# Brown University School of Public Health

## **HRS Restricted Data File Companion: LTCfocus**

Long-Term Care: Facts on Care in the US

Data Description and Usage Version 1 May 2016

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## **Preface**

The Health and Retirement Study (HRS) is the largest and longest running national survey of the elderly population in the United States. It gathers extensive data about the physical and mental health status, insurance coverage, financial status, family support systems, labor market status, and retirement planning of older Americans. Brown University has developed a market level long-term care data base (LTCfocus) as a readily linkable restricted data module to, when linked to the HRS, make possible a new way of studying how older adults use nursing homes, expanding our knowledge in this increasingly important area.

The Shaping Long-Term Care in America NIA funded program project grant (PPG) [P0-1-AG-27296] being conducted at the Brown University Center for Gerontology and Health Care Research is exploring the effect of state policies and market forces on the availability and quality of nursing home care in the US. As part of this effort, Brown researchers have compiled, analyzed, aggregated and cleaned pertinent data at the provider, county and state level for the period 2000 to 2010. These data, called LTCfocus, detail the health and functional status of nursing home residents, the characteristics of care facilities, the state policies relevant to long-term care services and financing, and the characteristics of markets in which facilities exist. The data, currently available on the project website <a href="http://ltcfocus.org/">http://ltcfocus.org/</a>, can be used interactively and the entire data base can be down-loaded, allowing researchers to address their own questions regarding the relationship between state policies and local market forces and the quality of long-term care. In spite of their usefulness to date in understanding provider behavior and documenting temporal and geographic variation in US nursing homes, a whole new class of questions can be addressed by linking these data to the rich individual-level HRS data.

With funding from the NIA under research grant R03AG046482, Brown University has created the HRS-LTCfocus restricted data module - a user friendly version of the data available at www.ltcfocus.org, designed to be readily linkable by zip-code, Skilled Nursing Facility (SNF) provider ID, county, and state to numerous HRS-restricted files. It contains variables with consistent and intuitive naming conventions. There is one file for each of 3 levels of data: SNF facility, county, and state, with information for most variables spanning 2000-2010. Each file contains 1 observation per unit-level per year.

We are grateful for the continued support and funding from the NIA. We would also like to thank the HRS research team for their enthusiasm and support of this endeavor.

We look forward to receiving feedback on this first rollout of the HRS-LTCfocus restricted data module. All comments, questions, and suggestions can be sent to hrsquestions@umich.edu.

## **Contents**

## **Contents**

1.	Intr	oduction and Overview	5
	1.1	Confidentiality and Access Restrictions	5
	1.2 Da	ta Files Structure	5
	1.3	Variable naming conventions	6
	1.4	Missing Values	6
2	File	Components	7
	2.1 Da	ta Sources	7
	2.1.	1 Online Survey Certification And Reporting (OSCAR)	7
	2.1.	2 Minimum Data Set (MDS)	8
	2.1.	3 State Policy Data (SP)	8
	2.1.	4 Area Resource File (ARF)	9
	2.1.	5 Residential History File (RHF)	9
	2.2 LT	Cfocus files	10
3.	Distrib	ution and Technical Notes	17
	3.1 Us	ing the Data with Other HRS Files	18
	3.2 Str	ucture of Codebooks	18
Αį	opendi	ces	21
	Appen	dix 1. Nursing Facility Level Codebook	22
	Appen	dix 2. County Level Codebook	61
	Appen	dix 3. State Level Codebook	100
	Appen	dix 4. Variable Missingness	132
	Tab	le A4.1 Facility Level – Type of Missingness Per Variable Across All Available Years of Data	132
	Tab	le A4.2 Facility Level – Overall Missingness Per Variable by Year	135
	Tab	le A4.3 County Level – Type of Missingness Per Variable Across All Available Years of Data	139
	Tab	le A4.4 County Level – Overall Missingness Per Variable by Year	142
	Tab	le A4.5 State Level – Type of Missingness Per Variable Across All Available Years of Data	146
	Tab	le A4.6 State Level – Overall Missingness Per Variable by Year	149

#### 1. Introduction and Overview

This report documents the HRS-LTCfocus data files - nursing facility, county and state level files for the period 2000 to 2010 that detail the health and functional status of NH residents, the characteristics of NHs, the state policies relevant to long-term care services and financing, and the characteristics of markets in which NHs exist. There is one file for each level of data with information for most variables spanning 2000-2010. Each file contains 1 observation per unit-level per year.

Data are included for all Medicare and Medicaid certified facilities in the 48 continental states and Hawaii, not all of which will be represented in the HRS file. Alaska and Washington, DC are excluded due to sample size limitations. County level data are included only for those counties with at least one NH.

The datafiles are designed to be used in combination with other HRS-restricted files that contain nursing facility, zip-code, county, and state level IDs. Once merged to these restricted files, they are then fully-compatible with and linkable to the public use data files.

## 1.1 Confidentiality and Access Restrictions

The data described in this document are at the nursing facility, county, and state levels. While the information contained in the stand-alone files is not sensitive, when linked to individual HRS respondents it becomes so. Therefore, the data are only available under terms of a formal agreement negotiated between the researcher and HRS. Researchers can go to the Restricted Data Overview page of the HRS website (hrsonline.isr.umich.edu) for an explanation of the application process.

#### 1.2 Data Files Structure

The HRS-LTCfocus data are distributed in three separate files which include one observation per year per unit of data. During the application process, the researcher may request to receive any or all of these three files. The earliest year of data in LTCfocus is 2000, and therefore they should not be used to describe market characteristics for any earlier survey wave. Researchers must also be careful when using the data in conjunction with the 2000 HRS survey, keeping in mind that many of the questions that ask about nursing home use in particular refer to the 2-year period prior to the survey.

The HRS-LTCfocus datafiles are distributed in SAS, Stata, and SPSS formats.

## 1.3 Variable naming conventions

With few exceptions, variable names in the HRS-LTCfocus datafiles follow a consistent pattern. All variables are given a suffix depending on the level of data. See Table 1 for suffix names. When a particular piece of information is aggregated at all three levels, the root name of the variable remains the same across files.

**Table 1. Variable Suffixes** 

File level	Suffix
(Nursing facility level	_fac
County level	_cty
State level	_sta

## 1.4 Missing Values

Variables come from many sources and may contain missing values for a number of reasons. Because much of the data are aggregated from CMS data, which are covered under the strict terms of a data use agreement, the most prevalent reason that a facility will be missing information on an item is to adhere to CMS's cell suppression policy. This policy stipulates that no cell (e.g. admittances, discharges, patients, services) 10 or less may be displayed. Also, no use of percentages or other mathematical formulas may be used if they result in the display of a cell 10 or less. Therefore, in these data, if either the numerator or the denominator was less than or equal to 10 after aggregating, the result was set to missing. Because this is done after aggregating, facilities whose values were set to missing in the facility-level file can still contribute to the measure at the county and state levels.

In the codebooks found in Appendices 1, 2 and 3, missingness due to general item non-response or unavailability for a given year is denoted with an '.' (or '' for string variables). Missingness due to cell suppression is denoted with '.\*'. In the datafiles themselves, missingness due to cell suppression is coded differently depending on the statistical package used. See Table 2.

**Table 2. Coded Missingness in Data** 

Statistical Package	String variables	Numeric variables	
		Cell Suppression	Other
SAS	blank	.L	
SPSS	blank	-9	
Stata	blank	.L	

Appendix 4 provides summary tables of the level of missingness per item, overall and by calendar year. These tables can be useful in determining the feasibility of a study given data availability. Note that 9 of the 80 facility measures, for example, are missing information for more than 50% of study observations across all years. Those items should be used with caution.

## 2 File Components

#### 2.1 Data Sources

The variables in LTCfocus were developed from several sources. Descriptions of each follow. Each variable label includes the source of the data.

#### 2.1.1 Online Survey Certification And Reporting (OSCAR)

OSCAR data are administrative data collected by state survey agencies during nursing facility annual certification inspections. The OSCAR data are maintained by the Centers for Medicare and Medicaid Services (CMS). Inspection surveys generally occur at least once every 15 months and all data gathered during inspections are compiled in the OSCAR database. The OSCAR database includes data on nursing home organizational characteristics, aggregate resident characteristics, staffing, and quality deficiencies identified during inspections.

Because each facility is not assessed every 12 months, there are some without a survey in a given calendar year. Therefore, yearly estimates are derived from the closest survey within 6 months of the given year of interest (before or after). The date of the survey is provided in the file for reference. Note that for some facilities, information for adjacent years are taken from a

single survey. Facilities that do not have a survey within the given timeframe have missing information for the year.

#### 2.1.2 Minimum Data Set (MDS)

MDS data are resident level data related to resident clinical and functional status. The MDS is collected for every nursing home resident upon admission and at least quarterly thereafter. It is also collected whenever there is a change in residents' overall status. Data include the residents' diagnoses, treatments, medications, activities of daily living (ADL), and mood/behaviors.

Nursing homes submit MDS assessments to their state. CMS, in turn, collects all assessments from the state into a national repository. The LTCfocus data used here spans 2000 – 2010 and therefore used MDS version 2.0. The MDS transitioned to version 3.0 in October 2010. The two versions are not compatible across many items. In addition, data quality dropped for the last quarter of data collected under version 2.0, spanning July to October 2010. Therefore, MDS measures provided for facilities in 2010 reflect data collected in the first half of the year extrapolated to the entire year.

MDS data have been aggregated to the nursing facility, county, and state levels. We have created two forms of MDS aggregates for this purpose: incidence measures are based on all admissions in the facility, county, or state in each calendar year; and prevalence measures are based on all residents in the facility, county, or state on the first Thursday in April. Research has shown that the nursing home population fluctuates both by season during the year and by day of the week. The nursing home population is highest during the winter months and lowest during the summer months. In addition, we have found that nursing home admissions and discharges fluctuate during each week, with the greatest number of admissions occurring on Mondays and the greatest number of discharges occurring on Fridays. We sought to avoid these issues by calculating all MDS prevalence measures based on the nursing home population on the first Thursday in April each year.

#### 2.1.3 State Policy Data (SP)

Beginning in early 2002, our research team at Brown University's Center for Gerontology and Health Care Research developed and implemented a protocol for collecting data about state policies from Medicaid officials. Building on the State Medicaid policy book assembled by Charlene Harrington and colleagues, the survey gathers information on states' Medicaid policies, payment rates, reimbursement methodology, and bed hold policies, among others. Information is available from 2000-2009.

#### 2.1.4 Area Resource File (ARF)

The ARF is a national county level health resources database maintained by the Health Resources and Services Administration (HSRA). It contains data about the health professionals and facilities in each county. The ARF is available annually and contains data gathered from the Census Bureau, CMS, and the Bureau of Labor Statistics, among others.

#### 2.1.5 Residential History File (RHF)

The RHF is a data resource developed at the Brown University Center for Gerontology and Healthcare Research. It is built using Medicare enrollment data, Medicare claims, and MDS data. It can be used to track individuals as they move through the long-term care system, including between different care settings and different care types (e.g., hospice).

The goal of the RHF is to create a per-person chronological history of health service utilization and location of care within a pre-specified calendar (e.g., throughout a calendar year). The first step of the algorithm assigns utilizations/locations to days in a calendar. Depending upon the type of claim, the basic information from a claim is the location of care (hospital, nursing home, emergency room or observation days, and home) and type of provider (e.g. free-standing, hospital based, or swing bed). The sequence of data entered into the calendar is determined by a hierarchy formed according to our trust in the reliability of the claim, and the type of information it provides. Inpatient claims are first filled into dates of the calendar followed by days marking emergency department (ER) and observation days paid as outpatient claims. Next Skilled Nursing Facility (SNF) claims are entered onto days, followed by outpatient claims for skilled nursing service in a nursing home, and lastly home health claims are filled into days. The above claims are location specific. Hospice claims, on the other hand, are not location specific, since hospice can be provided in community or institutional settings; therefore episodelets of any type that overlap a period of hospice services will be called hospice in that location. In particular there are nursing home and community hospice episodelets. Once the calendar is filled by all information obtained from claims (except for hospice), the remaining non-filled days are referred to as gap days. During gap days individuals may have received continued, non-SNF covered nursing home care, or were in an assisted living facility, receiving other services not paid by Medicare, or are at a non-institutional setting. At this point we use MDS assessments to infer probable periods of time of nursing home care. MDS assessments are conducted according to a CMS mandated schedule. Admission assessments are required within two weeks of admission, quarterly assessments around 90 days, and annual assessments are required each calendar year, around the time of the closest designated quarterly assessment. Discharge tracking assessments are required by CMS and may be used to determine date of nursing home discharge when they are present. Using the regulation schedule we can infer periods of nursing

home care within gap periods. For example, quarterly assessments may be used to project nursing home care between two MDS assessments (not to exceed 120 days) or between an MDS assessment date and the end of the preceding episodelet. MDS assessments within gap episodes can project nursing home care following the assessment date if the gap time from the assessment leads into an inpatient episodelet within 90 days. Additionally, since MDS assessments must be conducted within 14 days of nursing home admission, we consider any gap days during the 14 days prior to a MDS admission assessments to be nursing home stay days. Consecutive days with the same utilization are aggregated into episodelets of care. <sup>1</sup>

#### 2.2 LTCfocus files

Data are provided at three different levels in separate datasets: nursing facility, county, and state levels. Each file contains information derived from all Medicare and Medicaid certified facilities in the United States excluding Alaska and Washington, DC. Table 2 provides a description of the items available and the files in which they can be found. More detailed descriptions of each variable can be found in level-specific codebooks in the Appendix.

**Table 2. Availability of LTCfocus Variables Across Levels** 

Variable Group	Variable Name (excluding suffix)	Data Source	Data Source Short Description Pres		sence in Fi	le
				Nursing facility	County	State
Identification <sup>2</sup>						
	county	OSCAR	Facility County	Х	х	
	nhlat	Brown University	Nursing facility latitude.	×		
	nhlong	Brown University	Nursing facility longitude.	×		
-	prov0475	OSCAR	Facility Name	х		
	prov1680	OSCAR	ID number assigned to the facility by CMS.	Х		
-	<del>prov2720</del>	OSCAR	Facility Address	×		
	prov2905	OSCAR	Facility Zipcode	Х		
	prov3225	OSCAR	Facility City	Х		
	state	OSCAR	Facility State	Х	х	Х
	year		Calendar Year	Х	х	Х
Acuity (all admi	ssions)					

<sup>&</sup>lt;sup>1</sup> For a complete overview of RHF methodology see: Intrator O, Hiris J, Berg K, Miller SC, Mor V (2011). The Residential History File: Studying Nursing Home Residents' Long-Term Care Histories. *Health Services Research.* 46(1p1): 120-137.

10

<sup>&</sup>lt;sup>2</sup> Note: Variables nhlat, nhlong and prov2720 have been removed from the facilities dataset available through the MiCDA Enclave

	agg_adl	MDS	The average Activities of Daily Living (ADL) score for all residents admitted during the calendar year. Range is from 0 to 28. 0 indicates completely independent and 28 completely dependent.	х		
	agg_cmi	MDS	The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents admitted during the calendar year.	х	х	х
	agg_cps	MDS	Proportion of residents admitted during the calendar year with a Cognitive Performance Scale (CPS) score of 5 or 6 (severe cognitive impairment).	х		
	agg_dnr	MDS	Proportion of residents admitted during the calendar year with a Do Not Resuscitate order.	х		
	agg_low_care	MDS	Proportion of residents admitted during the calendar year who were low care, according to the broad definition.	х	х	х
	agg_nh	MDS	Proportion of residents admitted during the calendar year who had had a prior nursing home stay.	х		
Acuity (preva	alence)					
	avgadl	MDS	The average Activities of Daily Living (ADL) score for all residents present on the 1st Thursday in April.	х	х	х
	avgrugcmi	MDS	The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents present on the 1st Thursday in April.	х	х	Х
	avgrxnum	MDS	Average number of medications in the past 7 days per resident present on the 1st Thursday in April.	х	х	х

pctbed	ft MDS	Proportion of residents present on the 1st Thursday in April who are bedfast.	х	х	x
pctcath	n MDS	Proportion of residents present on the 1st Thursday in April who have a catheter.	х	х	х
pctchf	MDS	Proportion of residents present on the 1st Thursday in April who have congestive heart failure.	х	х	х
pctdnh	MDS	Proportion of residents present on the 1st Thursday in April who are Do Not Hospitalize.	Х	х	х
pctdnr	MDS	Proportion of residents present on the 1st Thursday in April who are Do Not Resuscitate.	х	х	х
pcthigh	ncps MDS	Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 5 or 6 (severe cognitive impairment).	х	х	х
pctlow	cps MDS	Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 0, 1, or 2 (low cognitive impairment).	x	Х	х
pcthyp	er MDS	Proportion of residents present on the 1st Thursday in April with hypertension.	х	х	х
pctinco	ont_bladr MDS	Proportion of residents present on the 1st Thursday in April who are bladder incontinent.	х	х	х
pctinco	ont_bowel MDS	Proportion of residents present on the 1st Thursday in April who are bowel incontinent.	х	х	Х
pctloca	are MDS	Proportion of residents present on the 1st Thursday in April who were low care, according to the broad definition.	Х	х	х
pctobe	se MDS	Proportion of residents present on the 1st Thursday in April who had a body mass index (BMI) of 35 or higher.	Х	х	Х
pctrxde	ep MDS	Proportion of residents in the facility on the 1st Thursday in April receiving antidepressants.	Х	х	х
pctrxps	sych MDS	Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics.	х	Х	х
pctrxps	syoff MDS	Proportion of residents in the facility receiving antipsychotics off-label.	х	Х	х

	pctschiz_bipol	MDS	Proportion of residents present on the 1st Thursday in April with Schizophrenia or Bi-polar Disorder.	X	x	Х
	pctuti	MDS	Proportion of residents present in the county on the 1st Thursday in April with a urinary tract infection.	х	х	х
	pctvent	MDS	Proportion of residents present on the 1st Thursday in April who are on a ventilator.	x	х	Х
	pctwalking	MDS	Proportion of residents present on the 1st Thursday in April who can walk in corridor.	х	х	Х
Demographics	(all admissions)					
	agg_female	MDS	Proportion of residents admitted during the calendar year who were female.	Х	Х	х
	agg_home	MDS	Proportion of all admissions during the calendar year that were from home.	Х	х	Х
	agg_u65	MDS	Proportion of residents admitted during the calendar year who were below age 65.	х	х	х
	avgage	MDS	Average age of residents residents on the 1st Thursday in April.	х	х	Х
	agg_hosp	MDS	Proportion of all admissions during the calendar year that were from an acute care hospital.	х		
	agg_black	MDS	Proportion of residents admitted during the calendar year who were Black.	Х	х	Х
	agg_hispanic	MDS	Proportion of residents admitted during the calendar year who were Hispanic.	Х	х	Х
	agg_white	MDS	Proportion of residents admitted during the calendar year who were White.	Х	х	Х
Demographics	(prevalence)					
	pctfem	MDS	Proportion of residents present on the 1st Thursday in April who are female.	Х	х	х
	pctunder65	Residential History File	Proportion of residents present on 1st Thursday in April who are under 65 years old.	Х	Х	Х
	pctblack	MDS	Proportion of residents present on the 1st Thursday in April who are Black.	Х	х	х

pcthisp	MDS	Proportion of residents present on the 1st Thursday in April who are Hispanic.	Х	x	х
pctwhite	MDS	Proportion of residents present on the 1st Thursday in April who are White.	х	х	х

Facility Chara	cteristics					
	adefscore	OSCAR	Average weighted deficiency score (all deficiencies) for the facility.	Х		
	alzunit	OSCAR	The proportion of facilities that have an Alzheimer's SCU in the county.	Х	х	х
	anyunit	OSCAR	Indicates whether or not facility has any Special Care Unit (SCU) (excluding Ventilator Units).	Х		
	facpoor	OSCAR	Proportion of facilities in the county considered low-resource based on resident payer mix	Х	х	х
	hospbase	OSCAR	Indicates whether or not facility is hospital-based	х		
	multifac	OSCAR	The proportion of facilities within the county that are part of chains.	x	х	х
	profit	OSCAR	The proportion of for-profit facilities within the county.	х	х	
	restrain	OSCAR	Average proportion of facility residents who were restrained across facilities in the county.	Х	Х	Х
	totbeds	OSCAR	Number of beds as reported on the annual OSCAR (imputed from previous year if missing or implausible)	Х	Х	Х
General			· · · · · · · · · · · · · · · · · · ·			
	nresid	Residential History File	Number of nursing home residents	х	Х	х
	srvydate	OSCAR	OSCAR Survey Date	х		
Insurance Coverage						
	paymcaid	OSCAR	Proportion of facility residents whose primary support is Medicaid averaged across all facilities in the county.	х	х	x
	paymcare	OSCAR	Proportion of facility residents whose primary support is Medicare averaged across all	Х	Х	Х

## facilities in the county.

	pctHMO	Residential History File	Proportion of residents present on the 1st Thursday in April	Х	х	Х
		, , , , , , , , , , , , , , , , , , , ,	who were covered by a			
			Medicare HMO (Health			
			Maintenance Organization).			
Market Availability						
	la_hbedstot_000e	Area	Number of hospital beds in the		х	
		Resource File	county for every 1000 persons			
			age 65 or older.			
	la_hha000e	Area	Number of home health		Х	
		Resource File	agencies in the county for every			
			1000 persons age 65 or older.			
	la_medmcpen	Area	Medicare managed care		Х	
		Resource File	organization penetration rate.			
	la_nursall_000e	Area	Number of nurses (RNs & LPNs)		Х	
		Resource File	in the county for every 1000			
			persons age 65 or older.			
Saturation						
	occpct	OSCAR	Number of occupied beds in	Х	Х	Х
			facility divided by the total			
			number of beds and aggregated			
			to the county level.			
	adm_bed	MDS	Number of admissions divided	Х		
			by total number of beds.			
	agg_adm	MDS, OSCAR	Total number of nursing home	Х	Х	Х
			admissions in the year			
	la_avgempbed	OSCAR	Number of empty nursing home		Х	
			beds in the county divided by			
			the number of nursing homes in			
			the county.			
	la_herfbeds	OSCAR	Measure of nursing home		Х	
			concentration/competition in			
			the county ranging from 0 to 1.			
			The closer to 1, the closer the			
			county is to having a monopoly			
			in nursing home beds.			
Service Utiliza	tion					
	hospptyr	Residential	Number of hospitalizations	х	Х	Х
		History File	during the calendar year for			
			every 365 nursing home			
			resident days in a facility			
			aggregated to the county level.			
	nhdays	Residential	Total Nursing Home days for	х		Х
		History File	the facility.			
	pctlshosp	Residential	Percent quarter 2 long-stay	Х	Х	Х
		History File	residents that were hospitalized			
		, -	•			

	pctnhdayshospice	Residential	Proportion of all nursing home	x	х	х
		History File	days during the calendar year			
			that were hospice.			
	pctNHdaysSNF	Residential	Proportion of all nursing home	X	Х	Х
		History File	days during the calendar year			
			that were SNF (skilled nursing			
			facility) Medicare covered days.			
	rehosp	Residential	30-day rehospitalization rate	Х	Х	Х
a. 60		History File				
Staffing						
	anymdex	OSCAR	Indicates the presence of any	Х		
			Nurse Practioners or Physician			
			Assistants in the facility.			
	cnahrppd	OSCAR	The average CNA hours per	X	Х	Х
			resident day among all facilities			
			in the county.			
	dchrppd	OSCAR	The number of direct-care staff	Х	Х	Х
			hours per resident day within			
			facility averaged across facilities			
			in the county.			
	lpnhrppd	OSCAR	The number of LPN hours per	Х	Х	Х
			resident day within facility			
			averaged across facilities in the			
			county.			
	rn2nrs	OSCAR	Ratio of number of RN FTEs	Х		
			divided by number of RN FTEs			
	1 1	00045	plus LPN FTEs			
	rnhrppd	OSCAR	RN hours per resident day; For	Х	Х	Х
			county and state this is the			
			average RN HPRD among all			
Ctata Dalia.			facilities in the county.			
State Policy						
	adj_mrate	Brown	Rate of Medicaid spending to			Х
		University	total Medicaid days in nursing			
		State Policy	homes for the state.			
	1 11 11	Survey				
	bedhold	Brown	Indicates whether or not state			Х
		University	has a Medicaid Bed Hold			
		State Policy	payment.			
		Survey	Indicates whether or not state			
	casemix	Brown	has a case mix reimbursement			Х
		University State Policy				
		State Policy	system.			
	nthru	Survey Brown	Indicates whether or not state			.,
	pthru					Х
		University State Policy	has a Medicaid wage pass-			
		State Policy	through policy.			
	tax	Survey Brown	Indicates whether or not the			х
	ιαλ	University	state collects a daily bed or			Α.
		State Policy	resident tax.			
		State Fully	resident tax.			

Table 3 provides summary information for each datafile.

**Table 3. Number of Variables and Observations** 

·	Datafile				
	Nursing facility	County	State		
Number of variables	80	67	64		
Number of observations					
2000	16,964	2,944	49		
2001	16,779	2,942	49		
2002	16,555	2,937	49		
2003	16,367	2,937	49		
2004	16,181	2,933	49		
2005	16,048	2,932	49		
2006	15,941	2,929	49		
2007	15,876	2,927	49		
2008	15,800	2,923	49		
2009	15,750	2,916	49		
2010	15,726	2,916	49		
Total	177,987	32,236	539		

## 3. Distribution and Technical Notes<sup>3</sup>

The HRS-LTCfocus data are distributed with the following:

- Documentation: an electronic version of this document
- SAS files (one set per level of data)
  - o Raw ASCII datafile (.dat)
  - o SAS program to create a SAS datafile
- Stata files (one set per level of data)
  - o Raw ASCII datafile (.dat)
  - o Stata program (.do) and dictionary (.dct) files to create a Stata datafile
- SPSS files (one set per level of data)
  - o Raw ASCII datafile (.dat)
  - o SPSS program (.sps) to create an SPSS datafile

 $<sup>^{\</sup>rm 3}$  In the MiCDA Enclave, the HRS-LTC focus data are stored in system file format.

Note: User must edit the paths found in the program code to point to their own file locations.

## 3.1 Using the Data with Other HRS Files

The identifiers needed to link the LTCfocus files to an HRS respondent (nursing facility, county, or state ID) are all restricted items according to HRS and so it not possible to link the files directly to the HRS. Rather, LTCfocus data are meant to accompany other HRS-restricted files, and therefore can only be used in combination with at least one other restricted file.

Refer to the list of Restricted Data Products on the HRS website. All HRS Medicare claims data are able to link directly to the LTCfocus data at the state and county levels. In addition, Skilled Nursing Facility (SNF) claims, nursing home (aka, MDS) data, and the SNF portion of the MedPAR file can link directly to the LTCfocus data at the nursing facility level. With permission from the HRS team, any other restricted files that contain zipcode, county, or state codes can be linked to these LTCfocus files. Researchers should work with the HRS team to see what is allowable.

#### **Zipcode, State and County merging**

The LTCfocus and Medicare restricted data, for example, should be merged on both ID variable and year to get the most accurate link. When not directly available, year can be calculated by using dates found in the restricted files. Types of dates that can be used are claim start and end dates and admission and discharge dates. Researchers will need to rename the LTCfocus linking variables (e.g., county, state, year, etc.) to be compatible with the names in the files with which they wish to link. NOTE that counties are only specific within state, and so county-level files must be merged by state and county in addition to year.

