主题: Submission

发件人: JNGSE <eesserver@eesmail.elsevier.com>

日期: 2018/12/16 19:20

收件人: yongxing.shen@sjtu.edu.cn, shenyxtata@gmail.com

Ms. Ref. No.: JNGSE-D-18-01722

Title: Numerical modeling of CO2 fracturing by the phase field approach

Journal of Natural Gas Science & Engineering

Dear Professor Yongxing Shen,

The reviewers have commented on your above paper. They indicated that it is not acceptable for publication in its present form.

I would appreciate if you could submit your revised paper by Jan 06, 2019.

However, if you feel that you can suitably address the reviewers' comments (included below), I invite you to revise and resubmit your manuscript.

Please carefully address the issues raised in the comments.

If you are submitting a revised manuscript, please also:

- a) outline each change made (point by point) as raised in the reviewer comments AND/OR
- b) provide a suitable rebuttal to each reviewer comment not addressed

To submit your revision, please do the following:

- 1. Go to: https://ees.elsevier.com/jngse/
- 2. Enter your login details
- Click [Author Login]
   This takes you to the Author Main Menu.
- 4. Click [Submissions Needing Revision]

NOTE: Upon submitting your revised manuscript, please upload the source files for your article. For additional details regarding acceptable file formats, please refer to the Guide for Authors at: <a href="http://www.elsevier.com/journals/journal-of-natural-gas-science-and-engineering/1875-5100/guide-for-authors">http://www.elsevier.com/journals/journal-of-natural-gas-science-and-engineering/1875-5100/guide-for-authors</a>

When submitting your revised paper, we ask that you include the following items:

Manuscript and Figure Source Files (mandatory)

We cannot accommodate PDF manuscript files for production purposes. We also ask that when submitting your revision you follow the journal formatting guidelines. Figures and tables may be embedded within the source file for the submission as long as they are of sufficient resolution for Production. For any figure that cannot be embedded within the source file (such as \*.PSD Photoshop files), the original figure needs to be uploaded separately. Refer to the Guide for Authors for additional information.

http://www.elsevier.com/journals/journal-of-natural-gas-science-and-engineering

## /1875-5100/guide-for-authors

Highlights (mandatory)

Highlights consist of a short collection of bullet points that convey the core findings of the article and should be submitted in a separate file in the online submission system. Please use 'Highlights' in the file name and include 3 to 5 bullet points (maximum 85 characters, including spaces, per bullet point). See the following website for more information

http://www.elsevier.com/highlights

Graphical Abstract (optional)

Graphical Abstracts should summarize the contents of the article in a concise, pictorial form designed to capture the attention of a wide readership online. Refer to the following website for more information: <a href="http://www.elsevier.com/graphicalabstracts">http://www.elsevier.com/graphicalabstracts</a>

Please note that this journal offers a new, free service called AudioSlides: brief, webcast-style presentations that are shown next to published articles on ScienceDirect (see also <a href="http://www.elsevier.com/audioslides">http://www.elsevier.com/audioslides</a>). If your paper is accepted for publication, you will automatically receive an invitation to create an AudioSlides presentation.

I look forward to receiving your revised manuscript.

Journal of Natural Gas Science & Engineering features the Interactive Plot Viewer, see: <a href="http://www.elsevier.com/interactiveplots">http://www.elsevier.com/interactiveplots</a>. Interactive Plots provide easy access to the data behind plots. To include one with your article, please prepare a .csv file with your plot data and test it online at <a href="http://authortools.elsevier.com/interactiveplots/verification">http://authortools.elsevier.com/interactiveplots/verification</a> before submission as supplementary material.

PLEASE NOTE: The journal would like to enrich online articles by visualising and providing geographical details described in Journal of Natural Gas Science & Engineering articles. For this purpose, corresponding KML (GoogleMaps) files can be uploaded in our online submission system. Submitted KML files will be published with your online article on ScienceDirect. Elsevier will generate maps from the KML files and include them in the online article.

Data in Brief (optional)

We invite you to convert your supplementary data (or a part of it) into a Data in Brief article. Data in Brief articles are descriptions of the data and associated metadata which are normally buried in supplementary material. They are actively reviewed, curated, formatted, indexed, given a DOI and freely available to all upon publication. Data in Brief should be uploaded with your revised manuscript directly to Journal of Natural Gas Science & Engineering. If your Journal of Natural Gas Science & Engineering research article is accepted, your Data in Brief article will automatically be transferred over to our new, fully Open Access journal, Data in Brief, where it will be editorially reviewed and published as a separate data article upon acceptance. The Open Access fee for Data in Brief is \$500.

