xi_{\mathbf{l}} \in C_u$ , Do

$$[2.2.1] \quad g(\xi_{\mathbf{l}}) = \hat{f}(\xi_{\mathbf{l}}) \times \hat{f}(\zeta_{\mathbf{m}} - \xi_{\mathbf{l}})$$

$$[2.2.2] \quad \hat{Q}(\zeta_{\mathbf{m}}) = \hat{Q}(\zeta_{\mathbf{m}}) + \bar{G}_{\mathbf{l}, \mathbf{m}} \times \omega[\mathbf{l}] \times g(\xi_{\mathbf{l}})$$

[2.2]\* End Do

[2]\*

End Do

[3] ( $O(N^3 \log(N))$ )

$$Q(\mathbf{v}_k) = \text{IFFT}_{\zeta_{\mathbf{m}} \rightarrow \mathbf{v}_k} [\hat{Q}(\zeta_{\mathbf{m}})]$$



Further reduction can be done by using a classical Carleman integral representation:

*Bobylev, Rjasanov 99, Rjasanov, Ibrahimov 02, Filbet, Mouhot, Pareschi'07 to reduced the number of operations in a factor of  $N$  harmonic modes  $\times M^{d-1}$  spherical angular discretizations to*

$$\boxed{O(M^{d-1} N^d \log N) + O(M^{d-1} N^d)} \quad (\text{Example: isotropic hard sphere for } d = 3 \text{ or Maxwell}$$

*type interactions in for } d = 2.)*

## Discrete version of the conservation scheme

$M = N^d$  = the total number of Fourier modes. For elastic collisions,  $\mathbf{a} \in \mathbb{R}^m$ ,  $m$ =number of conserved moments (*collision invariants*)

$$\tilde{\mathbf{Q}} = (\tilde{Q}_1, \tilde{Q}_2, \dots, \tilde{Q}_M)^T, \text{ computed CO} \qquad \mathbf{Q} = (Q_1, Q_2, \dots, Q_M)^T \text{ conserved CO}$$

Let  $\omega_j$  be the integration weights where  $j = 1, 2, \dots, M$ . Define  $\mathbf{C}$  = 'vector' of moments,  $\mathbf{a}$  = 'vector of conserved quantities':

$$\mathbf{C}_{(m(d) \times M)}^e = \begin{pmatrix} \langle \omega_j \rangle \\ \langle v_j \omega_j \rangle \\ \vdots \\ \langle \times^{m(d)} v_j \omega_j \rangle \end{pmatrix} \quad \text{and} \quad \mathbf{a}_{m(d) \times 1}^e = \begin{pmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \\ \vdots \\ \mathbf{a}_m \end{pmatrix}$$

Then, the conservation method can be written as a constrained optimization problem: Find  $\mathbf{Q}$  such that

$$(*) \left\{ \min \|\tilde{\mathbf{Q}} - \mathbf{Q}\|_2^2 : \mathbf{C}^e \mathbf{Q} = \mathbf{a}^e; \mathbf{C}^e \in \mathbb{R}^{d+2 \times M}, \tilde{\mathbf{Q}} \in \mathbb{R}^M, \mathbf{a}^e \in \mathbb{R}^{d+2} \right\}$$

To solve (\*), one can employ the Lagrange multiplier method.

Let  $\gamma \in \mathbb{R}^{d+2}$  be the Lagrange multiplier vector. Then the scalar objective function to be optimized is given by

$$L(\tilde{\mathbf{Q}}, \gamma) = \sum_{j=1}^M |\tilde{Q}_j - Q_j|^2 + \gamma^T (\mathbf{C}^e \mathbf{Q} - \mathbf{a}^e).$$

*Can be solved explicitly for the corrected value and the resulting equation of correction is implemented numerically in the code.*

Taking the derivative of  $L(\tilde{\mathbf{Q}}, \lambda)$  with respect to  $f_j, j = 1, \dots, M$ , and  $\gamma_i, i = 1, \dots, m(d)$ , i.e., gradients of  $L$ , retrieve the constraints by

$$\begin{aligned} \frac{\partial L}{\partial \tilde{Q}_j} &= 0 \quad j = 1, \dots, M, \quad \Rightarrow \quad \mathbf{Q} = \tilde{\mathbf{Q}} + \frac{1}{2}(\mathbf{C}^e)^T \gamma \\ \frac{\partial L}{\partial \gamma_1} &= 0; \quad i = 1, \dots, d+2, \Rightarrow \quad \mathbf{C}^e \mathbf{Q} = \mathbf{a}^e, \end{aligned}$$

and solve for  $\gamma$ ,

$$\mathbf{C}^e (\mathbf{C}^e)^T \gamma = 2(\mathbf{a}^e - \mathbf{C}^e \tilde{\mathbf{Q}}).$$

Now  $\mathbf{C}^e (\mathbf{C}^e)^T$  is symmetric and positive definite so its inverse exists  $\Rightarrow$

$$\gamma = 2(\mathbf{C}^e (\mathbf{C}^e)^T)^{-1} (\mathbf{a}^e - \mathbf{C}^e \tilde{\mathbf{Q}}).$$



Substituting  $\gamma$  into (3), and since  $\mathbf{a}^e = \mathbf{0}$  (*collision invariants*),

$$\begin{aligned} \mathbf{Q} &= \tilde{\mathbf{Q}} + (\mathbf{C}^e)^T (\mathbf{C}^e (\mathbf{C}^e)^T)^{-1} (\mathbf{a}^e - \mathbf{C}^e \tilde{\mathbf{Q}}) \\ &= [\mathbb{I}_M - (\mathbf{C}^e)^T (\mathbf{C}^e (\mathbf{C}^e)^T)^{-1} \mathbf{C}^e] \tilde{\mathbf{Q}} \\ &= \Lambda_M(\mathbf{C}^e) \tilde{\mathbf{Q}}, \end{aligned} \quad \text{Discrete Conservation operator}$$

$\Rightarrow$  Define  $\Lambda_M(\mathbf{C}^e) : \mathbb{I}_M - (\mathbf{C}^e)^T (\mathbf{C}^e (\mathbf{C}^e)^T)^{-1} \mathbf{C}^e$  Then this procedure is

$$\text{Conserve}(\tilde{\mathbf{Q}}) = \mathbf{Q} = \Lambda_M(\mathbf{C}^e) \tilde{\mathbf{Q}}.$$

Then, for  $D_t \mathbf{f}$  any **order time discretization** of  $\frac{\partial f}{\partial t} \Rightarrow$

$$D_t \mathbf{f} = \Lambda_M(\mathbf{C}^e) \tilde{\mathbf{Q}}, \text{ 'conserve' algorithm}$$

