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BUSINESS & INNOVATION**

**Essay / Assignment Title: Designing A Database System for A stock
Exchange Market**

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CHAPTER 1

1.0 INTRODUCTION

Almost all firms use data warehouses for decision support systems as well as strategic business intelligence tools. It aids in the systematic organization, storage, and analysis of massive amounts of daily transactional data, which differs greatly from operational database systems.

In this paper, a database management system for a stock exchange market is created to display all entities and attributes as well as their relationships.

The stock market is a secondary market in which shares or stocks of various listed firms are traded. The stock market allows corporations to raise capital by selling shares to the public, and investors to profit from the increase in value of those shares over time. Stock prices fluctuate during the day and over time due to a variety of variables.

A DBMS is used in a stock market because it provides the necessary infrastructure and features to handle complex and critical data management requirements of the market, ensuring data integrity, security, and efficient operations. By utilizing a DBMS, stock markets can efficiently manage and process large volumes of data, this helps in facilitating smooth and reliable trading activities, decision-making, and regulatory compliance.

When creating a database system for a stock exchange market, several elements are considered to make up the structure of the system. These are Entities, Relationships, Database tables, indexes, as well as attributes such stock price, quantity, ClientID, timestamp etc. These elements are explained below:

1.1. ENTITIES

These are the main objects that are stored in the database. These include the stock, Stock History, Client and Transactions.

- **Stocks:** This entity will store information about all the stocks available in markets. Its attributes includes; StockID, StockName, CurrentPrice ,ISIN.StockID is the unique identifier for each stock, Name is the name of the company associated with the stock, ISIN which stands for international securities identifier Number, is a unique 12 character alphanumeric code used to identify specific securities,such as stocks,bonds, and other financial instruments, in the global marketplace.

- **Client:** This entity stores the information about all the clients who registered with the stock exchange. Its attributes include ClientID, Name, and AccountBalance. ClientID is the unique identifier for each client, while Name stores their personal information. AccountBalance stores the current balance available in a client's account.
- **Stock History:** This entity stores each buy and sell order placed by clients. Its attributes include StockID, Price, and Date_Time. StockID is the foreign key referencing the respective stock entities. Price stores the quantity of the stock and price per share respectively.
- **Transactions:** This entity keeps track of every transaction made in the stock exchange. It has the following attributes: TransID, ClientID, StockID, Price, Quantity, and Date_Time. ClientID and StockID are foreign keys that reference the respective client and stock entities, whereas TransID is the unique identifier for each deal. Quantity and price store the quantity of stock and price per share for the transaction, respectively, while Date_Time stores the date and time the trade is executed.

1.2 RELATIONSHIPS

The relationships here in this work describe the associations between the entities, which are typically represented by foreign keys. These relationships include many -to-many, one -to-many, and many-to-one relationship.

1.3 ATTRIBUTES

This database refers to the properties of the entities stored in the database, and these include stock price, Client ID, Transaction Type, Transaction ID, quantity, stock name, ISIN, stock ID, Account balance, Stock History ID, and time stamp.

1.4 TYPE OF DATABASE MANAGEMENT SYSTEM USED

In this work, the Relational Database Management System (RDMS) is utilized to create a database system for the stock exchange market. Because the system is structured and well-defined, and there are clearer relationships between the various units. To facilitate effective querying and analysis, the data must also be kept in a consistent and orderly manner. Using SQL queries, an RDMS enables simple data management, maintenance, and retrieval. It also supports ACID transactions, which ensure data integrity and consistency in a financial system such as a stock exchange market. This is crucial in a stock exchange market where accurate and consistent data is necessary for transactions and historical analysis.

CHAPTER 2(USING ENTITY RELATIONSHIP DIAGRAM TO ILLUSTRATE THE RELATIONSHIP BETWEEN ENTITIES)

This section will provide an ER diagram to show the relationship between the entities of the stock exchange market.

