

Lecture 6. Purely Functional Data structures

Functional Programming 2019/20

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Goals

- ▶ Know the difference between persistent (purely functional) and ephemeral data structures,
- ▶ Be able to use persistent data structures,
- ▶ Define and work with custom data types



Data Structures in Memory

- ▶ What does `x:xs` look like in memory?



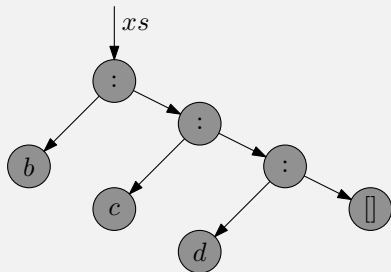
Data Structures in Memory

- ▶ What does $x:xs$ look like in memory?
- ▶ Suppose that $xs = b:c:d:[]$ for some b,c and d



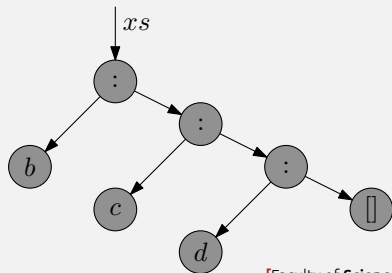
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- What does `xs = b:c:d:[]` look like in memory?



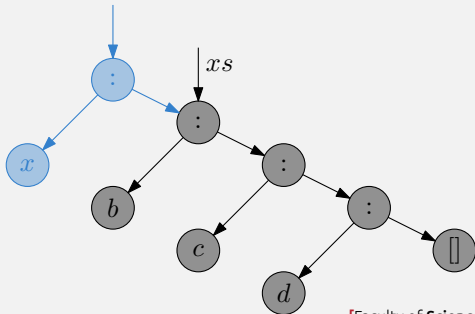
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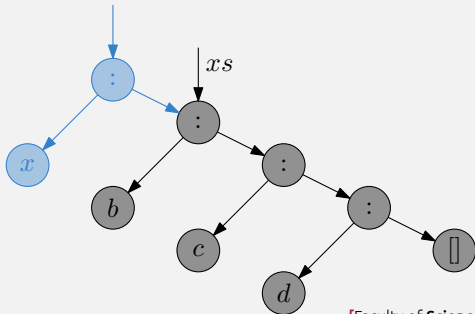
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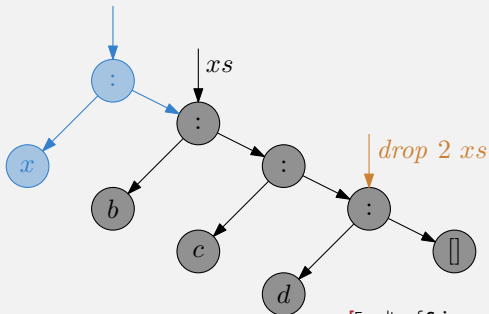
Data Structures in Memory

- What does `drop 2 xs` look like in memory?



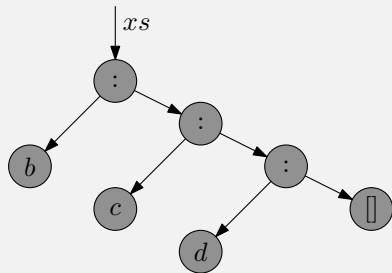
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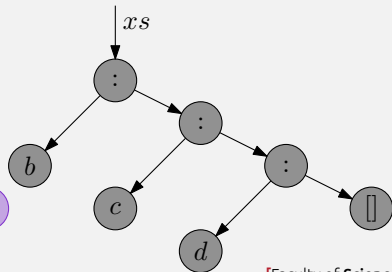
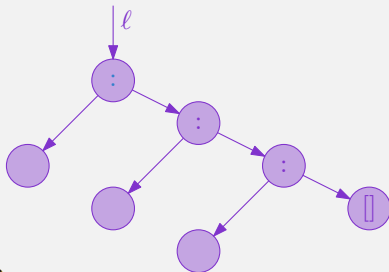
Data Structures in Memory

- What does `1 ++ xs` look like in memory?



