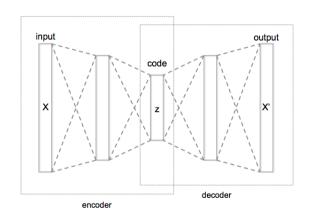
### **Toward Controlled Generation of Text**

Hu, Yang, Liang, Salakhutdinov & Xing

**ICML 2017** 

### Motivation



 $<sup>^{1}</sup> https://commons.wikimedia.org/wiki/File:Autoencoder\_structure.png$ 

1

### Motivation

# Distentangled Latent Representations

I love this movie  $\ \ \rightarrow$ 

I hate this movie  $\rightarrow$ 

0.21	0.32	0.74	0.43
0.45	0.78	0.97	0.17

### Motivation

# Distentangled Latent Representations

I love this movie  $\rightarrow$ 

I hate this movie  $\rightarrow$ 

I love this movie  $\;\;
ightarrow$ 

I hate this movie  $\rightarrow$ 

0.21	0.32	0.74	0.43
0.45	0.78	0.97	0.17



0.68	0.12	0.33	1.00
0.68	0.12	0.33	0.00

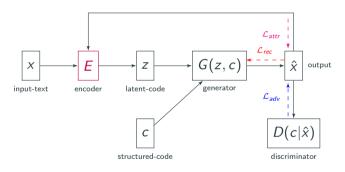
# Problem Statement

Generate fake samples similar to the source distribution by conditioning their generation on a tunable set of attributes.

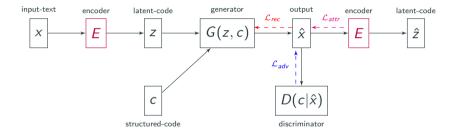
### **Notation**

- $x \Rightarrow$  source documents
- $\hat{x} \Rightarrow \text{output documents}$
- $c \Rightarrow$  structured latent code
- $z \Rightarrow unstructured latent code$
- $E \Rightarrow \mathbf{encoder}$ , parameterized to generate z
- $G \Rightarrow \text{decoder/generator}$ , produces  $\hat{x}$  conditioned on (z, c)
- $D \Rightarrow$  **discriminator**, predicts c given x or  $\hat{x}$ .
- $au \; \Rightarrow \; {
  m softmax \; temperature}, \; {
  m for \; decoder \; word \; prediction}$

### **Architecture**



### **Unrolled Architecture**



# **Optimization Objectives**

### **Discriminator Optimization**

 Maximize the likelihood of predicting the correct distribution of the structured code c given the labeled examples X<sub>L</sub>.

$$\mathcal{L}_s(\theta_D) = -\mathbb{E}_{X_L}[logq_D(c_L|x_L)]$$

• Maximize the likelihood of predicting the correct distribution of the structured code c given the generated sentences  $\hat{x}$ . Also minimize the empirically observed Shannon entropy of the discriminator predictions  $q_D(c'|\hat{x})$ .

$$\mathcal{L}_{u}(\theta_{D}) = -\mathbb{E}_{p_{G}(\hat{x}|z,c)p(z)p(c)}[logq_{D}(c|\hat{x}) + \beta\mathcal{H}(q_{D}(c'|\hat{x}))]$$

# **Optimization Objectives**

### **Generator Optimization**

• Maximize the likelihood of predicting the original document x, given the latent spaces and the generator G(z,c).

$$\mathcal{L}_{VAE}(\theta_G, \theta_E; x) = -\mathbb{E}_{q_E(z|x)q_D(c|x)}[logp_G(x|z, c)] + KL(q_E(z|x)||p(z))$$

 Maximize the likelihood of generating the output documents with the correct structured code c

$$\mathcal{L}_{attr,c}(\theta_G) = -\mathbb{E}_{p(z)p(c)}[logq_D(c|\tilde{G}_{\tau}(z,c))]$$

## **Training Objectives**

### Additional Generator Optimization: Independency Constraint

The encoder is re-used to regenerate the latent distribution z devoid of the structured code c, from the output distribution  $\tilde{G}_{\tau}(z,c)$ .

$$\mathcal{L}_{attr,z}( heta_G) = -\mathbb{E}_{p(z)p(c)}[logq_E(z| ilde{G_{ au}}(z,c))]$$

# **Training Objectives**

### **Generator:**

$$egin{array}{ll} \min_{ heta_G} \mathcal{L}_G = & \mathcal{L}_{V\!AE} & ext{(reconstruction loss)} \ & + \lambda_c \mathcal{L}_{attr,c} & ext{(style entanglement)} \ & + \lambda_z \mathcal{L}_{attr,z} & ext{(independency constraint)} \end{array}$$