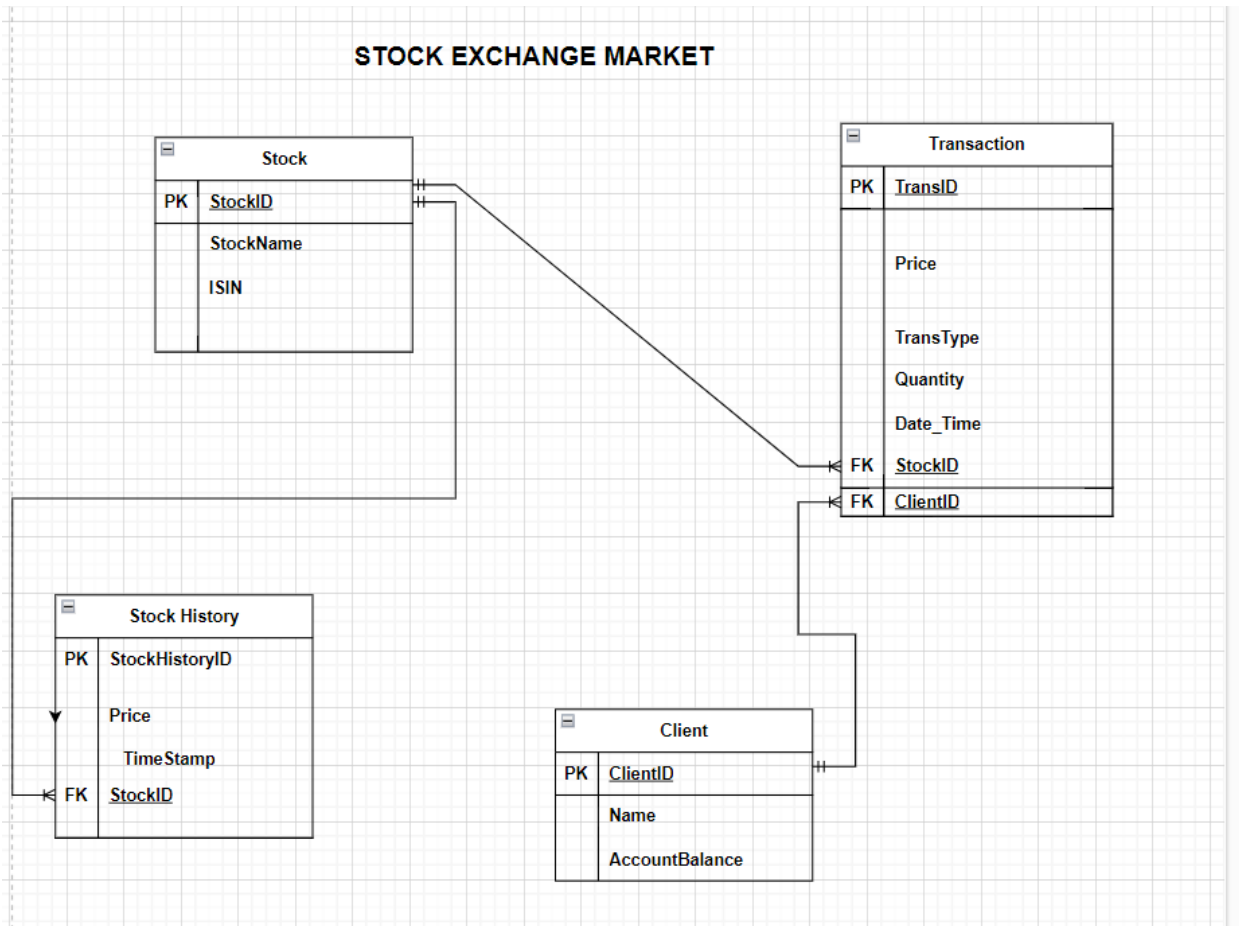
2.1 ENTITY RELATIONSHIP DIAGRAM

An Entity Relationship Diagram (ERD) is a graphical representation of a relationship between people, objects, locations, concepts, or events and information technology (IT). An ERD employs data modeling approaches to aid in the definition of business processes and to serve as the foundation for a rational database.

Since 1976, P.P.Chen founded the ERD during his researches, the ERD was considered a very good way of to do a conceptual model for databases. ERD is a graphical way to give information about databases in the system.Using ERD, database designers can convert this information to build database tables. Information that has been obtained about database helps to reach relational database schema.

For this paper, the ER diagram for the stock exchange marker is shown below.

Figure 2.1



2.2 THE ENTITIES OF THE ER DIAGRAM

Stock

This entity represents a stock available in the stock exchange market. It has the following attributes:

- Stock ID: A unique identification assigned to each stock.
- Stock Name: The name or symbol that relates to the stock.
- ISIN: The ISIN (International securities identification Numbering system). This is a 12 digit alphanumeric code that uniquely identifies a specific security.

Stock History

This stores the historical prices of each stores.It has the following attributes:

- **StockHistoryID:** A unique identifier for each customer and it is the primary key of the stock history table.
- **Stock ID:** A reference to the stock entity's Stock ID, establishing a relationship between the two entities.
- **Price:** the price of the stock at a specific point in time.
- **Date_Time:** The date and time when the price was recorded.

Client

This entity represents a client who participates in the stock exchange market. It has the following attributes:

- **ClientID:** A unique identifier for each customer
- **Name:** The client's name; and
- **Account Balance:** The amount of money available in the client's account for stock purchases and sales.

Transactions

This entity keeps track of the purchases and sales made by clients. It has the following characteristics:

- **Transaction ID:** An identifier that is unique to each transaction.
- **ClientID:** A reference to the Client ID of the client entity, which links the transaction to a specific client.
- **Price:** The price at which the stock was purchased or sold.
- **Date_Time:** The date and time the transaction took place.
- **StockID:** A reference to the stock entity's stock ID, indicating the stock in question.
- **Quantity:** The number of stocks purchased or sold in the transaction.

- Transaction Type: This indicates whether the transaction is a buy or sale transaction.

2.3 THE RELATIONSHIP OF ENTITIES IN ER DIAGRAM

The relationship of the ER diagram is summarized below:

- Clients have a one-to-many relationship with Transactions. One client can have multiple transactions, but each transaction belongs to a specific client.
- Stocks have a one-to-many relationship with stock History. One stock can have multiple historical price records, but each historical price record belongs to a specific stock.
- Stocks History have a many-to-one relationship with stocks. Multiple historical price records belong to a specific stock.
- Transactions have a many-to-one relationship with both clients and stocks. Multiple transactions can be associated with specific stock and client.

CHAPTER 3

In this chapter, the ER Diagram will be converted to collection of possible SCHEMAS and tables will be created based on SCHEMAS.