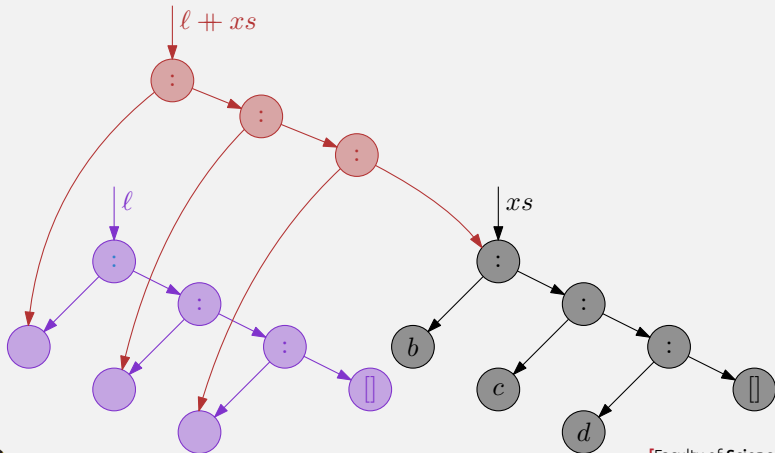
Data Structures in Memory

- What does `1 ++ xs` look like in memory?



Data Structures in Memory

- What does `l ++ xs` look like in memory?



Persistent vs Ephemeral

- ▶ Data structures in which old versions are available are *persistent* data structures.
- ▶ Traditional data structures are *ephemeral*.



Persistent vs Ephemeral

- ▶ Advantages of persistent data structures:
 - ▶ Convenient to have both old and new:
 - ▶ Separation of concerns;
 - ▶ Compute subexpressions independently
 - ▶ Output may contain old versions:



Persistent vs Ephemeral

- ▶ Advantages of persistent data structures:
 - ▶ Convenient to have both old and new:
 - ▶ Separation of concerns;
 - ▶ Compute subexpressions independently
 - ▶ Output may contain old versions:

```
suffixSums      :: [Int] -> [Int]
suffixSums []    = [0]
suffixSums (x:xs) = let res@(t:_) = suffixSums xs
                    in x+t : res
```

```
> suffixSums [4,3..1]
[10,6,3,1,0]
```



Can we get this for other data structures?

Yes*!



Can we get this for other data structures?

Yes*!

[*] for a lot of them



Successor Data Structure

- ▶ Store an set S of ordered elements s.t. we can efficiently find successor of a query q .
- ▶ The successor of q is the smallest element in S larger or equal to q .



Implementing a Successor DS: Try 1, Lists

- ▶ Idea: Use an (unordered) list

```
type Successor a = [a]
```

- ▶ What should the type of our `succOf` function be?



Implementing a Successor DS: Try 1, Lists

- ▶ Idea: Use an (unordered) list

```
type Successor a = [a]
```

- ▶ What should the type of our succOf function be?

```
succOf :: Ord a => a -> Successor a -> Maybe a
```



Implementing a Successor DS: Try 1, Lists

```
succOf      :: Ord a => a -> Successor a -> Maybe a
succOf q s  = minimum' [ x | x <- s, x >= q]
  where
    minimum' [] = Nothing
    minimum' xs = Just (minimum xs)
```



Implementing a Successor DS: Try 1, Lists

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► Running time: $O(n)$



Implementing a Successor DS: Try 2, Ordered Lists

- Idea: Use an *ordered* list.

```
succOf q [] = Nothing
succOf q (x:s) | x < q = succOf q s
                | otherwise = Just x
```



Implementing a Successor DS: Try 2, Ordered Lists

- Idea: Use an *ordered* list.

```
succOf q [] = Nothing
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- Does not really help: running time is still $O(n)$.



Implementing a Successor DS: Try 2, Ordered Lists

- ▶ Idea: Use an *ordered* list.

```
succOf q [] = Nothing
succOf q (x:s) | x < q = succOf q s
               | otherwise = Just x
```

- ▶ Does not really help: running time is still $O(n)$.
- ▶ We need a better data structure.



Implementing a Successor DS: Try 3, BSTs

- Idea: Use a binary search tree.

```
data Tree a = Leaf
            | Node (Tree a) a (Tree a)
  deriving (Show,Eq)
```

```
type Successor a = Tree a
```



Implementing a Successor DS: Queries

```
succOf q Leaf = Nothing
succOf q (Node l x r) | x < q = succOf q r
                      | otherwise =
    case succOf q l of
      Nothing -> Just x
      Just sq  -> Just sq
```



Implementing a Successor DS: Queries

```
succOf q Leaf = Nothing
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```

Nice if the input tree happens to be balanced, i.e. of height $O(\log n)$



Making Balanced Trees

- ▶ Suppose that the input is a sorted list, how to build a balanced tree?



