

Polynomial Functors

Conor Sheridan

November 29, 2023

Contents

1	Representable functors from the category of sets	2
1.1	Representable functors and the Yoneda Lemma	2
1.2	Sums and products of sets	5

Chapter 1

Representable functors from the category of sets

1.1 Representable functors and the Yoneda Lemma

Definition 1.1.1 (Representable functors). *For a set S , we denote by $y^S : \mathbf{Set} \rightarrow \mathbf{Set}$ the functor that sends each set X to the set $X^S := \mathbf{Set}(S, X)$ and each function $h : X \rightarrow Y$ to the function $h^S : X^S \rightarrow Y^S$, that sends $g : S \rightarrow X$ to $g \circ h : S \rightarrow Y$.*

*We call a functor (isomorphic to one) of this form a **representable functor**, or a **representable**. In particular, we call y^S the functor represented by S , and we call S the representing set of y^S . As y^S denotes raising a variable to the power of S , we will also refer to representables as **pure powers**.*

Proposition 1.1.1. *For any function $f : R \rightarrow S$, there is an induced natural transformation $y^f : y^S \rightarrow y^R$; on any set X its X -components $X^f : X^S \rightarrow X^R$ is given by sending $g : S \rightarrow X$ to $f \circ g : R \rightarrow X$.*

Proof. To prove that given any function $f : R \rightarrow S$ the construction $y^f : y^S \rightarrow y^R$ is a natural transformation, we must verify that, for any function $h : X \rightarrow Y$, the following commutative diagram commutes:

$$\begin{array}{ccc} X^S & \xrightarrow{h^S} & Y^S \\ X^f \downarrow & & \downarrow Y^f \\ X^R & \xrightarrow{h^R} & Y^R \end{array} \quad .$$

By definition 1.1.1 we have that $h^S := - \circ h$ and $C^f := f \circ -$, for X, Y . Let

$s : S \rightarrow X$ and consider the naturality square

$$\begin{aligned} Y^f(h^S(s)) &= Y^f(s \circ h) \\ &= f \circ (s \circ h) \\ &= (f \circ s) \circ h \\ &= h^R(f \circ s) \\ &= h^R(X^f(s)) \end{aligned}$$

We see that by associativity of composition the diagram commutes. \square

Theorem 1.1.1 (Yoneda Lemma). *Given a functor $F : \mathbf{Set} \rightarrow \mathbf{Set}$ and a set S , there is an isomorphism*

$$F(S) \simeq \mathbf{Set}^{\mathbf{Set}}(y^S, F) \quad (1.1)$$

where the right-hand side is the set of natural transformations $y^S \rightarrow F$. Moreover, (1.1) is natural in both S and F .

Proof. We will break down the proof into individual steps for clarity.

1. (First map) Given a natural transformation $m : y^S \rightarrow F$, consider applying it to the simplest object we have, namely the identity, id_S , which yields an element $m_S(id_S) \in F(S)$. For clarity:

$$m : y^S := \mathbf{Set}(S, -) \rightarrow F, \quad (1.2)$$

$$m_S : S^S \rightarrow F(S) \quad (1.3)$$

$$(1.4)$$

2. (Second Map) Conversely, consider a , an element of $F(S)$. We want to construct a natural transformation relative to this data. Let's go backward and assume we have a natural transformation indexed by the element, $m^a : y^S \rightarrow F$ and then try to deduce how to define such a natural transformation.

So this natural transformation acts on objects X as $m^a(X) : X^S \rightarrow F(X)$. That is, the components should send morphisms $g : S \rightarrow X$ to elements of $F(X)$. Do we have a way to associate a morphism $g \in X^S$ with an element of $F(X)$? Well, we have $F(g) : F(S) \rightarrow F(X)$ and $a \in F(S)$ so we can define the behaviors of our component $m^a(X)$ as $g \mapsto F(g)(a)$. That is, we have defined our morphism indexed by a as

$$m^a : y^S \rightarrow F \quad (1.5)$$

$$m^a(X) : X^S \rightarrow F(X) \quad (1.6)$$

$$m^a(X)(g) = F(g)(a) \quad (1.7)$$

$$(1.8)$$

3. (Naturality of second map) Now we must verify that this morphism is indeed a natural transformation by showing that the construction is natural in X (since X is the parameter it takes). So let's consider the following diagram

$$\begin{array}{ccc} X^S & \xrightarrow{h^S} & Y^S \\ F(-)(a)_X \downarrow & & \downarrow F(-)(a)_Y \\ F(X) & \xrightarrow{F(h)} & F(Y) \end{array} .$$

where $m^a(X) := F(-)(a)_X$ and likewise for Y .