3.1 CONVERTING THE ER DIAGRAM TO SCHEMA

Based on the figure 2.1, the following can be created to form a SCHEMA for the stock market database:

- Stock- StockID(PK),StockName, ISIN
- Transaction- TransID(PK),Price,TransType,Quantity,Date_Time ,StockID(FK),ClientID(FK).
- Stock History-StockHistoryID(PK),StockID(FK),price,Date_Stime
- Client-ClientID (PK),Name,AccountBalance.

3.2 REASONS FOR THE FOREIGN KEYS AND PRIMARY KEYS IN THE SCHEMAS CREATED

The primary keys (PK) in the schemas offered uniquely identify each entry in a table or collection. They serve as the primary identification for entities in the database. The primary key for the Stocks Table is Stock ID, the primary key for the Clients Table is Client ID, the primary key for the stock History is the StockHistoryID and the primary key for the Transactions Table is Transaction ID. The primary key enables easy access to specific records and the establishment of associations with foreign keys.

For the Foreign keys(FK) in the schemas above, they are used to create relationships between entities. They establish referential integrity, enforcing that values in a foreign key in one table must correspond to the values in another table's primary key. The Stock ID is a foreign key in the stock history table because, the stock history table is referencing it (Stock ID) from the stocks table to associate the historical prices.

3.3 CREATING TABLES USING MYSQL DBMS

The tables created using MYSQL workbench are shown below:

- The stock table shown below stores information about different stocks available in the market

STOCK TABLE

Table Name: Schema: **stockexchangemarket**

Charset/Collation: Engine:

Comments:

Column Name	Datatype	PK	NN	UQ	B	UN	ZF	AI	G	Default/Expression
StockID	INT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
StockName	VARCHAR(45)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL
ISIN	VARCHAR(12)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL

Column Name:

Charset/Collation:

Comments:

Data Type:

Expression:

Storage: ☐ Virtual ☐ Stored

☐ Primary Key ☐ Not Null ☐ Unique

☐ Binary ☐ Unsigned ☐ Zero Fill

☐ Auto Increment ☒ Generated

Columns Indexes Foreign Keys Triggers Partitioning Options

Apply Revert

- The client table shown below stores information about clients or customers of the stock exchange .

CLIENT TABLE

Table Name: Schema: **stockexchangemarket**

Charset/Collation: Engine:

Comments:

Column Name	Datatype	PK	NN	UQ	B	UN	ZF	AI	G	Default/Expression
ClientID	INT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Name	VARCHAR(255)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL
AccountBalance	DECIMAL(12,2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL

Column Name:

Charset/Collation:

Comments:

Data Type:

Expression:

Storage: ☐ Virtual ☐ Stored

☐ Primary Key ☐ Not Null ☐ Unique

☐ Binary ☐ Unsigned ☐ Zero Fill

☐ Auto Increment ☒ Generated

Columns Indexes Foreign Keys Triggers Partitioning Options

Apply Revert

- The stock history table shown below stores the historical prices of each stock.

STOCK HISTORY TABLE

Table Name: Schema: **stockexchangemarket**

Charset/Collation: Engine:

Comments:

Column Name	Datatype	PK	NN	UQ	B	UN	ZF	AI	G	Default/Expression
StockHistoryID	INT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Price	DECIMAL(12,2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL
Date_Time	DATETIME(6)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL
StockID	INT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL

Column Name: Data Type:

Charset/Collation:

Comments:

Storage: ☐ Virtual ☐ Stored

☒ Primary Key ☒ Not Null ☐ Unique

☐ Binary ☐ Unsigned ☐ Zero Fill

☒ Auto Increment ☐ Generated

Columns | Indexes | Foreign Keys | Triggers | Partitioning | Options

STOCK HISTORY FOREIGN KEYS

The stock history table has a foreign which is stock_ID entity referencing the Stocks entity's stockID column. Below is a picture showing the foreign key created in the DBMS.

Table Name: Schema: **stockexchangemarket**

Charset/Collation: Engine:

Comments:

Foreign Key Name	Referenced Table	Column	Referenced Column
Stock_ID	'stockexchangemarket', 'stock'	<input checked="" type="checkbox"/> StockID	StockID
		<input type="checkbox"/> Price	
		<input type="checkbox"/> Date_Time	

Foreign Key Options

On Update:

On Delete:

☐ Skip in SQL generation

Foreign Key Comment:

Columns Indexes **Foreign Keys** Triggers Partitioning Options

Apply Revert

- The transaction table shown below stores the records of transactions made by clients for buying stocks. Below diagram shows the transaction table created in the DBMS.

TRANSACTION TABLE

Table Name: Schema: **stockexchangemarket**

Charset/Collation: Engine:

Comments:

Column Name	Datatype	PK	NN	UQ	B	UN	ZF	AI	G	Default/Expression
TransID	INT	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
Price	DECIMAL(12,2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL
TransType	VARCHAR(45)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL
Quantity	DECIMAL(12,2)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	NULL

Column Name: Data Type:

Charset/Collation:

Default:

Comments:

Storage: ☐ Virtual ☐ Stored

☐ Primary Key ☐ Not Null ☐ Unique

☐ Binary ☐ Unsigned ☐ Zero Fill

☐ Auto Increment ☐ Generated

Columns Indexes **Foreign Keys** Triggers Partitioning Options

Apply Revert

TRANSACTION TABLE FOREIGN KEYS

The transaction table has two foreign entities: stock_ID entity, which refers to the Stocks entity's stockID field, and ClientID entity, referencing the Client's entity ClientID. The image below depicts the foreign key created in the DBMS.