#### **Nursing facility merging**

The Skilled Nursing Facility file, the MDS, and the MedPAR files can be merged to the State, County and nursing facility files. Merging on state and county can be done in the same way as described above. Merging to the facility level file involves an additional step. The provider number found in the HRS-restricted files must be mapped to the provider (i.e., facility) number (prov1680) in the LTCfocus facility file.

The nursing facility LTCfocus file can also be merged to other restricted data using zip-code, county, or state.

#### 3.2 Structure of Codebooks

The codebooks were generated using Stata. There is one codebook for each level of data. Each can be found in an Appendix at the end of this document. Within the codebooks there are two

main types of display corresponding to string and numeric variables. We have pasted examples of each below. The circled numbers correspond to comments below the entries.

#### String variable

1 PROV1680 2 Provider Number

type: string (str6)

unique values: 20001

5 missing "": 0/177987

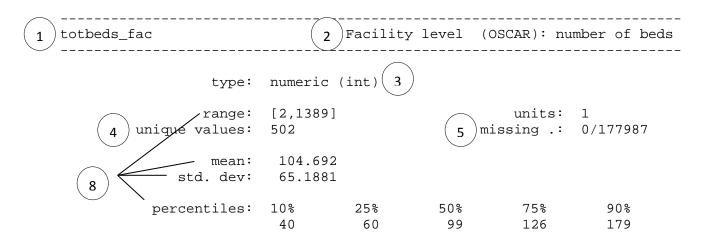
examples: "145447"

"225518"

"335769" **7** 

- PROV1680:
  - 1. variable group: NA
  - 2. short description: ID number assigned to the facility by CMS
  - 3. long description: ID number assigned to the facility by CMS

#### Numeric variable



- 6 totbeds\_fac:
  - 1. variable group: Facility Characteristics
  - 2. Short Description: Number of beds as reported on the annual OSCAR (imputed from previous year if missing or implausible)
  - 3. Long Description: A two step process is used to create the total number of beds. Some facilities report the number of available beds instead of the number of Medicare/Medicaid certified beds. Therefore, if the number of residents is less than or equal to the number of certified beds, we use the number of certified beds as the total beds. If, however, the number of residents is greater than the number of certified beds we use the number of available beds for total beds. This is done to keep the number of beds and all staffing related variables consistent because if a facility is reporting

available beds they are also most likely reporting staffing related to available beds. We further clean the total beds data by determining if the number of beds in a facility is consistent over time. If the number of beds is missing in the current survey, or implausible based on previous years' data, we impute totbeds based on previous values. If the number of beds is missing in the current survey, or implausible based on previous years' data, we impute totbeds based on previous values.

- 1. Variable name when a variable is included in all three levels of data, the root will be the same, and the suffix will be either \_fac, \_cty, or \_sta.
- 2. Variable label Apart from the basic identifiers, the labels include the level of the data as well as the source of the information in parentheses.
- 3. Variable type
  - a. String variables include the length of the variable (e.g., str5 indicates a string variable with a length of 5)
  - b. Numeric variables can be integers (int), byte, double, or long
- 4. Reports the number of unique values in the variable
- 5. Demonstrates how missingness is assigned. Generally it is a blank space ("") in a string variable and a period "." in a numeric variable. Some variables also include a sub-type of missing, coded as .L or -9 in the data itself and summarized as .\* in the codebooks found in this manual to indicate missing due to CMS cell suppression.
- 6. For each variable, as applicable, there are up to 3 notes. They include the variable group and short descriptions that were provided in Table 2 as well as a long description.
- 7. For string variables, examples of the information found in the variable are provided. Each unique value is NOT listed.
- 8. For numeric variables, summary information is included. For most numeric variables (int, byte, long), the range, mean, standard deviation, and percentiles are provided. For numeric byte variables the mean, standard deviation and percentiles are replaced with a tabulation of the count of observations within each category.

## **Appendices**

NH level codebook

County level codebook

State level codebook

<u>Summary Tables – Missingness</u>

## **Appendix 1. Nursing Facility Level Codebook**

Number of variables: 80

Number of observations: 177,987

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county FIPS County code

\_\_\_\_\_\_

type: string (str3)

unique values: 293 missing "": 72/177,987

examples: "023"

"049" "086" "129"

\_\_\_\_\_\_

nhlat

Nursing Facility level (Brown University): Nursing home latitude

------

type: numeric (double)

range: [19.202955,76.42113] units: 1.000e-08 unique values: 17,925 missing .: 24/177,987

mean: 38.2289 std. dev: 4.84601

percentiles: 10% 25% 50% 75% 90% 31.4508 34.5544 39.2584 41.6626 43.5436

#### nhlat:

- 1. Variable Group: Identification
- 2. Short Description: Nursing facility latitude.
- 3. Long Description: Facility addresses are from OSCAR and then Brown University's Population Studies and Training Center (PSTC) used ARC-GIS for geocoding. For the few hundreds that came back without a geocoding (e.g they used a PO Box or something like "route 1" with no number) we called them by phone and used Google Earth while on the phone to figure out the exact building and dropped a pin on it to get the latitude and longitude.

\_\_\_\_\_\_

nhlong

Nursing Facility level (Brown University): Nursing home longitude

------

type: numeric (double)

range: [-159.67342,-66.987031] units: 1.000e-08 unique values: 17,935 missing .: 24/177,987

mean: -90.6308

std. dev: 14.2015

percentiles: 10% 25% 50% 75% 90% -117.253 -96.6525 -87.8981 -80.8381 -74.3092

#### nhlong:

- 1. Variable Group: Identification
- 2. Short Description: Nursing facility longitude.
- 3. Long Description: Facility addresses are from OSCAR and then Brown University's Population Studies and Training Center (PSTC) used ARC-GIS for geocoding. For the few hundreds that came back without a geocoding (e.g they used a PO Box or something like "route 1" with no number) we called them by phone and used Google Earth while on the phone to figure out the exact building and dropped a pin on it to get the latitude and longitude.

prov0475 OSCAR: Facility Name

-----

type: string (strL)

unique values: 36,982 missing "": 0/177,987

examples: "COUNTRY VILLA UNIVERSITY PARK"

"HICKORY CREEK AT FRANKLIN"

"MONTCLAIR MANOR CONVALESCENT HOSPITAL"

"SHORELAND HLTH CARE & RETIREME"

warning: variable has embedded blanks

#### prov0475:

Variable Group: Identification
 Short Description: Facility Name

3. Long Description : Facility name as reported on OSCAR survey.

000NP: Number Frailite Number

prov1680 OSCAR: Nursing Facility Number

type: string (str6)

unique values: 20,001 missing "": 0/177,987

examples: "145447" "225518" "335769"

"445160"

#### prov1680:

1. Variable Group: Identification

- 2. Short Description: ID number assigned to the facility by CMS.
- 3. Long Description: Current Medicare Provider Number, as assigned by CMS.

prov2720 OSCAR: Street Address

type: string (strL)

unique values: 31,518 missing "": 1/177,987

examples: "14518 E. LOS ANGELES ST."

"2370 HARBOR BLVD"

"4033 SIXTH AVENUE EXT"
"6901 NORTH 72ND STREET"

warning: variable has leading and embedded blanks

#### prov2720:

Variable Group : Identification
 Short Description : Facility Address

3. Long Description : Facility address as reported on OSCAR survey.

-----

prov2905 OSCAR: Zip Code

\_\_\_\_\_\_

type: string (str5)

unique values: 10,301 missing "": 0/177,987

examples: "23602" "43950"

"59845" "76201"

#### prov2905:

Variable Group: Identification
 Short Description: Facility Zipcode

3. Long Description: Facility zipcode as reported on OSCAR survey.

\_\_\_\_\_

prov3225 OSCAR: City

type: string (str22)

unique values: 5,746 missing "": 0/177,987

examples: "COOS BAY"

"HONESDALE"
"MOUND CITY"
"SAN BERNARDINO"

warning: variable has embedded blanks

#### prov3225:

Variable Group: Identification
 Short Description: Facility City

3. Long Description: Facility city as reported on OSCAR survey.

state State Abbreviation

type: string (str2)

unique values: 49 missing "": 0/177,987

examples: "GA"

"MA" " N.T " "PA"

state:

1. Variable Group: Identification 2. Short Description : Facility State 3. Long Description : Facility State

Year of data year \_\_\_\_\_\_

type: numeric (int)

range: [2000,2010] units: 1

unique values: 11 missing .: 0/177,987

mean: 2004.92 std. dev: 3.17033

25% 50% 75% 90% percentiles: 10% 2002 2005 2008 2009

2001

year:

1. Variable Group: Identification 2. Short Description : Calendar year 3. Long Description : Calendar year

type: numeric (double)

units: 1.000e-10 range: [0,28] missing .: 950/177,987 unique values: 89,031 unique mv codes: 2 missing .\*: 3,658/177,987

> mean: 15.4666 std. dev: 3.1057

percentiles: 10% 25% 50% 75% 11.8049 13.7903 15.7107 17.439 18.9297

#### agg adl fac:

- 1. Variable Group : Acuity (all admissions)
- 2. Short Description: The average Activities of Daily Living (ADL) score for all residents admitted during the calendar year. Range is from 0 to 28. 0 indicates completely independent and 28 completely dependent.

3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Individual scores were calculated from the Physical Functioning Self performance section of the MDS (section G,A), which measures an individual's independence on 7 ADLs - bed mobility, transfer, locomotion on unit, dressing, eating, toilet use, and personal hygiene. If personal hygiene was missing on the MDS assessment, the score for dressing was used twice. Each ADL is scored from 0-4, with 0 indicating total independence in that ADL and 4 indicating total dependence in that ADL. All individuals scores were then averaged.

-----

type: numeric (double)

range: [.46,1.7] units: 1.000e-11 unique values: 138,415 missing .: 1,021/177,987 unique mv codes: 2 missing .\*: 3,658/177,987

mean: 1.02661 std. dev: .127177

percentiles: 10% 25% 50% 75% 90% .858182 .971615 1.04654 1.10583 1.15849

## agg\_cmi\_fac:

- 1. Variable Group : Acuity (all admissions)
- 2. Short Description: The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents admitted during the calendar year.
- 3. Long Description: Aggregated at the facility level, the average Nursing Case Mix Index (NCMI) was calculated by applying the Resource Utilization Groups version III (RUG-III) resident classification system currently used by CMS to adjust Medicare payments in recognition of resident acuity. This system classifies residents into homogeneous categories based on their estimated resource utilization. Associated with each of these categories is a case-mix index or weight, which approximates the relative staff time associated with caring for the average resident in each group. Thus, the higher the NCMI score, the more severe the average acuity profile of the residents in a facility. The resident-level NCMI was calculated in two steps. First, the RUG-III 5.12 code (44 categories in total) was used to generate a RUG classification for each resident. Second, the RUG code was converted into a NCMI value following the CMS proposed rule regarding fiscal year 2004 Skilled Nursing Facility (SNF) payment policies (Centers for Medicare & Medicaid Services 2003).

agg\_cps\_fac Nursing Facility level (MDS): Percent CPS=5,6 (all admits)

agg\_cps\_rac Marsing ractiffy level (MDS). refeeled ers=3,0 (arr admits)

type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 16,038 missing .: 950/177,987

unique mv codes: 2 missing .\*: 90,219/177,987

mean: 12.6131 std. dev: 10.7917

percentiles: 10% 25% 50% 75% 90% 0 5.67686 10.5263 17.1717 25.2941

#### agg\_cps\_fac:

1. Variable Group : Acuity (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year with a Cognitive Performance Scale (CPS) score of 5 or 6 (severe cognitive impairment).
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's Cognitive Performance Scale (CPS) score was calculated from the admission MDS assessment and the proportion of individuals with a CPS score of 5 or 6 was recorded.

\_\_\_\_\_\_

#### agg\_dnr\_fac

Nursing Facility level (MDS): Percent with Do-Not-Resuscitate order (all admits)

\_\_\_\_\_

type: numeric (double)

range: [0,100] units: 1.000e-09 unique values: 30,668 missing .: 950/177,987 unique mv codes: 2 missing .\*: 23,984/177,987

mean: 42.8729 std. dev: 22.745

percentiles: 10% 25% 50% 75% 90% 13.3333 24.6753 41.6058 59.6491 74.5223

#### agg\_dnr\_fac:

- 1. Variable Group : Acuity (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year with a Do Not Resuscitate order.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about whether an individual had a Do Not Resuscitate (DNR) order was gathered from MDS using Section A: Identification and Background Information, Question 10b: Advanced directives, DNR. The proportion of individuals admitted with a DNR was then calculated.

type: numeric (double)

range: [0,100] units: 1.000e-09 unique values: 7,482 missing .: 950/177,987 unique mv codes: 2 missing .\*: 126,564/177,987 mean: 7.74613 std. dev: 14.0989

percentiles: 10% 25% 50% 75% 90% 0 0 10.1266 21.5385

#### agg\_low\_care\_fac:

- 1. Variable Group : Acuity (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were low care, according to the broad definition.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. The 'broad' definition of low-care status is met if a resident does not require physical assistance in any of the four late-loss ADLs-bed mobility, transferring, using the toilet, and eating-and is not classified in either the 'Special Rehab' or 'Clinically Complex' Resource Utilization Group (RUG-III). This was then averaged to the facility level.

agg\_nh\_fac Nursing Facility level (MDS): Percent any prior NH stay (all admits)

type: numeric (double)

range: [0,100] units: 1.000e-09 unique values: 24,834 missing .: 963/177,987 unique mv codes: 2 missing .\*: 23,715/177,987

> mean: 34.5145 std.dev: 13.6706

percentiles: 10% 25% 50% 75% 90% 18.2143 25.1462 33.3333 42.5676 51.8987

#### agg\_nh\_fac:

- 1. Variable Group : Acuity (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who had had a prior nursing home stay.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about whether an individual had had a prior nursing home stay was gathered from MDS using Section AB: Demographic Information, Question 5: Residential Histor 5 Years Prior to Entry, yes to either a: Prior stay at this nursing home, or b: Stay in other nursing home. The proportion of individuals with prior nursing home stays was then calculated within each facility.

avgadl\_fac Nursing Facility level (MDS): Long ADL (prevalence)

type: numeric (double)

range: [0,28] units: .01

mean: 15.6782 std. dev: 3.20432

10% 50% 25% 75% percentiles: 90% 11.91 13.85 15.84 17.71 19.33

#### avgadl fac:

1. Variable Group : Acuity (prevalence)

2. Short Description: The average Activities of Daily Living (ADL) score for all residents present on the 1st Thursday in April.

3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Individual scores were calculated from the Physical Functioning Self performance section of the MDS using Section G: Physical Functioning and Structural Problems, Question A: ADL self-performance. This score measures an individual's independence on 7 ADLs - bed mobility, transfer, locomotion on unit, dressing, eating, toilet use, and personal hygiene. If personal hygiene was missing on the MDS assessment, the score for dressing was used twice. Each ADL is scored from 0-4, with 0 indicating total independence in that ADL and 4 indicating total dependence in that ADL. The ADL score range is from 0 to 28, where 0 indicates completely independent and 28 completely dependent. All individuals' scores were then averaged.

\_\_\_\_\_\_

type: numeric (double)

units: .0001 range: [.4629,1.6285]

unics. ...\_ missing .: 2,147/177,987 unique values: 7,174 unique mv codes: 2 missing .\*: 8,692/177,987

> mean: .794407 std. dev: .096093

25% 50% .737 .7839 50% 10% 75% percentiles: 90% .8378 .8992 .6965

#### avgrugcmi fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Aggregated at the facility level, the average Nursing Case Mix Index (NCMI) was calculated by applying the Resource Utilization Groups version III (RUG-III) resident classification system currently used by CMS to adjust Medicare payments in recognition of resident acuity. This system classifies residents into homogeneous categories based on their estimated resource utilization. Associated with each of these categories is a case-mix index or weight, which approximates the relative staff time associated with caring for the average resident in each group. Thus, the higher the NCMI score, the more severe the average acuity profile of the

residents in a facility. The resident-level NCMI was calculated in two steps. First, the RUG-III 5.12 code (44 categories in total) was used to generate a RUG classification for each resident. Second, the RUG code was converted into an NCMI value following the CMS proposed rule regarding fiscal year 2004 Skilled Nursing Facility (SNF) payment policies (Centers for Medicare & Medicaid Services 2003).

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#### avgrxnum\_fac

Nursing Facility level (MDS): Average number of medications (prevalence)

type: numeric (double)

range: [0,21] units: .01

unique values: 1,416 missing .: 2,147/177,987 unique mv codes: 2 missing .\*: 8,692/177,987

mean: 9.88015 std. dev: 1.823

percentiles: 10% 25% 50% 75% 90% 7.56 8.61 9.85 11.11 12.2

#### avgrxnum fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Average number of medications in the past 7 days per resident present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. The number of different medications each individual received in the past 7 days was drawn from the most recent MDS assessment using Section 0: Medications, Question 1: Number of Medications used in last seven days. This measure was averaged for the facility level.

pctbedft\_fac Nursing Facility level (MDS): Percent Bed fast (prevalence)

type: numeric (double)

range: [0,100] units: .01

> mean: 4.11373 std. dev: 9.81386

percentiles: 10% 25% 50% 75% 90% 0 0 0 15.49

#### pctbedft\_fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bedfast.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in

April. Whether or not an individual was bedfast was drawn from the most recent MDS using Section G: Physical Functioning and Structural Problems, Question 6: Modes of Transfer, Bedfast all or most of the time. The facility level measure is the proportion of residents with a 'yes' (indicating the resident is bedfast).

\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

> mean: 8.2351 std. dev: 9.42261

percentiles: 10% 25% 50% 75% 90% 0 7.93 12.79 17.58

#### pctcath fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who have a catheter.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual had an indwelling catheter was drawn from the most recent MDS assessment using Section H: Continence in last 14 days, Question 3d: Appliances and Programs, Indwelling Catheter. The facility level measure is the proportion of residents who have a catheter.

pctchf\_fac Nursing Facility level (MDS): Percent CHF (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [0,94.44] units: .01

unique values: 3,694 missing .: 2,147/177,987
unique mv codes: 2 missing .\*: 65,531/177,987

mean: 22.6232 std. dev: 8.87182

percentiles: 10% 25% 50% 75% 90% 12.87 16.67 21.6 27.59 34.09

#### pctchf fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who have congestive heart failure.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual had congestive heart failure was drawn from

the most recent MDS assessment using Section I: Disease Diagnoses, Question 1f. Note that the MDS instructions state to check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death. The facility level measure if the proportion of residents with CHF.

-----

pctdnh fac

Nursing Facility level (MDS): Percent with a Do-Not-Hospitalize order

type: numeric (double)

range: [0,100] units: .01

unique values: 3,326 missing .: 2,147/177,987 unique mv codes: 2 missing .\*: 74,450/177,987

mean: 3.28201 std. dev: 10.1016

percentiles: 10% 25% 50% 75% 90% 0 0 13.33

#### pctdnh fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Do Not Hospitalize.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Each individual's Do Not Hospitalize (DNH) status was drawn from the most recent MDS admission or annual assessment using Section A: Identification and Background Information, Question 10c: Advanced directives, DNH. The facility level measure is the proportion of residents with a 'yes' (indicating the resident has a filed DNH order).

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#### pctdnr fac

Nursing Facility level (MDS): Percent with Do-Not-Resuscitate order (prevalence)

type: numeric (double)

range: [0,100] units: .01

mean: 60.8837 std. dev: 22.3789

percentiles: 10% 25% 50% 75% 90% 28.57 45 63.85 78.89 88.18

#### pctdnr fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Do Not Resuscitate.

3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Each individual's Do Not Resuscitate (DNR) status was drawn from the most recent MDS admission or annual assessment using Section A: Identification and Background Information, Question 10b: Advanced directives, DNR. The facility level measure is the proportion of residents with a 'yes' (indicating the resident has a filed DNR order).

-----

type: numeric (double)

range: [0,100] units: .01

> mean: 25.1289 std. dev: 12.8842

percentiles: 10% 25% 50% 75% 90% 12.37 16.88 23.08 31.2 40.6

#### pcthighcps fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 5 or 6 (severe cognitive impairment).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Each individual's Cognitive Performance Score (CPS) was calculated from the most recent MDS assessment and the proportion of residents with a CPS score of 5 or 6 was then calculated at the facility level.

pctlowcps\_fac Nursing Facility level (MDS): Percent CPS=0,1,2 (prevalence)

\_\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

> mean: 41.1943 std. dev: 14.2505

percentiles: 10% 25% 50% 75% 90% 24 31.31 40.21 50 59.47

#### pctlowcps\_fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 0, 1, or 2 (low cognitive impairment).
- 3. Long Description: The Residential History File was used to establish

facility population on the 1st Thursday in April. Each individual's Cognitive Performance Score (CPS) was calculated from the most recent MDS assessment and the proportion of residents with a CPS score of 0, 1, or 2 was then calculated at the facility level.

\_\_\_\_\_\_

pcthyper\_fac Nursing Facility level (MDS): Percent Hypertension (prevalence)

type: numeric (double)

range: [0,100] units: .01

> mean: 52.9203 std. dev: 13.2118

percentiles: 10% 25% 50% 75% 90% 35.92 43.75 52.94 62.26 70

#### pcthyper\_fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with hypertension.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual had hypertension was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, Question 1h. Note that the MDS instructions state 'check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death.' The proportion of residents with hypertension was then calculated at the facility level.

\_\_\_\_\_

#### pctincont\_bladr\_fac

Nursing Facility level (MDS): Percent Bladder incontinent (prevalence)

type: numeric (double)

range: [0,100] units: .01

> mean: 62.2724 std. dev: 12.5497

percentiles: 10% 25% 50% 75% 90% 46.51 54.55 62.75 70.59 77.55

#### pctincont\_bladr\_fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bladder incontinent.
- 3. Long Description: The Residential History File was used to establish

the population of residents in each facility on the 1st Thursday in April. Whether or not an individual was bowel incontinent was drawn from the most recent MDS using Section H: Continence in last 14 days, Question 1b, values 2, 3, or 4 (indicating 'occasionally,' 'frequently,' or 'always' incontinent in the past 14 days). The proportion of residents who are bladder incontinent was then calculated at the facility level.

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pctincont\_bowel\_fac

Nursing Facility level (MDS): Percent Bowel incontinent (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

> mean: 51.0598 std. dev: 14.3714

percentiles: 10% 25% 50% 75% 90% 32.73 41.55 51.22 60.63 68.97

#### pctincont\_bowel\_fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bowel incontinent.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether or not an individual was bowel incontinent was drawn from the most recent MDS using Section H: Continence in last 14 days, Question la, values 2, 3, or 4 (indicating 'occasionally,' 'frequently,' or 'always' incontinent in the past 14 days). The proportion of residents who are bowel incontinent was then calculated at the facility level.

pctlocare\_fac Nursing Facility level (MDS): Percent low care (prevalence)

type: numeric (double)

range: [0,100] units: .01

mean: 20.6309 std. dev: 13.4975

percentiles: 10% 25% 50% 75% 90% 6.67 13.17 18.99 26.27 34.78

#### pctlocare\_fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who were low care, according to the broad definition.
- 3. Long Description: The Residential History File was used to establish

the population of residents in each facility on the 1st Thursday in April. This measure was calculated from the most recent MDS assessment. The 'broad' definition of low-care status is met if a resident does not require physical assistance in any of the four late-loss ADLs-bed mobility, transferring, using the toilet, and eating-and is not classified in either the 'Special Rehab' or 'Clinically Complex' Resource Utilization Group (RUG-III). This measure is then averaged at the facility level.

\_\_\_\_\_\_

type: numeric (double)

range: [0,75] units: .01

missing .: 2,148/177,987 unique values: 3,127 unique mv codes: 2 missing .\*: 75,579/177,987

mean: 20.2075 std. dev: 7.29997

 
 10%
 25%
 50%
 75%
 90%

 12.12
 15.28
 19.48
 24.44
 29.67
 50% percentiles:

#### pctobese fac:

1. Variable Group : Acuity (prevalence)

2. Short Description: Proportion of residents present on the 1st Thursday in April who had a body mass index (BMI) of 35 or higher.

3. Long Description : The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. The proportion of residents in the facility with a body mass index (BMI) of 35 or greater was then calculated using the height and weight data from the most recent MDS assessment using Section K: Oral/Nutritional Status, questions 1a (height in inches) and 1b (weight in lbs.). Calculations resulting in highly improbable BMI (<10 or >60) were set to missing. The proportion of residents with a BMI 35 or greater was calculated at the facility level.

\_\_\_\_\_\_ 

(prevalence)

type: numeric (double)

range: [0,100] units: .01

missing .: 2,147/177,987 unique values: 5,541 missing .\*: 17,739/177,987 unique mv codes: 2

> mean: 49.2565 std. dev: 12.4389

percentiles: 10% 25% 50% 75% 90%

32.89 40.91 50 57.98 64.97

pctrxdep\_fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antidepressants.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual was receiving antidepressants was drawn from the most recent MDS assessment using Section 0: Medications, question 4c: Number of days during the last 7 days the resident received antidepressant medication (if this value is greater than 0 then the resident was counted as receiving antidepressant medication). The proportion of residents receiving antidepressants was calculated at the facility level.

pctrxpsych\_fac Nursing Facility level (MDS): Percent receiving antipsychotics

·•

type: numeric (double)

range: [0,100] units: .01

> mean: 29.6977 std. dev: 14.0317

percentiles: 10% 25% 50% 75% 90% 16.39 20.83 26.92 34.85 45.31

## pctrxpsych\_fac:

(prevalence)

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual was receiving antipsychotics was drawn from the most recent MDS assessment using Section O: Medications, question 4a: Number of days during the last 7 days the resident received antipsychotic medication (if this value is greater than 0 then the resident was counted as receiving antipsychotic medication). The proportion of residents receiving antipsychotics was calculated at the facility level.

\_\_\_\_\_\_

#### pctrxpsyoff\_fac

Nursing Facility level (MDS): Percent of non-psychotic residents receiving antipsychotics off-label (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [0,93.51] units: .01

unique values: 3,685
unique mv codes: 2
missing .: 2,147/177,987
unique mv codes: 2
missing .\*: 63,903/177,987

mean: 23.2856 std. dev: 8.42287

percentiles:	10%	25%	50%	75%	90%
	13.98	17.65	22.41	28	34.04

#### pctrxpsyoff fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics off-label.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual was receiving antipsychotics off-label was drawn from the most recent MDS assessment using Section O: Medications, question 4a: Number of days during the last 7 days the resident received antipsychotic medication (if this value is greater than 0 then the resident was counted as receiving antipsychotic medication). Use was considered off label if the resident does not have schizophrenia and/or bi-polar disorder (MDS Section I: Disease Diagnoses, question 1ff (Bipolar disease) or question 1gg (Schizophrenia)). The proportion of residents receiving antipsychotics off-label was calculated at the facility level.