So for $g : S \rightarrow X$ we have

$$g \circ h^S \circ F(-)(a)_Y = (g \circ h) \circ F(-)(a)_Y \quad (1.9)$$

$$= F(g \circ h)(a)_Y \quad (1.10)$$

$$= F(g)(a)_X \circ F(h) \quad (1.11)$$

$$= g \circ F(-)(a)_X \circ F(h) \quad (1.12)$$

So we see that the diagram commutes.

4. (First inverse) Next we show that the maps $m \mapsto m_S(id_S)$ and $a \mapsto m^a$ mutually inverse. First, we have

$$m^{m_S(id_S)}(X)(g) = F(g)(m_S(id_S)) \quad (1.13)$$

$$= m_X(g^S)(id_S) \quad (1.14)$$

$$= m_X(g) \quad (1.15)$$

Where the second line comes from the naturality of m :

$$\begin{array}{ccc} S^S & \xrightarrow{m_S} & F(S) \\ g^S \downarrow & & \downarrow F(g) \\ X^S & \xrightarrow{m_X} & F(X) \end{array} .$$

So we have $m^{m_S(id_S)} = m$.

5. (Second Inverse) Next we have to show that $m_S^a(id_S) = a$. By construction $m_S^a(id_S) = F(id_S)(a) = id_F S(a) = a$.
6. (Natural in functor parameter) Next we show that the diagram (1.1) is natural in F . It suffices to show that given two functors $F, G : \mathbf{Set} \rightarrow \mathbf{Set}$ and a natural transformation between them $\alpha : F \rightarrow G$ the naturality square

$$\begin{array}{ccc} \mathbf{Set}^{\mathbf{Set}}(y^S, F) & \xrightarrow{- \circ \alpha} & \mathbf{Set}^{\mathbf{Set}}(y^S, G) \\ \sim \downarrow & & \downarrow \sim \\ F(S) & \xrightarrow{\alpha_S} & G(S) \end{array} .$$

commutes. The commutativity of this square is trivial:

$$\begin{aligned} m &\mapsto m_S(id_S) \mapsto \alpha_S(m_S(id_S)) \\ m &\mapsto m \circ \alpha \mapsto (m \circ \alpha)_S(id_S) = \alpha_S(m_S(id_S)). \end{aligned}$$

7. (Natural in object parameter) It suffices to consider given a morphism $g : S \rightarrow X$, consider the diagram

$$\begin{array}{ccc} \mathbf{Set}^{\mathbf{Set}}(y^S, F) & \xrightarrow{y^g \circ -} & \mathbf{Set}^{\mathbf{Set}}(y^X, F) \\ \sim \downarrow & & \downarrow \sim \\ F(S) & \xrightarrow{F(g)} & F(X) \end{array} .$$

Let $m : y^S \rightarrow F$, then

$$m \mapsto y^g \circ m \mapsto (y^g \circ m)_X(id_X) = m_X(X^g(id_X)) \quad (1.16)$$

$$= m_X(g \circ id_X) \quad (1.17)$$

$$= m_X(g). \quad (1.18)$$

$$m \mapsto m_S(id_S) \mapsto F(g)(m_S(id_S)) = m_X(g). \quad (1.19)$$

where the last line is by (1.13 - 15), so the square commutes. □

Corollary 1.1.1.1 (Yoneda Embedding). *There is a full and faithful functor $y^- : \mathbf{Set}^{op} \rightarrow \mathbf{Set}^{\mathbf{Set}}$. In particular there is an isomorphism $S^T \simeq \mathbf{Set}^{\mathbf{Set}}(y^S, y^T)$. For this reason we call y^- the **Yoneda embedding***

Proof. By the Yoneda lemma we have

$$F(S) \simeq \mathbf{Set}^{\mathbf{Set}}(y^S, F)$$

We just have to set $F = (-)^T$, then we have the desired isomorphism

$$S^T \simeq \mathbf{Set}^{\mathbf{Set}}(y^S, y^T). \quad \square$$

1.2 Sums and products of sets