

# Welcome to ECE 421 – Introduction to ML

## ■ Course Instructors:

- Prof. Brenden Frey (OH: Mon 4-5 PM, BA 4136).
- Erfan Meskar (OH: Thursday 10-noon, Pratt Building 371).
- You will be interacting with both of us.

## ■ See the Course syllabus for lecture/tutorials time and location

- We will be using Quercus for our course page to post announcements, assignments, notes, etc.
- Piazza for questions.
- For personal matters only use e.meskar@utoronto.ca include "ece421" in the email subject.

■ This Semester, we intend to have multiple guest lectures. Stay tuned for that.

■ Marking Scheme:

■ Assignments: 4 assignments, each worth 10%.

- programming assignments to be done in Python.
- PA3 need Pytorch.
- 5% penalty per day of lateness. No submission will be accepted after 72 hours.
- Can be done in group of two

■ Term test: on Monday, Oct. 21. Time and location: TBA  
worth 25%

■ Final Exam: 35%, Date, time, and location: TBA

## ■ Textbooks:

- See the Quercus page for a comprehensive list
- The posted readings are mainly from LFD and AIMA.
  - LFD (Learning From Data), by Abu-Mostafa, et. al., 2012
  - AIMA (Artificial Intelligence: A Modern Approach, 4th US ed.)

## ■ Tentative Course Schedule and weekly readings

- See the Quercus page

# Introduction to Machine Learning (ML)

■ ML, or more accurately speaking AI, is having real-world Impact:

- Public Imagination

- Economy

- Science

- Politics

- Law

- Labor

- Education

- Health

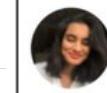


vibrant portrait painting of Salvador Dalí with a robotic half face  
a shiba inu wearing a beret and black turtleneck  
a close up of a hand palm with leaves growing from it  
an espresso machine that makes coffee from human souls, artstation  
panda mad scientist mixing sparkling chemicals, artstation  
a corgi's head depicted as an explosion of a nebula



Aug. 18, 2023, 12:18 PM; Updated: Aug. 18, 2023, 12:48 PM

## AI-Generated Art Lacks Copyright Protection, D.C. Court Says (1)

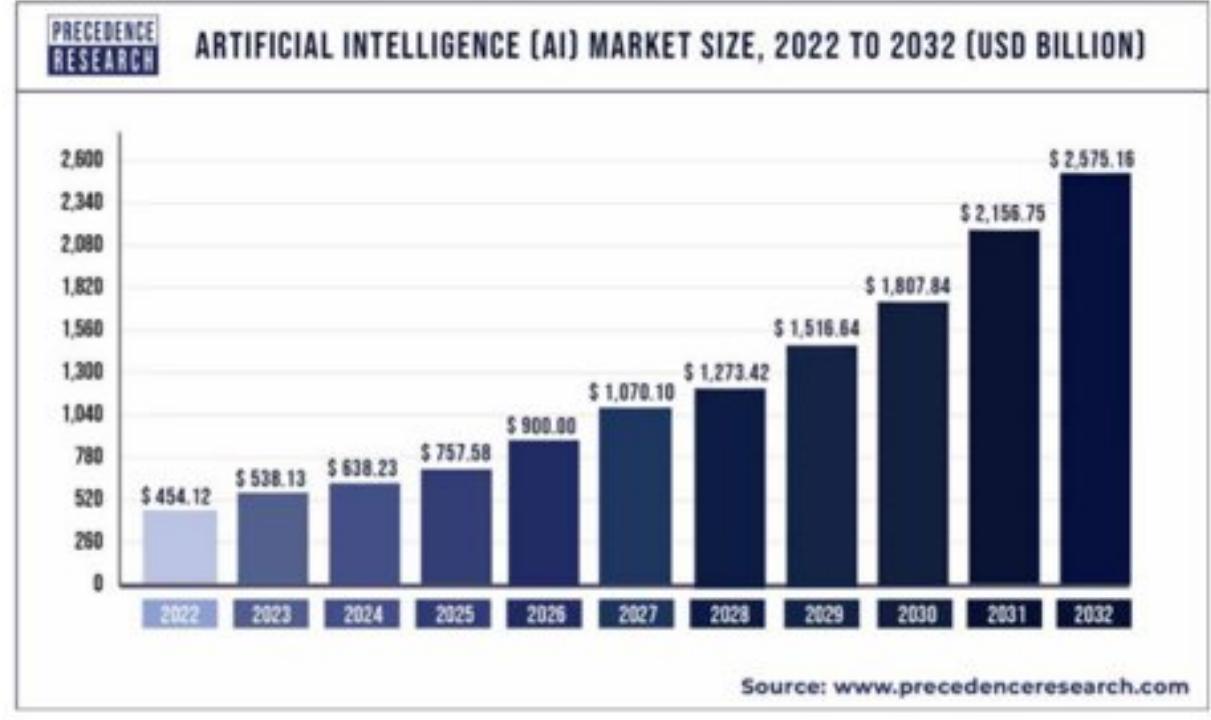


Riddhi Setty  
Reporter



Isaiah Poritz  
Legal Reporter

Bloomberg Law, 2023



## *The Optimist's Guide to Artificial Intelligence and Work*

The focus of much discussion is on how it will replace jobs, but nothing is inevitable.

