

# *Data Structures*

## AVL Homework 1

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# Problem #1: Lower Bound

- Assume we have a BST of integers, and its inorder traversal is
  - 2, 5, 10, 13, 15, 20, 40, 50, 70
- Implement: `def lower_bound(self, val)`
- Lower-bound finds the first element  $X$ , where  $X \geq \text{target}$ 
  - In other words, if the target exists within the tree, it will return the 'target' itself
  - If the target itself isn't in the tree, we want to return the smallest value greater than the target (similar to the 'successor' in previous sections)
- Return the value or None if no lower bound
- Input  $\Rightarrow$  output
  - 50  $\Rightarrow$  50
  - 51  $\Rightarrow$  70
  - 70  $\Rightarrow$  70, 71  $\Rightarrow$  NA, 7  $\Rightarrow$  10, 25  $\Rightarrow$  40, 60  $\Rightarrow$  70

## Problem #2: Upper Bound

- Assume we have a BST of integers, and its inorder traversal is
  - 2, 5, 10, 13, 15, 20, 40, 50, 70
- Implement: `def upper_bound(self, val)`
- Upper-bound finds the first element  $X$ , where  $X > \text{target}$ 
  - We want the smallest value greater than the target itself (think of it as like finding the successor of the target)
- Return the value or None if no upper bound
- Input  $\Rightarrow$  output
  - $50 \Rightarrow 70$ ,  $51 \Rightarrow 70$ ,  $70 \Rightarrow \text{NA}$ ,  $11 \Rightarrow 13$ ,  $20 \Rightarrow 40$ ,  $45 \Rightarrow 50$
- Tip: lower and upper bound are very useful utilities in a BST

# Problem #3: Count inversions

- Given an array of **distinct** numbers, count the number of inversions in the array
  - We want the sum of the inversions.
  - For every individual element, how many elements are there **before** it with a **bigger** value?
- E.g. 10, 5, 8, 2, 12, 6
  - $10 \Rightarrow 0$
  - $5 \Rightarrow 1 \{5\}$
  - $8 \Rightarrow 1 \{8\}$
  - $2 \Rightarrow 3 \{10, 5, 8\}$       // These 3 numbers are A) Each before 2      B) Each  $> 2$
  - $12 \Rightarrow 0$
  - $6 \Rightarrow 3 \{10, 8, 12\}$
  - **Total: 8**
- Find an  $O(n \log n)$  solution based on the AVL tree
  - You may assume this is the only usage for the tree

## Problem #4: Priority Queue

- Priority queue is a queue in which each element has a "**priority**" associated with it. Elements with **higher priority** are always served before those of lower priority
- Assume, in an OS, we have a number of tasks; each with a specific priority (and all are positive values)
  - Assume we enqueued as follows:
  - Enqueue (task\_id = 1131, priority = 1)
  - Enqueue (task\_id = 3111, priority = 3)
  - Enqueue (task\_id = 2211, priority = 2)
  - Enqueue (task\_id = 3161, priority = 3)
  - Let's print tasks in order: 3111 3161 2211 1131
- Implement a priority queue based on the AVL tree code
  - Your tree can't have multiple nodes of the same priority. Strictly 1 node per priority!
  - Enqueue and dequeue should both be  $O(\log n)$  for time complexity

## Problem #4: Priority Queue

```
tasks = PriorityQueue()

tasks.enqueue(1131, 1)
tasks.enqueue(3111, 3)
tasks.enqueue(2211, 2)
tasks.enqueue(3161, 3)

print(tasks.dequeue()) # 3161
print(tasks.dequeue()) # 3111

tasks.enqueue(1535, 1)
tasks.enqueue(2815, 2)
tasks.enqueue(3845, 3)
tasks.enqueue(3145, 3)

while not tasks.empty():
    print(tasks.dequeue(), end=' ')
# 3145 3845 2815 2211 1535 1131
```

# Problem #5: Min nodes from AVL height

- What is the **minimum** number of nodes in an AVL tree of height H?
  - The Sequence is (from height = 0): 1, 2, 4, ?, 12, ?, 54, ?, 143
  - Draw out the trees, and guess the values of some of the question marks
- What is the formula for the sequence?
  - Tip: It is a recursive formula that depends on the last few terms
  - Does this sequence remind you of any similar sequences?
  - Describe an informal mathematical justification for the formula
- Write 2 functions (recursive and iterative versions of the same solution)
  - **def** avl\_nodes\_rec(height)
  - **def** avl\_nodes\_iter(height)
- Optional: assume the AVL tree allows  $|BF| \leq k$  ( $k = 1$  in main version)
  - What is the new recurrence?

# Problem #6: AVL Dictionary

- Design an AVL tree of words that can help us know if a word or a prefix exists in our data or not.
  - ABCD prefixes are:
    - "", A, AB, ABC, ABCD
- What is your time complexity?

```
tree = AVLTree("", True)
```

```
tree.insert_string("abcd")  
tree.insert_string("xyz")
```

```
print(tree.word_exist("abcd"))      # True  
print(tree.word_exist("ab"))        # False  
print(tree.prefix_exist("abcd"))    # True  
print(tree.prefix_exist("ab"))      # True
```

```
tree.insert_string("ab")
```

```
print(tree.word_exist("ab"))        # True  
print(tree.word_exist("cd"))        # False  
print(tree.word_exist("abcde"))     # False
```



*“Acquire knowledge and impart it to the people.”*

*“Seek knowledge from the Cradle to the Grave.”*