

# DUA:

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Aufgabe 1 (9 points). In the lecture, you learned about the partition function. This function can not only be used to sort an array  $A$  (as in quicksort), but also to find the  $k$ -th smallest value in  $A$ , i.e., the  $k$ -th element in the ascending sorted order of the elements in  $A$ . Example: In the array  $A = [6, 7, 2, 9, 3, 1, 0]$ , the 4th smallest element is the number 3 (only 0, 1, and 2 are smaller). We assume that every element in  $A$  is unique.

1. Answer to question 1:

What would a naive approach, using comparison-based search algorithms, look like to find the  $k$ -th smallest element in  $A$ ? What is the lower asymptotic bound on the runtime of this approach?

The naive approach would be to sort the array and then return the  $k$ -th element. The time complexity for comparison-based sorting algorithms is:

$$O(n \log n) \tag{1}$$

2. Answer to question 2:

(2 points) Provide a modified partition function in pseudocode that rearranges the array  $A$  such that the pivot element is at position  $i_p$ , and all elements to the left of  $i_p$  are smaller than the pivot element, and all elements to the right of  $i_p$  are greater than the pivot element. The manipulation of  $A$  is done in-place, so all changes are made directly in  $A$ , and partition does not need to explicitly return the array  $A$ , only  $i_p$ .

```
function partition(A, low, high)
    pivot = A[high]
    i_p = low - 1
    for j = low to high - 1 do
        if A[j] <= pivot then
            i_p = i_p + 1
            swap(A[i_p], A[j])
    swap(A[i_p + 1], A[high])
    return i_p + 1
```

3. Answer to question 3:

(4 points) Describe in words and in pseudocode how the modified partition function can be used to efficiently find the  $k$ -th smallest value in  $A$ .

The modified partition function can be used to find the  $k$ -th smallest element in an array  $A$  by using a quickselect algorithm. We choose a pivot and partition the array around it. If the pivot index  $i_p$  equals  $k$ , we are done. If  $i_p > k$ , recursively search in the left subarray, and if  $i_p < k$ , recursively search in the right subarray.

```
function quickselect(A, low, high, k)
    if low == high:
        return A[low]
    i_p = partition(A, low, high)
    if i_p == k:
        return A[i_p]
    else if i_p > k:
        return quickselect(A, low, i_p - 1, k)
    else:
        return quickselect(A, i_p + 1, high, k)
```

4. Answer to question 4:

(2 points) What is the runtime of your algorithm in the best case and in the worst case? Provide an example call for both cases. The best/worst case should apply to general  $k$ , not a specific  $k$ .

The best-case runtime occurs when the pivot always splits the array evenly, giving a time complexity of  $O(n)$ , since each partition step reduces the problem size by half. The worst-case runtime occurs when the pivot is always the smallest or largest element, leading to a time complexity of  $O(n^2)$  because each partition only reduces the problem size by one element.

Example best-case: *quickselect*([1, 2, 3, 4, 5], 0, 4, 2).

Example worst-case: *quickselect*([5, 4, 3, 2, 1], 0, 4, 2).