The screenshot shows the 'Foreign Key' configuration window in MySQL Workbench. The 'Table Name' is 'transaction' and the 'Schema' is 'stockexchangemarket'. The 'Charset/Collation' is set to 'utf8mb4' and 'utf8mb4_0900_ai_ci'. The 'Engine' is 'InnoDB'. The 'Comments' field is empty.

Foreign Key Name	Referenced Table
ClientID	'stockexchangemarket'. 'client'
Stock_ID	'stockexchangemarket'. 'stock'

Column	Referenced Column
<input type="checkbox"/> TransID	
<input type="checkbox"/> Price	
<input type="checkbox"/> TransType	
<input type="checkbox"/> Quantity	
<input type="checkbox"/> Date_Time	
<input checked="" type="checkbox"/> StockID	StockID
<input type="checkbox"/> ClientID	

Foreign Key Options:

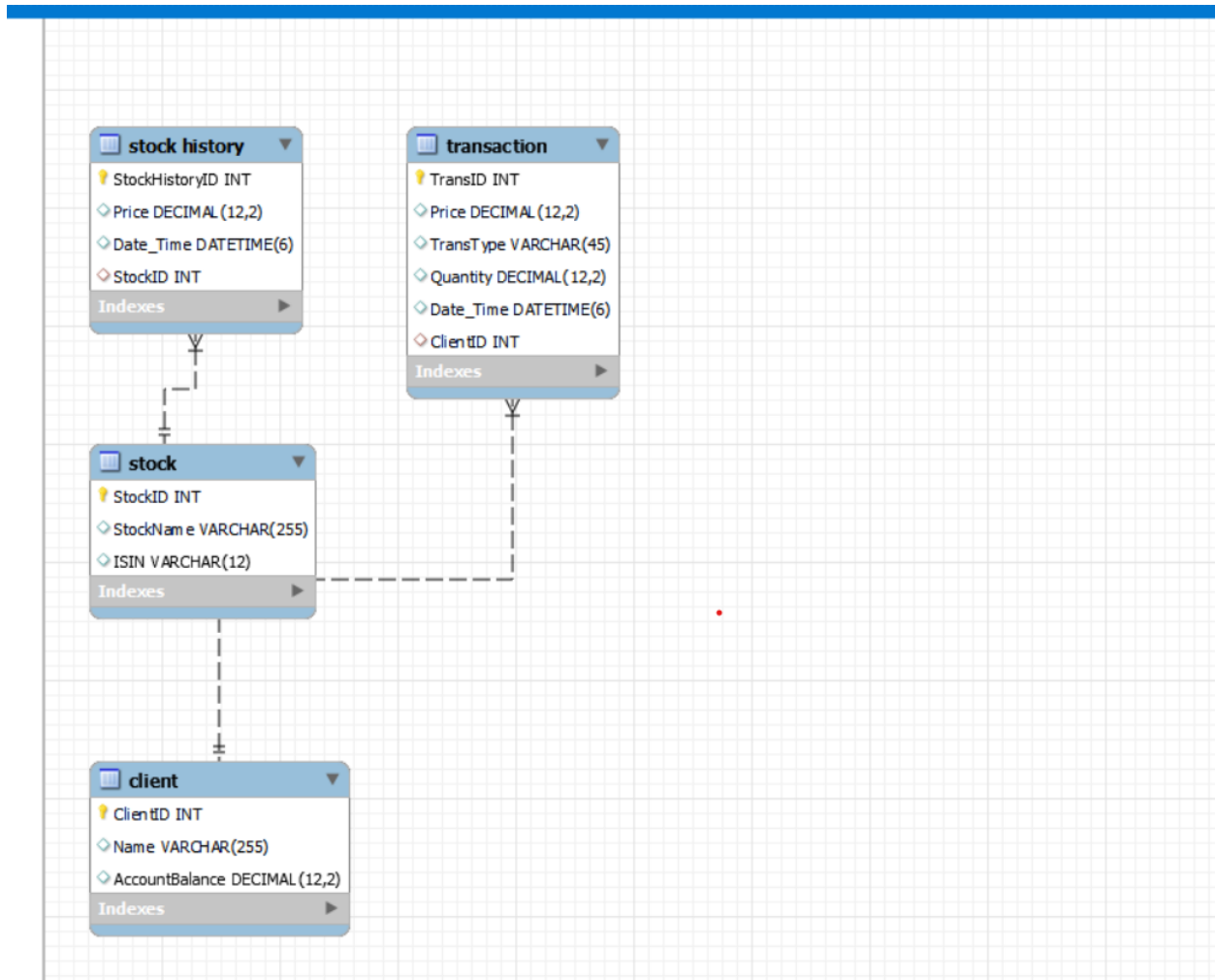
- On Update: RESTRICT
- On Delete: RESTRICT
- ☐ Skip in SQL generation

Foreign Key Comment:

Buttons: Apply, Revert

Following the creation of the tables containing the information for each entity, the DBMS built its own ER diagram illustrating the relationship between the entities based on the data established. The ER diagram is depicted below.

FIG 3A



THE ER DIAGRAM GENERATED MY THE DBMS(MYSQL)

CHAPTER 4

In this chapter, values are filed into tables using SQL commands to enable retrieving and manipulating data from the database easier.

