Deep Generative Language Models DGM4NLP

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May 2, 2019

Outline

Language Modelling

2 Variational Auto-encoder for Sentences

Discriminative embedding models word2vec

In the event of a chemical spill, most children know they should evacuate as advised by people in charge.

Place words in \mathbb{R}^d as to answer questions like

"Have I seen this word in this context?"

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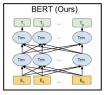
Place words in \mathbb{R}^d as to answer questions like

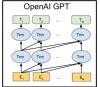
"Have I seen this word in this context?"

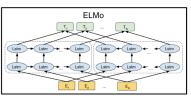
Fit a binary classifier

- positive examples
- negative examples

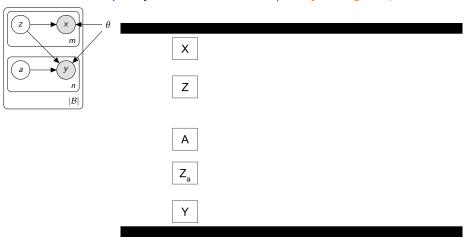
 The models processes a sentence and outputs a word representation:





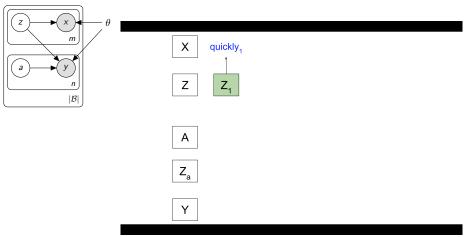


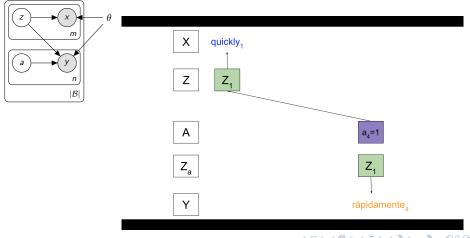
quickly evacuate the area / deje el lugar rápidamente

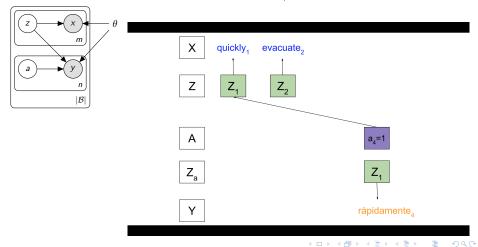


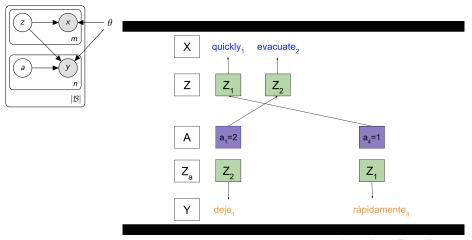
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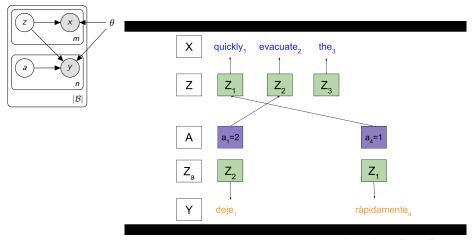
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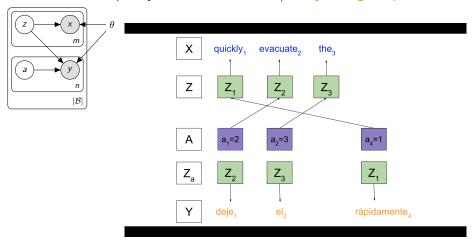




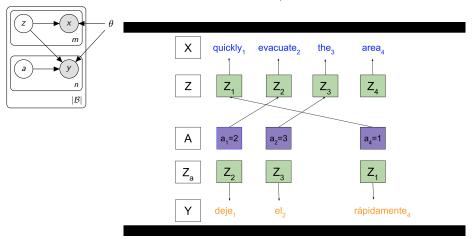


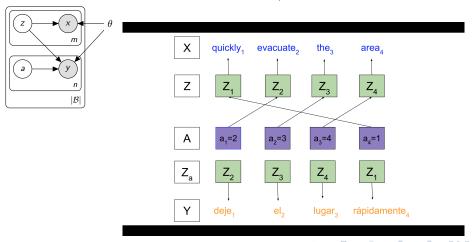


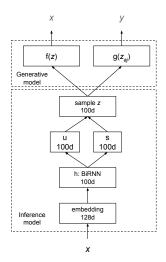
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you know nothing, jon x

- you know nothing, jon x
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- the x

• the quick brown x

- the quick brown x
- the quick brown fox x

- the quick brown x
- the quick brown fox x
- the quick brown fox jumps x

- the quick brown x
- the quick brown fox x
- the quick brown fox jumps x
- the quick brown fox jumps over x

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Definition

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- At a time step, they assign a probability to the next word.

