Transcendental Numbers

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December 15, 2023

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Preface

Starting from the background information from 21441 and algebra, we will discuss transcendental numbers. Then, we will introduce methods of explicitly constructing, finding, and detecting transcendental numbers. We will talk about some additional algebra preliminaries and theorems from complex analysis. We will name several classes of transcendental numbers, and the specific methods of finding them will be discussed. The main theorems include the Lindemann-Weierstrass theorem, Gelfond-Schneider theorem, and Baker's theorem. Then, we conclude with a discussion of Schanuel's conjecture and its consequences. The main sequence of theorems is primarily related to determining the transcendence of complex numbers using auxiliary functions.

Chapter 1

Preliminaries

1.1 Algebraic and Transcendental Numbers

1.1.1 Definitions and Motivation

Def An **algebraic number** is $\alpha \in \mathbb{C}$ which is the root of a nonzero polynomial in $\mathbb{Q}[x]$ (equivalently the root of a nonzero polynomial in $\mathbb{Z}[x]$). A **transcendental number** is a complex number that is not algebraic.

Note We will use $\mathbb{A}$ to denote the set of algebraic numbers and we will use $\mathbb{C} \setminus \mathbb{A}$ to denote the set transcendental numbers. We will use $\mathbb{R} \setminus \mathbb{A}$ to denote the set of real transcendental numbers.

To find examples of algebraic numbers, we can take any nonzero polynomial in $\mathbb{Q}[x]$ find its roots. By definition, these roots are algebraic numbers. For example, $\sqrt{2}$ is algebraic because it is a root of $x^2 - 2$. Also, i is algebraic because it is the root of $x^2 + 1$. All rational numbers are algebraic as well. Let $\frac{p}{q} \in \mathbb{Q}$ be rational, where $p, q \in \mathbb{Z}$ and q is nonzero. Then, it is the root of $x - \frac{p}{q}$. Therefore, $\mathbb{Q} \subseteq \mathbb{A}$.

What about transcendental numbers? Do they exist?

> **Theorem 1.1.1** *Yes, transcendental numbers exist.*

Proof Consider the set of algebraic numbers, which we will denote by $\mathbb{A}$. This set is countable. We will show this by forming a surjection

$$\phi : \left(\mathbb{N} \times \bigcup_{n \in \mathbb{N}} \mathbb{Q}^n \right) \to \mathbb{A} \,.$$

Note that $\mathbb{N} \times \bigcup_{n \in \mathbb{N}} \mathbb{Q}^n$ is countable because it is the Cartesian product of a countable set

with a countable union of countable sets. By the fundamental theorem of algebra, we know that a polynomial of degree k has k (not necessarily distinct) roots. Therefore, we can number the k roots from 1 to k for each polynomial. Thus, if we specify the nonzero rational coefficients $(a_0, \ldots, a_k)$ and an index i for $i \in [k]$ to be the i-th root of the polynomial $a_k x^k + \cdots + a_0$, we get an algebraic number. We define the map $\phi(i, (a_0, \ldots, a_k))$ to be the i-th root of $a_k x^k + \cdots + a_0$ if it exists. Otherwise, we map the input to zero. From the definition, we know that every algebraic number can be encoded this way since it is one of the roots of a nonzero rational polynomial. Thus, for all $a \in \mathbb{A}$, there must be an input x such that $\phi(x) = a$, making this a surjective map from a countable set to $\mathbb{A}$. This makes $\mathbb{A}$ countable. However, since $\mathbb{C}$ is uncountable, it cannot be the case that all complex numbers are algebraic. Thus, if a complex number is not algebraic, it must be transcendental. Furthermore, $\mathbb{R}$ is uncountable so there must be real transcendental numbers as well.

♦

If transcendental numbers exist, then can we find an example? To show that a number is algebraic, we have to find a nonzero rational polynomial that it is a root of, evaluate that polynomial at that number, and verify that we get zero. However, checking if a number is transcendental is a very hard problem. The rest of this paper will discuss the transcendence of a large class of numbers and methods for determining transcendence.

1.1.2 Diagonalization

Luckily, uncountability tells us a lot of information about transcendental numbers already. Using the fact that the set of transcendental numbers are uncountable, we can use diagonalization of the algebraic numbers to construct transcendental numbers. For example, we find some way to enumerate the algebraic numbers $\{\alpha_i\}_{i \in \mathbb{N}}$ strictly between 0 and 1. Then, we construct the transcendental number t between 0 and 1 as follows: for α_i, we change the i-th digit to the right of the decimal and append the i-th digit to t. This way, we have defined all of the digits in t and since it differs from every algebraic number between 0 and 1 in at least one digit so $t \notin \{\alpha_i\}_{i \in \mathbb{N}}$, which means it is transcendental. Furthermore, since the algebraic numbers are countable, they have measure zero in $\mathbb{C}$, which means almost every complex number is transcendental.

Now, we will move onto some preliminary information, theorems, and lemmas to be used throughout the remainder of the text.

1.2   Algebra Preliminaries

Before that, we will generalize the concept of transcendence to other fields. In order to do this, we will list several definitions. Let K, L be fields and let L/K be a field extension. We will introduce a few definitions for recall.

Recall

- $a \in L$ is **algebraic** over K if there is a nonzero polynomial $f \in K[x]$ such that $f(a) = 0$. Otherwise, a is **transcendental** over K. In other words, an algebraic number is a complex number that is algebraic over $\mathbb{Q}$ and a transcendental number is a complex number that is not algebraic over $\mathbb{Q}$.

- $X \subseteq L$ is **algebraically independent** over K if for all $a_1, \ldots, a_t$ distinct and all $f \in K[x_1, \ldots, x_t]$, $f(a_1, \ldots, a_t) = 0$ implies $f = 0$.

- If $a \in L$ is algebraic over K, the **minimal polynomial** of a over K, denoted m_a^K or m_a when the underlying field is implied, is the unique monic irreducible polynomial which generates the kernel of the evaluation map $\phi(f) = f(a)$ for $f \in K[x]$. The **degree** of $\alpha \in K$ is the degree of the minimial polynomial m_a and the **conjugates** of a are the roots of m_a.

- L/K is an **algebraic extension** if every element in L is algebraic over K.

- L is **algebraically closed** if every nonconstant single variable polynomial in L has a root in L.

- An **algebraic closure** of K is an algebraic extension of K that is algebraically closed.

1.2.1   Transcendence Basis

Using this information, we will introduce the notion of a transcendence basis.

Def For a field extension L/K, $S \subseteq L$ is a **transcendence basis** if S is a maximal (subsets ordered by inclusion) algebraically independent subset of L over K. By Zorn's lemma, this always exists.

> **Theorem 1.2.1** *For a field extension L/K, all transcendence bases have the same cardinality.*

Proof Suppose B and B' were two transcendence bases for the field extension L/K. Then, assume without loss of generality that $|B'| \leq |B|$. We will consider the case where B is finite and the case where B is infinite separately.

First, suppose B is finite. Then B' is also finite. Thus, suppose $B = \{v_1, \ldots, v_n\}$ and $B' = \{w_1, \ldots, w_m\}$ where $m \leq n$. Now, we will induct on m. If $m = 0$, then the field

extension must be algebraic since this means there are no elements of L that are not roots of a nonzero polynomial in K. Thus, it must also be the case that $n = 0$. Now suppose $m > 0$. Now since we know that B is a maximal algebraically independent subset of L, we can find a nonzero polynomial in $f \in K[x, y_1, \ldots, y_n]$ such that $f(w_1, v_1, \ldots, v_n) = 0$ as adding x to B would make the set algebraically dependent. Furthermore, we can assume that in the polynomial f, the coefficients of x and some y_i are nonzero because adding w_1 to B removes algebraic independence so the polynomial must relate w_1 to some variables used to represent elements in B in some way. Without loss of generality, we can assume that the coefficients of x and y_1 are nonzero in f. Then, let $B'' = \{w_1, v_2, \ldots, v_n\}$. B'' is a transcendence basis for L/K. To see this, consider the tower of field extensions $L/K(B'', v_1)/K(B'')/K$. First, B'' must be algebraically independent over K. Otherwise we can find some irreducible, nonzero polynomial $g \in K[x, y_2, \ldots, y_n]$ such that $g(w_1, v_2, \ldots, v_n) = 0$ with nonzero x coefficient. This means w_1 would be algebraic over $K(v_2, \ldots, v_n)$, which from substituting w_1 using f would make v_1 algebraic over $v_2, \ldots, v_n$ which contradicts B being a transcendence basis. This means that $\{v_2, \ldots, v_n\}$ and $\{w_2, \ldots, w_m\}$ are transcendence bases for L over $K(w_1)$. Thus, by induction, we get that $m = n$.

Next, we will handle the case where the sets have infinite cardinality. We again assume that $|B'| \leq |B|$ without loss of generality. Pick $w \in B'$. We know that there is a finite set $B_w \subset B$ such that w is algebraic over $K(B_w)$, as polynomials only have a finite number of indeterminates. Let

$$B'' := \bigcup_{w \in B'} B_w.$$

We therefore know that $B'' \subseteq B$. However, we will also show that $B \subseteq B''$. Suppose for contradiction that there was $v \in B \setminus B''$. Since v is algebraic over $K(B')$ which is algebraic over $K(B'')$, v is algebraic over $K(B'')$. However, that is a contradiction as $B'' \subseteq B$, contradicting maximality. Therefore, $|B| \leq |B''|$. Also note that by construction, if B' is finite, this means B'' must be finite as well since every B_w is finite. This means B must be finite. This was handled in the previous case. Therefore, assume that B' is infinite. However, since B'' is a union of finite sets indexed by $w \in B'$, it must be the case that the cardinality of B'' is the same as the cardinality of B'. Thus, $|B| \leq |B''| \leq |B'|$ as desired.

$$\blacklozenge$$

<u>Def</u> Let L/K be a field extension. The cardinality of a transcendence basis is called the **<u>transcendence degree</u>** or **<u>transcendence dimension</u>**. By the previous theorem, this is well defined since all transcendence bases have the same cardinality. This is denoted $\mathrm{trdeg}(L/K)$.

> **Theorem 1.2.2** *(Tower law for transcendence bases) Suppose $L/K/F$ are field extensions. Then, $\mathrm{trdeg}(L/F) = \mathrm{trdeg}(L/K) + \mathrm{trdeg}(K/F)$.*

<u>Proof</u> Note that if B is a transcendence basis for L/K and B' is a transcendence basis for K/F, then $B \cup B'$ is a transcendence basis for L/F. To verify that this is algebraically

independent, suppose some polynomial relationship p of the terms in $B \cup B'$ with coefficients in F evaluates to zero (thus there are either terms from B or B' with nonzero coefficients). Then, if the polynomial p is a nonzero polynomial containing terms with B, we can turn all terms from B into indeterminates. This new nonzero multivariable polynomial p' has coefficients in K, which when when evaluated at the values B, is zero, so that contradicts B being algebraically independent over K. Otherwise if p has no terms containing B, we can construct p' by turning all elements in B' into indeterminates and then we get a multivariable polynomial with coefficients in F which which when evaluated at B' is zero. This contradicts B' being algebraically independent over F.

To verify that this is maximal, pick $v \in L$ but not in B or B'. If $v \in F$, then its minimal polynomial over F shows that $B \cup B' \cup \{v\}$ is not algebraically independent over F. Now, if $v \in K \setminus F$, then we know by maximality of B', $B \cup B' \cup \{v\}$ is not algebraically independent over F since $B' \cup \{v\}$ is not algebraically independent over F. Finally, if $v \in L \setminus K$, then, for any nonzero polynomial relationship between $B \cup B' \cup \{v\}$ with coefficients in F, we can convert all terms in $B \cup \{v\}$ into indeterminates. Noting that coefficients in F are also coefficients in K, we contradict algebraic independence of B over K. Thus, $B \cup B'$ is maximal.

Finally, we need to show that B and B' are disjoint. Note that $B' \subseteq K$. $B \subseteq L \setminus K$, that is, it cannot have elements in K, or else B would have elements algebraic over K. From this, we know that $|B \cup B'| = |B| + |B'|$ so $\operatorname{trdeg}(L/F) = \operatorname{trdeg}(L/K) + \operatorname{trdeg}(K/F)$.

♦

> **Theorem 1.2.3** *If $\mathbb{Q}(\alpha_1, \ldots, \alpha_n)/\mathbb{Q}$ is a field extension with transcendence degree $k \leq n$ for some positive integer k, then at least k of $\alpha_1, \ldots, \alpha_n$ are transcendental.*

Proof Otherwise, any size k set $B := \{\beta_1, \ldots, \beta_k\} \subseteq \{\alpha_1, \ldots, \alpha_n\}$ will contain an algebraic number so it cannot be algebraically independent over $\mathbb{Q}$.