**This identity summarizes the whole conservation process:**

- Required observables are conserved
- The approximate solution to the *elastic homogeneous BE* approaches a stationary state, since

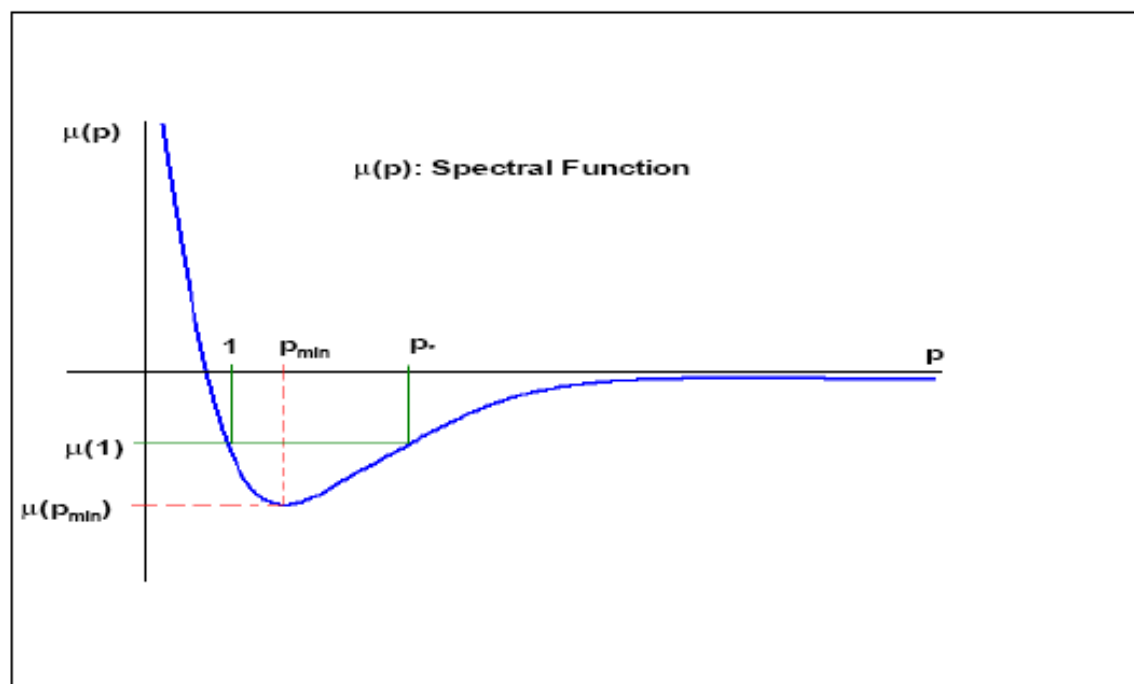
$$\lim_{n \rightarrow \infty} \|\Lambda_M(C) \tilde{\mathbf{Q}}(f_j^n, f_j^n)\|_\infty = 0 \quad \text{Stabilization property}$$

## Self-similar solutions and Power-like Tails

**Theorem:** (Bobylev, Cercignani, I.M.G,06) The self-similar asymptotic function  $F_{\mu(p)}(|v|)$  does **NOT** have finite moments of all orders if the energy dissipates, i.e.  $\mu(1) < 0$ .

If  $0 \leq p \leq 1$  then,  $m_q = \int_{\mathbb{R}^3} F_{\mu(p)}(|v|) |v|^q dv \leq \infty$ ;  $0 \leq q \leq p$

If  $p = 1$  (finite initial energy) then,  $m_q \leq \infty$  only for  $0 \leq q \leq p_*$ , where  $p_* \geq 1$  is the unique maximal root of the equation  $\mu(p_*) = \mu(1)$ .



# Testing - Maxwell Elastic Collisions

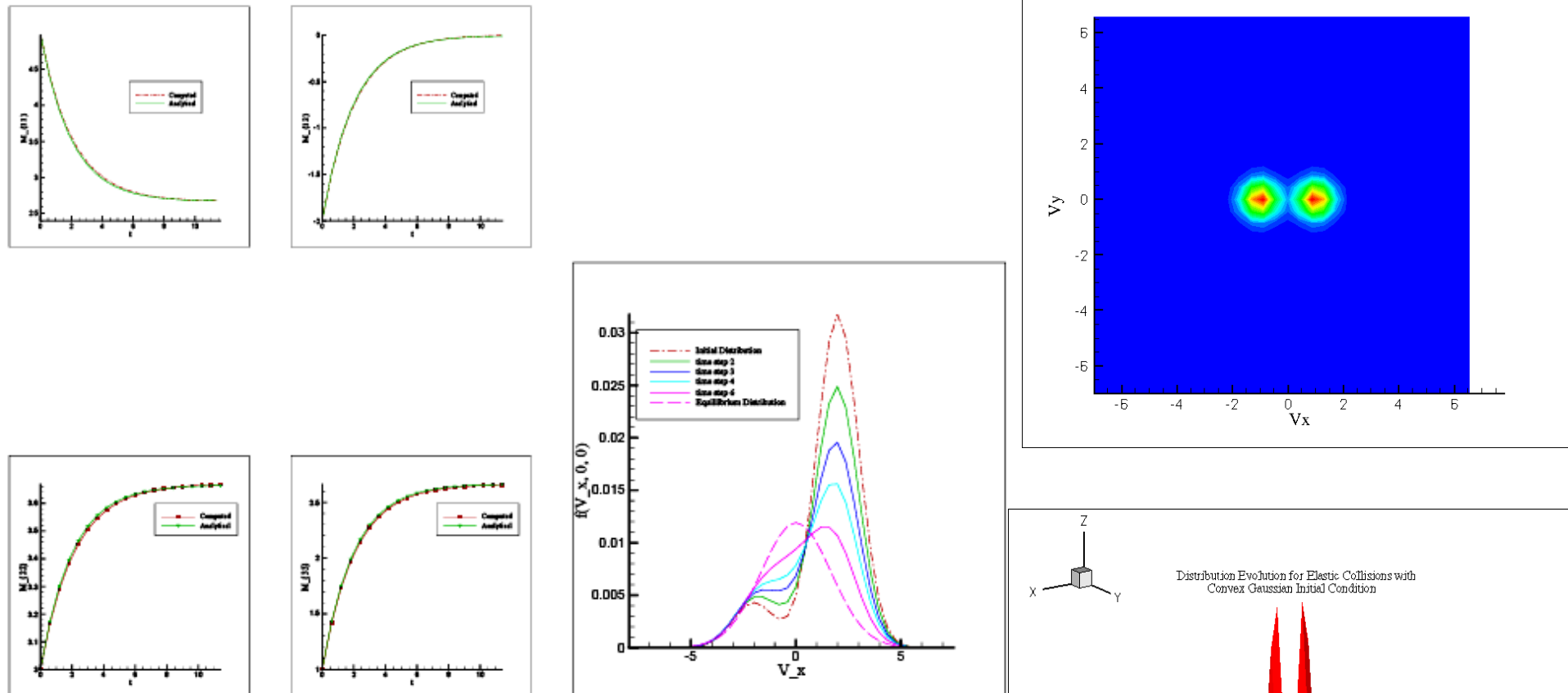
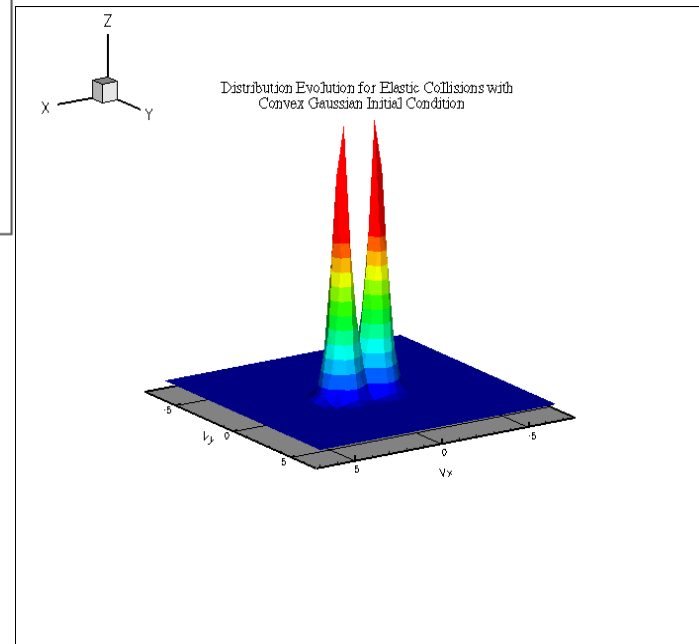