4.1 FILING VALUES INTO TABLES USING SQL COMMAND

Table screenshots populated with values using SQL commands are shown below, using the table name as the header.:

STOCK TABLE WITH FILLED WITH VALUES

The screenshot displays a database management tool interface. The top section shows an SQL command editor with the following text:

```
1 • INSERT INTO stock(StockID, StockName, ISIN)
2 VALUES (1, 'Amazon', 'US0231351067'),
3 (2, 'Apple', 'US0378331005'),
4 (3, 'Tesla', 'US88160R1014');
```

The bottom section shows a 'Result Grid' with a table containing the following data:

	StockID	StockName	ISIN
▶	1	Amazon	US0231351067
	2	Apple	US0378331005
	3	Tesla	US88160R1014
•	NULL	NULL	NULL

STOCK HISTORY TABLE WITH FILLED WITH VALUES

The screenshot shows a database client interface with a SQL editor and a result grid. The SQL editor contains an `INSERT INTO` statement for the `stock history` table, inserting 8 rows of data. The result grid displays the inserted data with columns `StockHistoryID`, `Price`, `Date_Time`, and `StockID`.

```
1 • INSERT INTO `stock history`(StockHistoryID, StockID, Price, Date_Time)
2 VALUES (1, 1, 12.3 , now()),
3 (2, 1, 12.5 , now()),
4 (3, 1, 12.4 , now()),
5 (4, 2, 51.3 , now()),
6 (5, 2, 41.5 , now()),
7 (6, 2, 45.4 , now()),
8 (7, 3, 101.3 , now()),
9 (8, 3, 102.5 , now()),
```

StockHistoryID	Price	Date_Time	StockID
2	12.50	2023-06-01 21:44:36.000000	1
3	12.40	2023-06-01 21:44:36.000000	1
4	51.30	2023-06-01 21:44:36.000000	2
5	41.50	2023-06-01 21:44:36.000000	2
6	45.40	2023-06-01 21:44:36.000000	2
7	101.30	2023-06-01 21:44:36.000000	3
8	102.50	2023-06-01 21:44:36.000000	3
9	100.40	2023-06-01 21:44:36.000000	3
•	NULL	NULL	NULL

CLIENT TABLE WITH FILLED WITH VALUES

The screenshot shows a database client interface with a SQL editor and a result grid. The SQL editor contains a `SELECT` statement followed by an `INSERT INTO` statement for the `client` table, inserting 4 rows of data. The result grid displays the inserted data with columns `ClientID`, `Name`, and `AccountBalance`.

```
1 • SELECT * FROM client;
2 INSERT INTO client(ClientID, Name, AccountBalance)
3 VALUES (1, 'Eniola', 12313.30),
4 (2, 'Jude', 239.01),
5 (3, 'Ujay', 131931.12),
6 (4, 'Regina', 1022.12)
7 ✖ Select * from client
```

ClientID	Name	AccountBalance
1	Eniola	12313.30
2	Jude	239.01
3	Ujay	131931.12
4	Regina	1022.12
•	NULL	NULL

TRANSACTION TABLE WITH FILLED WITH VALUES

The screenshot displays a database management interface. At the top, a SQL query is entered in a text area:

```
1 • INSERT INTO transaction(TransID, Price, TransType, Quantity, Date_Time, StockID, ClientID)
2 VALUES (1, 12.5, 'buy', 201.3, now(), 1, 1),
3 (2, 12.4, 'sell', 102.3, now(), 1, 1),
4 (3, 12.3, 'buy', 25.3, now(), 2, 1),
5 (4, 51.3, 'buy', 122.3, now(), 1, 2),
6 (5, 41.5, 'sell', 74.3, now(), 3, 2),
7 (6, 45.4, 'buy', 65.3, now(), 2, 2),
8 (7, 101.3, 'buy', 85, now(), 1, 3),
9 (8, 102.5, 'sell', 25, now(), 3, 3),
```

Below the query, the "Result Grid" shows the executed data. The grid has columns: TransID, Price, TransType, Quantity, Date_Time, StockID, and ClientID. The data is as follows:

TransID	Price	TransType	Quantity	Date_Time	StockID	ClientID
1	12.50	buy	201.30	2023-06-01 23:12:04.000000	1	1
2	12.40	sell	102.30	2023-06-01 23:12:04.000000	1	1
3	12.30	buy	25.30	2023-06-01 23:12:04.000000	2	1
4	51.30	buy	122.30	2023-06-01 23:12:04.000000	1	2
5	41.50	sell	74.30	2023-06-01 23:12:04.000000	3	2
6	45.40	buy	65.30	2023-06-01 23:12:04.000000	2	2
7	101.30	buy	85.00	2023-06-01 23:12:04.000000	1	3
8	102.50	sell	25.00	2023-06-01 23:12:04.000000	3	3
9	100.40	buy	54.00	2023-06-01 23:12:04.000000	2	3

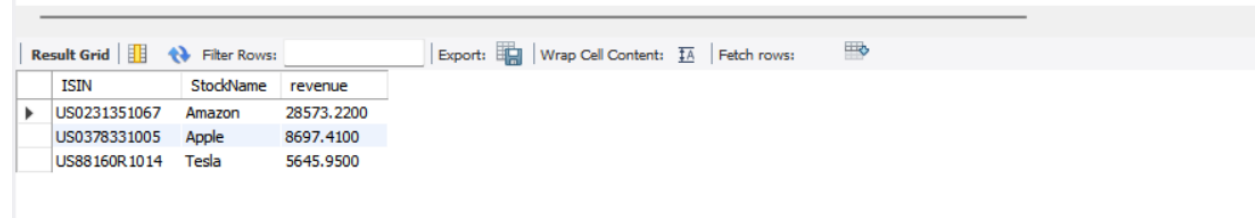
At the bottom left, a tab labeled "transaction 4" is visible. At the bottom right, there are "Apply" and "Revert" buttons. On the far right, a sidebar contains icons for "Result Grid" and "Form Editor".

