

International Institute of Information Technology, Hyderabad

(Deemed to be University)

MA4.101-Real Analysis (Monsoon-2025)

Solution to Mid-Semester Exam

Time: 90 Minutes

Total Marks: 40

**Solution 2.**

(a) Consider the real number  $N\sqrt{2}$ . By the Archimedean property, there exists an integer  $m$  such that

$$m \leq N\sqrt{2} < m + 1.$$

Dividing by  $N$  gives

$$\frac{m}{N} \leq \sqrt{2} < \frac{m+1}{N},$$

and therefore

$$0 \leq \sqrt{2} - \frac{m}{N} < \frac{1}{N}.$$

(b) This shows that for each  $N$  there is a rational  $m/N$  within distance  $1/N$  of  $\sqrt{2}$ . Since  $1/N \rightarrow 0$  as  $N \rightarrow \infty$ , these rationals approximate  $\sqrt{2}$  arbitrarily well. Thus  $\sqrt{2}$  can be approximated by rationals, and in fact the same argument works for any real number, proving that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .

(c) For  $N = 50$ , compute  $50\sqrt{2} \approx 70.71$ . Take  $m = 70$ . Then

$$\frac{70}{50} = 1.4 < \sqrt{2} < \frac{71}{50} = 1.42.$$

Hence  $0 < \sqrt{2} - \frac{70}{50} < \frac{1}{50} = 0.02$ . Numerically,  $\sqrt{2} \approx 1.4142$ , so the actual error is about 0.0142, which satisfies the bound.

## Solution 4.

- (a) If  $x_n \rightarrow L$  with  $L \neq 0$  then indeed  $y_n \rightarrow 1$ .

*Proof:* Since  $x_n \rightarrow L$  we also have  $x_{n+1} \rightarrow L$ . Because  $L \neq 0$  there exists  $N$  so that  $x_{n+1} \neq 0$  for all  $n \geq N$ , and the quotient limit law applies:

$$\lim_{n \rightarrow \infty} y_n = \lim_{n \rightarrow \infty} \frac{x_n}{x_{n+1}} = \frac{\lim_{n \rightarrow \infty} x_n}{\lim_{n \rightarrow \infty} x_{n+1}} = \frac{L}{L} = 1.$$

Thus the necessary (and sufficient for this argument) condition is that the common limit  $L$  is nonzero. If  $L = 0$  no general conclusion holds (see parts (b),(c)).

- (b) Example with limit  $-1$ .

Take

$$x_n = \frac{(-1)^n}{n} \quad (n \geq 1).$$

Clearly  $x_n \rightarrow 0$ . Compute

$$y_n = \frac{x_n}{x_{n+1}} = \frac{(-1)^n/n}{(-1)^{n+1}/(n+1)} = -\frac{n+1}{n} \rightarrow -1 \quad (n \rightarrow \infty).$$

Hence  $x_n \rightarrow 0$  but  $y_n \rightarrow -1$ .

- (c) Example where  $x_n \rightarrow 0$  but  $y_n$  has no finite limit (indeed is unbounded).

Define

$$x_n = \begin{cases} \frac{1}{n}, & n \text{ odd}, \\ \frac{1}{\sqrt{n}}, & n \text{ even}. \end{cases}$$

Both subsequences  $1/n$  and  $1/\sqrt{n}$  tend to 0, so  $x_n \rightarrow 0$ . Now examine  $y_n = x_n/x_{n+1}$ .

If  $n$  is odd (write  $n = 2k - 1$ ) then

$$y_n = \frac{1/n}{1/\sqrt{n+1}} = \frac{\sqrt{n+1}}{n} = \frac{\sqrt{2k}}{2k-1} \sim \frac{1}{\sqrt{n}} \rightarrow 0.$$

If  $n$  is even (write  $n = 2k$ ) then

$$y_n = \frac{1/\sqrt{n}}{1/(n+1)} = \frac{n+1}{\sqrt{n}} \sim \sqrt{n} \rightarrow +\infty.$$

Thus the even-indexed subsequence  $(y_{2k})$  is unbounded (tends to  $+\infty$ ), while the odd-indexed subsequence  $(y_{2k-1}) \rightarrow 0$ . Therefore  $(y_n)$  does not converge to any finite value.

(Any other construction with alternating scales that produce a small/large ratio will serve.)