New York Times, 2023

But what is ML ?

- ML is the Science of developing Computational systems to adaptively improve their performance with experience accumulated from the observed data.
- Broadly speaking, there are three different types of Learning
  - Supervised Learning
  - Unsupervised Learning
  - Reinforcement Learning

## Supervised Learning

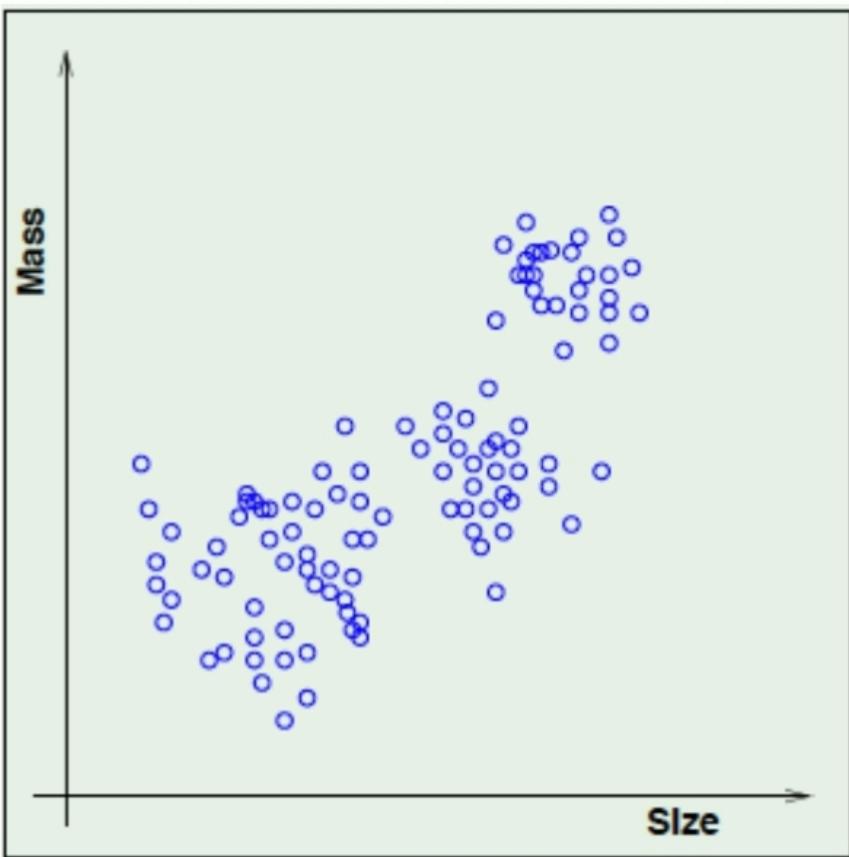
- Given Known/Labeled data samples,
- Train machines to recognize new/unlabeled data samples

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9 9 9 9 9 9 9 9 9 9 9 9

MNIST handwritten digits

## Unsupervised Learning

- Given unlabeled data Samples
- Train machines to find the pattern behind these data samples

