

This is a preprint manuscript for a paper that was published in JAWRA. The final published article is available here: <https://doi.org/10.1111/1752-1688.12752>

### Precipitation Extremes and Flood Frequency in a Changing Climate in Southeastern Virginia

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#### Research Impact Statement

Extreme events pose a challenge to Coastal Southeast Virginia. A 2 - 3 times increase in 24- and 48-hr precipitation intensity resulting in up to 50% increase in flood flows are likely in the future.

#### Abstract

Despite the advances in climate change modeling, extreme events pose a challenge to develop approaches that are relevant for urban stormwater infrastructure designs and best management practices. The study first investigates the statistical methods applied to the land-based daily precipitation series acquired from the Global Historical Climatology Network-Daily (GHCN-D). Additional analysis were carried out on the simulated Multivariate Adaptive Constructed Analogs (MACA)-based downscaled daily extreme precipitation of 15 General Circulation Models (GCMs) and Weather Research and Forecasting (WRF)-based hourly extreme precipitation of North American Regional Reanalysis (NARR) to discern the return period of 24-hr and 48-hr events. We infer that the GHCN-D and MACA-based precipitation reveal increasing trends in annual and seasonal extreme daily precipitation. Both BCC-CSM1-1-m and GFDL-ESM2M models revealed that the magnitude and frequency of extreme precipitation events are projected to increase between 2016-2099. We conclude that the future scenarios showed an increase in magnitudes of extreme precipitation up to 3 times across southeastern Virginia resulting in increased discharge rates at selected gauge locations. The depth duration frequency curve predicted an increase of 2 to 3 times in 24- and 48-hour precipitation intensity, higher peaks and indicated an increase of up to 50% in flood magnitude in future scenarios.

Keywords: Precipitation, Extremes, IDF Analysis, Peak flows, Climate Change, Coastal Virginia

## INTRODUCTION

Globally, increased frequency and magnitude of extreme precipitation events have become a regular phenomenon in the past two decades (Karl and Knight, 1998; Osborn et al., 2000; Sen Roy and Balling, 2004; Solomon et al., 2007; Camici et al., 2013; Maurer et al., 2018; Mukherjee et. al., 2018). The impacts due to these changes are expected to be intensified by the human-induced land use and climate changes (Min et al., 2011; Wuebbles et al., 2014).

The impact of extreme and frequent precipitation events over urban areas due to climate change are more significant, as these areas are the centers of human activities (Rosenzweig et al., 2010, Mishra et. al., 2015, Ali and Mishra, 2018). Urban centers are the focal point of climate change adaptation due to rapidly changing conditions arising from global warming (Brown, 2001). The population in urban areas is expected to increase to 60% by 2030 and 70% by 2050 (World Health Organization, 2014). Hence, the adequacy of the built infrastructure in urban areas is dependent on resilient designs and better understanding of extreme precipitation characteristics. The detailed understanding of extreme precipitation characteristics is essential to plan and manage these infrastructures in the urban environment (Mishra and Lettenmaier, 2011; Camici et al., 2013). These infrastructure systems often fail to accommodate extreme precipitation-generated maximum floods due to the traditional consideration of constant statistical parameters of the hydrologic variables (Denault et al., 2002). While considering the design parameters, the stationarity principles ignore the increases in both intensities and magnitudes of precipitation. The application of the Depth-Duration-Frequency (DDF) approach is a standard practice in designing hydrologic systems that incorporate magnitude, frequency, and duration of precipitation events (Liew et al., 2014; Alam and Elshorbagy, 2015). However, changes in the hydrologic cycle, which mainly includes precipitation, surface runoff, streamflow and groundwater or recharge, are exclusively responsible for flooding conditions, and therefore,

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3 applying the DDF approach needs to be adjusted with a high spatial and temporal analysis of  
4 observed and simulated precipitation and runoff data.  
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7 However, attempts to characterize trends in precipitation extremes are hindered by a lack  
8 of long-term and high-resolution climate and hydrological variables in urban areas. Fewer  
9 weather stations, uncertainties in measurements, and the period of record compound the  
10 problems in detecting trends as well as performing the attribution analysis (Groisman and  
11 Easterling, 1994). In addition to these challenges, several other factors complicate the robust and  
12 compelling pattern detection of extreme precipitation. The non-normal distribution, serial  
13 correlation, outliers, and missing data can influence the trend analysis of statistical significance  
14 (Khaliq et al., 2009; McAfee et. al., 2013). Thus, the cumulative role of these factors contributes  
15 to a high level of disagreement regarding the magnitude and direction of precipitation events in  
16 urban areas. Particularly, smaller catchments in the coastal region that are under a constant risk  
17 of flooding, either through high-stage streams flowing from inland or increases in mean sea  
18 level, are poorly understood due to the lack of observational points and modeling efforts.  
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21 Gridded datasets of precipitation and hydrologic variables are often developed to  
22 overcome the above shortcomings for these areas and to provide a more geographically complete  
23 weather and climate assessment. Additionally, the runoff generated from heavy precipitation  
24 events is difficult to estimate because of topographic and land surface hydrological conditions in  
25 developed areas. There are differences in both climate and hydrological model predictions and  
26 these differences propagate from the respective models, which may then contribute some level of  
27 inconsistency among the gridded datasets of climate and hydrological variables (Hofstra et al.,  
28 2009). Arriaga-Ramírez and Cavazos (2010) found increased seasonal and annual trends using  
29 monthly precipitation at spatial scales of northwest Mexico and southwest United States. Mishra  
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and Lettenmaier (2011) and Mishra et al. (2015) estimated the linear trends of historical precipitation in the urban and surrounding non-urban areas of the United States. McAfee et al. (2013, 2014) investigated the trend using both station- and grid-based precipitation from 1950-2010 and reported temporal changes in the trends along with substantial differences among the gridded datasets in terms of intensity and interannual variability in Alaska. Chen and Frauenfeld (2014) applied Coupled Model Intercomparison Project Phase 5 (CMIP5) models to capture historical precipitation trends and future predictions using Representative Concentration Pathways (RCP) scenarios. However, the mean of the CMIP5 models was unable to capture the magnitude for multi-decadal precipitation variability due to coarse scale precipitation estimates. Camici et al. (2013) revealed that downscaled General Circulation Models (GCMs) and downscaling approaches could be a factor in evaluating and predicting annual precipitation extremes. The possibility of capturing and forecasting these trends increases with increased involvement of downscaled GCMs (Crétat et al., 2014).

There is a critical need in understanding extreme precipitation patterns and resultant peak runoff due to the presence of the largest naval base and other security installations in southeastern Virginia. We performed a comprehensive evaluation of precipitation trends in southeastern Virginia by examining the records of long-term precipitation at station locations from the Global Historical Climatology Network-Daily (GHCN-D) and by performing a hydrological simulation analysis in the James River basin (for streamflow measurements). Using both station-based and model-based downscaled gridded precipitation data, we investigated the magnitude, distribution, and direction of the extreme precipitation and streamflow characteristics for both historical and future periods. We used historical and future design storm events to generate flood frequency curves that reflect historical and future changes in rainfall intensity

for 1950–2099. We included Multivariate Adaptive Constructed Analogs (MACA) based downscaled precipitation from GCMs of the CMIP5 products to evaluate the capability of the models and capture the historical events, to assess uncertainties in characterizing precipitation patterns and to forecast the future extreme precipitation events. We investigated the changes in streamflow using a combination of Variable Infiltration Capacity (VIC) and the Noah Multi-Physics (Noah MP) land surface models (LSMs) for different return periods. We, therefore, analyzed the changes in extreme precipitation characteristics using a suite of precipitation products and performed a flood frequency analysis by translating the extreme precipitation into runoff using the hydrology models.

## METHODS

### Data Construction and Characteristics

High-resolution design intensities of extreme rainfall events are imperative for assessing the impact of climate change over urban areas (Arbbjerg-Nielsen, 2012). This is due to the ability of these models to capture many hydrologic processes that occurred at finer scales and to avoid under or overestimation of the design storm for the subsequent analysis. Therefore, our selection of precipitation data and climate models was conducted with caution to comprehend important hydrologic processes in the urban region. We first selected the urban region and then focused on collecting and generating high-resolution data to compute a storm design. The extreme precipitation term was characterized based on the precipitation amount at temporal and spatial scales. For both station observation and gridded products, a daily precipitation amount was considered extreme when it exceeded the 90<sup>th</sup> percentile threshold computed for all rainy days. The rainy days were defined as the days with precipitation of at least 1 mm. The

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3 precipitation data were analyzed by applying four different statistical tests (Linear Regression,  
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5 Mann-Kendall Trend Analysis, Theil-Sen Slope Estimator, and Kolmogorov-Smirnov Test) for  
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7 1950-2099. The linear regression statistics have a limitation in providing reliable assessments of  
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9 trends and distributions. However, the three other approaches are not only considered robust and  
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11 consistent, but in combination they can also provide a complete understanding of the direction  
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13 and distribution of extreme precipitation events.  
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17 ***Study Area***  
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19 Figure 1 shows the study region that includes urban and surrounding non-urban regions  
20 of southeastern Virginia. The meteorological stations were selected based on the availability of  
21 daily precipitation records and to capture the precipitation patterns in the region. Precipitation  
22 occurs both as rainfall and snow; however, the study area received more rainfall and less snow in  
23 comparison to other parts of the state. Additionally, tropical storms and hurricanes bring a  
24 substantial amount of rainfall to this area. The urban areas in southeastern Virginia experience an  
25 average of 1200 mm precipitation each year. The record shows below average precipitation (<  
26 27 1200 mm) at Norfolk and Hampton, whereas there is above average precipitation (> 1200 mm) at  
28 29 Suffolk and Williamsburg. The Norfolk and Hampton regions are low-lying coastal lands and are  
30 31 vulnerable to floods and sea level rise. The increased frequency of extreme precipitation events  
32 33 and enhanced floods can be exacerbated due to the cascading effects of climate change.  
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***Observed Precipitation of the National Center for Environmental Information***

49 Precipitation records for this study were obtained from the GHCN-D-based stations of the  
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51 National Center for Environmental Information (NCEI). The stations were selected based on the  
52 period of record and these stations had an approximate long-term daily historical time series for  
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3 1950-2010 (Table 1). The quality of the data was evaluated using quality assurance reviews and  
4 checks for spurious changes in the mean, variance, and outliers from both serial and spatial  
5 perspectives (Menne et. al., 2012). These datasets were found to be suitable for both fundamental  
6 and applied hydrological analysis at various spatial scales and were previously employed in a  
7 variety of assessment activities including the analysis of climate extremes in North America. The  
8 analyses illustrated a variety of climate change indices and tracked large-scale changes in  
9 observed daily maximum and minimum temperature across the globe.  
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### ***Simulated Precipitation of the GCMs***

23 The GCMs were selected based on the availability of CMIP5 MACA output (Abatzoglou,  
24 2013) at a daily time step to evaluate how daily extreme rainfall was captured during the  
25 historical period (1950-2005). This dataset was subsequently used to predict the changes in the  
26 future precipitation regimes (2016-2099) in several studies (Sridhar et al., 2018; Sridhar and  
27 Anderson, 2017). We selected 15 GCMs to address and to get the overall understanding of the  
28 extremes while considering the inter-model uncertainties resulting from a range of parameters in  
29 simulating precipitation at 1/16<sup>th</sup> degree of spatial resolution. The details of these GCMs are  
30 included in Table 2.  
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### ***Weather Research and Forecasting (WRF) Model***

46 The North American Regional Reanalysis (NARR)-derived course gridded precipitation  
47 (resolution of 32.6 km) data was used for dynamic downscaling at a 4-km spatial scale. This  
48 downscaling was performed using the WRF model from 1982-2010 over southeastern Virginia at  
49 the hourly time scale. The first 3 years were considered a spin-up period to stabilize the model  
50 and therefore are not included in the analysis. For the simulation with the WRF model, several  
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3 inputs were used including the soil parameters, land cover, and sea surface temperature from the  
4 National Centers for Environmental Prediction (NCEP), the Moderate-Resolution Imaging  
5 Spectroradiometer (MODIS), and the National Oceanic and Atmospheric Administration  
6 (NOAA). The hourly precipitation for every 4 km of resolution from 1985-2010 were analyzed  
7 to capture the extreme precipitation events and determine if there were missing input data for  
8 some years (if data were missing, they were excluded from the analysis).