♦

1.2.2   Number Fields

Now we will consider some important facts about number fields:

Recall

- An **algebraic number field** or **number field** is an algebraic extension of $\mathbb{Q}$. There are many important and useful theorems associated with number fields for this text from 21441 including but not limited to:

1. $\{1, \alpha, \ldots, \alpha^{n-1}\}$ form a $\mathbb{Q}$-basis for $\mathbb{Q}(\alpha)/\mathbb{Q}$ for $\alpha \in \mathbb{A}$

2. tower law

3. primitive element theorem

4. discriminant of basis

- An **algebraic integer** is $\alpha \in \mathbb{C}$ such that it is the root of a monic polynomial in $\mathbb{Z}[x]$.

- If K is a number field, $\mathcal{O}_K$ is the **ring of integers** of K and is the ring of all algebraic integers in K. It is a free $\mathbb{Z}$-module of rank $[K : \mathbb{Q}]$. If $\alpha \in K$, there is a positive $c \in \mathbb{Z}$ such that $c\alpha \in \mathcal{O}_K$.

- Let K be a number field. An **integral basis** is a $\mathbb{Q}$-basis for K that is also a $\mathbb{Z}$-basis for $\mathcal{O}_K$.

Now, we will introduce some additional facts about number fields.

Theorem 1.2.4 *Let K be a number field and let $\alpha \in K$. The degree of α over $\mathbb{Q}$ divides the degree of K.*

Proof By the tower law, $[K : \mathbb{Q}(\alpha)][\mathbb{Q}(\alpha) : \mathbb{Q}] = [K : \mathbb{Q}]$. Thus, $[\mathbb{Q}(\alpha) : \mathbb{Q}] \mid [K : \mathbb{Q}]$.

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Note Let K be a number field of degree n over $\mathbb{Q}$ and let $\alpha \in K$. Then, let $\sigma_1, \ldots, \sigma_n$ be the $\mathbb{Q}$-fixing embeddings $K \hookrightarrow \mathbb{C}$. We write $\|\alpha\|$ to denote $\max\limits_{i \in \{1,\ldots,n\}} |\sigma_i(\alpha)|$. This will be a convenient way to convert information about algebraic numbers into integers, which help with calculations later.

Theorem 1.2.5 *Let $\alpha, \beta \in K$, a number field. Then, $\|\alpha + \beta\| \le \|\alpha\| + \|\beta\|$ and $\|\alpha\beta\| \le \|\alpha\|\|\beta\|$.*

Proof We first show this for addition. Note that we are using field homomorphism properties.

$$
\begin{aligned}
\|\alpha + \beta\| &= \max_{i \in \{1,\ldots,n\}} |\sigma_i(\alpha + \beta)| \\
&= \max_{i \in \{1,\ldots,n\}} |\sigma_i(\alpha) + \sigma_i(\beta)| \\
&\le \max_{i \in \{1,\ldots,n\}} |\sigma_i(\alpha)| + |\sigma_i(\beta)| \\
&\le \max_{i \in \{1,\ldots,n\}} |\sigma_i(\alpha)| + \max_{i \in \{1,\ldots,n\}} |\sigma_i(\beta)| \\
&= \|\alpha\| + \|\beta\|
\end{aligned}
$$

The proof for multiplication is similar by replacing $+$ with $\cdot$ instead.

$$
\begin{aligned}
\|\alpha \cdot \beta\| &= \max_{i \in \{1,\dots,n\}} |\sigma_i(\alpha \cdot \beta)| \\
&= \max_{i \in \{1,\dots,n\}} |\sigma_i(\alpha) \cdot \sigma_i(\beta)| \\
&\leq \max_{i \in \{1,\dots,n\}} |\sigma_i(\alpha)| \cdot |\sigma_i(\beta)| \\
&\leq \max_{i \in \{1,\dots,n\}} |\sigma_i(\alpha)| \cdot \max_{i \in \{1,\dots,n\}} |\sigma_i(\beta)| \\
&= \|\alpha\| \cdot \|\beta\|
\end{aligned}
$$

♦

Theorem 1.2.6 *If K is a number field, it has an integral basis.*

<u>Proof</u> We know that $\mathcal{O}_K$ is a free $\mathbb{Z}$-module of rank $n := [K : \mathbb{Q}]$ so let $\{\beta_1, \dots, \beta_n\}$ be a basis for $\mathcal{O}_K$ as a $\mathbb{Z}$-module. To show that this is a basis for K, we will show that this set $\mathbb{Q}$-spans K and is $\mathbb{Q}$-linearly independent.

First, we will show it is spanning. Let $\alpha \in K$. Then, find positive $c \in \mathbb{Z}$ such that $c\alpha \in \mathcal{O}_K$. Now, for $\lambda_1, \dots, \lambda_n \in \mathbb{Z}$, we can write

$$
c\alpha = \lambda_1 \beta_1 + \cdots + \lambda_n \beta_n.
$$

Therefore, since $c > 0$, and is an integer, we can divide by c to get

$$
\alpha = \frac{\lambda_1}{c} \beta_1 + \cdots + \frac{\lambda_n}{c} \beta_n.
$$

Since $\frac{\lambda_i}{c} \in \mathbb{Q}$, we know that the basis $\mathbb{Q}$-spans K. Now, we will show it is $\mathbb{Q}$-linearly independent. Suppose for contradiction

$$
0 = \lambda_1 \beta_1 + \cdots + \lambda_n \beta_n,
$$

where $\lambda_i \in \mathbb{Q}$ but there is a nonzero λ_i. Then, we can multiply both sides by the least common multiple of the demoninators of the λ_i to get that the λ_i's are not $\mathbb{Z}$-linearly independent, which is a contradiction.

♦

1.2.3   Polynomials

Now, we will talk about (formal) derivatives of polynomials and how it relates to the multiplicity of a root.

Lemma 1.2.7 *For any nonzero polynomial $p(x) \in \mathbb{C}[x]$ with a root at $x = \alpha$ of multiplicity $m > 0$, $p'(x)$, has the root α with multiplicity $m - 1$. More generally, if R is an integral domain and $p \in R[x]$ has α with multiplicity m, p', the formal derivative, has root α with multiplicity $m - 1$ if $\operatorname{char}(R)$ is not a factor of m.*

<u>Proof</u> We can write $p(x)$ as $(x - \alpha)^m q(x)$ where $(x - \alpha) \nmid q(x)$. Now, taking the derivatives of both sides and using the product rule, we get

$$p(x) = (x - \alpha)^m q(x)$$
$$p'(x) = \left((x - \alpha)^m\right)' q(x) + (x - \alpha)^m q'(x)$$
$$= m(x - \alpha)^{m-1} q(x) + (x - \alpha)^m q'(x)$$
$$= (x - \alpha)^{m-1} \left(mq(x) + (x - \alpha)q'(x)\right)$$

Therefore, we get that $(x - \alpha)^{m-1} \mid p'(x)$ so $p'(x)$ has the root α of multiplicity at least $m - 1$.

Now, we want to show that $p'(x)$ has root α with multiplicity at most $m - 1$. We need to show that $x - \alpha$ does not divide $(mq(x) + (x - \alpha)q'(x))$. We can take this expression modulo $(x - \alpha)$ to get that it is equivalent to $mq(x)$. Note that $(x - \alpha) \nmid q(x)$, so we need $m > 0$. This is true when $\operatorname{char}(R)$ is not a factor of m (otherwise the entire expression is zero). Therefore, we have multiplicity at most $m - 1$. Since $\operatorname{char}(\mathbb{C}) = 0$, we know this rule applies to complex polynomials.

◆

1.2.4 Linear Algebra

Now, we will introduce some facts from linear algebra.

First, we will introduce Siegel's lemma, which uses the pigeonhole principle to find a bound for the solution to a system of equations. This is used by Alexander Gelfond, Theodor Schneider, and Alan Baker to "construct" their auxiliary functions. The functions are not constructed explicitly, but this lemma is used to show there exists an auxiliary function with certain desirable properties. For example, it may be used to construct a function with a zero of very high multiplicity.

Lemma 1.2.8 *(Siegel's Lemma) Consider the following system of m equations with n unknowns with $0 < m < n$:*

$$
\begin{pmatrix} a_{1,1} & \cdots & a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} & \cdots & a_{m,n} \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix},
$$

and $a_{i,j} \in \mathbb{Z}$. We write this compactly as $A\mathbf{x} = \mathbf{0}$. Let $a \in \mathbb{Z}$, $a > 0$, and $a \geq |a_{i,j}|$ for all $1 \leq i \leq m$ and $1 \leq j \leq n$. Then, the system of equations has a nontrivial solution in $\mathbb{Z}^n$ for $\mathbf{x}$, such that for all x_j,

$$
|x_j| < 1 + (na)^{\frac{m}{n-m}}.
$$

<u>Proof</u> Let $\mathbf{x}$ be a column vector of height n and let $\mathbf{y}$ be a column vector of height m where $A\mathbf{x} = \mathbf{y}$. Let the entries of $\mathbf{y}$ be y_k where $1 \leq k \leq m$. A solution corresponds to finding an $\mathbf{x}$ such that $\mathbf{y}$ is the zero vector.

<u>Def</u> $\mathbf{x}$ is a <u>**lattice point**</u> if it is in $\mathbb{Z}^n$.

Note that if $\mathbf{x}$ is a lattice point, then since the entries of $A = (a_{i,j})$ are integers, $\mathbf{y}$ will also be a lattice point.

Let q be any positive integer. Let C be the n-dimensional hypercube centered at the origin of side length $2q$. Equivalently, this is all points with coordinates in $[-q, q]$ or points whose coordinate's absolute values are upper bounded by q. Now, let $\mathbf{x}$ range through all the $(2q+1)^n$ lattice points in C (each of the n coordinates is in $\{-q, -q+1, \ldots, 0, \ldots, q-1, q\}$, which is a set of $2q+1$ options). We can now upper bound each of the y_k coordinates in $\mathbf{y}$ via

$$
\begin{aligned}
|y_k| &= \left| \sum_{i=1}^{n} a_{k,j} x_j \right| \\
&\leq \sum_{i=1}^{n} |a_{k,j} x_j| \\
&= \sum_{i=1}^{n} |a_{k,j}||x_j| \\
&\leq \sum_{i=1}^{n} aq \\
&= naq
\end{aligned}
$$

Therefore, we know that the coordinates of $\mathbf{y}$ are in $\{-naq, -naq+1, \ldots, 0, \ldots, naq-1, naq+1\}$ and so there are $(2naq+1)^n$ possible lattice points $\mathbf{y}$ could be as $\mathbf{x}$ ranges through the lattice points of C.

As of now, we selected q to be any positive integer. However, to finish the proof of this lemma, we would like to use the pigeonhole principle to say that two of the inputs $\mathbf{x}', \mathbf{x}''$ in C correspond to outputs that coincide. That is to say, the $(2q+1)^n$ input points outnumber the $(2naq+1)^m$ possible output locations. In order to do this, we select q to be the unique integer such that:

$$(na)^{\frac{m}{n-m}} - 1 \leq 2q < (na)^{\frac{m}{n-m}} + 1.$$

This means $2q$ is the even integer in the interval of length 2. From the left inequality, we get $(na)^m \leq (2q+1)^{n-m}$. Now,

$$\begin{aligned}
(2naq+1)^m &= \left(na \cdot \frac{2naq+1}{na}\right)^m \\
&= (na)^m \left(2q + \frac{1}{na}\right)^m \\
&< (na)^m (2q+1)^m \\
&\leq (2q+1)^{n-m}(2q+1)^m \\
&= (2q+1)^n.
\end{aligned}$$

Thus, we get that there are solutions $\mathbf{x}' \neq \mathbf{x}''$ such that $A\mathbf{x}' = A\mathbf{x}''$. Thus, $A(\mathbf{x}' - \mathbf{x}'') = \mathbf{0}$. Note that if we let x'_j, x''_j denote the j-th coordinate of $\mathbf{x}', \mathbf{x}''$ respectively,

$$|x'_j - x''_j| \leq |x'_j| + |x''_j| \leq q + q = 2q < (na)^{\frac{m}{m-n}} + 1,$$

so $\mathbf{x}' - \mathbf{x}''$ is a nontrivial solution to $A\mathbf{x} = 0$ we were looking for.

♦

Def The square **Vandermonde matrix** of size n,

$$V = V(x_1, \ldots, x_n)$$

is the $n \times n$ matrix

$$\begin{pmatrix}
1 & x_1 & x_1^2 & \cdots & x_1^{n-1} \\
1 & x_2 & x_2^2 & \cdots & x_2^{n-1} \\
1 & x_3 & x_3^2 & \cdots & x_3^{n-1} \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
1 & x_n & x_n^2 & \cdots & x_n^{n-1}
\end{pmatrix}$$

with nonzero complex elements x_i^j in the i-th row and $j+1$-th column column where $0 \leq j \leq n-1$ and $1 \leq i \leq n$.