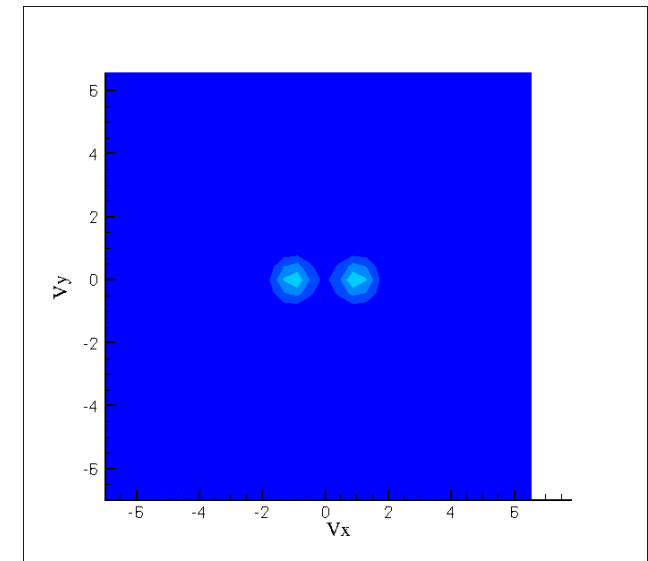
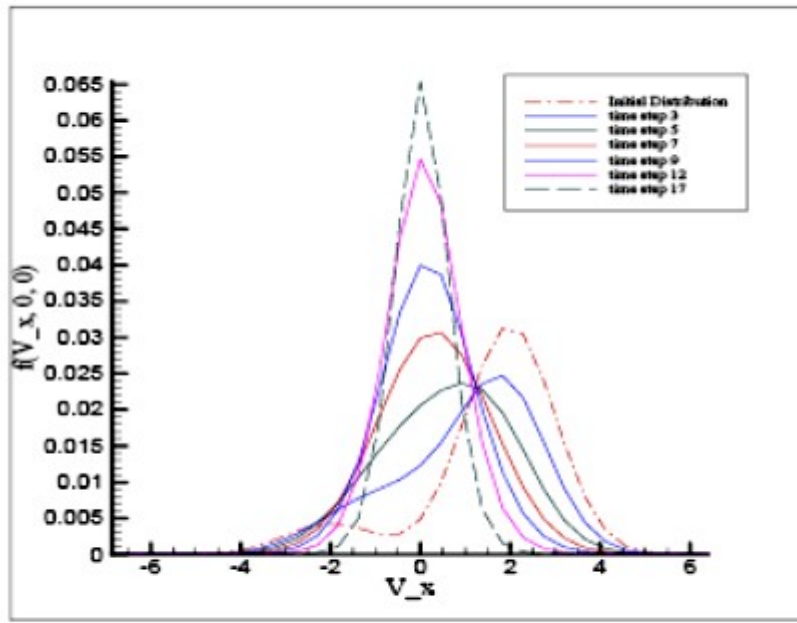
Figure 1: Left four graphs: Momentum Flow -Right graph: pdf evolution

$t_r$  = reference time = mft

$\Delta t = 0.25$  mft.

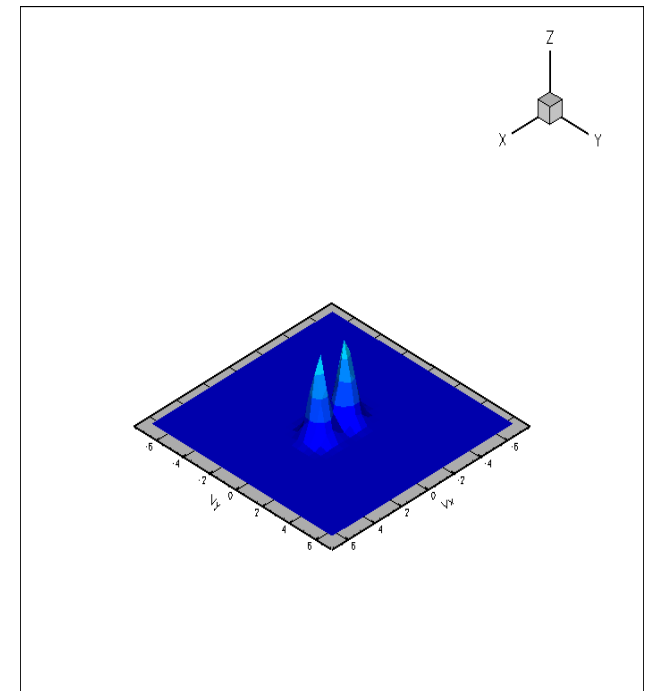
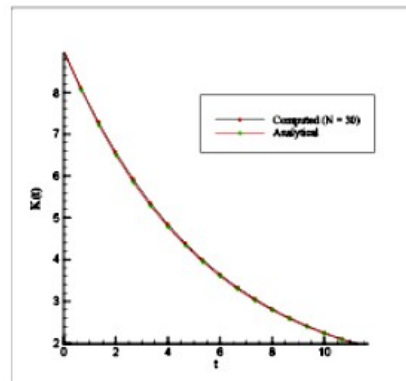


# Testing - Maxwell Inelastic Collisions



$$K'(t) = \beta(1 - \beta)\left(\frac{|V|^2}{2} - K(t)\right) \Rightarrow K(t) = K(0)e^{-\beta(1-\beta)t} + \frac{|V|^2}{2}(1 - e^{-\beta(1-\beta)t})$$

where  $K(0)$  is Kinetic Energy at time  $t = 0$  and  $V$  - momentum (constant) of the distribution function.



