CENG 280

Formal Languages and Abstract Machines

Spring 2017-2018

Take Home Exam 1

Due date: 18.03.2018 Sunday, 23:55

Objectives

To familiarize with computation using the Finite Automata, the most restricted formal computational device, observing the benefits and limitations of employing such simplistic theoretical devices to tackle practical problems.

Specifications

You must adhere to the notation conventions adopted in the textbook. All finite automata must be defined as tuples and you must illustrate state transition functions and state transition relations graphically.

Your solution should be delivered as a .tex file based on your modification of the provided template file. For convenience, a simple code for drawing an automaton is included in the following. On the left-hand side you can see the code segment, and generated automaton is placed on the right.

```
\begin{tikzpicture}[shorten >=1pt,node distance=2cm,on
    grid,auto]
\node[state,initial] (q_0) {$q_0$};
\node[state] (q_1) [above right=of q_0] {$q_1$};
\node[state] (q_2) [below right=of q_0] {$q_2$};
\node[state,accepting](q_3) [below right=of q_1] {$q_3$};
\path[->]
                                                                                             q_3
                                                                   start
(q_0) edge node \{0\} (q_1)
edge node [swap] {1} (q_2)
(q_1) edge node \{1\} (q_3)
edge [loop above] node {0} ()
(q_2) edge node [swap] {0} (q_3)
edge [loop below] node {1} ();
\end{tikzpicture}
```

Questions and submission regulations are included in subsequent sections. Designing your solutions to the tasks, explicitly state any assumptions you make and pay particular attention to the notation you use. Your proofs must be sound and complete. Grading will be heavily affected by the formalization of your solutions.

Question 1 (15 pts)

Give formal proofs to state whether the following sets are **finite** or **infinite**, and **countable** or **uncountable**. State any mapping explicitly and give clear references to known theorems if used.

- **a.** The set of rational numbers inside the open interval (-1, 0).
- **b.** The set $D = \{L^+ : L \text{ is a finite language over the unary alphabet } \Sigma = \{a\} \text{ and } L^+ \text{ is not regular.} \}.$
- **c.** The set of all languages on the binary alphabet $\Sigma = \{a, b\}$ which cannot be recognized by any Finite Automaton.

Question 2 (15 pts)

In each part, draw the state diagram of a DFA that accepts the given language.

- **a.** $L_1 = \{w \in \{a, b\}^* : \text{ neither } abb \text{ nor } ba \text{ is a substring of } w\}.$
- **b.** $L_2 = \{w \in \{a,b\}^* : w \text{ comprises of even length of characters and every third letter is } b\}.$
- **c.** $L_3 = \{w \in \{a, b, c\}^* : \text{ every } a \text{ is directly preceded by } c \text{ and followed by } b\}.$

Question 3 (12 pts)

Given NFA N= $(K, \Sigma, \Delta, q_0, F)$, in which $K = \{q_0, q_1, q_2, q_3, q_4, q_5\}, \Sigma = \{a, b\}$,

```
\Delta = \{ (q_0, a, q_1), (q_0, e, q_2), \\ (q_1, e, q_2), (q_1, e, q_3), \\ (q_2, a, q_2), (q_2, a, q_4), \\ (q_3, a, q_1), (q_3, a, q_2), (q_3, a, q_5), (q_3, b, q_3), (q_3, b, q_4), \\ (q_4, a, q_5), (q_4, b, q_3), \\ (q_5, e, q_1) \}
```

and $F = \{q_5\}$, trace the following strings on N employing formal NFA configuration notation and decide whether they are in L(N) or not.

- **a.** $w_1 = abbb$.
- **b.** $w_2 = ababa$.