#### pctschiz bipol fac

Nursing Facility level (MDS): Percent Schizophrenic or Bi-polar (prevalence)

type: numeric (double)

range: [0,100] units: .01

missing .: 2,147/177,987 unique values: 4,484 unique mv codes: 2 missing .\*: 126,592/177,987

mean: 12.4451 std. dev: 17.5815

50% 10% 25% 75% 90% percentiles: 0 7.34 17.78 0 34.29

#### pctschiz\_bipol\_fac:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April with Schizophrenia or Bi-polar Disorder.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual had schizophrenia and/or bi-polar disorder was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, question 1ff (Bipolar disease) or question 1gg (Schizophrenia). Note that the MDS instructions state 'check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death.' The proportion of residents with hypertension was then calculated at the facility level.

Nursing Facility level (MDS): Percent UTI (prevalence)

type: numeric (double)

range: [0,81.25] units: .01

unique values: 2,012 missing .: 2,147/177,987 unique mv codes: 2 missing .\*: 133,008/177,987

mean: 10.7368 std. dev: 6.74776

percentiles: 10% 25% 50% 75% 90% 0 7.3 11.29 14.81 18.52

### pctuti\_fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a urinary tract infection.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual had a urinary tract infection (UTI) was drawn from the most recent MDS using section I: Disease Diagnoses, question 2c (Urinary tract infection in last 30 days). The proportion of residents with a UTI was calculated at the facility level.

type: numeric (double)

range: [0,100] units: .01

unique values: 1,187 missing .: 2,147/177,987 unique mv codes: 2 missing .\*: 18,540/177,987

mean: .2767 std. dev: 3.24621

percentiles: 10% 25% 50% 75% 90% 0 0 0 0

## pctvent\_fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are on a ventilator.
- 3. Long Description: The Residential History File was used to establish facility, county, or state nursing home population on the 1st Thursday in April. Whether an individual was being treated with a ventilator/respirator was drawn from the most recent MDS assessment using Section P: Special treatments and procedures received during the last 14 days, question 11: Ventilator/respirator. The proportion of residents with a ventilator was calculated at the facility level.

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pctwalking\_fac

Nursing Facility level (MDS): Percent Walk independently in corridor (prevalence)

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type: numeric (double)

units: .01 range: [0,100]

units. ... missing .: 2,147/177,987 missing .\*: 79,835/177,987 unique values: 5,280 unique mv codes: 2

> mean: 22.5785 std. dev: 16.0518

10% 50% 75% percentiles: 25% 90% 13.4 21.37 30.11 0 40

## pctwalking\_fac:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who can walk in corridor.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. This measure was drawn from the most recent MDS and the proportion of residents able to walk independently was calculated using MDS section G, question 1A, part d ("walk in corridor", value = 0). This ADL self-performance scale ranges from 0 (independent) to 4 (total dependence). The proportion of residents with a 0 (independent) was calculated at the facility level.

\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: 1.000e-09 missing .: 950/177,987 unique values: 22,806 unique mv codes: 2 missing .\*: 9,057/177,987

> mean: 64.1089 std. dev: 10.7579

50% percentiles: 10% 75% 25% 90% 51.087 58.3876 64.8352 70.6731 76.3023

## agg female fac:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were female.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's gender was drawn from the MDS assessments using Section AA: Identification Information, Question 2: Gender. The proportion of individuals admitted to the facility who were female was then calculated.

#### agg\_home\_fac

Nursing Facility level (MDS): Percent of admissions from home (all admits)

type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 9,844 missing .: 978/177,987 unique mv codes: 2 missing .\*: 84,803/177,987

mean: 15.4376 std. dev: 12.3103

percentiles: 10% 25% 50% 75% 90% 2.17786 6.62252 12.8543 21.4286 31.8182

### agg\_home\_fac:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of all admissions during the calendar year that were from home.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about whether an individual was admitted to the facility from home was gathered from MDS using Section AB: Demographic Information, Question 2: Admitting From at Entry, response # 1 or 2. The proportion of individuals admitted from home was then calculated within each facility.

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### agg\_u65\_fac

Nursing Facility level (MDS): Percent under 65 years old (all admits)

type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 21,423 missing .: 950/177,987 unique mv codes: 2 missing .\*: 77,250/177,987

mean: 16.9552 std. dev: 17.3666

percentiles: 10% 25% 50% 75% 90% 0 6.49351 12.3596 21.6667 37.2549

#### agg\_u65\_fac:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were below age 65.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's age at admission was calculated from the date of birth listed on the MDS assessment using Section AA: Identification Information, question 3 (birthdate). The proportion of individuals under age 65 at admission was then calculated within each facility.

avgage\_fac Nursing Facility level (MDS): Average age

wilbling ructiffy fever (mbb). Average age

type: numeric (double)

range: [2.17,96.26] units: .01

unique values: 5,052 missing .: 2,147/177,987

unique mv codes: 2 missing .\*: 8,692/177,987

mean: 80.6103 std. dev: 7.21616

percentiles: 10% 25% 50% 75% 90% 72.91 78.34 82.19 84.96 86.94

### avgage\_fac:

1. Variable Group : Demographics (prevalence)

- 2. Short Description: Average age of residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Individual age was calculated using the date of birth from the Medicare denominator data. For individuals not covered by Medicare, age was calculated using the date of birth found on their most recent MDS assessment using Section AA: Identification Information, question 3 (birthdate). Ages of all individuals were averaged to the facility level

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#### agg\_hosp\_fac

Nursing Facility level (MDS): Percent of admissions from acute care (all admits)

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type: numeric (double)

range: [0,100] units: 1.000e-08 unique values: 19,318 missing .: 978/177,987 unique mv codes: 2 missing .\*: 15,581/177,987

> mean: 73.6223 std. dev: 18.4835

percentiles: 10% 25% 50% 75% 90% 47.619 62.1622 76.7857 88.2353 95.1049

## agg\_hosp\_fac:

1. Variable Group: Demographics (all admissions)

- 2. Short Description: Proportion of all admissions during the calendar year that were from an acute care hospital.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about whether an individual was admitted to the facility from an acute care hospital was gathered from MDS using Section AB: Demographic Information, Question 2: Admitting From at Entry, response #5: Acute care hospital. The proportion of individuals admitted from acute care hospital was then calculated within each facility.

agg\_black\_fac Nursing Facility level (MDS): Percent Black (all admits)

agg\_black\_lac Nulsing Facility level (MDS). Felcent Black (all admits)

type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 20,005 missing .: 950/177,987

unique mv codes: 2 missing .\*: 64,849/177,987

mean: 12.8108 std. dev: 20.3526

percentiles: 10% 25% 50% 75% 90% 0 0 18.1818 41.8219

#### agg black fac:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were Black.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). This variable reflects the proportion of individuals admitted to the facility who were 'Black, not of Hispanic origin' (response #3).

agg\_hispanic\_fac Nursing Facility level (MDS): Percent Hispanic (all admits)

agg\_nispanic\_rac Nursing ractiffy level (MDS). Felcent hispanic (all admits)

type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 10,997 missing .: 950/177,987 unique mv codes: 2 missing .\*: 64,799/177,987

mean: 3.6909 std. dev: 11.463

percentiles: 10% 25% 50% 75% 90% 0 0 11.726

#### agg hispanic fac:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were Hispanic.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals at the facility who were 'Hispanic' (response #4) was then calculated.

agg\_white\_fac Nursing Facility level (MDS): Percent White (all admits)

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type: numeric (double)

range: [0,100] units: 1.000e-09 unique values: 26,776 missing .: 950/177,987 unique mv codes: 2 missing .\*: 7,265/177,987

> mean: 85.6385 std. dev: 19.6461

50% percentiles: 10% 25% 75% 90% 57.0423 80.4598 94.1814 98.8095 100

#### agg white fac:

- 1. Variable Group: Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were White.
- 3. Long Description : Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals admitted in the facility who were 'White, not of Hispanic origin' (response #5) was then calculated within each facility.

pctfem fac Nursing Facility level (MDS): Percent Female (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

unique values: 5,612 missing .: 2,147/177,987 missing .\*: 11,508/177,987 unique mv codes: 2

> mean: 71.6487 std. dev: 11.6829

 
 10%
 25%
 50%
 75%
 90%

 56.82
 65.96
 73.42
 79.41
 84.21
 percentiles:

## pctfem\_fac:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are female.
- 3. Long Description : The Residential History File was used to establish the population of residents in the nursing facility on the 1st Thursday in April. Each individual's sex was drawn from the most recent MDS assessment using section AA: Identification Information, Question 2: Gender. The facility level measure is the proportion of residents who are female.

### pctunder65 fac

Nursing Facility level (MDS): Percent under 65 years old (prevalence)

type: numeric (double)

range: [0,100] units: .01

missing .: 2,147/177,987 unique values: 5,506 missing .\*: 107,328/177,987 unique mv codes: 2

> mean: 20.4269 std. dev: 20.0192

percentiles: 10% 25% 50% 75% 90%

0 6.76 16.44 27.78 45.88

#### pctunder65\_fac:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on 1st Thursday in April who are under 65 years old.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Individual age was calculated using the date of birth from the Medicare denominator file. For individuals not covered by Medicare, age was calculated using the date of birth found on their most recent MDS assessment using Section AA: Identification Information, question 3 (birthdate). The proportion of facility residents under age 65 was then calculated at the facility level.

pctblack fac Nursing Facility level (MDS): Percent Black (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

unique values: 6,355 missing .: 2,147/177,987 missing .\*: 73,790/177,987 unique mv codes: 2

> mean: 14.1898 std. dev: 22.1357

75% 10% 25% 50% 90% percentiles: 0 22.83 47.69 0 0

# pctblack\_fac:

1. Variable Group : Demographics (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Black.
- 3. Long Description : The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'Black, not of Hispanic origin' is one of five race/ethnicity categories. The facility level measure is the proportion of residents who are Black.

pcthisp\_fac Nursing Facility level (MDS): Percent Hispanic (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [0,100]

units: .01 missing .: 2,147/177,987 unique values: 3,759 missing .\*: 64,595/177,987 unique mv codes: 2

> mean: 3.25584 std. dev: 11.5969

percentiles:	10%	25%	50%	75%	90%
	0	0	0	0	10.31

#### pcthisp\_fac:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Hispanic.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'Hispanic' is one of five race/ethnicity categories. The proportion of residents who are Hispanic was calculated at the facility level.

Nursing Facility level (MDS): Percent White (prevalence) pctwhite\_fac \_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

missing .: 2,147/177,987 unique values: 6,908 missing .\*: 12,502/177,987 unique mv codes: 2

> mean: 85.2558 std. dev: 19.8601

10% 55.17 50% percentiles: 25% 75% 90% 79.31 94.06 98.94 100

#### pctwhite fac:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are White.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'White' is one of five race/ethnicity categories. The proportion of residents who are White was calculated at the facility level.

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## adefscore fac

Nursing Facility level (OSCAR): Weighted deficiency score, all deficiencies, 99a--

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type: numeric (int)

range: [0,7332] units: 1

unique values: 795 missing : 7,340/177,987

mean: 66.7792 std. dev: 80.255

percentiles:	10%	25%	50%	75%	90%
	8	24	48	84	140

#### adefscore fac:

- 1. Variable Group: Facility Characteristics
- Short Description: Average weighted deficiency score (all deficiencies) for the facility.
- 3. Long Description: Average weighted deficiency score (all deficiencies) for the facility as reported in the annual OSCAR survey for the nursing facility.

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type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 0/177,987

tabulation: Freq. Value

146,597 0 31,390 1

## alzunit\_fac:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: Indicates whether or not facility has an Alzheimer's disease Special Care Unit (SCU).
- 3. Long Description: Whether a facility has an Alzheimer's Disease special care unit (SCU) was derived from the annual OSCAR data. In the OSCAR data this is reported as number of beds. If a facility reported having any Alzheimer's Disease beds they were considered to have an Alzheimer's Disease SCU.

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#### anyunit\_fac

Nursing Facility level (OSCAR): Any special care unit (excluding ventilator unit

type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 0/177,987

tabulation: Freq. Value 141,934 0

36,053 1

## anyunit\_fac:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: Indicates whether or not facility has any Special Care Unit (SCU) (excluding Ventilator Units).
- 3. Long Description: Whether a facility has any special care unit (SCU) was derived from the annual OSCAR data. In the OSCAR data this is reported as number of beds. If a facility reported having any SCU beds, excluding Ventilator beds, they were considered to have an SCU. We

excluded Ventilator beds because, unlike other special care beds, these are generally not found in designated special units.

facpoor fac

Nursing Facility level (OSCAR): Low resource facility based on payer mix

type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 4/177,987

tabulation: Freq. Value

161,049 0 16,934 1

#### facpoor\_fac:

1. Variable Group: Facility Characteristics

- 2. Short Description: Idicates a facility is considered a low-resource facility based on resident payer mix.
- 3. Long Description: Indicator of whether the facility is considered low-resource based on resident payer mix. The annual OSCAR data was used to determine if a facility was considered low-resource based on the breakdown of the primary payer of residents during the two weeks prior to their annual survey.

Nursing Facility level (OSCAR): Facility is hospital-based hospbase\_fac

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type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 0/177,987

tabulation: Freq. Value

161,656 0 16,331 1

#### hospbase fac:

1. Variable Group: Facility Characteristics

2. Short Description: Indicates whether or not facility is hospital-based.

3. Long Description: Whether a facility is hospital-based.

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type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 0/177,987

tabulation: Freq. Value

82,394 0

### 95,593 1

### multifac\_fac:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: Indicates whether or not facility is part of a chain.
- 3. Long Description: Whether a facility was owned or leased by a multi-facility (chain) organization was derived from the annual OSCAR data.

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type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 51/177,987

tabulation: Freq. Value

59,698 0 118,238 1 51 .

### profit fac:

1. Variable Group: Facility Characteristics

- 2. Short Description: Indicates whether or not the facility is for-profit.
- 3. Long Description: Each facility's profit status was drawn from the annual OSCAR data, specifically the ownership variable. Ownership is a 12 category variable with 3 for-profit categories (individual, partnership, or corporation).

restrain fac Nursing Facility level (MDS): Percent Restrained (prevalence)

type: numeric (double)

range: [0,100] units: .01

unique values: 4,019 missing .: 4/177,987

mean: 6.84078 std. dev: 9.4187

percentiles: 10% 25% 50% 75% 90% 0 0 3.85 9.52 17.5

#### restrain\_fac:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: Proportion of facility residents who were restrained.
- 3. Long Description: The number of facility residents who were restrained (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents in the facility who were restrained was then calculated.

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type: numeric (int)

range: [2,1389] units: 1

unique values: 502 missing .: 0/177,987

mean: 104.692 std. dev: 65.1881

percentiles: 10% 25% 50% 75% 90% 40 60 99 126 179

## totbeds\_fac:

1. Variable Group: Facility Characteristics

- 2. Short Description: Number of beds as reported on the annual OSCAR (imputed from previous year if missing or implausible).
- 3. Long Description: A two step process is used to create the total number of beds. Some facilities report the number of available beds instead of the number of Medicare/Medicaid certified beds. Therefore, if the number of residents is less than or equal to the number of certified beds, we use the number of certified beds as the total beds. If, however, the number of residents is greater than the number of certified beds we use the number of available beds for total beds. This is done to keep the number of beds and all staffing related variables consistent because if a facility is reporting available beds they are also most likely reporting staffing related to available beds. We further clean the total beds data by determining if the number of beds in a facility is consistent over time. If the number of beds is missing in the current survey, or implausible based on previous years' data, we impute totbeds based on previous values.

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### nresid fac

Nursing Facility level (MDS): Number of MDS-assessed residents in facility on  $1^{\rm st}$  Thurs in April

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type: numeric (int)

range: [11,1354] units: 1

mean: 80.8949 std. dev: 52.5747

percentiles: 10% 25% 50% 75% 90% 32 48 72 99 136

### nresid\_fac:

- 1. Variable Group : General
- 2. Short Description: Number of nursing home residents.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of residents present in the nursing facility on the 1st Thursday in April. This value is used as the denominator in the

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srvydate Nursing Facility level (OSCAR): Survey date (copy of PROV2740)

\_\_\_\_\_

type: numeric daily date (long)

range: [14427,18799] units: 1
or equivalently: [02jul1999,21jun2011] units: days

unique values: 3,380 missing .: 0/177,987

mean: 16587.8 = 31 may 2005 (+ 20 hours)

std. dev: 1165.77

percentiles: 10% 25% 50% 75% 90% 14993 15571 16574 17596 18220

18jan2001 19aug2002 18may2005 05mar2008 19nov2009

#### srvydate:

1. Variable Group : General

2. Short Description : OSCAR Survey Date

3. Long Description: OSCAR Survey Date used to populate the OSCAR items for the calendar year. Because facilities are not surveyed every 12 months, we have allowed the survey date to be 6 months prior or 6 months post the calendar year for which it is providing information. As a result, in some instances 2 consecutive years of data for a facility may come from the same OSCAR survey.

paymcaid\_fac Nursing Facility level (OSCAR): Percent Medicaid (prevalence)

type: numeric (double)

range: [0,100] units: .01

unique values: 7,227 missing .: 4/177,987

mean: 60.8509 std.dev: 24.7945

percentiles: 10% 25% 50% 75% 90% 21.57 51.06 66.24 77.78 86.84

# paymcaid\_fac:

1. Variable Group : Insurance coverage

- 2. Short Description: Proportion of facility residents whose primary support is Medicaid.
- 3. Long Description: The number of facility residents whose primary support was Medicaid (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents whose primary support was Medicaid was then calculated.

paymcare\_fac Nursing Facility level (OSCAR): Percent Medicare (prevalence)

\_\_\_\_\_\_

type: numeric (double)

units: .01 range: [0,100]

unique values: 4,629 missing .: 4/177,987

mean: 14.9175 std. dev: 19.0768

 25%
 50%
 75%
 90%

 4.84
 10
 16.67
 28.46

 75% percentiles: 10% 0

### paymcare\_fac:

1. Variable Group: Insurance coverage

- 2. Short Description: Proportion of facility residents whose primary support is Medicare.
- 3. Long Description: The number of facility residents whose primary support was Medicare (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents whose primary support was Medicare was then calculated.

pctHMO\_fac Nursing Facility level (RHF): Percent with HMO (prevalence)

type: numeric (double)

units: .01 range: [0,100]

missing .: 2,356/177,987 missing .\*: 78,917/177,987 unique values: 5,191 unique mv codes: 2

> mean: 12.3276 std. dev: 19.9337

10% 25% 50% 75% 90% percentiles: 0 19.81 0 44.19 0

#### pctHMO\_fac:

1. Variable Group: Insurance coverage

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who were covered by a Medicare HMO (Health Maintenance Organization).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. The proportion of residents covered by a Medicare Health Maintenance Organization (HMO) in a facility was then determined based on Medicare enrollment records.

Nursing Facility level (OSCAR): Occupancy rate occpct fac

type: numeric (double)

units: .01 range: [0,100]

missing .: 139/177,987 unique values: 5,854

mean: 84.0061 std. dev: 15.5061

percentiles: 10% 25% 50% 75% 90% 62.96 77.78 88.89 95 98.04

## occpct\_fac:

1. Variable Group : Facility Characteristics

- 2. Short Description: Number of occupied beds in facility divided by the total number of beds.
- 3. Long Description: Occupancy rate is the number of residents divided by total number of beds. The numerator comes directly from the OSCAR. The denominator is a cleaned estimated number of beds in the facility (see the description of totbeds for more information).

adm\_bed\_fac Nursing Facility level (MDS): Number of admissions per bed

type: numeric (double)

range: [1.2223859,72.666667] units: 1.000e-09 unique values: 13,071 missing .: 950/177,987 unique mv codes: 2 missing .\*: 155,703/177,987

mean: 9.88495 std. dev: 8.47046

percentiles: 10% 25% 50% 75% 90% 2.78788 3.48 5.51835 15.6 22.9412

#### adm bed fac:

- 1. Variable Group : Saturation (all admissions)
- 2. Short Description: Number of admissions divided by total number of beds.
- 3. Long Description: All MDS records each year were first aggregated to the facility using the Federal Facility Provider number found on the MDS. All of the pre-admission and admission MDS records were then counted each year for each facility and divided by the total number of beds in the facility. See description of the variable 'totbeds' for complete details about how the total number of beds was derived from OSCAR data. Due to the change to MDS 3.0, only 9 months of MDS data were available in 2010. Therefore, we derived annual rates for 2010 by multiplying the number of MDS admissions in each facility by 1.33 before dividing by the number of beds.

agg\_adm\_fac

Nursing Facility level (MDS): Number of admissions to facility in year

type: numeric (int)

range: [11,2552] units: 1

mean: 167.671 std. dev: 167.077

percentiles: 10% 25% 50% 75% 90% 32 60 117 214 366

### agg\_adm\_fac:

1. Variable Group : Saturation (all admissions)

- 2. Short Description: Total number of nursing home admissions in the year.
- 3. Long Description: All MDS admission records were aggregated to the facility using the Federal Facility Provider number found on the MDS. Admissions were counted using the Primary Reason for Assessment variable in the MDS dataset. This was then aggregated to the facility level.

### hospptyr\_fac

Nursing Facility level (RHF): Number of hospitalizations per resident year

type: numeric (double)

range: [0,36.5] units: 1.000e-12 unique values: 158,761 missing .: 406/177,987

mean: .966769 std. dev: .743651

percentiles: 10% 25% 50% 75% 90% .361533 .540409 .799937 1.14571 1.65494

## hospptyr\_fac:

1. Variable Group: Service Utilization

- 2. Short Description: Number of hospitalizations during the calendar year for every 365 nursing home resident days.
- 3. Long Description: The Residential History File (RHF) was used to determine the number of nursing home days for all residents in the facility during the calendar year. (Facilities with fewer than 4500 nursing home days were set to LNE.) This number of nursing homes days was then divided by 365 to establish the number of resident years. The RHF was then used to count the number of hospitalizations of Medicare fee-for-service residents that occurred directly from the nursing home during the calendar year.

nhdays\_fac Nursing Facility level (RHF): Total nursing home days in year

\_\_\_\_\_\_

type: numeric (long)

range: [0,303554] units: 1

unique values: 55,692 missing .: 398/177,987

mean: 25124.1 std. dev: 17473.7

percentiles: 10% 25% 50% 75% 90%

7207 13383 21961 33263 44786

### nhdays\_fac:

1. Variable Group : Service Utilization

- 2. Short Description: Total Nursing Home days for the facility.
- 3. Long Description: Total nursing home days for the year in the facility. The Residential History File (RHF) was used to establish the number of nursing home days for all residents in the facility during the calendar year.

\_\_\_\_\_\_

#### pctlshosp\_fac

Nursing Facility level (RHF): Percent quarter 2 long-stay residents hospitalized in 6 months

\_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

unique values: 3,895 missing .: 3,077/177,987

mean: 21.5482 std. dev: 13.4382

percentiles: 10% 25% 50% 75% 90% 7.69 12.82 19.59 27.59 36.36

## pctlshosp\_fac:

1. Variable Group : Service Utilization

- 2. Short Description: Percent quarter 2 long-stay residents that were hospitalized in 6 months.
- 3. Long Description: The Residential History File (RHF) was used to the number of nursing facility residents during the 2nd quarter of the given year who are judged to be long-stay residents at the time of the assessment. This served as the denominator of the measure. The numerator is the number of the above residents who subsequently had a fee-for-service Medicare hospital admission within 6 months (183 days) of the date of the MDS assessment.

-----

### pctnhdayshospice\_fac

Nursing Facility level (RHF): Percent of total nursing home days with hospice

type: numeric (double)

range: [0,94.176262] units: 1.000e-13 unique values: 150,578 missing .: 406/177,987

mean: 3.23228 std. dev: 4.35409

percentiles: 10% 25% 50% 75% 90% 0 .196319 1.62631 4.67069 8.72499

### pctnhdayshospice\_fac:

1. Variable Group: Service Utilization

- 2. Short Description: Proportion of all nursing home days during the calendar year that were hospice.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of nursing home days for all residents in the facility in the calendar year. The RHF was also used to determine the number of those days that were hospice days. The proportion of days that were hospice was then calculated at the facility level using these two counts.

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#### pctNHdaysSNF\_fac

Nursing Facility level (RHF): Percent NH days Medicare Reimbursed SNF

type: numeric (double)

range: [0,100] units: 1.000e-11 unique values: 162,015 missing .: 406/177,987

mean: 16.9548 std. dev: 19.6413

percentiles: 10% 25% 50% 75% 90% 1.52756 6.50113 11.845 18.7373 32.066

#### pctNHdaysSNF fac:

- 1. Variable Group: Service Utilization
- 2. Short Description: Proportion of all nursing home days during the calendar year that were SNF (skilled nursing facility) Medicare covered days.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of nursing home days for all residents in the facility in the calendar year. The RHF was also used to determine the number of those days that were skilled nursing facility (SNF) Medicare covered days. The proportion of days that were SNF was then calculated at the facility level using these two counts.

rehosp\_fac Nursing Facility level (RHF): Facility 30-day rehospitalization rate

type: numeric (double)

range: [0,200] units: .01

unique values: 2,969 missing .: 1,062/177,987

mean: 15.7015 std. dev: 8.35245

percentiles: 10% 25% 50% 75% 90% 5.17 10.34 15.63 20.83 25.81

### rehosp fac:

- 1. Variable Group: Service Utilization
- 2. Short Description: 30-day rehospitalization rate.
- 3. Long Description: The Residential History File was used to determine how many admissions from the hospital were rehospitalized within 30 days

of entry. This was aggregated to the facility level and divided by the total number of admissions to the facility to get the rehospitalization rate.

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type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 0/177,987

tabulation: Freq. Value 128,970 0

49,017 1

### anymdex\_fac:

1. Variable Group: Staffing

- 2. Short Description: Indicates the presence of any Nurse Practioners or Physician Assistants in the facility.
- 3. Long Description: Whether a facility has any nurse practitioner (NP) or physician's assistant (PA) was derived from the annual OSCAR data. In the OSCAR data this is reported as number of hours of physician extender services. If a facility reported having any hours, the facility was considered to have an NP or PA.

\_\_\_\_\_

type: numeric (double)

range: [0,24] units: 1.000e-11 unique values: 112,276 missing .: 765/177,987

mean: 2.28584 std. dev: 1.2888

percentiles: 10% 25% 50% 75% 90% 1.38776 1.74167 2.10916 2.54231 3.08571

## cnahrppd\_fac:

- 1. Variable Group: Staffing
- 2. Short Description: CNA hours per resident day.
- 3. Long Description: Facilities report the number of Certified Nursing Assistant (CNA) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of CNA hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the CNA hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more 3 times the number of CNAs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if

staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example.

dchrppd fac

Nursing Facility level (OSCAR): Direct care staff hours per resident day

type: numeric (double)

range: [.03647959,24] units: 1.000e-10 missing .: 1,326/177,987 unique values: 126,154

mean: 3.49095 std. dev: 1.71167

25% 50% 75% 90% percentiles: 10% 2.28012 2.69053 3.15698 3.75333 4.69687

#### dchrppd\_fac:

1. Variable Group: Staffing

- 2. Short Description: The number of direct-care staff hours per resident
- 3. Long Description: Facilities report the number of Registered Nurse (RN), Licensed Practical Nurse (LPN), and Certified Nursing Assistant (CNA) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of RN plus LPN plus CNA hours by the number of residents in the facility (also drawn from the OSCAR ) to arrive at the direct-care hours per resident day (DC HPRD). Because this variable is created using the previous cleaned RN, LPN, and CNA hours (as described in RN HPRD, LPN HPRD, and CNA HPRD) we do not do any additional cleaning of this variable.