**Figure 2:** Kinetic Energy for  $N = 30$

# Testing - BKW solutions

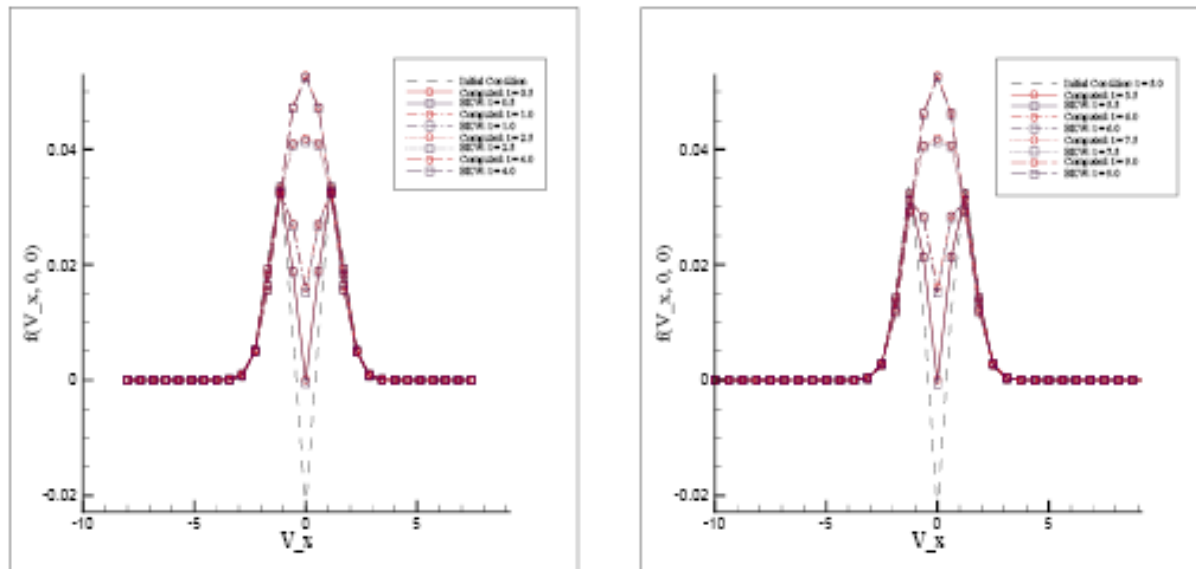
A explicit solution was derived by Bobylev-Krook-Wu '70s (BKW solutions of elastic BTE of Maxwell type) which is given by *convolutions of solutions by self-similar transformations and Maxwellians*. This solutions converges to the Maxwellian equilibrium state.

$$f(v, t) = \frac{e^{-|v|^2/(2K(t)\eta^2)}}{2(2\pi K(t)\eta^2)^{3/2}} \left( \frac{5K(t) - 3}{K(t)} + \frac{1 - K(t)}{K^2(t)} \frac{|v|^2}{\eta^2} \right)$$

where  $K(t) = 1 - e^{-t/6}$  and  $\eta = \int |v|^2 f_0(v) dv$  initial distribution temperature.

Therefore for  $K \geq \frac{3}{5}$  or  $t \geq t_0 \equiv 6 \ln(\frac{5}{2}) \sim 5.498$ ,  $f$  is non-negative.

Setting the initial distribution function to be the BKW solution, the numerical approximation to the BKW solution and the exact solution are plotted for different values of  $N$



## Self - Similar Asymptotics

**Example: Description of the Weakly Coupled Binary Mixture Problem** (Bobylev, I.M.G. JSP '06)

Construction of **explicit solutions** to:

$$\begin{aligned}\frac{\partial f(v, t)}{\partial t} &= \int_{w \in \mathbb{R}^3} \int_{\sigma \in S^2} B(|u|, \mu) [f(v', t) f(w', t) - f(v, t) f(w, t)] d\sigma dw \\ &+ \theta_b \int_{w \in \mathbb{R}^3} \int_{\sigma \in S^2} B(|u|, \mu) [f(v', t) M_T(w) - f(v, t) M_T(w)] d\sigma dw\end{aligned}$$

with  $M_T(v) = \frac{e^{-\frac{|v|^2}{2T}}}{(2\pi T)^{3/2}}$ ,  $B(|u|, \mu) = C_\lambda = \frac{1}{4\pi}$ ,  $\beta = 1.0$ ,  $\theta_b$  - depending on the asymptotics and  $T$  being the background temperature.

- 🔴 A system of two different particles with the same mass is considered. One set of particles is assumed to be at equilibrium i.e., with a Maxwellian distribution with temperature  $T(t)$ .
- 🔴 Second set of particles is assumed to collide with themselves (first integral) and the background particles (Linear Boltzmann Collision Integral).

The collisions are assumed to be **locally elastic** i.e.,  $|v|^2 + |v_*|^2 = |v'|^2 + |v'_*|^2$  but the above form leads to **global** energy dissipation i.e.,  $\int_{\mathbb{R}^3} |v|^2 f(v, t) dv \neq 0$ .

### Self - Similar Asymptotics elastic BTE with thermostat

• For self similar asymptotics we study  $t \rightarrow \infty$  so  $\hat{T} \rightarrow T$  in  $f_T^{ss}(v, t)$  (i.e. the particle distribution temperature approaches the background temperature as expected due to the linear coll. op.)

• Interesting NESS behavior can be observed if  $T \rightarrow 0$ : Set  $\hat{T} = s^2 e^{-\frac{2t}{3}}$  so  $f_0^{ss}(|v|)$  is explicit.

