

ABSTRACT

CALDERON, VICTOR ALEJANDRO. Condition Dependent Performance Based Design. (Under the direction of Dr. Mervyn Kowalsky.)

Structures located in seismic prone regions are often subjected to multiple earthquakes. Multiple earthquakes can accumulate damage resulting in a deterioration of the seismic performance of a structure. In addition, aging of the structure can arise conditions in the structure such as corrosion that further propagates the deterioration. Past research has shown that the Park and Ang damage index (DI)(a demand parameter that quantifies damage) increases as damage aging conditions in the structures worsen or multiple seismic events are included in the analysis. This increases the probability of a structure to collapse. This research proposes to study the effects of multiple earthquakes and damage accumulation in RC structures by developing strain limit states fragility functions for different aging conditions. To achieve this it is also important to develop limit states that represent corroded reinforcing steel. A method to perform accelerated corrosion in passivated reinforcing steel is proposed. These corroded rebars are then subjected to tension tests and buckled bar tension tests, which will later be used to define service limit state and damage control limit state. To show the relevance of this study a framework that incorporates corrosion models into a nonlinear time history analysis (NLTHA) is developed. A series of SDOF cantilever columns are subjected to a sweep of earthquakes. These preliminary results show that there is an increase in the probability of reaching a limit state when corrosion level increases. The results also show the dispersion of results by using PGA as the impact measure (IM), indicating the need for a better intensity measure. The results of this research will (1) develop fragility curves that consider strain limit states to measure damage while incorporating different aging conditions, (2) establish limit states for corroded rebars, (3) inform the research community on the necessary methodology to accurately model corrosion for material testing and large scale testing of corroded reinforced members (4) consider the effects of multiple earthquakes for mainshock sequences and mainshock-aftershock sequence (5) incorporate the results into the direct displacement-based design methodology.

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Condition Dependent Performance Based Design

by
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Chapter 1

INTRODUCTION

Structures are designed assuming their original condition remains intact through their service life. However, as structures age, they suffer various forms of degradation. In addition, they may be subjected to multiple discrete seismic events. Both of these items have some impact on structural performance. Consider an RC column and the limit state corresponding to bar buckling. The limit state displacement (Δ) to achieve bar buckling for a pristine column is different from a column subjected to corrosion. Similarly, multiple small seismic events may predispose the column to suffer longitudinal bar buckling for a lower level of seismic intensity. It is the goal of this research to incorporate both of these effects into the definition of performance limit states. This may result in a change to the initial design of a structure.

Structures subjected to multiple events and aging conditions has become an important aspect of Performance-Based Earthquake Engineering (PBEE). Recent earthquakes sequences such as the Christchurch 2010, Umbria-Marche Earthquake 1997 and more recently the Puerto Rico Earthquakes 2020, have shown that structures after sustaining damage during a mainshock have then collapsed or sustained increased damage after being subjected to a large magnitude aftershock[1][6][31]. Researchers have used the Park and Ang damage index (DI) to quantify damage, this damage index is expressed as a two-term expression, the first term relates to the maximum displacement and the second term relates to the inelastic energy dissipation [47]. The second term is associated with the inelastic cyclic behavior of the structural components, in addition, the calibration factor applied to this term is very small and contributes little to the damage index. If the damage index renders the inelastic cyclic behavior as negligible, it cannot accurately represent damage. Further, this damage index uses calibrated data to determine the strength degradation parameter that has a degree of arbitrariness, which is undesirable [44]. In addition to the Park and Ang damage index, other measures of damage such as drift ratio based

limit states have been incorporated into the PEER Performance-Based Design Probabilistic Framework[34][17][39]. These studies show good agreement with an increase in the probability of damage or even collapse of a structure due to repeated loading or aging conditions, such as high corrosion levels (CL), however, these results are based on the limitations presented by these damage measures [39]. A more deterministic approach to measure damage is to use strain limits as indicators of damage for RC bridge columns, concrete compressive and reinforcing steel tensile strain limits are used as the damage measure [18]. These strain limits have been correlated to observed damage in large scale column tests. Therefore, we believe that our research will provide a realistic measure of the increase in damage for different limit states due to aging conditions and multiple earthquake loading.

In addition, structures can have an existing condition such as corrosion that further deteriorates the structural performance of the structure. Corrosion is one of the aging conditions that more significantly deteriorates the seismic response of a structure. Thus it is important to determine the limit states of corroded reinforcing steel. Currently, the literature has developed expressions that correlate the level of corrosion to the decrease in strength of the reinforcing steel[48][10]. However, these studies have utilized an accelerated corrosion process that does not consider the protective film that is developed on the reinforcing steel surface when it is embedded in concrete, a process known as passivation of the reinforcing steel [30][14]. For corrosion to occur depassivation of the reinforcing steel must first occur. Depassivation of the reinforcing steel greatly affects the behavior of reinforcing steel and can significantly modify the measured properties of the corroded reinforcing steel. Furthermore, no study has presented performance limit states on corroded reinforcement. Therefore, this research aims to close this gap by performing an experimental campaign. This experimental campaign consists of a series of tension tests and buckled bar tension tests that corroborate the material properties and define the performance limit states of corroded reinforcement. These results will then inform the computational model.

Moreover, there is a high likelihood for a structure in a high seismic region to be subjected to more than one mainshock throughout its service life, thus, it is deemed important to consider the effects of multiple earthquakes. A series of condition-dependent nonlinear time history analyses are performed on a cantilever RC bridge column. The analysis assumes that a series of earthquakes occur throughout the lifetime of the structure while at the same time changing the properties of the structure as a function of the aging conditions (e.g. corrosion). At the end of each series, the main variables of the study are the limit state that was reached, the controlling mode of response (flexural or shear controlled), equivalent viscous damping and the accumulated deformations. The series of earthquakes proposed consists of (1) equally spaced main shocks only, (2) main shock-aftershocks series.

In summary, this research will show how the effects of different aging conditions affect the performance of a structures, establish strain limit states as a measure of damage, determine the effect of corrosion in establishing performance limit states, define what are the effects of multiple earthquake in the functionality of a structure and finally incorporate the results into the Direct Displacement Based Design (DDBD) methodology.

1.1 Scope and layout

This research proposal describes the main components and objectives for the graduate studies of the author of this document. Chapter 2 contains the literature review which summarizes the state of the art in damage measurements and performance-based design framework. Chapter 3 covers the research gap and objectives. Chapter 4 summarizes the experimental and analytical procedures relevant to this study. Chapter 5 details the processing of current results.

Chapter 2

LITERATURE REVIEW

Bridges are designed based on discrete events assuming that the initial material and structure properties remain constant through the life of the bridge. The purpose of the research described is to study condition dependent performance based design that considers the material and geometric properties as the structure ages, as well as the effects of multiple and discrete events on the achievement of prescribed limit states.

In this chapter, the available knowledge on the different topics that are available in the literature are synthesized. First, a review on the different definitions of commutative damage is presented then the main idea for this research is established and its required components.

2.1 Cumulative Damage

There have been attempts by many researchers to establish the best way to account for the accumulation of damage. The measures are described in this section.

2.1.1 Damage Index

The effect of cumulative damage in structures was studied by Park and Ang (1985) [47] in their study the authors proposed the damage index as shown in 2.1. The damage index was used as a measure to quantify damage in terms of the maximum experienced earthquake and the absorbed hysteretic energy.

$$D = \frac{\Delta_m}{\Delta_u} - \beta \frac{E_h}{F_y \Delta u} \quad (2.1)$$

Δ_m : Maximum deformation under earthquake

Δ_u : Ultimate deformation under monotonic loading
 F_y : Calculated yield strength
 E_h : Total hysteretic energy
 β : Dimensionless constant

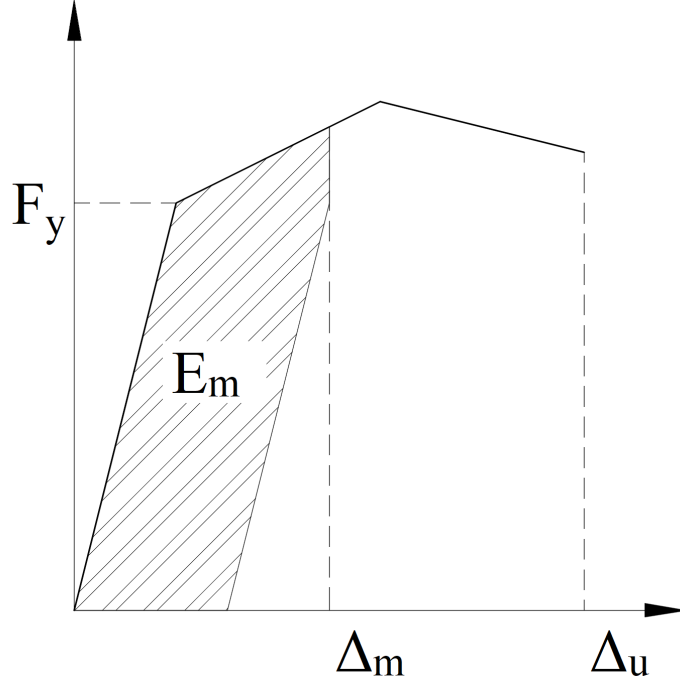


Figure 2.1 Park and Ang conceptual scheme

Equation 2.1 was derived for concrete elements. The first term here is a simple, pseudo-static displacement measure. The second term accounts for cumulative damage. A figure on the concept is shown in Fig. 2.1. The advantages of this model are its simplicity and flexibility in adapting the model to correlate with experimental data.

This model has several limitations. Firstly the calibration of the β coefficient with observed damage has shown to be very low ($\beta = 0.05 - 0.15$) [47] [17], rendering the second term relatively inconsequential compared to the contribution of the first term. A sample result is taken from Gosh et al [17], which applied a modified version of the Park and Ang damage index in terms of the moment (M_y), the rotation (θ_y), and curvature ductility (μ) the modified model is expressed in equation Eq. 2.2.

$$D = \frac{\mu_m}{\mu_u} - \beta \frac{E_h}{M_y \theta_y \mu u} \quad (2.2)$$

Using the following values: $\mu_m = 4.93$; $\mu_u = 17.02$; $M_y = 8751.375$; $\theta_y = 0.0042$; $E_h = 119.07$; $\beta = 0.05$

And substituting in equation Eq. 2.2:

$$D = \frac{\mu_m}{\mu_u} - \beta \frac{E_h}{M_y \theta_y \mu u} = 0.3$$

First term:

$$\frac{\mu_m}{\mu_u} = \frac{4.93}{17.02} = 0.2897$$

Second term:

$$\beta \frac{E_h}{M_y \theta_y \mu u} = 0.05 \frac{119.07}{8751.375 * 0.0042 * 17.02} = 0.0103$$

It can be seen that 97% of the damage index comes from the first term which is the elastic term and the inelastic part is only 3% of the total. In addition, the model was derived for reinforced concrete with poor shear detailing.

