



# TopiOCQA: Open-domain Conversational Question Answering with Topic Switching

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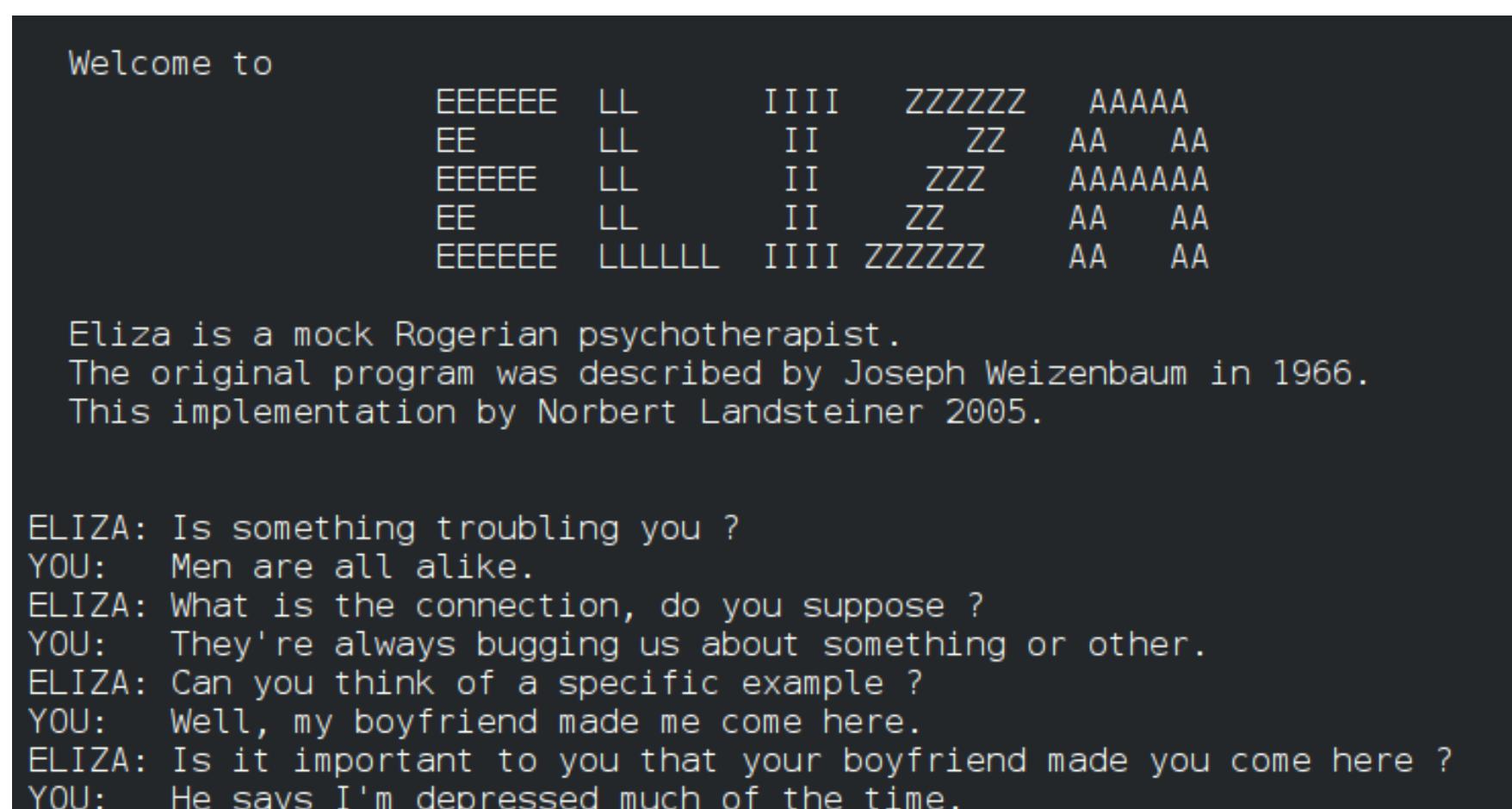
Mila/McGill University<sup>1</sup>   ETH Zürich<sup>2</sup>   Microsoft Montréal<sup>3</sup>   ServiceNow Research<sup>4</sup>