- (d) If  $(x_n)$  is monotone (increasing or decreasing) and  $x_n \rightarrow L \neq 0$ , the conclusion does not change: we still have  $y_n \rightarrow 1$ . Monotonicity is *not* needed for the positive result in (a); the crucial hypothesis was  $L \neq 0$  so that the denominator sequence  $x_{n+1}$  converges to a nonzero limit and the quotient law applies. Monotonicity merely gives extra regularity (for instance it guarantees eventual sign-stability), but it is not required for  $\lim y_n = 1$ .

## Solution 5

We are given the sequence  $(a_n)_{n \geq 1}$  with

$$a_n = \frac{(-1)^n}{2}.$$

Thus, the sequence alternates between  $-\frac{1}{2}$  and  $+\frac{1}{2}$ .

- (a) [**1 Mark**] The sequence oscillates between two distinct values  $-\frac{1}{2}$  and  $+\frac{1}{2}$  and hence does not converge. Its set of limit points is  $\{-\frac{1}{2}, +\frac{1}{2}\}$ .
- (b) [**5 Marks**] Let  $L \geq \frac{1}{2}$  be a positive rational number. Define

$$d_n = \inf_{k \geq n} |a_k - L|.$$

We compute:

$$|a_k - L| = \begin{cases} L - \frac{1}{2}, & a_k = \frac{1}{2}, \\ L + \frac{1}{2}, & a_k = -\frac{1}{2}. \end{cases}$$

Thus the sequence  $\{|a_k - L|\}_{k \geq 1}$  alternates between  $L - \frac{1}{2}$  and  $L + \frac{1}{2}$ . Since both values appear infinitely often in every tail, we obtain

$$d_n = \min\{L - \frac{1}{2}, L + \frac{1}{2}\} = L - \frac{1}{2}, \quad \forall n.$$

Hence the sequence  $(d_n)$  is constant, so

$$\lim_{n \rightarrow \infty} d_n = L - \frac{1}{2}.$$

The set of limit points of  $(d_n)$  is the singleton  $\{L - \frac{1}{2}\}$ .

(c) [4 Marks] Define

$$e_n = \min_{1 \leq k \leq n} |a_k - L|.$$

Again, the values are  $L - \frac{1}{2}$  and  $L + \frac{1}{2}$ . - For  $n = 1$ , we have  $a_1 = -\frac{1}{2}$ , hence

$$e_1 = |a_1 - L| = L + \frac{1}{2}.$$

- For  $n \geq 2$ , both  $a_k = \pm \frac{1}{2}$  occur among the first  $n$  terms, so

$$e_n = \min\{L - \frac{1}{2}, L + \frac{1}{2}\} = L - \frac{1}{2}.$$

Therefore

$$e_n = \begin{cases} L + \frac{1}{2}, & n = 1, \\ L - \frac{1}{2}, & n \geq 2. \end{cases}$$

Hence the sequence  $(e_n)$  converges and

$$\lim_{n \rightarrow \infty} e_n = L - \frac{1}{2}.$$

## Solution 6.

(a) *First six terms.* We check which of 2, 3, 4, 5, 6 are factorials:  $2! = 2$  and  $3! = 6$ . Thus

$$\begin{aligned} a_1 &= 4, \\ a_2 &= -1 + \frac{1}{2} = -\frac{1}{2}, \\ a_3 &= 1 - \frac{1}{3} = \frac{2}{3}, \\ a_4 &= 1 - \frac{1}{4} = \frac{3}{4}, \\ a_5 &= 1 - \frac{1}{5} = \frac{4}{5}, \\ a_6 &= -1 + \frac{1}{3} = -\frac{2}{3}. \end{aligned}$$

(b) *Boundedness.* For  $n \geq 2$  there are two cases:

- If  $n = k!$  for some  $k \geq 2$ , then  $a_n = -1 + \frac{1}{k}$ . Since  $k \geq 2$  we have  $-1 + \frac{1}{k} > -1$  and in fact  $-1 + \frac{1}{k} \geq -\frac{1}{2}$  for  $k \geq 2$ .
- If  $n$  is not a factorial, then  $a_n = 1 - \frac{1}{n}$ , so  $a_n < 1$  and for  $n \geq 2$  we have  $a_n \geq \frac{1}{2}$ .

Also  $a_1 = 4$ . Hence for every  $n \geq 1$  we have

$$-1 < a_n \leq 4.$$

Therefore the sequence is bounded below (take lower bound  $-1$ ) and bounded above (take upper bound  $4$ ).