• Then  $f(|v|e^{-t/3}, t) \rightarrow_{t \rightarrow \infty} e^t f_0^{ss}(|v|)$  where

$$f_0^{ss}(|v|) = \frac{4}{\pi} \int_0^\infty \frac{e^{-|v|^2/(2s^2)}}{(2\pi s^2)(1+s^2)^2} ds$$

•  $f_0^{ss}(|v|) = O(\frac{1}{|v|^6})$  as  $|v| \rightarrow \infty$ , and

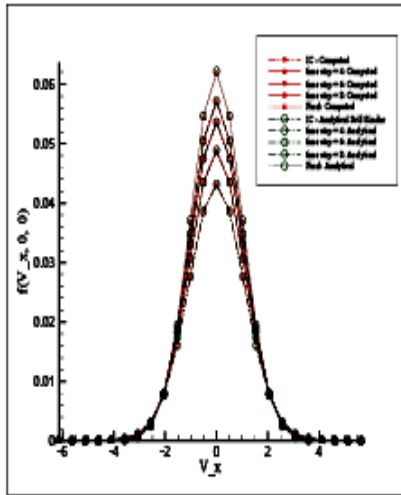
$$f_0^{ss}(|v|) = O(\frac{1}{|v|^2}) \text{ as } |v| \rightarrow 0$$

**Soft condensed matter  
phenomena**

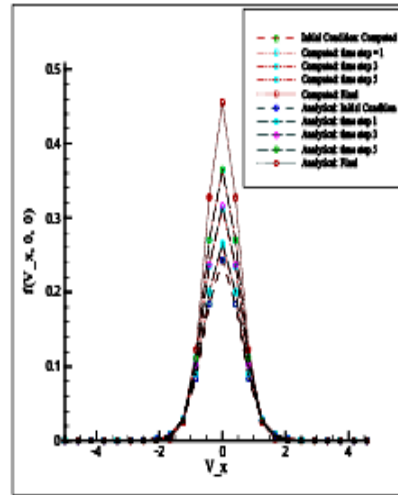
**Remark:** The numerical algorithm is based on the evolution of the continuous spectrum of the solution as in Greengard-Lin'00 spectral calculation of the free space heat kernel, i.e. self-similar of the heat equation in all space.

## Testing - Mixture Problem

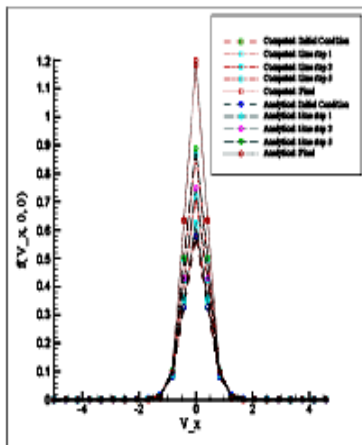
Computed Vs. Analytical Distribution:



( $N = 24, T = 1$ )



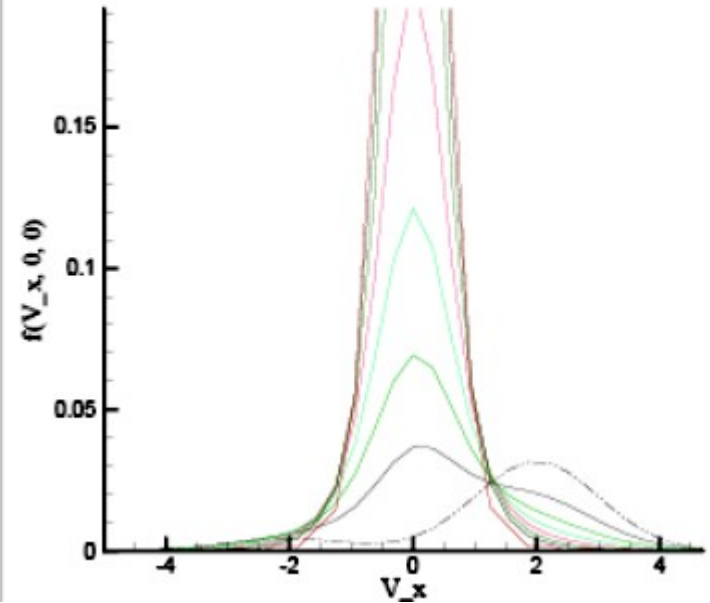
( $N = 24, T = 0.25$ )



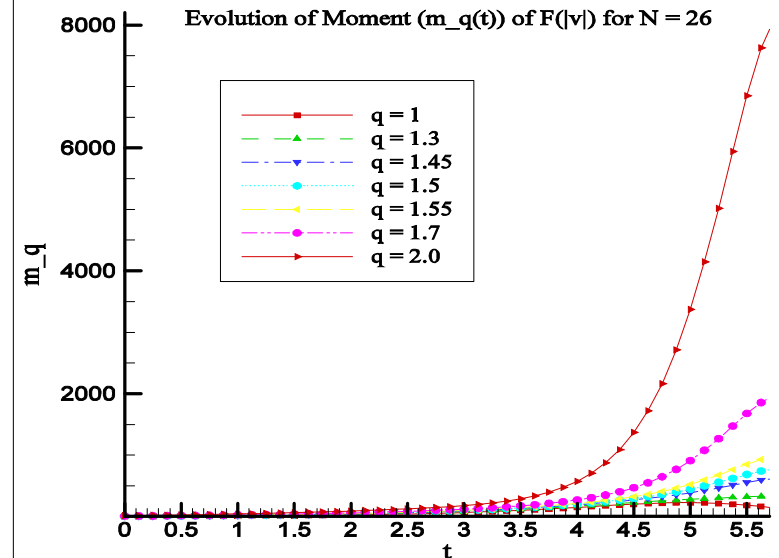
( $N = 24, T = 0.125$ )

$$\text{Setting } \hat{T} = e^{-\frac{2}{3}t} \left( \frac{1}{4} + s^2 \right)$$

Density Evolution of a convex combination of Gaussians with  $T = 0.25\exp(-2t/3)$



Evolution of Moment ( $m_q(t)$ ) of  $F(|v|)$  for  $N = 26$





# Convergence: spectral accuracy and consistency with H. Tharkabhushanam

- Set of trigonometric polynomials  
 $\mathbb{P}^N = \text{span}\{e^{i\zeta_k \cdot v} \mid -L_\zeta \leq \zeta_k^l < L_\zeta, l = 1, 2, 3; -N/2 \leq k < N/2\}$
- Let  $\Pi : L_2(\Omega_v) \rightarrow \mathbb{P}^N$  to be the orthogonal projection operator upon  $\mathbb{P}^N$  in the  $L_2(\Omega_v)$  inner product such that  $f^\Pi(v) = \sum_k \hat{f}_N(\zeta_k) e^{i\zeta_k \cdot v}$  with  $\sum_{k=-N/2}^{N/2+1} = \sum_k$
- $Q(f^\Pi)$  - Classic collision integral evaluated with the truncated Fourier series of  $f(v)$
- $Q^\Pi(f^\Pi) = \Pi Q(f^\Pi)$  - Projection of  $Q(f^\Pi) = \sum_k \hat{Q}(\zeta_k) e^{i\zeta_k \cdot v}$
- $Q_C^\Pi(f^\Pi)$  - Computed conserved form of  $Q^\Pi(f^\Pi)$ .