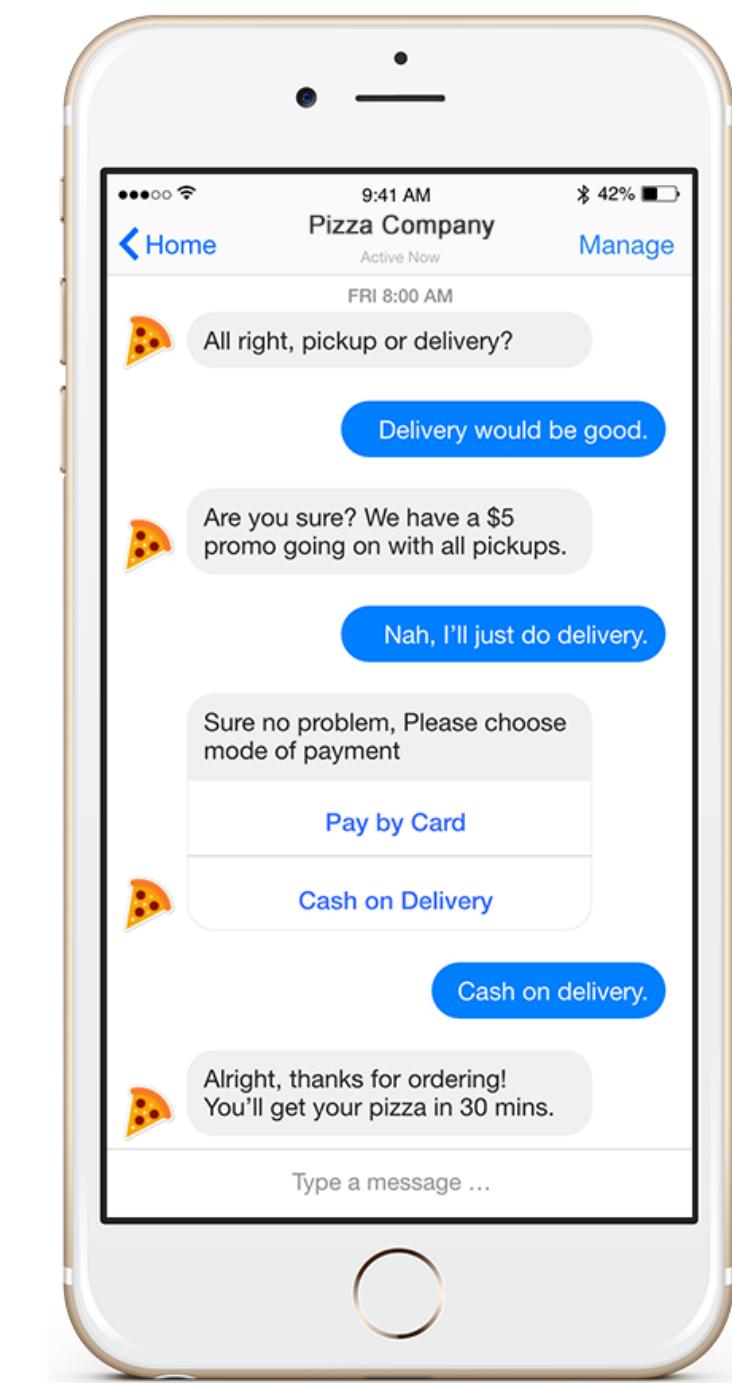
# Conversational Question Answering

A dialogue sub-genre which is distinguished from other kinds of information-seeking dialogue (e.g. travel information) by its more exploratory and less task-oriented nature.

[Stede and Schlangen, 2004](#)



Open-ended



Task-oriented

# Conversational Question Answering

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dialogue 008

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- 1 E: Hello. This is Potsdam Tourist Information. How may I help you?
- 2 U: Well, I'd like to know whether a weekend in Potsdam is worthwhile?

# Conversational Question Answering

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dialogue 008

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- 1 E: Hello. This is Potsdam Tourist Information. How may I help you?
- 2 U: Well, I'd like to know whether a weekend in Potsdam is worthwhile?
- 3 E: A weekend in Potsdam is always worth your while, there are lots of nice things you can do here.
- 4 U: Like what?

# Conversational Question Answering

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dialogue 008

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- 1 E: Hello. This is Potsdam Tourist Information. How may I help you?
- 2 U: Well, I'd like to know whether a weekend in Potsdam is worthwhile?
- 3 E: A weekend in Potsdam is always worth your while, there are lots of nice things you can do here.
- 4 U: Like what?
- 5 E: For example, you can make a boat trip, or a sightseeing tour. Always popular with tourists is Park Sanssouci.
- 6 U: Is all of this in the inner city? Can you walk to these things, or do you have to use some kind of transport?