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**13 Variable Infiltration Capacity (VIC) Model**  
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For streamflow simulation, we used the VIC 4.2.a (Liang et al., 1994) version where sub-grid variability is explicitly defined. This model has been extensively used in our climate change impact assessment studies in many river basins (Hoekema and Sridhar, 2013; Sridhar et al., 2013; Kang and Sridhar, 2017). The VIC model simulates the water and energy fluxes by considering the soil and vegetation parameters, meteorological inputs and vegetation library. Each vegetation class has different parameterizations, including vegetation type, leaf area index and other physiological characteristics. The model works on the concept of the average weighted area by considering the elevation and snow bands and it has three soil layers for water and energy balance calculations. Surface runoff and infiltration is defined by the variable infiltration curve (Wood et al., 1992) and thus enables runoff calculations for sub-grid-scale areas. We used the vegetation and soil parameters developed at 1/16th degree spatial resolution extracted by Livneh et al. (2013), Maurer et al. (2002) and Tang et al. (2012). The fraction of the vegetation type for each grid cell was derived from the University of Maryland's 1-km vegetation classification (Hansen et al., 2000). We applied the VIC model at 1/16<sup>th</sup> degree resolution with the meteorological forcing regridded from daily temperature and precipitation observations for 1915-2011 (Livneh et. al., 2013). The meteorological dataset included the precipitation,

minimum temperature, maximum temperature and wind speed derived from approximately 20,000 NOAA stations. We calibrated the VIC model parameters that included the depth of the soil layers, maximum base flow, maximum soil moisture and variable infiltration parameter using Shuffled Complex Evolution (SCE) algorithm. The output from VIC model was used to setup the Noah-MP model including the estimation of input static and dynamic parameters.

### **Noah MP Land Surface Model**

The Noah land surface model with the multi-parameterization scheme is an improved version of the baseline Noah LSM (Ek et al., 2003; Niu et al., 2011). Our earlier studies using the Noah model have proven to perform well for water and energy flux simulations from field scale (Sridhar and Wedin, 2009; Valayamkunnath et al., 2018) to large scales (Sridhar, 2013; Jaksa and Sridhar, 2015). The interactive vegetation canopy layer was introduced to compute the canopy and ground surface temperatures. The choice of multi-parameterization was provided for the vegetation model (leaf dynamics), stomatal resistance, radiation transfer scheme and scheme for runoff and groundwater. The schemes mainly include the TOPMODEL (Niu et. al., 2007) and free drainage scheme (Schaake et al., 1996 for the Noah baseline model) and for this study, free drainage scheme is used. The semi-tile subgrid scheme plays an important role in calculating the surface energy balance for vegetation and bare ground separately and improves the radiation balance. The meteorological forcings include the precipitation and wind speed, which were similar to those used for VIC LSM. The meteorological forcings at the 1/16th-degree spatial resolution with daily temporal resolution were used to simulate the Noah MP land surface model. The other meteorological forcings that included air temperature, shortwave and longwave radiation, relative humidity and pressure were simulated from VIC's output. Wind direction was derived from CCMP V2.0 U and V component wind data, which is a combination of cross-

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3 calibrated satellite microwave winds and instrument observations (Wentz et al., 2015). The  
4 model output was available at a 0.25-degree spatial resolution at 10 m height and was regredded  
5 to 1/16<sup>th</sup> degree spatial resolution. The static input parameters, including the initial soil moisture  
6 content, skin temperature, and snow water equivalent, were derived from the VIC's output. The  
7 vegetation type was extracted from the IGBP MODIS classification (University of Maryland),  
8 whereas the soil type index was derived from the hybrid State Soil Geographic Database  
9 (STATSGO) Food and Agricultural Organization soil texture datasets.  
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### ***Streamflow routing***

Finally, streamflow routing was performed using the stand-alone routing model (Lohmann et al. 1996), which is based on a unit-hydrograph method that uses daily surface runoff, baseflow and precipitation to estimate the streamflow at the desired location. The flow direction and flow accumulation files required for the routing network were developed using a 30-m Digital Elevation Model from SRTM (Shuttle Radar Topography mission). The runoff generated by the model can be used to assess its correlation with the precipitation data and to observe the shift in future simulations based on the historical observations.

### ***Statistical Methods***

While detecting an association or correlation between any variables refers to trend, the tests are performed generally to assess whether the trend is increasing, decreasing or periodic in nature. Ordinary least squares (OLS) is one of the most popular linear regression-based trend detection technique that has been frequently used for evaluating temporal trends in streamflow and precipitation (Kroll and Stedinger, 1998). It should be noted that OLS is particularly sensitive to non-normality and outliers. This was because OLS regression minimizes the

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3 differences between observations and the best-fit straight line (Wilks, 2006). The Theil-Sen slope  
4 estimator is the most popular non-parametric technique, which is an alternative to the parametric  
5 ordinary least square regression. This method efficiently computes trends that are insensitive  
6 to outliers and considered to be more accurate than simple linear regression for skewed and  
7 heteroskedastic data and competes well against least squares even for normally distributed data  
8 in terms of statistical power. When the data meets all of the parametric assumptions, the Theil-  
9 Sen has approximately 91% Pitman efficiency for linear regression, and when the data is very  
10 non-normal and skewed, the Theil-Sen efficiency can exceed 1.27 times that of the linear  
11 regression (Armitage et al., 2002; Helsel and Hirsch, 2002; Sheskin, 2007; Sprent and Smeeton,  
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29 Mann-Kendall (MK) trend analysis is a nonparametric rank-based trend test (Gilbert,  
30 1987) that is robust to non-normality and is less influenced by outliers than the ordinary least  
31 squares regression approach (Helsel and Hirsch, 2002). The test identifies systematic increases or  
32 decreases in the rank of the data points with time. The Mann-Kendall Z statistic provides an  
33 indication of whether an existing trend is increasing or decreasing based on decided probability  
34 of significance. We applied a two sample Kolmogorov–Smirnov (KS) test to quantify distance  
35 between the empirical distribution functions of the two datasets. The null distribution of this  
36 statistic was calculated under the null hypothesis so that the samples are drawn from the same  
37 distribution. In each case, the distributions considered under the null hypothesis were continuous  
38 distributions but were otherwise unrestricted. The correlation between the observational dataset  
39 and the simulated dataset was quantitatively assessed through the Nash-Sutcliffe model  
40 efficiency coefficient.

$$E = 1 - \frac{\sum_{t=1}^T (Q_m^t - Q_o^t)}{\sum_{t=1}^T (Q_o^t - \bar{Q}_o)}$$
 (1)

Where  $Q_0^t$  is the mean of the observed discharges,  $Q_m^t$  is the modeled discharge and  $Q_o^t$  is observed discharge at time t.

## RESULTS AND DISCUSSION

### Daily Precipitation

The precipitation characteristics were analyzed for 1950-2010 at nine locations in southeastern Virginia (Figure 2). These stations showed positive skewness with approximately 60% of the precipitation events at or below the mean daily precipitation. Even though the magnitudes of mean precipitation were below 50 mm, large precipitation events were as high as 300 mm or more in most locations. While the Hampton Roads region experienced less intense rainfall (< 200 mm per day), Norfolk experienced as much as 300 mm of daily precipitation during the period of analysis. The largest precipitation event was recorded at Williamsburg (> 350 mm per day), which is located slightly interior from the coastline and northwest of Hampton Roads and Norfolk.

### Extreme Precipitation Trends

Figure 3 illustrates the annual extreme precipitation trends using daily observation data for 1950-2010. Increasing trends were persistent across southeastern Virginia although few locations showed opposite (decreasing) trends. These decreasing trends appeared mostly along the coastline. Around urban locations, extreme precipitation trend estimates in terms of the TS slope demonstrated changes in the positive direction that ranged between +0.1 to +0.5 per year,

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3 where OLS overestimated the trends between +0.2 and +0.8 (Table 3). The differences were due  
4 to the variation in the methods adopted to derive the precipitation distribution. There were  
5 disagreements in the trends and directions between these two methods in which the OLS  
6 estimates showed an increase in extreme precipitation at a rate of +0.196, whereas the TS  
7 estimator slope estimated little or no decrease (-0.023) in Suffolk. This might be due to the  
8 presence of outliers and the unequal variation of precipitation events that influenced the OLS-  
9 based estimate to be positive. The same factor might influence the quantification trend of  
10 extreme precipitation at Williamsburg, where OLS showed the highest increase and an annual  
11 rate of +0.8, whereas the Theil-Sen slope increased by +0.28 annually from 1950 to 2010. The  
12 TS estimated the precipitation trend with the highest increase (+0.5) and was located at Suffolk,  
13 which was in line with the MK analysis due to a significant increase in the extreme precipitation  
14 ( $Z=1.79$ ). The positive or negative MK trend analysis of the annual extreme daily precipitation  
15 agreed with the increase or decrease in the TS trends, respectively. At  $\alpha=0.05$  (95 % confidence  
16 level), the computed probability was greater than 0.95 at Suffolk, Wallaceton, Williamsburg and  
17 Norfolk (Table 3) which indicates that the trend is said to be decreasing if  $Z$  (Mann-Kendall test  
18 statistic) is negative and the computed probability is greater than the level of significance  
19 ( $\alpha=0.05$ ) whereas the trend is said to be increasing if  $Z$  is positive and the computed probability  
20 is greater than the level of significance. In addition to the trends, it was evident that the tails of  
21 the distribution of the above-median precipitation showed a wide range. Even though the average  
22 of the highest five precipitation events in each location was below 100 mm, the daily  
23 precipitation was recorded at greater than 200 mm at Norfolk, Williamsburg, Suffolk, and  
24 Hampton. Some of these locations measured as high as 350 mm daily precipitation in several  
25 occasions during the period of analysis.  
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## Extreme Precipitation Intensity and Frequency

When the annual high precipitation events, based on higher than the 90<sup>th</sup> percentile distribution, were compared with low precipitation events of less or equal to 90<sup>th</sup> percentile distribution, all of the locations showed a precipitation ratio range between 5-10 times (Figure 4). However, these ratios showed a distinct increase during the last 10 to 15 years around the urban areas of Hampton, Norfolk, and Williamsburg. Regardless of the high ratio in recent years, these high precipitation events were consistently more than 10 times every year from 1950-2010 (indicated by blue line in Figure 4). Although few locations along the coastline showed a decreasing amount of high precipitation events, the majority of the locations agreed with the increased precipitation ratio during the period of analysis. In the last few years after 2001, where most of the locations showed a high frequency of extreme precipitation, the Hampton region experienced less intense rainfall (ratio < 10). The regions, including Suffolk and Williamsburg, were susceptible to the most frequent extreme precipitation, which was over 300 mm (at its highest) compared to the other regions in southeastern Virginia.

