

# Three-dimensional straight-line drawings of planar graphs

Tobias Feigenwinter

ETH Zürich

2023/04/21

# Outline

## Motivation for 3D drawings

# Outline

Motivation for 3D drawings

Results for general graphs

# Outline

Motivation for 3D drawings

Results for general graphs

Proof of the main result

Track Layouts to 3D drawings

Queues and chromatic number to Tracks

Bounds on acyclic chromatic number

# Outline

Motivation for 3D drawings

Results for general graphs

Proof of the main result

Track Layouts to 3D drawings

Queues and chromatic number to Tracks

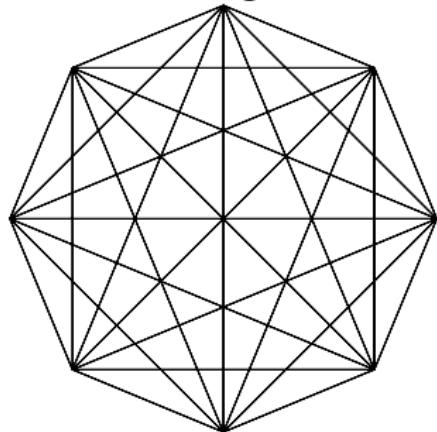
Bounds on acyclic chromatic number

# Why 3D drawings?

- ▶ “Nice” drawings

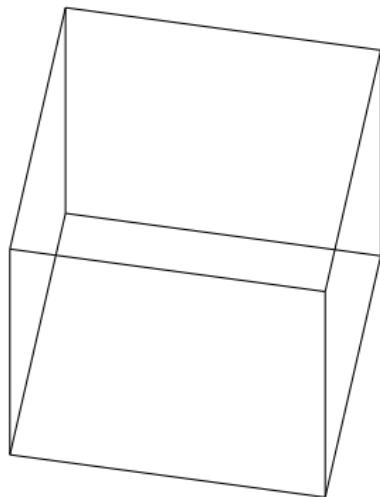
# Why 3D drawings?

- ▶ “Nice” drawings



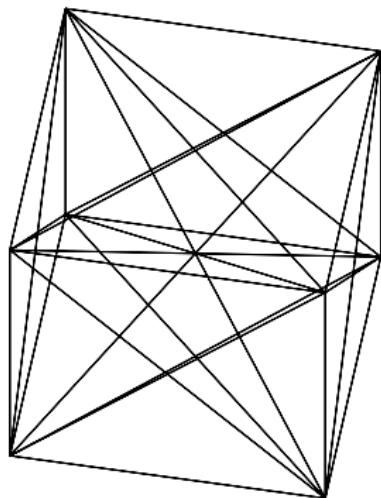
# Why 3D drawings?

- ▶ “Nice” drawings



# Why 3D drawings?

- ▶ “Nice” drawings



# Why 3D drawings?

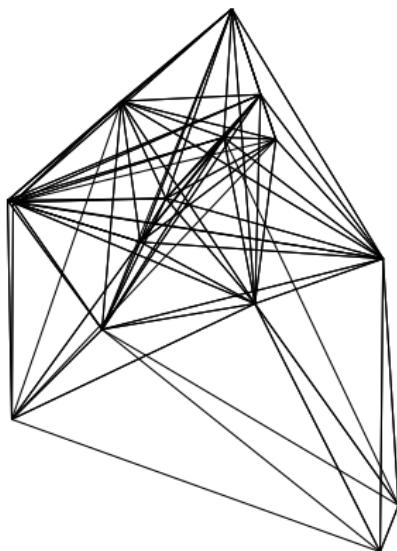
- ▶ “Nice” drawings

# Why 3D drawings?

- ▶ “Nice” drawings
- ▶ Visualization of data with spacial information

# Why 3D drawings?

- ▶ “Nice” drawings
- ▶ Visualization of data with spacial information



# Why 3D drawings?

- ▶ “Nice” drawings
- ▶ Visualization of data with spacial information

# Why 3D drawings?

- ▶ “Nice” drawings
- ▶ Visualization of data with spacial information
- ▶ User Interfaces

# Why 3D drawings?

- ▶ “Nice” drawings
- ▶ Visualization of data with spacial information
- ▶ User Interfaces

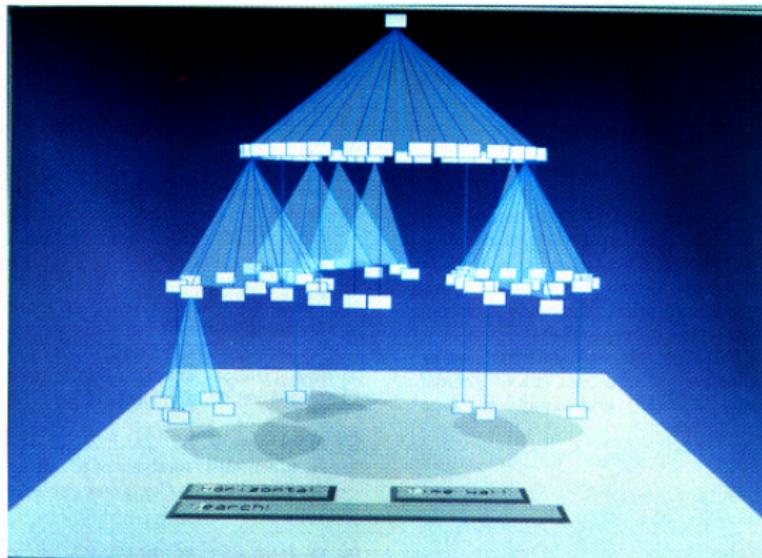


Figure from: George, Jock and Stuart (1991): *Cone Trees: Animated 3D Visualizations of Hierarchical Information*  
Tobias Feigenwinter (ETH Zürich) 3D straight-line drawings of planar graphs

# Why 3D drawings?

- ▶ “Nice” drawings
- ▶ Visualization of data with spacial information
- ▶ User Interfaces
  - ▶ Virtual Reality?

# Main result

## Theorem

*Every planar graph with  $n$  vertices has a 3-dimensional straight-line drawing on the integer grid*

# Main result

## Theorem

*Every planar graph with  $n$  vertices has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(n)$  Volume.*

# Why is this interesting?

# Outline

Motivation for 3D drawings

Results for general graphs

Proof of the main result

Track Layouts to 3D drawings

Queues and chromatic number to Tracks

Bounds on acyclic chromatic number

# The Moment Curve

## Theorem

*Every graph with  $n$  vertices  
has a 3-dimensional  
straight-line drawing on the  
integer grid with  $\mathcal{O}(n^6)$   
Volume.*

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

# The Moment Curve

## Theorem

*Every graph with  $n$  vertices  
has a 3-dimensional  
straight-line drawing on the  
integer grid with  $\mathcal{O}(n^6)$   
Volume.*

# The Modular Moment Curve

## Theorem

*Every graph with  $n$  vertices  
has a 3-dimensional  
straight-line drawing on the  
integer grid with  $\mathcal{O}(n^3)$   
Volume.*

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

# The Modular Moment Curve

## Theorem

*Every graph with  $n$  vertices  
has a 3-dimensional  
straight-line drawing on the  
integer grid with  $\mathcal{O}(n^3)$   
Volume.*

# The Modular Moment Curve

## Theorem

*Every graph with  $n$  vertices  
has a 3-dimensional  
straight-line drawing on the  
integer grid with  $\mathcal{O}(n^3)$   
Volume.*

$\mathcal{O}(n^3)$  is tight for complete graphs

- ▶ Cut grid into planes

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

$\mathcal{O}(n^3)$  is tight for complete graphs

- ▶ Cut grid into planes
- ▶ At most four vertices per plane (since  $K_5$  doesn't fit)

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

# $\mathcal{O}(n^3)$ is tight for complete graphs

- ▶ Cut grid into planes
- ▶ At most four vertices per plane (since  $K_5$  doesn't fit)
- ▶ At least  $\lceil \frac{n}{4} \rceil$  layers in each dimension

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

# $\mathcal{O}(n^3)$ is tight for complete graphs

- ▶ Cut grid into planes
- ▶ At most four vertices per plane (since  $K_5$  doesn't fit)
- ▶ At least  $\lceil \frac{n}{4} \rceil$  layers in each dimension
- ▶ At least  $\lceil \frac{n}{4} \rceil^3 \in \Omega(n^3)$  Volume