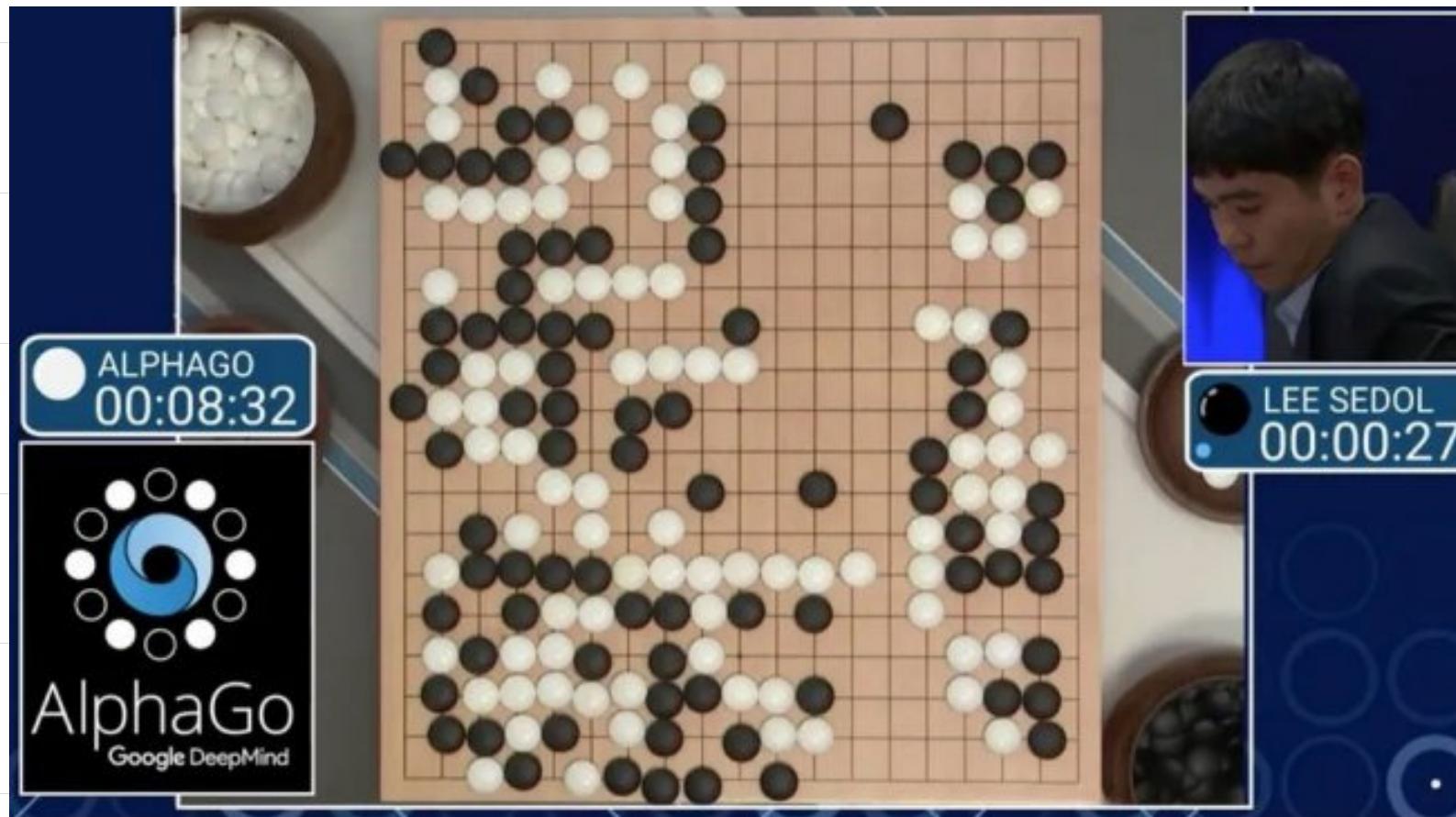


From Deep Learning: Foundations and concepts.

clustering

## Reinforcement learning

- Give machine guidance Signals ("rewards") for its action
- Train machine to explore the environment and find optimal actions.



AlphaGo VS. World champion, 2016

# ECE 421 Course Overview

## ■ Learning Methods

### ■ Supervised learning

- Linear Models, and Neural Networks (NN)

### ■ Unsupervised learning

- clustering, Density estimation, Expectation Maximization Algorithm,

Maximization Algorithm,

### ■ Reinforcement learning

- TD and Q-learning

## ■ Deep learning Architecture

### ■ Convolutional NN

### ■ Language Models

- Recurrent NN
- Attention
- LSTM

## ■ Learning Techniques:

### ■ Regularization

### ■ Validation

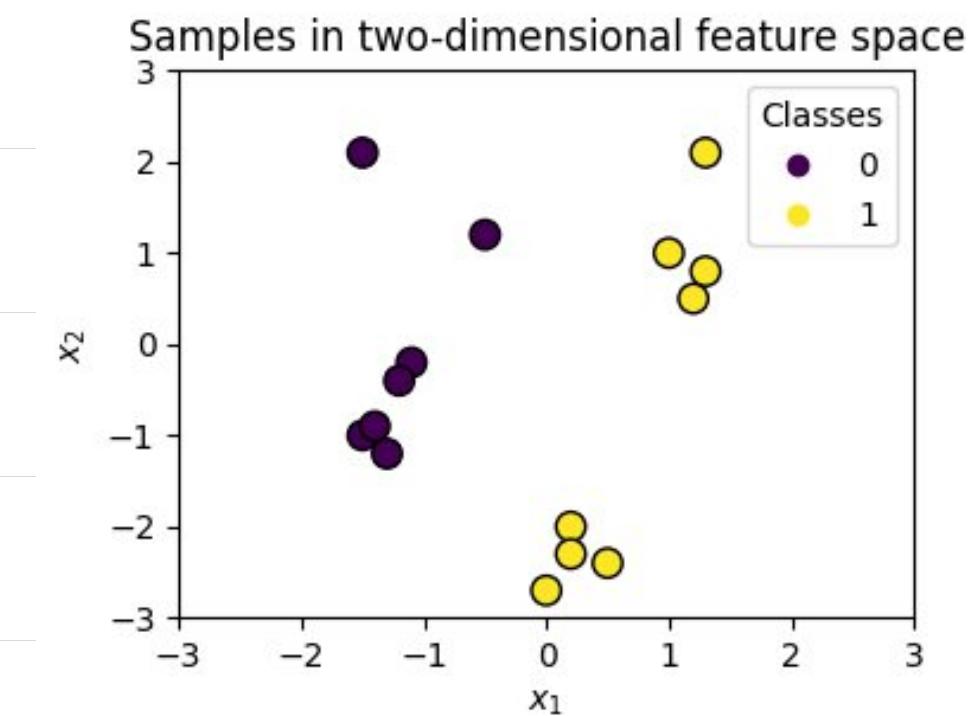
# Learning Methods - Supervised Learning

## Linear Classification:

Given  $N$  training samples:

$$(\underline{x}_1, y_1), (\underline{x}_2, y_2), \dots, (\underline{x}_N, y_N),$$

where  $\underline{x}_i \in \mathbb{R}^d$ ,  $y_i \in \{+1, -1\}$ .