## Optimization problem:

$$\text{minimize } A(q_c) = \int_{\Omega_L} |Q^\Pi(f^\Pi) - Q_C^\Pi(f^\Pi)|^2 dv \quad \text{subject to } \int_{\Omega_L} Q_C^\Pi(f^\Pi) \begin{pmatrix} 1 \\ v_i \\ |v|^2 \end{pmatrix} dv = 0$$

**Lemma:** *(Conservation Method - An Extended Isoperimetric problem)*      **Isomoment estimates**

$$A^e(q_c) = \|q_u - q_c\|_{L^2(\Omega_v)}^2 = 2L^3\gamma_1^2 + \frac{2L^5(\gamma_2^2 + \gamma_3^2 + \gamma_4^2)}{3} + \gamma_5^2 \frac{38}{15}L^7 + \gamma_1\gamma_5 4L^5,$$

where  $\gamma_j$ , for  $j = 1, \dots, 5$ , are Lagrange multipliers associated with the elastic optimization problem

## spectral accuracy

- **Conservation Correction Estimate for collisional operators:** The accuracy of the conservation scheme is inversely proportional to the size of the velocity domain  $L$  and the number of discretizations  $N$  as

$$A(q_c) = \|Q_C^\Pi(f^\Pi, f^\Pi) - Q^\Pi(f^\Pi, f^\Pi)\|_{L_2(\Omega_L)} \leq C^{\mu, e} \|Q(f, f) - Q^\Pi(f^\Pi, f^\Pi)\|_{L_2(\Omega_L)} \quad ($$

- **Recall Fourier Approximation Estimate:** Let  $u \in H_0^\alpha(\Omega_L) \cap \mathcal{S}(\Omega_L)$ ,  $u_N = \Pi u = \sum_k \hat{u}_N(\zeta_k) e^{i\zeta_k \cdot v}$  and  $\alpha = (\alpha_1, \alpha_2, \alpha_3)$  be a multi index. Then,

$$\|u - u_N\|_{L_2(\Omega_v)} \leq \frac{C}{N^{|\alpha|}} \|u\|_{H_0^\alpha} . \quad \text{Shannon Sampling theorem}$$

- The collisional operator satisfies, for  $f$  and  $g$  in  $H_k^\alpha(\Omega_L)$  for all  $k$ ,

$$\|Q(f, g)\|_{H^\alpha(\Omega_L)} \leq C_\lambda \|f\|_{H_{\lambda}^\alpha(\Omega_L)} \|g\|_{H_{\lambda}^\alpha(\Omega_L)} \quad \text{Panferov, Villani, I.M.G., CMP'04.}$$

- **Convergence Estimate:** For  $f, f^\Pi \in H_k^\alpha(\Omega_L)$  for all  $k$ ,

$$\|Q(f, f) - Q_C^\Pi(f^\Pi, f^\Pi)\|_{H^\alpha(\Omega_L)} \leq C \frac{\bar{C}}{N^{|\alpha|}} \|f\|_{H_{\lambda}^\alpha(\Omega_L)}^2 .$$

- Solutions of the space homogeneous problem is in the Schwarz class  $\mathcal{S}(\mathbb{R}^3)$

# Space inhomogeneous simulations

$$\frac{\partial f}{\partial t} + v_1 \frac{\partial f}{\partial x} = Q(f, f)$$

**mean free time** := the average time between collisions

**mean free path** := average speed  $\times$  **mft** (average distance traveled between collisions)

→ Set the scaled equation for  $1 = \text{Kn} := \text{mfp}/\text{geometry of length scale}$

Spectral-Lagrangian methods in **3D-velocity space** and **1D physical space** discretization in the **simplest setting**:

**Finite difference scheme with splitting into a convective and a collision step:**

Define  $CFL := \Delta t \frac{v^j}{\Delta x}$  and  $t^n = n\Delta t \Rightarrow$  set  $f(x^k, v^j, t^n) := f_{k,j}^n$

– **Convective Step** Space discretization of  $O(\Delta x)$ :  $\frac{\partial f}{\partial t} + v_1 \frac{\partial f}{\partial x} = 0$ ,  $f(x, v, 0) = f_{k,j}^n$

$$\tilde{f}_{j,k} = \begin{cases} (1 - CFL) * f_k^n + CFL * f_{k-1,j}^n & \text{if } v_1 > 0 \\ (1 + CFL) * f_k^n - CFL * f_{k+1,j}^n & \text{if } v_1 < 0 \end{cases}$$

– **Collision Step** Time discretization of  $O(\Delta t)$  - first forward Euler (or second order Runge Kutta )

on the "**conserve**" algorithm for:  $\frac{\partial f}{\partial t} = Q(f, f)$ ,  $f(x, v, 0) = \tilde{f}_{j,j}$ , uniformly in  $x$

$$\tilde{Q}_n = \text{Conserve}(Q(f_n, f_n)), \quad \Rightarrow \quad f_{n+1/2}(x, v) = f_n(x, v) + \frac{dt}{2} \tilde{Q}_n,$$

$$Q_n = \text{Conserve}(Q(f_{n+1/2}, f_{n+1/2})), \quad \Rightarrow \quad f_{n+1}(x, v) = f_n(x, v) + dt Q_n.$$

Spatial mesh size  $\Delta x = \mathbf{0.01 \text{ mfp}}$  Time step  $\Delta t = \mathbf{r \text{ mft}}$ ,

$N = \text{Number of Fourier modes in each } j\text{-direction in } \mathbf{mft = \text{reference time}}$

## ***Resolution of discontinuity 'near the wall' for diffusive boundary conditions:***

(K.Aoki, Y. Sone, K. Nijino, H. Sugimoto, 1991)

***Sudden heating:*** Constant moments initial state with a discontinuous **pdf** at the boundary wall, with wall kinetic temperature increased by **twice** its magnitude:

$$\text{Initial state } f_0(x, v) = \frac{1}{(\pi T(x))^{3/2}} e^{-\frac{|v|^2}{T(x)}} \text{ with } T(0) = T_0 \text{ and } T(x) = 2T_0 \text{ for } x > 0$$

### ***Boundary Conditions for sudden heating:***

$$f(0, v, t) = \frac{\sigma_w}{(\pi T_w)^{3/2}} e^{-\frac{|v|^2}{T_w}} \quad \text{with} \quad \sigma_w = \left(\frac{8\pi}{T_w}\right)^{3/2} \int_{v_1 > 0} v_1 f(0, v, t) dv$$

$$\text{with } T_w(0, 0) = T_0 \quad \text{and} \quad T_w(0, t) = 2T_0 \quad \text{for } t > 0$$