\_\_\_\_\_\_

type: numeric (double)

units: 1.000e-12 range: [0,24] missing .: 330/177,987 unique values: 91,537

mean: .851321 std. dev: .952457

25% 50% 10% 75% 90% percentiles: .380556 .547059 .73427 .933945 1.18605

## lpnhrppd\_fac:

- 1. Variable Group: Staffing
- 2. Short Description: LPN hours per resident day.
- 3. Long Description: Facilities report the number of Licensed Practical Nurse (LPN) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35

hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of LPN hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the LPN hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more RN and LPNs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example.

rn2nrs\_fac Nursing Facility level (OSCAR): Ratio of RNs to RNs + LPNs

type: numeric (double)

range: [0,1] units: .001

unique values: 1,000 missing .: 33/177,987

mean: .311195 std. dev: .204636

percentiles: 10% 25% 50% 75% 90% .079 .157 .274 .428 .596

### rn2nrs\_fac:

1. Variable Group: Staffing

- 2. Short Description: Ratio of number of RN FTEs divided by number of RN FTEs plus LPN FTEs.
- 3. Long Description: Registered Nurse (RN) and Licensed Practical Nurse (LPN) full-time equivalents (FTEs) were drawn from each facility's annual OSCAR data. We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more 3 times the number of CNAs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. A ratio was then calculated by dividing total RN FTEs by the total RN FTEs plus total LPN FTEs within a facility.

type: numeric (double)

range: [0,24] units: 1.000e-12 unique values: 78,877 missing .: 114/177,987

mean: .475575 std. dev: .909215

percentiles: 10% 25% 50% 75% 90% .07 .151471 .282051 .470192 .781967

## rnhrppd\_fac:

- 1. Variable Group: Staffing
- 2. Short Description: RN hours per resident day.
- 3. Long Description: Facilities report the number of Registered Nurse (RN) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of RN hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the RN hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more RN and LPNs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example.

# **Appendix 2. County Level Codebook**

Number of variables:

Number of observations: 32,236

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county FIPS County code

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type: string (str3)

unique values: 293 missing "": 0/32,236

examples: "027" "061"

> "099" "149"

county:

1. Variable Group: Identification 2. Short Description : Facility County

3. Long Description : Facility County (unique within state)

state State Abbreviation

type: string (str2)

unique values: missing "": 0/32,236

examples: "ID"

> "MI" "NE" "TN"

year Year of data

type: numeric (int)

range: [2000,2010] units: 1

unique values: 11 missing .: 0/32,236

> mean: 2004.99

std. dev: 3.16195

75% percentiles: 10% 25% 50% 90%

2001 2005 2008 2002 2009

#### year:

Variable Group: Identification
 Short Description: Calendar year
 Long Description: Calendar year

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agg\_cmi\_cty County level (MDS): Average RUGS NCMI (all admits)

\_\_\_\_\_\_

type: numeric (double)

range: [.46,1.7] units: 1.000e-12 unique values: 30,997 missing .: 13/32,236 unique mv codes: 2 missing .\*: 296/32,236

> mean: 1.02602 std. dev: .10425

percentiles: 10% 25% 50% 75% 90% .887143 .980404 1.04599 1.09349 1.13328

.00/143 .900404 1.04399 1.09349 1.13320

## agg\_cmi\_cty:

- 1. Variable Group : Acuity (all admissions)
- Short Description: The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents admitted during the calendar year.
- 3. Long Description: Aggregated at the facility level, the average Nursing Case Mix Index (NCMI) was calculated by applying the Resource Utilization Groups version III (RUG-III) resident classification system currently used by CMS to adjust Medicare payments in recognition of resident acuity. This system classifies residents into homogeneous categories based on their estimated resource utilization. Associated with each of these categories is a case-mix index or weight, which approximates the relative staff time associated with caring for the average resident in each group. Thus, the higher the NCMI score, the more severe the average acuity profile of the residents in a facility. The resident-level NCMI was calculated in two steps. First, the RUG-III 5.12 code (44 categories in total) was used to generate a RUG classification for each resident. Second, the RUG code was converted into a NCMI value following the CMS proposed rule regarding fiscal year 2004 Skilled Nursing Facility (SNF) payment policies (Centers for Medicare & Medicaid Services 2003).

type: numeric (double)

range: [0,100] units: 1.000e-11 unique values: 11,510 missing .: 10/32,236 unique mv codes: 2 missing .\*: 14,195/32,236

> mean: 6.61758 std. dev: 6.56144

percentiles: 10% 25% 50% 75% 90% 1.11214 2.40361 4.73282 8.96552 14.2857

#### agg\_low\_care\_cty:

1. Variable Group : Acuity (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were low care, according to the broad definition.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. The 'broad' definition of low-care status is met if a resident does not require physical assistance in any of the four late-loss ADLs-bed mobility, transferring, using the toilet, and eating-and is not classified in either the 'Special Rehab' or 'Clinically Complex' Resource Utilization Group (RUG-III). This was then averaged at the county level.

\_\_\_\_\_\_

type: numeric (double)

range: [0,26.65] units: .01

 unique values: 1,420
 missing .: 13/32,236

 unique mv codes: 2
 missing .\*: 57/32,236

mean: 15.3021 std. dev: 2.3092

percentiles: 10% 25% 50% 75% 90% 12.42 13.75 15.32 16.89 18.2

#### avgadl\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: The average Activities of Daily Living (ADL) score for all residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Individual scores were calculated from the Physical Functioning Self performance section of the MDS using Section G: Physical Functioning and Structural Problems, Question A: ADL self-performance. This score measures an individual's independence on 7 ADLs bed

mobility, transfer, locomotion on unit, dressing, eating, toilet use, and personal hygiene. If personal hygiene was missing on the MDS assessment, the score for dressing was used twice. Each ADL is scored from 0-4, with 0 indicating total independence in that ADL and 4 indicating total dependence in that ADL. The ADL score range is from 0 to 28, where 0 indicates completely independent and 28 completely dependent. All individuals' scores were then averaged at the county level.

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type: numeric (double)

range: [.4889,1.1826] units: .0001 unique values: 3,196 missing .: 13/32,236 unique mv codes: 2 missing .\*: 57/32,236

> mean: .777264 std. dev: .060383

percentiles: 10% 25% 50% 75% 90% .7056 .7348 .7719 .8139 .8578

#### avgrugcmi\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents from each facility in the county on the 1st Thursday in April. The average Nursing Case Mix Index (NCMI) was calculated by applying the Resource Utilization Groups version III (RUG-III) resident classification system currently used by CMS to adjust Medicare payments in recognition of resident acuity. This system classifies residents into homogeneous categories based on their estimated resource utilization. Associated with each of these categories is a case-mix index or weight, which approximates the relative staff time associated with caring for the average resident in each group. Thus, the higher the NCMI score, the more severe the average acuity profile of the residents in a county. The resident-level NCMI was calculated in two steps. First, the RUG-III 5.12 code (44 categories in total) was used to generate a RUG classification for each resident. Second, the RUG code was converted into an NCMI value following the CMS proposed rule regarding fiscal year 2004 Skilled Nursing Facility (SNF) payment policies (Centers for Medicare & Medicaid Services 2003).

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#### avgrxnum\_cty

County level (MDS): Average number of medications in past 7 days per resident (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [.05,17.38] units: .01

> mean: 10.0097 std.dev: 1.59299

percentiles: 10% 25% 50% 75% 90% 7.95 8.85 10 11.11 12.06

#### avgrxnum\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Average number of medications in the past 7 days per resident present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. The number of different medications each individual received in the past 7 days was drawn from the most recent MDS assessment using Section O: Medications, Question 1: Number of Medications used in last seven days. This measure was averaged at the county level.

\_\_\_\_\_\_

type: numeric (double)

range: [0,56.6] units: .01

unique values: 2,014 missing .: 13/32,236
unique mv codes: 2 missing .\*: 15,377/32,236

mean: 5.85577 std. dev: 5.81354

percentiles: 10% 25% 50% 75% 90% 0 0 4.77 8.72 13.41

#### pctbedft\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bedfast.
- 3. Long Description: The Residential History File was used to establish

the population of residents in each facility in the county on the 1st Thursday in April. Whether or not an individual was bedfast was drawn from the most recent MDS using Section G: Physical Functioning and Structural Problems, Question 6: Modes of Transfer, Bedfast all or most of the time. The proportion of residents with a 'yes' (indicating the resident is bedfast) was calculated at the county level.

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type: numeric (double)

range: [0,39.44] units: .01

> mean: 7.04188 std. dev: 3.25672

percentiles: 10% 25% 50% 75% 90% 3.89 5.26 6.8 8.66 10.78

#### pctcath cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who have a catheter.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual had an indwelling catheter was drawn from the most recent MDS assessment using Section H: Continence in last 14 days, Question 3d: Appliances and Programs, Indwelling Catheter. The proportion of residents who have a catheter was calculated at the county level.

\_\_\_\_\_\_

type: numeric (double)

range: [0,69.39] units: .01

> mean: 22.3231 std. dev: 8.08905

percentiles: 10% 25% 50% 75% 90%

13.45 16.58 20.99 26.67 32.73

### pctchf\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who have congestive heart failure.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual had congestive heart failure was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, Question 1f. Note that the MDS instructions state to check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death. The proportion of residents with CHF was calculated at the county level.

pctdnh\_cty County level (MDS): Percent Do-Not-Resuscitate order (prevalence)

type: numeric (double)

range: [0,85.71] units: .01 unique values: 2,177 missing .: 13/32,236 unique mv codes: 2 missing .\*: 12,666/32,236

> mean: 3.23555 std.dev: 7.35686

percentiles: 10% 25% 50% 75% 90% 0 0 3.46 10.29

## pctdnh\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Do Not Hospitalize.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's Do Not Hospitalize (DNH) status was drawn from the most recent MDS admission or annual assessment using Section A: Identification and Background Information, Question 10c: Advanced directives, DNH. The proportion of residents with a 'yes' (indicating the resident has a filed DNH order) was calculated at the county level.

pctdnr\_cty County level (MDS): Percent Do-Not-Resuscitate order (prevalence)

type: numeric (double)

range: [0,100] units: .01

> mean: 62.7495 std. dev: 18.2926

percentiles: 10% 25% 50% 75% 90% 36.75 50.46 65.11 77.07 84.64

#### pctdnr\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Do Not Resuscitate.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's Do Not Resuscitate (DNR) status was drawn from the most recent MDS admission or annual assessment using Section A: Identification and Background Information, Question 10b: Advanced directives, DNR. The proportion of residents with a 'yes' (indicating the resident has a filed DNR order) was calculated at the county level.

pcthighcps\_cty County level (MDS): Percent CPS=5,6 (prevalence)

type: numeric (double)

range: [0,88.24] units: .01

> mean: 21.7161 std. dev: 8.40931

percentiles: 10% 25% 50% 75% 90% 12.26 15.97 20.69 26.15 32.56

### pcthighcps\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 5 or 6 (severe cognitive impairment).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's Cognitive Performance Score (CPS)

was taken from the most recent MDS assessment and the proportion of residents with a CPS score of 5 or 6 was calculated at the county level.

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type: numeric (double)

range: [0,97.73] units: .01

unique values: 4,428 missing .: 13/32,236 unique mv codes: 2 missing .\*: 1,323/32,236

mean: 39.227 std. dev: 10.3503

percentiles: 10% 25% 50% 75% 90%

26.47 32.53 38.855 45.37 52.24

### pctlowcps\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 0, 1, or 2 (low cognitive impairment).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's Cognitive Performance Score (CPS) was calculated from the most recent MDS assessment and the proportion of residents with a CPS score of 0, 1, or 2 was calculated at the county level.

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type: numeric (double)

range: [0,93.55] units: .01

> mean: 51.5704 std. dev: 11.1386

percentiles: 10% 25% 50% 75% 90%

37.57 43.805 51.14 59.17 66.45

#### pcthyper\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with hypertension.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility on the 1st Thursday in April. Whether an individual had hypertension was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, Question 1h. Note that the MDS instructions state 'check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death.' The proportion of residents with hypertension was then calculated at the county level.

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pctincont bladr cty

County level (MDS): Percent Bladder incontinent (prevalence)

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type: numeric (double)

range: [19.3,95.56] units: .01

> mean: 61.1507 std. dev: 8.99769

percentiles: 10% 25% 50% 75% 90% 49.83 55.43 61.24 67.03 72.34

### pctincont\_bladr\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bladder incontinent.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether or not an individual was bowel incontinent was drawn from the most recent MDS using Section H: Continence in last 14 days, Question 1b, values 2, 3, or 4 (indicating 'occasionally,' 'frequently,' or 'always' incontinent in the past 14 days). The proportion of residents who are bladder incontinent was then calculated at the county level.

pctincont\_bowel\_cty County level (MDS): Percent Bowel incontinent (prevalence)

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type: numeric (double)

range: [0,95.56] units: .01

> mean: 47.4252 std. dev: 12.071

percentiles: 10% 25% 50% 75% 90% 31.25 38.89 47.93 55.98 62.86

### pctincont\_bowel\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bowel incontinent.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether or not an individual was bowel incontinent was drawn from the most recent MDS using Section H: Continence in last 14 days, Question 1a, values 2, 3, or 4 (indicating 'occasionally,' 'frequently,' or 'always' incontinent in the past 14 days). The proportion of residents who are bowel incontinent was then calculated at the county level.

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type: numeric (double)

range: [0,95.45] units: .01 unique values: 3,427 missing .: 13/32,236

unique mv codes: 2 missing .\*: 5,882/32,236

mean: 18.4118 std. dev: 8.16566

percentiles: 10% 25% 50% 75% 90% 8.79 12.5 17.69 23.48 28.95

#### pctlocare\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who were low care, according to the broad definition.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. This measure was calculated from the most recent MDS assessment. The 'broad' definition of low-care status is met if a resident does not require physical assistance in any of the four late-loss ADLs-bed mobility, transferring, using the toilet, and eating-and is not classified in either the 'Special Rehab' or 'Clinically

Complex' Resource Utilization Group (RUG-III). This measure is averaged at the county level.

pctobese cty County level (MDS): Percent Obese (prevalence)

type: numeric (double)

range: [0,47.76] units: .01

unique values: 2,615 missing .: 13/32,236 missing .\*: 5,016/32,236unique mv codes: 2

> mean: 18.7574 std. dev: 5.51686

10% 25% 50% percentiles: 75% 90%

12.09 14.84 18.36 22.18 25.93

### pctobese\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who had a body mass index (BMI) of 35 or higher.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. The proportion of residents in the county with a body mass index (BMI) of 35 or greater was then calculated using the height and weight data from the most recent MDS assessment using Section K: Oral/Nutritional Status, questions 1a (height in inches) and 1b (weight in lbs.). Calculations resulting in highly improbable BMI (<10 or >60) were set to missing.

County level (MDS): Percent receiving antidepressants pctrxdep\_cty (prevalence)

type: numeric (double)

units: .01 range: [13.19,93.33]

units: .01 missing .: 13/32,236 unique values: 4,536 missing .\*: 607/32,236 unique mv codes: 2

> mean: 49.3328 std. dev: 10.2774

10% 25% 50% 75% 90% percentiles:

35.71 42.36 49.85 56.52 62.02

#### pctrxdep\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antidepressants.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual was receiving antidepressants was drawn from the most recent MDS assessment using Section O: Medications, question 4c: Number of days during the last 7 days the resident received antidepressant medication (if this value is greater than 0 then the resident was counted as receiving antidepressant medication). The proportion of residents receiving antidepressants was calculated at the county level.

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type: numeric (double)

range: [0,100] units: .01

> mean: 27.0027 std. dev: 8.65987

percentiles: 10% 25% 50% 75% 90% 17.39 21.36 26.09 31.43 37.25

## pctrxpsych\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual was receiving antipsychotics was drawn from the most recent MDS assessment using Section O: Medications, question 4a: Number of days during the last 7 days the resident received antipsychotic medication (if this value is greater than 0 then the resident was counted as receiving antipsychotic medication). The proportion of residents receiving antipsychotics was calculated at the county level.

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pctrxpsyoff\_cty

County level (MDS): Percent of non-psychotic residents receiving antipsychotics

type: numeric (double)

range: [0,71.43] units: .01

unique values: 2,994 missing .: 13/32,236 unique mv codes: 2 missing .\*: 4,105/32,236

mean: 21.9096 std. dev: 6.44898

percentiles: 10% 25% 50% 75% 90% 14.41 17.57 21.35 25.64 30.05

## pctrxpsyoff\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics off-label.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual was receiving antipsychotics off-label was drawn from the most recent MDS assessment using Section O: Medications, question 4a: Number of days during the last 7 days the resident received antipsychotic medication (if this value is greater than 0 then the resident was counted as receiving antipsychotic medication). Use was considered off label if the resident does not have schizophrenia and/or bi-polar disorder (MDS Section I: Disease Diagnoses, question 1ff (Bipolar disease) or question 1gg (Schizophrenia)). The proportion of residents receiving antipsychotics off-label was calculated at the county level.

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pctschiz\_bipol\_cty

County level (MDS): Percent Schizophrenic or Bi-polar (prevalence)

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type: numeric (double)

range: [0,70.83] units: .01

mean: 7.91191 std. dev: 6.38221

percentiles: 10% 25% 50% 75% 90%

2.15 4.66 6.75 9.62 14

pctschiz\_bipol\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April with Schizophrenia or Bi-polar Disorder.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual had schizophrenia and/or bi-polar disorder was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, question 1ff (Bipolar disease) or question 1gg (Schizophrenia). Note that the MDS instructions state 'check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death.' The proportion of residents with hypertension was then calculated at the county level.

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type: numeric (double)

range: [0,39.29] units: .01

> mean: 9.05106 std. dev: 3.53161

percentiles: 10% 25% 50% 75% 90% 5.47 6.94 8.72 10.87 13.29

## pctuti\_cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present in the county on the 1st Thursday in April with a urinary tract infection.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual had a urinary tract infection (UTI) was drawn from the most recent MDS using section I: Disease Diagnoses, question 2c (Urinary tract infection in last 30 days). The proportion of residents with a UTI was calculated at the county level.

type: numeric (double)

range: [0,51.7] units: .01

unique values: 442 missing .: 13/32,236

missing .\*: 4,488/32,236unique mv codes: 2

> mean: .108196 std. dev: 1.0509

percentiles: 10% 25% 50% 75% 90% 0 0 0 0 0

#### pctvent\_cty:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are on a ventilator.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Whether an individual was being treated with a ventilator/respirator was drawn from the most recent MDS assessment using Section P: Special treatments and procedures received during the last 14 days, question 11: Ventilator/respirator. The proportion of residents with a ventilator was calculated at the county level.

#### pctwalking cty

County level (MDS): Percent Walk independently in corridor (prevalence)

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type: numeric (double)

units: .01 range: [0,100]

unique values: 3,816 missing .: 13/32,236 unique mv codes: 2 missing .\*: 5,447/32,236

> mean: 20.1726 std. dev: 9.67759

percentiles: 10% 25% 50% 75% 90% 13.22 19.49 8.67 26.24 32.53

## pctwalking cty:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who can walk in corridor.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. This measure was drawn from the most recent MDS and the proportion of residents able to walk independently was calculated using MDS section G, question 1A, part d ("walk in corridor", value = 0). This ADL self-performance scale ranges from 0 (independent) to 4 (total dependence). The proportion of residents with a 0 (independent) was calculated at the county level.

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type: numeric (double)

range: [25.490196,100] units: 1.000e-09 unique values: 14,765 missing .: 10/32,236 unique mv codes: 2 missing .\*: 789/32,236

mean: 64.4796 std. dev: 6.66098

percentiles: 10% 25% 50% 75% 90% 56.6667 61.1111 64.7059 68.0664 72

#### agg\_female\_cty:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were female.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's gender was drawn from the MDS assessments using Section AA: Identification Information, Question 2: Gender. The proportion of individuals admitted who were female was then calculated at the county level.

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type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 13,975 missing .: 10/32,236 unique mv codes: 2 missing .\*: 5,327/32,236

> mean: 15.25 std. dev: 10.2536

percentiles: 10% 25% 50% 75% 90% 4.74308 7.37101 12.7907 20.8531 29.0323

#### agg\_home\_cty:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of all admissions during the calendar year that were from home.
- 3. Long Description: Nursing home admissions were identified using the

Primary Reason for Assessment variable in the MDS datasets. Information about whether an individual was admitted to the facility from home was gathered from MDS using Section AB: Demographic Information, Question 2: Admitting From at Entry, response # 1 or 2. The proportion of individuals admitted from home was then calculated at the county level.

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type: numeric (double)

range: [0,100] units: 1.000e-09 unique values: 13,623 missing .: 10/32,236 unique mv codes: 2 missing .\*: 9,889/32,236

> mean: 11.2888 std. dev: 6.64079

percentiles: 10% 25% 50% 75% 90%

5.30973 7.61421 10.373 13.7615 17.9331

#### agg\_u65\_cty:

1. Variable Group: Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were below age 65.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's age at admission was calculated from the date of birth listed on the MDS assessment using Section AA: Identification Information, question 3 (birthdate). The proportion of individuals under age 65 at admission was then calculated at the county level.

avgage\_cty County level (MDS): Average age

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type: numeric (double)

range: [52,92.19] units: .01

> mean: 81.5003 std. dev: 3.43052

percentiles: 10% 25% 50% 75% 90%

77.49 79.73 81.79 83.8 85.39

#### avgage\_cty:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Average age of residents residents on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Individual age was calculated using the date of birth from the Medicare denominator data. For individuals not covered by Medicare, age was calculated using the date of birth found on their most recent MDS assessment using Section AA: Identification Information, question 3 (birthdate). Ages of all individuals were averaged at the county level

type: numeric (double)

range: [0,100] units: 1.000e-10 unique values: 10,695 missing .: 10/32,236 unique mv codes: 2 missing .\*: 8,846/32,236

> mean: 9.26677 std. dev: 14.3829

percentiles: 10% 25% 50% 75% 90% 0 0 1.83508 13.4328 30.8936

## agg\_black\_cty:

- 1. Variable Group: Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were Black.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals admitted who were 'Black, not of Hispanic origin' (response #3) was then calculated at the county level.

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type: numeric (double)

range: [0,100] units: 1.000e-11 unique values: 4,883 missing .: 10/32,236 unique mv codes: 2 missing .\*: 11,550/32,236 mean: 2.55343 std. dev: 10.0683

percentiles: 10% 25% 50% 75% 90% 0 0 0 4.18754

#### agg\_hispanic\_cty:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were Hispanic.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals who were 'Hispanic' (response #4) was then calculated at the county level.

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type: numeric (double)

range: [0,100] units: 1.000e-08 unique values: 15,128 missing .: 10/32,236 unique mv codes: 2 missing .\*: 524/32,236

> mean: 89.0441 std. dev: 14.8198

percentiles: 10% 25% 50% 75% 90% 68.2353 84.5174 95.538 98.895 100

#### agg\_white\_cty:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were White.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals admitted in the facility who were 'White, not of Hispanic origin' (response #5) was then calculated at the county level.

type: numeric (double)

range: [20,100] units: .01

> mean: 71.8759 std. dev: 6.9781

percentiles: 10% 25% 50% 75% 90% 63.64 68.54 72.66 76.15 79.41

#### pctfem\_cty:

1. Variable Group : Demographics (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are female.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's sex was drawn from the most recent MDS assessment using section AA: Identification Information, Question 2: Gender. The proportion of residents who are female was calculated at the county level.

pctunder65\_cty County level (MDS): Percent under 65 years old (prevalence)

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type: numeric (double)

range: [0,97.87] units: .01 unique values: 2,876 missing .: 13/32,236 unique mv codes: 2 missing .\*: 10,999/32,236

mean: 12.678 std. dev: 7.48931

percentiles: 10% 25% 50% 75% 90% 5.7 8.095 11.31 15.57 21.07

#### pctunder65\_cty:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on 1st Thursday in April who are under 65 years old.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Individual age was calculated using the date of birth from the Medicare denominator file. For individuals not covered by Medicare, age was calculated using the date of birth found on their most recent MDS assessment using Section AA: Identification Information, question 3 (birthdate). The proportion of facility residents under age 65

was then calculated at the county level.

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type: numeric (double)

range: [0,100] units: .01

> mean: 11.541 std. dev: 16.4782

percentiles: 10% 25% 50% 75% 90% 0 0 3.11 18.09 37.13

## pctblack\_cty:

1. Variable Group : Demographics (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Black.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'Black, not of Hispanic origin' is one of five race/ethnicity categories. The proportion of residents who are Black was calculated at the county level.

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type: numeric (double)

range: [0,100] units: .01

> mean: 2.61829 std. dev: 10.4746

percentiles: 10% 25% 50% 75% 90% 0 0 0 4.43

#### pcthisp\_cty:

1. Variable Group : Demographics (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Hispanic.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'Hispanic' is one of five race/ethnicity categories. The proportion of residents who are Hispanic was calculated at the county level.

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type: numeric (double)

range: [0,100] units: .01

> mean: 87.8355 std. dev: 16.2862

percentiles: 10% 25% 50% 75% 90%

63.64 82.43 95.31 98.96 100

## pctwhite\_cty:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are White.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'White' is one of five race/ethnicity categories. The proportion of residents who are White was calculated at the county level.

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## alzunit\_cty

County level (OSCAR): Percent of facilities with Alzheimer's special care unit

type: numeric (double)

range: [0,100] units: .01

unique values: 463 missing .: 0/32,236

mean: 17.0689 std. dev: 25.9543

percentiles: 10% 25% 50% 75% 90% 0 0 28.57 50

#### alzunit\_cty:

- 1. Variable Group: Facility Characteristics
- 2. Short Description: The proportion of facilities that have an Alzheimer's SCU in the county.
- 3. Long Description: Whether a facility has an Alzheimer's Disease special care unit (SCU) was derived from the annual OSCAR data. In the OSCAR data this is reported as number of beds. If a facility reported having any Alzheimer's Disease beds they were considered to have an Alzheimer's Disease SCU. At the county level, the variable is the proportion of facilities that have an Alzheimer's SCU.

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## facpoor\_cty

County level (OSCAR): Percent of low resource facilities based on payer mix

type: numeric (double)

range: [0,100] units: .01

unique values: 413 missing .: 0/32,236

mean: 8.766 std. dev: 21.4406

percentiles: 10% 25% 50% 75% 90% 0 0 0 33.33

#### facpoor cty:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: Proportion of facilities in the county considered low-resource based on resident payer mix.
- 3. Long Description: Proportion of facilities in the county considered low-resource based on resident payer mix. The annual OSCAR data was used to determine if a facility was considered low-resource based on the breakdown of the primary payer of residents during the two weeks prior to their annual survey. This facility measure was aggregated to the county level to show the proportion of nursing homes in the state that meet the criteria for low-resource.

multifac\_cty County level (OSCAR): Percent of facilities part of chain

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type: numeric (double)

range: [0,100] units: .01

unique values: 543 missing .: 0/32,236

mean: 53.5489 std. dev: 35.8615

percentiles: 10% 25% 50% 75% 90% 0 25 50 87.5 100

#### multifac cty:

1. Variable Group : Facility Characteristics

- 2. Short Description: The proportion of facilities within the county that are part of chains.
- 3. Long Description: Whether a facility was owned or leased by a multi-facility (chain) organization was derived from the annual OSCAR data. The county level measure is the proportion of facilities that are part of chains within the county.