Making Balanced Trees

- Suppose that the input is a sorted list, how to build a balanced tree?

```
buildBalanced    :: [a] -> Tree a
buildBalanced [] = Leaf
buildBalanced xs = Node l x r
  where
    h = length xs `div` 2
    (ls,x:rs) = splitAt h xs

    l = buildBalanced ls
    r = buildBalanced rs
```

- Running time: $O(n \log n)$.



Dynamic Successor: Insert

- ▶ Can we add new elements to the set S ?



Dynamic Successor: Insert

- Can we add new elements to the set S ?

```
insert          :: Ord a => a -> Tree a -> Tree a
insert x Leaf    = Node Leaf x Leaf
insert x t@(Node l y r)
  | x < y        = Node (insert x l) y r
  | x == y       = t
  | otherwise    = Node l y (insert x r)
```



Dynamic Successor: Insert

- Can we add new elements to the set S ?

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```

- Not just insert x l !
- Note that we are building new trees!

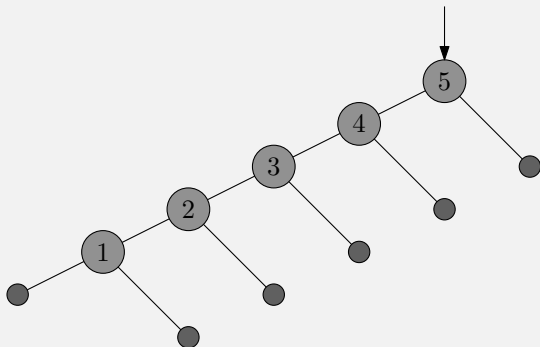


May unbalance the tree

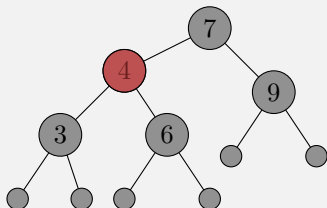
- Repeatedly inserting elements unbalances the tree

```
> foldr insert Leaf [1..5]
```

```
Node (Node (Node (Node (Node Leaf 1 Leaf) 2 Leaf) 3 Leaf) 4 Leaf) 5 Leaf
```



Self balancing trees: Red Black Trees

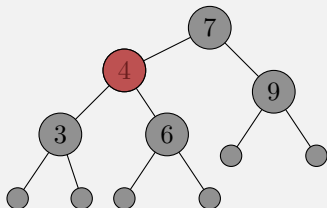


► Properties:

- 1) leaves are black
- 2) root is black
- 3) red nodes have black children
- 4) for any node, all paths to leaves have the same number of black children.



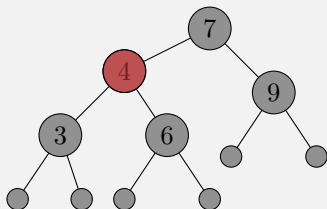
Self balancing trees: Red Black Trees



- ▶ Properties:
 - 1) leaves are black
 - 2) root is black
 - 3) red nodes have black children
 - 4) for any node, both children have the same *blackheight*
- ▶ *blackHeight* of a node = number of black children on any path from that node to its leaves.



Self balancing trees: Red Black Trees



- ▶ Properties:
 - 1) leaves are black
 - 2) root is black
 - 3) red nodes have black children
 - 4) for any node, both children have the same *blackheight*
- ▶ Support queries and updates in $O(\log n)$ time.



Red Black Trees in Haskell

```
data Color = Red | Black deriving (Show,Eq)
```

```
data RBTREE a = Leaf Color  
              | Node Color (RBTREE a) a (RBTREE a)  
              deriving (Show,Eq)
```



Red Black Trees in Haskell

Better:

```
data RBTREE a = Leaf
              | Node Color (RBTREE a) a (RBTREE a)
  deriving (Show, Eq)
```

- ▶ Enforces property 1. Other properties are more difficult to enforce in the type.