> **Theorem 1.2.9** *Let $V = V(x_1, \ldots, x_n)$ be the square Vandermonde matrix of size n. Then, the determinant of the square Vandermonde matrix is*
>
> $$\prod_{1 \leq i < j \leq n} (x_j - x_i).$$
>
> *Therefore, the determinant vanishes if and only if $x_i = x_j$ for any $i \neq j$.*

<u>Proof</u> For $1 \leq i \leq n$, let $E := \{e_i\}$ be the canonical basis for $\mathbb{C}^n$.

Let P_n be the $\mathbb{C}$-vector space of polynomials with degree less than n. Furthermore, for $1 \leq i \leq n$, let $A := \{a_i\}$ be the monomial basis for P_n where $a_i(x) = x^{i-1}$ and let $B := \{b_i\}$ be another monomial basis for P_n where $b_i(x) = \prod_{j<i}(x - x_j)$. For example,

$$b_1 = 1,$$
$$b_2 = (x - x_1),$$
$$b_3 = (x - x_1)(x - x_2),$$
$$\vdots$$
$$b_n = (x - x_1)(x - x_2)\ldots(x - x_{n-1}).$$

Consider the linear transformation $\psi : P_n \to \mathbb{C}^n$ via $\psi(p) = (p(x_1), \ldots, p(x_n))$. We can think of this as a map from $p(x)$ to a column vector of length n.

$$p \mapsto \begin{pmatrix} p(x_1) \\ p(x_2) \\ \vdots \\ p(x_n) \end{pmatrix}$$

Let V be the matrix of ψ with respect to A and E. Now, let L be the matrix of ψ with respect to B and E. Finally, let U be the change of basis matrix from B to A. Then,

$$VU = L$$
$$\det(VU) = \det(L)$$
$$\det(V)\det(U) = \det(L)$$

Now, V, the matrix of ψ with respect to A and E is

$$\begin{pmatrix} | & | & \cdots & | \\ \psi(a_1) & \psi(a_2) & \cdots & \psi(a_n) \\ | & | & \cdots & | \end{pmatrix} = \begin{pmatrix} 1 & x_1 & \cdots & x_1^{n-1} \\ 1 & x_2 & \cdots & x_2^{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & \cdots & x_n^{n-1} \end{pmatrix},$$

the square Vandermonde matrix of size n.

On the other hand, L, the matrix of ψ with respect to B and E is

$$
\begin{pmatrix}
| & | & \cdots & | \\
\psi(b_1) & \psi(b_2) & \cdots & \psi(b_n) \\
| & | & \cdots & |
\end{pmatrix}
$$

$$
= \begin{pmatrix}
1 & (x_1 - x_1)^0 & \cdots & (x_1 - x_1)(x_1 - x_2)\ldots(x_1 - x_{n-1}) \\
1 & (x_2 - x_1) & \cdots & (x_2 - x_1)(x_2 - x_2)^0\ldots(x_2 - x_{n-1}) \\
\vdots & \vdots & \ddots & \vdots \\
1 & (x_n - x_1) & \cdots & (x_n - x_1)(x_n - x_2)\ldots(x_n - x_{n-1})
\end{pmatrix}
$$

$$
= \begin{pmatrix}
1 & 0 & \cdots & 0 \\
1 & (x_2 - x_1) & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
1 & (x_n - x_1) & \cdots & (x_n - x_1)(x_n - x_2)\ldots(x_n - x_{n-1})
\end{pmatrix}
$$

Note that L is lower triangular so $\det(L)$ is the product of the entries along its diagonal, or $\displaystyle\prod_{1 \le i < j \le n} (x_j - x_i)$.

Finally, consider the change of basis matrix U from basis B to basis A in P_n. The columns of U records the coefficients of the b_i polynomials after expanding. Note that since the b_i are monic, the diagonal of U consists of all 1s because the (i,i)-entry of U is the coefficient of x^{i-1} (the leading coefficient) in b_i. Therefore, U is of the form

$$
\begin{pmatrix}
1 & \text{blah} & \text{blah} & \cdots & \text{blah} \\
0 & 1 & \text{blah} & \cdots & \text{blah} \\
0 & 0 & 1 & \cdots & \text{blah} \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \cdots & 1
\end{pmatrix}.
$$

Thus, since U is upper triangular, $\det(U)$ is the product of the 1s along the diagonal so $\det(U) = 1$.

Plugging what we know about $\det(L)$ and $\det(U)$ into $\det(V)\det(U) = \det(L)$, we get that $\det(V) = \displaystyle\prod_{1 \le i < j \le n} (x_j - x_i)$.

♦

1.3   Analysis Preliminaries

Now we will introduce some concepts from complex analysis. Consider the set of complex numbers $\mathbb{C}$ as a normed space equipped with the absolute value function $|\cdot| : \mathbb{C} \to \mathbb{R}$ via $|z| = \sqrt{z\bar{z}}$, where $\bar{z}$ is the complex conjugate of z. This norm induces a metric space, so we can define the distance between x and y as $d(x, y) := |x - y|$. Furthermore, this metric space induces a topological space so we can define open sets $U \subseteq \mathbb{C}$ such that all points $z \in U$ are contained in a ball within U centered at z. In other words, for all $z \in U$, there is $\varepsilon > 0$ such that for all y where $d(z, y) < \varepsilon$, $y \in U$.

1.3.1   Meromorphic and Entire Functions

Def Let $f : \mathbb{C} \to \mathbb{C}$ be a function. The **limit** of f as $z \to z_0$ is L if and only if for all $\varepsilon > 0$, there is $\delta > 0$ such that $0 < |z - z_0| < \delta \implies |f(x) - L| < \varepsilon$. We write L as

$$\lim_{z \to z_0} f(z).$$

Def Let $U \subseteq \mathbb{C}$ be an open subset. Let $f : U \to \mathbb{C}$ be a function. The **derivative** of f at a point $z_0 \in \mathbb{C}$ is defined as

$$f'(z_0) := \lim_{z \to z_0} \frac{f(z) - f(z_0)}{z - z_0}.$$

If the derivative exists, the function is said to be **complex differentiable** or **differentiable** at z_0.

Def Let $f : \mathbb{C} \to \mathbb{C}$ be a function and let $U \subseteq \mathbb{C}$ be an open subset of $\mathbb{C}$. Then, f is **holomorphic** on U if it is complex differentiable on every point in U. Furthermore, if $U = \mathbb{C}$, then f is an **entire** function.

Def Let U be an open subset of $\mathbb{C}$ and let $f : U \to \mathbb{C}$. If f is holomorphic on U except on a set of isolated points $\{z_1, \ldots, z_n\}$ (there is an epsilon ball around every point with no other points of the set contained in the ball) called poles, then f is **meromorphic** on U.

Def An **analytic function** is a function that is locally given by a convergent power series.

Note Holomorphic functions are analytic functions. Also, if a function is infinitely differentiable, we can use its Taylor series as the convergent power series.

Def If a function is analytic, we can use a technique called **analytic continuation** to extend its domain.

Note If there is a removable singularity on a holomorphic function, we can fill in that point with its limit and get another holomorphic function.

We let the entire function $\exp : \mathbb{C} \to \mathbb{C}$ via

$$\exp(z) = \sum_{i=0}^{\infty} \frac{x^n}{n!}.$$

Sometimes, we will write this as e^z and it will be equivalent to $\exp(z)$. Note that from Euler's identity, $e^{iz} = \cos z + i \sin z$.

> **Theorem 1.3.1** $\exp(z)$ *has no zeroes. That is, for all* $z \in \mathbb{C}$, $\exp(z) \neq 0$.

Proof Write $z \in \mathbb{C}$ as $x + yi$ for $x, y \in \mathbb{R}$. Then, $e^{x+yi} = e^x e^{yi} = e^x (\cos y + i \sin y)$. $e^x > 0$ so it is nonzero. Furthermore, $\cos y + i \sin y$ lies on the unit circle on the complex plane so $\left| e^{yi} \right| = 1$. Thus, it is also nonzero. This means their product, e^z is nonzero.

♦

> **Theorem 1.3.2** *For all* $z \in \mathbb{C}$, $|\exp(z)| \leq \exp(|z|)$.

Proof We write the k-th partial sum for $|\exp(z)|$ and $\exp(|z|)$ and note by the triangle inequality that

$$\left| \frac{z^0}{0!} + \cdots + \frac{z^k}{k!} \right| \leq \frac{|z|^0}{0!} + \cdots + \frac{|z|^k}{k!}.$$

Taking the limit of both sides as $k \to \infty$ gets the desired conclusion.

♦

Note In polar form, if $z = re^{i\theta}$, where $r > 0$ and $\theta \in \mathbb{R}$, then $\log z$ is $\{\ln r + i(2\pi k) : k \in \mathbb{Z}\}$. In general, for complex numbers α, β, α^β may refer to $\exp(\beta \log \alpha)$, which is multivalued.

Def The **complex logarithm** is the generalization of the natural logarithm to nonzero complex numbers. A complex logarithm of $z \in \mathbb{C}$ is a collection of values w such that $\exp(w) = z$. This is denoted $\log(z)$.

Def Let $z \in \mathbb{C}$. The **Lambert W function** is a multivalued function denoted $W(z)$ and is equal to all $w \in \mathbb{C}$ where $we^w = z$.

Let the function $\Gamma : \{z : \mathfrak{R}(z) > 0\} \to \mathbb{C}$ via

$$\Gamma(z) = \int_0^\infty t^{z-1} e^{-t} dt.$$

Def The meromorphic **gamma function** is the analytic continuation of Γ defined above and its poles are zero and the negative integers.

Theorem 1.3.3 *For $z \in \mathbb{C}$ with $\mathfrak{R}(z) > 0$, $\Gamma(z+1) = z\Gamma(z)$.*

<u>**Proof**</u> Using integration by parts, we get that

$$
\begin{aligned}
\Gamma(z+1) &= \int_0^\infty t^z e^{-t} dt \\
&= \left[-t^z e^{-t} \right]_0^\infty + \int_0^\infty z t^{z-1} e^{-t} dt \\
&= \lim_{t \to \infty} \left(-t^z e^{-t} \right) + z \int_0^\infty t^{z-1} e^{-t} dt \\
&= 0 + z \int_0^\infty t^{z-1} e^{-t} dt \\
&= z\Gamma(z)
\end{aligned}
$$

◆

<u>**Note**</u> Note that at $z = 1$, the function evaluates to

$$
\int_0^\infty e^{-t} dt = 1.
$$

Using this and the recurrence relation from before, we know

$$
\Gamma(n) = (n-1)!
$$

for positive integers n. Also, note that $\Gamma(\frac{1}{2}) = \sqrt{\pi}$. This is because using u substitution, we get

$$
\begin{aligned}
\Gamma\left(\frac{1}{2} \right) &= \int_0^\infty t^{-\frac{1}{2}} e^{-t} dt \\
&= \int_0^\infty u^{-1} e^{-u^2} 2u\, du \\
&= 2 \int_0^\infty e^{-u^2} du \\
&= \int_{-\infty}^\infty e^{-u^2} du.
\end{aligned}
$$

To evaluate the last integral, we will change to polar coordinates:

$$\left(\int_{-\infty}^{\infty} e^{-u^2} du\right)^2 = \left(\int_{-\infty}^{\infty} e^{-x^2} dx\right)\left(\int_{-\infty}^{\infty} e^{-y^2} dy\right)$$

$$= \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{-(x^2+y^2)} dx dy$$

$$= \int_{0}^{2\pi} \int_{0}^{\infty} e^{-r^2} r \, dr d\theta$$

$$= 2\pi \int_{0}^{\infty} r e^{-r^2} dr$$

$$= 2\pi \int_{-\infty}^{0} \frac{1}{2} e^s ds$$

$$= \lim_{x \to -\infty} \pi(e^0 - e^x)$$

$$= \pi.$$

Therefore, our original integral evaluates to $\sqrt{\pi}$.

1.3.2 Integration

We will make use of the following facts:

Def A **path** in the complex plane can be parameterized by a continuous function $\gamma : I \to \mathbb{C}$ where I (usually $[0, 1]$) is an interval in the real numbers. If the path does not intersect with itself except at the endpoints, we call the path a **curve**. If the parameterization is injective and continously differentiable, then the curve is called **rectifiable** and has a finite length.

Def A **simply connected domain** D is a domain of a complex valued function where all paths with the same initial and final points are **path-homotopic**, that is, there is a continuous deformation from one path to the other.