Question 4 (15 pts)

Given NFA N= $(K, \Sigma, \Delta, q_0, F)$, in which $K = \{q_0, q_1, q_2, q_3\}, \Sigma = \{a, b\}, F = \{q_2, q_3\}$, and

$$\Delta = \{ (q_0, b, q_1), (q_1, a, q_2), (q_1, e, q_3), (q_2, a, q_0), (q_2, b, q_1), (q_2, b, q_2), (q_2, b, q_3), (q_3, b, q_1), (q_3, a, q_3) \},$$

- **a.** Formally specify and draw the *generalized finite automaton* (GFA) for N.
- b. Step-by-step convert the GFA into a regular expression via state elimination.

Given NFA N= $(K, \Sigma, \Delta, q_0, F)$, in which $K = \{q_0, q_1, q_2, q_3\}, \Sigma = \{a, b\}, F = \{q_3\}$, and

$$\Delta = \{ (q_0, a, q_1), (q_0, e, q_1), (q_0, b, q_2), (q_0, e, q_2), (q_2, a, q_3), (q_3, b, q_1), (q_3, e, q_1) \},$$

- **a.** Use subset construction algorithm to find an equivalent DFA M s.t. L(M) = L(N).
- **b.** Express the language \bar{L} where L = L(N) as a regular expression. State what property \bar{L} has using set notation.

Prove that the class of regular languages is closed under set difference operation by explicitly constructing an NFA for the language $L_1 - L_2$ for any two arbitrary regular languages L_1 and L_2 . Be very clear, formally showing every step of NFA construction. Mind that just writing that regular languages are closed under some operation will not be accepted as a correct answer. You should give an algorithm for the construction of the required NFA, taking inputs as FA to recognize L_1 and L_2 .

Given the following language

$$L=\{w\in\{a,b\}^*:\, f(a,w)=n^2 \text{ for some } n\in\mathbb{N}\}$$

in which f is a function defined as $\Sigma \times \Sigma^* \to \mathbb{N}$ where Σ is a finite alphabet, returning the number of occurrences of its first parameter within its second parameter.

Prove that L is not a regular language by

- a. pumping lemma for regular languages.
- **b.** MyHill-Nerode theorem. (not graded and may not be easy, attempt after Question 8 for self-study)

Question 8 (not graded)

Decide whether the following languages are regular. If you think a language is regular prove your claim by providing a regular expression for it. Otherwise use MyHill-Nerode theorem to prove that the language is not regular.

- **a.** $L_1 = \{xy \in \{a,b\}^* : |x| = |y| \text{ and } y \text{ ends with the substring } aa\}.$
- **b.** $L_2 = \{xy \in \{a,b\}^* : |x| = |y| \text{ and } x \text{ contains the substring } aa\}.$

Question 9 (not graded)

Given DFA $M = (K, \Sigma, \delta, q_0, F)$, in which $K = \{q_0, q_1, q_2, q_3, q_4\}$, $\Sigma = \{0, 1\}$, $F = \{q_2, q_4\}$, and the state transition function σ is tabulated below,

q	σ	$\delta(q,\sigma)$
q_0	0	q_1
q_0	1	q_2
q_1	0	q_1
q_1	1	q_3
q_2	0	q_0
q_2	1	q_4
q_3	0	q_1
q_3	1	q_4
q_4	0	q_3
q_4	1	q_2

- a. Apply state minimization algorithm to M to yield an equivalent DFA with minimal states.
- **b.** Write down equivalence classes of Σ^* partitioned by the DFA with minimal states as regular expressions. For simplicity you may use shorthand notations such as Σ and L s.t. L = L(M) within the regular expressions.

Submission

- Late Submission: You have 2 days in total for late submission of all homeworks. All homeworks will be graded as normal during this period. No further late submissions are accepted.
- You should submit your THE1 as a .tex file. Please use the template provided on COW with appropriate modifications.
- Do not submit solutions for not-graded questions. Yet solving them is advisable in studying for the midterm.
- Soft-copies should be uploaded strictly by the deadline.

Regulations

- 1. Cheating: We have zero tolerance policy for cheating. People involved in cheating will be punished according to the university regulations.
- 2. **Newsgroup:** You must follow the newsgroup (news.ceng.metu.edu.tr) for discussions and possible updates on a daily basis.