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type: numeric (double)

range: [0,100] units: .01

unique values: 526 missing .: 1/32,236

mean: 62.214 std. dev: 35.817

percentiles: 10% 25% 50% 75% 90% 0 40 66.67 100 100

## profit\_cty:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: The proportion of for-profit facilities within the county.
- 3. Long Description: Each facility's profit status was drawn from the annual OSCAR data, specifically the ownership variable. Ownership is a 12 category variable with 3 for-profit categories (individual, partnership, or corporation). The county level measure is the proportion of facilities that are for-profit with the county.

restrain\_cty County level (MDS): Percent Restrained (prevalence)

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type: numeric (double)

range: [0,87.18] units: .01

unique values: 2,885 missing .: 0/32,236

mean: 7.08347 std. dev: 7.1383

percentiles: 10% 25% 50% 75% 90% 0 2.23 5.15 9.68 16

#### restrain cty:

1. Variable Group: Facility Characteristics

- 2. Short Description: Average proportion of facility residents who were restrained across facilities in the county.
- 3. Long Description: The number of facility residents who were restrained (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. For county, this is the proportion of all residents in all facilities who were restrained.

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type: numeric (long)

range: [8,40916] units: 1

unique values: 2,971 missing .: 0/32,236

mean: 577.822 std. dev: 1497.54

percentiles: 10% 25% 50% 75% 90% 62 119 234 485 1104

#### totbeds cty:

- 1. Variable Group: Facility Characteristics
- 2. Short Description: Number of beds as reported on the annual OSCAR (imputed from previous year if missing or implausible)
- 3. Long Description: A two step process is used to create the total number of beds. Some facilities report the number of available beds instead of the number of Medicare/Medicaid certified beds. Therefore, if the number of residents is less than or equal to the number of certified beds, we use the number of certified beds as the total beds. If, however, the number of residents is greater than the number of certified beds we use the number of available beds for total beds. This is done to keep the number of beds and all staffing related variables consistent because if a facility is reporting available beds they are also most likely reporting

staffing related to available beds. We further clean the total beds data by determining if the number of beds in a facility is consistent over time. If the number of beds is missing in the current survey, or implausible based on previous years' data, we impute totbeds based on previous values. Totals are generated at the county level.

nresid cty

County level (MDS): Number of MDS-assessed residents in county on 1st Thurs in April

\_\_\_\_\_\_

type: numeric (int)

units: 1 range: [11,29974]

unique values: 2,702 missing .: 0/32,236 missing .\*: 57/32,236 unique mv codes: 1

> mean: 421.238 std. dev: 1094.13

percentiles: 10% 25% 50% 75% 90% 86 169 347 48 805

## nresid\_cty:

1. Variable Group : General

2. Short Description: Number of nursing home residents.

3. Long Description: The Residential History File (RHF) was used to establish the number of nursing facility residents present in the county on the 1st Thursday in April. This value is used as the denominator in the prevalence measures.

County level (OSCAR): Percent Medicaid (prevalence)

paymcaid\_cty \_\_\_\_\_\_

type: numeric (double)

range: [0,100] units: .01

missing : 0/32,236unique values: 5,191

66.679 mean: std. dev: 12.7075

25% 50% 75% percentiles: 10% 90%

50.1 58.495 67.19 75.56 82.71

## paymcaid\_cty:

1. Variable Group : Insurance coverage

- 2. Short Description: Proportion of facility residents whose primary support is Medicaid averaged across all facilities in the county.
- 3. Long Description: The number of facility residents whose primary support was Medicaid (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents whose primary support was Medicaid was then calculated. The county level measure is the average proportion among all facilities in the county.

\_\_\_\_\_\_

County level (OSCAR): Percent Medicare (prevalence)

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type: numeric (double)

range: [0,100] units: .01

unique values: 2,732 missing .: 0/32,236

mean: 10.1958 std. dev: 6.47405

percentiles: 10% 25% 50% 75% 90%

5.79 9.99 14.02 17.86 1.89

#### paymcare\_cty:

1. Variable Group: Insurance coverage

- 2. Short Description: Proportion of facility residents whose primary support is Medicare averaged across all facilities in the county.
- 3. Long Description: The number of facility residents whose primary support was Medicare (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents whose primary support was Medicare was then calculated. The county level measure is the average proportion among all facilities in the county.

pctHMO cty County level (RHF): Percent with HMO (prevalence)

\_\_\_\_\_\_

type: numeric (double)

units: .01 range: [0,87.5]

unique values: 3,299 missing .: 13/32,236 unique mv codes: 2 missing .\*: 12,485/32,236

6.688 mean: std. dev: 13.0114

percentiles: 10% 25% 50% 75% 90%

## pctHMO\_cty:

- 1. Variable Group : Insurance coverage
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who were covered by a Medicare HMO (Health Maintenance Organization).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the county on the 1st Thursday in April. The proportion of residents covered by a Medicare Health Maintenance Organization (HMO) in a county was then determined based on Medicare enrollment records.

type: numeric (double)

range: [0,697.389] units: .001 unique values: 17,520 missing .: 2/32,236

mean: 25.116 std. dev: 30.6105

percentiles: 10% 25% 50% 75% 90% 0 8.719 17.805 31.549 53.969

#### la\_hbedstot\_000e\_cty:

- 1. Variable Group: Market Availability
- 2. Short Description: Number of hospital beds in the county for every 1000 persons age 65 or older.
- 3. Long Description: The number of hospital beds in the county for every 1000 persons age 65 and older is derived from the Area Resource File (ARF). We use the number of short-term general hospital beds in the county divided by the number of persons age 65 and multiply the result by 1000. The number of persons age 65 and over reported in the ARF is based on annual census estimates for each year, except in census years when actual numbers are reported.

la\_hha000e\_cty County level (ARF): Number of Home Health Agencies/1000 pop 65+

type: numeric (double)

range: [0,4.717] units: .001 unique values: 1,760 missing .: 2/32,236 mean: .310831 std. dev: .393358

percentiles: 10% 25% 50% 75% 90% 0 0 .2 .421 .771

#### la\_hha000e\_cty:

- 1. Variable Group: Market Availability
- 2. Short Description: Number of home health agencies in the county for every 1000 persons age 65 or older.
- 3. Long Description: The number of home health agencies in the county for every 1000 persons age 65 and older is derived from the Area Resource File (ARF). We use the number of home health agencies in the county divided by the number of persons age 65 and multiply the result by 1000. The number of persons age 65 and over reported in the ARF is based on annual census estimates for each year, except in census years when actual numbers are reported.

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type: numeric (double)

range: [0,64.81] units: .01

unique values: 3,613 missing .: 2/32,236

mean: 8.20849 std. dev: 10.7597

percentiles: 10% 25% 50% 75% 90% 0 .29 3.43 12.49 24.16

#### la\_medmcpen\_cty:

- 1. Variable Group: Market Availability
- 2. Short Description: Medicare managed care organization penetration rate.
- 3. Long Description: The Medicare managed care organization (MCO) penetration rate is drawn from the Area Resource File as reported by the Centers for Medicare and Medicaid Services. It is the proportion of all Medicare beneficiaries in the county who are enrolled in a Medicare MCO.

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la\_nursall\_000e\_cty

County level (ARF): Total full/part time RN/LPNs in NH/ST/LT hospitals/1000 pop 65+

\_\_\_\_\_

type: numeric (double)

range: [0,1738.095] units: .001

unique values: 18,255 missing .: 2/32,236

mean: 30.7388 std. dev: 33.728

percentiles: 10% 25% 50% 75% 90% 0 12.987 24.796 39.931 62.312

## la\_nursall\_000e\_cty:

1. Variable Group: Market Availability

- 2. Short Description: Number of nurses (RNs & LPNs) in the county for every 1000 persons age 65 or older.
- 3. Long Description: The number of nurses in the county for every 1000 persons age 65 and older is derived from the Area Resource File (ARF). We use the number of nurses in the county divided by the number of persons age 65 and multiply the result by 1000. The number of nurses includes both RNs and LPNs who are working either full-time or part-time in nursing homes, short-term hospitals, and long-term hospitals. The number of persons age 65 and over reported in the ARF is based on annual census estimates for each year, except in census years when actual numbers are reported.

\_\_\_\_\_

type: numeric (double)

range: [1.67,100] units: .01

unique values: 4,699 missing .: 8/32,236

mean: 83.117 std. dev: 12.0581

percentiles: 10% 25% 50% 75% 90% 66.58 76.56 85.68 92.16 96.21

## occpct\_cty:

1. Variable Group: Saturation

- 2. Short Description: Number of occupied beds in facility divided by the total number of beds and aggregated to the county level.
- 3. Long Description: Occupancy rate is the number of residents divided by total number of beds. The numerator comes directly from the OSCAR. The denominator is a cleaned estimated number of beds in the facility (see the description of totbeds for more information). The county level measure is the average among all facilities in the county.

-----

type: numeric (long)

range: [11,79432] units: 1

> mean: 910.722 std.dev: 2750.72

percentiles: 10% 25% 50% 75% 90% 44 94 232 663 1909.5

#### agg\_adm\_cty:

1. Variable Group : Saturation (all admissions)

- 2. Short Description: Total number of nursing home admissions in the year.
- 3. Long Description: All MDS admission records were aggregated to the facility using the Federal Facility Provider number found on the MDS. Admissions were counted using the Primary Reason for Assessment variable in the MDS dataset. This was then aggregated to the county level.

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#### la\_avgempbed\_cty

County level (OSCAR): Average number of empty nursing home beds per facility

type: numeric (double)

range: [0,201] units: 1.000e-08 unique values: 3,014 missing .: 14/32,236

mean: 15.0867 std. dev: 12.8283

percentiles: 10% 25% 50% 75% 90% 3 6.11111 12 20.5 31

## la\_avgempbed\_cty:

- 1. Variable Group : Saturation (county only)
- 2. Short Description: Number of empty nursing home beds in the county divided by the number of nursing homes in the county.
- 3. Long Description: The average number of empty beds in the county is calculated using OSCAR data. For each facility, the total number of residents is subtracted from the total number of beds (see description of 'totbeds') to determine the number of empty beds in the facility, then the average of all facilities in the county is calculated.

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type: numeric (double)

range: [.00312026,1] units: 1.000e-12 unique values: 9,222 missing .: 6/32,236

mean: .502029 std.dev: .335326

percentiles: 10% 25% 50% 75% 90%

.115451 .2226 .408395 1

## la\_herfbeds\_cty:

1. Variable Group : Saturation (county only)

- 2. Short Description: Measure of nursing home concentration/competition in the county ranging from 0 to 1. The closer to 1, the closer the county is to having a monopoly in nursing home beds.
- 3. Long Description: The Herfinadahl index is calculated using data from the annual OSCAR for all facilities in a county. Each facility's total beds (see description of variable 'totbeds') is squared and the sum for all facilities in the county is calculated, this sum is then divided by the sum of all county beds squared.

hospptyr\_cty County level (RHF): Number of hospitalizations per resident year

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type: numeric (double)

range: [0,4.1499611] units: 1.000e-12 unique values: 31,984 missing .: 3/32,236

mean: .793294 std. dev: .339905

percentiles: 10% 25% 50% 75% 90% .41097 .554072 .753797 .975324 1.20935

## hospptyr\_cty:

- 1. Variable Group : Service Utilization
- 2. Short Description: Number of hospitalizations during the calendar year for every 365 nursing home resident days in a facility aggregated to the county level.
- 3. Long Description: The Residential History File (RHF) was used to determine the number of nursing home days for all residents in each facility in the county during the calendar year. (Facilities with fewer than 4500 nursing home days were set to LNE.) This number of nursing

homes days was then divided by 365 to establish the number of resident years. The RHF was then used to count the number of hospitalizations of Medicare fee-for-service residents that occurred directly from the nursing home during the calendar year.

pctlshosp cty

County level (RHF): Percent of quarter 2 long-stay residents hospitalized in 6

type: numeric (double)

units: .01 range: [0,100]

unique values: 3,694 missing .: 2,227/32,236

mean: 20.6303 std. dev: 9.21946

75% 10% 25% 50% percentiles: 90% 14.18 19.61 25.93 32.61 10

#### pctlshosp\_cty:

1. Variable Group : Service Utilization

- 2. Short Description: Percent quarter 2 long-stay residents that were hospitalized in 6 months.
- 3. Long Description: The Residential History File (RHF) was used to the number of nursing facility residents in the county during the 2nd quarter of the given year who are judged to be long-stay residents at the time of the assessment. This served as the denominator of the measure. The numerator is the number of the above residents who subsequently had a fee-for-service Medicare hospital admission within 6 months (183 days) of the date of the MDS assessment.

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pctnhdayshospice\_cty

County level (RHF): Percent of total nursing home days with hospice

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type: numeric (double)

units: 1.000e-12 range: [0,51.863727] unique values: 29,136 missing .: 3/32,236

mean: 2.82248 std. dev: 3.49436

percentiles: 10% 25% 50% 75% 90%

.001969 .364582 1.6324 4.11343 7.07097

# pctnhdayshospice\_cty:

- 1. Variable Group: Service Utilization
- 2. Short Description: Proportion of all nursing home days during the calendar year that were hospice.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of nursing home days for all residents in each facility in the county in the calendar year. The RHF was also used to determine the number of those days that were hospice days. The proportion of days that were hospice was then calculated at the county level using these two counts.

pctNHdaysSNF\_cty County level (RHF): Percent NH days Medicare Reimbursed SNF

type: numeric (double)

range: [0,100] units: 1.000e-11 unique values: 30,497 missing .: 3/32,236

mean: 11.0035 std. dev: 6.41304

percentiles: 10% 25% 50% 75% 90% 2.71493 6.50366 10.8255 15.0438 18.9606

## pctNHdaysSNF\_cty:

- 1. Variable Group: Service Utilization
- 2. Short Description: Proportion of all nursing home days during the calendar year that were SNF (skilled nursing facility) Medicare covered days.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of nursing home days for all residents in each facility in the county in the calendar year. The RHF was also used to determine the number of those days that were skilled nursing facility (SNF) Medicare covered days. The proportion of days that were SNF was then calculated at the county level using these two counts.

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type: numeric (double)

range: [0,.52173913] units: 1.000e-11 unique values: 8,868 missing .: 12/32,236

mean: .152249

std. dev: .061304

percentiles: 10% 25% 50% 75% 90%

.076923 .116172 .153846 .189189 .223938

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cnahrppd\_cty County level (OSCAR): Average of averages CNA hours/resident/day

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type: numeric (double)

range: [.11,23.37] units: .01

unique values: 600 missing .: 7/32,236

mean: 2.22322 std.dev: .68583

percentiles: 10% 25% 50% 75% 90%

1.59 1.85 2.13 2.46 2.88

## cnahrppd\_cty:

1. Variable Group: Staffing

- 2. Short Description: The average CNA hours per resident day among all facilities in the county.
- 3. Long Description: Facilities report the number of Certified Nursing Assistant (CNA) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of CNA hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the CNA hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more 3 times the number of CNAs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. This county measure is the average CNA HPRD among all facilities.

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dchrppd\_cty

County level (OSCAR): Average of averages Direct Care staff hours/resident/day

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type: numeric (double)

range: [.97,23.19] units: .01

unique values: 714 missing .: 16/32,236

mean: 3.32235 std. dev: .868115

percentiles: 10% 25% 50% 75% 90% 2.46 2.8 3.2 3.68 4.25

#### dchrppd cty:

- 1. Variable Group: Staffing
- 2. Short Description: The number of direct-care staff hours per resident day within facility averaged across facilities in the county.
- 3. Long Description: Facilities report the number of Registered Nurse (RN), Licensed Practical Nurse (LPN), and Certified Nursing Assistant (CNA) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of RN plus LPN plus CNA hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the direct-care hours per resident day (DC HPRD). Because this variable is created using the previous cleaned RN, LPN, and CNA hours (as described in RN HPRD, LPN HPRD, and CNA HPRD) we do not do any additional cleaning of this variable. This county measure is the average DC HPRD among all facilities.

lpnhrppd\_cty County level (OSCAR): Average of averages LPN hours/resident/day

type: numeric (double)

range: [0,21.4] units: .01

unique values: 428 missing .: 4/32,236

mean: .802785 std. dev: .485488

percentiles: 10% 25% 50% 75% 90% .44 .59 .76 .93 1.12

# lpnhrppd\_cty:

- 1. Variable Group: Staffing
- 2. Short Description: The number of LPN hours per resident day within facility averaged across facilities in the county.
- 3. Long Description: Facilities report the number of Licensed Practical Nurse (LPN) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the

total number of LPN hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the LPN hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more RN and LPNs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. The county level measure is the average LPN HPRD among all facilities in the county.

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type: numeric (double)

range: [0,12] units: .01

unique values: 353 missing .: 2/32,236

mean: .37835 std.dev: .395445

percentiles: 10% 25% 50% 75% 90% .09 .16 .29 .47 .72

## rnhrppd cty:

- 1. Variable Group: Staffing
- 2. Short Description: RN hours per resident day.
- 3. Long Description: Facilities report the number of Registered Nurse (RN) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of RN hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the RN hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more RN and LPNs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. The county level measure is the average RN HPRD among all facilities in the county.

nhdays\_cty County level (RHF): Total nursing home days in year

type: numeric (long)

range: [0,8622449] units: 1

unique values: 29,360 missing .: 2/32,236

mean: 138361 std. dev: 330484

percentiles: 10% 25% 50% 75% 90%

15610 27884 57565 123196 282620

# **Appendix 3. State Level Codebook**

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Number of variables: 64 Number of observations: 539

\_\_\_\_\_

state State Abbreviation

type: string (str2)

unique values: 49 missing "": 0/539

examples: "HI"

"ME" "NJ" "SD"

\_\_\_\_\_

year Year of data

type: numeric (int)

range: [2000,2010] units: 1

unique values: 11 missing .: 0/539

mean: 2005 std. dev: 3.16522

percentiles: 10% 25% 50% 75% 90% 2001 2002 2005 2008 2009

year:

Variable Group : Identification
 Short Description : Calendar year

3. Long Description : Calendar year

\_\_\_\_\_

agg\_cmi\_sta State level (MDS): Average RUGS NCMI (all admits)

type: numeric (double)

range: [.96263118,1.1281471] units: 1.000e-11

unique values: 539 missing .: 0/539

mean: 1.05797 std. dev: .032646

percentiles: 10% 25% 50% 75% 90%

1.01415 1.03773 1.05997 1.08316 1.09809

agg\_cmi\_sta:

1. Variable Group : Acuity (all admissions)

2. Short Description: The average Resource Utilization Group Nursing Case

- Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents admitted during the calendar year.
- 3. Long Description: The average Nursing Case Mix Index (NCMI) was calculated by applying the Resource Utilization Groups version III (RUG-III) resident classification system currently used by CMS to adjust Medicare payments in recognition of resident acuity. This system classifies residents into homogeneous categories based on their estimated resource utilization. Associated with each of these categories is a case-mix index or weight, which approximates the relative staff time associated with caring for the average resident in each group. Thus, the higher the NCMI score, the more severe the average acuity profile of the residents in a facility. The resident-level NCMI was calculated in two steps. First, the RUG-III 5.12 code (44 categories in total) was used to generate a RUG classification for each resident. Second, the RUG code was converted into a NCMI value following the CMS proposed rule regarding fiscal year 2004 Skilled Nursing Facility (SNF) payment policies (Centers for Medicare & Medicaid Services 2003).

type: numeric (double)

range: [.5926812,10.773024] units: 1.000e-09 unique values: 539 missing .: 0/539

mean: 3.8851 std. dev: 2.2455

percentiles: 10% 25% 50% 75% 90% 1.46625 2.12503 3.21131 5.36369 7.38369

## agg\_low\_care\_sta:

- 1. Variable Group : Acuity (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were low care, according to the broad definition.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. The 'broad' definition of low-care status is met if a resident does not require physical assistance in any of the four late-loss ADLs-bed mobility, transferring, using the toilet, and eating-and is not classified in either the 'Special Rehab' or 'Clinically Complex' Resource Utilization Group (RUG-III). This was then averaged to the state level.

\_\_\_\_\_\_

type: numeric (double)

range: [11.55,20.18] units: .01 unique values: 364 missing .: 0/539

mean: 15.6988 std. dev: 1.68739

percentiles:	10%	25%	50%	75%	90%
	13.56	14.5	15.62	16.93	17.7

#### avgadl\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: The average Activities of Daily Living (ADL) score for all residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Individual scores were calculated from the Physical Functioning Self performance section of the MDS using Section G: Physical Functioning and Structural Problems, Question A: ADL self-performance. This score measures an individual's independence on 7 ADLs bed mobility, transfer, locomotion on unit, dressing, eating, toilet use, and personal hygiene. If personal hygiene was missing on the MDS assessment, the score for dressing was used twice. Each ADL is scored from 0-4, with 0 indicating total independence in that ADL and 4 indicating total dependence in that ADL. The ADL score range is from 0 to 28, where 0 indicates completely independent and 28 completely dependent. All individuals' scores were then averaged and aggregated to the state level.

avgrugcmi\_sta State level (MDS): Average RUGS NCMI (prevalence)

type: numeric (double)

range: [.7015,.9185] units: .0001 unique values: 465 missing .: 0/539

mean: .790263 std.dev: .043495

percentiles: 10% 25% 50% 75% 90% .7363 .7564 .788 .8198 .85

## avgrugcmi\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: The average Resource Utilization Group Nursing Case Mix Index (a measure of the relative intensity of care of different nursing home populations) for all residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. the average Nursing Case Mix Index (NCMI) was calculated by applying the Resource Utilization Groups version III (RUG-III) resident classification system currently used by CMS to adjust Medicare payments in recognition of resident acuity. This system classifies residents into homogeneous categories based on their estimated resource utilization. Associated with each of these categories is a case-mix index or weight, which approximates the relative staff time associated with caring for the average resident in each group. Thus, the higher the NCMI score, the more severe the average acuity profile of the residents in a facility. The resident-level NCMI was calculated in two steps. First, the RUG-III 5.12 code (44 categories in total) was used to generate a RUG classification for each resident. Second, the RUG code was

converted into an NCMI value following the CMS proposed rule regarding fiscal year 2004 Skilled Nursing Facility (SNF) payment policies (Centers for Medicare & Medicaid Services 2003).

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avgrxnum\_sta

State level (MDS): Mean number of medications in past 7 days per resident (prevalence)

\_\_\_\_\_\_\_

type: numeric (double)

range: [6.34,12.68] units: .01 unique values: 332 missing .: 0/539

mean: 9.81666 std. dev: 1.27063

percentiles: 10% 25% 50% 75% 90% 8.04 8.79 9.94 10.81 11.47

## avgrxnum\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Average number of medications in the past 7 days per resident present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. The number of different medications each individual received in the past 7 days was drawn from the most recent MDS assessment using Section 0: Medications, Question 1: Number of Medications used in last seven days. This measure was averaged to the state level.

pctbedft sta State level (MDS): Percent Bed fast (prevalence)

\_\_\_\_\_

type: numeric (double)

range: [.89,13.25] units: .01 unique values: 398 missing .: 0/539

mean: 4.48505 std. dev: 2.7013

percentiles: 10% 25% 50% 75% 90% 1.51 2.08 3.95 6.44 8.62

#### pctbedft\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bedfast.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether or not an individual was bedfast was drawn from the most recent MDS using Section G: Physical Functioning and Structural Problems, Question 6: Modes of Transfer, Bedfast all or most of the time. The proportion of residents with a 'yes' (indicating the

resident is bedfast) was calculated at the state level.

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pctcath\_sta
State level (MDS): Percent Catheter (prevalence)

type: numeric (double)

range: [3.89,12.77] units: .01 unique values: 337 missing .: 0/539

mean: 6.78275 std. dev: 1.6731

percentiles: 10% 25% 50% 75% 90% 4.99 5.58 6.46 7.57 8.85

## pctcath\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who have a catheter.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual had an indwelling catheter was drawn from the most recent MDS assessment using Section H: Continence in last 14 days, Question 3d: Appliances and Programs, Indwelling Catheter. The proportion of residents who have a catheter was then calculated at the state level.

pctchf\_sta State level (MDS): Percent CHF (prevalence)

\_\_\_\_\_\_

type: numeric (double)

range: [9.12,33.96] units: .01 unique values: 443 missing .: 0/539

mean: 19.5816 std. dev: 4.68478

percentiles: 10% 25% 50% 75% 90% 14.13 16.4 18.73 22.06 26.22

## pctchf\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who have congestive heart failure.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual had congestive heart failure was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, Question 1f. Note that the MDS instructions state to check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death. The proportion of residents with CHF was then calculated and aggregated to the state level.

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pctdnh\_sta

State level (MDS): Percent with Do-Not-Hospitalize order (prevalence)

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type: numeric (double)

range: [.23,15.82] units: .01 unique values: 401 missing .: 0/539

mean: 4.20566 std.dev: 3.64694

percentiles: 10% 25% 50% 75% 90% 1 1.49 2.97 5.65 10.47

## pctdnh\_sta:

1. Variable Group : Acuity (prevalence)

2. Short Description: Proportion of residents present on the 1st Thursday in April who are Do Not Hospitalize.

3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's Do Not Hospitalize (DNH) status was drawn from the most recent MDS admission or annual assessment using Section A: Identification and Background Information, Question 10c: Advanced directives, DNH. The proportion of residents with a 'yes' (indicating the resident has a filed DNH order) was calculated at the state level.

pctdnr\_sta State level (MDS): Percent Do-Not-Resuscitate (prevalence)

type: numeric (double)

range: [20.92,83.9] units: .01 unique values: 507 missing .: 0/539

mean: 60.6927 std. dev: 13.2072

percentiles: 10% 25% 50% 75% 90% 44.5 51.94 61.41 72.91 77.05

#### pctdnr\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Do Not Resuscitate.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's Do Not Resuscitate (DNR) status was drawn from the most recent MDS admission or annual assessment using Section A: Identification and Background Information, Question 10b: Advanced directives, DNR. The proportion of residents with a 'yes' (indicating the resident has a filed DNR order) was calculated at the state level.

pcthighcps\_sta State level (MDS): Percent CPS=5,6 (prevalence)

type: numeric (double)

units: .01 range: [9.18,32.55] unique values: 460 missing .: 0/539

mean: 20.1268 std. dev: 4.12456

 
 10%
 25%
 50%
 75%
 90%

 15.02
 17.42
 19.97
 22.75
 25.53
 percentiles:

## pcthighcps\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 5 or 6 (severe cognitive impairment).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's Cognitive Performance Score (CPS) was calculated from the most recent MDS assessment and the proportion of residents with a CPS score of 5 or 6 was then calculated at the state

State level (MDS): Percent CPS=0,1,2 (prevalence) pctlowcps\_sta

type: numeric (double)

range: [22.13,51.54] units: .01 missing .: 0/539 unique values: 460

mean: 38.0424 std. dev: 5.07006

10% 25% 50% 75% 90% percentiles: 31 35.05 38.26 41.19 44.47

## pctlowcps sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April with a Cognitive Performance Scale (CPS) score of 0, 1, or 2 (low cognitive impairment).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's Cognitive Performance Score (CPS) was calculated from the most recent MDS assessment and the proportion of residents with a CPS score of 0, 1, or 2 was then calculated at the state level.