Depite its limitation, several studies have used or modified this model to study the effects of cumulative damage for different structures, of relevant importance are those performed by [24], who used a modified Park and Ang model, to model damagae at the local level for elements in a structural analysis program IDARC 3.0, in this software for the case of multiple degrees of freedom buildings they also added parameters to consider the damage at the inter-story level and the global model. Ghosh et al [17] developed a damage accumulation framework to develop probabilistic estimates of exceeding a damage index for multiple ground motions. Other regressions have been proposed by [21], [12], [37] but show no improvement in assessing the damage state of a structure. While these studies provide an insight into some of the characteristics of damage accumulation they rely on the Park and Ang model and therefore carry the same limitations.

Krawinkler (1987) [22] proposed a method that considered damage as a function of low cycle fatigue parameters, the form of the Krawinkler damage index for steel components, weldments, and local buckling has a general shape of the Miner model. This model relies on the accumulation of plastic deformations. While this model has proven to work well for the evaluation of individual steel structure elements, it does not provide a way to generalize damage for other types of structures.

2.1.2 Probabilistic Approach

In recent years studies have focused on the effect of cumulative damage. These studies have focused on assessing the damage accumulation under different loading conditions such as multiple earthquakes, corrosion and life span of the structure. Two main approaches to tackle this field of study have been observed:

- Probabilistic framework
- Fragility curves

Probabilistic Framework

One of the most widely used probabilistic framework is the Pacific Earthquake Engineering Research Center (PEER) Performance Based Design. PEER PBD can be expressed by the following equation:

$$\nu_{DM}(dm^{LS}) = \iint D_{DM|EDP}(dm|edp)|, dG_{EDP|IM}(edp|im)| d\nu_{IM}(im) \quad (2.3)$$

Mackie et al [26] on the basis of the PEER PBD developed the performance based damage design (PBDD) and performance based loss design (PBLD) by defining the probabilistic demand, damage, and loss model parameters in terms of reinforced concrete column damage. The RC column damage was defined in terms of drift ratios defined for the limit states of concrete spalling, bar buckling and failure.

The authors show that for a given intensity measure (IM) and a confidence level of achieving a limit state, its is possible then to define the probability of exceeding that limit state.

While this methodology was able to define damage and incorporate it into the PEER PBD framework, the authors did not consider strain to define the limit states. Also recent research has shown that other intensity measures such as spectral displacement at effective first mode period ($S_d(T_1)$) provide a better intensity measure [23].

Fragility Curves

Another common trend in this subject is the use of fragility curves to estimate the effect of damage in structures. Two main approaches were found in the literature. One of them relied on the Park and Ang Model damage index to define damage. While the second approach relates damage to drift.

Ghosh et al [17] formulated a damage accumulation framework. Their study relied on the Park and Ang Damage index explained in the previous section. The study performed a series of nonlinear time history analyses for two cases:

- Using a constant main shock hazard occurrence rate (3 main shocks in a 50 year period)
- Mainshock - Aftershock series using time-dependent aftershock hazard occurrence rate

Evaluation of the damage index exceeding probability for the two cases was performed. The results from this study show regression equations that statistically predict the damage index as a function of earthquake intensity and damage history. This study revealed that for both mainshock and aftershock scenarios there was a significant increase in the probability of damage index exceedance under repeated shock scenarios. While this study shows the importance of considering damage accumulation, these results have to be taken with caution since it carries the same disadvantages of the Park and Ang damage index.

Ghosh et al [16] also studied the effects of corrosion in time dependent seismic fragility curves. Their study characterizes corrosion in concrete columns as a continuous phenomenon that occurs as a function of time. Additionally, the authors considered the effects of corrosion in steel bridge bearings. The authors then ran a series of NLTHA analyses for different aging times of the structures. Based on their analysis time dependent fragility curves were presented. The results showed that as time increases, and as a consequence corrosion increases, the probability of exceeding a limit state increases. In this study limit states were defined on the basis of inter-story drifts which were obtained from experimental results and field observations [34]. It is important to mention that the limit states used in their study, were not defined on the basis of strains or other structural property rather from a survey performed in central southeastern United States departments of transportation on the premise of a range of experienced inter-story drifts and the time to repair them. Additionally assuming that corrosion is a continuous process has to be cautiously taken as valid since site information such as temperature, water to cement ratio, the addition of cementitious materials such as silica fume, and the environment (e.g. coastal vs inland) affect the rate of propagation of corrosion[41].

While these studies provide a general view on how damage increases the likelihood of observing collapse or deterioration of the seismic performance, the methods used to arrive at those conclusions can be misleading since the definition of damage as either a Damage Index or Drift are not the best parameters to quantify the damage. It is our belief that strain-based limit states will provide a better understanding on the implications of damage accumulation.

Chapter 3

Study Gap

3.1 Research Gap

It is clear that while studies have tried to show the importance of damage and multiple shocks through the lifetime of a structure, it is important to develop a model that establishes what is the likelihood of achieving a limit state as the structure ages. In addition, it is important to understand the impacts of aging in bridge seismic performance. Furthermore, bridges in seismic areas can be subjected to more than a single mainshock and its corresponding aftershocks. Therefore a methodology that incorporates both aging and multiple events is needed.

Damage accumulation is topic that has been gaining momentum in the engineering community since these efforts will better inform the potential future conditions of a structure to stakeholders such as state DOTs, building owners and practicing engineers, should the structure subjected to a further event. damage accumulation has been studied using the Park and Ang damage index or drift based limit states to measure damage accumulation. Different researchers have also included corrosion into their scope of analysis, which shows that aging conditions play an important role in the deterioration of a structure. In addition the literature uses the peak ground acceleration (PGA) as the controlling intensity measure (IM). This research will develop a parametric study using a series of single degree of freedom (SDOF) systems and subjecting them to different conditions such as corrosion, steel strain aging and strength aging among other. The SDOF structures will be subjected to a sweep of ground motions using nonlinear time history analysis to obtain maximum strain demands. With the results obtained fragility functions will be proposed for each limit state and aging condition. This research will provide the engineering community with a framework to account for damage in their analysis and guide decisions on the resiliency of a structure. In addition, this study will provide a methodology

in which the Direct Displacement-Based Design (DDBD) is modified to consider the effects of damage and conditions.

3.2 General Objectives

The main goal of this research is to provide a methodology to consider damage into the PEER performance based design and demonstrate the implications of aging conditions and multiple earthquakes in the probability of collapse of a structure.

3.3 Specific Objectives

- Incorporate different aging conditions and develop fragility curves that considers strain limit states as a measure of damage
- Establish limit states for corroded rebars
- Inform the research community on the necessary methodology to appropriately mimic real corrosion process in material experiments of corroded rebars, which can later be extrapolated to large scale testing of RC columns subjected to corrosion
- Consider the effects of multiple earthquakes for two cases: (1) Mainshock sequences (2) Mainshock-Aftershock sequence
- Incorporate these results into the Direct Displacement Based Design (DDBD) methodology, through the use of factors that correspond to the aging conditions

Chapter 4

Methodology

A methodology that incorporates the different sources of cumulative damage in RC structures is proposed. Different models of aging conditions that modify the material properties are studied, these are then incorporated into the analytical model.

The aging conditions focused on this research are:

- Corrosion
- Strain aging

Other aging conditions will be added later to this study.

4.1 Corrosion

One of the main phenomena that affect the long term behavior of RC structures is corrosion of the reinforcing steel. Two types of corrosion are possible:

- Carbonation,
- Chloride attack

The main source of corrosion in most RC structures is **chloride attack**, this is the one that will be the focus of this research.

Corrosion of steel in concrete is an electrochemical process [30] this corrosion may be generated in two ways:

- Composition cells may be formed when two dissimilar metals are embedded in the concrete or when significant variations exist in the surface characteristics of steel

- In the vicinity of steel, corrosion concentration cells are formed due to differences in the concentration of dissolved ions, such as alkalies and **chlorides**

The corrosion process of reinforced concrete structures under chloride attack consists in the loss of the protective film on the reinforcing steel surface, this process is known as **depassivation**, after which the initiation of corrosion occurs, the electrical resistivity and the oxygen content control corrosion. Figure 4.1 schematically show this process:

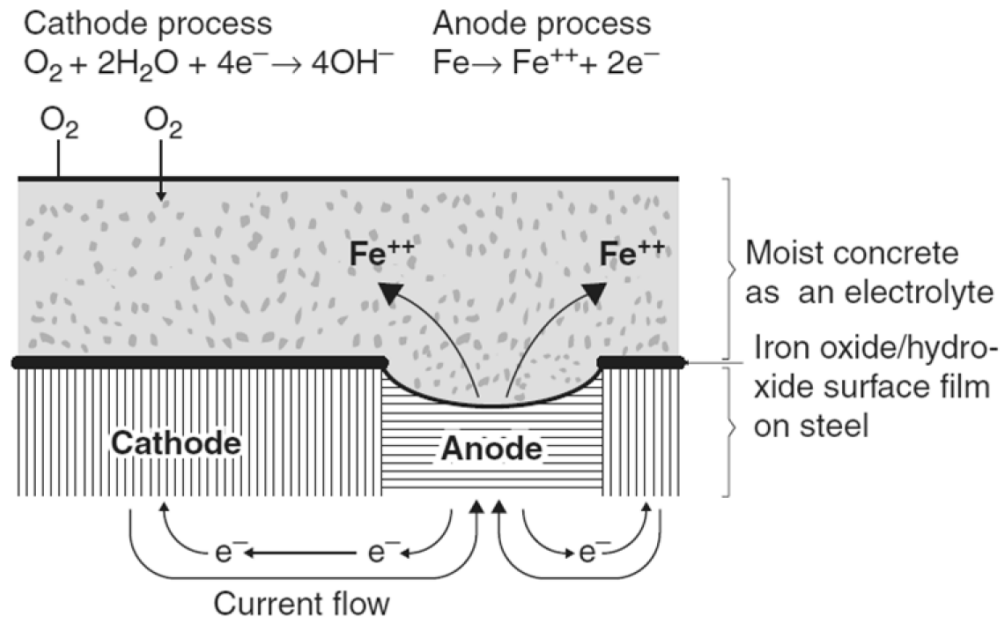


Figure 4.1 Corrosion process in reinforcing steel bar [30]

Since corrosion plays an important role in the further deterioration of the seismic response of a structure, the following topics have been studied and later incorporated in the analysis:

1. Time to initiation of corrosion (T_{corr})
2. Corrosion growth in reinforcing steel
3. Mechanical properties of corroded reinforcing steel
4. Cyclic test on corroded RC columns

4.1.1 Time to corrosion

Time to corrosion refers to the corrosion initiation at which the passive film of the reinforcing steel is destroyed and reinforcement starts corroding actively. It has been established that reinforcing steel corrosion follows Fick's law of diffusion [16][41][40][45]. Fick's law is used to model the rate of chloride penetration into concrete as a function of concrete cover and time.

$$\frac{\partial C(x,t)}{\partial t} = D_c \frac{\partial C(x,t)}{\partial x^2} \quad (4.1)$$

$C(x,t)$: Chloride ion concentration

x : Distance from concrete surface

t : Time in seconds of exposure to chloride ions

D_c : Chloride diffusion coefficient

The solution of equation 4.1 is:

$$C(x,t) = c_0 \left[1 - \operatorname{erf}^{-1} \left(\frac{x}{2\sqrt{D_c t}} \right) \right] \quad (4.2)$$

In equation Eq. 4.2, if we consider a critical chloride corrosion threshold C_r , and a equilibrium chloride concentration C_0 and solve for t , the time to corrosion can be calculated as [16]:

$$T_{corr} = \frac{x^2}{4D_c} \left[\operatorname{erf}^{-1} \left(\frac{C_0 - C_{cr}}{C_0} \right) \right]^{-2} \quad (4.3)$$

D_c : Diffusion Coefficient

C_0 : Equilibrium Chloride Concentration

C_r : Critical Chloride Concentration

Mean values for C_0 and C_r have been previously defined by many researchers [16][43][11]. These values have been determined for environments that are controlled by **dicing salts**. The values of initiation of corrosion using these values provide mean times to corrosion in the United States, however, these values of C_0 and C_r for specific sites can be obtained.