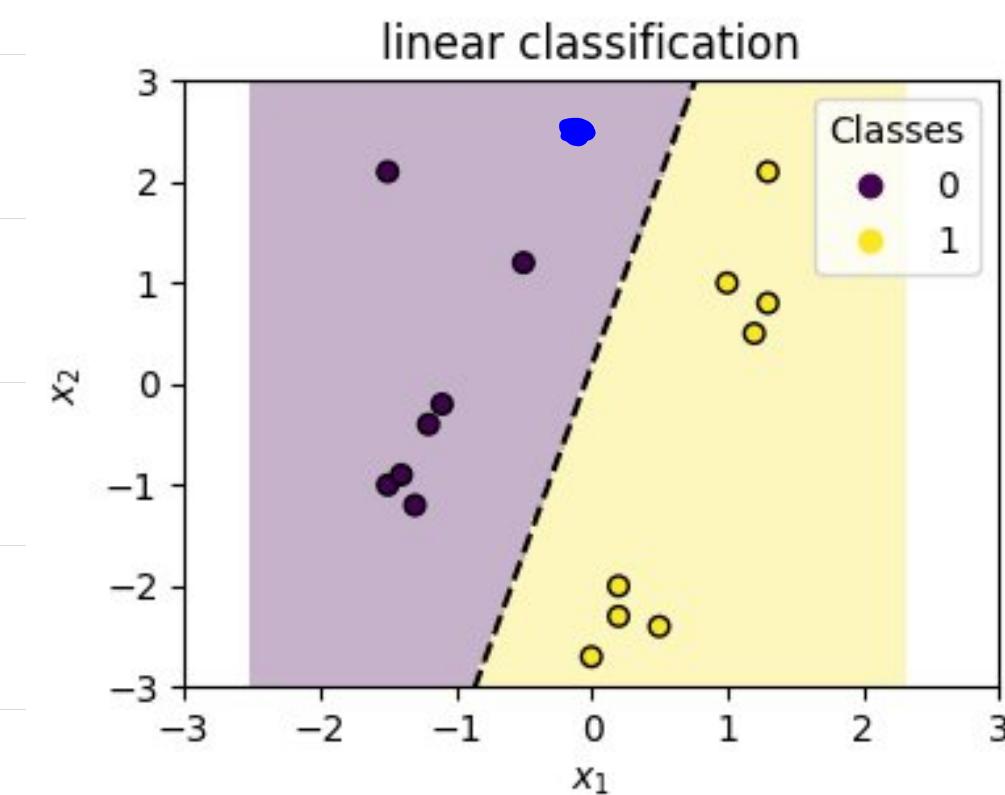


Determine a classification rule

$$y = \text{Sign} \left( \sum_{j=0}^d w_j x_j \right)$$

to minimize classification error

We will see Perceptron Learning algorithm,  
logistic regression and Gradient descent



## ■ Linear Regression:

Given  $N$  training samples:

$$(\underline{x}_1, y_1), (\underline{x}_2, y_2), \dots, (\underline{x}_N, y_N),$$