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pcthyper\_sta State level (MDS): Percent Hypertension (prevalence) -----

type: numeric (double)

range: [31.3,73.15] units: .01 unique values: 499 missing .: 0/539

mean: 52.1463 std. dev: 9.0979

percentiles: 10% 25% 50% 75% 90% 40.44 45.26 51.71 58.72 65.62

## pcthyper\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with hypertension.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual had hypertension was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, Question 1h. Note that the MDS instructions state 'check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death.' The proportion of residents with hypertension was then calculated at the state level.

pctincont\_bladr\_sta State level (MDS): Percent Bladder incontinent (prevalence)

type: numeric (double)

range: [48.18,78.41] units: .01 unique values: 472 missing .: 0/539

mean: 62.146 std. dev: 5.72648

percentiles: 10% 25% 50% 75% 90% 55.79 58.57 61.77 65.5 70.44

## pctincont\_bladr\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bladder incontinent.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether or not an individual was bowel incontinent was drawn from the most recent MDS using Section H: Continence in last 14 days, Question 1b, values 2, 3, or 4 (indicating 'occasionally,' 'frequently,' or 'always' incontinent in the past 14 days). The proportion of residents who are bladder incontinent was then calculated at the state level.

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type: numeric (double)

range: [30.55,67.79] units: .01 unique values: 507 missing .: 0/539

mean: 48.9782 std. dev: 9.31334

percentiles: 10% 25% 50% 75% 90% 35.13 42.07 50.77 55.49 60.8

## pctincont\_bowel\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are bowel incontinent.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether or not an individual was bowel incontinent was drawn from the most recent MDS using Section H: Continence in last 14 days, Question 1a, values 2, 3, or 4 (indicating 'occasionally,' 'frequently,' or 'always' incontinent in the past 14 days). The proportion of residents who are bowel incontinent was then calculated at the state level.

octlocare\_sta State level (MDS): Percent low care (prevalence)

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type: numeric (double)

range: [1.08,33.1] units: .01 unique values: 486 missing .: 0/539

mean: 15.4285 std. dev: 5.97079

percentiles: 10% 25% 50% 75% 90% 8.35 10.91 15.08 19.62 22.98

#### pctlocare\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who were low care, according to the broad definition.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. This measure was calculated from the most recent MDS assessment. The 'broad' definition of low-care status is met if a resident does not require physical assistance in any of the four late-loss ADLs-bed mobility, transferring, using the toilet, and eating-and is not classified in either the 'Special Rehab' or 'Clinically Complex' Resource Utilization Group (RUG-III). This measure is then averaged at the state level.

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type: numeric (double)

range: [4.6,25.89] units: .01 unique values: 450 missing .: 0/539

mean: 17.1299 std. dev: 4.02432

percentiles: 10% 25% 50% 75% 90% 12.07 14.18 17.32 19.96 22.45

## pctobese\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who had a body mass index (BMI) of 35 or higher.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. The proportion of residents in the state with a body mass index (BMI) of 35 or greater was then calculated using the height and weight data from the most recent MDS assessment using Section K: Oral/Nutritional Status, questions 1a (height in inches) and 1b (weight in lbs.). Calculations resulting in highly improbable BMI (<10 or >60) were set to missing.

pctrxdep\_sta State level (MDS): Percent receiving antidepressants

(prevalence)

type: numeric (double)

range: [18.51,64.7] units: .01 unique values: 487 missing .: 0/539

mean: 49.2349 std. dev: 7.67175

percentiles: 10% 25% 50% 75% 90% 38.78 43.4 50.69 55.14 58.13

## pctrxdep\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antidepressants.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual was receiving antidepressants was drawn from the most recent MDS assessment using Section 0: Medications, question 4c: Number of days during the last 7 days the resident received antidepressant medication (if this value is greater than 0 then the resident was counted as receiving antidepressant medication). The proportion of residents receiving antidepressants was calculated at the state level.

pctrxpsych\_sta (prevalence)

State level (MDS): Percent receiving antipsychotics

type: numeric (double)

range: [10.22,36.21] units: .01 unique values: 450 missing .: 0/539

mean: 26.1346 std. dev: 4.38172

 
 10%
 25%
 50%
 75%
 90%

 20.91
 23.57
 25.98
 29.22
 31.74
 percentiles:

## pctrxpsych\_sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual was receiving antipsychotics was drawn from the most recent MDS assessment using Section O: Medications, question 4a: Number of days during the last 7 days the resident received antipsychotic medication (if this value is greater than 0 then the resident was counted as receiving antipsychotic medication). The proportion of residents receiving antipsychotics was calculated at the state level.

# pctrxpsyoff\_sta

State level (MDS): Percent of non-psychotic residents receiving antipsychotics off-label (prevalence)

type: numeric (double)

range: [8.38,28.62] units: .01 missing .: 0/539 unique values: 446

mean: 20.5012 std. dev: 3.40428

percentiles: 10% 25% 50% 75% 16.26 18.4 20.49 22.98 75% 90% 25

#### pctrxpsyoff\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents in the facility on the 1st Thursday in April receiving antipsychotics off-label.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual was receiving antipsychotics off-label was drawn from the most recent MDS assessment using Section 0: Medications, question 4a: Number of days during the last 7 days the resident received antipsychotic medication (if this value is greater than

O then the resident was counted as receiving antipsychotic medication). Use was considered off label if the resident does not have schizophrenia and/or bi-polar disorder (MDS Section I: Disease Diagnoses, question 1ff (Bipolar disease) or question 1gg (Schizophrenia)). The proportion of residents receiving antipsychotics off-label was calculated at the state level.

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pctschiz\_bipol\_sta

State level (MDS): Percent Schizophrenic or Bi-polar (prevalence)

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type: numeric (double)

range: [2.83,21.06] units: .01 unique values: 382 missing .: 0/539

mean: 7.21987 std. dev: 2.45673

percentiles: 10% 25% 50% 75% 90% 4.92 5.66 6.75 8.22 10.11

#### pctschiz bipol sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April with Schizophrenia or Bi-polar Disorder.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual had schizophrenia and/or bi-polar disorder was drawn from the most recent MDS assessment using Section I: Disease Diagnoses, question 1ff (Bipolar disease) or question 1gg (Schizophrenia). Note that the MDS instructions state 'check only those diseases that have a relationship to current ADL status, cognitive status, mood and behavior status, medical treatments, nursing monitoring, or risk of death.' The proportion of residents with hypertension was then calculated at the state level.

type: numeric (double)

range: [4.86,13.33] units: .01 unique values: 343 missing .: 0/539

mean: 8.46571 std. dev: 1.48535

percentiles: 10% 25% 50% 75% 90% 6.78 7.35 8.28 9.48 10.57

#### pctuti sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present in the state on the 1st Thursday in April with a urinary tract infection.

3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual had a urinary tract infection (UTI) was drawn from the most recent MDS using section I: Disease Diagnoses, question 2c (Urinary tract infection in last 30 days). The proportion of residents with a UTI was calculated at the state level.

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pctvent\_sta State level (MDS): Percent Ventilator (prevalence)

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type: numeric (double)

range: [0,2.38] units: .01
unique values: 108 missing .: 0/539
unique mv codes: 1 missing .\*: 117/539

mean: .402867 std. dev: .362856

percentiles: 10% 25% 50% 75% 90% .08 .15 .28 .56 .83

#### pctvent sta:

1. Variable Group : Acuity (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are on a ventilator.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Whether an individual was being treated with a ventilator/respirator was drawn from the most recent MDS assessment using Section P: Special treatments and procedures received during the last 14 days, question 11: Ventilator/respirator. The proportion of residents with a ventilator was calculated at the state level.

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#### pctwalking\_sta

State level (MDS): Percent Walk independently in corridor (prevalence)

type: numeric (double)

range: [3.82,34.99] units: .01 unique values: 478 missing .: 0/539

mean: 17.2575 std. dev: 6.67816

percentiles: 10% 25% 50% 75% 90% 8.82 11.63 16.74 23.03 25.53

## pctwalking\_sta:

- 1. Variable Group : Acuity (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who can walk in corridor.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st

Thursday in April. This measure was drawn from the most recent MDS and the proportion of residents able to walk independently was calculated using MDS section G, question 1A, part d ("walk in corridor", value = 0). This ADL self-performance scale ranges from 0 (independent) to 4 (total dependence). The proportion of residents with a 0 (independent) was calculated at the state level.

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type: numeric (double)

range: [55.695696,69.449504] units: 1.000e-09 unique values: 539 missing .: 0/539

mean: 64.0275 std. dev: 2.261

percentiles: 10% 25% 50% 75% 90%

60.9293 62.7519 64.3561 65.618 66.6084

#### agg female sta:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were female.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's gender was drawn from the MDS assessments using Section AA: Identification Information, Question 2: Gender. The proportion of individuals admitted to the facility who were female was then calculated at the state level.

type: numeric (double)

range: [3.175336,19.079356] units: 1.000e-09 unique values: 539 missing .: 0/539

mean: 8.71081 std. dev: 3.54578

percentiles: 10% 25% 50% 75% 90% 4.63609 5.82022 7.83358 11.105 14.1399

## agg\_home\_sta:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of all admissions during the calendar year that were from home.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about whether an individual was admitted to the facility from home was gathered from MDS using Section AB: Demographic Information, Question 2: Admitting From at Entry, response # 1 or 2. The proportion of individuals

admitted from home was then calculated at the state level.

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agg\_u65\_sta State level (MDS): Percent under 65 years old (all admits)

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type: numeric (double)

range: [6.0093705,17.994455] units: 1.0006 units: 1.000e-09

unique values: 539

mean: 11.4057 std. dev: 2.35438

10%25%50%75%90%8.543129.796811.15812.68714.8525 percentiles:

## agg\_u65\_sta:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were below age 65.
- 3. Long Description : Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Each individual's age at admission was calculated from the date of birth listed on the MDS assessment using Section AA: Identification Information, question 3 (birthdate). The proportion of individuals under age 65 at admission was then calculated at the state level.

\_\_\_\_\_\_ State level (MDS): Average age avgage\_sta

type: numeric (double)

range: [75.02,84.5] units: .01 unique values: 380 missing .: 0/539

mean: 80.739 std. dev: 1.89541

10% 25% 50% 75% 90% percentiles: 78.44 79.53 80.61 82.08 83.38

## avgage sta:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Average age of residents present on the 1st Thursday in April.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Individual age was calculated using the date of birth from the Medicare denominator data. For individuals not covered by Medicare, age was calculated using the date of birth found on their most recent MDS assessment using Section AA: Identification Information, question 3 (birthdate). Ages of all individuals were averaged at the state level

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type: numeric (double)

range: [.11424002,29.216687] units: 1.000e-10 missing .: 0/539 unique values: 513 missing .\*: 26/539 unique mv codes: 1

mean: 8.45845 std. dev: 7.98007

percentiles: 10% 25% 50% 75% 90% .351252 2.14507 5.96198 12.0057 22.0651

## agg\_black\_sta:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were Black.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals admitted to each facility who were 'Black, not of Hispanic origin' (response #3) was then calculated at the state level.

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type: numeric (double)

range: [.06255687,24.728445] units: 1.000e-10 missing .: 0/539 unique values: 527 missing .\*: 12/539 unique mv codes: 1

> mean: 2.44939 std. dev: 4.32107

10% 25% 50% 75% percentiles: 90% .203544 .373734 .771162 2.27084 7.5581

#### agg\_hispanic\_sta:

- 1. Variable Group : Demographics (all admissions)
- 2. Short Description: Proportion of residents admitted during the calendar year who were Hispanic.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4(race/ethnicity). The proportion of individuals at the facility who were 'Hispanic' (response #4) was then calculated at the state level.

agg\_white\_sta State level (MDS): Percent White (all admits)

type: numeric (double)

range: [23.266609,99.623008] units: 1.000e-09 unique values: 539 missing .: 0/539

mean: 86.0912 std. dev: 12.344

percentiles: 10% 25% 50% 75% 90% 72.5876 79.6088 89.2017 94.8762 96.8127

## agg\_white\_sta:

1. Variable Group : Demographics (all admissions)

- 2. Short Description: Proportion of residents admitted during the calendar year who were White.
- 3. Long Description: Nursing home admissions were identified using the Primary Reason for Assessment variable in the MDS datasets. Information about each individual's race was gathered from MDS Section AA 4 (race/ethnicity). The proportion of individuals admitted in the facility who were 'White, not of Hispanic origin' (response #5) was then calculated at the state level.

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type: numeric (double)

range: [61.63,78.89] units: .01 unique values: 416 missing .: 0/539

mean: 70.8885 std.dev: 3.11982

percentiles: 10% 25% 50% 75% 90% 66.24 68.84 71.47 73.08 74.21

#### pctfem\_sta:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are female.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's sex was drawn from the most recent MDS assessment using section AA: Identification Information, Question 2: Gender. The proportion of residents who are female was calculated at the state level.

type: numeric (double)

range: [5.02,25.85] units: .01 unique values: 448 missing .: 0/539

mean: 12.3438

std. dev: 3.88051

percentiles: 10% 25% 50% 75% 90% 7.73 9.43 12.02 14.52 17.39

### pctunder65\_sta:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on 1st Thursday in April who are under 65 years old.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Individual age was calculated using the date of birth from the Medicare denominator file. For individuals not covered by Medicare, age was calculated using the date of birth found on their most recent MDS assessment using Section AA: Identification Information, question 3 (birthdate). The proportion of facility residents under age 65 was then calculated at the state level.

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type: numeric (double)

range: [0,35.79] units: .01 unique values: 424 missing .: 0/539 unique mv codes: 1 missing .\*: 46/539

> mean: 10.9991 std. dev: 9.96359

percentiles: 10% 25% 50% 75% 90% .62 2.72 8.29 16.12 28.38

# pctblack\_sta:

- 1. Variable Group : Demographics (prevalence)
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are Black.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'Black, not of Hispanic origin' is one of five race/ethnicity categories. The proportion of residents who are Black was calculated at the state level.

pcthisp\_sta State level (MDS): Percent Hispanic (prevalence)

type: numeric (double)

range: [.09,28.48] units: .01 unique values: 278 missing .: 0/539 unique mv codes: 1 missing .\*: 37/539 mean: 2.94873 std. dev: 5.02972

percentiles: 10% 25% 50% 75% 90% .19 .38 .88 2.83 8.94

## pcthisp\_sta:

1. Variable Group : Demographics (prevalence)

2. Short Description: Proportion of residents present on the 1st Thursday in April who are Hispanic.

3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'Hispanic' is one of five race/ethnicity categories. The proportion of residents who are Hispanic was calculated at the state level.

pctwhite\_sta State level (MDS): Percent White (prevalence)

type: numeric (double)

range: [19.37,99.54] units: .01 unique values: 486 missing .: 0/539

mean: 83.9838 std. dev: 14.1628

percentiles: 10% 25% 50% 75% 90% 67.97 74.43 87.64 95.06 97.57

# pctwhite\_sta:

1. Variable Group : Demographics (prevalence)

- 2. Short Description: Proportion of residents present on the 1st Thursday in April who are White.
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. Each individual's race was drawn from the most recent MDS assessment using Section AA: Identification Information, Question 4: Race/Ethnicity. If race was missing on the MDS it was drawn from the Medicare denominator file. On the MDS 'White' is one of five race/ethnicity categories. The proportion of residents who are White was calculated at the state level.

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# alzunit\_sta

State level (OSCAR): Percent of facilities with Alzheimer's special care unit

type: numeric (double)

range: [0,44.74] units: .01 unique values: 460 missing .: 0/539

mean: 19.2119 std. dev: 9.57991

percentiles: 10% 25% 50% 75% 90% 6.35 11.55 20 26.36 31.86

#### alzunit sta:

- 1. Variable Group: Facility Characteristics
- 2. Short Description: The proportion of facilities that have an Alzheimer's SCU in the state.
- 3. Long Description: Whether a facility has an Alzheimer's Disease special care unit (SCU) was derived from the annual OSCAR data. In the OSCAR data this is reported as number of beds. If a facility reported having any Alzheimer's Disease beds they were considered to have an Alzheimer's Disease SCU. At the state level, the variable is the proportion of facilities that have an Alzheimer's SCU.

facpoor\_sta State level (OSCAR): Percent resource-poor homes based on payer mix

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type: numeric (double)

range: [0,34.09] units: .01 unique values: 416 missing .: 0/539

mean: 8.63551 std. dev: 6.28048

percentiles: 10% 25% 50% 75% 90% 2.29 4.5 7.18 11.06 16.67

#### facpoor sta:

- 1. Variable Group: Facility Characteristics
- 2. Short Description: Proportion of facilities in the state considered low-resource based on resident payer mix.
- 3. Long Description: Proportion of facilities in the state considered low-resource based on resident payer mix. The annual OSCAR data was used to determine if a facility was considered low-resource based on the breakdown of the primary payer of residents during the two weeks prior to their annual survey. This facility measure was aggregated to the state level to show the proportion of nursing homes in the state that meet the criteria for low-resource.

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type: numeric (double)

range: [12.39,76.6] units: .01 unique values: 467 missing .: 0/539

mean: 53.5253 std. dev: 11.8108

percentiles: 10% 25% 50% 75% 90%

39.02 47.93 53.54 61.78 68.25

## multifac\_sta:

- 1. Variable Group : Facility Characteristics
- 2. Short Description: The proportion of facilities within the state that are part of chains.
- 3. Long Description: Whether a facility was owned or leased by a multi-facility (chain) organization was derived from the annual OSCAR data. The state level measure is the proportion of facilities that are part of chains within the state.

-----

restrain\_sta State level (MDS): Percent Restrained (prevalence)

type: numeric (double)

range: [.6,23.59] units: .01 unique values: 427 missing .: 0/539

mean: 6.54017 std. dev: 4.09795

percentiles: 10% 25% 50% 75% 90% 2.26 3.51 5.68 8.66 12.4

## restrain sta:

1. Variable Group : Facility Characteristics

- 2. Short Description: Average proportion of facility residents who were restrained across facilities in the state.
- 3. Long Description: The number of facility residents who were restrained (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. For state, this is the proportion of all residents in all facilities who were restrained.

totbeds\_sta State level (OSCAR): Average number of beds per facility

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type: numeric (long)

range: [2965,127721] units: 1 unique values: 529 missing .: 0/539

mean: 34571.1 std. dev: 32432.8

percentiles: 10% 25% 50% 75% 90% 5679 7822 25563 43844 92856

#### totbeds sta:

1. Variable Group: Facility Characteristics

- 2. Short Description: Number of beds as reported on the annual OSCAR (imputed from previous year if missing or implausible).
- 3. Long Description: A two step process is used to create the total number of beds. Some facilities report the number of available beds instead of the number of Medicare/Medicaid certified beds. Therefore, if the number

of residents is less than or equal to the number of certified beds, we use the number of certified beds as the total beds. If, however, the number of residents is greater than the number of certified beds we use the number of available beds for total beds. This is done to keep the number of beds and all staffing related variables consistent because if a facility is reporting available beds they are also most likely reporting staffing related to available beds. We further clean the total beds data by determining if the number of beds in a facility is consistent over time. If the number of beds is missing in the current survey, or implausible based on previous years' data, we impute totbeds based on previous values. Totals are generated at the state level.

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#### nresid\_sta

State level (MDS): Number of MDS-assessed residents in facilities on 1st Thurs in April

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type: numeric (long)

range: [2112,98980] units: 1 unique values: 533 missing .: 0/539

mean: 25158.7 std. dev: 23515.5

percentiles: 10% 25% 50% 75% 90% 3839 6251 19299 32594 69533

#### nresid\_sta:

1. Variable Group : General

2. Short Description: Number of nursing home residents.

3. Long Description: The Residential History File (RHF) was used to establish the number of nursing facility residents present in the state on the 1st Thursday in April. This value is used as the denominator in the prevalence measures.

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type: numeric (double)

range: [47.02,81.07] units: .01 unique values: 470 missing .: 0/539

mean: 64.264 std. dev: 6.33943

percentiles: 10% 25% 50% 75% 90% 55.93 60.21 64.02 68.25 72.79

## paymcaid\_sta:

- 1. Variable Group: Insurance coverage
- 2. Short Description: Proportion of facility residents whose primary support is Medicaid averaged across all facilities in the state.
- 3. Long Description : The number of facility residents whose primary

support was Medicaid (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents whose primary support was Medicaid was then calculated. The state level measure in the average proportion among all facilities in the state.

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paymcare\_sta
State level (OSCAR): Percent Medicare (prevalence)

type: numeric (double)

range: [3.78,20.84] units: .01 unique values: 430 missing .: 0/539

mean: 12.0547 std. dev: 3.24721

percentiles: 10% 25% 50% 75% 90% 7.68 9.73 11.95 14.42 16.32

## paymcare\_sta:

1. Variable Group: Insurance coverage

- 2. Short Description: Proportion of facility residents whose primary support is Medicare averaged across all facilities in the state.
- 3. Long Description: The number of facility residents whose primary support was Medicare (at the time of the annual survey) was drawn from the annual OSCAR data, as was the total number of facility residents. The proportion of residents whose primary support was Medicare was then calculated. The state level measure is the average proportion among all facilities in the state.

pctHMO\_sta State level (RHF): Percent with HMO (prevalence)

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type: numeric (double)

range: [0,61.93] units: .01 unique values: 438 missing .: 0/539 unique mv codes: 1 missing .\*: 20/539

> mean: 10.8562 std. dev: 12.2348

percentiles: 10% 25% 50% 75% 90% .96 2.43 6.06 16.27 27.34

## pctHMO\_sta:

- 1. Variable Group : Insurance coverage
- 2. Short Description: Proportion of residents present on the 1st Thursday in April who were covered by a Medicare HMO (Health Maintenance Organization).
- 3. Long Description: The Residential History File was used to establish the population of residents in each facility in the state on the 1st Thursday in April. The proportion of residents covered by a Medicare Health Maintenance Organization (HMO) in a state was then determined

based on Medicare enrollment records.

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occpct\_sta State level (OSCAR): Average percent occupancy

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type: numeric (double)

range: [63.3,100] units: .01 unique values: 485 missing .: 0/539

mean: 84.4271 std. dev: 6.88113

percentiles: 10% 25% 50% 75% 90% 73.62 80.39 86.42 89.47 91.64

### occpct\_sta:

1. Variable Group : Saturation

- 2. Short Description: Number of occupied beds in facility divided by the total number of beds and aggregated to the state level.
- 3. Long Description: Occupancy rate is the number of residents divided by total number of beds. The numerator comes directly from the OSCAR. The denominator is a cleaned estimated number of beds in the facility (see the description of totbeds for more information). The state level measure is the average among all facilities in the state.

agg\_adm\_sta State level (MDS): Number of admissions in year

type: numeric (long)

range: [1816,265768] units: 1 unique values: 535 missing .: 0/539

mean: 54259.6 std. dev: 56862.4

percentiles: 10% 25% 50% 75% 90% 7093 14433 32966 64402 149454

#### agg\_adm\_sta:

- 1. Variable Group : Saturation (all admissions)
- 2. Short Description: Total number of nursing home admissions in the year.
- 3. Long Description: All MDS admission records were aggregated to the facility using the Federal Facility Provider number found on the MDS. Admissions were counted using the Primary Reason for Assessment variable in the MDS dataset. This was then aggregated to the state level.

hospptyr\_sta State level (RHF): Number of hospitalizations per resident year

type: numeric (double)

range: [.33667109,1.3496505] units: 1.000e-09

unique values: 539 missing .: 0/539

.797732 mean: std. dev: .210288

10% 25% 50% 75% 90% .528688 .609187 .820434 .94002 1.06011 percentiles:

#### hospptyr\_sta:

1. Variable Group : Service Utilization

- 2. Short Description: Number of hospitalizations during the calendar year for every 365 nursing home resident days in a facility aggregated to the state level.
- 3. Long Description: The Residential History File (RHF) was used to determine the number of nursing home days for all residents in the facility during the calendar year. (Facilities with fewer than 4500 nursing home days were set to LNE.) This number of nursing homes days was then divided by 365 to establish the number of resident years. The RHF was then used to count the number of hospitalizations of Medicare fee-for-service residents that occurred directly from the nursing home during the calendar year. This was then aggregated to the state level.

State level (RHF): Total nursing home days nhdays sta

type: numeric (long)

units: 1 missing .: 0/539 range: [367238,33431761] unique values: 539

mean: 8.3e+06 std. dev: 7.8e+06

percentiles: 10% 25% 50% 75% 2.2e+06 5.9e+06 1.2e+07 1.2e+06 2.3e + 07

## nhdays sta:

1. Variable Group: Service Utilization

- 2. Short Description: Total Nursing Home days for the state.
- 3. Long Description: Total nursing home days for the year in the state. The Residential History File (RHF) was used to establish the number of nursing home days for all residents in the state during the calendar

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# pctlshosp\_sta

State level (RHF): Percent quarter 2 long-stay residents hospitalized in 6 months

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type: numeric (double)

range: [6.49,27.71] units: .01 unique values: 45 missing .: 492/539

mean: 15.1602 std. dev: 3.99413

percentiles:	10%	25%	50%	75%	90%
	11.14	13.53	14.61	15.91	19.95

#### pctlshosp sta:

- 1. Variable Group: Service Utilization
- 2. Short Description: Percent quarter 2 long-stay residents that were hospitalized in 6 months.
- 3. Long Description: The Residential History File (RHF) was used to the number of nursing facility residents in the state during the 2nd quarter of the given year who are judged to be long-stay residents at the time of the assessment. This served as the denominator of the measure. The numerator is the number of the above residents who subsequently had a fee-for-service Medicare hospital admission within 6 months (183 days) of the date of the MDS assessment.

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## pctnhdayshospice\_sta

State level (RHF): Percent of total nursing home days with hospice

type: numeric (double)

range: [.09659068,14.045787] units: 1.000e-10 unique values: 539 missing .: 0/539

mean: 3.10782 std. dev: 2.27417

percentiles: 10% 25% 50% 75% 90% .701014 1.38835 2.60424 4.37601 6.03154

## pctnhdayshospice\_sta:

- 1. Variable Group: Service Utilization
- 2. Short Description: Proportion of all nursing home days during the calendar year that were hospice.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of nursing home days for all residents in the facility in the calendar year. The RHF was also used to determine the number of those days that were hospice days. The proportion of days that were hospice was then calculated at the state level.

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type: numeric (double)

range: [4.0077386,31.540749] units: 1.000e-11 unique values: 539 missing .: 0/539

mean: 13.6376 std.dev: 4.34232

percentiles: 10% 25% 50% 75% 90% 8.00291 10.6663 13.5341 16.6233 19.1202

## pctNHdaysSNF\_sta:

- 1. Variable Group: Service Utilization
- 2. Short Description: Proportion of all nursing home days during the calendar year that were SNF (skilled nursing facility) Medicare covered days.
- 3. Long Description: The Residential History File (RHF) was used to establish the number of nursing home days for all residents in the facility in the calendar year. The RHF was also used to determine the number of those days that were skilled nursing facility (SNF) Medicare covered days. The proportion of days that were SNF was then calculated at the state level using these two counts.