4.2 RETRIEVING AND MANIPULATING OF DATA FROM THE DATABASE CREATED

Data is retrieved from the database system using structured query language (SQL) once values are inserted into the table. Each query type written is executed, and a screenshot of the SQL instruction and result is shown below:

1. The first Query is written to determine the top 3 stocks with the highest trading volume. The sql command displayed in the screenshot below

```
18 -- determine the top 3 stocks with the highest trading volume
19 -- Select the isin and stock name, then sum their respective revenue
20 SELECT s.ISIN, s.StockName, SUM(t.Quantity * t.Price) AS revenue FROM stock AS s
21 JOIN transaction AS t
22 ON s.StockID = t.StockID --
23 GROUP BY 1, 2
24 ORDER BY revenue DESC -- sort in descending order
25 LIMIT 3 -- limit by 3 because we want the top 3
26
27
28 -- 2 NESTED
```



The screenshot shows a database interface with a SQL query editor and a result grid. The query is a JOIN query that calculates the total revenue for the top 3 stocks based on their trading volume. The result grid displays the following data:

ISIN	StockName	revenue
US0231351067	Amazon	28573.2200
US0378331005	Apple	8697.4100
US88160R1014	Tesla	5645.9500

From the above screenshots, the query retrieves data from ‘stock’ and ‘transaction ‘ tables and performs aggregation and sorting using Join and group query.

The result displayed shows that , the query retrieved the ISIN , stockName and revenue information of top 3 stocks based on their total revenue .

2. The second query seeks the client with the largest Account balance. The SQL command and result are shown below:

```
27
28  -- 2 NESTED
29  -- Client with highest account balance
30  SELECT * FROM client AS c
31  WHERE c.AccountBalance = (
32        SELECT Max(AccountBalance) FROM client
33        )
34
```

result Grid | Filter Rows: | Edit: | Export/Import: | Wrap Cell Content: |

ClientID	Name	AccountBalance
3	Ujay	131931.12
HULL	HULL	HULL

The query written above retrieves all rows from the 'client' table where Accountbalance value is equal to the maximum AccountBalance value found in the entire 'Client' table.

3. The third query is written to Retrieve the highest and the lowest prices for Amazon stock. The SQL command and result is shown in below screenshot:

```
37  JOIN `stock history` AS sh
38  ON s.StockID = sh.StockID
39  WHERE s.StockName = 'Amazon'
40  ORDER BY sh.Price
41  LIMIT 1)
42  UNION ALL
43  (SELECT 'Highest' AS Title, Price FROM `stock` AS s
44  JOIN `stock history` AS sh
45  ON s.StockID = sh.StockID
```

```

46 WHERE s.StockName = 'Amazon'
47 ORDER BY sh.Price DESC
48 LIMIT 1)
49
50

```

Result Grid			Filter Rows:	Export:	Wrap Cell Content:
	Title	Price			
▶	Lowest	12.30			
	Highest	12.50			

The query written in uses the 'UNION ALL' operator to combine result of the two queries into a single result set.

- The fourth query used a trigger to handle market orders. The query command and result is displayed in the below screenshot:

```

51 -- 4 TRIGGER
52 DELIMITER //
53 • DROP TRIGGER IF EXISTS fetch_last_price //
54
55 • CREATE TRIGGER fetch_last_price BEFORE INSERT ON transaction
56 FOR EACH ROW
57 BEGIN
58 IF (NEW.Price IS null) THEN
59 SET NEW.Price = (SELECT Price FROM `stock history` sh WHERE StockID = NEW.StockID ORDER BY Date_time DESC LIMIT 1);
60
61 END IF;
62
63 DELIMITER ;
64
65 • INSERT INTO transaction(TransID, Price, TransType, Quantity, Date_Time, StockID, ClientID)
66 VALUES (11, null, 'buy', 201.3, now(), 1, 1);
67 • SELECT * FROM transaction;
68

```

Result Grid							
		Filter Rows:		Edit:		Export/Import:	
	TransID	Price	TransType	Quantity	Date_Time	StockID	ClientID
▶	1	12.50	buy	201.30	2023-06-01 23:12:04.000000	1	1
	2	12.40	sell	102.30	2023-06-01 23:12:04.000000	1	1
	3	12.30	buy	25.30	2023-06-01 23:12:04.000000	2	1
	4	51.30	buy	122.30	2023-06-01 23:12:04.000000	1	2
	5	23.40	buy	74.30	2023-06-01 23:12:04.000000	3	2
	7	101.30	buy	85.00	2023-06-01 23:12:04.000000	1	3
	8	102.50	sell	25.00	2023-06-01 23:12:04.000000	3	3
	9	100.40	buy	54.00	2023-06-01 23:12:04.000000	2	3
	10	12.30	buy	201.30	2023-06-03 00:18:08.000000	1	1
	11	12.30	buy	201.30	2023-06-04 02:34:55.000000	1	1
	12	12.30	buy	201.30	2023-06-03 00:11:12.000000	1	1
	13	12.30	buy	201.30	2023-06-03 00:11:55.000000	1	1
*	NULL	NULL	NULL	NULL	NULL	NULL	NULL
transaction 27 transaction 47 transaction 70 ×							