4.1.2 Rate of corrosion

The corrosion rate model developed by Stewart et al [42][40] is used to estimate the loss of steel cross section due to corrosion. This model establishes that the corrosion rate is a function of the water to cement ratio (w/c) and the cover depth (d_c) and can be expressed as shown in equation Eq. 4.4.

$$i_{corr} = \frac{37.5(1 - w/c)}{d_c} \quad (4.4)$$

In Fig. 4.2 the behavior of this model for different values of w/c ratios is shown. It can be seen that at larger values of cover depth the rate of corrosion decreases rapidly and as the water cement ratio increases the rate of corrosion increases.

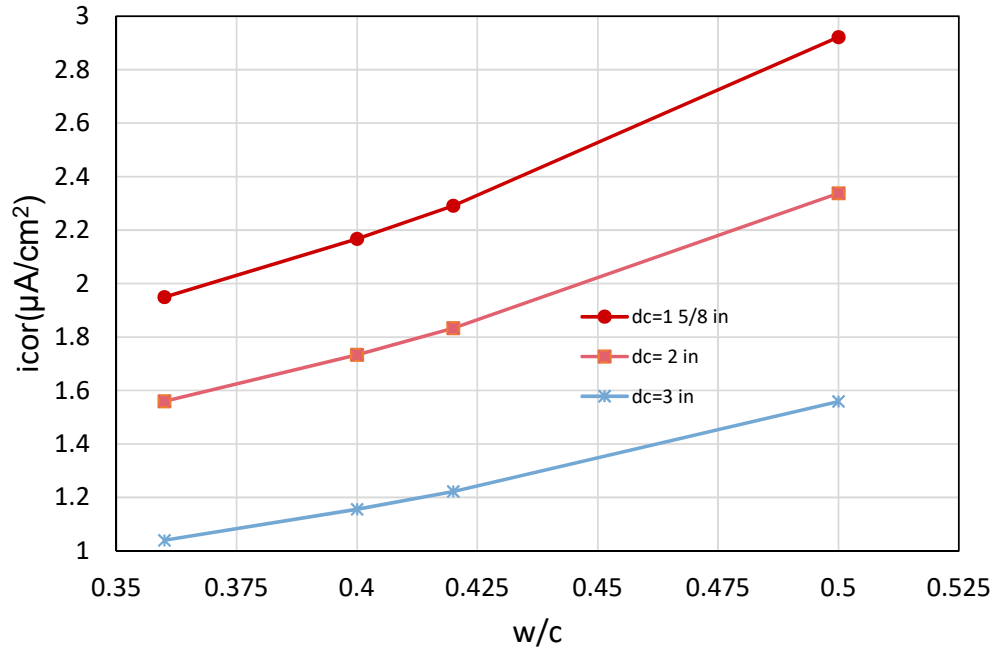


Figure 4.2 Concrete water to cement ratio vs rate of corrosion

With the rate of corrosion clearly defined, the evolution of corrosion of reinforcement can be calculated. Many researchers have used the model proposed by Vu et al [40][42][8][16] to represent the evolution of corrosion in reinforcement, the model is shown in equation Eq. 4.5 . A graphical representation of the evolution of corrosion for a 3/4 inch diameter reinforcing

steel with a concrete cover of 1-1/2 is shown in Fig. 4.3. These results are shown for water to cement ratios ranging from 0.36-0.50. This range is representative of good quality concrete.

$$d_{corr}(t) = d_{bi} - \frac{1.0508(1 - w/c)}{d_c} (t - t_{corr})^{0.71} \quad (4.5)$$

d_{bi} : Initial diameter of the bar (mm)

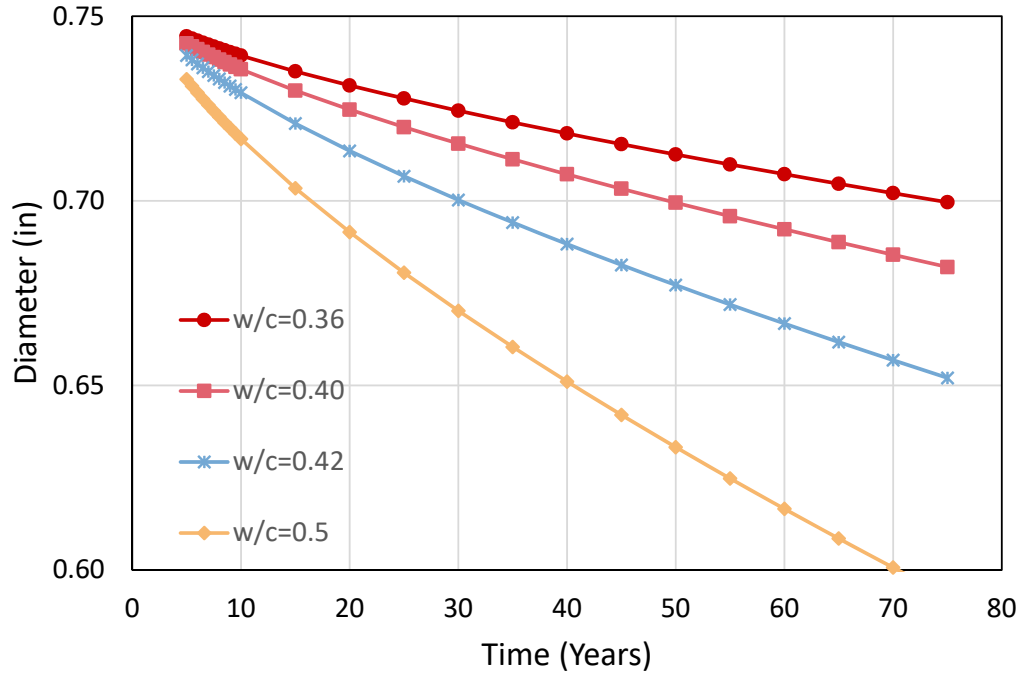


Figure 4.3 Diameter decrease due to corrosion

The evolution of corrosion in reinforcing steel can be expressed in the percent loss of mass of a rebar. Since uniform corrosion is assumed this can be expressed in terms of the change in diameter. This is calculated in Eq. 4.6.

$$CL = \frac{d_i - d(t)}{d_i} * 100 \quad (4.6)$$

The values obtained from Eq. 4.5 can then be used in Eq. 4.6 to obtain the variation of corrosion level as a function of time, shown in Fig. 4.4.

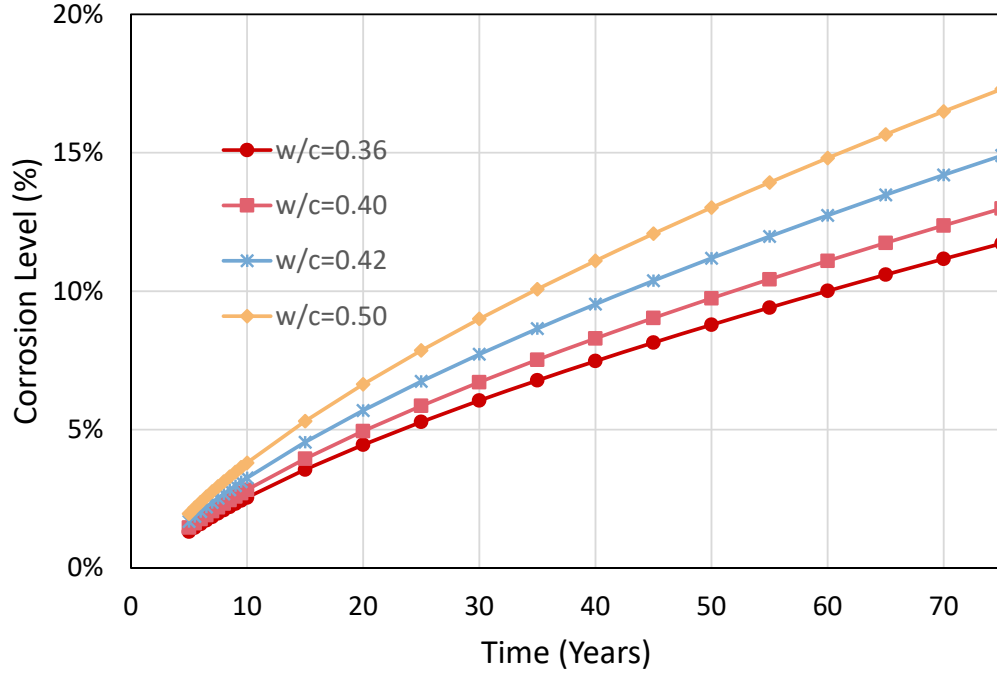


Figure 4.4 Corrosion level vs time (years)

4.1.3 Corrosion modified properties of reinforcing steel bars

Yuan et al [48] showed from experimental results that the mechanical properties of steel change with the level of corrosion. An expression that shows the variation of yield strength and ultimate strength were provided as follow:

$$f_{y,C} = f_{yo}(1 - 0.021C) \quad (4.7)$$

$$f_{u,C} = f_{yo}(1.018 - 0.019C)$$

$$\delta_{s,C} = \delta_{so}(1 - 0.021C)$$

$$\varepsilon_{y,C} = \varepsilon_{yo}(1 - 0.021C)$$

These expressions are used in the analytical procedure and as shown in Chapter 5, they correlate well with the observed experimental results available in the literature.

4.1.4 Physical test on corroded RC Structures

Recent studies [25], [29] and [46] have been developed to assess the force-displacement relationships in cantilever RC columns. These columns were subjected to quasi-static loading protocol. These concrete columns were subjected to accelerated corrosion to obtain different corrosion levels (CL). The range of CL for these studies correspond to $CL = 0\% - 20\%$. In these studies the accelerated corrosion was performed via an electrochemical process directly applied to the reinforcing steel as shown in Fig. 4.5.