Theorem 1.3.4 *(Cauchy's integral formula) Let U be an open subset of $\mathbb{C}$ and suppose a disk D is $\{z : |z - z_0| \leq r\}$ is a subset of U. If $f : U \to \mathbb{C}$ is holomorphic, then for each a in the interior of D,*

$$f(a) = \frac{1}{2\pi i} \oint_{\partial D} \frac{f(z)}{z - a} dz.$$

where ∂D is the boundary of D and is traversed (via the parameterization) in the counterclockwise direction.

Note The proof of this theorem uses the fact that the integral over a closed rectifiable curve is equal to the integral over a very small circle. In this case, for a circle $\mathcal{C}$ in the

complex plane centered at a,

$$\oint_{\mathcal{C}} \frac{1}{z-a} dz = 2\pi i.$$

Then, the proof considers the value

$$\left| \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{f(z)}{z-a} dz - f(a) \right|,$$

while shrinking the radius of the circle and shows that this goes to zero.

Def The **residue** of f at a point is defined to be

$$\text{Res}(f, a_k) = \frac{1}{2\pi i} \oint_{\gamma} f(z) dz.$$

for some (positively oriented) curve γ around a_k.

Theorem 1.3.5 *(Cauchy's Residue Theorem) Let $U \subseteq \mathbb{C}$ be a simply connected open set containing a finite number of points $\{a_1, \ldots, a_n\}$. Then, let U_0 be $U \setminus \{a_1, \ldots, a_n\}$. Let $f : U_0 \to \mathbb{C}$ be holomorphic on U_0. Furthermore, let γ be a closed rectifiable curve in U_0, $\text{Res}(f, a_i)$ denote the residue of f at each a_k, and $I(\gamma, a_k)$ be the winding number of γ around a_k. Then,*

$$\oint_{\gamma} f(z) dz = 2\pi i \sum_{k=1}^{n} I(\gamma, a_k) \text{Res}(f, a_k).$$

Note This is a generalization of the previous statement.

Lemma 1.3.6 *Let $f(z)$ be an analytic function in the disk $D \subseteq \mathbb{C}$. Here, we define $D = \{z : |z| < d\}$ for some positive real d. Suppose f is continuous on the closure of D, $\overline{D} = \{z : |z| \leq d\}$. Furthermore, let $|f|_d = \max_{z \in \overline{D}, |z|=d} f(z)$. Then, for every $z \in \overline{D}$, $|f(z)| \leq |f|_d$.*

Note This comes from the maximum modulus principle. For some $r > 0$, we can find a ball $B_r(z)$ around $z \in \mathbb{C}$. Now for a holomorphic function, there is an analogous version of the mean value theorem:

$$f(z) = \frac{1}{2\pi} \int_0^{2\pi} f(z + re^{i\theta}) d\theta.$$

Then we can use it to show that

$$|f(z)| \leq \frac{1}{2\pi} \int_0^{2\pi} |f(z + re^{i\theta})| d\theta$$
$$\leq \max_\theta |f(z + re^{i\theta})|$$

Therefore, there must be a point on the circle that has modulus at least the modulus of a point in the open region.

1.4   Liouville Numbers

Now we will start looking for our first transcendental number!

Def A **Liouville number** is a real number x such that for all $n \in \mathbb{N}$, there exists integers p, q with $q > 1$ such that

$$0 < \left| x - \frac{p}{q} \right| < \frac{1}{q^n}.$$

Essentially, Liouville numbers are real numbers that can be approximately really, really closely by a sequence of rationals of the form $\left\{ \frac{p_i}{q_i} \right\}$, where $q_i > 1$, and the distance between x and $\frac{p_i}{q_i}$ is nonzero, but less than $\frac{1}{(q_i)^i}$.

> **Theorem 1.4.1** *(Liouville's Approximation Theorem) Let $\alpha \in \mathbb{R} \setminus \mathbb{Q}$ be an algebraic number of degree n. Then, for any rational approximation $\frac{p}{q}$ to α there is a constant $C(\alpha)$ dependent on α such that $0 < C(\alpha) \le 1$, where we have*
>
> $$\left| \alpha - \frac{p}{q} \right| \ge \frac{C(\alpha)}{q^n}.$$

Proof Let f be the integer polynomial obtained after clearing denominators of the minimal polynomial of α over $\mathbb{Q}$. Note that $f'(\alpha) \neq 0$. This is because $\deg(f') < \deg(f)$ and f is the minimal polynomial. Furthermore, f is irreducible in $\mathbb{Q}[x]$, so it cannot be the case that $(x - r) \mid f$ for a rational r. In other words, f has no rational roots. Now let $\frac{p}{q}$ be a rational number which we will use to approximate α and plug it into $f(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0$.

$$f\left(\frac{p}{q} \right) = a_n \left(\frac{p}{q} \right)^n + a_{n-1} \left(\frac{p}{q} \right)^{n-1} + \cdots + a_0$$
$$= \frac{C}{q^n}$$

for some constant $C \neq 0$ as $\frac{p}{q}$ is not a root of f. Now, by the mean value theorem, there is ξ between α and $\frac{p}{q}$ such that

$$f(\alpha) - f\left(\frac{p}{q} \right) = |f'(\xi)| \cdot \left| \alpha - \frac{p}{q} \right|.$$

First, note that $f(\alpha) = 0$. Then, we may assume that without loss of generality that $q > 0$, we can take the absolute value of both sides of the evaluated expression to get

$$\frac{C}{q^n} = f'(\xi) \left| \alpha - \frac{p}{q} \right|.$$

Since $\frac{p}{q}$ is a rational approximation, we may assume that the distance between $\frac{p}{q}$ and α is at most 1. Therefore, we now ξ is at most distance 1 from α. As a consequence, we can consider $M(\alpha) := \max\limits_{x \in (\alpha-1, \alpha+1)} |f'(x)|$, and we know it is at least $|f'(\xi)|$. This is because ξ lies in the interval $(\alpha-1, \alpha+1)$ as it is between α and $\frac{p}{q}$. Now, we get the inequality,

$$\frac{C}{q^n} \leq M(\alpha) \left| \alpha - \frac{p}{q} \right|.$$

Rearranging terms we can let $C(\alpha) = \min\left\{1, \frac{C}{M(\alpha)}\right\} > 0$ to get the desired conclusion. Note, this makes all Liouville numbers transcendental.

$\blacklozenge$

Theorem 1.4.2 *The Liouville constant*

$$L := \sum_{n=0}^{\infty} 10^{-n!}$$

is a Liouville number. In particular, it is transcendental.

<u>Proof</u> Let $\frac{p_k}{q_k}$ be the rational partial sums

$$\sum_{n=1}^{k} 10^{-n!}.$$

Then,

$$\begin{aligned}
\left| L - \frac{p_k}{q_k} \right| &= 10^{-(k+1)!} + 10^{-(k+2)!} + \cdots \\
&< 2 \cdot 10^{-(n+1)!} \\
&< \left(10^{n!} \right)^{-n} \\
&= \frac{1}{(q_k)^k}
\end{aligned}$$

$\blacklozenge$

1.4.1 Minimal Polynomial as Auxiliary Function

In the previous subsection, we found a particular Liouville number. However, we can use similar proof to show that

$$\sum_{n=0}^{\infty} a_n b^{-n!}$$

is transcendental for some integer $b \geq 2$ and a sequence of (a_n) where each $a_n \in \{0, \ldots, b-1\}$. In the previous example b was 10 and (a_n) was a sequence of 1's.

Note this uses an **auxiliary function**, the minimal polynomial of an algebraic number, to determine conditions for transcendence. The notion of an auxiliary function is not well defined but in general, it is a function that has some desirable properties (like having zeroes of high multiplicity) to show transcendence.

We will show in the next chapter a powerful theorem for determining the transcendence of well known constants e and π.

Chapter 2

Lindemann-Weierstrass Theorem

2.1 Background

In this chapter, we will prove the Lindemann-Weierstrass theorem. This proof is based off of the works of Ferdinand von Lindemann.

First, we will start by proving a weaker version of the theorem.

> **Theorem 2.1.1** *Suppose $\{\alpha_1, \ldots, \alpha_m\} \subseteq \mathbb{A}$. Then, $e^{\alpha_1}, \ldots, e^{\alpha_m}$ are $\mathbb{Q}$-linearly independent.*

<u>Proof</u> Suppose for contradiction that

$$\sum_{j=1}^{m} a_j \exp(\alpha_j) = 0$$

but some of the coefficients a_j are nonzero. Clearing denominators, we can assume that the a_j's are integers. Now, by the primitive element theorem, we know there is θ such that $\mathbb{Q}(\theta)$ which contains $\alpha_1, \ldots, \alpha_m$. Then, by choosing a splitting field extension if the field is not normal, we can assume that $\mathbb{Q}(\theta)/\mathbb{Q}(\theta)$ is a Galois extension which contains all $\alpha_1, \ldots, \alpha_m$. Let the degree of the extension be n. Since $\{1, \theta, \ldots, \theta^{n-1}\}$ forms a $\mathbb{Q}$-basis for the number field, we can suppose

$$\alpha_j = \sum_{i=0}^{n-1} r_{j,i} \theta^i$$

for $j = 1, \ldots, m$ and $r_{j,i} \in \mathbb{Q}$. We can denote the conjugates of θ (images of the n, $\mathbb{Q}$-fixing

embeddings $\sigma_i(\theta)$) as $\theta^{(i)}$ for $i = 1, \ldots, n$. Now,

$$a_j{}^{(k)} = \sum_{i=0}^{n-1} r_{j,i} \left(\theta^{(k)} \right)^i,$$

for $j = 1, \ldots, m$ and $k = 1, \ldots, n$.

Note that each $\theta^{(k)}$ has degree n so this representation of $\alpha_j^{(k)}$ is unique. Also, note that since the α_j's are distinct, for each k, $\alpha_j{}^{(k)}$ is distinct. Now, consider the product

$$\prod_{k=1}^{n} \sum_{j=1}^{n} a_j \exp(\alpha_j{}^{(k)}).$$

Note that since $\alpha_j = \mathrm{id}(\alpha_j)$, it is one of the conjugates and recall that since

$$\sum_{j=1}^{m} a_j \exp(\alpha_j) = 0,$$

the entire product must also be 0.

Collect like terms and rewrite the product as

$$\prod_{k=1}^{n} \sum_{j=1}^{n} a_j \exp(\alpha_j{}^{(k)}) = \sum_{j=0}^{r} c_j \exp(\beta_j).$$

Also, since the $a_j \in \mathbb{Z}$, it must be the case that $c_j \in \mathbb{Z}$. Furthermore, since it is the case that not all of the a_j's are zero, it must be the case that there is a nonzero c_j. Therefore, without loss of generality, let c_0 be nonzero. Note that by substituting $\theta^{(i)}$ for θ, the conjugates $\alpha_j{}^{(k)}$ are permuted. Therefore, by replacing θ with $\theta^{(i)}$, the original product does not change. As a consequence, it replaces β_j by the conjugate $\beta_j{}^{(i)}$. Thus, we know that

$$\sum_{j=0}^{r} c_j \exp(\beta_j{}^{(x)}) = \sum_{j=0}^{r} c_j \exp(\beta_j{}^{(y)}) = 0$$

for all $x, y \in \{1, \ldots, n\}$.

Also, note that since β_j are distinct, we know for all i, the $\beta_j{}^{(i)}$ are distinct. For each $\sum_{j=0}^{r} c_j \exp(\beta_j{}^{(x)})$, multiply it by $\exp(-\beta_0{}^{(x)})$ and for $i = 1, \ldots, n$ and $j = 1, \ldots, r$, let

$$\gamma_j{}^{(i)} = \beta_j{}^{(i)} - \beta_0{}^{(i)}.$$

Note that since β_j's are distinct, it must be the case that all of the γ_j are nonzero. Therefore, after multiplying, we get for all x, y,

$$c_0 + \sum_{j=1}^{r} c_j \exp(\gamma_j^{(x)}) = c_0 + \sum_{j=1}^{r} c_j \exp(\gamma_j^{(y)}) = 0.$$

Now, note that the conjugates $\gamma_j^{(i)}$ are the roots of an integer polynomial after clearing the denominator of the minimal polynomial. Let the polynomial be

$$g_j(z) = b_j \cdot \prod_{i=1}^{n} \left(z - \gamma_j^{(i)} \right)$$

for $j = 1, \ldots, r$ for some leading coefficient $b_j > 0$. Since none of the $\gamma_j^{(i)} = 0$, it must be the case that the constant term, $g_j(0) \neq 0$.

