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## Assignment 15

# Utkarsh Surwade AI20MTECH11004

Download latex-tikz codes from

https://github.com/utkarshsurwade/Matrix\_Theory\_EE5609/tree/master/codes

#### 1 Problem

Let **A** be a real matrix with characteristic polynomial  $(x-1)^3$ . Pick the correct statements from below:

- 1. A is necessarily diagonalizable.
- 2. If the minimal polynomial of **A** is  $(x-1)^3$ , then **A** is diagonalizate.
- 3. Characteristic polynomial of  $A^2$  is  $(x-1)^3$ .
- 4. If **A** has exactly two Jordan blocks, then  $(\mathbf{A} \mathbf{I})^2$  is diagonalizable.

#### 2 **DEFINITIONS**

Characteristic Polynomial	For an $n \times n$ matrix $\mathbf{A}$ , characteristic polynomial is defined by, $p(x) =  x\mathbf{I} - \mathbf{A} $
Cayley-Hamilton Theorem	If $p(x)$ is the characteristic polynomial of an $n \times n$ matrix $\mathbf{A}$ , then, $p(\mathbf{A}) = 0$
Minimal Polynomial	Minimal polynomial $m(x)$ is the smallest factor of characteristic polynomial $p(x)$ such that, $m(\mathbf{A}) = 0$ Every root of characteristic polynomial should be the root of minimal polynomial

TABLE 1: Definitions

### 3 Explanation

Statement	Solution
1.	
	Let $\mathbf{A} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix}$
	Since <b>A</b> is upper triangular matrix, $\lambda_1 = 1, \lambda_2 = 1, \lambda_3 = 1$
	Therefore, $p(x) = (x - 1)^3$
	Soving $(\mathbf{A} - \mathbf{I})^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Soving $(\mathbf{A} - \mathbf{I})^2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Soving $\mathbf{A} - \mathbf{I} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix}$
	Since $A - I \neq 0$
	Therefore, $m(x) = (x - 1)^2$
Justification	Hence, the Jordan form of $\bf A$ is a $3\times 3$ matrix consisting of two block: one block of order 2 with principal diagonal value as $\lambda=1$ and super diagonal of the block (i.e the set of elements that lies directly above the elements comprising the principal diagonal) contains 1. And one block of order 1 with $\lambda=1$ . Hence the required Jordan form of $\bf A$ is,
	$\therefore \mathbf{J} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$
	A matrix is diagonalizable iff its jordan form is a diagonal matrix. Since $J$ is not diagonizable therefore $A$ is not diagonizable.
Conclusion	Therefore the statement is false.

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Let 
$$\mathbf{A} = \begin{pmatrix} 1 & 2 & 0 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix}$$

Since **A** is upper triangular matrix,  $\lambda_1 = 1, \lambda_2 = 1, \lambda_3 = 1$ 

Therefore, 
$$p(x) = (x - 1)^3$$

Soving 
$$(\mathbf{A} - \mathbf{I})^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Soving 
$$(\mathbf{A} - \mathbf{I})^2 = \begin{pmatrix} 0 & 0 & 4 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Since 
$$(\mathbf{A} - \mathbf{I})^2 \neq \mathbf{0}$$

Therefore,  $m(x) = (x - 1)^3$ 

#### Justification

Hence, the Jordan form of  $\mathbf{A}$  is a  $3 \times 3$  matrix consisting of only one block with principal diagonal values as  $\lambda_1 = 1$  and super diagonal of the matrix (i.e the set of elements that lies directly above the elements comprising the principal diagonal) contains 1. Hence the required Jordan form of  $\mathbf{A}$  is,

$$\therefore \mathbf{J} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

Since J is not diagonizable therefore A is not diagonizable.

#### Conclusion

Therefore the statement is false.

3.

Give that, 
$$p(x)$$
 of  $\mathbf{A} = (x-1)^3$ 

Hence the eigen values of A = 1, 1, 1

Hence the eigen values of  $\mathbf{A}^2 = 1^2, 1^2, 1^2$  or 1, 1, 1

Therefore p(x) of  $\mathbf{A}^2 = (x-1)^3$ 

#### Conclusion

Therefore the statement is True.

4.	We know that jordan form of a matrix is similar to the original matrix Let $\mathbf{J}$ be the jordan form of the matrix $\mathbf{A}$ then, $\mathbf{A} = \mathbf{PJP}^{-1}$ $\mathbf{A} - \mathbf{I} = \mathbf{PJP}^{-1} - \mathbf{I}$ $\mathbf{A} - \mathbf{I} = \mathbf{P}(\mathbf{J} - \mathbf{I})\mathbf{P}^{-1}$ $(\mathbf{A} - \mathbf{I})^2 = \mathbf{P}(\mathbf{J} - \mathbf{I})\mathbf{P}^{-1}\mathbf{P}(\mathbf{J} - \mathbf{I})\mathbf{P}^{-1}$ $(\mathbf{A} - \mathbf{I})^2 = \mathbf{P}(\mathbf{J} - \mathbf{I})^2\mathbf{P}^{-1}$ Therefore $(\mathbf{A} - \mathbf{I})^2$ is similar to $(\mathbf{J} - \mathbf{I})^2$ Since $\mathbf{A}$ has exactly two jordan blocks and order of $\mathbf{A}$ is 3. $\therefore \mathbf{J} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ $\mathbf{J} - \mathbf{I} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ $(\mathbf{J} - \mathbf{I})^2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ Since $(\mathbf{J} - \mathbf{I})^2$ is diagonal matrix. Therefore $(\mathbf{A} - \mathbf{I})^2$ is diagonalizable.
Conclusion	Therefore the statement is True.

TABLE 2: Solution summary