Please just fill in the template found here: <a href="https://www.elsevier.com/">https://www.elsevier.com/</a> data/assets
/word doc/0004/215779/Datainbrief template.docx. Then, place all Data in Brief files
(whichever supplementary files you would like to include as well as your completed Data in Brief template) into a .zip file and upload this as a Data in Brief item alongside your Journal of Natural Gas Science & Engineering revised manuscript. Note that only this Data in Brief item will be transferred over to Data in Brief, so ensure all of your relevant Data in Brief documents are zipped into a single file. Also, make sure you change references to supplementary material in your Journal of Natural Gas Science &

Engineering manuscript to reference the Data in Brief article where appropriate.

Questions? Please send your inquiries to <a href="mailto:dib@elsevier.com">dib@elsevier.com</a>. Example Data in Brief can be found here: <a href="http://www.sciencedirect.com/science/journal/23523409">http://www.sciencedirect.com/science/journal/23523409</a>
MethodsX file (optional)

If you have customized (a) research method(s) for the project presented in your Journal of Natural Gas Science & Engineering article, you are invited to submit this part of your work as MethodsX article alongside your revised research article. MethodsX is an independent journal that publishes the work you have done to develop research methods to your specific needs or setting. This is an opportunity to get full credit for the time and money you may have spent on developing research methods, and to increase the visibility and impact of your work.

## How does it work?

- 1) Fill in the MethodsX article template: <a href="https://www.elsevier.com/MethodsX-template">https://www.elsevier.com/MethodsX-template</a>
- 2) Place all MethodsX files (including graphical abstract, figures and other relevant files) into a .zip file and upload this as a 'Method Details (MethodsX) ' item alongside your revised Journal of Natural Gas Science & Engineering manuscript. Please ensure all of your relevant MethodsX documents are zipped into a single file.
- 3) If your Journal of Natural Gas Science & Engineering research article is accepted, your MethodsX article will automatically be transferred to MethodsX, where it will be reviewed and published as a separate article upon acceptance. MethodsX is a fully Open Access journal, the publication fee is only 520 US\$.

Questions? Please contact the MethodsX team at <a href="methodsx@elsevier.com">methodsx@elsevier.com</a>. Example MethodsX articles can be found here: <a href="http://www.sciencedirect.com/science/journal/22150161">http://www.sciencedirect.com/science/journal/22150161</a>

Include interactive data visualizations in your publication and let your readers interact and engage more closely with your research. Follow the instructions here: <a href="https://www.elsevier.com/authors/author-services/data-visualization">https://www.elsevier.com/authors/author-services/data-visualization</a> to find out about available data visualization options and how to include them with your article.

## MethodsX file (optional)

We invite you to submit a method article alongside your research article. This is an opportunity to get full credit for the time and money you have spent on developing research methods, and to increase the visibility and impact of your work. If your research article is accepted, your method article will be automatically transferred over to the open access journal, MethodsX, where it will be editorially reviewed and published as a separate method article upon acceptance. Both articles will be linked on ScienceDirect. Please use the MethodsX template available here when preparing your article: https://www.elsevier.com/MethodsX-template. Open access fees apply.

Yours sincerely,

Wei Yan, Ph.D. Executive Editor Journal of Natural Gas Science & Engineering

Note: While submitting the revised manuscript, please double check the author names provided in the submission so that authorship related changes are made in the revision stage. If your manuscript is accepted, any authorship change will involve approval from co-authors and respective editor handling the submission and this may cause a significant delay in publishing your manuscript.

Reviewers' comments:

Reviewer #1: In overall, the manuscript is well written. I would recommend this manuscript for further consideration if the authors make the following revisions:

- The nomenclature should be consistent with SPE nomenclature, for example  $\nu$  should be used for velocity instead of q, l should be used for length instead of sigma.
- When CO\_2 flow in the fracture and in rock, there are more than one phase because formation fluids also flow, hence the formulation should be for at least two phase.
- Please use a consistent color set for all figures, scale in some figures changes white to back while others change from blue to white.
- Please include a short paragraph to show how d and alpha\_k (Eq. 9) are determined?
- Please use more close to reservoir condition for input data. The tensile strength in Tab. 1 is very high for the rock with that Young's modulus. The initial pressure is rather too low.
- Please include all necessary inputs for the simulation to allow other people to duplicate the job if needed.
- There is no data related to in-situ stresses. Although, they are not in the equation but they are the boundary condition.
- More numerical simulation or case studies may be needed and a comparison with other models may be useful to show the innovation of this model.
- We need more section to discuss the advantage of the model compared to other approaches.