## Comparison of Annual and Seasonal Extreme Precipitation

The *in situ* daily observation of the annual extreme precipitation for nine locations was compared with downscaled precipitation for 1950-2005 to illustrate the ability of the downscaled GCMs in capturing the extreme precipitation events (Figure 5). The hourly precipitation extremes from WRF simulations were also compared to evaluate the ability of the WRF model in simulating high-resolution precipitation for the same locations. The comparisons showed that the ensemble of extreme precipitation events of the GCMs captured almost all of the events with a wide range of uncertainty. At most locations, GCMs were overpredicting annual precipitation

extremes, and a very few events remained uncaptured. The seasonality in the extreme precipitation was well-captured by the ensemble of the downscaled GCMs; however, the WRF-NARR-based seasonal distribution of extreme precipitation events were not able to statistically correlate (Table 4). The distribution statistics of the simulated mean extreme precipitation of 15 GCMs were within the critical distribution estimates (based on KS lookup table) in most locations. Simulated extreme precipitation was able to generate a similar seasonal extreme precipitation distribution compared to that of the observations for all of the locations except Holland, West Point, and Williamsburg. At these locations, the underprediction of seasonal extreme precipitation by the GCMs caused a difference in precipitation distribution when compared with seasonal observations. Assuming a 5% significance level, the distribution of the mean extreme daily precipitation of 15 GCMs relative to extreme annual observations, the distribution estimated significant difference in most locations showing higher KS distribution statistics than the critical distribution (Table 4a). This was due to the presence of several annual extreme events that were not captured by the mean of the simulated annual extreme precipitation. The mean of the simulated annual extreme daily precipitation distribution statistically matched with the extreme of the observed data at Hampton and Suffolk.

The overprediction by the GCMs caused minimal deviations from the annual extreme daily observation. The KS distribution statistics also revealed that incorporated hourly simulated precipitation from WRF-NARR extreme daily precipitation were also unable to match observed the daily extreme precipitation distribution as several extreme observations were underpredicted between 1985-2010 (Table 4b). The mean of the downscaled annual extreme daily precipitation showed an average deviation of 15% with the observed extremes, which resulted from the underprediction of the extreme precipitation at all of the locations. The deviations were larger

(>20%) for Holland, Wallacetown, and West point. The mean deviation at the Hampton region was only 1%, whereas it was approximately 3% at Suffolk. When the deviations of the annual extreme daily precipitation by GCMs were computed at nine locations, the least bias was evident from two models, GFDL-ESM2M (10.61%) and BCC-CSM1-1-m (11.56%) (Table 5).

### ***Trends and Distribution of Future Daily Maximum Precipitation***

From the analysis of two downscaled GCMs (BCC-CSM1-1-m and GFDL-ESM2M), both the frequency and magnitude of the extreme precipitation in the RCP 4.5 and RCP 8.5 scenarios were found to increase in comparison with historical observations (Figure 6). In both RCP scenarios, annual extreme daily precipitation was much higher in comparison to the corresponding mean and median. RCP 8.5 scenario showed high frequency and magnitude at all nine locations, however, there were several extreme precipitation events that were predicted higher in RCP 4.5 scenario. At Suffolk, West Point, and Williamsburg, where high daily precipitation (~300 mm) were recorded for the historical period, the extreme precipitation was predicted over 400 mm in several occasions during the period of 2016-2099. These estimates of magnitude were almost twice the historical records with regard to the annual extreme daily precipitation events.

The frequency of these precipitation events showed increases in the future. In both RCP scenarios, these three locations (Suffolk, West Point and Williamsburg) predicted nearly 100 events where the precipitation was expected to be higher than 400 mm. Even with a decreased precipitation trend in the RCP 4.5 scenario in these three locations, precipitation magnitudes higher than 400 mm were estimated to occur more than 32 times in the future.

These increases in frequency and magnitude were also expected to change the precipitation distribution in the future where statistically significant differences were noted with

the historical observations (Table 6). The KS test analysis estimated a different distribution ( $D\text{-statistical} > D\text{-critical}$ ) in the annual extreme precipitation at all locations in comparison to the historical period, although the seasonal extreme distribution might be similar at very few locations ( $D\text{-statistical} < D\text{-critical}$ ). The KS analysis for extreme precipitation predicted a similar statistical distribution at Norfolk; however, statistical differences were expected at Hampton. The frequency and magnitude of the future extreme precipitation events at Hampton and Norfolk were also expected to increase despite decreasing (RCP 4.5) or increasing (RCP 8.5) prediction trends by the scenarios. When both RCP scenarios were considered, it was predicted that the Hampton region was expected to experience 6 to 19 precipitation events that would be higher than 400 mm, whereas the Norfolk region was predicted to have 3 to 10 similar precipitation events from 2016-2099.

### ***Spatial Analysis of Precipitation***

Figure 7 shows the extreme precipitation distribution extracted from the downscaled GCMs for 1950-2099 over southeastern Virginia at nine locations. Both the spatial and temporal distribution (Figure 10) of precipitation for the historical period agreed well over most locations. Despite the underestimation (Williamsburg, Suffolk) or overestimation (Hampton), the zones for annual extreme daily precipitation events across the study region were well identified. Future extreme precipitation events over these areas also showed similar patterns. Simulated precipitation from two GCMs (BCC-CSM1-1-m and GFDL-ESM2M) predicted increased frequency and magnitude from 2016-2099. As expected, the RCP 8.5 scenario projected high precipitation extremes compared to that of the RCP 4.5 scenario. The BCC-CSM1-1-m model predicted annual extreme daily precipitation in the southern region, which ranged between 450-

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3 700 mm. The Williamsburg region even predicted 2-3 times higher magnitudes ( $> 700$  mm) than  
4 the historical period. However, the GFDL-ESM2M model predicted less intense precipitation at  
5 the RCP 4.5 scenario ranging between 300 and 450 mm (1~2 times of the historical precipitation  
6 events) in most locations. However, the GFDL-ESM2M model in the RCP 8.5 scenario predicted  
7 450 to 700 mm of precipitation across the study region. At the Hamptons and depending on the  
8 location, the RCP 4.5 scenario projected an increase of 1.5 to 2 times, whereas the RCP 8.5  
9 scenario expected an increase of 2.0 to 3.0 times of the annual extreme daily precipitation.  
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### ***Depth-Duration-Return Period Assessment***

The annual extreme daily precipitation observations and estimates were used to highlight flood frequencies for 24- and 48-hour durations at nine locations (Figure 8). The comparison for 1950-2005 showed that longer duration generally predicted a high precipitation and return period except at two locations along the coastline. Both the Norfolk and Virginia Beach region illustrated high precipitation intensity for high return periods over a duration of 24 hours of rainfall. When the GCMs were used to reproduce flood frequencies for the historical period (1950-2005), both 24- and 48-hour extreme rainfall deviated in most locations. However, these deviations were higher for 24 hours than that of the 48-hour durations. Despite these deviations, the extreme precipitation at the Hampton, Norfolk, Virginia Beach and West point regions were well captured.

When the same GCMs were used to predict climate change impacts on precipitation extremes for 2016-2099, the RCP 8.5 scenario projected higher precipitation relative to the RCP 4.5 scenario in most locations. The distinct differences in the prediction were observed at West point and Williamsburg, which are the regions with high precipitation. These regions were also

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3 found to be vulnerable to frequent floods in the future. The increased intensity and frequency in  
4 precipitation at Hampton and Norfolk were expected to cause moderate flooding in the future.  
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6 For most regions, the increase in the precipitation intensity for RCP 4.5 was estimated to be two  
7 times whereas for RCP 8.5 it was estimated to increase by three times compared to historical  
8 period. Exceptionally, Norfolk shows relatively less increase in precipitation intensity, which  
9 was estimated to be 1.5 times for RCP 4.5 and 2 times for RCP 8.5. In case of Hampton, the  
10 increase in duration of intense precipitation events (48 hr) was indicative whereas the predicted  
11 precipitation intensity was only 1.06 and 1.08 times for the RCP 4.5 and RCP 8.5 scenarios  
12 relative to the historical period. An intercomparison between Norfolk and Hampton reveals that  
13 precipitation intensity for the Norfolk regions is expected to increase around 1.1 times and 1.6  
14 times in the RCP 4.5 and RCP 8.5 scenarios when compared to the Hampton region for the  
15 historical period of analysis.

### 34 ***Streamflow Calibration and Validation***

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36 To assess how extreme precipitation might impact streamflow, we simulated flows in a  
37 hydrological modeling framework with VIC and Noah MP models. We used the United States  
38 Geological Survey (USGS) streamflow data to calibrate and validate the VIC and Noah MP land  
39 surface model. Figure 9 (a) shows the gauging stations in the James River Basin in the  
40 downstream section of the James River. There were no stations near the coastal region, and  
41 hence this area was chosen to obtain a better idea about the watershed response to varying  
42 precipitation regimes. We calibrated and validated the streamflow data obtained from the  
43 combination of VIC and Noah MP results with the USGS monthly statistics and extended this  
44 information to simulate flows from Hampton, Suffolk, Williamsburg and Virginia Beach where  
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3 there were no observed flow data. Three parameters were calibrated to improve the performance  
4 of the model based on Cai et al. (2014) that included saturated soil conductivity (SATDK),  
5 maximum soil moisture content (MAXSMC) and function of soil type (BB). During the  
6 calibration period (1991-2000), the NSE calculated for monthly streamflow was 0.81 at the  
7 USGS gauge station (Figure 9a). Similar performance can be noted for the validation period with  
8 an NSE of 0.84 (Figure 9b).  
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### 19 ***Projected streamflow***

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With the calibration parameters, we simulated future streamflow based on the MACA datasets for two GCM's (BCC-CSM1.1 and CanESM2) for the historical as well as the future period with GCMs and at a spatial resolution of 1/16th degree. Figure 10 indicates the annual streamflow rate ( $\text{ft}^3/\text{s}$ ) and total precipitation (cm) for different locations from 2006-2097. There is not much variation in highflows over time but a shift in peaks were evident for the two RCPs. In the case of RCP 4.5, increased discharge rates were found between 2028-2040 for the BCC-CSM1.1 model, whereas in the case of CanESM2, it was found to be increasing between 2016-2028 at all selected locations including the USGS gauge stations (Figure 3). In the case of RCP 8.5, increased discharge rates were found to occur around the mid-century for CanESM2 and after mid-century for BCC-CSM1.1.