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

# Outline

Motivation for 3D drawings

Results for general graphs

## Proof of the main result

Track Layouts to 3D drawings

Queues and chromatic number to Tracks

Bounds on acyclic chromatic number

# Outline

Motivation for 3D drawings

Results for general graphs

## Proof of the main result

Track Layouts to 3D drawings

Queues and chromatic number to Tracks

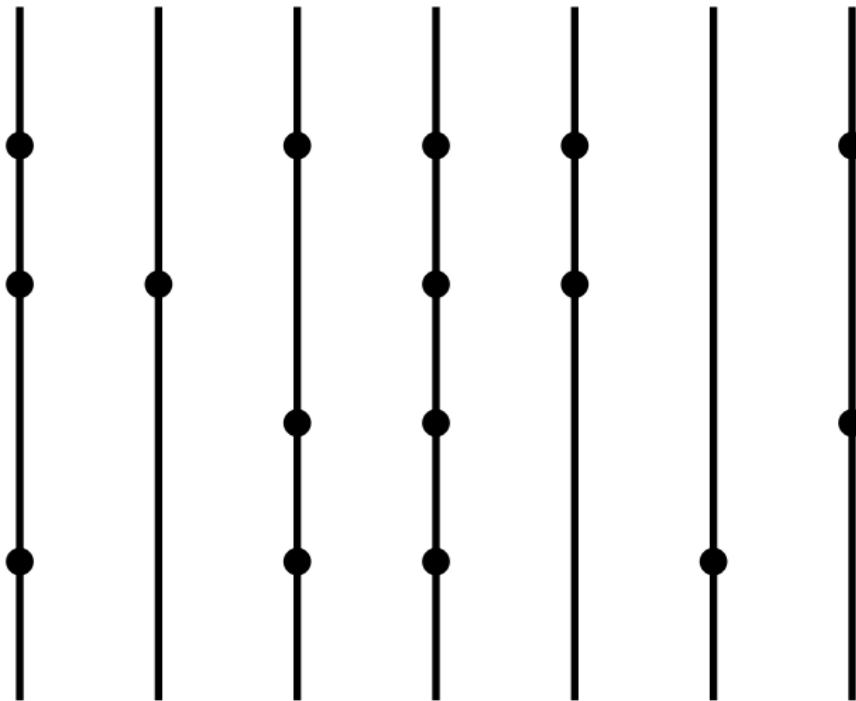
Bounds on acyclic chromatic number

# Track Layouts to 3D drawings

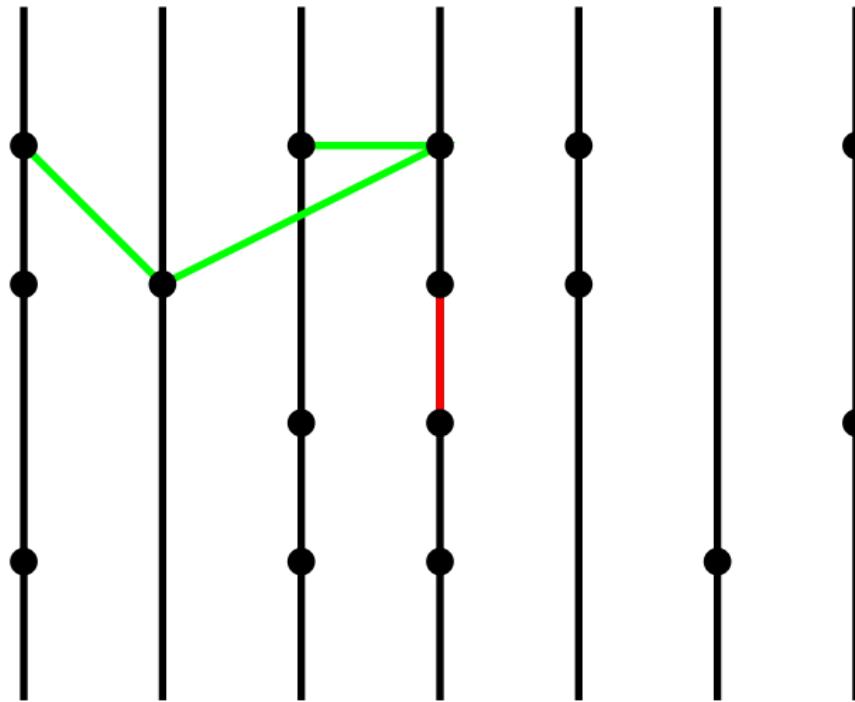
## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^3 n)$  volume.*

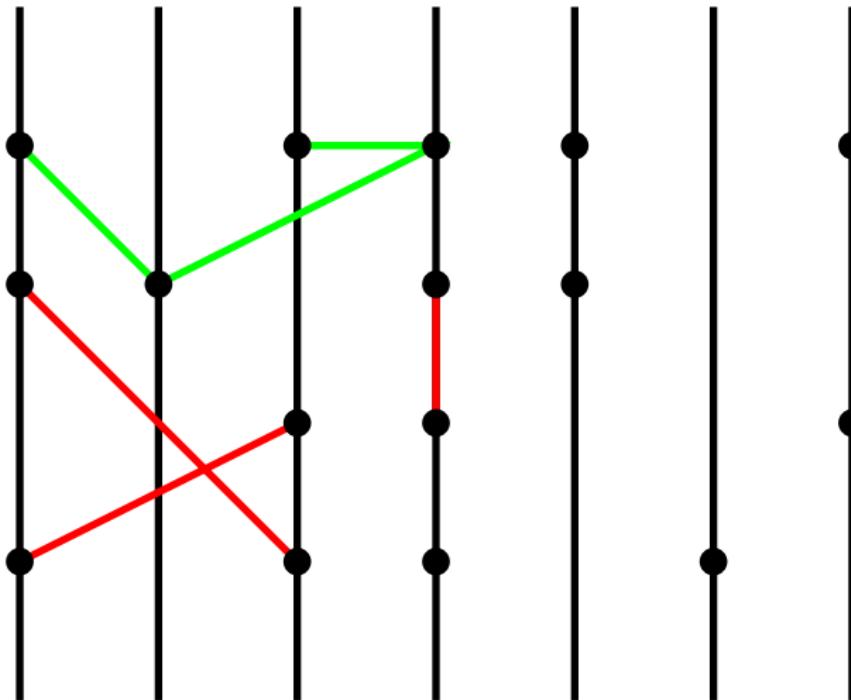
# Track Layouts



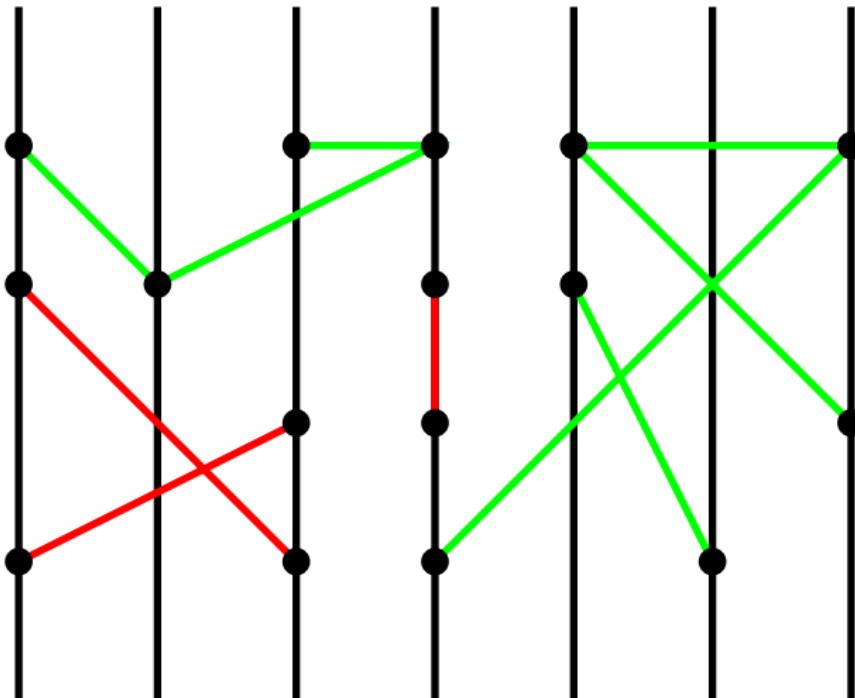
# Track Layouts



# Track Layouts



## Track Layouts



# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

- ▶ a partition of the vertices of  $G$  into independent sets  $\{T_i\}_{i \in \{0,1,\dots,t-1\}}$ , called tracks, and

# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

- ▶ a partition of the vertices of  $G$  into independent sets  $\{T_i\}_{i \in \{0,1,\dots,t-1\}}$ , called tracks, and
- ▶ an ordering  $<_i$  of the vertices of each track  $T_i$ .

# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

- ▶ a partition of the vertices of  $G$  into independent sets  $\{T_i\}_{i \in \{0,1,\dots,t-1\}}$ , called tracks, and
- ▶ an ordering  $<_i$  of the vertices of each track  $T_i$ .

# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

- ▶ a partition of the vertices of  $G$  into independent sets  $\{T_i\}_{i \in \{0,1,\dots,t-1\}}$ , called tracks, and
- ▶ an ordering  $<_i$  of the vertices of each track  $T_i$ .

It does not contain an X-Crossing.

# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

- ▶ a partition of the vertices of  $G$  into independent sets  $\{T_i\}_{i \in \{0,1,\dots,t-1\}}$ , called tracks, and
- ▶ an ordering  $<_i$  of the vertices of each track  $T_i$ .