Now consider a polynomial $f(z)$ and let $F(z)$ be

$$f(z) + \sum_{k=1}^{\infty} \frac{d^k}{dz^k} f(z).$$

Therefore, we get that

$$\frac{d}{dz} F(z) \exp(-z) = -f(z) \exp(-z)$$

and

$$F(b) - F(0) \exp(b) = -\exp(b) \int_0^b f(z) \exp(-z) dz.$$

For b above, we will substitute $\gamma_j^{(i)}$ and multiply each equation by c_j to get

$$\sum_{j=1}^{r} \sum_{i=1}^{n} c_j F(\gamma_j^{(i)}) - F(0) \sum_{j=1}^{r} \sum_{i=1}^{n} c_j \exp\left(\gamma_j^{(i)}\right)$$

$$= -\sum_{j=1}^{r} \sum_{i=1}^{n} c_j \exp\left(\gamma_j^{(i)}\right) \int_0^{\gamma_j^{(i)}} f(z) \exp(-z) dz$$

Recall that for all x, y,

$$c_0 + \sum_{j=1}^{r} c_j \exp(\gamma_j^{(x)}) = c_0 + \sum_{j=1}^{r} c_j \exp(\gamma_j^{(y)}) = 0.$$

We can use this to say that

$$\sum_{j=1}^{r} c_j \left(\sum_{i=1}^{n} F(\gamma_j^{(i)}) \right) + nc_0 F(0)$$

$$= -\sum_{j=1}^{r} \sum_{i=1}^{n} c_j \exp\left(\gamma_j^{(i)} \right) \int_{0}^{\gamma_j^{(i)}} f(z) \exp(-z) dz.$$

Let p be a prime. We will now define the polynomial f as

$$f(z) = \frac{(b_1 \cdots b_r)^{prn} z^{p-1} (g_j(z))^p}{(p-1)!}.$$

We will now try to find p such that there is a contradiction. Note that since z^{p-1} is in the numerator,

$$0 = f(0) = f'(0) = \cdots = f^{(p-1)}(0) = (b_1 b_2 \cdots b_r)^{prn} \prod_{j=1}^{r} (g_j(0))^p.$$

Pick p such that $p > b_j$ amd $p > g_j(0)$ constant terms for all $j = 1, \ldots, r$. This means that p does not divide the nonzero integer $f^{(p-1)}(0)$. However, for $t \geq p$, $f^{(t)}(0)$ is divisible by p. Note that this is because $f(z)$ is a sum of powers of z and the coefficient of each term in $f^{(t)}(z)$ has t consecutive integers as factors from the differentiation process. For $t \geq p$, the product of t consecutive integers is divisible by $p!$ (we can think of the quotient of the product of t consecutive integers and $p!$ as a binomial coefficient, which is an integer). Therefore, the $(p-1)!$ in $f(z)$ is canceled.

Now, we know

$$f^{(t)}(z) = p(b_1 \ldots b_r)^{prn} G_t(z),$$

for some polynomial $G_t(z) \in \mathbb{Z}[x]$ with degree at most $prn - 1$. Now, we have established that $p \mid f^{(t)}(0)$. We also therefore know that $p \nmid F(0)$. Now, if necessary, select p even larger so that $p > n$ and $p > c_0$. This ensures that $p \nmid nc_0 F(0)$. Recall the expression

$$\sum_{j=1}^{r} c_j \left(\sum_{i=1}^{n} F(\gamma_j^{(i)}) \right) + nc_0 F(0)$$

and consider the term

$$\sum_{j=1}^{r} c_j \left(\sum_{i=1}^{n} F(\gamma_j^{(i)}) \right).$$

We will now show this term must be an integer divisible by p. Namely, we will show that p divides

$$\left(\sum_{i=1}^{n} F(\gamma_j^{(i)}) \right).$$

Expanding it using the definition of F, we get that this is

$$\sum_{i=1}^{n} f(\gamma_j^{(i)}) + \sum_{i=1}^{n} f^{(1)}(\gamma_j^{(i)}) + \sum_{i=1}^{n} f^{(2)}(\gamma_j^{(i)}) + \cdots .$$

Note that since $f(z)$ has the factor $\{g_j(z)\}^p$,

$$f(\gamma_j^{(i)}) = 0, f^{(1)}(\gamma_j^{(i)}) = 0, \ldots, f^{(p-1)}(\gamma_j^{(i)}) = 0.$$

For the derivatives of order at least p, recall that

$$f^{(t)}(z) = p(b_1 \ldots b_r)^{prn} G_t(z),$$

so we get that

$$\sum_{i=1}^{n} f^{(t)}(\gamma_j^{(i)}) = p \sum_{i=1}^{n} (b_1 \ldots b_r)^{prn} G_t(\gamma_j^{(i)})$$

for $t \geq p$. Also, recall that the degree of $G_t(z)$ is at most $prn - 1$ so we can conclude that each b_j^{prn} is an integer divisible by p. We therefore now know that

$$\sum_{j=1}^{r} c_j \left(\sum_{i=1}^{n} F(\gamma_j^{(i)}) \right) + nc_0 F(0)$$

are the sum of two integers, one divisible by p and the other not divisible by p. Taking absolute values of both sides of the equation

$$\sum_{j=1}^{r} c_j \left(\sum_{i=1}^{n} F(\gamma_j{}^{(i)}) \right) + nc_0 F(0) = -\sum_{j=1}^{r} \sum_{i=1}^{n} c_j \exp\left(\gamma_j{}^{(i)}\right) \int_{0}^{\gamma_j{}^{(i)}} f(z) \exp(-z) dz,$$

we therefore know that

$$1 \leq \left| \sum_{j=1}^{r} \sum_{i=1}^{n} c_j \exp\left(\gamma_j{}^{(i)}\right) \int_{0}^{\gamma_j{}^{(i)}} f(z) \exp(-z) dz \right|.$$

Now, we will define the following constants:

- $m_1 := \max |c_j|$

- $m_2 := \max |\exp(\gamma_j{}^{(i)})|$

- $m_3 := \max |\gamma_j{}^{(i)}|$

Now the follow constants will be defined as the max over the straight line path P on the complex plane from 0 to $\gamma_j{}^{(i)}$.

- $m_4 := \max\limits_{z \in P} |\exp(-z)|$

- $m_5 := \max\limits_{z \in P} \left| \prod\limits_{j=1}^{r} g_j(z) \right|$

Note from these constants that $m_3{}^{p-1}$ is the maximum of $|z^{p-1}|$ over P. Then, to bound the inequality

$$1 \leq \left| \sum_{j=1}^{r} \sum_{i=1}^{n} c_j \exp\left(\gamma_j{}^{(i)}\right) \int_{0}^{\gamma_j{}^{(i)}} f(z) \exp(-z) dz \right|.$$

♦

2.2 Main Theorem

Theorem 2.2.1 *(Lindemann-Weierstrass Theorem) Suppose*

$$\alpha_1, \ldots, \alpha_n$$

are algebraic numbers that are linearly independent over $\mathbb{Q}$. Then,

$$e^{\alpha_1}, \ldots, e^{\alpha_n}$$

are linearly independent over the algebraic numbers. In other words, the extension field $\mathbb{Q}(e^{\alpha_1}, \ldots, e^{\alpha_n})$ has transcendence degree n over $\mathbb{Q}$.

2.3   Consequences

2.3.1   Transcendence of e and π

Lemma 2.3.1 *Let $f(x)$ be a real polynomial with degree m. Let*

$$I(t) = \int_0^t e^{t-x} f(x) dx.$$

Then,

$$I(t) = e^t \sum_{j=0}^m f^{(j)}(0) - \sum_{j=0}^m f^{(j)}(t).$$

where $f^{(j)}(t)$ is the j-th derivative of f with respect to x evaluated at t.

Chapter 3

Gelfond-Schneider Theorem

3.1 Background

David Hilbert posed 23 unsolved problems in 1900. The seventh problem asks whether an algebraic number $\alpha \neq 0, 1$ raised to an irrational algebraic β is transcendental. The Gelfond-Schneider theorem, proved in 1934 by Alexander Gelfond and 1935 by Theodor Schneider independently, affirmatively answers this problem. Furthermore, they showed that β need not be irrational; it can be any complex number not in $\mathbb{Q}$. Carl Ludwig Siegel's simplification of Gelfond's proof is recorded in the book "Transcendental Numbers" pages 75–84 and the proof in this text is roughly based off of that.

3.1.1 Siegel's Lemma Generalized for Number Fields

Now, we will generalize Siegel's lemma to help prove the Gelfond-Schneider theorem.

Lemma 3.1.1 *Consider the following system of p equations with q unknowns with $0 < p < q$:*

$$\begin{pmatrix} \alpha_{1,1} & \cdots & \alpha_{1,q} \\ \vdots & \ddots & \vdots \\ \alpha_{p,1} & \cdots & \alpha_{p,q} \end{pmatrix} \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_q \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$

and $\alpha_{i,j} \in K$, a number field. We write this compactly as $A\boldsymbol{\xi} = \mathbf{0}$. Let $a \in \mathbb{Z}$, $a > 0$, and $a \geq \|\alpha_{i,j}\|$ for all $1 \leq i \leq p$ and $1 \leq j \leq q$. Then, there is a constant $c > 0$ depending only on K and independent of p, q, and $\alpha_{i,j}$ such that the system of equations has a nontrivial solution in K^n for $\boldsymbol{\xi}$, such that for all ξ_j,

$$\|\xi_j\| < c + c(cqa)^{\frac{p}{q-p}}.$$

Proof Let $h := [K : \mathbb{Q}]$ and let $\{\beta_1, \ldots, \beta_h\}$ be an integral basis for K. For $\alpha \in \mathcal{O}_K$ we

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can write

$$\alpha = g_1\beta_1 + \cdots + g_h\beta_h$$

for $g_j \in \mathbb{Z}$. Let $\sigma_1, \ldots, \sigma_h$ be the h $\mathbb{Q}$-fixing embeddings from $K \hookrightarrow \mathbb{C}$ mapping elements in K to their conjugates. Write the image of $\sigma_i(\alpha)$ as $\alpha^{(i)}$ and similarly for the β's. Apply the embeddings to the previous equation, we get h new equations for each $i \in \{1, \ldots, h\}$ of the form

$$\alpha^{(i)} = g_1\beta_1^{(i)} + \cdots + g_h\beta_h^{(i)}$$

We can represent this system of equations using matrices:

$$\begin{pmatrix} \beta_1^{(1)} & \cdots & \beta_h^{(1)} \\ \vdots & \ddots & \vdots \\ \beta_1^{(h)} & \cdots & \beta_h^{(h)} \end{pmatrix} \begin{pmatrix} g_1 \\ \vdots \\ g_h \end{pmatrix} = \begin{pmatrix} \alpha^{(1)} \\ \vdots \\ \alpha^{(h)} \end{pmatrix}$$

Note that since the β's form a basis, $\Delta(\{\beta_1, \ldots, \beta_h\}) \neq 0$. Therefore, we can solve for the g_j's as a linear combination of the $\alpha^{(i)}$'s:

$$g_j = \lambda_1\alpha^{(1)} + \cdots + \lambda_h\alpha^{(h)}.$$

Let c_1 be a particular positive integer that will upper bound the first minors of the deter-mininant $\left|\left(\beta_j^{(i)}\right)\right|$, which consequently upper bounds $|\lambda_1| + \cdots + |\lambda_h|$. This will be explained later. Now, we will take the absolute values of both sides to get:

$$\begin{aligned}
|g_j| &= \left|\lambda_1\alpha^{(1)} + \cdots + \lambda_h\alpha^{(h)}\right| \\
&\leq \left|\lambda_1\alpha^{(1)}\right| + \cdots + \left|\lambda_h\alpha^{(h)}\right| \\
&\leq |\lambda_1|\left|\alpha^{(1)}\right| + \cdots + |\lambda_h|\left|\alpha^{(h)}\right| \\
&\leq |\lambda_1|\|\alpha\| + \cdots + |\lambda_h|\|\alpha\| \\
&= \|\alpha\|\left(|\lambda_1| + \cdots + |\lambda_h|\right) \\
&\leq c_1\|\alpha\|
\end{aligned}$$

Note that since

$$\begin{pmatrix} g_1 \\ \vdots \\ g_h \end{pmatrix} = \begin{pmatrix} \beta_1^{(1)} & \cdots & \beta_h^{(1)} \\ \vdots & \ddots & \vdots \\ \beta_1^{(h)} & \cdots & \beta_h^{(h)} \end{pmatrix}^{-1} \begin{pmatrix} \alpha^{(1)} \\ \vdots \\ \alpha^{(h)} \end{pmatrix}$$

the c_1 bound on the λ coefficients is dependent only on the entries of $\left(\beta_j^{(i)}\right)^{-1}$ and thus c_1 only depends on the number field K; it is independent of α. From this, we have shown that for all $\alpha \in \mathcal{O}_K$, there is a constant c_1 dependent only on K and independent of α, such that if we express α as a linear combination of the integral basis, all coefficients have absolute value upper bounded by $c_1\|\alpha\|$.