### ***Flood frequency Assessment***

Figure 11 highlights the changes in flood frequency over the future period (2006-2099) compared to the baseline period (1950-2016). The figure includes the flood frequency curves for all selected locations, including the USGS gauge station, and the GCMs show an overall increase

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3 in the magnitude of highflows. The RCP 4.5 scenario predicted a decrease in the mean flood  
4 magnitude compared to the baseline period (red points), whereas RCP 8.5 showed a consistent  
5 increase in mean flood magnitude at all of the selected locations. The flood frequency curves at  
6 the USGS gauge station indicate an increase of up to 50% in flood magnitude. Similarly,  
7 Williamsburg and Hampton showed an increase in flood magnitudes. While the uncertainties  
8 between the GCMs resulted in differences in precipitation, and hence in streamflow simulated by  
9 the hydrology models, the calibration exercise accounts for these uncertainties provided there are  
10 opportunities to estimate relative changes between historic and future periods. Figure 11 also  
11 highlights the climate changing effects on flood frequency curve with a specific focus on the  
12 James River basin. However, future research for neighboring catchments is necessary to clearly  
13 understand the impacts of climate change in the Southeastern Virginia.  
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## CONCLUSION

The analysis of the spatiotemporal characteristics of precipitation is important to understand its influences for the urban environment. It is important to understand the extreme precipitation characteristics in urban areas for applications such as flood monitoring and designing of drainage infrastructure. Extreme precipitation can be devastating in the built environment as the fraction of impervious areas increase and thus aggravate the flooding potential. The uncertainties are associated with the changing climate and are due to the limitations of records and climate models to capture extreme precipitation. This study focused on quantitative analysis to understand the pattern of historical precipitation extremes and to evaluate projected precipitation in a changing climate in southeastern Virginia, which is the base for national security establishments. The temporal and spatial characteristics of observed extreme

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3 precipitation and GCM projections were investigated to characterize the changes in precipitation  
4 and the corresponding streamflow magnitudes for 1950-2099. These extreme precipitation  
5 magnitudes were above 300 mm in many events, whereas the mean and median of the  
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7 precipitation events was below 50 mm.  
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11 The analysis of the daily observation of precipitation using parametric (OLS) and  
12 nonparametric (Theil-Sen slope estimator, Mann-Kendall Test, Kolmogorov-Smirnov test)  
13 statistical techniques at a confidence level of  $p \leq 0.05$  identified the overall increases in extreme  
14 precipitation in the study area. The main areas of extreme precipitation were concentrated in a  
15 few urban regions, including Williamsburg, Suffolk, and Norfolk, due to extreme precipitation  
16 projections in the summer months.  
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19 Comparisons between MACA-based downscaled daily precipitation from 15 GCMs and  
20 WRF-NARR-based downscaled hourly precipitation simulation were made to understand the  
21 extreme spatial and temporal characteristics. The magnitude and frequency of the annual extreme  
22 precipitation were not consistent across multiple locations. There were a few observation sites  
23 where the annual extreme precipitation was almost twice as much when compared with other  
24 sites. The mean or median of 15 annual extreme precipitation simulations was generally  
25 consistent; however, a few extreme events of major concern were underestimated at each site.  
26 These differences were attenuated when seasonal extreme precipitation was evaluated where the  
27 uncertainty was less. It should be noted that increased temporal resolution in the WRF-based  
28 NARR precipitation extremes were not able to simulate the extreme precipitation events.  
29 However, a number of GCMs, when engaged to capture these extremes, showed that the  
30 estimations were reasonable with some degree of uncertainty.  
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The frequencies of these extreme precipitation events might also increase in the future (2016-2099). The statistical metrics revealed that future precipitation magnitudes could be 2 to 3 times greater relative to the historical period of analysis. These extreme precipitation events are crucial for the proper design of urban drainage and stormwater infrastructure systems. Simulated streamflow for the lower James River Basin suggested that both RCP scenarios projected higher peaks in the downstream sections. The flood frequency also indicated an increase of up to 50% in flood magnitude over the basin including Williamsburg and Hampton. The extreme precipitation analysis from downscaled GCMs combined with a hydrological modeling assessment can serve as a guiding tool in estimating non-stationary future flood frequencies and this can be useful for designing urban stormwater infrastructure.

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3     **Acknowledgments**  
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5     We would like to acknowledge the grant funding provided by Mid-Atlantic Transportation  
6  
7     Sustainability Center Regional University Transportation Center Consortium (MATS-UTC),  
8  
9     Virginia Center for Transportation Innovation and Research. This project was funded, in part, by  
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11     the Virginia Agricultural Experiment Station (Blacksburg) and the Hatch Program of the  
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13     National Institute of Food and Agriculture, U.S Department of Agriculture (Washington, D.C.).  
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For Peer Review

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3 TABLE 1. A list of the *in situ* observation station of the Global Historical Climatology Network  
4 (GHCND) for precipitation trend analysis for 1950-2010.  
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9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 Station	9 10 11 12 13 14 15 16 17 18 19 20 21 22 Name of the stations	9 10 11 12 13 14 15 16 17 18 19 20 21 22 Elevation (m)	9 10 11 12 13 14 15 16 17 18 19 20 21 22 Latitude	9 10 11 12 13 14 15 16 17 18 19 20 21 22 Longitude
Holland	Holland 1 E VA US	24.1	36.6833	-76.7833
Suffolk	Suffolk Lake Kilby VA US	6.1	36.7333	-76.6000
Wallacetton	Wallacetton Lake Drummond VA US	9.1	36.6000	-76.4333
West Point	West point 2 NW VA US	6.1	37.5167	-76.8167
Williamsburg	Williamsburg 2 N VA US	21.0	37.2667	-76.7000
Hampton	Langley Air Force base VA US	3.0	37.0833	-76.3500
Norfolk Airport	Norfolk International Airport VA US	11.9	36.8833	-76.2000
Norfolk	Norfolk NAS VA US	6.1	36.9375	-76.2893
Oceana	Oceana NAS VA US	7.0	36.8333	-76.0333

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3 TABLE 2. A list of the Global Climate Models (GCMs) of the Coupled Model Intercomparison  
4 Project 5 (CMIP5) that are downscaled using Multivariate Adaptive Constructed Analogs  
5 (MACA) techniques for precipitation trend analysis for 1950-2099.  
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<b>Model</b>	<b>Model Country</b>	<b>Model Agency</b>	<b>Spatial Resolution</b>
BCC-CSM1-1	China	Beijing Climate Center, China Meteorological Administration	1/16 <sup>th</sup> of a degree
BCC_CSM1-1-m	China	Beijing Climate Center, China Meteorological Administration	1/16 <sup>th</sup> of a degree
BNU-ESM	China	College of Global Change and Earth System Science, Beijing Normal University, China	1/16 <sup>th</sup> of a degree
CanESM2	Canada	Canadian Centre for Climate Modeling and Analysis	1/16 <sup>th</sup> of a degree
CCSM4	USA	National Center of Atmospheric Research, USA	1/16 <sup>th</sup> of a degree
CNRM-CM5	France	National Centre of Meteorological Research, France	1/16 <sup>th</sup> of a degree
CSIRO-Mk3-6-0	Australia	Commonwealth Scientific and Industrial Research Organization/Queensland Climate Change Centre of Excellence, Australia	1/16 <sup>th</sup> of a degree
GFDL-ESM2M	USA	NOAA Geophysical Fluid Dynamics Laboratory, USA	1/16 <sup>th</sup> of a degree
GFDL-ESM2G	USA	NOAA Geophysical Fluid Dynamics Laboratory, USA	1/16 <sup>th</sup> of a degree
INM-CM4	Russia	Institute for Numerical Mathematics, Russia	1/16 <sup>th</sup> of a degree
IPSL-CM5A-LR	France	Institut Pierre Simon Laplace, France	1/16 <sup>th</sup> of a degree
IPSL-CM5A-MR	France	Institut Pierre Simon Laplace, France	1/16 <sup>th</sup> of a degree
IPSL-CM5B-LR	France	Institut Pierre Simon Laplace, France	1/16 <sup>th</sup> of a degree
MIROC5	Japan	Atmosphere and Ocean Research Institute (The University of Tokyo), National Institute for Environmental Studies, and Japan Agency for Marine-Earth Science and Technology	1/16 <sup>th</sup> of a degree
MIROC-ESM	Japan	Japan Agency for Marine-Earth Science and Technology, Atmosphere and Ocean Research Institute (The University of Tokyo), and National Institute for Environmental Studies	1/16 <sup>th</sup> of a degree

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5 TABLE 3. An estimation of the slope of the precipitation trends using linear regression, the  
6 Theil-Sen estimator, and Mann-Kendall analysis for 1950-2010. The significant trends at few  
7 locations are indicated in italics.  
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11 12 13 14 <b>Station</b>	<b>Linear Regression</b>	<b>Theil-Sen Estimator</b>	<b>Mann-Kendall Analysis</b>		
	<b>Slope</b>	<b>Slope</b>	<b>Test Z</b>	<b>p-value</b>	<b>Trend (<math>\alpha=0.05</math>)</b>
Holland	0.196	-0.023	-0.06	0.476078	-
<i>Suffolk</i>	0.799	0.491	1.79	0.963273	+
Wallaceton	0.448	0.252	1.31	0.904902	-
West Point	0.198	0.100	0.79	0.785236	-
Williamsburg	0.821	0.279	1.44	0.925066	-
Hampton	-0.021	-0.125	-0.46	0.322758	-
Norfolk Airport	0.340	0.152	0.46	0.677242	-
Norfolk	0.509	0.239	1.31	0.904902	-
Oceana	-0.203	-0.175	-1.23	0.109349	-

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3 TABLE 4a. An estimation of the precipitation distribution between GCMs and GHCND *in situ*  
4 observation using Kolmogorov-Smirnov test analysis for 1950-2005.  
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	Seasonal Extreme Daily Precipitation		Annual Extreme Daily Precipitation	
	D-statistics	D-critical	D-statistics	D-critical
Holland	0.049	0.029	0.049	0.029
Suffolk	0.070	0.028	0.070	0.028
Wallacetown	0.057	0.030	0.057	0.030
West Point	0.044	0.031	0.044	0.031
Williamsburg	0.065	0.028	0.065	0.028
Hampton	<b>0.024</b>	<b>0.029</b>	<b>0.024</b>	<b>0.029</b>
Norfolk Airport	0.030	0.028	0.030	0.028
Norfolk	0.072	0.024	0.072	0.024
Oceana	0.049	0.029	0.049	0.029

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28 TABLE 4b. An estimation of the precipitation distribution between NARR-WRF and GHCND *in*  
29 *situ* observation using Kolmogorov-Smirnov test analysis for 1985-2010.  
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	Seasonal Extreme Daily Precipitation		Annual Extreme Daily Precipitation	
	D-statistics	D-critical	D-statistics	D-critical
Holland	0.113	0.044	0.082	0.034
Suffolk	0.102	0.043	0.068	0.034
Wallacetown	0.195	0.043	0.069	0.033
West Point	0.068	0.045	0.073	0.035
Williamsburg	0.105	0.043	0.050	0.033
Hampton	0.121	0.047	0.110	0.041
Norfolk Airport	0.081	0.043	0.085	0.034
Norfolk	0.065	0.015	0.120	0.033
Oceana	0.061	0.043	0.090	0.034