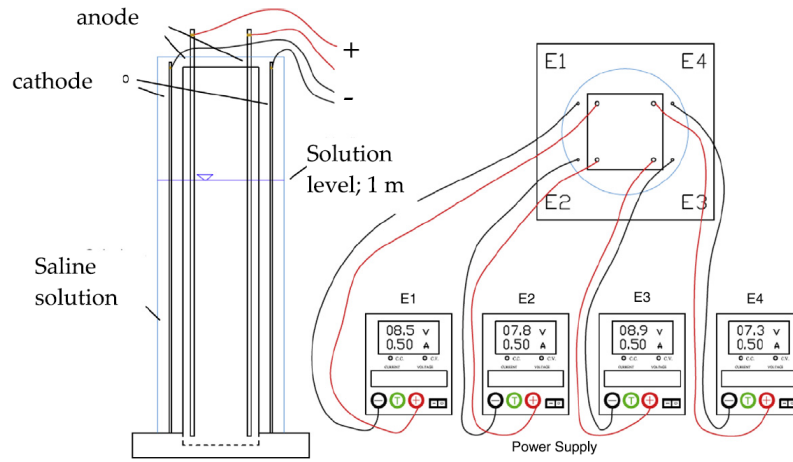


Figure 4.5 Force displacement response of RC corroded columns [29]

The resulting force displacement response of these experiments is shown in Fig. 4.6. It can be seen that there is a reduction of the strength of the system and displacement capacity.

As stated in the previous section the mechanical properties of steel are affected by corrosion. In the previous studies [29] the authors performed tension tests on corroded reinforcing steel. In these tests a reduction in the mechanical properties of steel was observed as well as a reduction in the rupture strain ε_{rup} , see Fig. 4.7.

While these studies show how corroded RC columns behave under cyclic loading, they did not consider the generation of the protective film due to the alkaline environment of the concrete. This film can modify the mechanical properties of corroded steel. In addition, the accelerated corrosion process used a 3% $NaCl$ concentration solution while the chloride attack in concrete usually has a 1.0% - 1.5% concentration of the same chloride. Therefore the results obtained from these studies do not accurately represent the actual conditions of corroded RC columns. Thus,

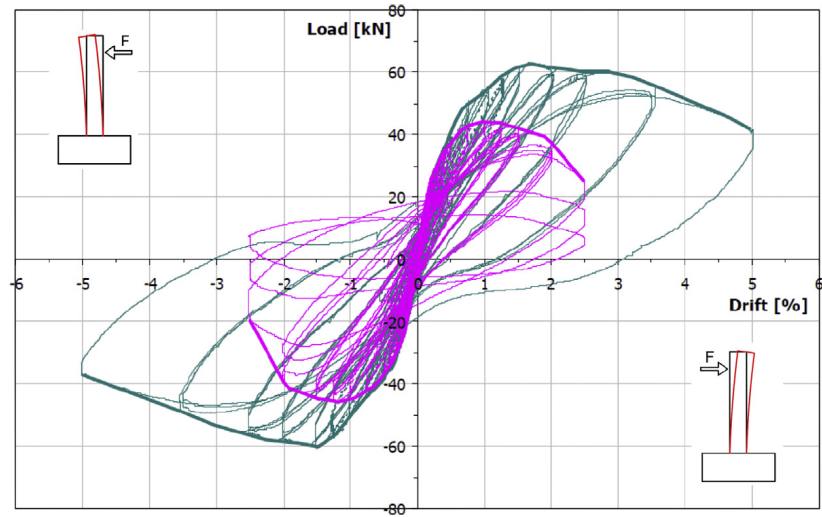


Figure 4.6 Corrosion process for RC column [29]

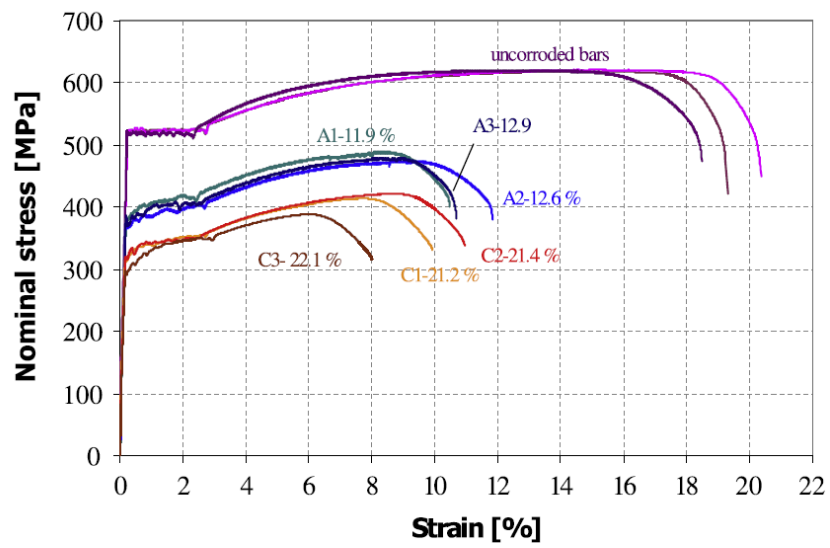


Figure 4.7 Corroded rebars stress-strain curves [29]

an experimental campaign is proposed that will provide results on the mechanical behavior of corroded reinforcing steel inside concrete. This is discussed in the following subsection.

4.1.5 Proposed experimental campaign

As explained in the previous section the steel inside concrete generates a protective film and after chloride attack reaches the surface of the steel, this protective film starts to be eliminated. This same process will be simulated through the experimental campaign outlined here:

1. Passivation of the reinforcing steel
2. Accelerated corrosion of Reinforcing Steel
3. Tension tests
4. Buckled bar tension (BBT) test

Passivation of reinforcing steel

Methods to generate the passive film on the reinforcing steel surface are available in the literature [15]. According to this study, it is possible to generate the passivation process in the same way as it occurs in reinforcing steel embedded in concrete by using an alkaline porous solution. The authors, studied ten different porous solutions, out of which five had all the alkali oxides that exist in the cement. Of these five solutions, the one that showed better performance in the quality of the protective oxides grown on rebar is shown here with its corresponding concentrations:

- Saturated calcium hydroxide $Ca(OH)_2$
- Sodium hydroxide $Na(OH)$ (4.00 g/l)
- Potassium hydroxide (OH) (11.22 g/l)
- Calcium sulfate dihydrate $Ca(SO)_4 + 2H_2O$ (13.77 g/l)

This is the solution that will be used in this research to generate the passive film on the specimens.

To generate the passivation of the reinforcing steel, the rebars will be placed in the pore solution for a minimum of 8 days. Anodic polarization tests will be measured on the rebars to determine the passive current density. A figure of this process is shown in Fig. 4.8. In addition,

the ends of the rebars will be protected to prevent corrosion in these zones of the specimens, the protection at the ends is based on the standard ASTM G109-07 with some alterations. Figures Fig. 4.9 and Fig. 4.10 show the specimen geometry and the preparation of the ends of the rebars.

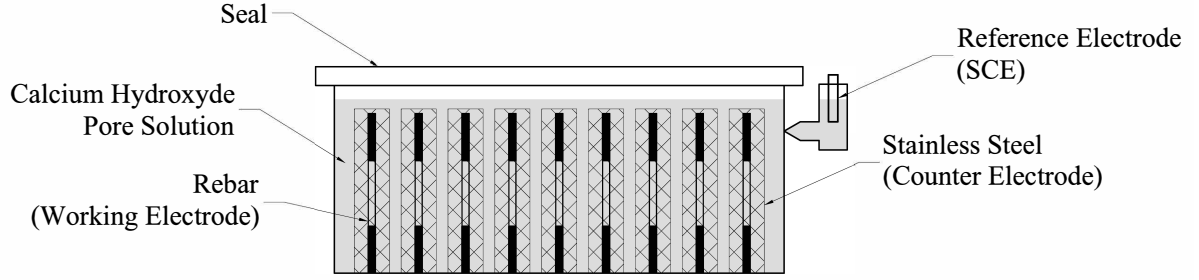


Figure 4.8 Rebars Passivation Process in Calcium Hydroxide Pore Solution

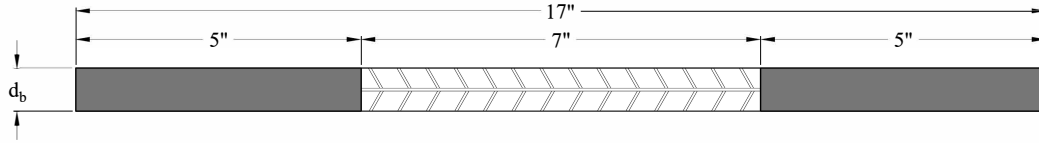


Figure 4.9 Rebar Specimen Geometry

Accelerated corrosion of Reinforcing Steel

The accelerated corrosion will be done by using a galvanic cell. Different studies [15] have shown that for rebars with passive films a concentration of 0.3 Moles of sodium chloride ($NaCl$) will start the depassivation process on the rebars. In the study presented here, the specimens will be subjected to a current of 5mA equivalent to $47\mu A/cm^2$. This current is sustained for a period of time according to Faraday's Law until the desired level of corrosion is reached.

$$t = \frac{\lambda m_{loss} \eta_{specimen} C_{faraday}}{i M_{specimen}} \quad (4.8)$$

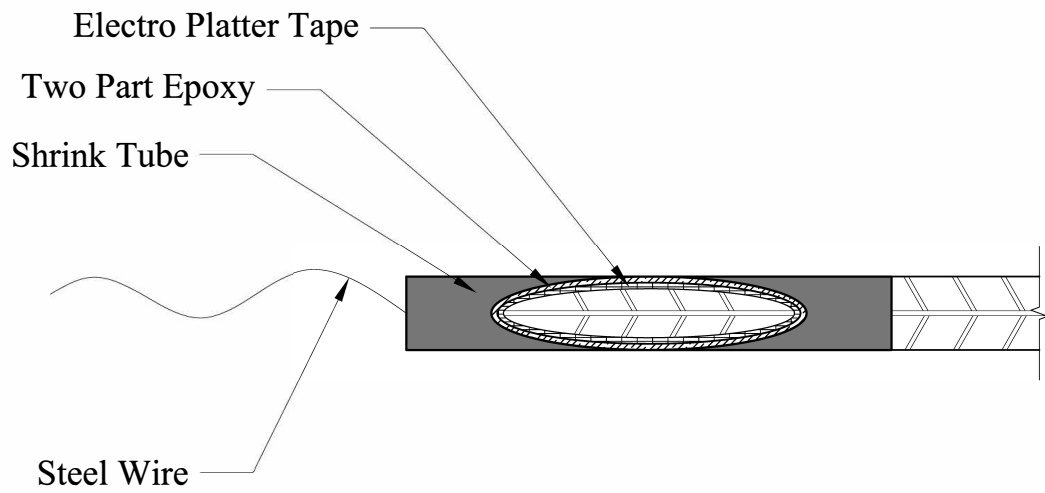


Figure 4.10 Rebars Ends Protection

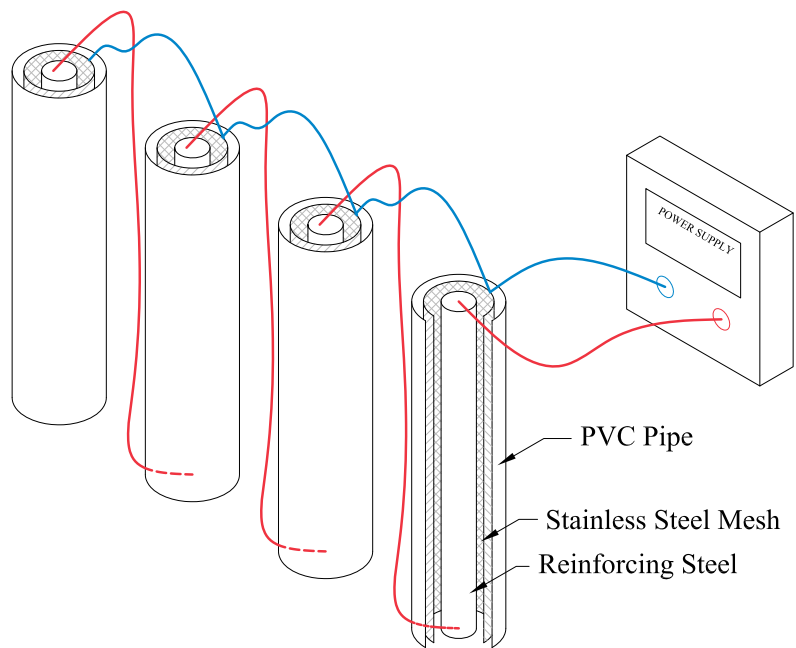


Figure 4.11 Accelerated corrosion process

For the different corrosion levels the current and the time of application is shown in Table 4.1.