Reviewer #2: This paper presents a very novel approach to model the CO2 fracturing process. I think this topic is very new and definitely worth digging into. The authors have presented enough details about the fundamentals of the solution, and overall I think this paper is very well laid out. I have following minor suggestions for further improvement:

- 1. One main concern is that this paper seems too mathematical. The layout of the manuscript could benefit from more description about the application of the methodology.
- 2. The validation is not very clear to me. The author presented three verifications, but are they trying to prove the validity of the proposed method? Honestly I didn't recognize the method that was used for validation. Is it a well established analytic solution, or results from well established simulation? I would strongly recommend rewrite the validation part.
- 3. What is the limitation of the current method. It is not very clear to me that whether this method is application to traditional water based fracing or not. And if so, what is the advantage of the current approach?

Reviewer #3: The paper tries to use phase field method to model co2 fracturing. Some assumptions used in governing equations are not supported with the theory of poroelasticity. Hydraulic fracturing or CO2 fracturing involves strongly coupled processes. But the authors verify their model through non-coupled examples. The coupled behaviors about pressure and aperture evolutions are not demonstrated. This makes the correctness of the model in doubt.

I recommend resubmission of the paper after the model is correctly verified through asymptotic analytical solutions for hydraulic fracturing. Without correctly verifying the coupled model, I cannot recommend the acceptance of it.

The followings are a few comments:

- 1. The authors used a phase field depended permeability in their study. The permeability should be determined by the opening or close of fractures. Why could a damage variable be used to determine permeability? The phase field value is distributed over a range, however, a facture creates jump in pressure and displacement. Why could a continuous variable be use to represent discontinuous behaviors, especially for permeability?
- 2. Eq. 10 is not correct, which casts doubt on the whole sequentially coupled process. The treatment of porosity in Eq. 10 conflicts with the theory of poroelasticity. Change of porosity is not equal to the change of volumetric strain, not even in an approximate

## manner.

- 3. Could the authors give the spatial and temporal discretization in appendix? Since the weak form is given already, spatial discretization is only one step away. I doubt the spatial discretization for a poroelastic medium could be derived from Eq. B1b or Eq. B2b. Though it is possible that the poroelastic model is ready for use in FEniCS package, the authors are suggested to provide the completely discretized formulations for the benefit of readers.
- 4. Fully coupled examples are needed to verify the model. Correctly verifying a tensile test and the pressurization of a fracture do not indicate the model can correctly simulate hydraulic fracturing or CO2 fracturing. The verification about pressurizing a bore hole is not a good example to show poroelastic responses. Actually, no typical poroelastic responses are shown in the example. Mandel's problem is suggested.
- 5. Please briefly explain the AT1 and AT2 model.
- 6. line 1-2 Page 1 Are you sure shale or mudstone is the most common sedimentary rock?

Reviewer #4: The authors have proposed a model for CO2 flow and fracturing in shale media. The manuscript has a good order, but needs revision to satisfy publication quality.

Gas flow in shale is one of the most challenging topics and has been widely investigated. The authors have used a relatively simple model for calculation of gas flow and permeability in shale media. A good model will capture important phenomena like Knudsen Diffusion and adsorption effect in shale rock media. Please modify this part of your model by providing a more holistic and detailed explanation. Please refer to series of papers by Javadpour et al. Also see: Seyyed A. Hosseini et al. "Novel Analytical Core-Sample Analysis Indicates Higher Gas Content in Shale-Gas Reservoirs" SPE Journal 2015.

\*\*\*\*\*\*\*\*\*\*\*\*\*

Please note that the editorial process varies considerably from journal to journal. To view a sample editorial process, please click here: <a href="http://help.elsevier.com/app/answers/detail/p/7923/a\_id/160">http://help.elsevier.com/app/answers/detail/p/7923/a\_id/160</a>

For further assistance, please visit our customer support site at <a href="http://help.elsevier.com/app/answers/list/p/7923">http://help.elsevier.com/app/answers/list/p/7923</a>. Here you can search for solutions on a range of topics, find answers to frequently asked questions and learn more about EES via interactive tutorials. You will also find our 24/7 support contact details should you

need any further assistance from one of our customer support representatives.