Now, we write every ξ_i in terms of the integral basis for $i = 1, \ldots, q$:

$$\xi_i = x_{i,1}\beta_1 + \cdots + x_{i,h}\beta_h$$

Note that we now get the following equations (in matrix form):

$$\begin{pmatrix} x_{1,1} & \cdots & x_{1,h} \\ \vdots & \ddots & \vdots \\ x_{q,1} & \cdots & x_{q,h} \end{pmatrix} \begin{pmatrix} \beta_1 \\ \vdots \\ \beta_h \end{pmatrix} = \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_q \end{pmatrix}$$

Finding a bound on the ξ_i's is therefore related to finding a bound on the integers $x_{i,j}$. Consider the inital system of equations we were working with:

$$\begin{pmatrix} \alpha_{1,1} & \cdots & \alpha_{1,q} \\ \vdots & \ddots & \vdots \\ \alpha_{p,1} & \cdots & \alpha_{p,q} \end{pmatrix} \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_q \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$

We will substitute the $\boldsymbol{\xi}$ vector with the previous matrix equation to get:

$$\begin{pmatrix} \alpha_{1,1} & \cdots & \alpha_{1,q} \\ \vdots & \ddots & \vdots \\ \alpha_{p,1} & \cdots & \alpha_{p,q} \end{pmatrix} \begin{pmatrix} x_{1,1} & \cdots & x_{1,h} \\ \vdots & \ddots & \vdots \\ x_{q,1} & \cdots & x_{q,h} \end{pmatrix} \begin{pmatrix} \beta_1 \\ \vdots \\ \beta_h \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix}$$

Written in equation form, we can think of this substitution as:

$$\sum_{i=1}^{q} \alpha_{k,i}\xi_i = \sum_{i=1}^{q}\sum_{j=1}^{h} \alpha_{k,i}\beta_j x_{i,j} = \mathbf{0}$$

Note that since $\alpha_{k,i}\beta_j$'s are in $\mathcal{O}_K$, we will also write them in terms of the integral basis and integer coefficients again. Specifically, we will write

$$\alpha_{k,i}\beta_j = \sum_{r=1}^{h} m_{k,i,j,r}\beta_r$$

for $k = 1, \ldots, p$, $i = 1, \ldots, q$, and $j = 1, \ldots, h$, where $m_{k,i,j,r} \in \mathbb{Z}$.

We therefore have converted our entire system of p equations to be written in terms of the integral basis and integer coefficients:

$$\sum_{i=1}^{q}\sum_{j=1}^{h}\sum_{r=1}^{h} m_{k,i,j,r}x_{i,j}\beta_r = \mathbf{0}$$

where $k = 1, \ldots, p$.

Note that since $\{\beta_1, \ldots, \beta_h\}$ forms an integral basis, it is $\mathbb{Q}$-linearly independent so if some linear combination of them sum to $\mathbf{0}$, it must be the case that the integer coefficients

$$\sum_{i=1}^{q} \sum_{j=1}^{h} m_{k,i,j,r} x_{i,j} = \mathbf{0}$$

for all $k = 1, \ldots, p$, $r = 1, \ldots, h$. The $x_{i,j}$'s are the unknown variables because they are the coefficients of the ξ_i's which were the original unknown variables, but the $m_{k,i,j,r}$'s come from the $\alpha_{k,i}$'s. We have therefore converted the problem from a system of equations in terms of algebraic integers to ph equations and qh unknowns ($x_{i,j}$'s) for integers. To finish this proof we will use Siegel's lemma so we need to find an upper bound on the absolute values of the coefficients $m_{k,i,j,r}$.

Recall For $\alpha \in \mathcal{O}_K$ expressed as a linear combination of the integral basis, the coefficients g_j have absolute value strictly upper bounded by $c_1 \|\alpha\|$ for c_1 dependent only on K and not α.

If we use $\alpha_{k,i} \beta_j$ for α, the coefficients are exactly $m_{k,i,j,r}$ so we know that

$$\begin{aligned}
|m_{k,i,j,r}| &< c_1 \|\alpha_{k,i} \beta_j\| \\
&\leq c_1 \|\alpha_{k,i}\| \|\beta_j\| \\
&\leq c_1 a \|\beta_j\|
\end{aligned}$$

where a is the upper bound on the $\|\alpha_{k,i}\|$ in the statement of the lemma we are trying to prove.

Now, pick $c_2 \in \mathbb{R}$, such that

1. $\max\limits_{j} c_1 \|\beta_j\| \leq c_2 < 1 + \max\limits_{j} c_1 \|\beta_j\|$.

2. $c_2 a \in \mathbb{Z}$.

Note that this construction is possible since $a \in \mathbb{Q}$ and $a \geq 1$. While this construction makes c_2 technically depend on a, it is dependent on it in a trivial way and the magnitude of c_2 is bounded. This is because the bound to use to use the previous lemma is an integer. We will later further upper bound this with another constant so that the dependence disappears completely. Note that with c_2, we know that $|m_{k,i,j,r}| < c_1 a \|\beta_j\| \leq c_2 a$. We can now apply Siegel's lemma with m, n, a in its statement replaced by $ph, qh, c_2 a$ respectively to get that there is a nontrivial solution $x_{i,j}$ such that

$$|x_{i,j}| < 1 + (qhc_2a)^{\frac{ph}{qh-ph}} = 1 + (hc_2qa)^{\frac{p}{q-p}}$$

Now that we have our bound on $|x_{i,j}|$, recall that

$$\xi_i = x_{i,1}\beta_1 + \cdots + x_{i,h}\beta_h$$

so we can say

$$\|\xi_i\| < h \cdot \max_j \|\beta_j\| \left(1 + (hc_2qa)^{\frac{p}{q-p}}\right).$$

Select c which exceeds $h\|\beta\|$ and hc_2 (note this makes c dependent only on K) so that

$$\|\xi_i\| < c + (cqa)^{\frac{p}{q-p}}.$$

From the conclusion of Siegel's lemma, the system of equations has a nontrivial solution with this bound.

♦

3.2 Main Theorem

> **Theorem 3.2.1** *(Gelfond-Schneider Theorem) Let α and β be algebraic numbers such that $\alpha \notin \{0, 1\}$ and $\beta \in \mathbb{C} \setminus \mathbb{Q}$. Then, any value of α^β is transcendental.*

<u>**Proof**</u> We will break this proof into two main parts. In the first part, we will find an auxiliary function with high a multiplicity root based off of the contradictory assumption that α, β, γ are all algebraic. We will not explicitly construct the function with such a property but show that it exists, using the generalized version of Siegel's lemma for number fields. In the second part, we will find a ζ that does not vanish past a high number of derivatives of the auxiliary function, such that ζ has a bounded norm. However, we will show that we can set that norm arbitarily large, independent of the underlying number field, and dependent only on the auxiliary function we started with. This will lead to a contradiction and imply γ cannot be algebraic.

3.2.1 Existence of Auxiliary Function

Assume for contradiction that as per the conditions of the theorem, $\alpha, \beta \in \mathbb{A}$ where $\alpha \neq 0, 1$ and $\beta \notin \mathbb{Q}$, but $\alpha^\beta \in \mathbb{A}$. Let γ be a value of $\alpha^\beta = \exp(\beta \log \alpha)$. Since we are assuming for contradiction that α, β, and γ are all algebraic, we will assume there is a number field K of finite degree h over $\mathbb{Q}$ which contains α, β, and γ. Using h, we will describe the following constants m, q, n, t with the following properties:

1. Define $m := 2h + 3$.

2. Find $q > 4m^2$ such that q^2 is a multiple of $2m$.

3. Since q^2 is a multiple of $2m$, let their ratio be the integer $n := \frac{q^2}{2m}$

4. We will also let $t := q^2 = 2mn$ since q^2 is ubiquitous.

These will be selected so that bounds will work out later. First, note that q, and as a consequence, n, can be selected to be arbitrarily large. We will use this later to exceed

bounds. However, note that it will always be the case that $n > q$. This is because

$$
\begin{aligned}
\frac{n}{q} &= \frac{\frac{q^2}{2m}}{q} & \text{(by property 3)}\\
&= \frac{q}{2m}\\
&> \frac{4m^2}{2m} & \text{(by property 2)}\\
&= 2m\\
&= 2(2h+3) & \text{(by property 1)}\\
&= 4h+6\\
&> 1 & \text{(degree of field extension is positive)}
\end{aligned}
$$

Since $\frac{n}{q} > 1$, $n > q$.

Now, consider the set $\{(r + k\beta)(\log \alpha) : r = 1, \ldots, q, k = 1, \ldots, q\}$. This set has $q^2 = t$ elements so arbitrarily name the distinct elements $\rho_1, \ldots, \rho_t$ (order of assignment does not matter). We wish to construct an auxiliary function so that we get a contradiction. Therefore, consider the entire function of the form $F : \mathbb{C} \to \mathbb{C}$ via

$$
F(z) = \sum_{j=1}^{t} \eta_j \exp(z\rho_j)
$$

where η_j's are in $\mathcal{O}_K$. We will specify the η_j's later to completely define this function. Now, find integer $c_1 > 0$ such that $c_1\alpha, c_1\beta, c_1\gamma \in \mathcal{O}_K$. To select the η_j's, we need the following system of equations to have a nontrivial zero:

$$
c_1^{m+2nq}(\log \alpha)^{-a} F^{(a)}(b) = 0
$$

for $a = 0, \ldots, n-1$ and $b = 1, \ldots, m$. Intuitively, this is so that we can get a zero of high multiplicity. Note that this system has mn equations with $t = 2mn$ unknowns η_j. We want to use lemma 3.1.2, the generalized version of Siegel's lemma. Therefore, we must satisfy the hypotheses of that lemma. First, we need to bound the coefficients of the η_j's and show they are in $\mathcal{O}_K$. Note that

$$
F^{(a)}(z) = \sum_{i=1}^{t} (\eta_j)\rho_j{}^a \exp(z\rho_j).
$$

Thus,

$$
F^{(a)}(b) = \sum_{i=1}^{t} (\eta_j)\rho_j{}^a \exp(b\rho_j).
$$

Thus, the coefficient of η_j in the system

$$
c_1^{m+2nq}(\log \alpha)^{-a} F^{(a)}(b) = 0
$$

is

$$
c_1^{m+2nq}\log(\alpha)^{-\alpha}\rho_j{}^a \exp(b\rho_j)
$$

for each a, b. Substituting ρ_j as $(r + k\beta)(\log \alpha)$, we get

$$c_1{}^{n+2mq}(\log \alpha)^{-a}\rho_j^a \exp(b\rho_j) = c_1{}^{n+2mq}(r + k\beta)^a \exp\left(b(r + k\beta)(\log \alpha)\right)$$
$$= c_1{}^{n+2mq}(r + k\beta)^a \alpha^{rb}\gamma^{kb}$$

We therefore need to show that for all a, b, $c_1{}^{n+2mq}(r + k\beta)^a \alpha^{rb}\gamma^{kb} \in \mathcal{O}_K$. In order to do this, note that we have selected c_1 to make $c_1\alpha, c_1\beta, c_1\gamma \in \mathcal{O}_K$. Note that we have a factors of $(r + k\beta)$, where $r, k \in \mathbb{Z}$ so we need a factors of c_1 to make it in $\mathcal{O}_K$. Furthermore, we have rb factors of α and kb factors of γ. Thus, this is equivalent to determining whether the $n + 2mq$ factors of c_1 that we have will cover the $a + rb + kb$ terms. Note that a, b, r, k are each bounded by $n - 1, m, q, q$ respectively. Thus $a + rb + kb \leq n - 1 + mq + q < n - 1 + 2mq < n + 2mq$.