The SQL command results reveal that the query created a trigger that automatically sets the 'Price' column of a new transaction to the most recent price from the 'stock history' table if the 'Price' value is null

5. The 5th query is used to create a Store procedure for the database. Below is the sql command and result;

```
69  -- 5 Stored Procedure - create stored procedure the total daily revenue
70  DROP PROCEDURE IF EXISTS SelectDailyRevenue;
71
72  DELIMITER //
73  • CREATE PROCEDURE SelectDailyRevenue()
74  • BEGIN
75  •     SELECT SUM(t.Quantity * t.Price)
76  •     FROM transaction t
77  •     WHERE Date_time >= NOW() - INTERVAL 24 HOUR;
78  • END //
79  DELIMITER ;
80  • CALL SelectDailyRevenue()
```

Result Grid | Filter Rows: | Export: | Wrap Cell Content: |

SUM(t.Quantity * t.Price)
9903.9600

The query above creates a stored procedure that calculates and returns the total revenue generated in the last 24hours based on the quantity and price of transactions in the ‘transaction’ table.

6. The state query is created to insert new records into the ‘stock table’.

```
81
82  • INSERT INTO stock(StockName,ISIN)
83  VALUES ('Facebook','US0393833101');
84  • SELECT * FROM stock
```

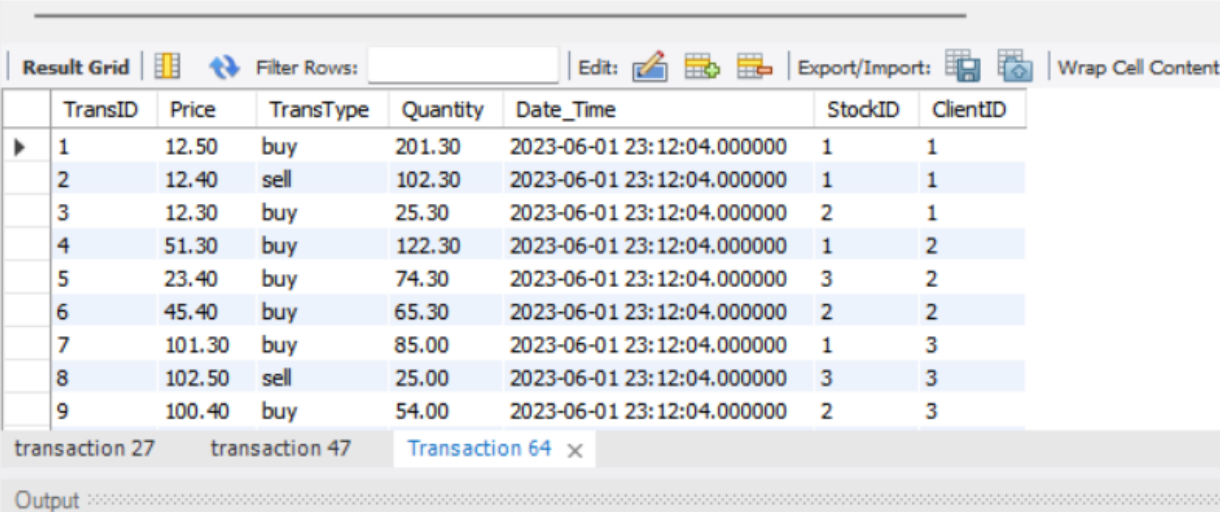
Result Grid | Filter Rows: | Edit: | Export/Import: | Wrap Cell Content: |

StockID	StockName	ISIN
1	Amazon	US0231351067
2	Apple	US0378331005
3	Tesla	US88160R1014
4	Facebook	US0393833101
•	NULL	NULL

The result shows that, the query inserted new record with name 'Facebook' and 'ISIN' 'US0393833101' to the 'stock table'.

7. The 7th query is an update query which is executed to change the 'TransType' and 'Price' in the 'Transaction Table' where the 'TransactionID' =5. Screenshot for the result is shown below:

```
85
86 • UPDATE Transaction
87 SET TransType = 'buy', Price =23.4
88 WHERE TransID =5;
89 • SELECT * FROM Transaction
```



	TransID	Price	TransType	Quantity	Date_Time	StockID	ClientID
▶	1	12.50	buy	201.30	2023-06-01 23:12:04.000000	1	1
	2	12.40	sell	102.30	2023-06-01 23:12:04.000000	1	1
	3	12.30	buy	25.30	2023-06-01 23:12:04.000000	2	1
	4	51.30	buy	122.30	2023-06-01 23:12:04.000000	1	2
	5	23.40	buy	74.30	2023-06-01 23:12:04.000000	3	2
	6	45.40	buy	65.30	2023-06-01 23:12:04.000000	2	2
	7	101.30	buy	85.00	2023-06-01 23:12:04.000000	1	3
	8	102.50	sell	25.00	2023-06-01 23:12:04.000000	3	3
	9	100.40	buy	54.00	2023-06-01 23:12:04.000000	2	3

transaction 27 transaction 47 Transaction 64 ×

Output

From the result displayed above, the 'TransType' and 'Price' for 'TransactionID 5' is changed from a 'sell' and '41.5' to 'buy' and '23.4' respectively.