Table 4.1 Accelerated corrosion times in 3/4" rebar

Corrosion Level (CL)	Mass loss (g)	time(days)
5%	1.12	9
10%	2.24	18
15%	3.36	27
20%	4.47	36
25%	5.59	45

Tension Tests

A series of tension tests will be performed according to ASTM A706. The main objective of this tests is to evaluate differences in the stress-strain behavior of corroded reinforcing steel. This will determine if there is any reduction in the ductility of steel for this condition.

Buckled Bar Tension (BBT) Test

One of the limit states that control performance-based design is buckling of reinforcing steel, recent tests have been developed to determine the critical bending strain of buckling of reinforced steel [4]. The buckled bar tension (BBT) test simulates bending and tension strain demands on a buckled bar, to determine critical bending strain in buckled rebars. However, the results shown in their study were developed for rebars in pristine condition, therefore, it is necessary to check if the available expressions are valid for corroded reinforcing steel.

The buckled bar tension test consists in:

1. Compress a rebar specimen up to a certain level of compression strain such that the rebar will show buckling
2. The rebar is then pulled until rupture
3. Repeat the process for different levels of compression strains

This test is proposed for different levels of corrosion such that any changes on the behavior are studied and incorporated in the analytical model. The test procedure is shown in Fig. 4.12. In addition the proposed test matrix is shown in Table 4.2.

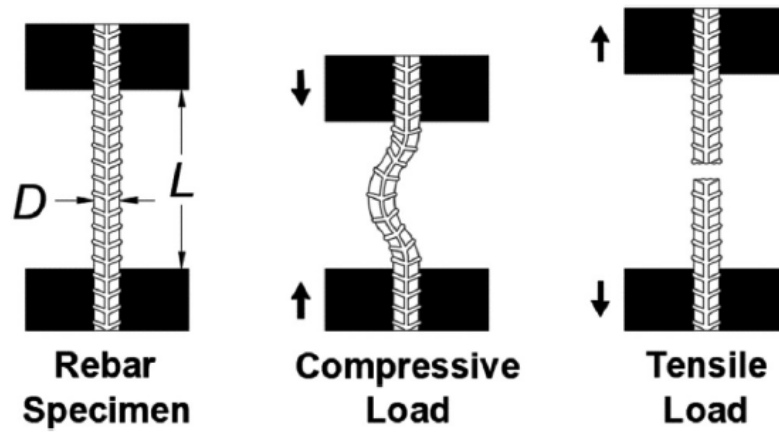


Figure 4.12 BBT Test sequence[4]

Table 4.2 Corroded Rebar Test Matrix

Corroded BBT Test Matrix			
Test	Diameter of Bar	CL (%)	Number of Tests
Tension Test	#6	0	3
		5	3
		10	3
		15	3
		20	3
		25	3
BBT Test	#6	0	6
		5	6
		10	6
		15	6
		20	6
		25	6

4.1.6 Modeling of corrosion for structural analysis

The previous elements of corrosion explained in the previous sections are incorporated into the structural analysis mainly at the material level. The application can be outlined as follows:

1. First the time for initiation of corrosion is calculated with equation Eq. 4.3
2. Then the rate of corrosion is calculated according equation Eq. 4.4
3. Following this the diameter of the rebar is reduced and the corrosion level is calculated using equations Eq. 4.6 Eq. 4.5
4. Finally the mechanical properties of the reinforcing steel are modified with the corresponding corrosion level as shown in equation Eq. 4.7

This process is shown in Fig. 4.13.

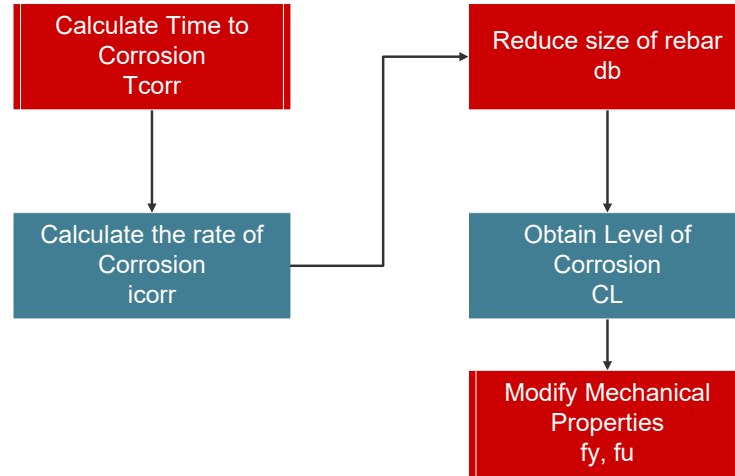


Figure 4.13 Corrosion modeling for structural analysis

This is later incorporated into the nonlinear structural analysis framework using OpenSeesPy [28][50], the framework of this analysis is explained in **Chapter-5**.

4.2 Steel strain aging

4.2.1 Metallurgical process

It is generally accepted that strain aging is due to the diffusion of carbon and/or nitrogen atoms in solution to dislocations that have been generated by plastic deformation. Initially, an atmosphere of carbon and nitrogen atoms is formed along the length of a dislocation, immobilizing it. Extended aging, however, results in sufficient carbon and nitrogen atoms for precipitates to form along the length of the dislocation[33][20].

These precipitates impede the motion of subsequent dislocations and result in some hardening and loss in ductility. The extent of strain aging, which is a thermally activated process, depends primarily on aging time and temperature. In general, extended aging results in a saturation value above which further aging has no effect [36].

A second strengthening mechanism occurs when cold deformation (alone) is applied to steels. When dislocations break away from their pinning interstitial atoms and begin the movement causing slip they begin to intersect with each other. A complex series of interactions between the dislocations occurs, causing them to pin each other, decreasing their mobility. The decreased mobility also results in higher strength, lower ductility and lower toughness. As a result, cold deformed steels already have lowered ductility and toughness before any strain aging occurs and when heating follows cold deformation, the loss in ductility and toughness is greater. It is this combination of events that is the most damaging to the toughness of structural steels [32].

4.2.2 Strain aging effects in structures

Given the fact that that strain aging is the process by which steel after being subjected to large strains develops an increased strength and reduced ductility with time. It is important to include it in a time dependent analysis. Furthermore strain aging will cause an increase in the strength of the plastic hinge and as a consequence plastic hinges may formed in regions of the structures that have not been designed for such demands. The effects of strain aging may also alter the transverse reinforcement due to cold bending, making them susceptible to brittle failure[32].

According to Restrepo-Posada[36] most strain aging occurs in the first 37 days. Also [32] studied strain aging effects with respect to time for different levels of pre-strains that ranged from $2\varepsilon_y - 10\varepsilon_y$ and for a time frame of 3 days to 50 days, from this study it was determined that a significant effect of strain aging took place from pre-strains $5\varepsilon_y$ and on. Strains higher than $15\varepsilon_y$ indicate a performance level in which substantial damage has been induced in the

structure such that it is deemed unrepairable and therefore pre-strains higher than $15\varepsilon_y$ are unpractical and not studied by Momtahan et al[32].

Momtahan et al was able to correlate the increase in yield strength as a function of time and the pre-strain in reinforcing steel bars. The proposed equations are shown below:

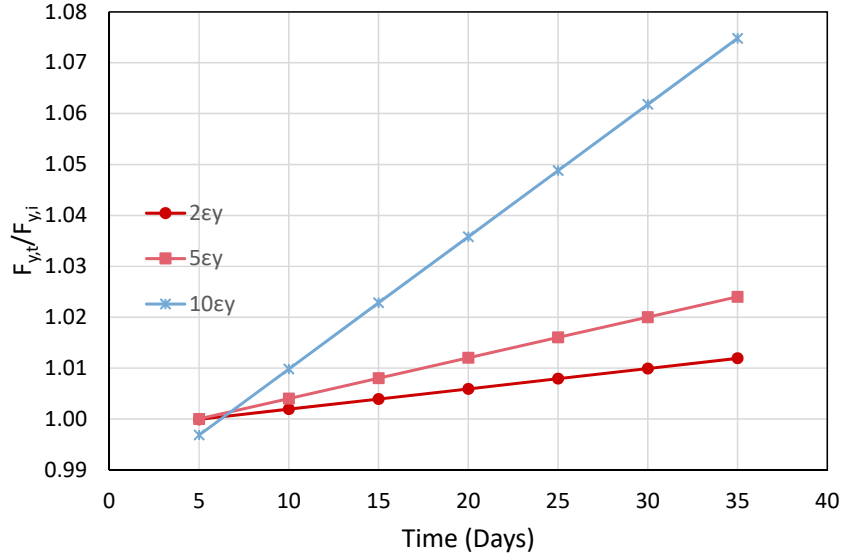


Figure 4.14 Strain aging effect on yield strength vs time (days)

For $10\varepsilon_y$

$$\frac{f_y}{f_{yi}} = 0.0026t + 0.9838 \quad (4.9)$$

For $5\varepsilon_y$

$$\frac{f_y}{f_{yi}} = 0.0008t + 0.996 \quad (4.10)$$

For $2\varepsilon_y$

$$\frac{f_y}{f_{yi}} = 0.0004t + 0.9979 \quad (4.11)$$

It is proposed to limit the increase in yield strength to the one obtained at 50 days which was the limit of scope of the study. These equations are plotted in Fig. 4.14

4.3 Multiple seismic events

The evaluation of multiple seismic events is a topic that has been scarcely studied, however their effects have been felt in numerous earthquake sequences such as the Christchurch 2010, Umbria-Marche Earthquake 1997 and the Puerto Rico Earthquakes 2020. The hypothesis is that accumulation of damage will restart in a smaller seismic event to achieve a prescribed limit state, similar to how corrosion and other aging phenomena might impact the intensity needed to achieve a future limit state.