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rehosp\_sta State level (RHF): Average 30-day rehospitalization rate

type: numeric (double)

range: [.08324206,.25260777] units: 1.000e-10 unique values: 539 missing .: 0/539

mean: .164848 std. dev: .029844

percentiles: 10% 25% 50% 75% 90% .123173 .142563 .168854 .184856 .200663

## rehosp\_sta:

- 1. Variable Group : Service Utilization
- 2. Short Description: 30-day rehospitalization rate.
- 3. Long Description: The Residential History File was used to determine how many nursing facility admissions from the hospital were rehospitalized within 30 days of entry. This was aggregated to the state level and divided by the total number of admissions to the nursing facilities in the state to get the rehospitalization rate.

cnahrppd\_sta State level (OSCAR): Average of averages CNA hours/resident/day

type: numeric (double)

range: [1.7,3.75] units: .01 unique values: 117 missing .: 0/539

mean: 2.33234 std. dev: .272497

percentiles: 10% 25% 50% 75% 90% 2.03 2.16 2.29 2.48 2.69

## cnahrppd\_sta:

- 1. Variable Group : Staffing
- 2. Short Description: The average CNA hours per resident day among all facilities in the state.
- 3. Long Description: Facilities report the number of Certified Nursing Assistant (CNA) hours during the two weeks prior to their annual survey.

CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of CNA hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the CNA hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more 3 times the number of CNAs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. This state measure is the average CNA HPRD among all facilities.

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#### dchrppd\_sta

State level (OSCAR): Average of averages Direct Care staff hours/resident/day

type: numeric (double)

range: [2.69,4.9] units: .01 unique values: 152 missing .: 0/539

mean: 3.54996 std. dev: .378392

percentiles: 10% 25% 50% 75% 90% 3.08 3.3 3.53 3.79 3.96

## dchrppd\_sta:

1. Variable Group : Staffing

- 2. Short Description: The number of direct-care staff hours per resident day within facility averaged across facilities in the state.
- 3. Long Description: Facilities report the number of Registered Nurse (RN), Licensed Practical Nurse (LPN), and Certified Nursing Assistant (CNA) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of RN plus LPN plus CNA hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the direct-care hours per resident day (DC HPRD). Because this variable is created using the previous cleaned RN, LPN, and CNA hours (as described in RN HPRD, LPN HPRD, and CNA HPRD) we do not do any additional cleaning of this variable. This state measure is the average DC HPRD among all facilities.

lpnhrppd\_sta State level (OSCAR): Average of averages LPN hours/resident/day

type: numeric (double)

range: [.31,1.63] units: .01 unique values: 92 missing .: 0/539

mean: .81731

std. dev: .211479

percentiles: 10% 25% 50% 75% 90% .55 .67 .82 .99 1.06

#### lpnhrppd\_sta:

1. Variable Group: Staffing

- 2. Short Description: The number of LPN hours per resident day within facility averaged across facilities in the state.
- 3. Long Description: Facilities report the number of Licensed Practical Nurse (LPN) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of LPN hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the LPN hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more RN and LPNs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. The state level measure is the average LPN HPRD among all facilities in the state.

rnhrppd\_sta State level (OSCAR): RN hours per resident day

type: numeric (double)

range: [.17,1.58] units: .01 unique values: 93 missing .: 0/539

mean: .525566 std. dev: .204574

percentiles: 10% 25% 50% 75% 90% .3 .4 .5 .61 .73

# rnhrppd\_sta:

- 1. Variable Group: Staffing
- 2. Short Description: RN hours per resident day.
- 3. Long Description: Facilities report the number of Registered Nurse (RN) hours during the two weeks prior to their annual survey. CMS converts the number of hours into full-time equivalents (based on a 35 hour work week) and this is what is reported on the annual OSCAR data. We convert the FTEs back into hours, by multiplying by 35, and divide the total number of RN hours by the number of residents in the facility (also drawn from the OSCAR) to arrive at the RN hours per resident day (HPRD). We also clean this variable when the FTEs reported are implausible. We set to missing when total FTEs are 995 or higher or if there are more RN and LPNs reported than the number of beds in a facility. We also verify staffing variables based on a facility's data from previous years and impute based on previous data if staffing levels are less than 1/3 the median of previous years or greater than 3 times the median, for example. The state level measure is the average RN HPRD among all facilities in

the state.

adi mrate sta State level (SP survey): Average Medicaid Per Diem

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type: numeric (double)

range: [82.922561,230.4007] unics: missing .: 59/539 units: 1.000e-08

unique values: 479

mean: 148.356 std. dev: 29.2556

25% 50% 75% percentiles: 10% 90% 111.519 124.033 147.435 166.944 188.301

adj\_mrate\_sta:

1. Variable Group : State Policy

2. Short Description: Rate of Medicaid spending to total Medicaid days in nursing homes for the state.

3. Long Description: This rate is the total Medicaid nursing home spending in the state divided by the total number of Medicaid days in nursing homes. CPI-adjusted average daily Medicaid rate (2004 \$) The consumer price index (U.S. city average of all items for all urban consumers, obtained from the Bureau of Labor Statistics, U.S. Department of Labor) was used to adjust the nominal rates to constant dollars.

State level (SP survey): Has a Medicaid Bed Hold Payment bedhold\_sta

type: numeric (byte)

range: [0,1] units: 1

missing .: 64/539 unique values: 2

tabulation: Freq. Value

104 0 371 1 64 .

## bedhold sta:

1. Variable Group : State Policy

- 2. Short Description: Indicates whether or not state has a Medicaid Bed Hold payment.
- 3. Long Description: Indicator for whether or not the state has a Medicaid Bed Hold payment. Bedhold refers to a policy whereby a state pays the nursing home to hold a Medicaid resident's bed if the resident requires a hospital admission.

State level (SP survey): Has Medicaid Case Mix Reimbursement casemix sta

type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 59/539

tabulation: Freq. Value 142 0

338 1 59 .

#### casemix sta:

1. Variable Group : State Policy

- 2. Short Description: Indicates whether or not state has a case mix reimbursement system.
- 3. Long Description: Indicator for whether or not state has a case mix reimbursement system. Case-mix reimbursement systems are used to adjust payments to nursing homes based on resident acuity level. The payment may vary by year and state.

type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 104/539

tabulation: Freq. Value

326 0 109 1 104 .

# pthru\_sta:

1. Variable Group : State Policy

- 2. Short Description: Indicates whether or not state has a Medicaid wage pass-through policy.
- 3. Long Description: Wage pass-throughs are additional Medicaid payments to nursing homes that are earmarked for direct-care staff to increase wages or staffing levels.

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tax\_sta State level (SP Survey): Collects a daily bed or resident tax

type: numeric (byte)

range: [0,1] units: 1

unique values: 2 missing .: 94/539

tabulation: Freq. Value

202 0 243 1 94 .

#### tax sta:

1. Variable Group : State Policy

2. Short Description: Indicates whether or not the state collects a daily bed or resident tax.

3.	Long bed of	Desc r re	cript: esider	ion nt ta	: Ir ax.	ndica The	ates v amour	wheth	er or y vary	not by	the s	state and b	collec y stat	ets a ce.	daily

# **Appendix 4. Variable Missingness**

Table A4.1 Facility Level - Type of Missingness Per Variable Across All Available Years of Data

-	J.F. S.	Non-m	nissing	Missi Ce Suppre	II	Miss Otl	_
		N	%	N	%	N	%
county	FIPS County code	177915	99.96			72	0.04
nhlat	Nursing home latitude	177963	99.99			24	0.01
nhlong	Nursing home longitude	177963	99.99			24	0.01
prov0475	OSCAR: Facility Name	177987	100.00				0.02
prov1680	OSCAR: Nursing Facility Number	177987	100.00				
prov2720	OSCAR: Street Address	177986	100.00			1	0.00
prov2905	OSCAR: Zip Code	177987	100.00			_	0.00
prov3225	OSCAR: City	177987	100.00				
state	State Abbreviation	177987	100.00				
year	Year of data	177987	100.00				
agg_adl_fac	Long ADL (all admits)	173379	97.41	3658	2.06	950	0.53
agg_cmi_fac	Average RUGS NCMI (all admits)	173308	97.37	3658	2.06	1021	0.57
agg_cps_fac	Percent CPS=5,6 (all admits) Percent with Do-Not-Resuscitate	86818	48.78	90219	50.69	950	0.53
agg_dnr_fac	order (all admits)	153053	85.99	23984	13.48	950	0.53
agg_low_care_fac	Percent low care (all admits) Percent any prior NH stay (all	50473	28.36	126564	71.11	950	0.53
agg_nh_fac	admits)	153309	86.13	23715	13.32	963	0.54
avgadl_fac	Long ADL (prevalence)	167148	93.91	8692	4.88	2147	1.21
avgrugcmi_fac	Average RUGS NCMI (prevalence) Average number of medications	167148	93.91	8692	4.88	2147	1.21
avgrxnum_fac	(prevalence)	167148	93.91	8692	4.88	2147	1.21
pctbedft_fac	Percent Bed fast (prevalence)	61726	34.68	114114	64.11	2147	1.21
pctcath_fac	Percent Catheter (prevalence)	35863	20.15	139977	78.64	2147	1.21
pctchf_fac	Percent CHF (prevalence) Percent with a Do-Not-Hospitalize	110309	61.98	65531	36.82	2147	1.21
pctdnh_fac	order (prevalence) Percent with Do-Not-Resuscitate	101390	56.96	74450	41.83	2147	1.21
pctdnr_fac	order (prevalence)	154578	86.85	21262	11.95	2147	1.21
pcthighcps_fac	Percent CPS=5,6 (prevalence)	102285	57.47	73555	41.33	2147	1.21
pctlowcps_fac	Percent CPS=0,1,2 (prevalence)	149268	83.86	26572	14.93	2147	1.21
pcthyper_fac	Percent Hypertension (prevalence) Percent Bladder incontinent	158645	89.13	17195	9.66	2147	1.21
pctincont_bladr_fac	(prevalence) Percent Bowel incontinent	162064	91.05	13776	7.74	2147	1.21
pctincont_bowel_fac	(prevalence)	154643	86.88	21197	11.91	2147	1.21
pctlocare_fac	Percent low care (prevalence)	88323	49.62	87517	49.17	2147	1.21

pctobese_fac	Percent Obese (prevalence) Percent receiving antidepressants	100260	56.33	75579	42.46	2148	1.21
pctrxdep_fac	(prevalence) Percent receiving antipsychotics	158101	88.83	17739	9.97	2147	1.21
pctrxpsych_fac	(prevalence)	126833	71.26	49007	27.53	2147	1.21
pctrxpsyoff_fac	Percent of non-psychotic residents receiving antipsychotics off-label Percent Schizophrenic or Bi-polar	111937	62.89	63903	35.90	2147	1.21
pctschiz_bipol_fac	(prevalence)	49248	27.67	126592	71.12	2147	1.21
pctuti_fac	Percent UTI (prevalence)	42832	24.06	133008	74.73	2147	1.21
pctvent_fac	Percent Ventilator (prevalence) Percent Walk independently in	157300	88.38	18540	10.42	2147	1.21
pctwalking_fac	corridor (prevalence)	96005	53.94	79835	44.85	2147	1.21
agg_female_fac	Percent Female (all admits) Percent of admissions from home	167980	94.38	9057	5.09	950	0.53
agg_home_fac	(all admits) Percent under 65 years old (all	92206	51.80	84803	47.65	978	0.55
agg_u65_fac	admits)	99787	56.06	77250	43.40	950	0.53
avgage_fac	Average age Percent of admissions from acute	167148	93.91	8692	4.88	2147	1.21
agg_hosp_fac	care (all admits)	161428	90.70	15581	8.75	978	0.55
agg_black_fac	Percent Black (all admits)	112188	63.03	64849	36.43	950	0.53
agg_hispanic_fac	Percent Hispanic (all admits)	112238	63.06	64799	36.41	950	0.53
agg_white_fac	Percent White (all admits)	169772	95.38	7265	4.08	950	0.53
pctfem_fac	Percent Female (prevalence) Percent under 65 years old	164332	92.33	11508	6.47	2147	1.21
pctunder65_fac	(prevalence)	68512	38.49	107328	60.30	2147	1.21
pctblack_fac	Percent Black (prevalence)	102050	57.34	73790	41.46	2147	1.21
pcthisp_fac	Percent Hispanic (prevalence)	111245	62.50	64595	36.29	2147	1.21
pctwhite_fac	Percent White (prevalence) Weighted deficiency score, all	163338	91.77	12502	7.02	2147	1.21
adefscore_fac	deficiencies,	170647	95.88			7340	4.12
alzunit_fac	Alzheimer's special care unit Any special care unit (excluding	177987	100.00				
anyunit_fac	ventilator unit) Low resource facility based on	177987	100.00				
facpoor_fac	payer mix	177983	100.00			4	0.00
hospbase_fac	Facility is hospital-based	177987	100.00				
multifac_fac	Facility is part of a chain	177987	100.00				
profit_fac	Facility is for-profit	177936	99.97			51	0.03
restrain_fac	Percent Restrained (prevalence)	177983	100.00			4	0.00
totbeds_fac	Number of beds Number of MDS-assessed residents	177987	100.00				
nresid_fac	in facility	167148	93.91	8692	4.88	2147	1.21
srvydate	Survey date (copy of PROV2740)	177987	100.00				

paymcaid_fac	Percent Medicaid (prevalence)	177983	100.00			4	0.00
paymcare_fac	Percent Medicare (prevalence)	177983	100.00			4	0.00
pctHMO_fac	Percent with HMO (prevalence)	96714	54.34	78917	44.34	2356	1.32
occpct_fac	Occupancy rate	177848	99.92			139	0.08
adm_bed_fac	Number of admissions per bed Number of admissions to facility in	21334	11.99	155703	87.48	950	0.53
agg_adm_fac	year Number of hospitalizations per	173379	97.41	3658	2.06	950	0.53
hospptyr_fac	resident year	177581	99.77			406	0.23
nhdays_fac	Total nursing home days in year Percent quarter 2 long-stay	177589	99.78			398	0.22
pctlshosp_fac	residents hospitalized Percent of total nursing home days	174910	98.27			3077	1.73
pctnhdayshospice_fac	with hospice Percent NH days Medicare	177581	99.77			406	0.23
pctNHdaysSNF_fac	Reimbursed SNF Facility 30-day rehospitalization	177581	99.77			406	0.23
rehosp_fac	rate	176925	99.40			1062	0.60
anymdex_fac	Facility has an NP or PA	177987	100.00				
cnahrppd_fac	CNA hours per resident day Direct care staff hours per resident	177222	99.57			765	0.43
dchrppd_fac	day	176661	99.26			1326	0.74
lpnhrppd_fac	LPN hours per resident day	177657	99.81			330	0.19
rn2nrs_fac	Ratio of RNs to RNs + LPNs	177954	99.98			33	0.02
rnhrppd_fac	RN hours per resident day	177873	99.94			114	0.06

Table A4.2 Facility Level - Overall Missingness Per Variable by Year

		Year (2000-2010)										
Facility level		'00	'01	'02	'03	'04	'05	'06	'07	'08	'09	'10
		%	%	%	%	%	%	%	%	%	%	%
county	FIPS County code	0.01	0.02	0.06	0.05	0.05	0.06	0.05	0.05	0.04	0.03	0.03
nhlat	Nursing home latitude	0.06	0.04	0.02	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.01
nhlong	Nursing home longitude	0.06	0.04	0.02	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.01
prov0475	OSCAR: Facility Name	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
prov1680	OSCAR: Nursing Facility Number	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
prov2720	OSCAR: Street Address	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
prov2905	OSCAR: Zip Code	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
prov3225	OSCAR: City	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
state	State Abbreviation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
year	Year of data	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
agg_adl_fac	Long ADL (all admits)	2.77	2.76	2.37	2.42	2.23	2.19	1.89	1.87	2.16	2.20	5.63
agg_cmi_fac	Average RUGS NCMI (all admits)	2.78	2.77	2.38	2.43	2.27	2.24	1.93	1.90	2.21	2.29	5.76
agg_cps_fac	Percent CPS=5,6 (all admits)	45.89	46.05	46.03	47.13	49.63	49.87	51.01	52.42	53.16	54.01	69.50
	Percent with Do-Not-Resuscitate											
agg_dnr_fac	order (all admits)	17.21	15.63	14.12	13.50	12.98	12.13	11.54	10.96	11.08	11.02	23.73
agg_low_care_fac	Percent low care (all admits)	73.73	73.38	74.09	74.08	73.65	72.56	72.89	72.54	71.15	69.95	59.44
	Percent any prior NH stay (all											
agg_nh_fac	admits)	17.23	16.06	14.74	13.70	12.96	11.39	10.76	10.73	10.50	10.05	24.11
avgadl_fac	Long ADL (prevalence) Average RUGS NCMI	8.49	7.40	7.29	6.64	6.17	5.62	5.38	5.13	5.16	4.72	4.65
avgrugcmi_fac	(prevalence)	8.49	7.40	7.29	6.64	6.17	5.62	5.38	5.13	5.16	4.72	4.65
	Average number of medications											
avgrxnum_fac	(prevalence)	8.49	7.40	7.29	6.64	6.17	5.62	5.38	5.13	5.16	4.72	4.65
pctbedft_fac	Percent Bed fast (prevalence)	68.95	68.03	67.47	66.11	64.70	63.91	64.42	64.65	64.29	63.19	62.26
pctcath_fac	Percent Catheter (prevalence)	79.31	78.86	79.52	79.77	80.16	79.75	80.05	79.96	80.07	80.30	80.73
pctchf_fac	Percent CHF (prevalence)	38.62	38.09	38.48	37.80	36.65	36.74	36.49	36.51	37.49	37.66	43.77
	Percent with a Do-Not-											
pctdnh_fac	Hospitalize order (prevalence)	43.06	42.97	42.85	43.15	43.59	43.41	42.98	43.01	43.33	42.75	42.27

	Percent with Do-Not-Resuscitate											
pctdnr_fac	order (prevalence)	18.20	15.83	14.77	13.50	12.67	12.06	11.91	11.40	11.47	11.06	11.21
pcthighcps_fac	Percent CPS=5,6 (prevalence)	35.34	35.29	35.96	38.11	41.00	43.53	45.09	46.99	48.97	48.58	50.50
pctlowcps_fac	Percent CPS=0,1,2 (prevalence) Percent Hypertension	18.46	16.57	16.73	16.17	16.06	15.98	15.58	15.09	15.44	15.56	15.64
pcthyper_fac	(prevalence) Percent Bladder incontinent	16.41	14.15	13.12	11.88	10.95	9.80	9.15	8.57	8.34	7.61	8.83
pctincont_bladr_fac	(prevalence) Percent Bowel incontinent	12.28	10.69	10.51	9.38	8.79	8.27	8.06	7.73	7.68	7.44	7.13
pctincont_bowel_fac	(prevalence)	15.62	14.16	14.06	13.20	12.83	12.70	12.58	12.47	12.34	11.96	12.05
pctlocare_fac	Percent low care (prevalence)	42.95	42.96	44.37	45.92	48.12	50.54	52.22	53.87	56.45	58.20	60.09
pctobese_fac	Percent Obese (prevalence)	62.36	56.89	51.80	47.58	43.83	41.01	38.71	36.64	35.15	32.61	31.09
	Percent receiving											
pctrxdep_fac	antidepressants (prevalence)	18.46	15.09	13.49	11.83	10.53	9.63	9.11	8.65	8.82	8.32	8.10
	Percent receiving antipsychotics											
pctrxpsych_fac	(prevalence)	38.43	32.95	29.37	26.41	24.97	24.84	26.20	27.13	28.14	28.18	28.77
	Percent of non-psychotic											
	residents receiving	46.52	40.57	26.20	22.40	22.24	22.54	24.52	26.00	27.04	20.04	20.07
pctrxpsyoff_fac	antipsychotics off-label	46.53	40.57	36.39	33.19	32.24	32.54	34.52	36.09	37.94	38.81	38.87
notochia hinol foc	Percent Schizophrenic or Bi-	72 77	73.09	72 27	73.03	72.02	72.51	72.42	71.98	71.65	70.40	70.26
pctschiz_bipol_fac	polar (prevalence)	73.77		73.27	76.79	72.93 76.46		72.42		71.65	70.48	
pctuti_fac	Percent UTI (prevalence)	77.88	77.69 13.76	77.12 13.59	12.15	11.27	75.89 10.77	74.81 10.63	74.15 10.34	74.74 10.34	74.81 10.14	74.60 9.74
pctvent_fac	Percent Ventilator (prevalence)	14.69	13.76	13.59	12.15	11.27	10.77	10.63	10.34	10.34	10.14	9.74
pctwalking_fac	Percent Walk independently in corridor (prevalence)	41.30	41.12	42.71	43.39	45.44	46.60	47.12	48.73	49.58	50.43	51.18
agg_female_fac	Percent Female (all admits)	6.28	6.16	5.41	5.24	5.01	46.60	47.12	46.73	49.56	4.53	11.44
agg_remale_rac	,	0.20	0.10	5.41	5.24	5.01	4.09	4.44	4.11	4.57	4.55	11.44
agg_home_fac	Percent of admissions from home (all admits)	43.96	43.14	43.70	43.59	44.74	44.55	46.61	48.26	49.11	50.36	73.43
agg_nome_rac	Percent under 65 years old (all	43.30	43.14	43.70	43.33	44.74	44.33	40.01	40.20	49.11	30.30	73.43
agg_u65_fac	admits)	51.62	49.88	47.07	44.88	43.66	41.64	40.66	38.99	37.73	36.70	49.44
avgage_fac	Average age	8.49	7.40	7.29	6.64	6.17	5.62	5.38	5.13	5.16	4.72	4.65
	Percent of admissions from											
agg_hosp_fac	acute care (all admits)	12.16	11.94	10.54	9.65	8.71	7.91	7.44	6.93	6.96	6.96	12.78
agg_black_fac	Percent Black (all admits)	37.47	37.17	37.02	36.51	36.59	36.30	35.86	36.04	35.60	35.56	42.55

agg_hispanic_fac	Percent Hispanic (all admits)	33.12	33.94	34.72	36.09	36.87	38.30	37.88	38.47	39.04	39.15	39.36
agg_white_fac	Percent White (all admits)	5.09	4.76	4.36	4.25	4.15	3.99	3.64	3.68	3.96	3.99	8.93
pctfem_fac	Percent Female (prevalence) Percent under 65 years old	10.45	9.06	8.89	8.16	7.77	7.13	6.88	6.61	6.68	6.20	6.20
pctunder65_fac	(prevalence)	69.21	67.02	65.20	63.07	61.81	60.42	59.21	58.88	58.32	56.44	55.86
pctblack_fac	Percent Black (prevalence)	44.59	43.36	43.35	42.74	42.70	42.48	42.00	41.70	42.29	41.92	41.96
pcthisp_fac	Percent Hispanic (prevalence)	36.19	36.09	36.79	37.26	37.41	37.26	37.85	38.13	38.47	38.44	38.83
pctwhite_fac	Percent White (prevalence) Weighted deficiency score, all	10.66	9.46	9.31	8.74	8.31	7.77	7.55	7.33	7.39	6.91	6.77
adefscore_fac	deficiencies,	6.24	5.45	6.03	5.20	4.62	3.37	2.80	2.90	2.52	2.77	3.11
alzunit_fac	Alzheimer's special care unit	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Any special care unit (excluding											
anyunit_fac	ventilator unit)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Low resource facility based on											
facpoor_fac	payer mix	0.00	0.02	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
hospbase_fac	Facility is hospital-based	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
multifac_fac	Facility is part of a chain	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
profit_fac	Facility is for-profit	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.21	0.11	0.00	0.00
restrain_fac	Percent Restrained (prevalence)	0.00	0.02	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
totbeds_fac	Number of beds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Number of MDS-assessed											
nresid_fac	residents in facility	8.49	7.40	7.29	6.64	6.17	5.62	5.38	5.13	5.16	4.72	4.65
srvydate	Survey date (copy of PROV2740)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
paymcaid_fac	Percent Medicaid (prevalence)	0.00	0.02	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
paymcare_fac	Percent Medicare (prevalence)	0.00	0.02	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctHMO_fac	Percent with HMO (prevalence)	43.30	40.91	38.87	37.61	37.14	39.11	44.44	53.53	56.35	56.70	55.76
occpct_fac	Occupancy rate	0.13	0.14	0.03	0.10	0.09	0.06	0.04	0.06	0.03	0.11	0.06
adm_bed_fac	Number of admissions per bed Number of admissions to facility	89.14	89.05	88.76	88.65	88.39	87.47	87.06	86.64	85.56	85.24	92.01
agg_adm_fac	in year	2.77	2.76	2.37	2.42	2.23	2.19	1.89	1.87	2.16	2.20	5.63
	Number of hospitalizations per											
hospptyr_fac	resident year	0.25	0.21	0.21	0.16	0.16	0.14	0.16	0.18	0.19	0.22	0.63
nhdays_fac	Total nursing home days in year	0.25	0.20	0.20	0.16	0.16	0.14	0.16	0.18	0.18	0.22	0.62

pctlshosp_fac	Percent quarter 2 long-stay residents hospitalized	2.38	1.81	1.67	1.55	1.54	1.53	1.51	1.44	1.82	1.73	2.02
	Percent of total nursing home											
pctnhdayshospice_fac	days with hospice	0.25	0.21	0.21	0.16	0.16	0.14	0.16	0.18	0.19	0.22	0.63
	Percent NH days Medicare											
pctNHdaysSNF_fac	Reimbursed SNF	0.25	0.21	0.21	0.16	0.16	0.14	0.16	0.18	0.19	0.22	0.63
	Facility 30-day rehospitalization											
rehosp_fac	rate	0.65	0.58	0.55	0.50	0.49	0.51	0.47	0.47	0.55	0.52	1.27
anymdex_fac	Facility has an NP or PA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
cnahrppd_fac	CNA hours per resident day	0.58	0.47	0.36	0.40	0.38	0.35	0.38	0.39	0.47	0.55	0.39
	Direct care staff hours per											
dchrppd_fac	resident day	1.04	0.77	0.72	0.64	0.70	0.59	0.63	0.77	0.73	0.84	0.72
lpnhrppd_fac	LPN hours per resident day	0.18	0.18	0.13	0.14	0.19	0.13	0.22	0.25	0.18	0.22	0.22
rn2nrs_fac	Ratio of RNs to RNs + LPNs	0.03	0.01	0.02	0.04	0.04	0.03	0.00	0.01	0.02	0.00	0.01
rnhrppd_fac	RN hours per resident day	0.09	0.09	0.05	0.08	0.06	0.07	0.04	0.04	0.06	0.06	0.05