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3 TABLE 5. An estimation of the daily precipitation statistics indicating -percent bias between  
4 downscaled precipitation of CMIP5 models and GHCND *in situ* observation for 1950-2005.  
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Model	Mean % Bias
BCC-CSM1-1	16.23
<i>BCC-CSM1-1-m</i>	<b>11.56</b>
BNU-ESM	13.80
CanESM2	15.54
CCSM4	23.32
CNRM-CM5	16.84
CSIRO-Mk3-6-0	19.12
<i>GFDL-ESM2M</i>	<b>10.61</b>
GFDL-ESM2G	18.41
INM-CM4	21.29
IPSL-CM5A-LR	15.99
IPSL-CM5A-MR	14.26
IPSL-CM5B-LR	15.37
MIROC5	20.63
MIROC-ESM	14.97
WRF-NARR	19.73

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5 TABLE 6. Comparison of extreme precipitation distribution using CMIP5 MACA RCP 4.5 and  
6  
7 8.5 scenarios with GHCND observation using Kolmogorov-Smirnov test analysis for 2016-2099.  
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Station ID	Annual Extreme Daily Precipitation				Seasonal Extreme Daily Precipitation			
	D <sub>45</sub> -statistics	D <sub>45</sub> -critical	D <sub>85</sub> -statistics	D <sub>85</sub> -critical	D <sub>45</sub> -statistics	D <sub>45</sub> -critical	D <sub>85</sub> -statistics	D <sub>85</sub> -critical
Holland	0.226	0.021	0.231	0.020	0.217	0.168	0.188	0.168
Suffolk	0.231	0.020	0.214	0.019	0.248	0.169	0.199	0.169
Wallacetown	0.230	0.021	0.225	0.021	0.190	0.142	0.146	0.142
West Point	0.272	0.022	0.248	0.021	0.234	0.177	0.234	0.177
Williamsburg	0.210	0.019	0.192	0.019	0.256	0.171	0.269	0.171
Hampton	0.336	0.220	0.312	0.021	0.185	0.170	0.188	0.169
Norfolk Airport	0.227	0.020	0.230	0.020	<b>0.140</b>	<b>0.176</b>	0.176	0.175
Norfolk	0.243	0.020	0.245	0.020	<b>0.152</b>	<b>0.180</b>	<b>0.171</b>	<b>0.180</b>
Oceana	0.255	0.021	0.255	0.021	0.186	0.180	0.200	0.179

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### List of Figures

FIGURE 1. The study area in the southeastern Virginia showing Global Historical Climatology Network-Daily (GHCN-D) stations.

FIGURE 2: Precipitation distribution at GHCN-D observation stations for 1950-2010 at nine locations of the study area.

FIGURE 3. Analysis of the daily maximum precipitation trends for 1950-2010 at nine GHCN-D locations of the study area. The blue line represents the average of the five high precipitation events. The red bar indicates the trend direction

FIGURE 4. Estimation of the precipitation ratio (high ( $> 90$ th percentile) to non-high) for 1950-2010 at nine GHCN-D locations of the study area. The bar represents the number of precipitation events greater than the 90th percentile.

FIGURE 5. Comparison of the maximum precipitation trends for 1950-2005 at nine GHCND locations of the study area among the daily CMIP5 MACA simulation, hourly Weather Forecasting and Research (WRF) model, and daily GHCND observation. The shaded region represents the uncertainty generated by the 15 CMIP5 MACA simulation models.

FIGURE 6. Future extreme precipitation analysis using the Theil-Sen estimation for 2016-2099 using RCP 4.5 and RCP 8.5 scenarios for the nine locations.

FIGURE 7. Spatial distribution of the annual extreme daily precipitation using two GCMs at southeastern Virginia. The red colour around few stations indicate higher extreme daily precipitation at respective GHCN-D stations.

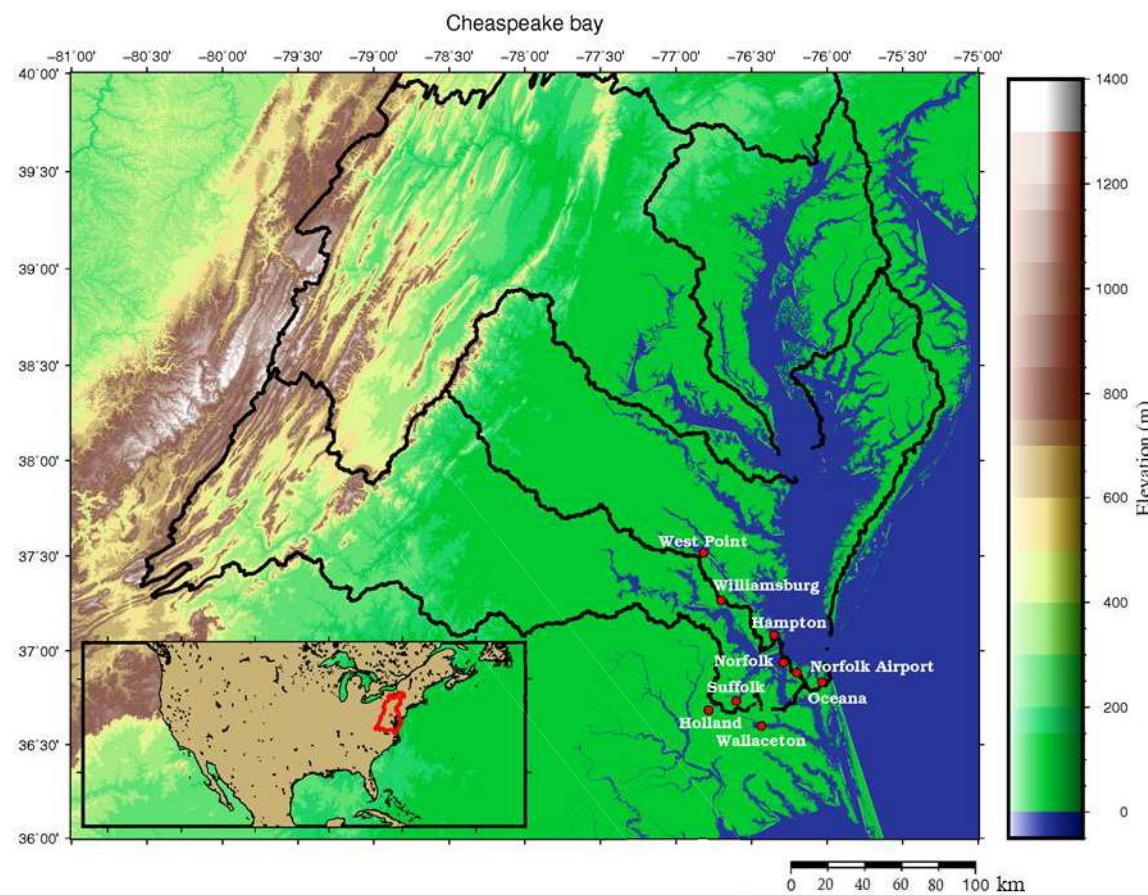
FIGURE 8. Intensity-Duration-Frequency analysis for annual extreme daily precipitation using observed, GCMs-based historical, RCP 4.5 and RCP 8.5 scenarios at nine GHCN-D locations of the study area. The precipitation from observed and GCMs was analyzed from 1950-2005, whereas the RCP 4.5 and 8.5 scenarios were analyzed from 2016-2099.

FIGURE 9. (a) Location map of the James River Basin. (b) Calibration (1991-2000) and Validation (2001-2009) of simulated (red) streamflow from Noah MP with Observed (green) USGS streamflow observations at the USGS\_2042500 gauge station. (c) Calibration (1991-

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3 2000) and Validation (2001-2009) of simulated (red) streamflow from Noah MP with Observed  
4 (green) USGS streamflow observations at the USGS\_2042500 gauge station.  
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7 FIGURE 10. Annual average streamflow (ft<sup>3</sup>/s) (line plot) along with the annual precipitation  
8 (cm) (bar plot) for (a & b) Hampton (c & d) Suffolk (e & f) Williamsburg (g & h) Virginia  
9 Beach (I & j) at the USGS\_02042500 streamflow gauge station. The red lines and bars indicate  
10 the BCC-CSM1.1 model, whereas blue lines and bars indicate CanESM2 model. The green lines  
11 and bars indicate the observed data regridded from NOAA stations.  
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14 FIGURE 11. A flood frequency curve for annual average flows for a) Hampton b) Suffolk c)  
15 Williamsburg d) Virginia Beach and e) the USGS\_02042500 gauge station. The black and white  
16 lines refer to the mean streamflow of two models (BCC-CSMv1.1 and CanESM2) corresponding  
17 to the future period (2006-2097). The red circles indicate the simulated streamflow for the  
18 baseline period (1950-2016).  
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**Figure 1**