# Overview of contributions

- A new dataset - TopiOCQA - for open-domain conversational question-answering (QA)
  - More **challenging** and **closer to real-world** scenario than previous datasets
  - Incorporates **multiple topics** in each conversation

# Overview of contributions

- A new dataset - TopiOCQA - for open-domain conversational question-answering (QA)
  - More challenging and closer to real-world scenario than previous datasets
  - Incorporates multiple topics in each conversation
- Extensive experimentation on TopiOCQA to answer these questions:
  - Do models with access to document corpus (open-book) perform better than the ones without access? – **Yes**
  - What is the best representation of a conversational question? – **Entire dialog history**
  - Where are the current best models limited? – **at the retrieval stage**

# Conversational Question Answering

Existing datasets include a reference document

The Virginia governor's race, billed as the marquee battle of an otherwise anticlimactic 2013 election cycle, is shaping up to be a foregone conclusion. Democrat Terry McAuliffe, the longtime political fixer and moneymen, hasn't trailed in a poll since May. Barring a political miracle, Republican Ken Cuccinelli will be delivering a concession speech on Tuesday evening in Richmond. In recent ...

Q<sub>1</sub>: What are the candidates **running** for?

A<sub>1</sub>: Governor

R<sub>1</sub>: The Virginia governor's race

Q<sub>2</sub>: **Where**?

A<sub>2</sub>: Virginia

R<sub>2</sub>: The Virginia governor's race

Q<sub>3</sub>: Who is the democratic candidate?

A<sub>3</sub>: **Terry McAuliffe**

R<sub>3</sub>: Democrat Terry McAuliffe

**Section: Augusto Pinochet : Intellectual life...**

STUDENT: **Was he known for being intelligent?**

TEACHER: ↪ No, Pinochet was publicly known as a man with a lack of culture.

STUDENT: **why did people feel that way?**

TEACHER: ↪ reinforced by the fact that he also portrayed himself as a common man

STUDENT: **did he have any hobbies?**

TEACHER: ↪ Yes, Before wresting power from Allende, Pinochet had written two books.

STUDENT: **what is the name of a book written by him?**

TEACHER: ↪ Geopolitica (1968) and Campana de Tarapaca (1972).

STUDENT: **what were the books about?**

TEACHER: ↪ Chile's military literature.

STUDENT: **was there anything noteworthy regarding his books?**

TEACHER: ↪ Yes, In Geopolitica Pinochet plagiarized (...) Gregorio Rodriguez Tascon

# (Open-domain) Conversational Question Answering

Few recent approaches adapt existing datasets by disambiguating first question

The Virginia governor's race, billed as the marquee battle of an otherwise anticlimactic 2013 election cycle, is shaping up to be a foregone conclusion. Democrat Terry McAuliffe, the longtime political fixer and moneymen, hasn't trailed in a poll since May. Barring a political miracle, Republican Ken Cuccinelli will be delivering a concession speech on Tuesday evening in Richmond. In recent ...

Q: What are the candidates in **Virginia governor's race** running for?

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Q<sub>2</sub>: **Where**?

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Q<sub>3</sub>: Who is the democratic candidate?

**A<sub>3</sub>: Terry McAuliffe**

R<sub>3</sub>: Democrat Terry McAuliffe

# (Open-domain) Conversational Question Answering

But the underlying reference passage is still same for entire conversation

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**A<sub>3</sub>: Terry McAuliffe**

R<sub>3</sub>: Democrat Terry McAuliffe

# (Open-domain) Conversational Question Answering

- Conversations in open-domain span multiple topics/documents

Users search for related topics in the same session ([Spink et al, 2002](#))

Topic transitions are frequently observed in human-human conversations ([Paranjape and Manning, 2021](#))

**Hence, we cannot adapt from existing datasets**

# TopiOCQA

- 50,466 turns (QA Pairs)
- 3,920 conversations
- Average of 13 turns per conversation
- Average of 4 different topics per conversation

Q<sub>1</sub>: **when was the byzantine empire born what was it originally called?**

A<sub>1</sub>: 5th century AD and was called Eastern Roman Empire, or Byzantium

Topic: Byzantine Empire

.....