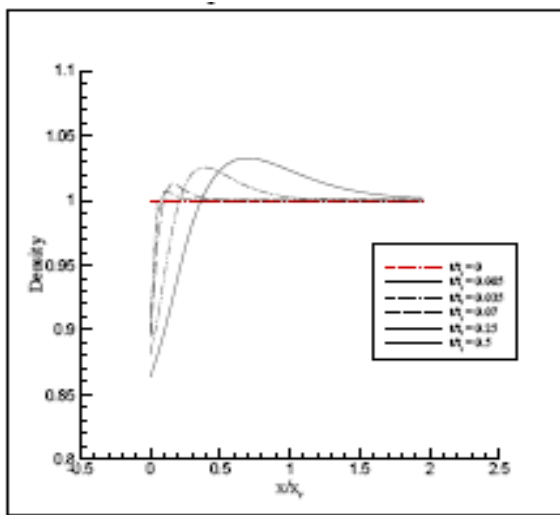
*Calculations in the next two pages:*

Mean free path  $l_0 = 1$ .

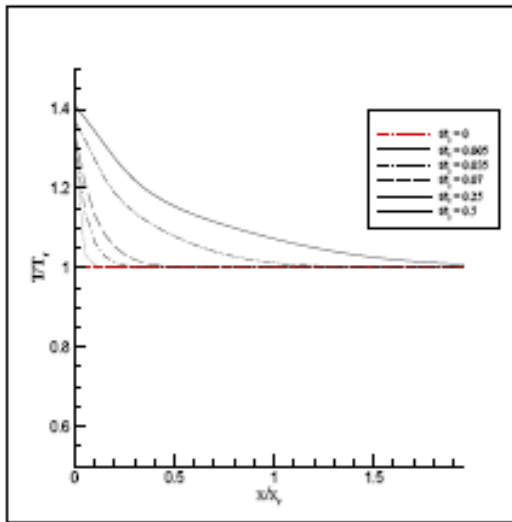
Number of Fourier modes  $N = 24^3$ ,

Spatial mesh size  $\Delta x = 0.01 l_0$ .

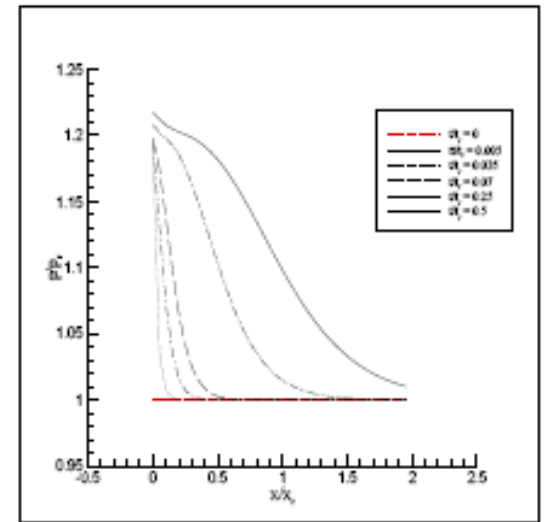
Time step  $\Delta t = r \text{ mft}$



Density Profile

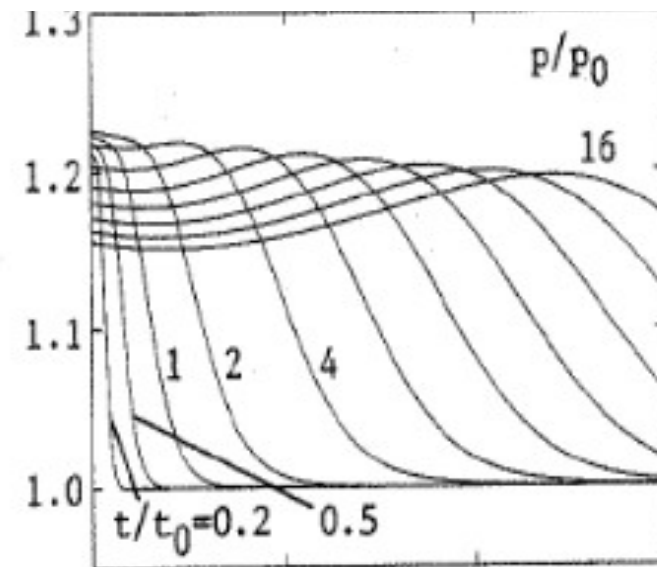
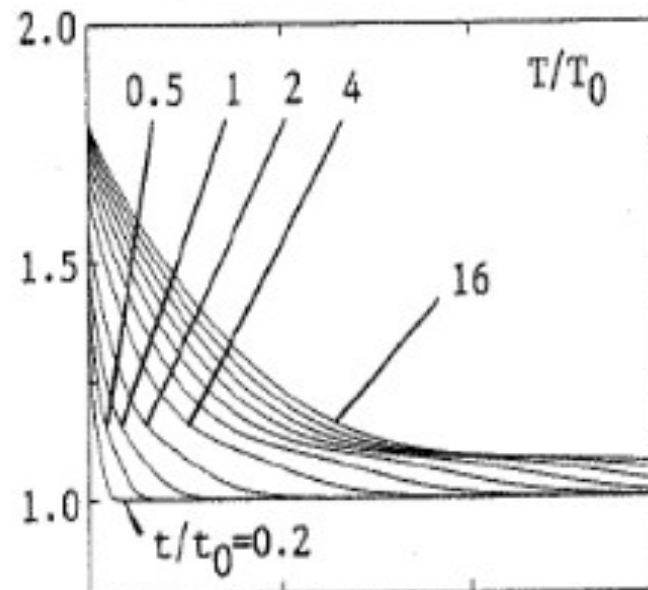