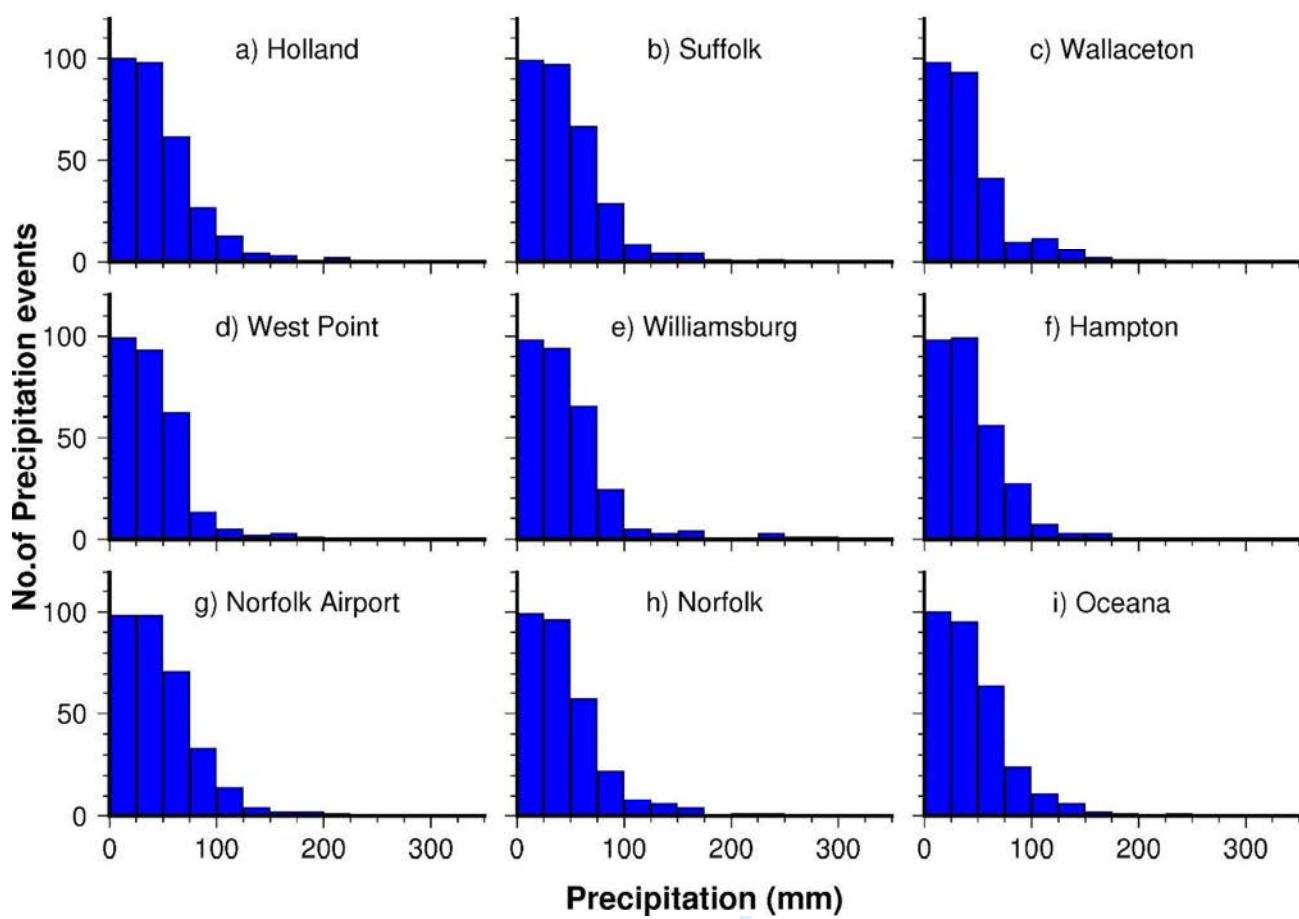


Figure 2

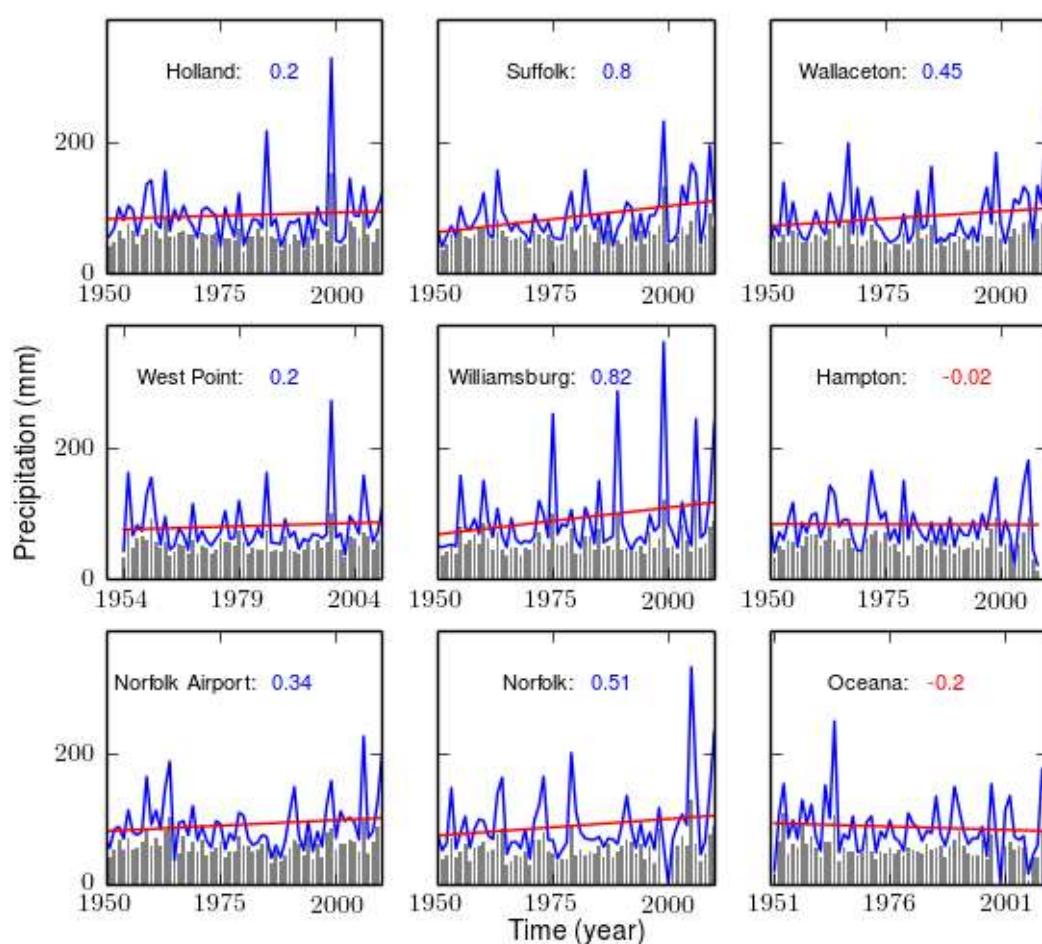
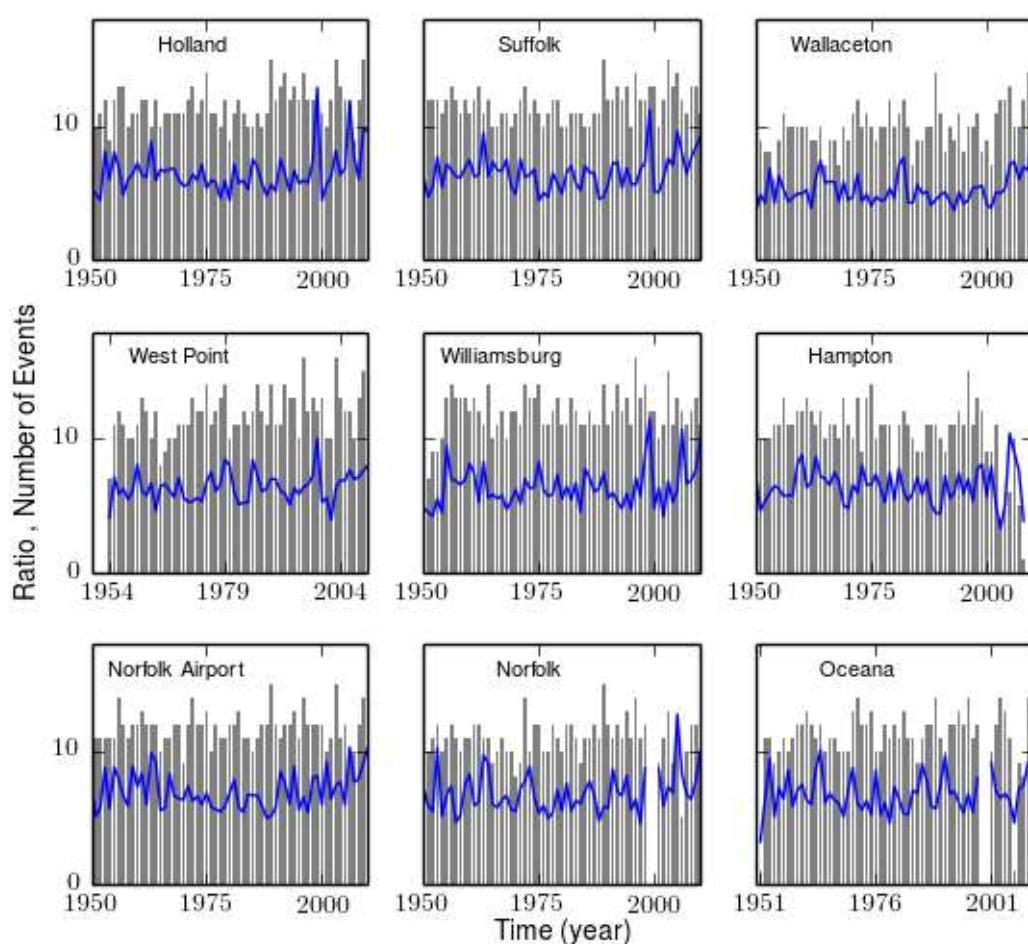