It does not contain an X-Crossing. An  $X$ -Crossing consists of two edges  $vw$  and  $xy$  between two tracks  $T_i \ni v, x$  and  $T_j \ni w, y$  such that  $v <_i x$  and  $w >_j y$

# Track Layouts

## Definition (Track Layout)

A  $t$ -Track Layout of some graph  $G$  consists of

- ▶ a partition of the vertices of  $G$  into independent sets  $\{T_i\}_{i \in \{0,1,\dots,t-1\}}$ , called tracks, and
- ▶ an ordering  $<_i$  of the vertices of each track  $T_i$ .

It does not contain an X-Crossing. An *X-Crossing* consists of two edges  $vw$  and  $xy$  between two tracks  $T_i \ni v, x$  and  $T_j \ni w, y$  such that  $v <_i x$  and  $w >_j y$

## Definition (Track Number)

The *Track Number*  $\text{tn}(G)$  is the smallest  $t$  such that  $G$  has a  $t$ -track layout

# The Modular Moment Curve with tracks

## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^3 n')$  volume, where  $n'$  is the maximal number of vertices on any single track.*

---

Theorem and proof from Cohen, Eades, Tao Lin and Ruskey: *Three-Dimensional Graph Drawing*

# The Modular Moment Curve with tracks

## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^3 n')$  volume, where  $n'$  is the maximal number of vertices on any single track.*

## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^2 n)$  volume.*

## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^2n)$  volume.*

## Proof.

- ▶ As long as any track has more than  $\frac{n}{t}$  vertices, split its top  $\lceil \frac{n}{t} \rceil$  vertices into a new track.

## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^2n)$  volume.*

## Proof.

- ▶ As long as any track has more than  $\frac{n}{t}$  vertices, split its top  $\lceil \frac{n}{t} \rceil$  vertices into a new track. This gives at most  $2t$  tracks with at most  $\lceil \frac{n}{t} \rceil$  vertices each.

## Theorem

*Every graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(t^2 n)$  volume.*

## Proof.

- ▶ As long as any track has more than  $\frac{n}{t}$  vertices, split its top  $\lceil \frac{n}{t} \rceil$  vertices into a new track. This gives at most  $2t$  tracks with at most  $\lceil \frac{n}{t} \rceil$  vertices each. Plug this into the  $\mathcal{O}(t^3 n')$  bound of the previous theorem to get the result.



## Theorem

*Every  $c$ -colorable graph on  $n$  vertices with track number  $t$  has a 3-dimensional straight-line drawing on the integer grid with  $\mathcal{O}(c^7 tn)$  volume.*

## Proof.

proof sketch Similar to how we put vertices of the same track into the same  $xy$ -axis, put vertices of the same color to the same  $x$ -coordinate. This works if we put the  $k$ -th vertex of the  $j$ -th track and the  $i$ -th color to

$$\left( \begin{array}{c} i \\ p(2it + j) + (i^2 \mod p) \\ p(20cin(p(2it + j) + (i^2 \mod p)) + k) + (i^3 \mod p) \end{array} \right)$$



# Outline

Motivation for 3D drawings

Results for general graphs

## Proof of the main result

Track Layouts to 3D drawings

**Queues and chromatic number to Tracks**

Bounds on acyclic chromatic number

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number  $c(2q)^{c-1}$*

## Definition (Acyclic coloring)

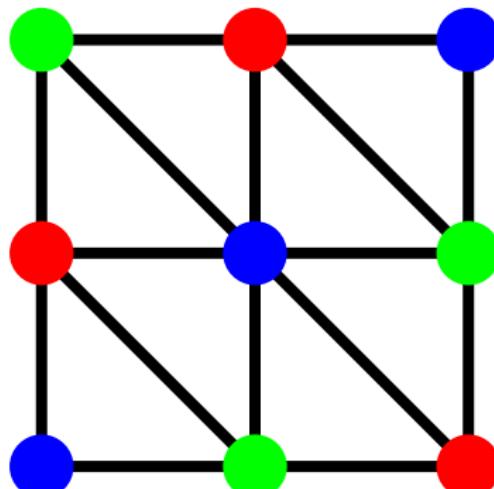
An acyclic coloring is a proper coloring such that every bichromatic subgraph is a forest, or equivalently, such that every cycle receives at least three colors.

## Definition (Acyclic coloring)

An acyclic coloring is a proper coloring such that every bichromatic subgraph is a forest, or equivalently, such that every cycle receives at least three colors.

## Definition (Acyclic Chromatic Number)

The acyclic chromatic number  $\chi_a(G)$  is the smallest number of colors across all acyclic colorings of  $G$ .

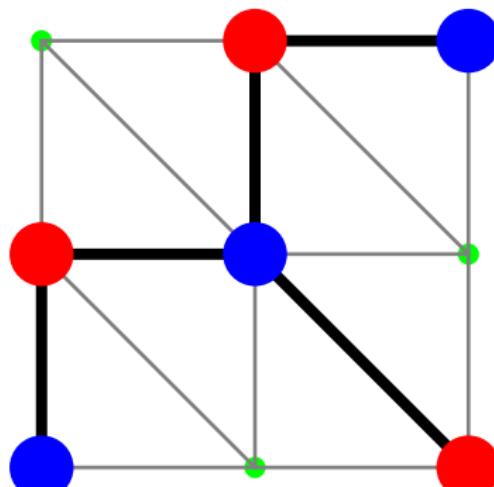


## Definition (Acyclic coloring)

An acyclic coloring is a proper coloring such that every bichromatic subgraph is a forest, or equivalently, such that every cycle receives at least three colors.

## Definition (Acyclic Chromatic Number)

The acyclic chromatic number  $\chi_a(G)$  is the smallest number of colors across all acyclic colorings of  $G$ .

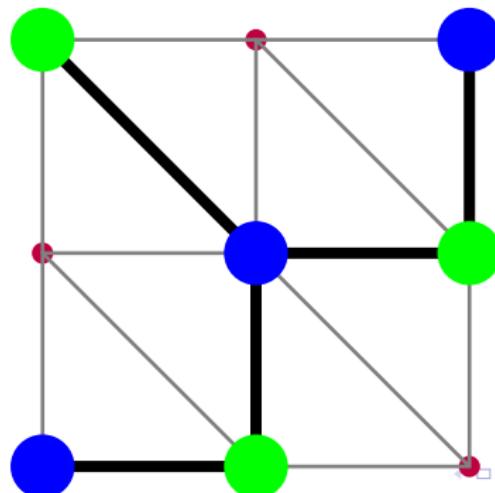


## Definition (Acyclic coloring)

An acyclic coloring is a proper coloring such that every bichromatic subgraph is a forest, or equivalently, such that every cycle receives at least three colors.

## Definition (Acyclic Chromatic Number)

The acyclic chromatic number  $\chi_a(G)$  is the smallest number of colors across all acyclic colorings of  $G$ .

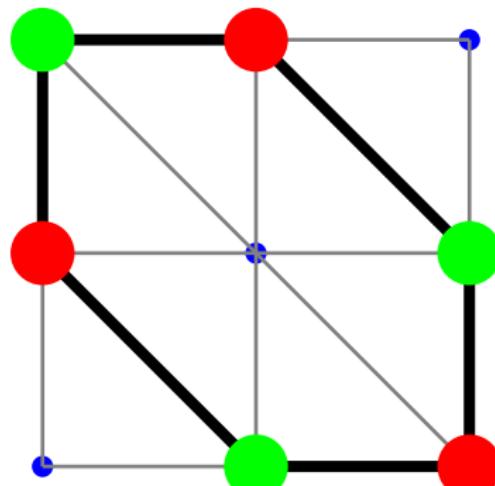


## Definition (Acyclic coloring)

An acyclic coloring is a proper coloring such that every bichromatic subgraph is a forest, or equivalently, such that every cycle receives at least three colors.

## Definition (Acyclic Chromatic Number)

The acyclic chromatic number  $\chi_a(G)$  is the smallest number of colors across all acyclic colorings of  $G$ .

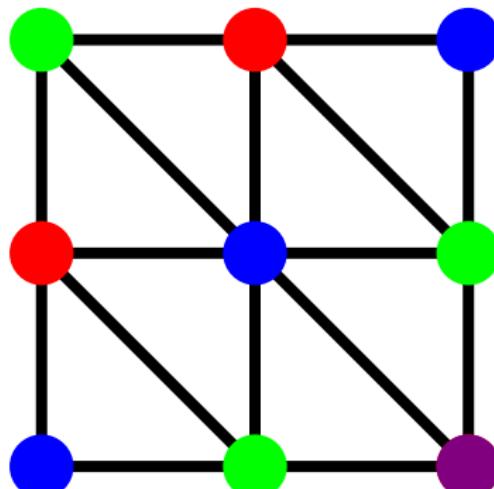


## Definition (Acyclic coloring)

An acyclic coloring is a proper coloring such that every bichromatic subgraph is a forest, or equivalently, such that every cycle receives at least three colors.

## Definition (Acyclic Chromatic Number)

The acyclic chromatic number  $\chi_a(G)$  is the smallest number of colors across all acyclic colorings of  $G$ .