8. The Delete query is the eighth and last query written. The sql statement deletes data from the 'Transaction table' Where the 'TransID' is 6. The data in row '6' was erased as a result.

```
--  
92 • DELETE FROM Transaction  
93 WHERE TransID =6;  
94 • SELECT * FROM TRANSACTION
```

Result Grid							
		Filter Rows:		Edit:		Export/Import:	
						Wrap Cell Content:	
	TransID	Price	TransType	Quantity	Date_Time	StockID	ClientID
▶	1	12.50	buy	201.30	2023-06-01 23:12:04.000000	1	1
	2	12.40	sell	102.30	2023-06-01 23:12:04.000000	1	1
	3	12.30	buy	25.30	2023-06-01 23:12:04.000000	2	1
	4	51.30	buy	122.30	2023-06-01 23:12:04.000000	1	2
	5	23.40	buy	74.30	2023-06-01 23:12:04.000000	3	2
	7	101.30	buy	85.00	2023-06-01 23:12:04.000000	1	3
	8	102.50	sell	25.00	2023-06-01 23:12:04.000000	3	3
	9	100.40	buy	54.00	2023-06-01 23:12:04.000000	2	3
	10	12.30	buy	201.30	2023-06-03 00:18:08.000000	1	1
transaction 27 transaction 47 TRANSACTION 68 ×							

CHAPTER 5

This chapter discusses the Efficiency of the DBMS created by explaining the consistency, speed or availability, scalability and Indexes of the DBMS.

5.1. CONSISTENCY OF THE DBMS

For a DBMS, consistency refers to the property of ensuring that the a database remains in a valid state before and after any transaction. It guarantees that the database's data meets all defined integrity constraints, rules, and relationship. In order to achieve the consistency of the DBMS created in this work, the Database also has to meet the ACID properties because this is a stock exchange market. The ACID properties are set of properties that ensure reliability and consistency in a database transaction.

From the DBMS created in this paper, it is evident that the DBMS maintains its consistency throughout every transaction and operation carried out by the SQL command. A valid example of this is when the “SELECT * FROM transaction” was written, the query retrieves all rows form the ‘transaction’ table and the DBMS ensures consistency by returning the data as it is stored without any modification.

The Atomicity property which stands for ‘A’ in ACID, guarantees that the transaction is treated as a single, indivisible unit of work. If any transaction fails, the entire transaction is rolled back, and changes made within the transaction are undone. The INSERT, UPDATE and DELETE statements in chapter 4 are executed individually, ensuring that each statement is atomic.

The ‘I’ which stands for isolation in ACID, guarantees that concurrent transactions are executed in a way that they appear to be executed sequentially, without interference from other transactions.

Durability of the ACID property ensures that once a transaction is committed, its effects are permanent and will survive any subsequent system failures, such as power outages or crashes. The changes made by the SQL commands ,will be durable and persist in database even in the presence of failures.

5.2 SCALABILITY OF THE DBMS

Scalability refers to the ability of a DBMS to handle increasing amount of data, user requests and system workload without sacrificing performance .The INSERT INTO transaction query in chapter 4 shows that the DBMS ability to handle increasing data volumes and the query to determine the top 3 stocks with the highest trading volume demonstrates the DBMS's ability to process complex queries involving JOIN and GROUP BY operations.

5.3 AVAILABILITY OF THE DBMS

The availability of a DBMS refers to the ability to remain operational and accessible to users, even in the presence of failures or disruptions. The creation of a TRIGGER and subsequent insert statement with a NULL value for the price column demonstrate the DBMS's availability to enforce business rules and perform automatic actions. The TRIGGER ensures that if a transaction's price is not provided, it will be fetched from a stock history. If the TRIGGER is correctly defined and the required data is available, the DBMS can execute the trigger and maintain the integrity of the data.

5.4 CAP THEOREM

The CAP theorem also known as the Brewer's theorem, is a fundamental principle in a distributed systems that states that it is impossible for a distributed data system to simultaneously provide all of the three guarantees: Consistency, Availability and partition Tolerance similar to scalability. It's important to note that CAP theorem states that it is impossible for a distributed system to simultaneously achieve consistency, availability, and partition tolerance. While scalability is important in a stock market to support high trading volumes and accommodate market growth, it may not be as critical as consistency and availability. Ensuring consistency and availability takes precedence over sheer scalability. Consistency ensures the integrity of trades information, while availability ensures uninterrupted access to market.

Scalability is not the primary concern in a stock market because the market focuses on consistency and availability due to the need for accurate, reliable, and uninterrupted trading activities. Also, Stock market being a small market, its scalability requirement might not be as extreme as other industries with massive data volumes or high transaction rates.

CONCLUSION

In conclusion, this paper focuses on the creation of a database management system for a stock exchange market. The database system utilized a relational database management system (RDMS) to store and manage the entities involved, including stocks, clients, transactions, and stock history. The ER diagram provided a visual representation of the relationships between these entities, while the schema and table were created based on the ER Diagram. Using SQL commands, data population, retrieval, and manipulation were efficiently performed, ensuring the integrity and reliability of the data. The system demonstrated consistency by adhering to ACID properties, while also showcasing efficiency through speed, availability, scalability, and the use of indexes.

Overall, the implemented DBMS provides an effective solution for managing stock exchange market data, offering a well-structured and reliable platform for handling transactions, stock history, and client information.

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