Figure 3



**Figure 4**

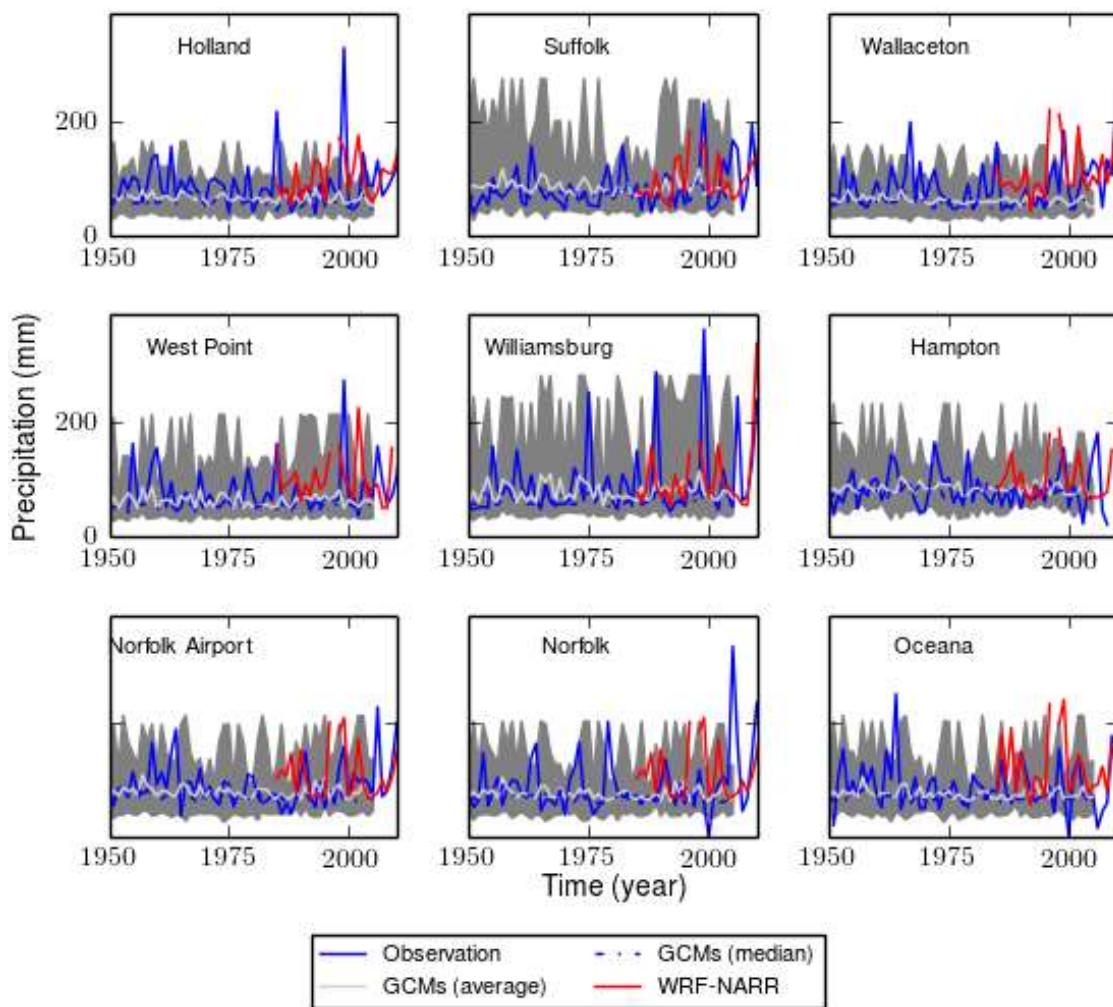
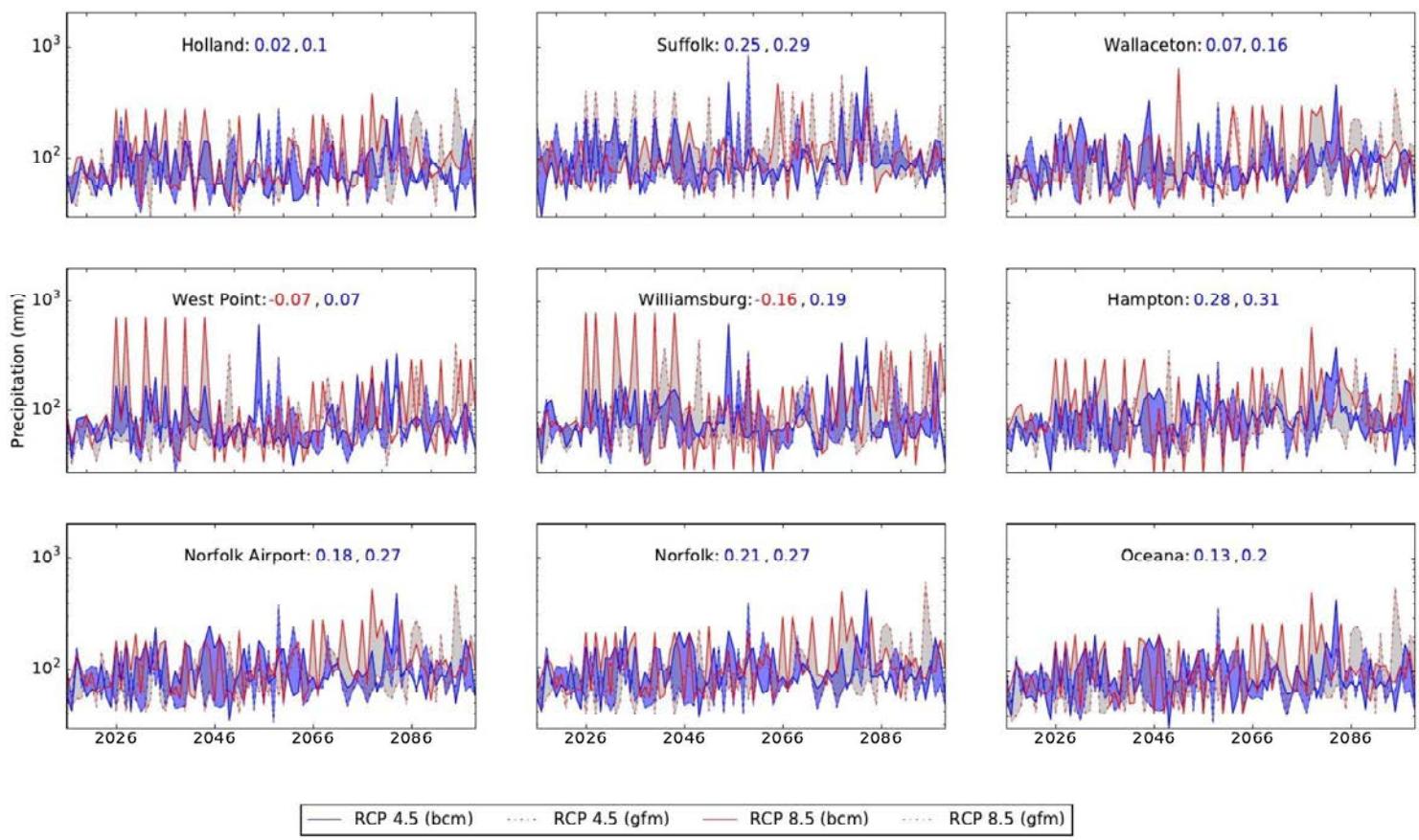