Temperature Profile



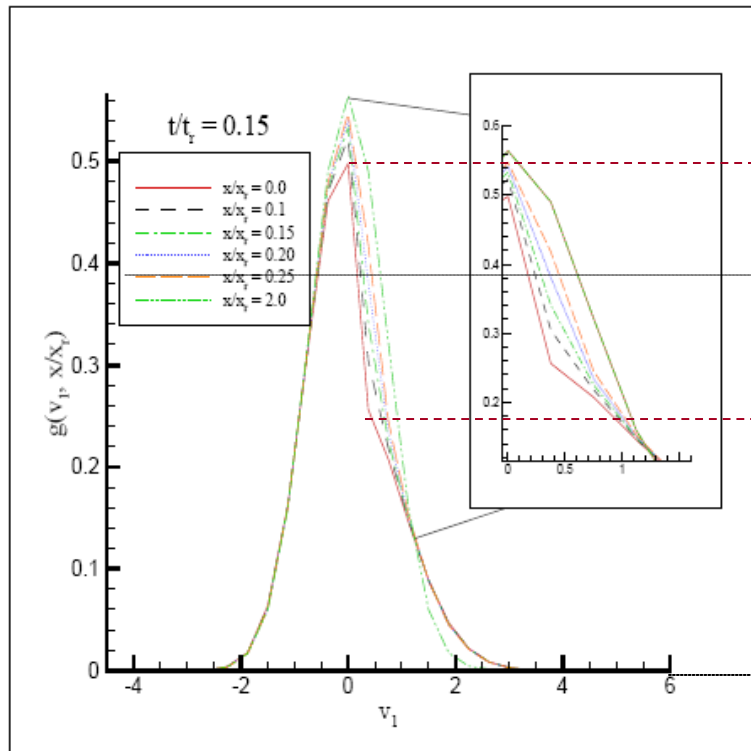
Pressure Profile

Formation of a shock wave by an initial sudden change of wall temperature from  $T_0$  to  $2T_0$ .



**heating problem (BGK eq. with lattice Boltzmann solvers)**

Y. Sone, K. Nijino, H. Sugimoto, 1991

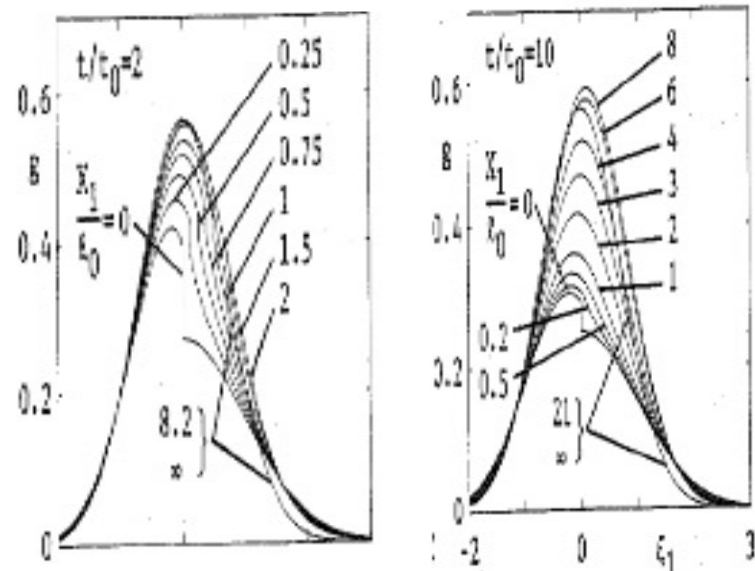


Marginal Distribution at  $t = 0.15t_r$  for  $N = 16$ .

## Sudden heating problem

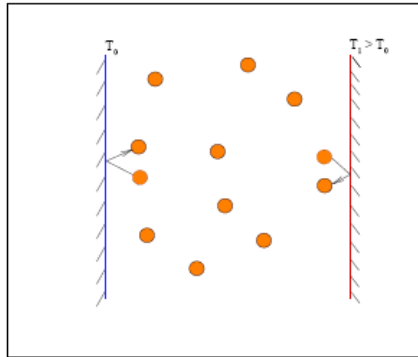
**Jump in po**

**Fig. 5.** The reduced velocity distribution function  $g$  for  $T_1/T_0 = 2$ .



## Heat transfer problem:

Initial state  $f_0(x, v) = \frac{1}{(\pi T(x))^{3/2}} e^{-\frac{|v|^2}{T(x)}}$  with  $T(0) = T_0$  and  $T(x) = 2T_0$  for  $x > 0$

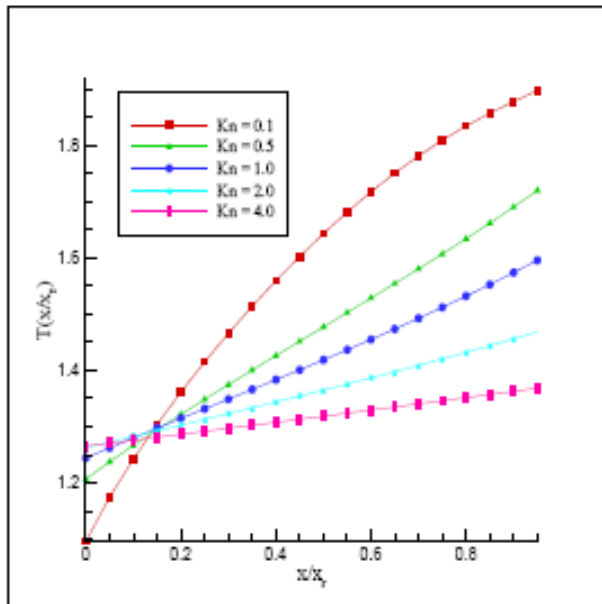


## Diffusive boundary conditions

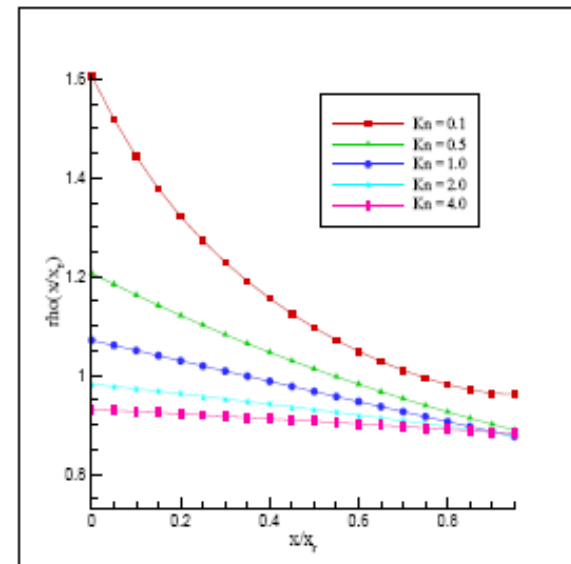
$$f(0, v, t) = \frac{\sigma_w}{(\pi T_w)^{3/2}} e^{-\frac{|v|^2}{T_w}} \quad \text{with} \quad \sigma_w = \left(\frac{8\pi}{T_w}\right)^{3/2} \int_{v_1 > 0} v_1 f(0, v, t) dv$$

Temperature:  $T_0$  given at  $x_0 = 0$   
and  $T_1 = 2T_0$  at  $x_1 = 1$ .

Knudsen  $Kn = 0.1, 0.5, 1, 2, 4$



Stationary Temperature Profile for increasing Knudsen number values.



Stationary Density Profile for increasing Knudsen number values.



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