For this study it has been determined that not all damage in structures are dependent on multiple events but rather their condition when an event occurs as is the case for corrosion. Other damage related phenomenons such as Strain Aging depend on the loading history and are therefore dependent on the history of extreme loading events. It is therefore proposed to study corrosion on a discrete modeling of Main Shocks each independent of the other and to study the effect on Strain Aging by using a sequence of Main Shocks.

4.3.1 Earthquake selection

For this study the NGA2 West Database of earthquake records provided by the Pacific Earthquake and Engineering Research Institute (PEER) [2] is used. This database consists of 599 different Earthquake events that characterize the ground motions on the west coast of the contiguous United States. The data was filtered according to the following criteria:

- Must be an earthquake sequence
- Moment Magnitude $M_w \geq 5$
- $PGA > 0.04$
- $PGV > 1 \text{ cm/s}$
- $V_{s30} > 100 \text{ m/s} \ \& \ V_{s30} < 1000 \text{ m/s}$
- Lowest usable frequency is less than 1Hz
- $R_{rup} < 60 \text{ km}$

From this data the main shocks found are the following earthquakes which can be summarized in Fig. 4.15. Figure 4.15 shows the earthquakes as moment magnitude M_w vs rupture distance (R_{rup}).

- Chi-chi
- Managua
- Livermore
- Northridge
- Duzce
- Mammoth lake

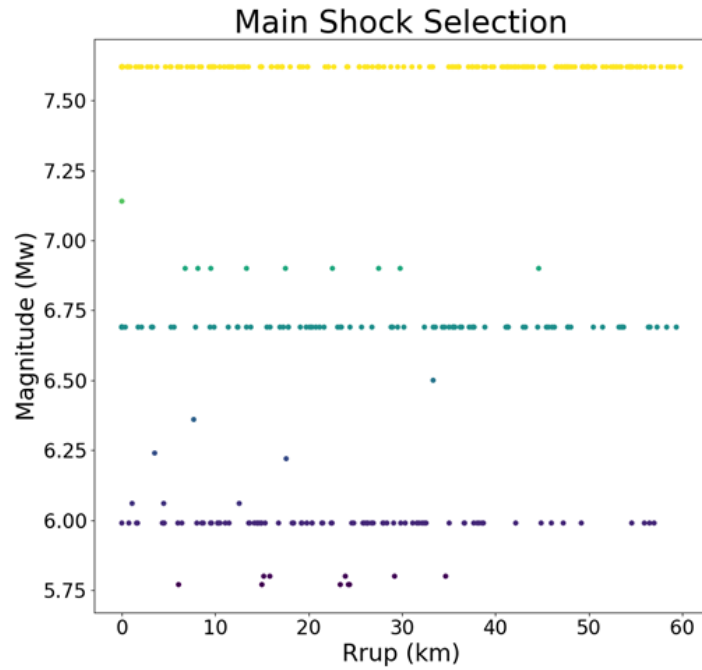


Figure 4.15 Mainshock selection from PEER NGA West2 database

4.3.2 Discrete Modeling of Main Shock Series

The discrete modeling of mainshocks consists of using individual earthquakes that occur at different times throughout the life of the structures which correlate to a corrosion level (CL), this can be done for each of the main shocks selected after which the following data is obtained and later analyzed:

- Maximum axial strain in confined concrete, cover and reinforcing steel Strains
- Obtain the probability of exceeding a given limit state $P(\varepsilon > \varepsilon_{LS}, IM)$
- The earthquakes are characterized according to an intensity measure

4.3.3 Multiple main shock series

To simulate the life of a structure a mainshock series consisting of 3 mainshocks for a the life of a structure is considered, three phases are considered:

1. At time $t = 0$ the structure has pristine conditions
2. Mainshock 1: pristine conditions are present. No changes to the material properties is present and no accumulation of damage has occurred.
3. Mainshock 2: significant time after time to corrosion, mainshock 2 occurs and material properties have changed due to corrosion
4. Mainshock 3: corrosion and strain aging have occurred and further modified the properties of the materials.

Similar to the discrete modeling pf main shock series the following results can be obtained:

- Maximum axial strain in confined concrete, cover and reinforcing steel Strains
- Obtain the probability of exceeding a given limit state $P(\varepsilon \varepsilon_{LS}, IM)$
- The earthquakes are characterized according to an intensity measure

4.4 Future topics

- Concrete strength aging
- Welding and fatigue in steel structures
- Repair effects
- Main shock - after shock series - repair series
- Load history effects
- Degree of damage effect on confined structures behavior
- Selection of intensity measure (IM)

Chapter 5

Analytical Model and Preliminary Results

In this chapter first a framework for the analysis that is performed is presented, later the basic model that is used for the analysis is presented and later calibrated and verified with experimental data available in the literature. Finally, preliminary results are presented that will give a first view of the proposed research.

5.1 Analytical Model

5.1.1 Cantilever Column

This study focuses on the behavior of a single degree of freedom (SDOF) system representing a cantilever reinforced concrete column. The column is modeled as shown in Fig. 5.1 This structure is modeled in OpenSeesPy [28][50] using the *forceBeamColumn* element [38]. The *forceBeamColumn* element is used with two-point Gauss-Radau integration applied in the hinge regions and two-point Gauss integration applied on the element interior for a total of six integration points [38]. The force-based formulation requires only a single element to accurately represent the full nonlinear deformation of the member and the integration scheme selected prevents the loss of objectivity during softening response while also providing integration points at the member ends [7],[38]. The element requires the length of plasticity be defined at each end of the member, for which the tension-based rectangular plastic hinge length is calculated using the following expressions [19]:

$$L_{pc} = k * L_{eff} + 0.4D \quad (5.1)$$

$$k = 0.2 * (Fu/Fy - 1) \leq 0.08 \quad (5.2)$$

$$L_{pt} = L_{pc} + \gamma * D \quad (5.3)$$

For single bending the parameter γ is:

$$\gamma = 0.33 \quad (5.4)$$

The two-point Gauss-Radau integration is applied such that each end node integration is weighted equal to the specified plastic hinge length, as illustrated in Fig. 5.2. Therefore, strains recorded at the end sections represent accurate values even in the case where deformation localizes to the ends from strain-softening behavior. For the case of the cantilever column considered, only one plastic hinge length is defined, and the opposite end is given an arbitrary unit length.

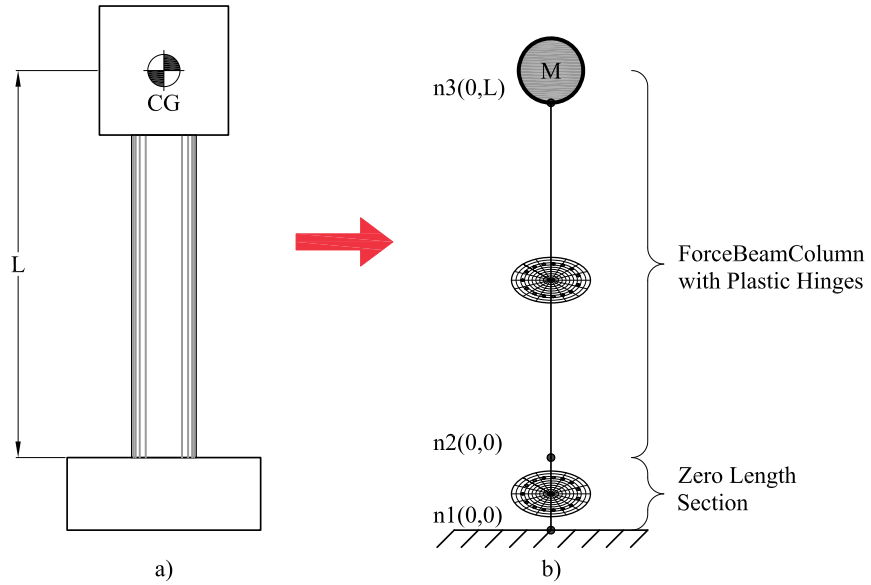


Figure 5.1 Structural Model a) SDOF Column b) Structural Model

The section of the column is shown in Fig. 5.3, the section is discretized with concrete

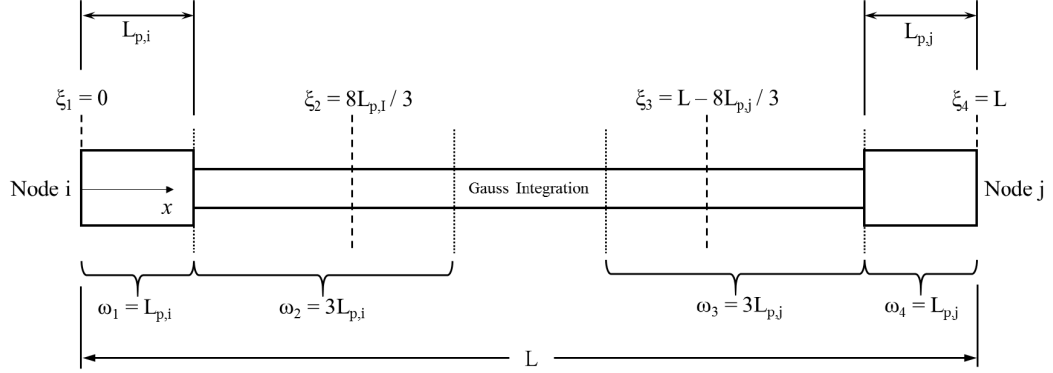


Figure 5.2 End point plastic hinge method [38]

and steel material fibers. Concrete fibers are modeled using the *Concrete01* material, modified for confined material strength based on the Mander confined concrete model [27]. The *Steel02* material, based on the Giuffre-Menegotto-Pinto model [13] is used for the longitudinal reinforcement with recommended parameters ($b = 0.01$, $R0 = 20$, $cR1 = 0.925$, $cR2 = 0.15$).

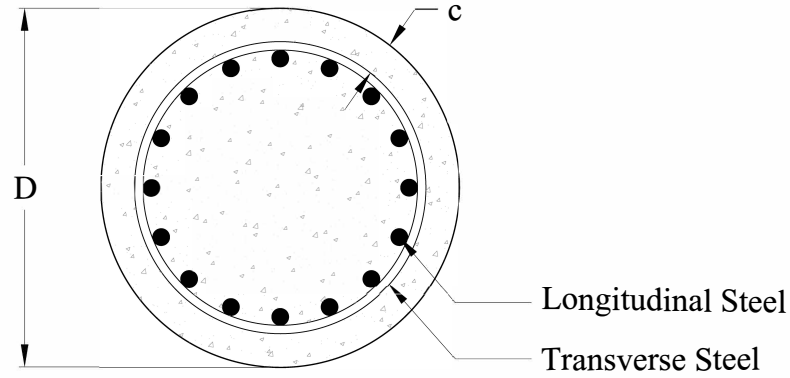


Figure 5.3 Section of the RC Column

5.1.2 Strain Penetration

The strain penetration considers the additional deformation due to anchorage of the reinforcement into the foundation, since the strains of tension in the reinforcement will drop to zero at a depth equal to the true development length of the rebar [35]. Experimental studies have gener-

ally reported that this end rotation contributes up to 35% to the lateral deformation of flexural members[49] and it is, therefore, important to incorporate into the analytical model. A way to capture this effect is by using a zero-length section element implemented in the nonlinear fiber-based analysis of concrete structures, this is available in the material library of OpenSeesPy as *BondSP1* [49] this is the material model used for the steel fibers of the zero-length section element.