Implementing Queries and Inserts

- ▶ succOf more or less the same as before.
- ▶ Insert:

```
insert    :: Ord a => a -> RBTREE a -> RBTREE a
insert x = blackenRoot . insert' x
```

```
blackenRoot                :: RBTREE a -> RBTREE a
blackenRoot Leaf            = Leaf
blackenRoot (Node _ l y r) = Node Black l y r
```



Implementing Insert

- ▶ Make sure black heights remain ok by replacing a black leaf by a red node.
- ▶ The only issue is red,red violations.
- ▶ Allow red,red violations with the root, but not below that.



Implementing Insert

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```
insert' :: Ord a => a -> RBTREE a -> RBTREE a
insert' x Leaf = Node Red Leaf x Leaf
insert' x t@(Node c l y r)
  | x < y      = balance c (insert x l) y r
  | x == y     = t
  | otherwise  = balance c l y (insert x r)
```



Implementing Insert

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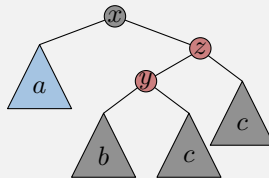
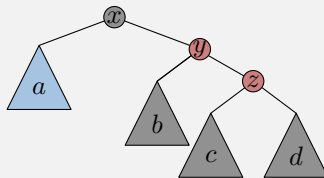
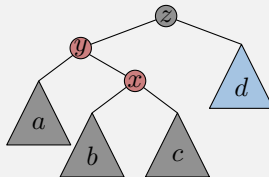
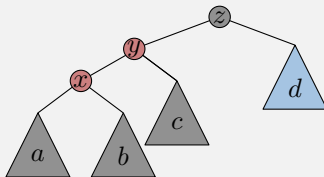
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balance :: Color -> RBTREE a -> a -> RBTREE a
          -> RBTREE a
```



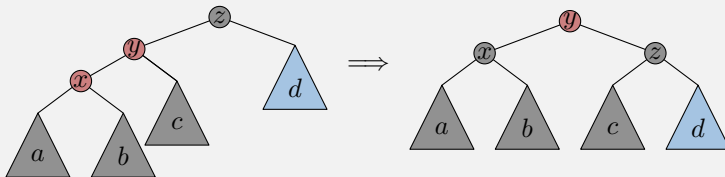
Rebalancing

- ▶ The only potential issue is two red nodes near the root.
- ▶ There are only four configurations:



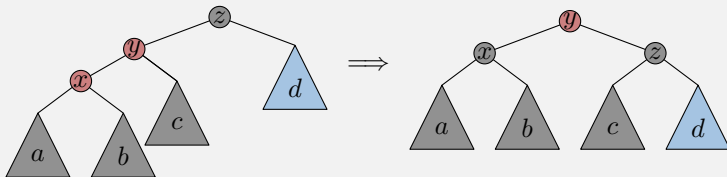
Rebalancing

- Make the root red, and its children black:



Rebalancing

- Make the root red, and its children black:



```
balance Black (Node Red (Node Red a x b) y c) z d =  
  Node Red (Node Black a x b) y (Node Black c z d)
```



Rebalancing code

- ▶ Other cases are symmetric:

```
balance Black (Node Red (Node Red a x b) y c) z d =  
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balance Black (Node Red a x (Node Red b y c)) z d =  
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Rebalancing code

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```

```
balance c l x r  
    Node c l x r
```



Deleting

- ▶ What if we also want to remove elements from S ?



Deleting

- ▶ What if we also want to remove elements from S ?
- ▶ Possible in $O(\log n)$ time with Red-Black trees, but a bit more messy.



Data structures in the Haskell Standard Library

- ▶ Self balancing BST Implementation available in `Data.Set`
- ▶ Often useful to store additional information: `Data.Map`.

```
lookup :: Ord k => k -> Map k v -> Maybe v
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- ▶ Finite Sequences: `Data.Sequence`, allow fast access to front and back.



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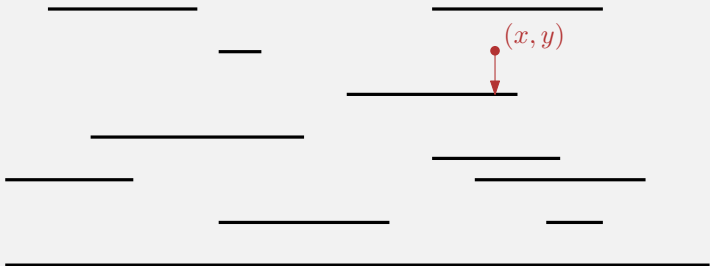
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- ▶ Finite Sequences: `Data.Sequence`, allow fast access to front and back.
- ▶ All these data structures are persistent.