# The Refinement Lemma

## Lemma

*Given a graph  $G$  with*

# The Refinement Lemma

## Lemma

*Given a graph  $G$  with*

- ▶ *an acyclic  $c$ -coloring*

# The Refinement Lemma

## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

# The Refinement Lemma

## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  
 $k$ -edge-coloring,

there is a proper  
 $ck^{c-1}$ -vertex-coloring of  $G$   
such that

# The Refinement Lemma

## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  
 $k$ -edge-coloring,

there is a proper  
 $ck^{c-1}$ -vertex-coloring of  $G$   
such that

# The Refinement Lemma

## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  
 $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and

# The Refinement Lemma

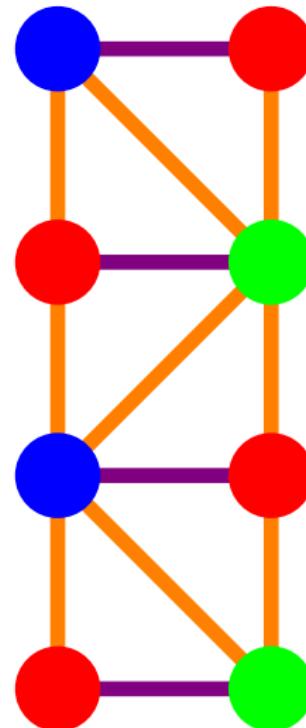
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  
 $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

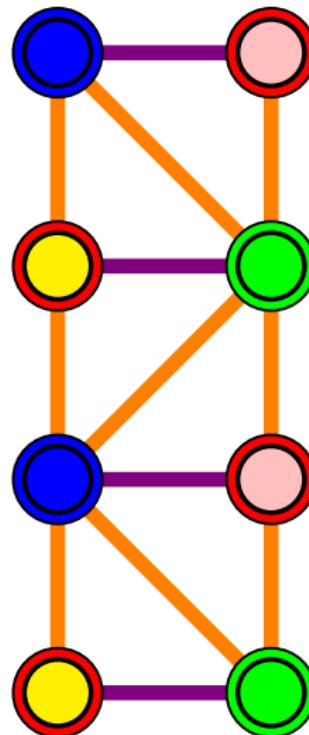
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  
 $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

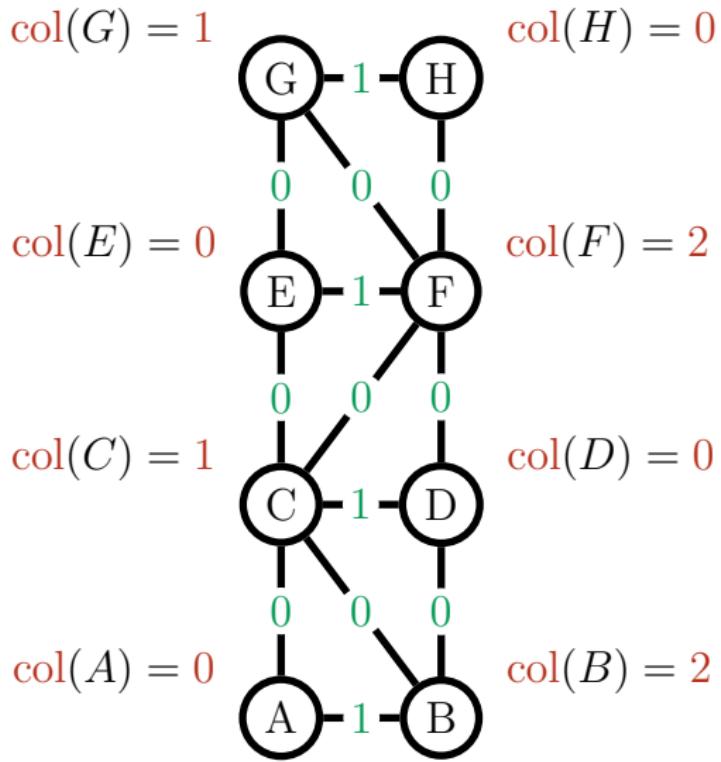
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

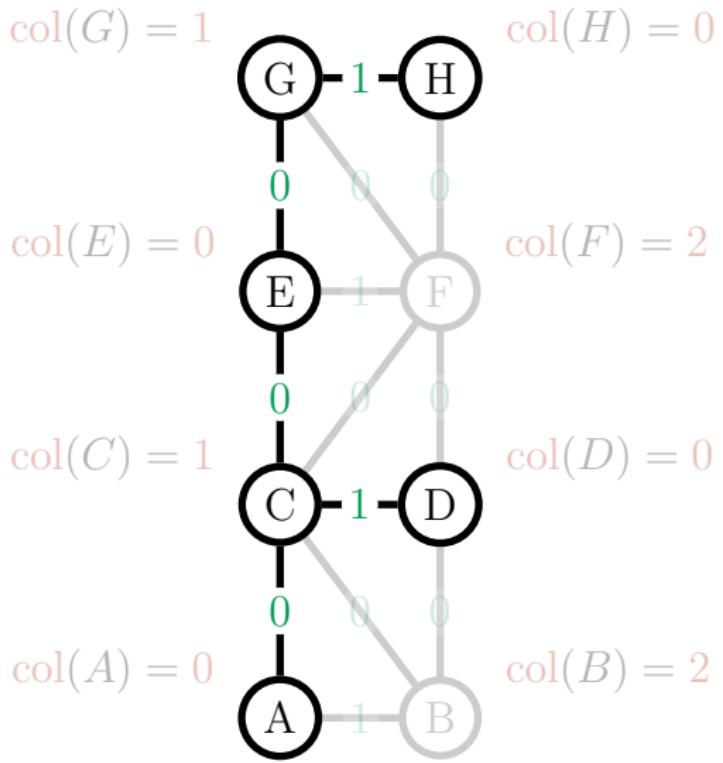
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

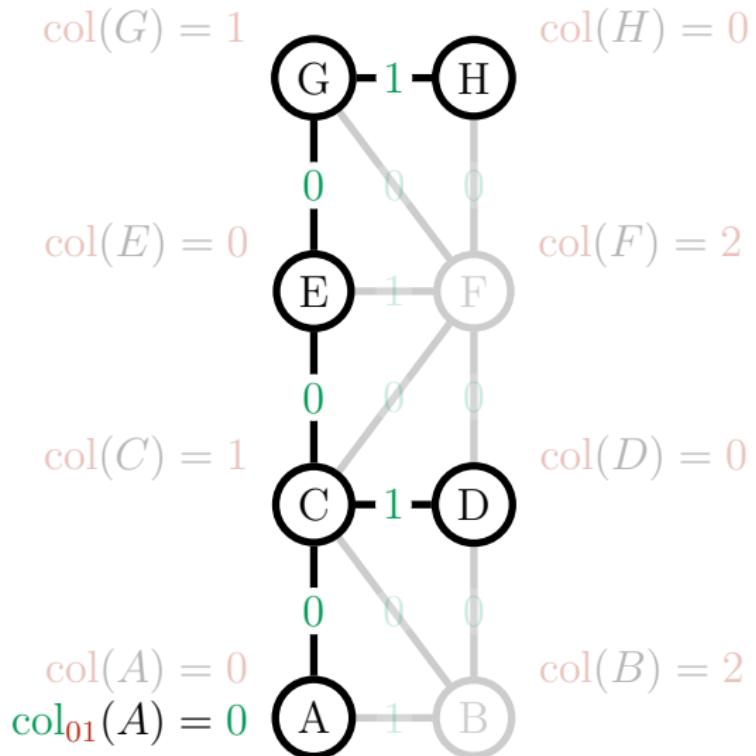
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

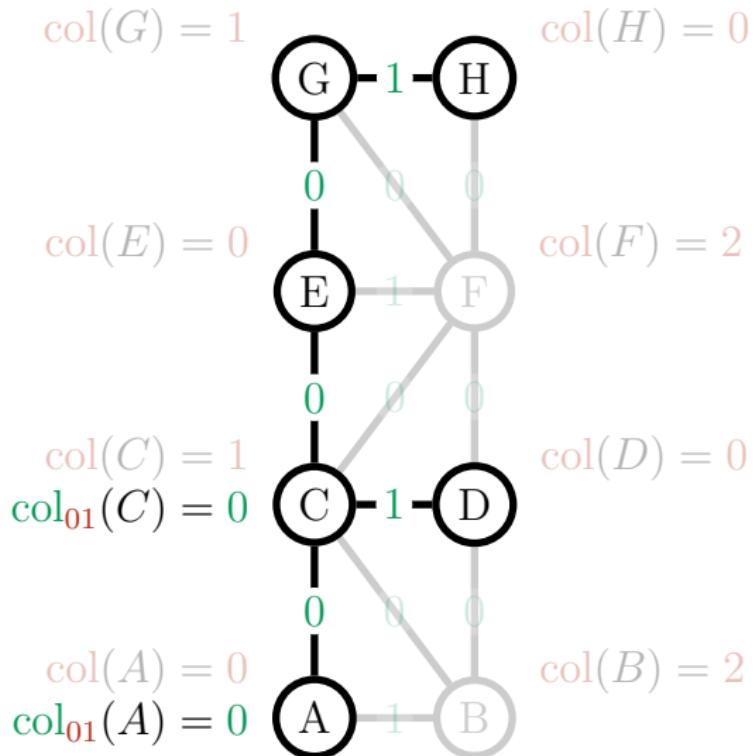
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