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- Speech recognition;
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- Machine translation;
- LMs are useful in almost any tasks that deals with generating language.

Language Models

N-gram based LMs;

Language Models

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- Log-linear LMs;

Language Models

- N-gram based LMs;
- Log-linear LMs;
- Neural LMs.

N-gram LM

• x is a sequence of words

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- $x = x_1, x_2, x_3, x_4, x_5$ = you, know, nothing, jon, snow

• To compute the probability of a sentence

$$p(x) = p(x_1, x_2, \dots, x_n)$$
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• We limit the history with a Markov order:

$$p(x_i|x_1,...,x_{i-1}) \simeq p(x_i|x_{i-4},x_{i-3},x_{i-2},x_{i-1})$$

[Jelinek and Mercer, 1980, Goodman, 2001]

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• Chain rule:

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Rios

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```
P(x) = P("you know nothing jon snow")
= P("you") \cdot P("know" | "you") \cdot P("nothing" | "you know") \cdot P("jon" | "you know nothing") \cdot P("snow" | "you know nothing jon").
```

Rios

• We make a Markov assumption of conditional independence:

$$p(x_i|x_1,\ldots,x_{i-1}) \simeq p(x_i|x_{i-1}) \tag{4}$$

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Laplace smoothing:

$$p_{\text{add1}}(x_i|x_{i-1}) = \frac{\text{count}(x_{i-1}, x_i) + 1}{\text{count}(x_{i-1}) + V}$$
(6)



•

$$p(y|x) = \frac{\exp \mathbf{w} \cdot \phi(x,y)}{\sum_{y' \in V_y} \exp \mathbf{w} \cdot \phi(x,y')}$$
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- w are the model parameters.

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- class features: x_i belongs to class ABC;
- gazetteer features: x_i is a place name;

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Rios DGM4NL

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- We can add arbitrary features
- We use Stochastic Gradient Descent (SGD)

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Rios DGM4NLP

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Rios DGM4NLP

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Rios DGM4NLP 20 / 46

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- log-linear models allow us to share weights through features
- maybe our history is still too limited, e.g. n-1 words
- we need to find useful features

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- With NN we can exploit distributed representations to allow for statistical weight sharing.
- How does it work:
 - each word is mapped to an embedding: an m-dimensional feature vector:
 - a probability function over word sequences is expressed in terms of these vectors:
 - we jointly learn the feature vectors and the parameters of the probability function.



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Rios

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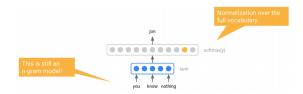
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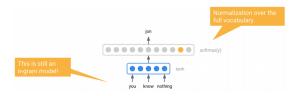
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- With this, probability mass is naturally transferred from (1) to (2):
- The cat is walking in the bedroom.
- The dog is running in the room.
- Take-away message:
 The presence of only one sentence in the training data will increase the probability of a combinatorial number of neighbours in sentence space.

Rios DGM4NLP 22 / 46

FF-LM



FF-LM



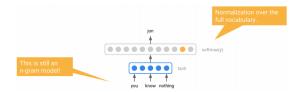
ullet $oldsymbol{\mathcal{E}}_{\mathrm{you}}, oldsymbol{\mathcal{E}}_{\mathrm{know}}, oldsymbol{\mathcal{E}}_{\mathrm{nothing}} \in \mathbb{R}^{100}$

$$\begin{aligned}
\mathbf{x} &= [\mathbf{E}_{\text{you}}; \mathbf{E}_{\text{know}}; \mathbf{E}_{\text{nothing}}] \in \mathbb{R}^{300} \\
\mathbf{y} &= \mathbf{W}_{3} \tanh (\mathbf{W}_{1} \mathbf{x} + \mathbf{b}_{1}) + \mathbf{W}_{2} \mathbf{x} + \mathbf{b}_{2}
\end{aligned} \tag{8}$$

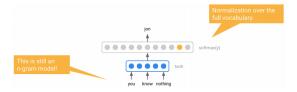
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Rios DGM4NLP 23 / 46

FF-LM



FF-LM



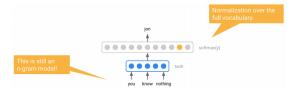
 The non-linear activation functions perform feature combinations that a linear model cannot do;

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DGM4NLP

FF-LM

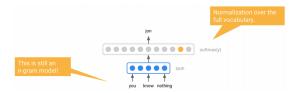