Now that we know $c_1{}^{n+2mq}(r + k\beta)^a \alpha^{rb}\gamma^{kb} \in \mathcal{O}_K$, we will find an integer upper bound on $\left\|c_1{}^{n+2mq}(r + k\beta)^a \alpha^{rb}\gamma^{kb}\right\|$ to apply the lemma. First, note that

$$\|r + k\beta\| \leq \|r\| + \|k\|\|\beta\|$$
$$\leq q + q\|\beta\|$$
$$= q\left(1 + \|\beta\|\right)$$

Now let $c_2 = \max\left\{\|\alpha\|, 1 + \|\beta\|, \|\gamma\|\right\}$. We will now bound the absolute values of the all conjugates of the coefficients:

$$\left\|c_1{}^{n+2mq}(r + k\beta)^a \alpha^{rb}\gamma^{kb}\right\| \leq \left\|c_1{}^{n+2mq}\right\| \cdot \left\|(r + k\beta)^a\right\| \cdot \left\|\alpha^{rb}\right\| \cdot \left\|\gamma^{kb}\right\|$$
$$\leq c_1^{n+2mq} \cdot \left(q\left(1 + \|\beta\|\right)\right)^a \cdot \|\alpha\|^{rb} \cdot \|\gamma\|^{kb}$$
$$\leq c_1^{n+2mq}(qc_2)^a \left(c_2{}^{rb}\right)\left(c_2{}^{kb}\right)$$
$$\leq c_1^{n+2mq}(qc_2)^n \left(c_2{}^{2mq}\right)$$
$$= (c_1c_2)^n(c_1c_2)^{2mq}q^n$$
$$= (c_1c_2)^n(c_1c_2)^{2mq}\left(\sqrt{2mn}\right)^n$$
$$= (c_1c_2)^n(c_1c_2)^{2mq}\left(\sqrt{2m}\right)^n n^{\frac{n}{2}}$$

Now, we will further bound this using the constant $c_3 := (c_1c_2)^{2m+1}\sqrt{2m}$. Therefore, recalling that $n > q$,

$$(c_1c_2)^n(c_1c_2)^{2mq}\left(\sqrt{2m}\right)^n n^{\frac{n}{2}} < (c_1c_2)^n(c_1c_2)^{2mn}\left(\sqrt{2m}\right)^n n^{\frac{n}{2}}$$
$$= \left((c_1c_2)^{2m+1}\right)^n\left(\sqrt{2m}\right)^n n^{\frac{n}{2}}$$
$$= \left((c_1c_2)^{2m+1}\sqrt{2m}\right)^n n^{\frac{n}{2}}$$
$$= c_3{}^n n^{\frac{n}{2}}$$

Now, we are ready to apply the lemma. We get that we can find nontrivial $\|\eta_j\|$ such that for every j,

$$\|\eta_j\| < c + c\left(c\,(2mn)\,c_3{}^n n^{\frac{n}{2}}\right)^{\frac{mn}{2mn-mn}}$$
$$= c + 2c^2 mn c_3{}^n n^{\frac{n}{2}}$$
$$< 3c^2 mn c_3{}^n n^{\frac{n}{2}}$$

From the lemma, c is dependent only on K and is independent of n. Also, note this is also the case with c_3 because it was defined independently of n and only in terms of other constants related to α, β and γ. Note that $2^n > n > q > m$ so $4^n > mn$. Thus, continuing the inequality, we get,

$$3c^2 mn c_3{}^n n^{\frac{n}{2}} < 3c^2 (4c_3)^n n^{\frac{n}{2}}$$
$$< c_4{}^n n^{\frac{n}{2}}$$

where $c_4 := \left(4c^2\right)(4c_3)$. Note that c_4 is still independent of n. We will use this as the final bound for $\|\eta_j\|$. We therefore know that there exists an auxiliary function F with a nontrivial zero of high multiplicity such that it solves the system of equations

$$c_1{}^{m+2nq}(\log\alpha)^{-a}F^{(a)}(b) = 0$$

for all a, b with $\|\eta_j\| < c_4{}^n n^{\frac{n}{2}}$ for all algebraic integers η_j in the system.

3.2.2   Analysis of Auxiliary Function

First, we will show that the function's derivatives will not always vanish from 1 to m past a certain number of derivatives. More specifically, there are $p, B \in \mathbb{Z}$ where $p \geq n$ and $1 \leq B \leq m$ such that $F^{(a)}(b) = 0$ for $a = 1, \ldots, p-1$ and $b = 1, \ldots, m$ but $F^{(p)}(B) \neq 0$.

To see this, suppose for contradiction that for all $a = 0, \ldots, t-1$, the derivative vanishes. Note that

$$F^{(a)}(B) = \sum_{j=1}^{t} \eta_j \rho_j{}^a \exp(B\rho_j).$$

We therefore get the system of a equations

$$F^{(a)}(B) = \sum_{j=1}^{t} \eta_j (\rho_j)^a \exp(B\rho_j) = 0.$$

In matrix form, we can write this system as

$$\begin{pmatrix} 1 & 1 & \dots & 1 \\ \rho_1 & \rho_2 & \dots & \rho_t \\ \vdots & \vdots & \ddots & \vdots \\ \rho_1{}^{t-1} & \rho_2{}^{t-1} & \dots & \rho_t{}^{t-1} \end{pmatrix} \begin{pmatrix} \exp(B\rho_1) & 0 & \dots & 0 \\ 0 & \exp(B\rho_2) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \exp(B\rho_t) \end{pmatrix} \begin{pmatrix} \eta_1 \\ \eta_2 \\ \vdots \\ \eta_{lt} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

Note that since our auxiliary function was constructed so that the η_j's were not all zero (nontrivial solution), it must be the case that

$$\begin{pmatrix} 1 & 1 & \dots & 1 \\ \rho_1 & \rho_2 & \dots & \rho_t \\ \vdots & \vdots & \ddots & \vdots \\ \rho_1{}^{t-1} & \rho_2{}^{t-1} & \dots & \rho_t{}^{t-1} \end{pmatrix} \begin{pmatrix} \exp(B\rho_1) & 0 & \dots & 0 \\ 0 & \exp(B\rho_2) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \exp(B\rho_t) \end{pmatrix}$$

is not full rank. Therefore, its determinant is 0. Note that the determinant of the diagonal matrix

$$\begin{pmatrix} \exp(B\rho_1) & 0 & \dots & 0 \\ 0 & \exp(B\rho_2) & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \exp(B\rho_t) \end{pmatrix}$$

is the product of the entries along its diagonal: $\prod_{j=1}^{t} \exp(B\rho_j)$, which cannot be zero. Therefore, it must be the case that the determinant of

$$\begin{pmatrix} 1 & 1 & \dots & 1 \\ \rho_1 & \rho_2 & \dots & \rho_t \\ \vdots & \vdots & \ddots & \vdots \\ \rho_1{}^{t-1} & \rho_2{}^{t-1} & \dots & \rho_t{}^{t-1} \end{pmatrix}$$

is zero. Note that this is the transpose of the Vandermonde matrix $V(\rho_1, \dots, \rho_t)$. Therefore, it must be the case that there is $\rho_i = \rho_j$ where $i \neq j$. This would mean there is $(r_1 + k_1\beta)\log\alpha = (r_2 + k_2\beta)\log\alpha$ for $r_1, r_2, k_1, k_2 \in \{1, \dots, q\}$. Note that since $\alpha \neq 0, 1$ it must be the case that $\log\alpha \neq 0$. Thus, we can say that $r_1 + k_1\beta = r_2 + k_2\beta$. It cannot be the case that $k_1 = k_2$ since that would force $r_1 = r_2$ and the two terms would be equal. Therefore, $k_2 - k_1 \neq 0$. From this, we can deduce that

$$r_1 + k_1\beta = r_2 + k_2\beta \implies r_1 - r_2 = (k_2 - k_1)\beta$$
$$\implies \frac{r_1 - r_2}{k_2 - k_1} = \beta$$

for integers r_1, r_2, k_1, k_2, which would be a contradiction since that would imply $\beta \in \mathbb{Q}$.

From this we confirmed that the auxiliary function vanishes at B for the first n derivatives, but there is p such that $F^{(p)}(B) \neq 0$. Let

$$\zeta := (\log \alpha)^{(-p)} F^{(p)}(B)$$

$$= \sum_{j=1}^{t} \eta_j (\log(\alpha))^{-p} \rho_j{}^p \exp(B\rho_j)$$

$$= \sum_{j=1}^{t} \eta_j (r_j + k_j\beta)^p \alpha^{Br_j} \gamma^{Bk_j}$$

where r_j, k_j are in $\{1, \ldots, q\}$. Note that $\zeta \neq 0$ because we showed $F^{(p)}(B) \neq 0$.

Next, there is $C > 0$ independent of n and p such that

$$|N_K(\zeta)| \geq C^{-p}.$$

To see this, recall that c_1 is the positive integer constant which makes $c_1\alpha, c_1\beta, c_1\gamma \in \mathcal{O}_K$. Using a similar argument from before, $c_1{}^{p+2mq}\zeta = c_1{}^{p+2mq} \sum_{j=1}^{t} \eta_j (r_j + k_j\beta)^p \alpha^{Br_j} \gamma^{Bk_j} \in \mathcal{O}_K$ as m upper bounds B and q upper bounds r_j and k_j. This helps us verify that we have enough factors of c_1 to make ζ an algebraic integer. We can let $c_5 := c_1{}^{2m+1}$ so $c_5{}^p = c_1{}^{2mp+p}$ upper bounds $c_1{}^{p+2mq}$ from the fact that $q < n \leq p$. Thus, $c_5{}^p\zeta \in \mathcal{O}_K$ so its norm in K is an integer. Furthermore, its norm is nonzero because ζ is nonzero. We can conclude from this that $|N_K(c_5{}^p\zeta)| \geq 1$. Thus,

$$\begin{aligned}
1 &\leq |N_K(c_5{}^p\zeta)| \\
&= |N_K(c_5{}^p)N_K(\zeta)| \\
&\leq |N_K(c_5{}^p)| \cdot |N_K(\zeta)| \\
&\leq C^p |N_K(\zeta)|
\end{aligned}$$

Thus, we get that $|N_K(\zeta)| \geq C^{-p}$ for some constant $C = c_5{}^h$ independent of n and p.

Next, we will establish that there is another constant c, independent of n and p such that

$$\|\zeta\| < c^p p^p.$$

To get this bound, note that

$$\|\zeta\| = \left\| \sum_{j=1}^{t} \eta_j (r_j + k_j \beta)^p \alpha^{Br_j} \gamma^{Bk_j} \right\|$$

$$\leq \sum_{j=1}^{t} \left\| \eta_j (r_j + k_j \beta)^p \alpha^{Br_j} \gamma^{Bk_j} \right\|$$

$$\leq \sum_{j=1}^{t} \|\eta_j\| \cdot \|r_j + k_j \beta\|^p \cdot \|\alpha\|^{Br_j} \cdot \|\gamma\|^{Bk_j}$$

$$\leq t \cdot \max_j \left\{ \|\eta_j\| \cdot \|r_j + k_j \beta\|^p \cdot \|\alpha\|^{Br_j} \cdot \|\gamma\|^{Bk_j} \right\}$$

To further bound this, first note that $q < n \leq p$. Then, $t = 2mn < 2^n$ for sufficiently large n. Now, $r, k, b, \|\alpha\|, 1 + \|\beta\|, \gamma$ can be upper bounded by q, q, m, c_2, c_2, c_2 respectively. Also, recall that $\|\eta_j\| < c_4{}^n n^{\frac{n}{2}}$ so

$$t \cdot \max_j \left\{ \|\eta_j\| \cdot \|r_j + k_j \beta\|^p \cdot \|\alpha\|^{Br_j} \cdot \|\gamma\|^{Bk_j} \right\} < 2^n \left(c_4{}^n n^{\frac{n}{2}} \right) (qc_2)^p (c_2{}^{mq}) (c_2{}^{mq})$$

$$\leq \left(2c_4 c_2{}^{1+2m} \right)^p n^{\frac{n}{2}} q^p$$

Note that

$$q^p = \left(\sqrt{2mn} \right)^p$$

$$= \left(\sqrt{2m} \right)^p n^{\frac{p}{2}}$$

$$\leq \left(\sqrt{2m} \right)^p p^{\frac{p}{2}}$$

and as a result of $n \leq p$,

$$n^{\frac{n}{2}} \leq p^{\frac{p}{2}}.$$

Putting this all together, we get that

$$\|\zeta\| < \left(2c_4 c_2{}^{1+2m} \right)^p n^{\frac{n}{2}} q^p$$

$$\leq \left(2c_4 c_2{}^{1+2m} \right)^p \left(p^{\frac{p}{2}} \right) \left(\sqrt{2m} \right)^p \left(p^{\frac{p}{2}} \right)$$

$$= \left(2c_4 c_2{}^{1+2m} \sqrt{2m} \right)^p p^p$$

$$= c^p p^p$$

For $c := 2c_4 c_2{}^{1+2m} \sqrt{2m}$, which is a constant independent of n and p.