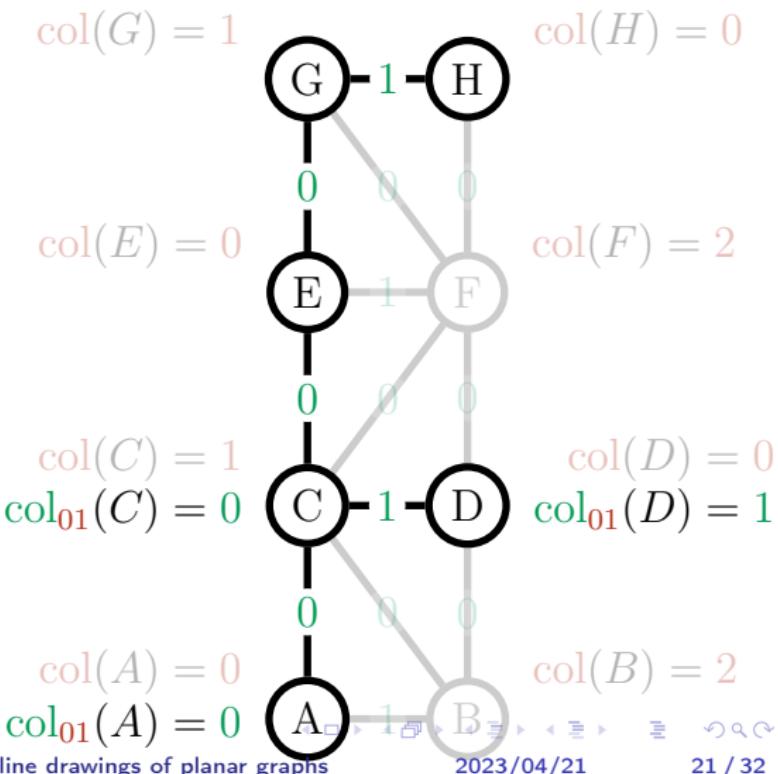
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  
 $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

## Lemma

Given a graph  $G$  with

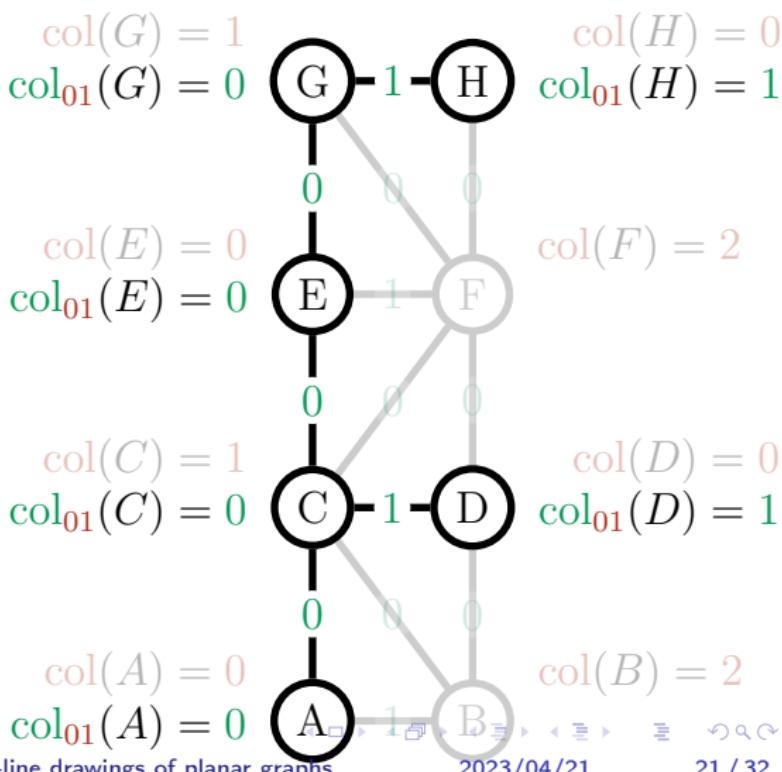
- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper

$ck^{c-1}$ -vertex-coloring of  $G$

such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

## Lemma

Given a graph  $G$  with

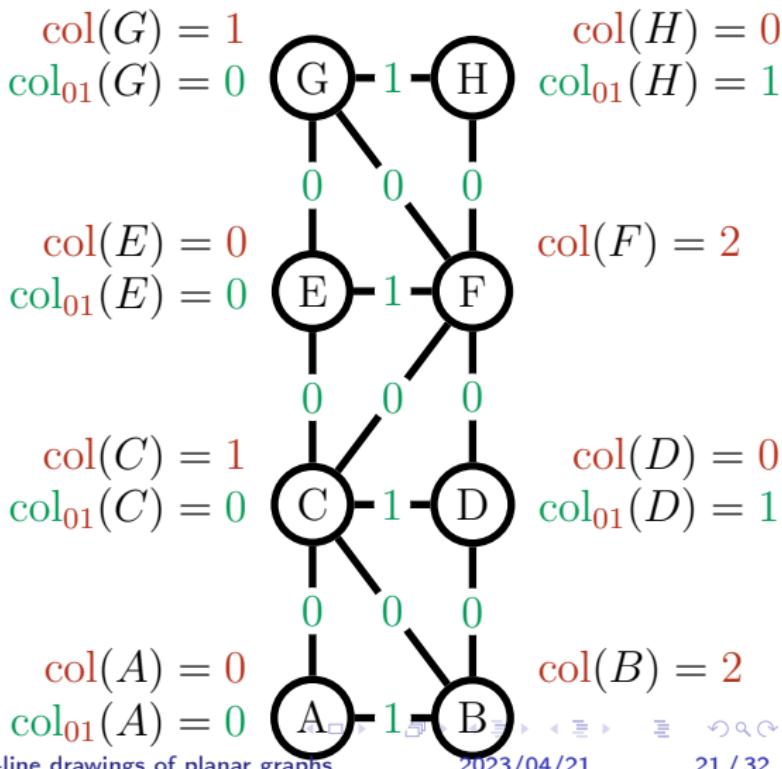
- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper

$ck^{c-1}$ -vertex-coloring of  $G$

such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

## Lemma

Given a graph  $G$  with

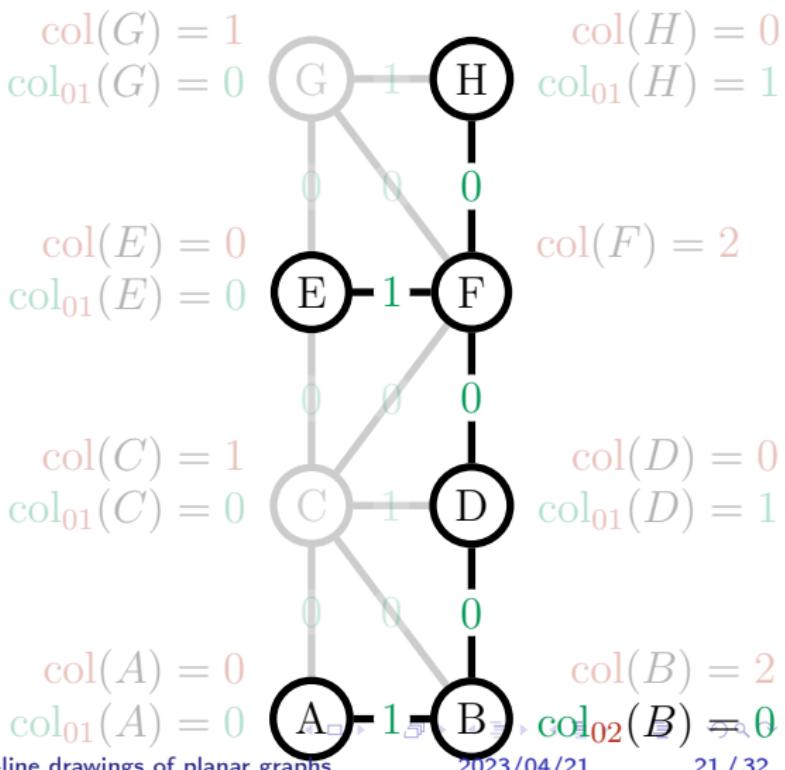
- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper

$ck^{c-1}$ -vertex-coloring of  $G$

such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

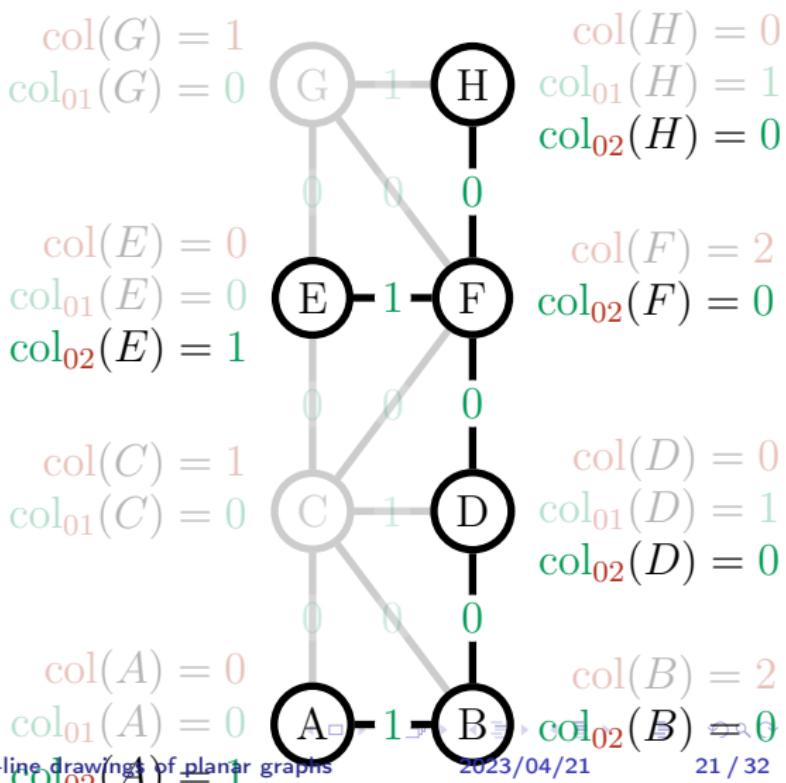
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

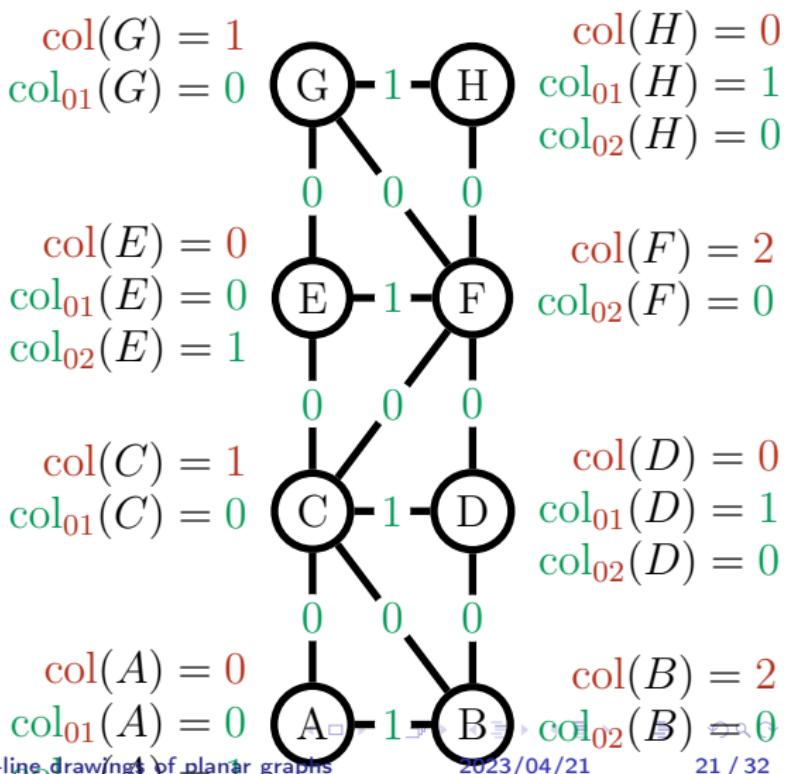
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

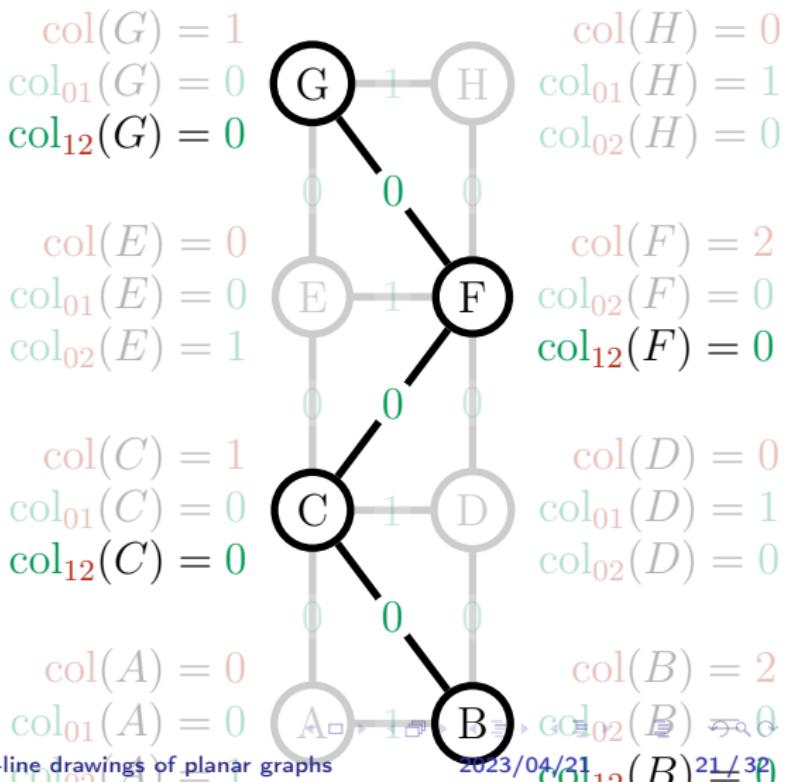
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$  such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

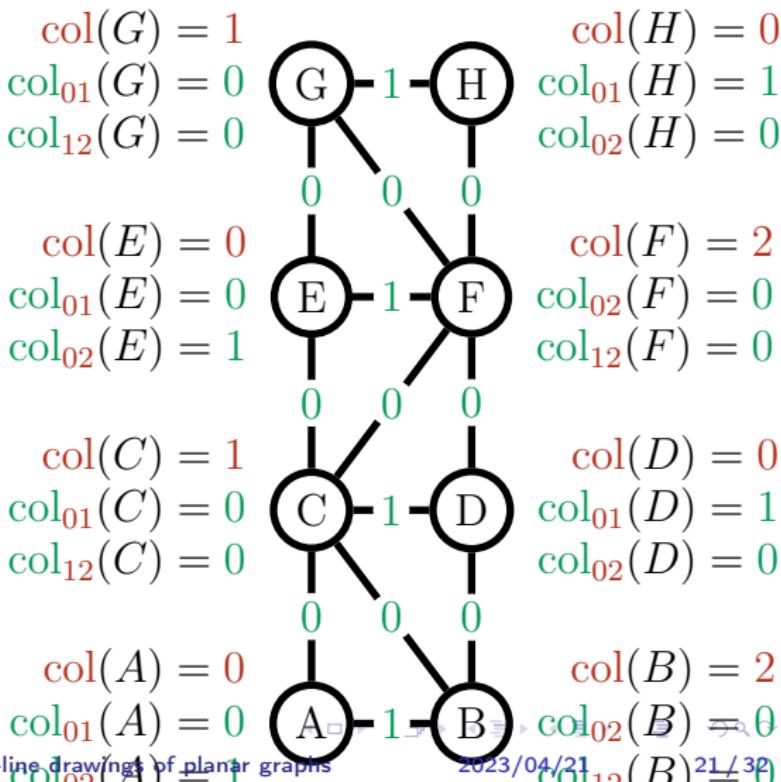
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$  such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



# The Refinement Lemma

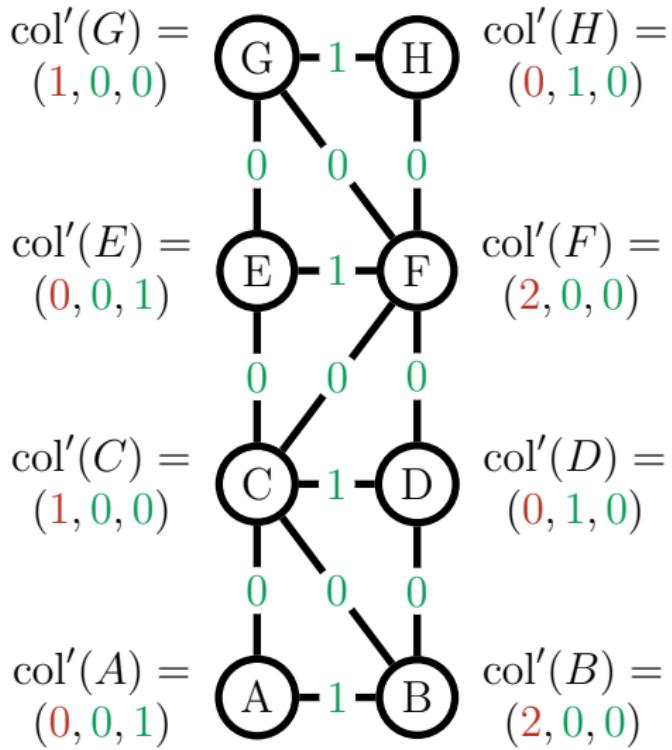
## Lemma

Given a graph  $G$  with

- ▶ an acyclic  $c$ -coloring
- ▶ a (not necessarily proper)  $k$ -edge-coloring,

there is a proper  $ck^{c-1}$ -vertex-coloring of  $G$   
such that

- ▶ every new vertex color class is contained in one old vertex color class, and
- ▶ the edges between any two vertex color classes are monochromatic.



## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

Proof.

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Use the  $q$  queues for a  $q$ -edge-coloring.

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Use the  $q$  queues for a  $q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Use the  $q$  queues for a  $q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.
- ▶ The tracks are the refined colors, ordered by  $\leq_Q$



## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Use the  $q$  queues for a  $q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.
- ▶ The tracks are the refined colors, ordered by  $\leq_Q$



Do the “tracks” actually form a track layout?

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Use the  $q$  queues for a  $q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.
- ▶ The tracks are the refined colors, ordered by  $\leq_Q$



Do the “tracks” actually form a track layout?

- ▶ NO!

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Use the  $q$  queues for a  $q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.
- ▶ The tracks are the refined colors, ordered by  $\leq_Q$



Do the “tracks” actually form a track layout?

- ▶ NO!
- ▶ But we can fix them

## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Partition the edges of each queue into forward and backward edges, then use the resulting  $2q$  sets as a  $2q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.
- ▶ The tracks are the refined colors, ordered by  $\leq_Q$

Do the “tracks” actually form a track layout?



## Theorem

*Every graph on  $n$  vertices with queue number  $q$  and acyclic chromatic number  $c$  has track number at most  $c(2q)^{c-1}$*

## Proof.

- ▶ Let  $\leq_Q$  be the linear ordering from a  $q$ -queue-layout.
- ▶ Partition the edges of each queue into forward and backward edges, then use the resulting  $2q$  sets as a  $2q$ -edge-coloring.
- ▶ Apply the refinement lemma to an acyclic  $c$ -coloring and the above edge  $2q$ -coloring.
- ▶ The tracks are the refined colors, ordered by  $\leq_Q$

Do the “tracks” actually form a track layout?

- ▶ Yes (we fixed them!)



# Outline

Motivation for 3D drawings

Results for general graphs

Proof of the main result

Track Layouts to 3D drawings

Queues and chromatic number to Tracks

Bounds on acyclic chromatic number

## Theorem

*Outerplanar graphs have acyclic chromatic number at most three*

## Theorem

*Outerplanar graphs have acyclic chromatic number at most three*

### Proof.

Let  $G$  be an outerplanar graph.

## Theorem

*Outerplanar graphs have acyclic chromatic number at most three*

### Proof.

Let  $G$  be an outerplanar graph. WLoG assume  $G$  is a triangulated polygon. Recursively color the graph as follows:

## Theorem

*Outerplanar graphs have acyclic chromatic number at most three*

### Proof.

Let  $G$  be an outerplanar graph. WLoG assume  $G$  is a triangulated polygon. Recursively color the graph as follows:

- ▶ Choose  $v$  with degree 2 and neighbors  $u$  and  $w$ .

## Theorem

*Outerplanar graphs have acyclic chromatic number at most three*

### Proof.

Let  $G$  be an outerplanar graph. WLoG assume  $G$  is a triangulated polygon. Recursively color the graph as follows:

- ▶ Choose  $v$  with degree 2 and neighbors  $u$  and  $w$ .
- ▶ Color  $G \setminus v$ .

## Theorem

*Outerplanar graphs have acyclic chromatic number at most three*

### Proof.

Let  $G$  be an outerplanar graph. WLoG assume  $G$  is a triangulated polygon. Recursively color the graph as follows:

- ▶ Choose  $v$  with degree 2 and neighbors  $u$  and  $w$ .
- ▶ Color  $G \setminus v$ .
- ▶ Color  $v$  using the color  $u$  and  $w$  don't use.

## Theorem

Outerplanar graphs have acyclic chromatic number at most three

### Proof.

Let  $G$  be an outerplanar graph. WLoG assume  $G$  is a triangulated polygon. Recursively color the graph as follows:

- ▶ Choose  $v$  with degree 2 and neighbors  $u$  and  $w$ .
- ▶ Color  $G \setminus v$ .
- ▶ Color  $v$  using the color  $u$  and  $w$  don't use.
- ▶ The addition of  $v$  can't create a new bichromatic cycle: Otherwise,  $u$  and  $w$  would have the same color, but they are neighbors.



## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof.

Let  $G$  be a planar graph.

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected.

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle (and that it is proper).

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

### Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle (and that it is proper).

- ▶ Choose some  $v_0$ , called the *center*  $\langle \rangle$ .

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

### Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle (and that it is proper).

- ▶ Choose some  $v_0$ , called the *center*.
- ▶ We define the *circle*  $C_i = \{v \in G \mid d(v, v_0) = i\}$ . Similarly define  $C_{<i}$  etc.

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

### Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle (and that it is proper).

- ▶ Choose some  $v_0$ , called the *center*.
- ▶ We define the *circle*  $C_i = \{v \in G \mid d(v, v_0) = i\}$ . Similarly define  $C_{<i}$  etc.
- ▶ Use a different set of three colors for the circles  $C_{3k}, C_{3k+1}, C_{3k+2}$

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

### Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle (and that it is proper).

- ▶ Choose some  $v_0$ , called the *center*  $\langle \rangle$ .
- ▶ We define the *circle*  $C_i = \{v \in G \mid d(v, v_0) = i\}$ . Similarly define  $C_{<i}$  etc.
- ▶ Use a different set of three colors for the circles  $C_{3k}, C_{3k+1}, C_{3k+2}$
- ▶ Observe: Edges of  $G$  are within a single circle or between two adjacent circles.

## Theorem

Planar graphs have acyclic chromatic number at most nine.

### Proof.

Let  $G$  be a planar graph. WLOG assume  $G$  is connected. We will construct a 9-coloring and show that it does not contain a bichromatic cycle (and that it is proper).

- ▶ Choose some  $v_0$ , called the *center*.
- ▶ We define the *circle*  $C_i = \{v \in G \mid d(v, v_0) = i\}$ . Similarly define  $C_{<i}$  etc.
- ▶ Use a different set of three colors for the circles  $C_{3k}, C_{3k+1}, C_{3k+2}$
- ▶ Observe: Edges of  $G$  are within a single circle or between two adjacent circles.
- ▶ We will now color each circle  $S_i$  such that it cannot be the innermost circle of a bichromatic cycle.

# Coloring the circles

## Task

*Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.*

# Coloring the circles

## Task

*Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.*

- ▶ Start with the induced subgraph  $G[C_i]$ .

# Coloring the circles

## Task

*Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.*

- ▶ Start with the induced subgraph  $G[C_i]$ .
- ▶ For each vertex  $v \in C_{j+1}$ , let  $v_1, v_2, \dots, v_j$  be the cyclic order of the vertices in  $C_i$  adjacent to  $v$ . Add edges  $v_1v_2, v_2v_3, \dots, v_jv_1$  if they don't exist.

# Coloring the circles

## Task

*Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.*

- ▶ Start with the induced subgraph  $G[C_i]$ .
- ▶ For each vertex  $v \in C_{j+1}$ , let  $v_1, v_2, \dots, v_j$  be the cyclic order of the vertices in  $C_i$  adjacent to  $v$ . Add edges  $v_1v_2, v_2v_3, \dots, v_jv_1$  if they don't exist.

We can see the resulting graph  $C_i^*$  is outerplanar if we:

# Coloring the circles

## Task

*Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.*

- ▶ Start with the induced subgraph  $G[C_i]$ .
- ▶ For each vertex  $v \in C_{j+1}$ , let  $v_1, v_2, \dots, v_j$  be the cyclic order of the vertices in  $C_i$  adjacent to  $v$ . Add edges  $v_1v_2, v_2v_3, \dots, v_jv_1$  if they don't exist.

We can see the resulting graph  $C_i^*$  is outerplanar if we:

- ▶ Notice that  $G[C_{<i}]$  is connected and each vertex of  $C_i$  has an edge into  $C_{<i}$  (in  $G$ )

# Coloring the circles

## Task

Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.

- ▶ Start with the induced subgraph  $G[C_i]$ .
- ▶ For each vertex  $v \in C_{j+1}$ , let  $v_1, v_2, \dots, v_j$  be the cyclic order of the vertices in  $C_i$  adjacent to  $v$ . Add edges  $v_1v_2, v_2v_3, \dots, v_jv_1$  if they don't exist.

We can see the resulting graph  $C_i^*$  is outerplanar if we:

- ▶ Notice that  $G[C_{<i}]$  is connected and each vertex of  $C_i$  has an edge into  $C_{<i}$  (in  $G$ )
- ▶ Follow that the vertices of  $C_i$  belong to a common face in  $G[C_{\geq i}]$

# Coloring the circles

## Task

Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.