- The non-linear activation functions perform feature combinations that a linear model cannot do;
- End-to-end training on next word prediction.

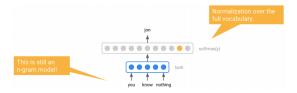


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FF-LM



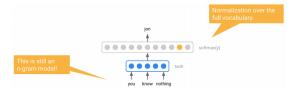
FF-LM



 We now have much better generalisation, but still a limited history/context.

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FF-LM

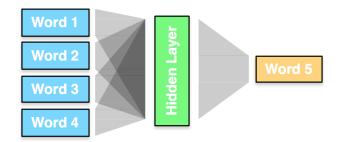


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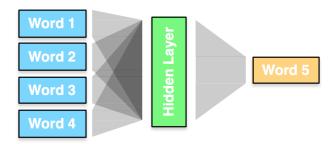
FF-LM



DGM4NLP

Rios

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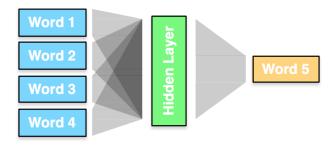


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FF-LM



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RNN NLM

RNN-LM



RNN NLM

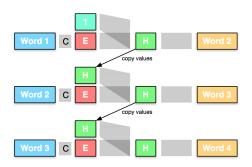
RNN-LM



• Start: predict second word from first

DGM4NLP

RNN NLM



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• Model observations as draws from the marginal of a DGM.

DGM4NLP

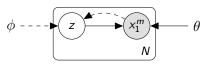
- Model observations as draws from the marginal of a DGM.
- An NN maps from a latent sentence embedding $z \in R^{dz}$ to a distribution $p(x|z,\theta)$ over sentences,

$$p(x|\theta) = \int p(z)p(x|z,\theta)dz$$

= $\int \mathcal{N}(z|0,I) \prod_{i=1}^{|x|} \operatorname{Cat}(x_i|f(z,x_{< i};\theta)) dz$ (9)

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Rios D



Generative model

- $Z \sim \mathcal{N}(0, I)$
- $X_i|z,x_{< i} \sim \mathsf{Cat}(f_{\theta}(z,x_{< i}))$

Inference model

•
$$Z \sim \mathcal{N}(\mu_{\phi}(x_1^m), \sigma_{\phi}(x_1^m)^2)$$

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- The conditional $p(x|z,\theta)$ is the decoder.
- $p(x|\theta)$ is the marginal likelihood.
- We train the model to assign high (marginal) probability to observations like a LMs.
- However the model uses a latent space to exploit neighbourhood and smoothness in latent space to capture regularities in data space.
 - For example, it may group sentences according to certain e.g. lexical choices, syntactic complexity, lexical semantics, etc...

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 The model has a diagonal Gaussian distribution as variational posterior:

$$q_{\phi}(z|x) = \mathcal{N}\left(z|\mu_{\phi}(x), \operatorname{diag}\left(\sigma_{\phi}(x)\right)\right)$$

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• With reparametrisation:

$$z = h_{\phi}(\epsilon, x) = \mu_{\phi}(x) + \sigma_{\phi}(x) \odot \epsilon$$
, where $\epsilon \sim \mathcal{N}(0, \mathbf{I}) f$

Rios DGM4NLP 33 / 46

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Analytical KL:

$$\begin{aligned} & \text{KL}\left[q_{\phi}(z|x) \| p_{\theta}(z)\right] = \\ & \frac{1}{2} \sum_{d=1}^{\text{D}_z} \left(-\log \sigma_{\phi}^2(x) - 1 + \sigma_{\phi}^2(x) + \mu_{\phi}^2(x) \right) \end{aligned}$$



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 We jointly estimate the parameters of both generative and inference by maximising a lowerbound on the log-likelihood function (ELBO):

$$\mathcal{L}(\theta, \phi | x) = \mathbb{E}_{q(z|x,\phi)}[\log p(x|z,\theta)]. - \mathsf{KL}(q(z|x,\phi)|p(z))$$
(10)

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Architecture

 Gaussian Sen VAE parametrises a categorical distribution over the vocabulary for each given prefix, and, it conditions on a latent embedding:

$$Z \sim \mathcal{N}(0, I),$$

 $X_i|z, x_{< i} \sim \mathsf{Cat}\left(f\left(z, x_{< i}; \theta\right)\right)$

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$$f\left(z, x_{< i}; \theta\right) = \operatorname{softmax}\left(\mathbf{s}_{i}\right)$$
 $\mathbf{e}_{i} = \operatorname{emb}\left(x_{i}; \theta_{\mathrm{emb}}\right)$
 $\mathbf{h}_{0} = \operatorname{tanh}\left(\operatorname{affine}\left(z; \theta_{\mathrm{init}}\right)\right)$
 $\mathbf{h}_{i} = \operatorname{GRU}\left(\mathbf{h}_{i-1}, \mathbf{e}_{i-1}; \theta_{\mathrm{gru}}\right)$
 $\mathbf{s}_{i} = \operatorname{affine}\left(\mathbf{h}_{i}; \theta_{\mathrm{out}}\right)$
(11)

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The Strong Decoder Problem

 The VAE may ignore the latent variable given the interaction between the prior and posterior in the KL divergence.

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- This problem appears when we have strong decoders conditional likelihoods p(x|z) parametrised by high capacity models