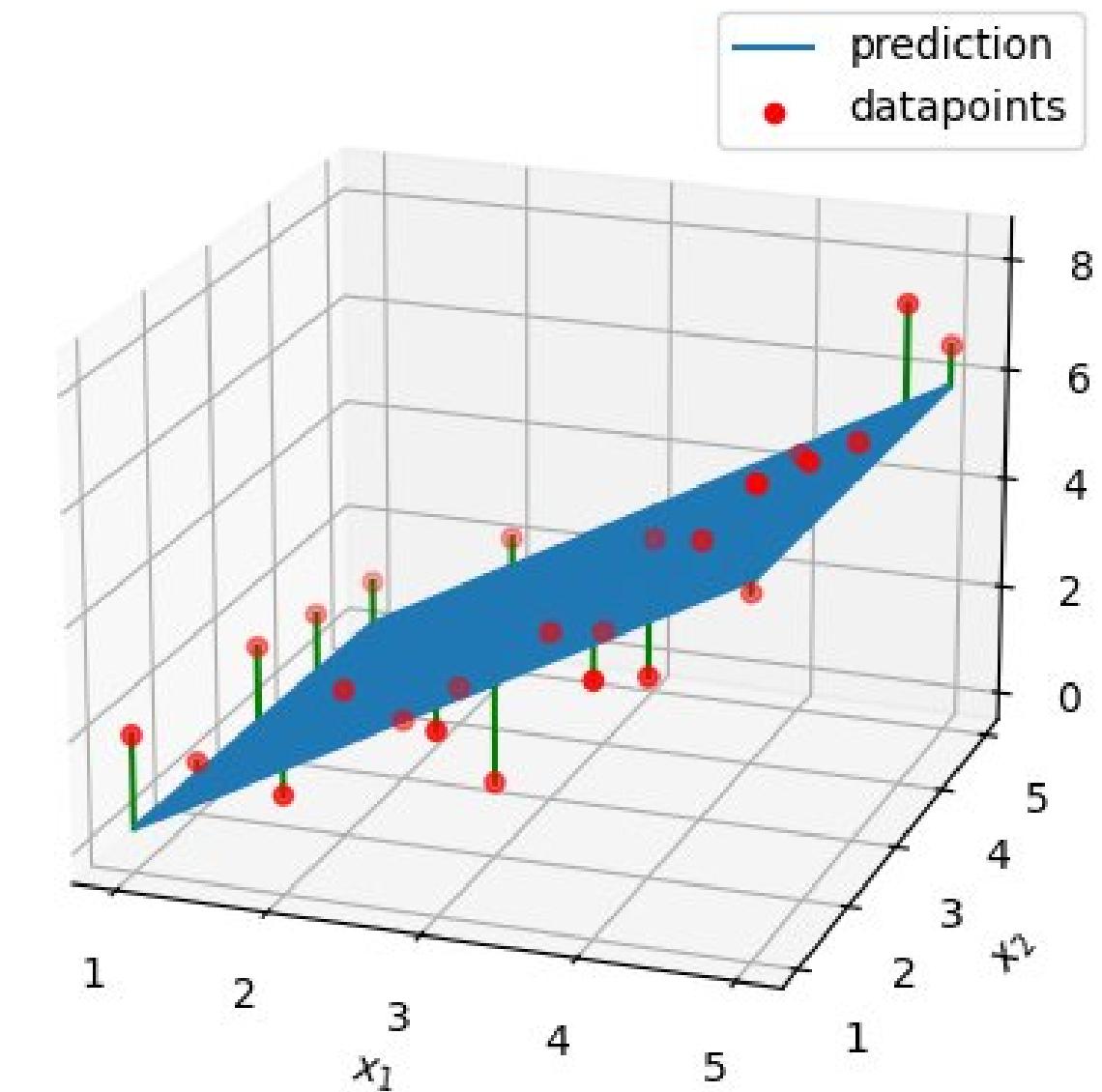
where  $\underline{x}_i \in \mathbb{R}^d$ ,  $y_i \in \mathbb{R}$

Determine a "regression rule"