We will now define another function $S : \mathbb{C} \to \mathbb{C}$ via (the analytic continuation of)

$$S(z) = p! F(z) \left(\prod_{b=1}^{m} (z - b)^{-p} \right) \left(\prod_{\substack{b=1 \\ b \neq B}}^{m} (B - b)^p \right).$$

Note that S is also entire because the function $F(z)$ is entire and the multiplicity of the zeroes at $z = 1, \ldots, m$ is at least p. We are using the analytic continuation of the function written above so that it can still be defined at $z = B$ even though there is a $(B - B)^p$ in the denominator. By the Taylor series expansion of F at B, we get that

$$F(z) = \sum_{k=p}^{\infty} \frac{(z - B)^k F^{(k)}(B)}{k!}.$$

Therefore, substituting, we get that

$$S(z) = p! \left(\sum_{k=p}^{\infty} \frac{(z - B)^k F^{(k)}(B)}{k!} \right) \left(\prod_{b=1}^{m} (z - b)^{-p} \right) \left(\prod_{\substack{b=1 \\ b \neq B}}^{m} (B - b)^p \right).$$

Therefore,

$$S(B) = F^{(p)}(B)$$

as the $(B - B)^p$ term is only in the denominator when $k = p$. Furthermore, this gives us that

$$\zeta = (\log \alpha)^{-p} F^{(p)}(B) = (\log \alpha)^{-p} S(B).$$

Take a simple closed curved around $z = B$, specifically, the circle $\mathcal{C} := \left\{ z : |z| = \frac{p}{q} \right\}$. Note that this circle contains B because

$$\frac{p}{q} > \frac{p}{2q} \geq \frac{n}{2q} = \frac{q}{4m} > m \geq B.$$

By Cauchy's Residue theorem, we get that

$$S(B) = \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{S(z)}{z - B} dz.$$

Recall that $|\eta_j| < c_4{}^n n^{\frac{n}{2}}$ and note that for all z, $|\exp(z\rho_j)| \leq \exp(|z\rho_j|)$. Our goal is to eventually find a bound on $|F(z)|$. First, we will complete bounding $|\exp(z\rho_j)|$:

$$\begin{aligned}
|\exp(z\rho_j)| &\leq \exp(|z\rho_j|) \\
&= \exp\left(|z| \cdot |\rho_j|\right) \\
&\leq \exp\left(\frac{p}{q}(q + q|\beta|) \log \alpha\right) \\
&= \exp(p(1 + |\beta|) \log \alpha) \\
&= c_6{}^p
\end{aligned}$$

for $c_6 := \exp((1 + |\beta|) \log \alpha)$. Now, we will bound $|F(z)|$.

$$
\begin{aligned}
|F(z)| = \left| \sum_{j=1}^{t} \eta_j \exp(z\rho_j) \right| \\
\leq t \cdot \max_j |\eta_j \exp(z\rho_j)| \\
= t \cdot \max_j |\eta_j| \cdot |\exp(z\rho_j)| \\
< t c_4{}^n n^{\frac{n}{2}} c_6{}^p \\
< 2^n c_4{}^n c_6{}^p n^{\frac{n}{2}} \\
\leq 2^p c_4{}^p c_6{}^p n^{\frac{n}{2}} \\
= (2 c_4 c_6)^p n^{\frac{n}{2}} \\
= c_7{}^p p^{\frac{p}{2}}
\end{aligned}
$$

for $c_7 := 2 c_4 c_6$.

Next, we will bound $|z - b|^{-p}$. Recall that

$$
\frac{p}{2q} > m.
$$

Then,

$$
\begin{aligned}
|z - b| \geq |z| - |b| \\
\geq \frac{p}{q} - m \\
\geq \frac{p}{2q}
\end{aligned}
$$

Therefore,

$$
|z - b|^{-p} \leq \left(\frac{2q}{p} \right)^p.
$$

Now, we can bound $|S(z)|$.

$$|S(z)| = \left| p!F(z) \left(\prod_{b=1}^{m} (z-b)^{-p} \right) \left(\prod_{\substack{b=1 \\ b \neq B}}^{m} (B-b)^{p} \right) \right|$$

$$\leq |p!| \cdot |F(z)| \cdot \left| \left(\prod_{b=1}^{m} (z-b)^{-p} \right) \right| \cdot \left| \left(\prod_{\substack{b=1 \\ b \neq B}}^{m} (B-b)^{p} \right) \right|$$

$$< (p!) \left(c_7{}^{p} p^{\frac{p}{2}} \right) \left(\frac{2q}{p} \right)^{mp} \left(\prod_{\substack{b=1 \\ b \neq B}}^{m} (B-b)^{p} \right)$$

$$= (p!) \left(c_7{}^{p} p^{\frac{p}{2}} \right) \left(\frac{2\sqrt{2mn}}{p} \right)^{mp} \left(\prod_{\substack{b=1 \\ b \neq B}}^{m} (B-b)^{p} \right)$$

$$= \left(c_7 2^{m} (2m)^{\frac{m}{2}} \prod_{\substack{b=1 \\ b \neq B}}^{m} (B-b)^{p} \right)^{p} (p!) \left(p^{\frac{p}{2}} \right) \left(\frac{\sqrt{n}}{p} \right)^{mp}$$

$$= c_8{}^{p} (p!) p^{\frac{p}{2}} \left(\frac{\sqrt{n}}{p} \right)^{mp}$$

for

$$c_8 := \left(c_7 2^{m} (2m)^{\frac{m}{2}} \prod_{\substack{b=1 \\ b \neq B}}^{m} (B-b)^{p} \right).$$

To further bound this, note that $p! < p^{p}$ and as $n \leq p$,

$$\frac{\sqrt{n}}{p} = \frac{\frac{\sqrt{n}}{\sqrt{p}}}{\sqrt{p}} \leq \frac{1}{\sqrt{p}}.$$

Therefore, for z on $\mathcal{C}$,

$$c_8{}^{p} (p!) p^{\frac{p}{2}} \left(\frac{\sqrt{n}}{p} \right)^{mp} \leq c_8{}^{p} (p^{p}) p^{\frac{p}{2}} \left(\frac{1}{p} \right)^{mp}$$

$$= c_8{}^{p} p^{\frac{p(3-m)}{2}}$$

Now, we will bound $|\zeta|$.

$$|\zeta| = \left| (\log \alpha)^{-p} S(B) \right|$$

$$\leq |\log \alpha|^{-p} \cdot |S(B)|$$

$$= |\log \alpha|^{-p} \cdot \left| \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{S(z)}{z-B} dz \right|$$

$$= \frac{1}{2\pi} \cdot |\log \alpha|^{-p} \cdot \left| \oint_{\mathcal{C}} \frac{S(z)}{z-B} dz \right|$$

To compute a bound on

$$\left| \oint_{\mathcal{C}} \frac{S(z)}{z - B} dz \right|,$$

note that the path of integration along $\mathcal{C}$ has length $\frac{2\pi p}{q}$ and since $|S(z)| \leq c_8{}^p p^{\frac{p(3-m)}{2}}$, and $|z - b| \geq \frac{p}{2q}$, the maximum of $\left| \frac{S(z)}{z-B} \right|$ is bounded by $\frac{c_8{}^p p^{\frac{p(3-m)}{2}}}{\frac{p}{2q}}$. By the maximum modulus principle, we get that

$$\left| \oint_{\mathcal{C}} \frac{S(z)}{z - B} dz \right| \leq \frac{2\pi p}{q} \left(\frac{c_8{}^p p^{\frac{p(3-m)}{2}}}{\frac{p}{2q}} \right)$$

Therefore,

$$|\zeta| < \frac{1}{2\pi} \cdot |\log \alpha|^{-p} \cdot \frac{2\pi p}{q} \left(\frac{c_8{}^p p^{\frac{p(3-m)}{2}}}{\frac{p}{2q}} \right)$$

$$= |\log \alpha|^{-p} \cdot \frac{p}{q} \cdot c_8{}^p p^{\frac{p(3-m)}{2}} \cdot \frac{2q}{p}$$

$$< \left(2c_8 |\log \alpha|^{-1} \right)^p p^{\frac{p(3-m)}{2}}$$

$$= c_9{}^p p^{\frac{p(3-m)}{2}}$$

For $c_9 := 2c_8 |\log \alpha|^{-1}$. Recall that $\|\zeta\| < c^p p^p$. We know $|\zeta| < c_9{}^p p^{\frac{p(3-m)}{2}}$ so we know that $|N_K(\zeta)|$ cannot exceed $|\zeta| \cdot \|\zeta\|^{h-1}$ as we know at least one of the h embeddings goes to ζ and the remaining $h - 1$ cannot have absolute value exceeding $\|\zeta\|$. Therefore,

$$|N_K(\zeta)| \leq |\zeta| \cdot \|\zeta\|^{h-1}$$

$$< \left(c_9{}^p p^{\frac{p(3-m)}{2}} \right) (c^p p^p)^{h-1}$$

$$= (c_9 c^{h-1})^p p^{-p}$$

$$= c_{10}{}^p p^{-p}$$

for $c_{10} := c_9 c^{h-1}$. Note that c_{10} is also independent of n and p. However, recall that $|N_K(\zeta)| \geq C^{-p}$. Therefore,

$$c_{10}{}^p p^{-p} > |N_K(\zeta)| \geq C^{-p}$$

so

$$c_{10}{}^p p^{-p} > C^{-p}.$$

Rearranging terms and canceling out the powers, we get

$$Cc_{10} > p,$$

where C, c_{10} are independent of n, p and only depend on K. However, since $n \leq p$, we can choose n and therefore p arbitrarily large, which is a contradiction.

This proves the Gelfond-Schneider theorem because it implies that it cannot be the case that all $\alpha, \beta, \gamma \in \mathbb{A}$. By the hypothesis $\alpha, \beta \in \mathbb{A}$ so it must be the case that γ, any value of α^β is transcendental.

♦

3.3 Consequences

> **Theorem 3.3.1** *The Gelfond-Schneider constant $2^{\sqrt{2}}$, Gelfond's constant e^π, and $e^{-\frac{\pi}{2}}$ are transcendental.*

Proof Showing $2^{\sqrt{2}}$ is transcendental is a direct application of the main theorem and is shown by verifying that 2 is algebraic, not 0 nor 1, and also that $\sqrt{2} \notin \mathbb{Q}$.

Note, we cannot use the direct application of the Gelfond-Schneider theorem for e^π because we have shown that e is transcendental by the Lindemann-Weierstrass theorem. However, using Euler's identity, we can rewrite (noting that $\frac{1}{i} = -i$) it via

$$e^\pi = \left(e^{\pi i}\right)^{\frac{1}{i}} = \left(e^{\pi i}\right)^{-i} = (-1)^{-i}.$$

Since -1 is neither 0 nor 1 and is algebraic, i is algebraic but not in $\mathbb{Q}$, we know e^π must be transcendental. Since we cannot do the same trick for π^e, the transcendence of π^e is still unknown.

For $e^{-\frac{\pi}{2}}$, we can use Euler's identity as before to get $\left(e^{-\frac{\pi i}{2}}\right)^i = i^i$. Therefore, this is also transcendental. We can also use the fact that e^π is transcendental and the function $x \mapsto x^{-\frac{1}{2}}$ is an algebraic function. Therefore, $e^{-\frac{\pi}{2}}$ must be transcendental.

◆

> **Theorem 3.3.2** *Let $a, b \in \mathbb{Z}$ be positive such that they are not powers of the same positive integer and $a \neq 1$. Then $\log_b(a)$ is transcendental.*

Proof Let $c = \log_b(a)$. Then, $b^c = a$. Note that since $a \neq 1$ and is positive, b is neither 0 nor 1. Then, we know that since $a \in \mathbb{Z}$, it cannot be transcendental. Thus, the hypothesis of the Gelfond-Schneider theorem fails. We know that b is an integer not in $\{0, 1\}$ so it must be the case that either $c \in \mathbb{Q}$ or $c \notin \mathbb{A}$. Suppose for contradiction that $c \in \mathbb{Q}$ so $c = \frac{p}{q}$ for integers p, q and q is nonzero. Then, since $\log_b(a) = \frac{p}{q}$, we know $b^{\frac{p}{q}} = a$ so $b^p = a^q$. From this, we can tell that p must also be nonzero since $a \neq 1$ and $q \neq 0$. However, since a and b are not powers of the same positive integer, there is prime r such that $r \mid a$, but $r \nmid b$. Thus, $\nu_r(a^p) > 0$, but $\nu_r(b^q) = 0$, which is a contradiction. This means $c = \log_b(a)$ must be transcendental.

◆

Chapter 4

Baker's Theorem

Theorem 4.0.1 *(Baker's Theorem) Let* $\mathbb{L} = \left\{ \lambda \in \mathbb{C} : e^{\lambda} \in \overline{\mathbb{Q}} \right\}$. *Then, if*

$$\lambda_1, \ldots, \lambda_n \in \mathbb{L}$$

are linearly independent over $\mathbb{Q}$,

Chapter 5

Schanuel's Conjecture

Conjecture 5.0.1 *(Schanuel's Conjecture) Suppose we have n complex numbers*

$$z_1, \ldots, z_n$$

that are linearly independent over $\mathbb{Q}$. Then, $\mathbb{Q}(z_1, \ldots, z_n, e^{z_1}, \ldots, e^{z_n})$ has transcendence degree at least n over $\mathbb{Q}$.

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