#### **Discriminator:**

$$\min_{\theta_D} \mathcal{L}_D = \mathcal{L}_s$$
 (labeled example classification)  $+\lambda_u \mathcal{L}_{attr,u}$  (synthesized example classification)

### Algorithm

**Require:** A large corpus of unlabeled sentences  $\mathcal{X} = \{x\}$ 

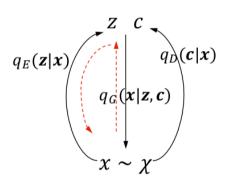
A few sentence attribute labels  $\mathcal{X}_L = \{(x_L, c_L)\}$ 

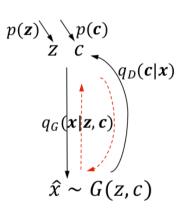
Parameters:  $\lambda_c, \lambda_z, \lambda_u, \beta$  – balancing parameters

- 1: Initialize the base VAE by minimizing  $\mathcal{L}_{\textit{VAE}}$  on  $\mathcal{X}$
- 2: repeat
- 3: Train the discriminator D by minimizing  $\mathcal{L}_u$
- 4: Train the generator G and the encoder E by minimizing  $\mathcal{L}_G$  and  $\mathcal{L}_{V\!AE}$ , respectively.
- 5: until convergence

**Ensure:** Sentence generator G conditioned on disentangled representation (z,c)

# Wake-Sleep Algorithm





### Simple Reconstruction

- 350K IMDB movie reviews
- ullet Sentence length  $\leq 15$
- Total sentence count = 1.4*M*
- Vocab size = 16K

### **Binary Sentiment Classification and Conditioned Generation**

- Stanford Sentiment Treebank-2: Movie reviews
  - 2837 training examples
  - Sentence length  $\leq 15$
- Sentiment Lexicon: Words used as sentences
  - 2700 words
  - Sentence length  $\leq 15$
- IMDB: Movie reviews
  - 16K training examples

#### **Tense Classification and Conditioned Generation**

- TimeBank<sup>2</sup> Lexicon
- 5250 words and phrases labeled with one of past, present, future
- Verbs in different tenses (e.g., was, will be) as well as time expressions (e.g., in the future)

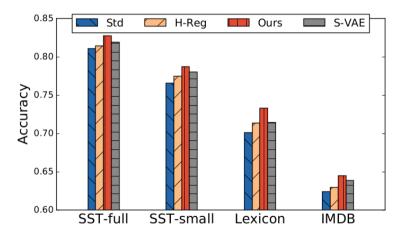
<sup>&</sup>lt;sup>2</sup>http://timeml.org

#### **Parameters**

- The generator and encoder are set as single-layer LSTM RNNs with input/hidden dimension of 300 and max decoding time-step count of 15
- Discriminators are set as Convolutional Nets
- VAE KL-Divergence weight linearly annealed from 0 to 1 during training
- ullet Softmax temperature au annealed from 1 to 0
- ullet Balancing  $\lambda$  weights all set to 0.1,  $\beta$  is selected on the dev set

# Results

### Results



### Without 'Independency Constraint'

the acting is bad ⇒ the movie is so much fun

none of this is very original ⇒ highly recommended viewing for its courage , and ideas

too bland ⇒ highly watchable

i can analyze this movie without more ⇒ i highly recommend this film to anyone than three words ⇔ who appreciates music

### With 'Independency Constraint'

the film is strictly routine  $\Rightarrow$  the film is full of imagination after watching this movie , i felt that  $\Rightarrow$  after seeing this film , i 'm a fan disappointed  $\Rightarrow$  the performances are uniformly good this is just awful  $\Rightarrow$  this is pure genius

# Varying the unstructured code z

("negative", "past") the acting was also kind of hit or miss .	("positive", "past") his acting was impeccable
("negative", "present") the era seems impossibly distant	("positive", "present") i 've always been a big fan of the smart dialogue .
("negative", "future") and that would be devastating!	("positive", "future") i will definitely be buying this on dvd

### Commentary

- Non-parallel attribute-controlled generation
- Encoder independency constraint

No quantifiable results for content preservation

### **Related Work**

- Shen, Tianxiao, et al. 'Style transfer from non-parallel text by cross-alignment.'
   Advances in Neural Information Processing Systems. 2017.
- Kim, Yoon, et al. 'Adversarially regularized autoencoders for generating discrete structures.' arXiv preprint arXiv:1706.04223 (2017).
- Fu, Zhenxin, et al. 'Style Transfer in Text: Exploration and Evaluation.' arXiv preprint arXiv:1711.06861 (2017).
- Melnyk, Igor, et al. 'Improved Neural Text Attribute Transfer with Non-parallel Data.' arXiv preprint arXiv:1711.09395 (2017).

# Questions?