$$\hat{y} = \sum_{i=0}^d w_i x_i$$

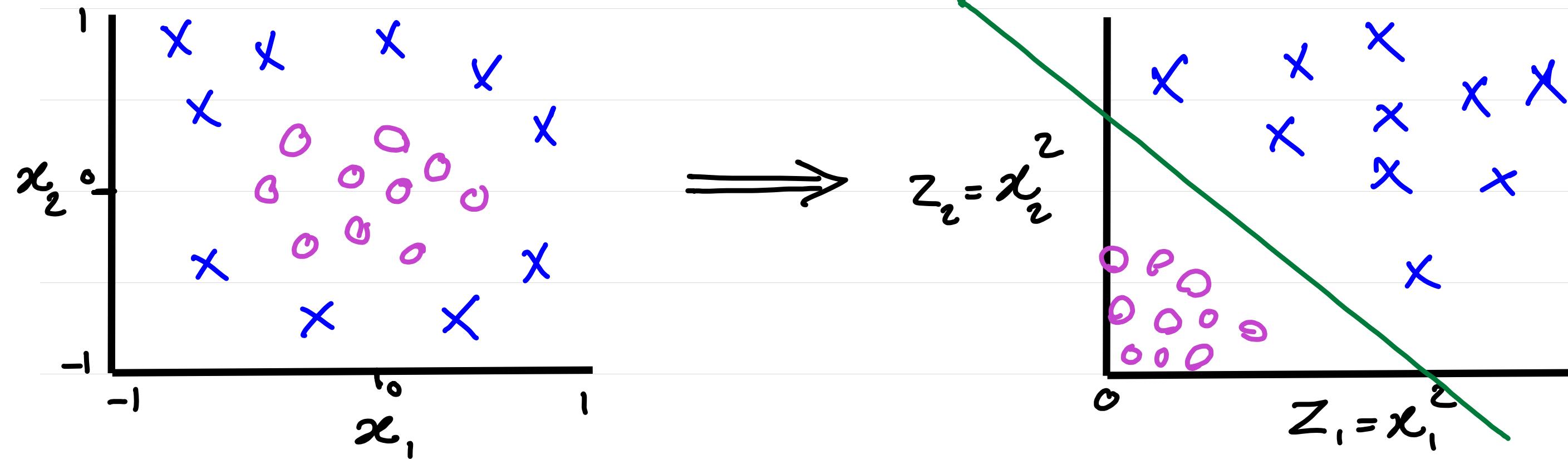
to minimize the prediction

$$\text{error: } \sum_{i=1}^N (y_i - \hat{y}_i)^2$$



We will see least squares  
and its variations

## Non-Linear Transformation of Data

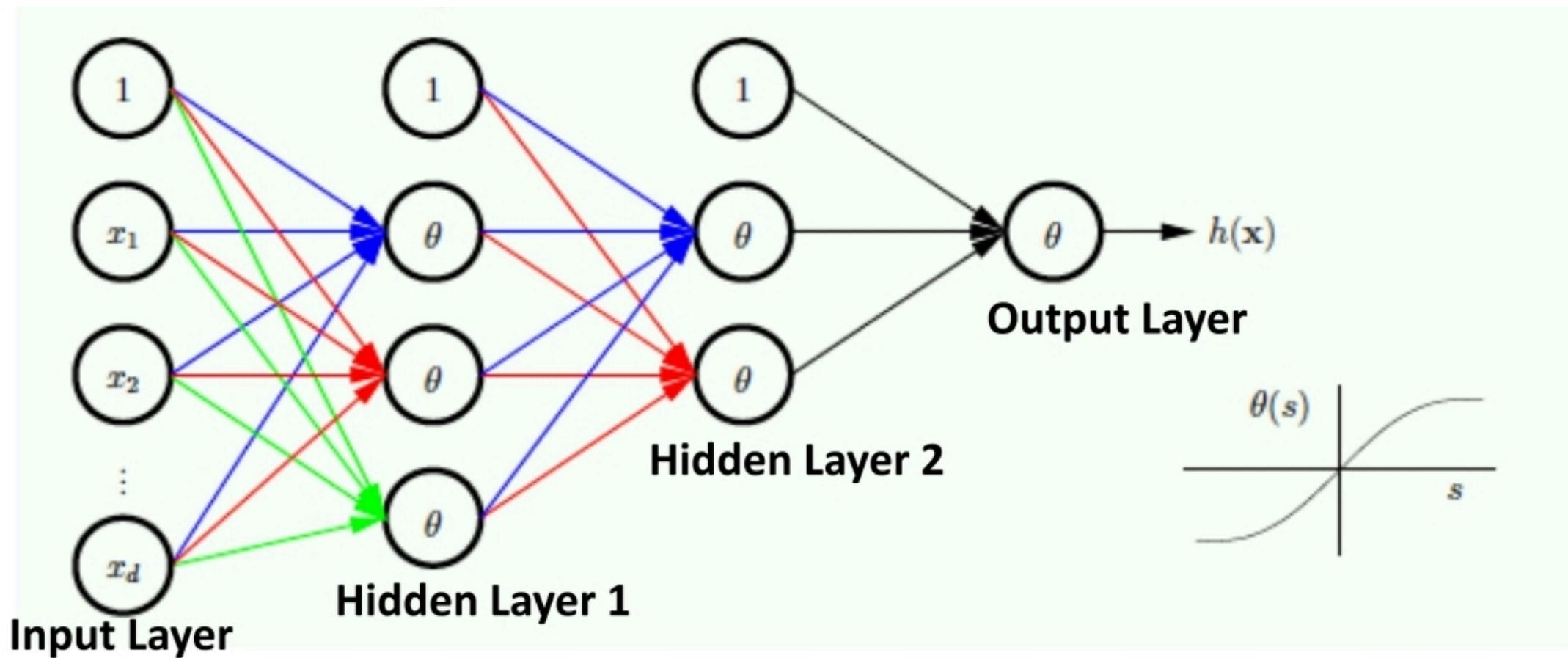


$$\underline{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \rightarrow \underline{z} = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix}$$

$$\hat{y} = \text{Sign} (\underline{w}^\top \underline{z})$$

We will See non-linear transforms, . . .

# ■ Neural Networks



$$h_i^{(1)} = \theta \left( \sum_{j=0}^d w_{ji}^{(1)} \cdot x_j \right)$$

↑      ↑      ↑

Output 'i'  
(Hidden Layer 1)    Weights: Layer 1    Inputs

$$h_i^{(2)} = \theta \left( \sum_{j=0}^d w_{ji}^{(2)} \cdot h_j^{(1)} \right)$$

↑      ↑

Output 'i'  
(Hidden Layer 2)    Weights: Layer 2

$$y = sign \left( \sum_{j=0}^d w_{ji}^{(3)} \cdot h_j^{(2)} \right)$$

↑

Output

## ■ Neural Networks – Learning

■ Given  $N$  training samples  $(\underline{x}_1, y_1), (\underline{x}_2, y_2), \dots, (\underline{x}_N, y_N)$

■ Fix:

- Number of Hidden units per layer

- Activation Function  $\sigma$

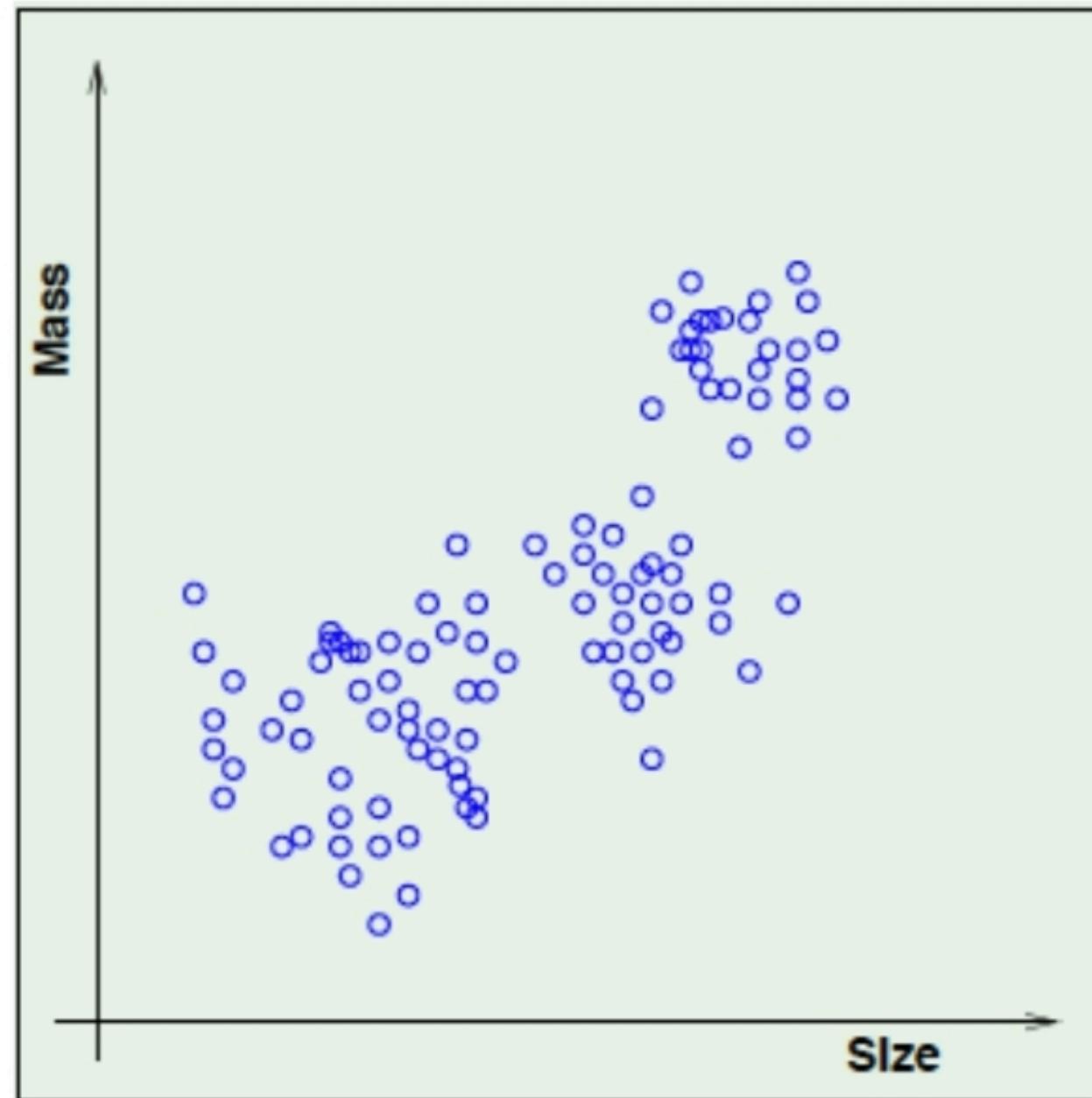
■ Learn weights  $w_{ij}^k$  by minimizing the loss function

$$E(W) = \sum_{i=1}^l l_w(y_i, \hat{y}_i)$$

We will see stochastic gradient descent, backpropagation, early stopping rule, dropout and regularization

## Learning Methods - Unsupervised Learning

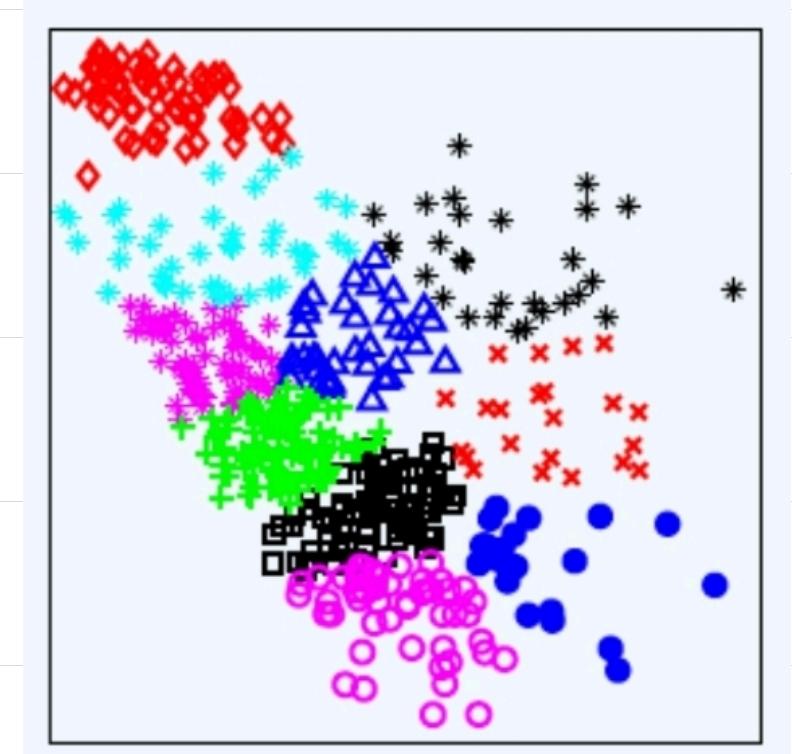
- Given  $N$  training data points  $\underline{x}_1, \dots, \underline{x}_N$   
Learn the pattern behind the dataset.