(c) *Supremum and infimum.*

**Supremum.** Since  $a_1 = 4$  and every other term satisfies  $a_n < 4$ , the number  $4$  is an upper bound of the set  $\{a_n : n \geq 1\}$  and it is attained at  $n = 1$ . No larger number below  $4$  can be an upper bound, so

$$\sup_{n \geq 1} a_n = 4,$$

and this supremum is attained (indeed it is the maximum).

**Infimum.** The factorial subsequence  $a_{k!} = -1 + \frac{1}{k}$  satisfies

$$-1 < a_{k!} < 0 \quad \text{for all } k \geq 2,$$

and  $\lim_{k \rightarrow \infty} a_{k!} = -1$ . Thus  $-1$  is a lower bound of the set  $\{a_n : n \geq 1\}$ . To see that it is the greatest lower bound, let  $\varepsilon > 0$  be arbitrary. Choose  $k$  so large that  $\frac{1}{k} < \varepsilon$ . Then  $a_{k!} = -1 + \frac{1}{k} < -1 + \varepsilon$ , showing that no number  $> -1$  can serve as a lower bound. Therefore

$$\inf_{n \geq 1} a_n = -1.$$

The value  $-1$  is *not* attained by any  $a_n$  (every  $a_{k!} > -1$  and other terms are  $> 0$ ), so the infimum is not a minimum.

(d) *Computation of  $\limsup$  and  $\liminf$ .* We use Tao's tail-sup and tail-inf construction:

$$a_N^+ := \sup\{a_n : n \geq N\}, \quad a_N^- := \inf\{a_n : n \geq N\},$$

and then

$$\limsup_{n \rightarrow \infty} a_n = \lim_{N \rightarrow \infty} a_N^+, \quad \liminf_{n \rightarrow \infty} a_n = \lim_{N \rightarrow \infty} a_N^-.$$

**Compute  $a_N^+$ .** For  $N = 1$  we have  $a_1 = 4$  so  $a_1^+ = 4$ . For any  $N \geq 2$ , the tail  $\{a_n : n \geq N\}$  contains infinitely many non-factorial indices  $n$  with  $a_n = 1 - \frac{1}{n}$ , and these values approach  $1$  from below as  $n \rightarrow \infty$ . Also the factorial entries in the tail

are  $\leq 0$ . Hence for every  $N \geq 2$  the supremum of the tail is the limit point 1 (the supremum of values arbitrarily close to 1 from below is 1). Thus

$$a_N^+ = \begin{cases} 4, & N = 1, \\ 1, & N \geq 2. \end{cases}$$

Therefore  $\lim_{N \rightarrow \infty} a_N^+ = 1$ , and so

$$\boxed{\limsup_{n \rightarrow \infty} a_n = 1.}$$

(One can justify the claim " $a_N^+ = 1$  for  $N \geq 2$ " by: for any  $\delta > 0$  choose  $m$  large and not a factorial with  $m \geq N$  so that  $1 - \frac{1}{m} > 1 - \delta$ ; hence  $\sup_{n \geq N} a_n \geq 1 - \delta$  for every  $\delta > 0$ , which forces the supremum to be  $\geq 1$ , while no tail element exceeds 1, so the supremum equals 1.)

**Compute  $a_N^-$ .** For any  $N \geq 1$  the tail  $\{a_n : n \geq N\}$  contains factorial indices  $k!$  arbitrarily large (factorials tend to infinity), and at such indices  $a_{k!} = -1 + \frac{1}{k}$  can be made arbitrarily close to  $-1$  from above. Hence for every  $N$  the infimum of the tail is  $-1$ . Thus

$$a_N^- = -1 \quad \text{for all } N \geq 1,$$

and so  $\lim_{N \rightarrow \infty} a_N^- = -1$ . Therefore

$$\boxed{\liminf_{n \rightarrow \infty} a_n = -1.}$$

## Solution 7.

(a) *Every monotone increasing sequence is quasi-monotone increasing.*

If  $(x_n)$  is monotone increasing then  $x_{n+1} \geq x_n$  for every  $n$ , hence for every  $n$

$$x_{n+1} \geq x_n \geq x_n - \frac{1}{6}.$$

Thus the quasi-monotone inequality holds with  $N = 1$ .  $\square$

(b) *Show  $(x_n)$  and  $(y_n)$  are quasi-monotone increasing but not monotone.*

(i) **The sequence**  $x_n = 1 + \frac{(-1)^n}{18n}$ .