- ▶ Start with the induced subgraph  $G[C_i]$ .
- ▶ For each vertex  $v \in C_{j+1}$ , let  $v_1, v_2, \dots, v_j$  be the cyclic order of the vertices in  $C_i$  adjacent to  $v$ . Add edges  $v_1v_2, v_2v_3, \dots, v_jv_1$  if they don't exist.

We can see the resulting graph  $C_i^*$  is outerplanar if we:

- ▶ Notice that  $G[C_{<i}]$  is connected and each vertex of  $C_i$  has an edge into  $C_{<i}$  (in  $G$ )
- ▶ Follow that the vertices of  $C_i$  belong to a common face in  $G[C_{\geq i}]$
- ▶ Draw the edges added to form  $C_i^*$  by closely following the edges from the vertex  $v \in C_{i+1}$  of the current step.

# Coloring the circles

## Task

Color the circle  $C_i$  such that it cannot be the innermost shell of a bichromatic cycle.

- ▶ Start with the induced subgraph  $G[C_i]$ .
- ▶ For each vertex  $v \in C_{j+1}$ , let  $v_1, v_2, \dots, v_j$  be the cyclic order of the vertices in  $C_i$  adjacent to  $v$ . Add edges  $v_1v_2, v_2v_3, \dots, v_jv_1$  if they don't exist.

We can see the resulting graph  $C_i^*$  is outerplanar if we:

- ▶ Notice that  $G[C_{<i}]$  is connected and each vertex of  $C_i$  has an edge into  $C_{<i}$  (in  $G$ )
- ▶ Follow that the vertices of  $C_i$  belong to a common face in  $G[C_{\geq i}]$
- ▶ Draw the edges added to form  $C_i^*$  by closely following the edges from the vertex  $v \in C_{i+1}$  of the current step.

So, we can color  $C_i^*$  acyclically with three colors.

## Claim

$C_i$ , colored as described above, cannot be the innermost circle of a bichromatic cycle of  $G$

## Claim

$C_i$ , colored as described above, cannot be the innermost circle of a bichromatic cycle of  $G$

## Proof.

Assume for a contradiction that such a cycle exists. This cycle consists of alternating vertices of  $C_i$  and  $C_{i+1}$ :

## Claim

$C_i$ , colored as described above, cannot be the innermost circle of a bichromatic cycle of  $G$

## Proof.

Assume for a contradiction that such a cycle exists. This cycle consists of alternating vertices of  $C_i$  and  $C_{i+1}$ :

Let  $v_0 v_1 v_2$  be consecutive vertices on the cycle with  $v_1 \in C_{i+1}$ . We distinguish by the degree of  $v_1$

## Claim

$C_i$ , colored as described above, cannot be the innermost circle of a bichromatic cycle of  $G$

## Proof.

Assume for a contradiction that such a cycle exists. This cycle consists of alternating vertices of  $C_i$  and  $C_{i+1}$ :

Let  $v_0 v_1 v_2$  be consecutive vertices on the cycle with  $v_1 \in C_{i+1}$ . We distinguish by the degree of  $v_1$

- Degree 1: Impossible, because  $v_0, v_2$  are both neighbors of  $v_1$

## Claim

$C_i$ , colored as described above, cannot be the innermost circle of a bichromatic cycle of  $G$

## Proof.

Assume for a contradiction that such a cycle exists. This cycle consists of alternating vertices of  $C_i$  and  $C_{i+1}$ :

Let  $v_0 v_1 v_2$  be consecutive vertices on the cycle with  $v_1 \in C_{i+1}$ . We distinguish by the degree of  $v_1$

- ▶ Degree 1: Impossible, because  $v_0, v_2$  are both neighbors of  $v_1$
- ▶ Degree 2 or 3: Impossible, because  $v_0, v_2$  are neighbors in  $C_i^*$

## Claim

$C_i$ , colored as described above, cannot be the innermost circle of a bichromatic cycle of  $G$

## Proof.

Assume for a contradiction that such a cycle exists. This cycle consists of alternating vertices of  $C_i$  and  $C_{i+1}$ :

Let  $v_0 v_1 v_2$  be consecutive vertices on the cycle with  $v_1 \in C_{i+1}$ . We distinguish by the degree of  $v_1$

- ▶ Degree 1: Impossible, because  $v_0, v_2$  are both neighbors of  $v_1$
- ▶ Degree 2 or 3: Impossible, because  $v_0, v_2$  are neighbors in  $C_i^*$
- ▶ Degree 4 or more: Take the vertices to the left and right of  $v_0$  in cyclic ordering around  $v_1$ . They, together with  $v_1$  and  $C_{<i}$ , cut off  $v_0$  (or  $v_1$ ) from the other end of the cycle.



# Concluding the proof

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

# Concluding the proof

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof (cont.)

We have shown that, using our coloring, no bichromatic cycle exists.

# Concluding the proof

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof (cont.)

We have shown that, using our coloring, no bichromatic cycle exists. It is easy to see that the coloring is proper.

# Concluding the proof

## Theorem

*Planar graphs have acyclic chromatic number at most nine.*

## Proof (cont.)

We have shown that, using our coloring, no bichromatic cycle exists. It is easy to see that the coloring is proper. Therefore, we have found an acyclic 9-coloring. □

# Better bounds

## Theorem

*Planar graphs have acyclic chromatic number at most five.*

# Better bounds

## Theorem

*Planar graphs have acyclic chromatic number at most five.*

## Proof.

Same as our proof for outerplanar graphs (i.e. reducing any graph to another graph with less vertices), except with 450 cases. □

# Better bounds

## Theorem

*Planar graphs have acyclic chromatic number at most five.*

## Proof.

Same as our proof for outerplanar graphs (i.e. reducing any graph to another graph with less vertices), except with 450 cases. □

## Theorem

*Some planar graphs have acyclic chromatic number five.*

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now		42		

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9		

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42			

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5		

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	

# Summary

	Acyclic	3D		
	Queue Number	Chromatic Number	Track Number	Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	$4 \cdot 10^{11} \cdot n$

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	$4 \cdot 10^{11} \cdot n$
Best lower bound of $q_n$				

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	$4 \cdot 10^{11} \cdot n$
Best lower bound of $q_n$	4			

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	$4 \cdot 10^{11} \cdot n$
Best lower bound of $q_n$	4	5		

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	$4 \cdot 10^{11} \cdot n$
Best lower bound of $q_n$	4	5	1280	

# Summary

	Acyclic Queue Number	Chromatic Number	Track Number	3D Drawing Volume
Proven now	42	9	$9 \cdot 10^{13}$	$8 \cdot 10^{27} \cdot n$
Proven anytime	42	5	$3 \cdot 10^7$	$4 \cdot 10^{11} \cdot n$
Best lower bound of $q_n$	4	5	1280	$1.5 \cdot 10^6 \cdot n$

## Further results

- ▶ Some of the steps we've taken also work in reverse:
  - ▶ Queue number is bounded by track number.
  - ▶ If a graph has a  $\mathcal{O}(1) \times \mathcal{O}(1) \times \mathcal{O}(n)$  drawing, then it has track number  $\mathcal{O}(1)$
- ▶ Acyclic chromatic number is also bounded by queue number
  - ▶ In particular, any proper minor-closed family has 3D drawings with linear volume

# Open questions

## Open questions

- ▶ What is the maximum volume of a  $n$ -vertex 3D drawing for planar graphs (and other families of graphs)?

## Open questions

- ▶ What is the maximum volume of a  $n$ -vertex 3D drawing for planar graphs (and other families of graphs)?
- ▶ What is the maximum track-number of planar graphs (and other families of graphs)?

## Open questions

- ▶ What is the maximum volume of a  $n$ -vertex 3D drawing for planar graphs (and other families of graphs)?
- ▶ What is the maximum track-number of planar graphs (and other families of graphs)?
- ▶ What is the maximum queue-number of planar graphs (and other families of graphs)?

## Open questions

- ▶ What is the maximum volume of a  $n$ -vertex 3D drawing for planar graphs (and other families of graphs)?
- ▶ What is the maximum track-number of planar graphs (and other families of graphs)?
- ▶ What is the maximum queue-number of planar graphs (and other families of graphs)?
- ▶ Can we construct  $\mathcal{O}(n)$  three-dimensional drawings of planar graphs with some other nice properties, such as:

## Open questions

- ▶ What is the maximum volume of a  $n$ -vertex 3D drawing for planar graphs (and other families of graphs)?
- ▶ What is the maximum track-number of planar graphs (and other families of graphs)?
- ▶ What is the maximum queue-number of planar graphs (and other families of graphs)?
- ▶ Can we construct  $\mathcal{O}(n)$  three-dimensional drawings of planar graphs with some other nice properties, such as:
  - ▶ Low aspect ratio

## Open questions

- ▶ What is the maximum volume of a  $n$ -vertex 3D drawing for planar graphs (and other families of graphs)?
- ▶ What is the maximum track-number of planar graphs (and other families of graphs)?
- ▶ What is the maximum queue-number of planar graphs (and other families of graphs)?
- ▶ Can we construct  $\mathcal{O}(n)$  three-dimensional drawings of planar graphs with some other nice properties, such as:
  - ▶ Low aspect ratio
  - ▶ Adjacent vertices being close