Figure 5



**Figure 6**

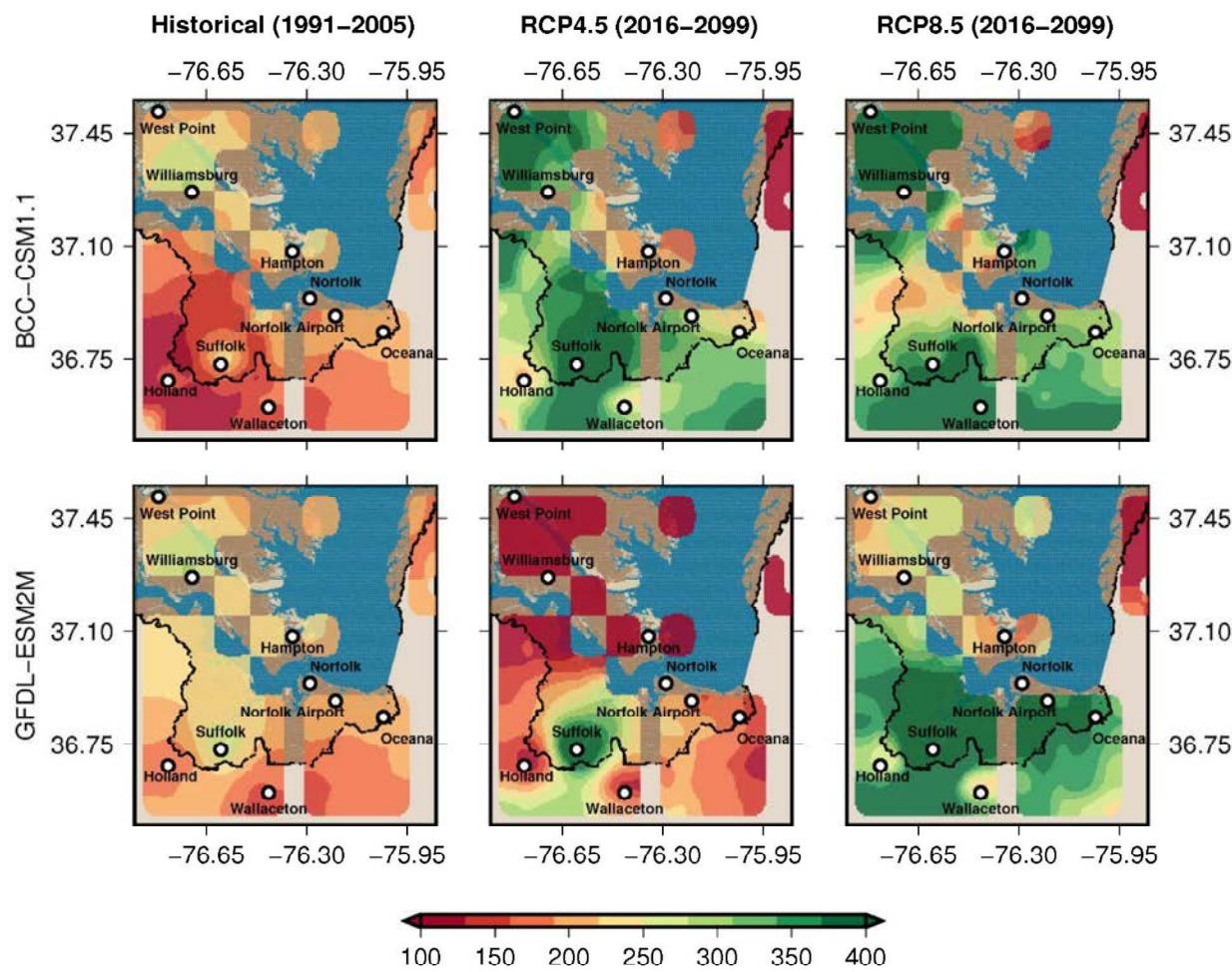


Figure 7

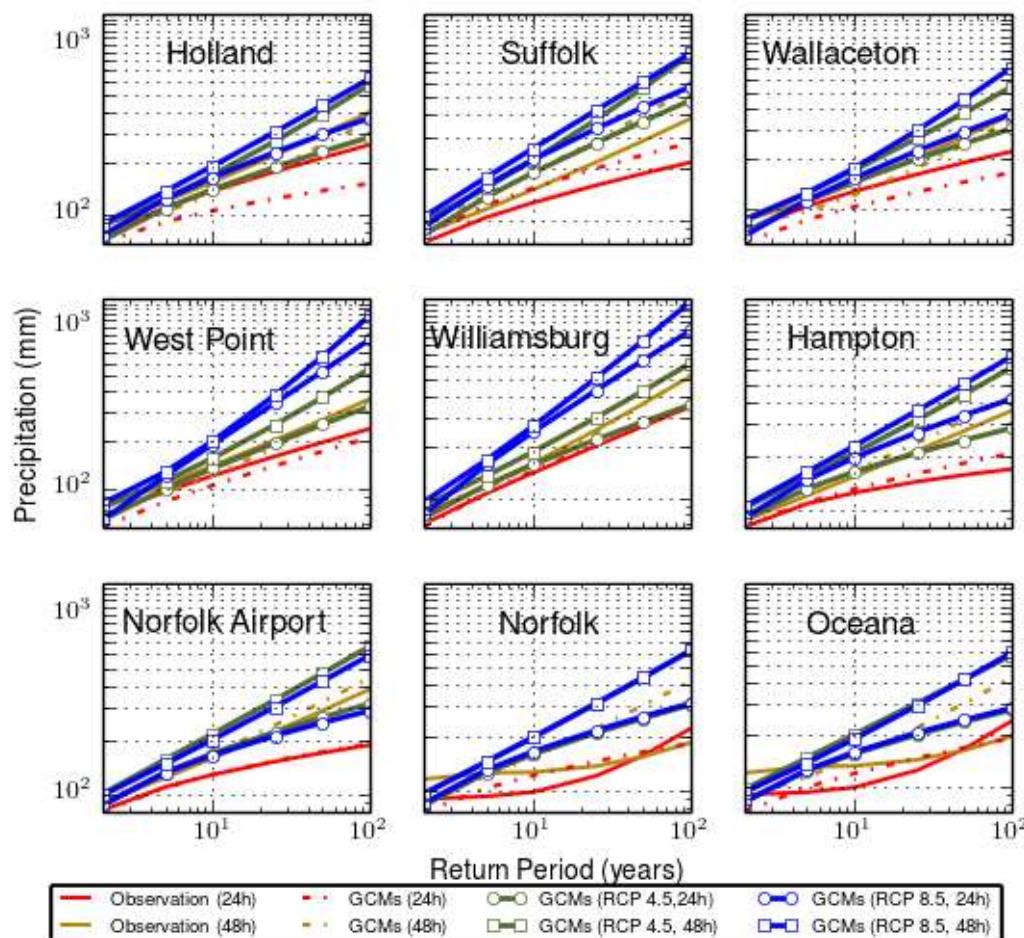
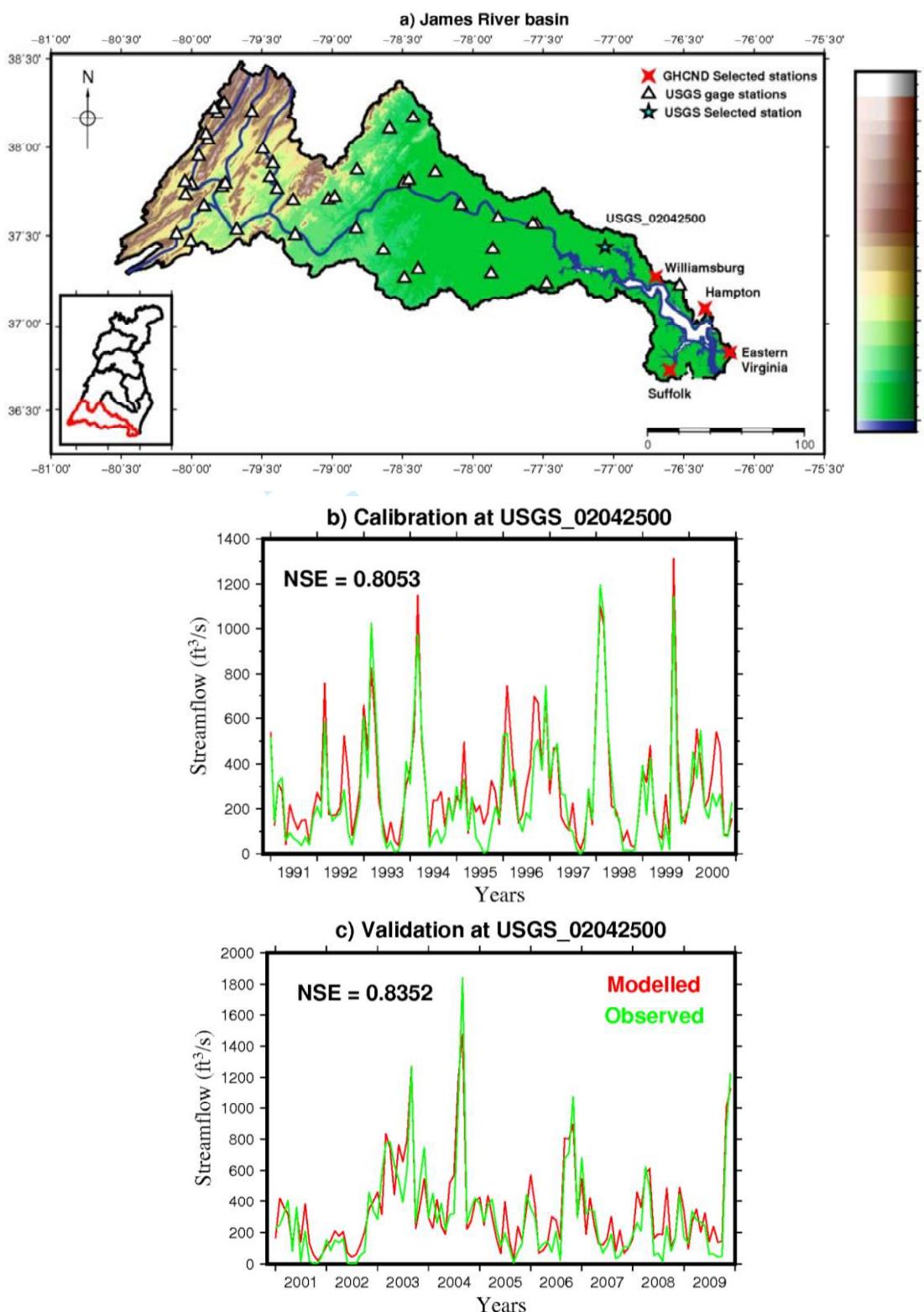


Figure 8

**Figure 9**

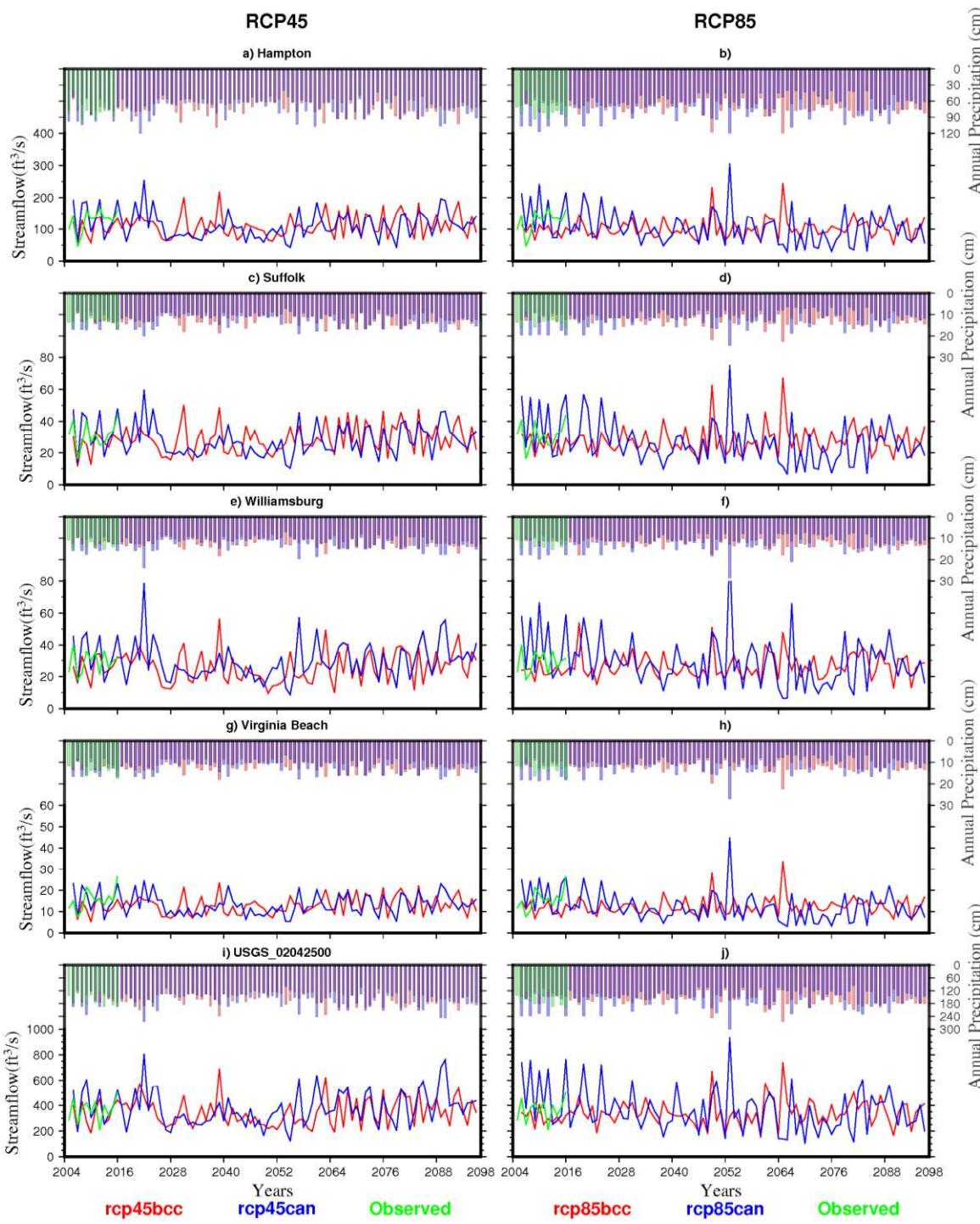
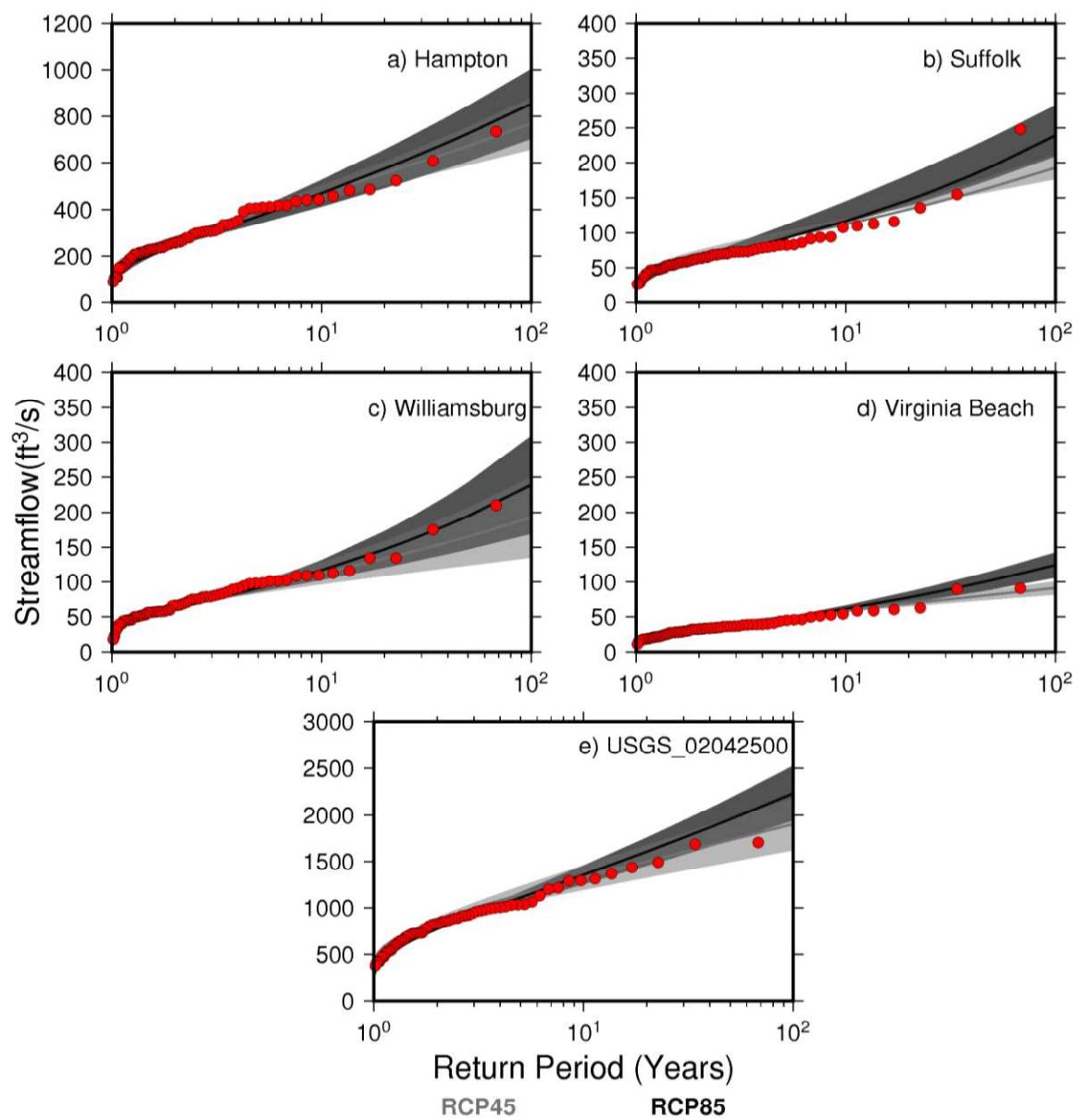


Figure 10

**Figure 11**