*Not monotone:* the terms alternate around 1. For example

$$x_1 = 1 - \frac{1}{18}, \quad x_2 = 1 + \frac{1}{36},$$

so  $x_2 > x_1$ , while  $x_3 = 1 - \frac{1}{54} < x_2$ . Hence  $(x_n)$  is not monotone.

*Quasi-monotone:* compute the one-step difference

$$\begin{aligned} x_{n+1} - x_n &= \frac{(-1)^{n+1}}{18(n+1)} - \frac{(-1)^n}{18n} \\ &= -(-1)^n \frac{1}{18} \left( \frac{1}{n} + \frac{1}{n+1} \right) \\ &\geq -\frac{1}{18} \left( \frac{1}{n} + \frac{1}{n+1} \right) \\ &\geq -\frac{1}{9n}. \end{aligned}$$

For every  $n \geq 1$  we have  $\frac{1}{9n} \leq \frac{1}{6}$ . Therefore

$$x_{n+1} - x_n \geq -\frac{1}{6} \quad \text{for every } n,$$

so  $(x_n)$  is quasi-monotone increasing.

**(ii) The sequence  $y_n$ .**

*Not monotone:* since  $y_{2k} = 0$  but  $y_{2k+1} = \frac{1}{18}(1 + \frac{1}{2k+1}) > 0$ , the sequence goes up and down; e.g.  $y_1 > y_2$  and  $y_2 < y_3$ , so  $(y_n)$  is not monotone.

*Quasi-monotone:* check the two parities.

- If  $n$  is even,  $x_n = 0$  and  $x_{n+1} = \frac{1}{18}(1 + \frac{1}{n+1}) \geq 0$ , hence

$$x_{n+1} - x_n \geq 0 \geq -\frac{1}{6}.$$

- If  $n$  is odd,  $x_n = \frac{1}{18}(1 + \frac{1}{n})$  and  $x_{n+1} = 0$ , so

$$x_{n+1} - x_n = -\frac{1}{18}\left(1 + \frac{1}{n}\right).$$

Now

$$\frac{1}{18}\left(1 + \frac{1}{n}\right) \leq \frac{1}{18} \cdot 2 = \frac{1}{9} < \frac{1}{6},$$

so  $x_{n+1} - x_n \geq -\frac{1}{6}$  for every odd  $n$  as well.

Thus  $y_{n+1} \geq y_n - \frac{1}{6}$  for every  $n$ , so  $(y_n)$  is quasi-monotone.

(c) *Does every bounded quasi-monotone increasing sequence converge?*

*No.* The sequence  $(y_n)$  above is a counterexample.

*Reason:*  $(y_n)$  is bounded (all terms lie in  $[0, \frac{1}{9}]$ ) and we just checked it is quasi-monotone increasing, yet it does not converge because the even subsequence is constant 0 while the odd subsequence tends to  $1/18$  (indeed  $y_{2k} = 0$  for all  $k$ , and  $y_{2k-1} = \frac{1}{18}(1 + \frac{1}{2k-1}) \rightarrow \frac{1}{18}$ ). Two distinct subsequential limits show  $(y_n)$  does not converge.

(d) *Comparison with the Monotone Convergence Theorem.*

The Monotone Convergence Theorem states: every upper bounded monotone increasing sequence converges (same for decreasing and lower bounded). The essential feature used in the proof is that monotonicity forbids any downward step: once the sequence rises it can never return below its earlier values, so the tail is trapped and the supremum/infimum arguments force the limit.

By contrast, quasi-monotonicity (as defined here) permits *small* downward steps of size up to  $1/6$  at infinitely many indices. Even though each permitted drop is uniformly small, the sequence can still oscillate indefinitely between two different levels (as the example  $(y_n)$  shows: it repeatedly attains 0 and values near  $1/18$ ). Therefore boundedness alone does not prevent oscillation under the weakened quasi-monotone condition, and convergence can fail.

*Illustration with  $(y_n)$ :* the allowed downward error  $1/6$  is large enough to permit the one-step drop from  $y_{2k-1} \approx 1/18$  down to  $y_{2k} = 0$ , so the sequence keeps alternating between two levels. Monotonicity would forbid such drops and hence force convergence; quasi-monotonicity does not.