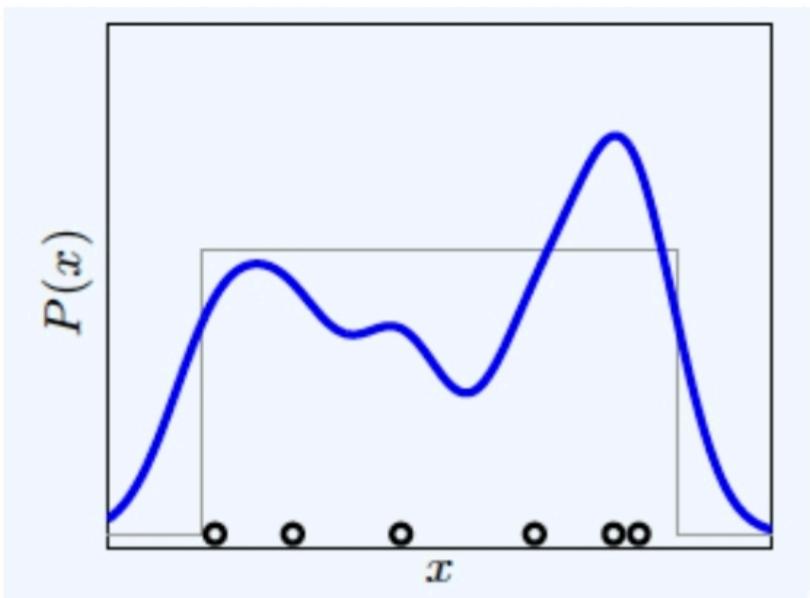
## ■ K-Means Clustering

- Cluster Centers:  $\underline{\mu}_1, \underline{\mu}_2, \dots, \underline{\mu}_K$
- partitions:  $S_1, S_2, \dots, S_K$
- Minimize:  $\sum_{j=1}^N \|\underline{x}_j - \mu(\underline{x}_j)\|^2$

We will see Lloyd's Algorithm



## ■ Density Estimation - Gaussian Mixture Models



$$f(\mathbf{x}) = \sum_{j=1}^k w_j \cdot \mathcal{N}(\mathbf{x}; \mu_j, \Sigma_j)$$

<demo: cocktail party effect>

# Learning Methods - Reinforcement learning

## ■ Markov Decision Processes (MDP)

■ This is a formalism for modeling how the world works.

■ An MDP is a five-tuple  $(S, A, P_{sa}, \gamma, R)$

- $S$ : the set of states

- $A$ : the set of actions

- $P_{sa}$ : State transition probabilities ( $\sum_{s' \in S} P_{sa}(s') = 1$ )

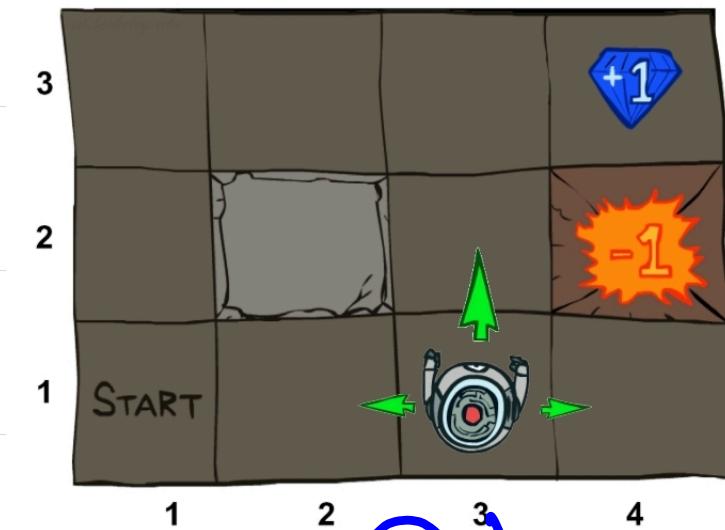
- $\gamma$ : discount factor ( $\gamma \in [0, 1]$ )

- $R$ : reward function

- Total Payoff:  $R(s_0) + \gamma R(s_1) + \gamma^2 R(s_2) + \dots$

■ Goal: choose actions over time to maximize

$$E[R(s_0) + \gamma R(s_1) + \gamma^2 R(s_2) + \dots]$$



■ What if the MDP is initially unknown?

How can we find a policy?

■ Reinforcement Learning

■ We learn the MDP model

■ OR the parts with which we can find the optimal  
Policy (e.g., Value functions or Q-functions)

■ Learning from what?

from Samples of the Process

# Deep learning Architectures

- Convolutional Neural Networks
- Language Models: RNN, Attention, LSTM