Table A4.3 County Level - Type of Missingness Per Variable Across All Available Years of Data

	y never Type of Missinghess Fer va		nissing	Miss Ce Suppre	sing: ell	Mis	sing: her
		N	%	N	%	N	%
county	FIPS County code	32236	100.00				
state	State Abbreviation	32236	100.00				
year	Year of data	32236	100.00				
agg_cmi_cty	Average RUGS NCMI (all admits)	31927	99.04	296	0.92	13	0.04
agg_low_care_~y	Percent Low care (all admits)	18031	55.93	14195	44.03	10	0.03
avgadl_cty	Long ADL (prevalence)	32166	99.78	57	0.18	13	0.04
avgrugcmi_cty	Average RUGS NCMI (prevalence)	32166	99.78	57	0.18	13	0.04
	Average number of medications in						
avgrxnum_cty	past 7 days per resident (prevalence)	32166	99.78	57	0.18	13	0.04
pctbedft_cty	Percent Bed fast (prevalence)	16846	52.26	15377	47.70	13	0.04
pctcath_cty	Percent Catheter (prevalence)	16879	52.36	15344	47.60	13	0.04
pctchf_cty	Percent CHF (prevalence)	28633	88.82	3590	11.14	13	0.04
	Percent Do-Not-Resuscitate order						
pctdnh_cty	(prevalence)	19557	60.67	12666	39.29	13	0.04
	Percent Do-Not-Resuscitate order	24746	00.20	507	4 5 7	42	0.04
pctdnr_cty	(prevalence)	31716	98.39	507	1.57	13	0.04
pcthighcps_cty	Percent CPS=5,6 (prevalence)	27285	84.64	4938	15.32	13	0.04
pctlowcps_cty	Percent CPS=0,1,2 (prevalence)	30900	95.86	1323	4.10	13	0.04
pcthyper_cty	Percent Hypertension (prevalence) Percent Bladder incontinent	31604	98.04	619	1.92	13	0.04
pctincont_bla~y	(prevalence) Percent Bowel incontinent	31911	98.99	312	0.97	13	0.04
pctincont_bow~y	(prevalence)	31098	96.47	1125	3.49	13	0.04
pctlocare_cty	Percent low care (prevalence)	26341	81.71	5882	18.25	13	0.04
pctobese_cty	Percent Obese (prevalence)	27207	84.40	5016	15.56	13	0.04
pctrxdep_cty	Percent receiving antidepressants	31616	98.08	607	1.88	13	0.04
pctrxpsych_cty	Percent receiving antipsychotics	29081	90.21	3142	9.75	13	0.04
pctrxpsyoff_cty	Percent of non-psychotic residents receiving antipsychotics off-label Percent Schizophrenic or Bi-polar	28118	87.23	4105	12.73	13	0.04
pctschiz_bipo~y	(prevalence)	17030	52.83	15193	47.13	13	0.04
pctuti_cty	Percent UTI (prevalence)	20122	62.42	12101	37.54	13	0.04
pctvent_cty	Percent Ventilator (prevalence)	27735	86.04	4488	13.92	13	0.04
perverit_ery	Percent Walk independently in	27733	00.01	1100	13.32	13	0.01
pctwalking_cty	corridor (prevalence)	26776	83.06	5447	16.90	13	0.04
agg_female_cty	Percent Female (all admits) Percent Admits from home (all	31437	97.52	789	2.45	10	0.03
agg_home_cty	admits)	26899	83.44	5327	16.53	10	0.03
agg_u65_cty	Percent Under 65 years old (all	22337	69.29	9889	30.68	10	0.03

	admits)						
avgage_cty	Average age	32166	99.78	57	0.18	13	0.04
agg_black_cty	Percent Black (all admits)	23380	72.53	8846	27.44	10	0.03
agg_hispanic_~y	Percent Hispanic (all admits)	20676	64.14	11550	35.83	10	0.03
agg_white_cty	Percent White (all admits)	31702	98.34	524	1.63	10	0.03
pctfem_cty	Percent Female (prevalence)	32096	99.57	127	0.39	13	0.04
	Percent under 65 years old						
pctunder65_cty	(prevalence)	21224	65.84	10999	34.12	13	0.04
pctblack_cty	Percent Black (prevalence)	23070	71.57	9153	28.39	13	0.04
pcthisp_cty	Percent Hispanic (prevalence)	21133	65.56	11090	34.40	13	0.04
pctwhite_cty	Percent White (prevalence)	31996	99.26	227	0.70	13	0.04
	Percent of facilities with Alzheimer's						
alzunit_cty	special care unit	32236	100.00				
	Percent of low resource facilities						
facpoor_cty	based on payer mix	32236	100.00				
multifac_cty	Percent of facilities part of chain	32236	100.00				
profit_cty	Percent of facilities for-profit	32235	100.00			1	0.00
restrain_cty	Percent Restrained (prevalence)	32236	100.00				
totbeds_cty	Number of beds	32236	100.00				
	Number of MDS-assessed residents in						
nresid_cty	county on 1st Thurs in April	32179	99.82	57	0.18		
paymcaid_cty	Percent Medicaid (prevalence)	32236	100.00				
paymcare_cty	Percent Medicare (prevalence)	32236	100.00				
pctHMO_cty	Percent with HMO (prevalence)	19738	61.23	12485	38.73	13	0.04
	Number of hospital beds/1000 pop						
la_hbedstot_0~y	65+	32234	99.99			2	0.01
la laba 000a atri	Number of Home Health	22224	00.00			2	0.01
la_hha000e_cty	Agencies/1000 pop 65+	32234	99.99			2	0.01
la_medmcpen_cty	Medicare managed care penetration	32234	99.99			2	0.01
la mumaall 0000	Total full/part time RN/LPNs in	22224	00.00			2	0.01
la_nursall_00~y	NH/ST/LT hospitals/1000 pop 65+	32234	99.99			2	0.01
occpct_cty	Average percent occupancy	32228	99.98	200	0.02	8	0.02
agg_adm_cty	Number of NH admissions in year	31930	99.05	296	0.92	10	0.03
le avecamented w.	Average number of empty nursing	2222	00.00			1.4	0.04
la_avgempbed_~y	home beds per facility Herfindahl index for Nursing Home	32222	99.96			14	0.04
la_herfbeds_cty	beds	32230	99.98			6	0.02
id_nerrocus_cty	Number of hospitalizations per	32230	33.30			Ü	0.02
hospptyr_cty	resident year	32233	99.99			3	0.01
	Percent of quarter 2 long-stay						
pctlshosp_cty	residents hospitalized in 6 months	30009	93.09			2227	6.91
	Percent of total nursing home days						
pctnhdayshosp~y	with hospice	32233	99.99			3	0.01
pctNHdaysSNF_~y	Percent NH days Medicare	32233	99.99			3	0.01

	Reimbursed SNF				
rehosp_cty	Average 30-day rehospitalization rate Average of averages CNA	32224	99.96	12	0.04
cnahrppd_cty	hours/resident/day Average of averages Direct Care staff	32229	99.98	7	0.02
dchrppd_cty	hours/resident/day Average of averages LPN	32220	99.95	16	0.05
lpnhrppd_cty	hours/resident/day Average of averages RN	32232	99.99	4	0.01
rnhrppd_cty	hours/resident/day	32234	99.99	2	0.01
nhdays_cty	Total nursing home days in year	32234	99.99	2	0.01

Table A4.4 County Level - Overall Missingness Per Variable by Year

		Year (2000-2010)										
<b>County Level</b>		'00	'01	'02	'03	'04	'05	'06	'07	'08	'09	'10
		%	%	%	%	%	%	%	%	%	%	%
county	FIPS County code	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
state	State Abbreviation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
year	Year of data	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
agg_cmi_cty	Average RUGS NCMI (all admits)	0.82	0.92	0.75	0.82	0.61	0.82	0.55	0.79	0.75	0.82	2.91
agg_low_care_~y	Percent Low care (all admits)	35.39	35.86	38.64	39.56	40.16	39.77	42.71	46.50	48.61	52.26	65.50
avgadl_cty	Long ADL (prevalence) Average RUGS NCMI	0.71	0.24	0.24	0.07	0.24	0.14	0.07	0.10	0.21	0.10	0.27
avgrugcmi_cty	(prevalence) Average number of medications in past 7 days per resident	0.71	0.24	0.24	0.07	0.24	0.14	0.07	0.10	0.21	0.10	0.27
avgrxnum_cty	(prevalence)	0.71	0.24	0.24	0.07	0.24	0.14	0.07	0.10	0.21	0.10	0.27
pctbedft_cty	Percent Bed fast (prevalence)	44.19	45.51	47.12	47.16	47.56	48.47	49.13	48.45	49.74	48.18	49.69
pctcath_cty	Percent Catheter (prevalence)	45.69	46.67	46.51	46.85	47.29	47.78	47.93	47.69	48.10	49.35	50.21
pctchf_cty	Percent CHF (prevalence) Percent Do-Not-Resuscitate	9.48	10.03	10.79	10.25	10.67	10.57	10.82	11.51	11.22	11.56	16.08
pctdnh_cty	order (prevalence) Percent Do-Not-Resuscitate	37.98	38.24	39.16	39.73	39.62	40.04	39.47	39.32	39.65	39.81	39.64
pctdnr_cty	order (prevalence)	3.09	2.31	2.04	1.46	1.16	1.50	1.19	1.30	1.03	1.13	1.51
pcthighcps_cty	Percent CPS=5,6 (prevalence)	10.77	10.33	11.88	12.33	14.39	15.89	16.46	17.87	18.68	19.24	21.23
pctlowcps_cty	Percent CPS=0,1,2 (prevalence) Percent Hypertension	4.28	3.40	3.44	3.51	4.09	4.23	4.30	4.30	4.52	4.32	5.21
pcthyper_cty	(prevalence) Percent Bladder incontinent	3.13	2.62	2.59	2.01	2.01	1.91	1.57	1.50	1.30	0.96	1.95
pctincont_bla~y	(prevalence) Percent Bowel incontinent	1.77	1.12	1.09	0.89	0.72	1.02	0.75	0.89	1.06	0.86	0.93
pctincont_bow~y	(prevalence)	3.70	2.92	3.30	3.23	3.20	3.51	3.41	4.13	3.83	3.67	3.91
pctlocare_cty	Percent low care (prevalence)	13.62	13.53	14.54	15.02	16.50	17.74	19.29	20.12	22.17	24.25	24.52
pctobese_cty	Percent Obese (prevalence) Percent receiving	22.89	20.19	18.22	16.72	15.38	14.70	13.49	13.50	13.27	11.73	11.42
pctrxdep_cty	antidepressants	4.11	2.69	2.21	1.94	1.60	1.74	1.57	1.40	1.37	1.17	1.34

pctrxpsych_cty	Percent receiving antipsychotics Percent of non-psychotic residents receiving antipsychotics	12.91	11.22	10.35	8.51	8.42	8.36	8.84	9.09	9.68	9.98	10.29
pctrxpsyoff_cty	off-label	15.90	13.63	12.43	10.86	11.01	11.22	11.88	12.40	13.51	13.79	13.89
and a him him a new	Percent Schizophrenic or Bi-polar	F4 70	40.07	40.04	40.55	47.46	46.66	45.00	45.64	44.05	42.24	44.70
pctschiz_bipo~y	(prevalence)	51.70	49.97	49.91	48.55	47.46	46.66	45.89	45.61	44.95	43.31	44.79
pctuti_cty	Percent UTI (prevalence)	37.74	37.76	37.42	38.37	37.61	37.76	38.00	37.44	37.77	37.04	36.45
pctvent_cty	Percent Ventilator (prevalence)	17.56	15.77	15.80	13.65	13.33	12.41	13.11	13.29	13.03	13.03	12.55
	Percent Walk independently in											
pctwalking_cty	corridor (prevalence)	13.38	13.22	14.27	14.47	16.30	16.64	16.97	18.93	20.39	20.68	21.16
agg_female_cty	Percent Female (all admits) Percent Admits from home (all	2.48	1.94	2.11	1.97	1.91	1.94	1.91	1.78	2.09	2.37	6.79
agg_home_cty	admits)	13.79	13.46	13.52	13.96	14.52	14.39	14.58	15.99	16.11	16.98	34.95
	Percent Under 65 years old (all											
agg_u65_cty	admits)	37.02	34.57	32.69	31.80	30.99	28.89	27.48	26.82	26.48	23.94	37.04
avgage_cty	Average age	0.71	0.24	0.24	0.07	0.24	0.14	0.07	0.10	0.21	0.10	0.27
agg_black_cty	Percent Black (all admits)	25.95	28.21	26.49	28.12	26.32	27.59	26.56	27.47	26.58	27.16	31.76
agg_hispanic_~y	Percent Hispanic (all admits)	30.64	33.38	34.12	34.66	36.17	37.59	37.25	38.09	38.15	38.20	36.28
agg_white_cty	Percent White (all admits)	1.39	1.50	1.23	1.33	1.19	1.60	1.33	1.43	1.40	1.54	4.29
pctfem_cty	Percent Female (prevalence) Percent under 65 years old	1.02	0.41	0.37	0.31	0.38	0.44	0.17	0.31	0.48	0.38	0.51
pctunder65_cty	(prevalence)	39.10	37.46	36.26	35.10	33.89	33.66	32.67	32.70	32.50	31.52	30.83
pctblack_cty	Percent Black (prevalence)	28.94	28.35	28.09	28.46	28.78	28.04	27.69	28.63	28.33	28.19	29.29
pcthisp_cty	Percent Hispanic (prevalence)	31.69	31.31	32.58	33.23	34.50	35.10	35.98	35.53	36.40	35.73	36.87
pctwhite_cty	Percent White (prevalence)	1.05	0.68	0.75	0.48	0.75	0.65	0.61	0.79	0.89	0.75	0.79
	Percent of facilities with											
alzunit_cty	Alzheimer's special care unit	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Percent of low resource facilities											
facpoor_cty	based on payer mix	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
multifac_cty	Percent of facilities part of chain	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.00
profit_cty	Percent of facilities for-profit	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
restrain_cty	Percent Restrained (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
totbeds_cty	Number of beds	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

	Number of MDS-assessed residents in county on 1st Thurs											
nresid_cty	in April	0.68	0.20	0.20	0.07	0.24	0.14	0.03	0.07	0.14	0.00	0.17
paymcaid_cty	Percent Medicaid (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
paymcare_cty	Percent Medicare (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctHMO_cty	Percent with HMO (prevalence) Number of hospital beds/1000	34.41	33.21	32.04	31.60	31.20	33.12	41.75	50.73	47.49	45.75	45.37
la_hbedstot_0~y	pop 65+	0.03	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Number of Home Health											
la_hha000e_cty	Agencies/1000 pop 65+ Medicare managed care	0.03	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
la_medmcpen_cty	penetration	0.03	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total full/part time RN/LPNs in											
la_nursall_00~y	NH/ST/LT hospitals/1000 pop 65+	0.03	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
occpct_cty	Average percent occupancy	0.03	0.10	0.00	0.00	0.00	0.07	0.00	0.00	0.00	0.03	0.03
agg_adm_cty	Number of NH admissions in year	0.82	0.92	0.75	0.82	0.61	0.82	0.55	0.79	0.75	0.79	2.85
	Average number of empty											
la_avgempbed_~y	nursing home beds per facility Herfindahl index for Nursing	0.07	0.14	0.00	0.00	0.00	0.07	0.00	0.00	0.00	0.03	0.17
la_herfbeds_cty	Home beds	0.03	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.14
	Number of hospitalizations per											
hospptyr_cty	resident year	0.00	0.00	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.07
	Percent of quarter 2 long-stay											
a atlala a a a atu.	residents hospitalized in 6	0.27	7.70	6.05	c 27	C 44	C 24	F 07	F 67	6.04	C 45	7.00
pctlshosp_cty	months	9.27	7.78	6.95	6.37	6.41	6.21	5.97	5.67	6.94	6.45	7.96
pctnhdayshosp~y	Percent of total nursing home days with hospice	0.00	0.00	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.07
petinidaysnosp y	Percent NH days Medicare	0.00	0.00	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.07
pctNHdaysSNF_~y	Reimbursed SNF	0.00	0.00	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.07
	Average 30-day rehospitalization											
rehosp_cty	rate	0.07	0.00	0.03	0.00	0.00	0.00	0.00	0.03	0.00	0.00	0.27
cnahrand ety	Average of averages CNA hours/resident/day	0.03	0.03	0.03	0.00	0.03	0.03	0.03	0.00	0.00	0.00	0.03
cnahrppd_cty	•	0.05	0.05	0.03	0.00	0.03	0.05	0.03	0.00	0.00	0.00	0.03
dchrppd_cty	Average of averages Direct Care staff hours/resident/day	0.07	0.07	0.07	0.10	0.07	0.03	0.03	0.00	0.03	0.03	0.03

lpnhrppd_cty	Average of averages LPN hours/resident/day	0.00	0.03	0.03	0.03	0.03	0.00	0.00	0.00	0.00	0.00	0.00	
rahrand ctv	Average of averages RN hours/resident/day	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.00	0.03	0.00	0.00	
rnhrppd_cty	nours/resident/day	0.00	0.00	0.00	0.03	0.00	0.00	0.00	0.00	0.03	0.00	0.00	
nhdays cty	Total nursing home days in year	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.07	

Table A4.5 State Level - Type of Missingness Per Variable Across All Available Years of Data

		Non-missing			ssing: ppression		ssing: ther
		N	%	N	%	N	%
state	State Abbreviation	539	100.00				
year	Year of data	539	100.00				
agg_cmi_sta	Average RUGS NCMI (all admits)	539	100.00				
agg_low_care_~a	Percent low care (all admits)	539	100.00				
avgadl_sta	Long ADL (prevalence)	539	100.00				
avgrugcmi_sta	Average RUGS NCMI (prevalence)	539	100.00				
	Mean number of medications in past 7 days per						
avgrxnum_sta	resident (prevalence)	539	100.00				
pctbedft_sta	Percent Bed fast (prevalence)	539	100.00				
pctcath_sta	Percent Catheter (prevalence)	539	100.00				
pctchf_sta	Percent CHF (prevalence)	539	100.00				
	Percent with Do-Not-Hospitalize order						
pctdnh_sta	(prevalence)	539	100.00				
pctdnr_sta	Percent Do-Not-Resuscitate (prevalence)	539	100.00				
pcthighcps_sta	Percent CPS=5,6 (prevalence)	539	100.00				
pctlowcps_sta	Percent CPS=0,1,2 (prevalence)	539	100.00				
pcthyper_sta	Percent Hypertension (prevalence)	539	100.00				
pctincont_bla~a	Percent Bladder incontinent (prevalence)	539	100.00				
pctincont_bow~a	Percent Bowel incontinent (prevalence)	539	100.00				
pctlocare_sta	Percent low care (prevalence)	539	100.00				
pctobese_sta	Percent Obese (prevalence)	539	100.00				
pctrxdep_sta	Percent receiving antidepressants	539	100.00				
pctrxpsych_sta	Percent receiving antipsychotics	539	100.00				
	Percent of non-psychotic residents receiving						
pctrxpsyoff_sta	antipsychotics off-label	539	100.00				
pctschiz_bipo~a	Percent Schizophrenic or Bi-polar (prevalence)	539	100.00				
pctuti_sta	Percent UTI (prevalence)	539	100.00				
pctvent_sta	Percent Ventilator (prevalence)	422	78.29	117	21.71		

	Percent Walk independently in corridor						
pctwalking_sta	(prevalence)	539	100.00				
agg_female_sta	Percent Female (all admits)	539	100.00				
agg_home_sta	Percent admissions from home (all admits)	539	100.00				
agg_u65_sta	Percent under 65 years old (all admits)	539	100.00				
avgage_sta	Average age	539	100.00				
agg_black_sta	Percent Black (all admits)	513	95.18	26	4.82		
agg_hispanic_~a	Percent Hispanic (all admits)	527	97.77	12	2.23		
agg_white_sta	Percent White (all admits)	539	100.00				
pctfem_sta	Percent Female (prevalence)	539	100.00				
pctunder65_sta	Percent under 65 years old (prevalence)	539	100.00				
pctblack_sta	Percent Black (prevalence)	493	91.47	46	8.53		
pcthisp_sta	Percent Hispanic (prevalence)	502	93.14	37	6.86		
pctwhite_sta	Percent White (prevalence)	539	100.00				
	Percent of facilities with Alzheimer's special care						
alzunit_sta	unit	539	100.00				
facpoor_sta	Percent resource-poor homes based on payer mix	539	100.00				
multifac_sta	Percent of facilities part of chain	539	100.00				
restrain_sta	Percent Restrained (prevalence)	539	100.00				
totbeds_sta	Average number of beds per facility	539	100.00				
	Number of MDS-assessed residents in facilities on						
nresid_sta	1st Thurs in April	539	100.00				
paymcaid_sta	Percent Medicaid (prevalence)	539	100.00				
paymcare_sta	Percent Medicare (prevalence)	539	100.00				
pctHMO_sta	Percent with HMO (prevalence)	519	96.29	20	3.71		
occpct_sta	Average percent occupancy	539	100.00				
agg_adm_sta	Number of admissions in year	539	100.00				
hospptyr_sta	Number of hospitalizations per resident year	539	100.00				
nhdays_sta	Total nursing home days	539	100.00				
	Percent quarter 2 long-stay residents hospitalized						
pctlshosp_sta	in 6 months	47	8.72			492	91.28
pctnhdayshosp~a	Percent of total nursing home days with hospice	539	100.00				
pctNHdaysSNF_~a	Percent NH days Medicare Reimbursed SNF	539	100.00				

rehosp_sta	Average 30-day rehospitalization rate	539	100.00		
cnahrppd_sta	Average of averages CNA hours/resident/day	539	100.00		
	Average of averages Direct Care staff				
dchrppd_sta	hours/resident/day	539	100.00		
lpnhrppd_sta	Average of averages LPN hours/resident/day	539	100.00		
rnhrppd_sta	RN hours per resident day	539	100.00		
adj_mrate_sta	Average Medicaid Per Diem	480	89.05	59	10.95
bedhold_sta	Has a Medicaid Bed Hold Payment	475	88.13	64	11.87
casemix_sta	Has Medicaid Case Mix Reimbursement	480	89.05	59	10.95
pthru_sta	Has Medicaid Wage Pass-Thru Policy	435	80.71	104	19.29
tax sta	Collects a daily bed or resident tax	445	82.56	94	17.44

Table A4.6 State Level - Overall Missingness Per Variable by Year

		Year (2000-2010)											
State Level		'00 %	'01 %	'02 %	'03 %	'04 %	'05 %	'06 %	'07 %	'08 %	'09 %	'10 %	
stato	State Abbreviation	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
state	Year of data	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
year										0.00	0.00		
agg_cmi_sta	Average RUGS NCMI (all admits)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00			0.00	
agg_low_care_~a	Percent low care (all admits)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
avgadl_sta	Long ADL (prevalence) Average RUGS NCMI	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
avgrugcmi_sta	(prevalence)  Mean number of medications in past 7 days per resident	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
avgrxnum_sta	(prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctbedft_sta	Percent Bed fast (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctcath_sta	Percent Catheter (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctchf_sta	Percent CHF (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
	Percent with Do-Not-												
pctdnh_sta	Hospitalize order (prevalence) Percent Do-Not-Resuscitate	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctdnr_sta	(prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pcthighcps_sta	Percent CPS=5,6 (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctlowcps_sta	Percent CPS=0,1,2 (prevalence) Percent Hypertension	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pcthyper_sta	(prevalence) Percent Bladder incontinent	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctincont_bla~a	(prevalence) Percent Bowel incontinent	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctincont_bow~a	(prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctlocare_sta	Percent low care (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctobese_sta	Percent Obese (prevalence) Percent receiving	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctrxdep_sta	antidepressants	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
pctrxpsych sta	Percent receiving antipsychotics	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	

	Percent of non-psychotic											
pctrxpsyoff sta	residents receiving antipsychotics off-label	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pot.//po/on_ota	Percent Schizophrenic or Bi-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctschiz_bipo~a	polar (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctuti_sta	Percent UTI (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctvent_sta	Percent Ventilator (prevalence)	22.45	18.37	22.45	22.45	22.45	26.53	24.49	20.41	18.37	20.41	20.41
_	Percent Walk independently in											
pctwalking_sta	corridor (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
agg_female_sta	Percent Female (all admits)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Percent admissions from home											
agg_home_sta	(all admits)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>65</b>	Percent under 65 years old (all	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
agg_u65_sta	admits)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
avgage_sta	Average age	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
agg_black_sta	Percent Black (all admits)	8.16	4.08	6.12	6.12	6.12	2.04	6.12	4.08	4.08	2.04	4.08
agg_hispanic_~a	Percent Hispanic (all admits)	4.08	2.04	2.04	2.04	2.04	2.04	2.04	0.00	2.04	2.04	4.08
agg_white_sta	Percent White (all admits)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctfem_sta	Percent Female (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Percent under 65 years old	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctunder65_sta	(prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctblack_sta	Percent Black (prevalence)	8.16	8.16	6.12	10.20	8.16	6.12	6.12	8.16	10.20	12.24	10.20
pcthisp_sta	Percent Hispanic (prevalence)	12.24	12.24	10.20	4.08	6.12	6.12	8.16	4.08	4.08	4.08	4.08
pctwhite_sta	Percent White (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
.1 .21 .1.	Percent of facilities with	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
alzunit_sta	Alzheimer's special care unit	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Percent resource-poor homes											
facpoor_sta	based on payer mix	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
multifac_sta	Percent of facilities part of chain	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<del>-</del>		0.00	0.00	0.00	0.00	0.00	0.00	0.00				0.00
restrain_sta	Percent Restrained (prevalence) Average number of beds per	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
totbeds_sta	facility	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	/											

	Number of MDS-assessed residents in facilities on 1st											
nresid_sta	Thurs in April	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
paymcaid_sta	Percent Medicaid (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
paymcare_sta	Percent Medicare (prevalence)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctHMO sta	Percent with HMO (prevalence)	8.16	6.12	2.04	8.16	8.16	6.12	2.04	0.00	0.00	0.00	0.00
occpct_sta	Average percent occupancy	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
agg_adm_sta	Number of admissions in year	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Number of hospitalizations per											
hospptyr_sta	resident year	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
nhdays_sta	Total nursing home days	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Percent quarter 2 long-stay											
	residents hospitalized in 6											
pctlshosp_sta	months	97.96	91.84	95.92	89.80	87.76	89.80	87.76	85.71	91.84	87.76	97.96
	Percent of total nursing home											
pctnhdayshosp~a	days with hospice	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
pctNHdaysSNF_~a	Percent NH days Medicare Reimbursed SNF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
petividays5ivi_ a	Average 30-day	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
rehosp_sta	rehospitalization rate	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
. –	Average of averages CNA											
cnahrppd_sta	hours/resident/day	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Average of averages Direct Care											
dchrppd_sta	staff hours/resident/day	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Average of averages LPN											
lpnhrppd_sta	hours/resident/day	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
rnhrppd_sta	RN hours per resident day	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
adj_mrate_sta	Average Medicaid Per Diem	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	100.00
	Has a Medicaid Bed Hold	• • •		• • •								
bedhold_sta	Payment Has Medicaid Case Mix	2.04	2.04	2.04	2.04	2.04	4.08	4.08	4.08	4.08	4.08	100.00
casemix_sta	Reimbursement	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	100.00
casciiix_sta	Has Medicaid Wage Pass-Thru	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	2.04	100.00
pthru_sta	Policy	2.04	2.04	2.04	2.04	2.04	20.41	20.41	20.41	20.41	20.41	100.00
tax_sta	Collects a daily bed or resident	2.04	2.04	2.04	2.04	2.04	16.33	16.33	16.33	16.33	16.33	100.00