The required parameters for this model are:

- F_y Yield strength of the reinforcement steel
- S_y Rebar slip at member interface under yield stress (see Eq. 5.5)
- F_u Ultimate strength of the reinforcement steel
- S_u Rebar slip at the loaded end at the bar fracture strength a value of $35S_y$ is recommended [49]
- b Initial hardening ratio in the monotonic slip vs. bar stress response $b = 0.45$ is recommended [49]
- R Pinching factor for the cyclic slip vs. bar response $R = 1.01$ is recommended [49]
- d_b Rebar diameter
- f'_c Concrete compressive strength of the adjoining connection member
- α Parameter used in the local bond-slip relation and can be taken as $\alpha = 0.4$ in accordance with CEB-FIP Model Code 90 [9]

Bar slip is calculated as:

$$S_y(in) = 0.1 \left(\frac{d_b F_y}{4000 \sqrt{f'_c}} (2\alpha + 1) \right)^{\frac{1}{\alpha}} + 0.013(in) \quad (5.5)$$

5.1.3 Design Limit States

Design limit states are defined based on strains in the material since they can more accurately represent the different performance levels of a structure. Structure limit states are defined for tension strains in the rebars or compression strains in the concrete core. The values recommended in typical performance-based design of reinforced concrete bridge columns are shown

in Table 5.1. The serviceability limit states correspond to the compression strain at which concrete cover begins to crush and the peak tension strain which results in residual crack widths of approximately 1 mm. These limits are generally accepted as nominal limit states for RC members. The compression limit state for damage control is defined by the expression shown in eq. 5.6 and it refers to the compression strain in the confined concrete at which fracture of the transverse reinforcement confining the core occurs [35]. This equation is obtained using the strain-energy balance between that absorbed by the confined core concrete and the capacity of the confining steel. The tension damage control limit state is defined by the strain at the onset of buckling which can be expressed according to 5.7, this equation demonstrated accurate predictions of the onset of bar buckling on physical tests in SDOF Concrete Column [18]. The bar buckling limit state could change as a result of the experimental campaign proposed in Chapter 4.

$$\varepsilon_{c,spiralyield} = 0.009 - 0.3 \frac{A_{st}}{A_g} + 3.9 \frac{f_{yhe}}{E_s} \quad (5.6)$$

$$\varepsilon_{s,BB} = 0.03 + 700 \rho_s \frac{f_{yhe}}{E_s} - 0.1 \frac{P}{f'_c A_g} \quad (5.7)$$

Table 5.1 Design Limit States

Limit State	Concrete Limit State $\varepsilon_c(in/in)$	Reinforcing Steel Limit State $\varepsilon_s(in/in)$
Serviceability	0.004	0.015
Damage Control	Eq. 5.6	Eq. 5.7

5.2 Comparison with existing physical Tests

5.2.1 Pristine Condition Columns

Goodnight et al performed a total of 30 circular RC columns quasi-static tests to evaluate strain limit states [18]. From this set of tests, a sample of one was taken to calibrate the analytical model. The test performed by Goodnight et al on an SDOF cantilever column shows similar geometry to that presented in Fig. 5.2. The parameters used in this large scale test were:

- Diameter $D = 24.0inch$

- Height of the column $L = 8.0\text{ft}$
- Yield strength of steel $f_y = 574.0\text{MPa}$
- Ultimate strength of steel $f_u = 753.3 * \text{MPa}$
- Longitudinal steel volumetric ratio $\rho_s = 1.5\%$
- Transverse steel volumetric ratio $\rho_v = 1.0\%$
- Strength of concrete at 8 days $f'_c = 39.8\text{MPa}$

The analytical model utilized these parameters to compare the results from the model to the experimental results. The results from the analysis show good agreement with the experimental results as evidenced in Fig. 5.4. This assures that the results obtained from the model predict the overall system behavior and can be used to analyze other configurations of the structural model.

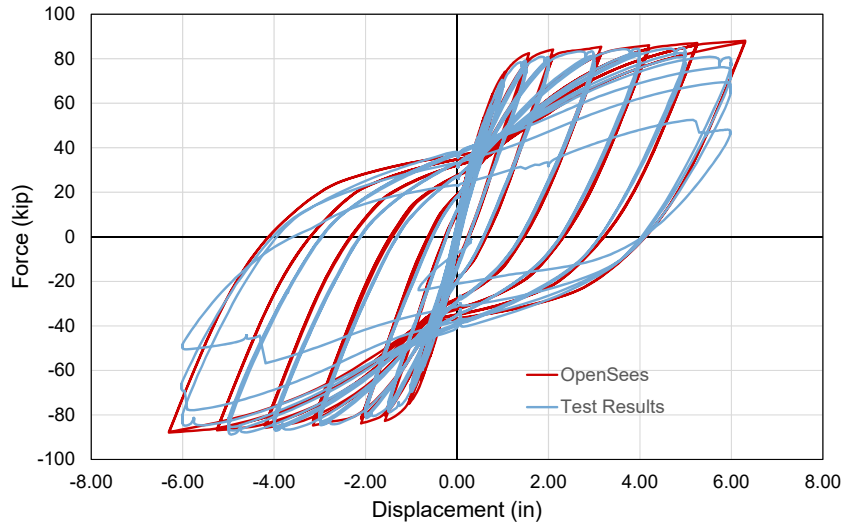


Figure 5.4 Force-Displacement results from experimental results [19] and analytical model

5.2.2 Accelerated Corrosion Columns

Similarly, Ma et al performed a series of quasi-static tests on RC columns with different corrosion levels and axial load ratios [25]. From their study, the test with a corrosion level $CL = 9.5\%$

was taken for calibration since the other tests presented in their study had excessively high axial load ratios which are not common in RC bridges. The results from Ma et al test [25] were used to compare against the analytical model. The column had the following parameters:

- Diameter $D = 260.0mm$
- Height of the column $L = 820.0mm$
- Yield strength of steel $f_y = 375.0$ MPa
- Ultimate strength of steel $f_u = 572.3$ MPa
- Longitudinal steel volumetric ratio $\rho_s = 1.5\%$
- Transverse steel volumetric ratio $\rho_v = 1.0\%$
- Strength of concrete at 8 days $f'_c = 39.8$ MPa
- Corrosion level $CL = 9.5\%$

In the analysis equation Eq. 4.7 is used to modify the material properties of the reinforcing steel, to consider the effects of corrosion. Figure 5.5 shows that the results obtained from the analytical model capture the response of the structure with some accuracy. Ma et al [25] did not report if bar buckling and bar fracture occurred during the test. However, the hysteresis curve shown in their study suggests that some damage limit state was reached. To corroborate this, equation Eq. 5.7 is used to determine the bar buckling limit state ($\varepsilon_{s,BB} = 0.024$), this is then compared to the analytical model results shown in figure Fig. 5.6. The results show a peak tension strain of $\varepsilon_s = 0.023$. This result is close to the value obtained using equation Eq. 5.7, thus pointing out the likelihood that the bar buckling limit state was observed during this test. While these results are close, it is still not clear if equation Eq. 5.7 captures the behavior of the buckling limit state for corroded rebars, therefore, the proposed corroded BBT test will show if corrosion affects the behavior of buckled bars.

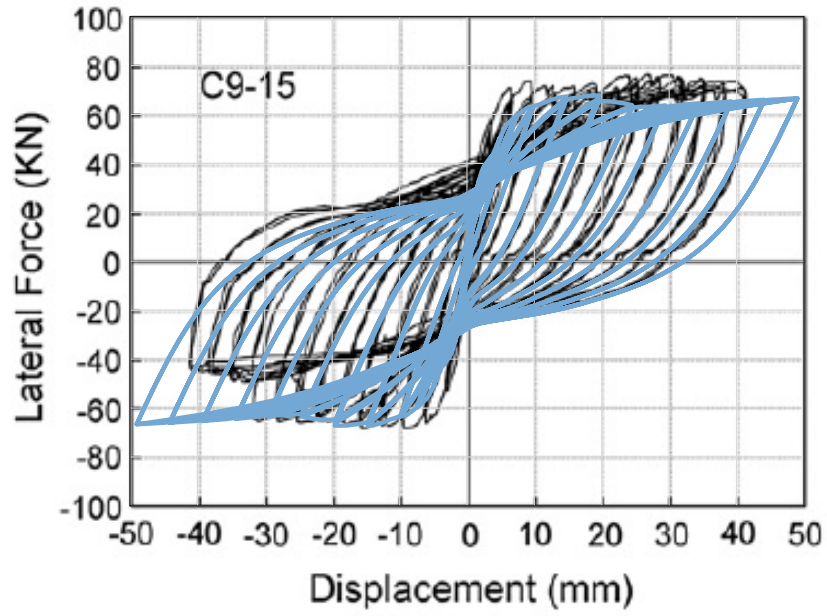


Figure 5.5 Force-Displacement results from experimental RC column with corrosion in longitudinal bar (CL=9.5%) results [25] and analytical model (shown in lightblue)

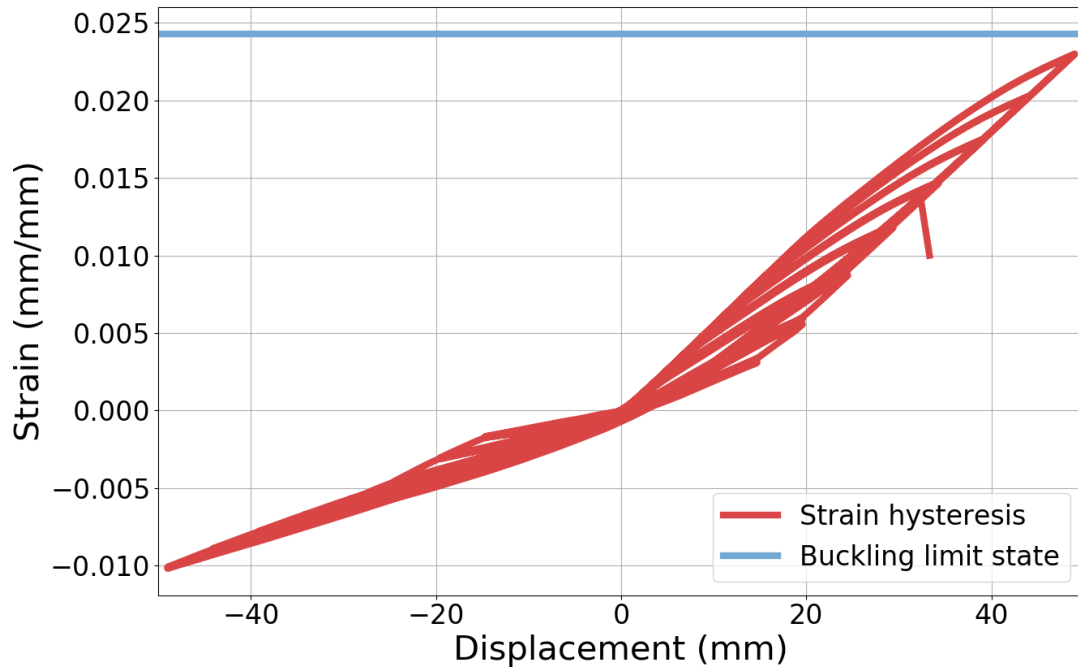


Figure 5.6 Strain hysteresis from experimental RC column with corrosion in longitudinal bar (CL=9.5%) results from analytical model

5.3 Analytical Framework

An analytical framework is established to obtain the change in the structure performance due to aging conditions and evaluate the effect of multiple seismic events. Therefore, a series of nonlinear time history analyses (NLTHA) will be performed. From these analyses, it is possible to determine the effects of damage in the performance of structures. The proposed analytical framework process consists of:

1. Geometrical Properties of the SDOF column
2. Properties of the material are evaluated (i.e. water to cement ratio, cover)
3. For equal periods of time the time dependent properties are modified
4. Nonlinear time history analyses are performed for discrete ground motions or sequence of ground motions
5. Results are obtained and evaluated

The analysis matrix for the corrosion aging phenomenon that will be analyzed in this study is shown in Table 5.2. The area or extent covered in the analysis corresponds to the range of variables that are common for RC columns in bridges.