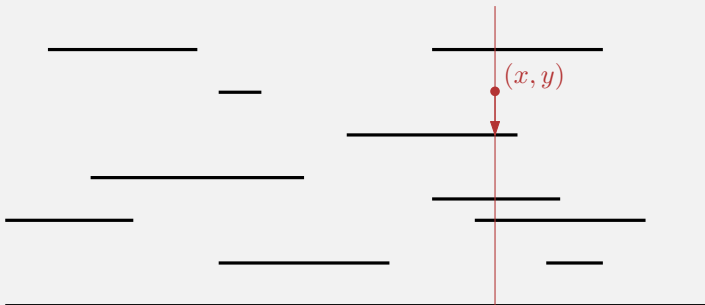
Example Application: Point Location

- Can we quickly find the platform directly below Mario at (x, y) ?



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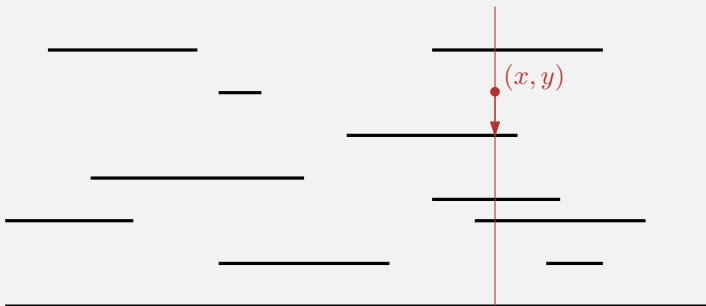


- Easy if we had the platforms intersecting the vertical line at x in a Set or Map: find predecessor of y .



Example Application: Point Location

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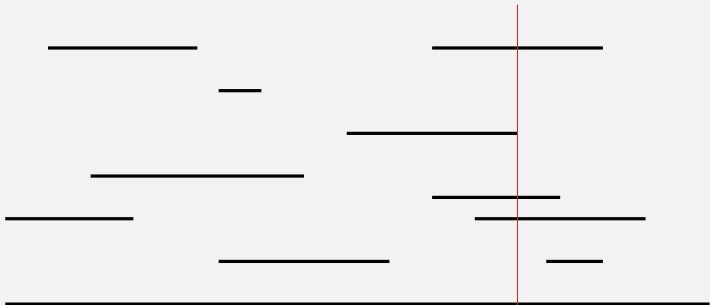


- What happens when vertical line starts/stops to intersect a platform?



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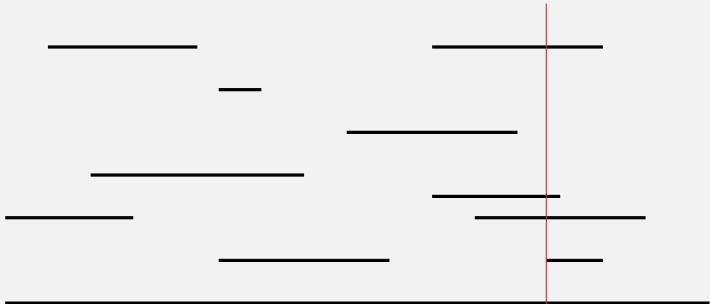


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- ▶ Add or remove a platform from the Set



Example Application: Point Location

- ▶ Can we quickly find the platform directly below Mario at (x, y) ?
- ▶ What happens when vertical line starts/stops to intersect a platform?
- ▶ Add or remove a platform from the Set
- ▶ Since Set is persistent, old versions remain in tact. Store them in a Map.



Example Application: Point Location

- ▶ Can we quickly find the platform directly below Mario at (x, y) ?
- ▶ What happens when vertical line starts/stops to intersect a platform?
- ▶ Add or remove a platform from the Set
- ▶ Since Set is persistent, old versions remain in tact. Store them in a Map.
- ▶ To answer a query: go to the version at time x using a successor query, and find predecessor of y .



Homework: Verifying Red-Black Tree Properties

- ▶ Write a function `validRBTree :: RBTree a -> Bool` that checks if a given `RBTree a` satisfies all red-black tree properties.