- The model might achieve a high ELBO without using information from z
- RNN LM is strong decoder because they condition on all previous context when generating a word

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- Weakening the Decoder, the model relies on the latent variables the reconstruction of the observed data.
- KL Annealing, weigh the KL term in the ELBO with a factor that is annealed from 0 to 1 over a fixed number of steps of size $\gamma \in (0,1)$
- Word Dropout, by dropping a percentage of the input at random, the decoder has to rely on the latent variable to fill in the missing gaps.

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- Weakening the Decoder, the model relies on the latent variables the reconstruction of the observed data.
- KL Annealing, weigh the KL term in the ELBO with a factor that is annealed from 0 to 1 over a fixed number of steps of size $\gamma \in (0,1)$
- Word Dropout, by dropping a percentage of the input at random, the decoder has to rely on the latent variable to fill in the missing gaps.
- Freebits because it allows encoding the first r nats of information for free. $\max(r, \text{KL}(q_{\phi}(z|x)||p(z)))$



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$$\approx \frac{1}{5} \sum_{s=1}^{S} \frac{p(z^{(s)}, x|\theta)}{q(z^{(s)}|x)} \text{ where } z^{(s)} \sim q(z|x)$$
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 Perplexity PPL: the exponent of average per-word entropy, given N i.i.d. sequences

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• RNNLM (Dyer et al., 2016)

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- At each step, an RNNLM parameterises a categorical distribution over the vocabulary, i.e.

$$X_i|x_{< i} \sim \mathsf{Cat}\left(f\left(x_{< i}; \theta\right)\right)$$
 and

$$f(x_{< i}; \theta) = \operatorname{softmax}(\mathbf{s}_{i}) \text{ and}$$

$$\mathbf{e}_{i} = \operatorname{emb}(x_{i}; \theta_{\mathrm{emb}})$$

$$\mathbf{h}_{i} = \operatorname{GRU}(\mathbf{h}_{i-1}, \mathbf{e}_{i-1}; \theta_{\mathrm{gru}})$$

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$$(14)$$

• Embedding layer (emb), one (or more) GRU cell(s) ($h_0 \in \theta$ is a parameter of the model), and an affine layer to map from the dimensionality of the GRU to the vocabulary size.

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• Wall Street Journal part of the Penn Treebank corpus

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- Section 24 as validation

Results

	NLL↓	PPL↓
RNN-LM	118.7±0.12	107.1 ± 0.46
VAE	118.4±0.09	105.7±0.36
Annealing	117.9 ± 0.08	103.7±0.31
Free-bits	117.5 ± 0.18	101.9 ± 0.77

Rios DGM4NLP

Samples

• decode greedily from a prior sampl and the variability is due to the generator's reliance on the latent sample.

Samples

- decode greedily from a prior sampl and the variability is due to the generator's reliance on the latent sample.
- The VAE ignores z and greedy generation from a prior sample is essentially deterministic in that case

Sample	Closest training instance
For example, the Dow Jones Industrial Average fell almost 80 points to close at 2643.65.	By futures-related program buying, the Dow Jones Industrial Average gained 4.92 points to close at 2643.65.
The department store concern said it expects to report profit from continuing operations in 1990.	Rolls-Royce Motor Cars Inc. said it expects its U.S. sales to remain steady at about 1,200 cars in 1990.
The new U.S. auto makers say the accord would require banks to focus on their core businesses of their own account.	International Minerals said the sale will allow Mallinck- rodt to focus its resources on its core businesses of medi- cal products, specialty chemicals and flavors.

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Samples

 Homotopy, decode greedily from points lying between a posterior sample conditioned on the first sentence and a posterior sample conditioned on the last sentence.

The inquiry soon focused on the judge.

The judge declined to comment on the floor.

The judge was dismissed as part of the settlement.

The judge was sentenced to death in prison.

The announcement was filed against the SEC.

The offer was misstated in late September.

The offer was filed against bankruptcy court in New York.

The letter was dated Oct. 6.

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