

## Class 14: Invariant Principle

### Schedule

**Problem Set 6** (will be posted after class today) is due **20 October (Friday) at 6:29pm**.

Exam 1 was returned Tuesday. If you did not pick yours up yet, you can get it after class today. We will start charging exponentially-increasing storage fees for inexcusably unclaimed exams starting after Prof. Mahmoody's office hours Monday.

### State Machines (review from Class 13)

A *state machine*,  $M = (S, G : S \times S, q_0 \in S)$ , is a binary relation (called a *transition relation*) on a set (both the domain and codomain are the same set). One state, denoted  $q_0$ , is designated as the *start state*.

An *execution* of a state machine  $M = (S, G \subseteq S \times S, q_0 \in S)$  is a (possibly infinite) sequence of states,  $(x_0, x_1, \dots, x_n)$  where (1)  $x_0 = q_0$  (it begins with the start state), and (2)  $\forall i \in \{0, 1, \dots, n-1\}. (x_i, x_{i+1}) \in G$  (if  $q$  and  $r$  are consecutive states in the sequence, then there is an edge  $q \rightarrow r$  in  $G$ ).

A state  $q$  is *reachable* if it appears in some execution.

A *preserved invariant* of a state machine  $M = (S, G \subseteq S \times S, q_0 \in S)$  is a predicate,  $P$ , on states, such that whenever  $P(q)$  is true of a state  $q$ , and  $q \rightarrow r \in G$ , then  $P(r)$  is true.

### Bishop State Machine

$S = \{(\_\_\_\_) \mid r, c \in \mathbb{N}\}$   $G = \{(r, c) \rightarrow (r', c') \mid r, c \in \mathbb{N} \wedge (\exists d \in \mathbb{N}^+ \text{ such that } r' = r - d \wedge r' \geq 0 \wedge c' = c - d \wedge c' \geq 0)\}$   $q_0 = (0, 2)$

What states are *reachable*?

### “Progress” Machine

$S = \{(x, d) \mid x \in \mathbb{Z}, d \in \{\mathbf{F}, \mathbf{B}\}\}$   $G = \{(x, \mathbf{F}) \rightarrow (x+1, \mathbf{B}) \mid x \in \mathbb{Z}\} \cup \{(x, \mathbf{B}) \rightarrow (x-2, \mathbf{F}) \mid x \in \mathbb{Z}\}$   $q_0 = (0, \mathbf{F})$

Which states are *reachable*?

**Preserved Invariants**

A predicate  $P(q)$  is a *preserved invariant* of machine  $M = (S, G \subseteq S \times S, q_0 \in S)$  if:

$$\forall q \in S. (P(q) \wedge (q \rightarrow r) \in G) \implies P(r)$$

What are some *preserved invariants* for the (original) Bishop State Machine?

**Invariant Principle.** If a *preserved invariant* of a state machine is true for the start state, it is true for all reachable states.

To show  $P(q)$  for machine  $M = (S, G \subseteq S \times S, q_0 \in S)$  all  $q \in S$ , show:

1. Base case:  $P(\text{_____})$
2.  $\forall s \in S. \text{_____} \implies \text{_____}$

Prove that the original Bishop State Machine never reaches a square where  $r + c$  is odd.

## Slow Exponentiation

```
def slow_power(a, b):  
    y = 1  
    z = b  
    while z > 0:  
        y = y * a  
        z = z - 1  
    return y
```

$S ::= \mathbb{N} \times \mathbb{N} \quad G ::= \{(y, z) \rightarrow (y \cdot a, z - 1) \mid \forall y, z \in \mathbb{N}^+\} \quad q_0 ::= (1, b)$

Prove  $\text{slow\_power}(a, b) = a^b$ .

## Fast Exponentiation

This is the algorithm from Section 6.3.1 written as Python code:

```
def power(a, b):  
    x = a  
    y = 1  
    z = b  
    while z > 0:  
        r = z % 2 # remainder of z / 2  
        z = z // 2 # quotient of z / 2  
        if r == 1:  
            y = x * y  
        x = x * x  
    return y
```