Table 5.2 Analysis Matrix

ANALYSIS MATRIX		
Description	Parameter	Range
Diameter of Column	D	30-90 in
Column Length to Diameter Ratio	L/D	2-8
Longitudinal Ratio	ρ_s	0.01-0.04
Transverse Volumetric Ratio	ρ_v	0.005-0.015
Axial Load Ratio	ALR	0.05-0.2
water to cement ratio	w/c	0.36-0.6
cover	c	1.5-3 in
Time/Condition	CL	5%-20%

5.4 Results from NLTHA

This section presents the results obtained from a non-linear time history analysis (NLTHA) performed using OpenSeesPy [50]. The structure was subjected to a total of 18 earthquake records. The main responses obtained from these analyses correspond to the maximum strain obtained for the different levels of corrosion.

The structure used for this results currently corresponds to the parameters shown in section 5.2.1. The structure was analyzed for a range of corrosion levels [1.5%-13%] in the longitudinal rebars.

5.4.1 Effect on structure response

An example of the results obtained using NLTHA, figures Fig. 5.7 and Fig. 5.8 are presented. These results are extracted from the response of the structure to the Chi-Chi earthquake. Fig. 5.7 shows the global system response and Fig. 5.8 shows the stress-strain response of the extreme fiber of reinforcing steel. These results show that as corrosion increases the demands imposed on the structure increases too. Therefore an increase in the probability of reaching a limit state increases as corrosion increases.

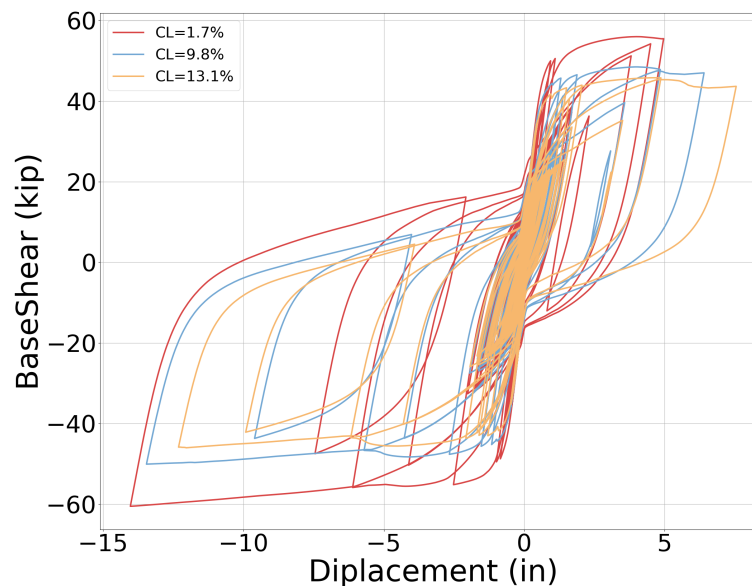


Figure 5.7 Force-Displacement results

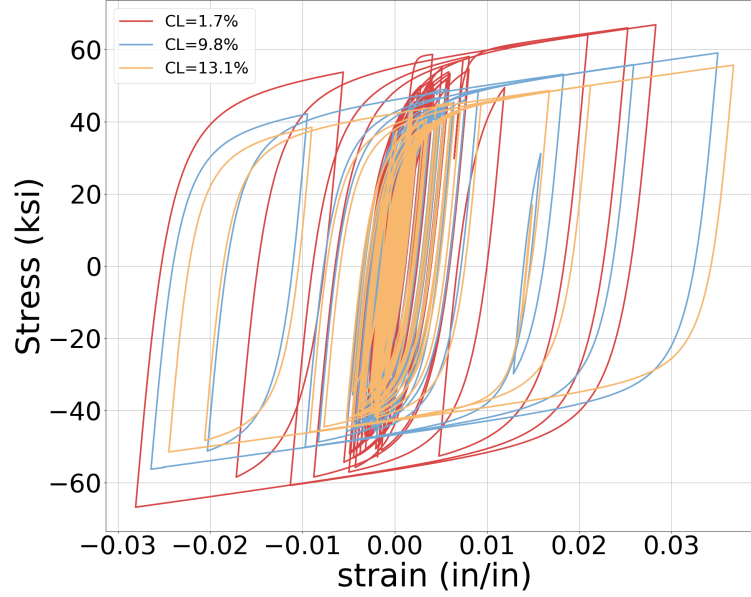


Figure 5.8 Stress strain response for extreme rebar location

5.4.2 Development of cumulative distribution functions

Once the analysis is complete the data is post-processed in a cumulative distribution function (CDF). The methodology employed corresponds to the multiple stripe analysis (MSA) recommended by Baker et al [3]. While peak ground acceleration (PGA) has been widely used as the intensity measure to develop fragility functions[17][5][39], Krish [23] in a recent study, showed that spectral displacement at first effective period ($IM = Sd(T_1)$) has better correlation than PGA . To demonstrate this, CDF curves were developed for $IM = PGA$ and $IM = Sd(T_1)$, and are shown in in figures Fig. 5.9 and Fig. 5.10 correspondingly. The CDFs were developed for the steel yielding limit state, however this can be the extrapolated for any limit state. Fig. 5.9 shows the results obtained with $IM = PGA$ do not show a good correlation since as corrosion increases the probability of exceeding a limit state decreases, this is not the behavior observed in Fig. 5.8. Conversely, Fig. 5.10 shows the results with $IM = Sd(T_1)$, these results present a better correlation, since, as corrosion increases, the probability of exceeding the limit state of yielding increases, for the preliminary results shown here the corrosion level of 13.1% results are an exception. These results will improve as more analyses become available.

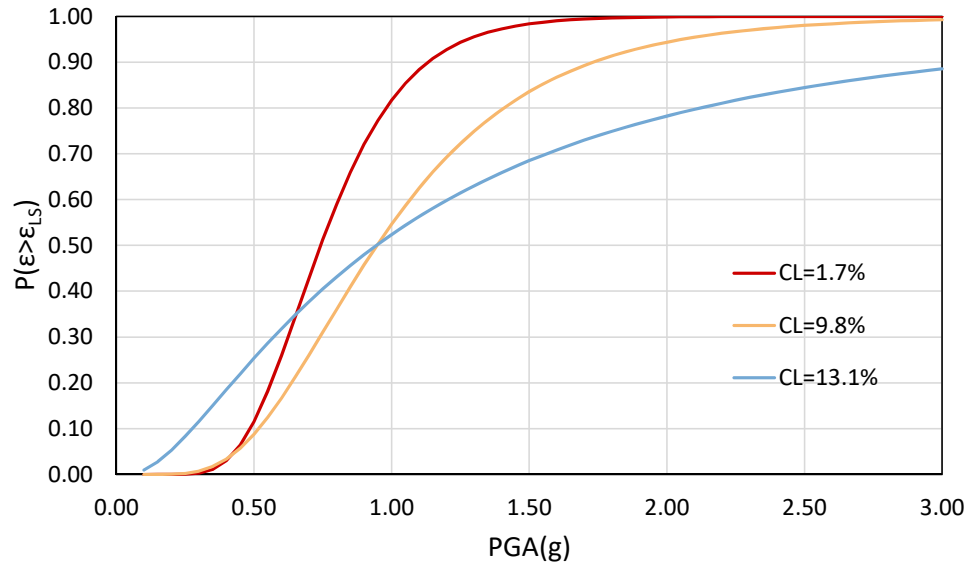


Figure 5.9 CDF of steel yielding limit state using $IM = PGA$

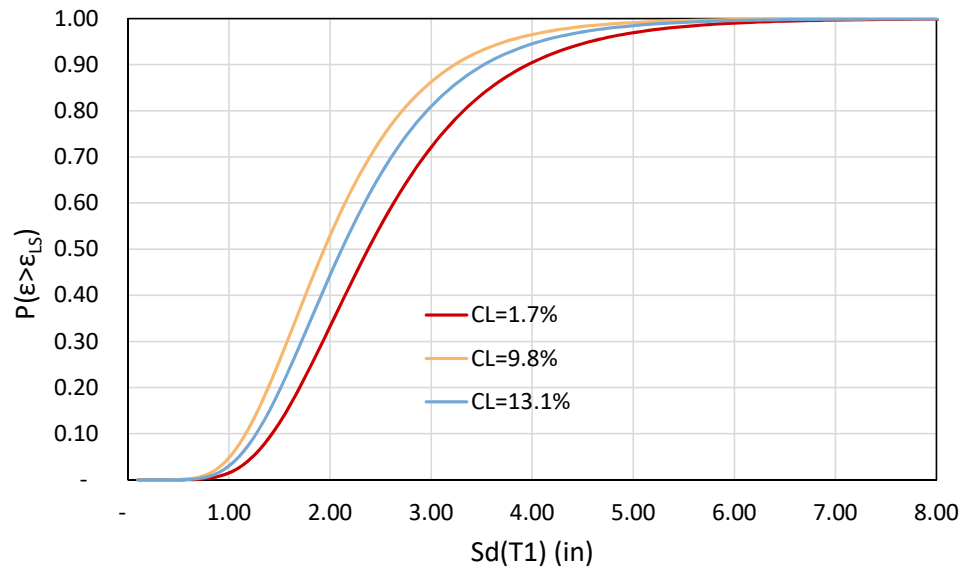


Figure 5.10 CDF of steel yielding limit state using $IM = S_d(T_1)$

5.4.3 Results discussion

- The results show that there is an increase in the demands as the corrosion in the structure increases. This is clearly shown in Fig. 5.10. However, more results will help improve this correlation
- Results shown in Fig. 5.9 and Fig. 5.10, show that $IM = Sd(T_1)$ is a better intensity measure than $IM = PGA$
- The outcomes from the experimental campaign will further improve the results obtained in the analytical work
- The experimental phase will also provide an improved methodology to mimic the behavior of corroded reinforcing steel that is embedded inside the concrete
- The inclusion of additional aging conditions in the analysis will provide a realistic analysis of aging RC columns

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