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# Assignment 18

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Download latex-tikz codes from

https://github.com/utkarshsurwade/Matrix\_Theory\_EE5609/tree/master/codes

## 1 **Problem**

Which of the following matrices have Jordan canonical form equal to

$$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}?$$

1. 
$$\begin{pmatrix}
0 & 0 & 1 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{pmatrix}$$
2. 
$$\begin{pmatrix}
0 & 0 & 1 \\
0 & 0 & 1 \\
0 & 0 & 0
\end{pmatrix}$$
3. 
$$\begin{pmatrix}
0 & 1 & 1 \\
0 & 0 & 0 \\
0 & 0 & 0
\end{pmatrix}$$
4. 
$$\begin{pmatrix}
0 & 1 & 1 \\
0 & 0 & 1 \\
0 & 0 & 0
\end{pmatrix}$$

# **Definitions**

Characteristic Polynomial	For an $n \times n$ matrix $\mathbf{A}$ , characteristic polynomial is defined by, $p(x) =  x\mathbf{I} - \mathbf{A} $
Cayley-Hamilton Theorem	If $p(x)$ is the characteristic polynomial of an $n \times n$ matrix $\mathbf{A}$ , then, $p(\mathbf{A}) = 0$
Minimal Polynomial	Minimal polynomial $m(x)$ is the smallest factor of characteristic polynomial $p(x)$ such that, $m(\mathbf{A}) = 0$ Every root of characteristic polynomial should be the root of minimal polynomial

TABLE 1: Definitions

# 3 Explanation

Statement	Solution
1.	
	Let $\mathbf{A} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Since <b>A</b> is upper triangular matrix, $\lambda_1 = 0, \lambda_2 = 0, \lambda_3 = 0$
	Therefore, $p(x) = (x)^3$
	Solving $\mathbf{A}^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Solving $\mathbf{A}^2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Since $\mathbf{A} \neq 0$
	Therefore, $m(x) = (x)^2$
Justification	Hence, the Jordan form of $\bf A$ is a $3\times 3$ matrix consisting of two block: one block of order 2 with principal diagonal value as $\lambda=0$ and super diagonal of the block (i.e the set of elements that lies directly above the elements comprising the principal diagonal) contains 1. And one block of order 1 with $\lambda=0$ . Hence the required Jordan form of $\bf A$ is,
	$\therefore \mathbf{J} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
Conclusion	Therefore option 1 is true.

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Let 
$$\mathbf{A} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

Since **A** is upper triangular matrix,  $\lambda_1 = 0, \lambda_2 = 0, \lambda_3 = 0$ 

Therefore, 
$$p(x) = (x)^3$$

Solving 
$$\mathbf{A}^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Solving 
$$\mathbf{A}^2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Since  $A \neq 0$ 

Therefore,  $m(x) = (x)^2$ 

#### Justification

Hence, the Jordan form of **A** is a  $3 \times 3$  matrix consisting of two block: one block of order 2 with principal diagonal value as  $\lambda = 0$  and super diagonal of the block (i.e the set of elements that lies directly above the elements comprising the principal diagonal) contains 1.

And one block of order 1 with  $\lambda = 0$ .

Hence the required Jordan form of A is,

$$\therefore \mathbf{J} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

## Conclusion

Therefore option 2 is true.

3.	
	Let $\mathbf{A} = \begin{pmatrix} 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Since <b>A</b> is upper triangular matrix, $\lambda_1 = 0, \lambda_2 = 0, \lambda_3 = 0$
	Therefore, $p(x) = (x)^3$
	Solving $\mathbf{A}^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Solving $\mathbf{A}^2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Since $\mathbf{A} \neq 0$
	Therefore, $m(x) = (x)^2$
Justification	Hence, the Jordan form of $\bf A$ is a $3\times 3$ matrix consisting of two block: one block of order 2 with principal diagonal value as $\lambda=0$ and super diagonal of the block (i.e the set of elements that lies directly above the elements comprising the principal diagonal) contains 1. And one block of order 1 with $\lambda=0$ . Hence the required Jordan form of $\bf A$ is,
	$\therefore \mathbf{J} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

Therefore option 3 is true.

Conclusion

4.	Let $\mathbf{A} = \begin{pmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$
	Since <b>A</b> is upper triangular matrix, $\lambda_1 = 0, \lambda_2 = 0, \lambda_3 = 0$
	Therefore, $p(x) = (x)^3$
	Solving $\mathbf{A}^3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Solving $\mathbf{A}^2 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
	Since $A^2 \neq 0$
	Therefore, $m(x) = (x)^3$
Justification	Hence, the Jordan form of <b>A</b> is a $3 \times 3$ matrix consisting of only one block with principal diagonal values as $\lambda = 0$ and super diagonal of the matrix (i.e the set of elements that lies directly above the elements comprising the principal diagonal) contains 1. Hence the required Jordan form of <b>A</b> is,  (0 1 0)
	$\therefore \mathbf{J} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$
Conclusion	Therefore option 4 is false.

TABLE 2: Solution summary