Q<sub>3</sub>: **which battle or event marked the fall of this empire?**

A<sub>3</sub>: A six-year-long civil war followed by attack from Sultan Mehmed's army

Topic: Byzantine Empire

Q<sub>4</sub>: **did he conquer other territories as well?**

A<sub>4</sub>: Yes. Anatolia and in Southeast Europe as far west as Bosnia

Topic: Mehmed the Conqueror

Q<sub>5</sub>: **where is the first area located in present day terms?**

A<sub>5</sub>: Turkey

Topic: Anatolia

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Q<sub>7</sub>: **what is the present day capital of the country?**

A<sub>7</sub>: Ankara

Topic: Turkey

Q<sub>8</sub>: **can you name some of the other major cities here?**

A<sub>8</sub>: Istanbul

Topic: Turkey

Q<sub>9</sub>: **were any of these cities associated with the first empire you were discussing?**

A<sub>9</sub>: The Ottomans made the city of Ankara the capital first of the Anatolia Eyalet and then the Angora Vilayet

Topic: Ankara

A sample conversation from TopiOCQA

# TopiOCQA

Open-domain

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## Free-form answers

- Not restricted to spans in a document

## Incorporates topic switching

- Conversation spans multiple related topics
- Exhibits complex topic switching phenomena

A sample conversation from TopiOCQA

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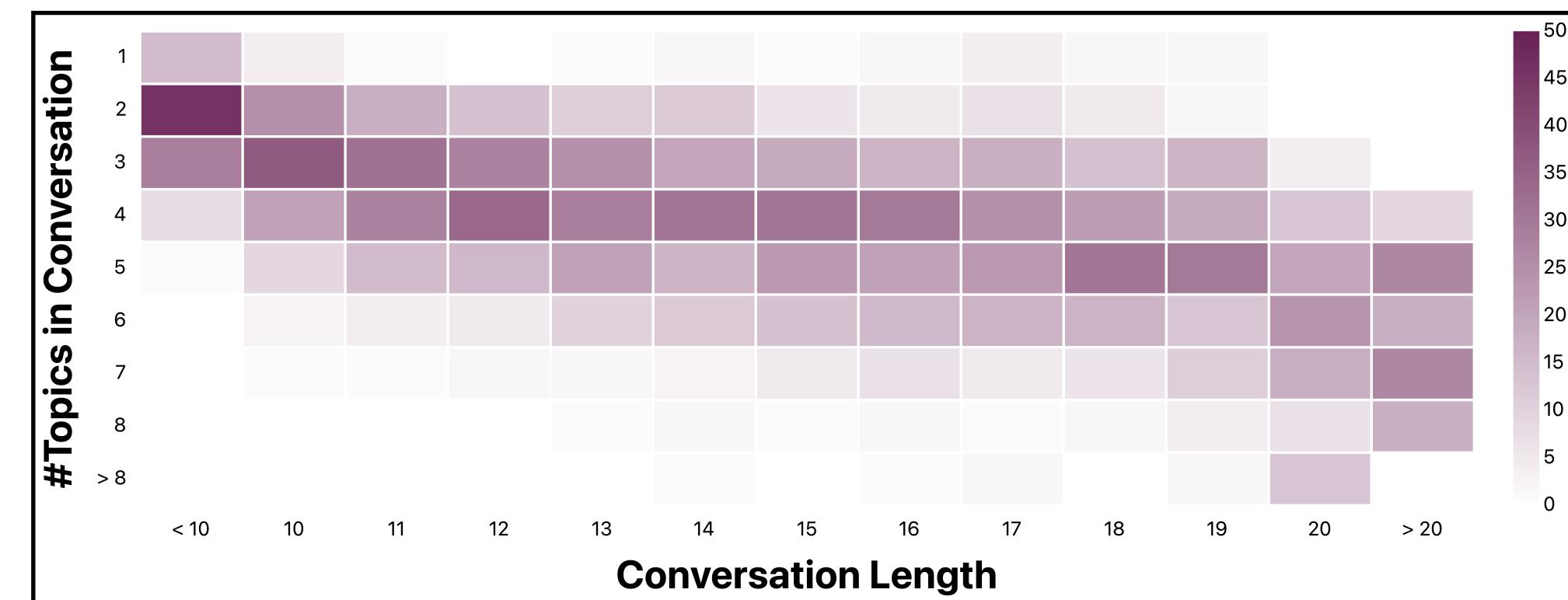
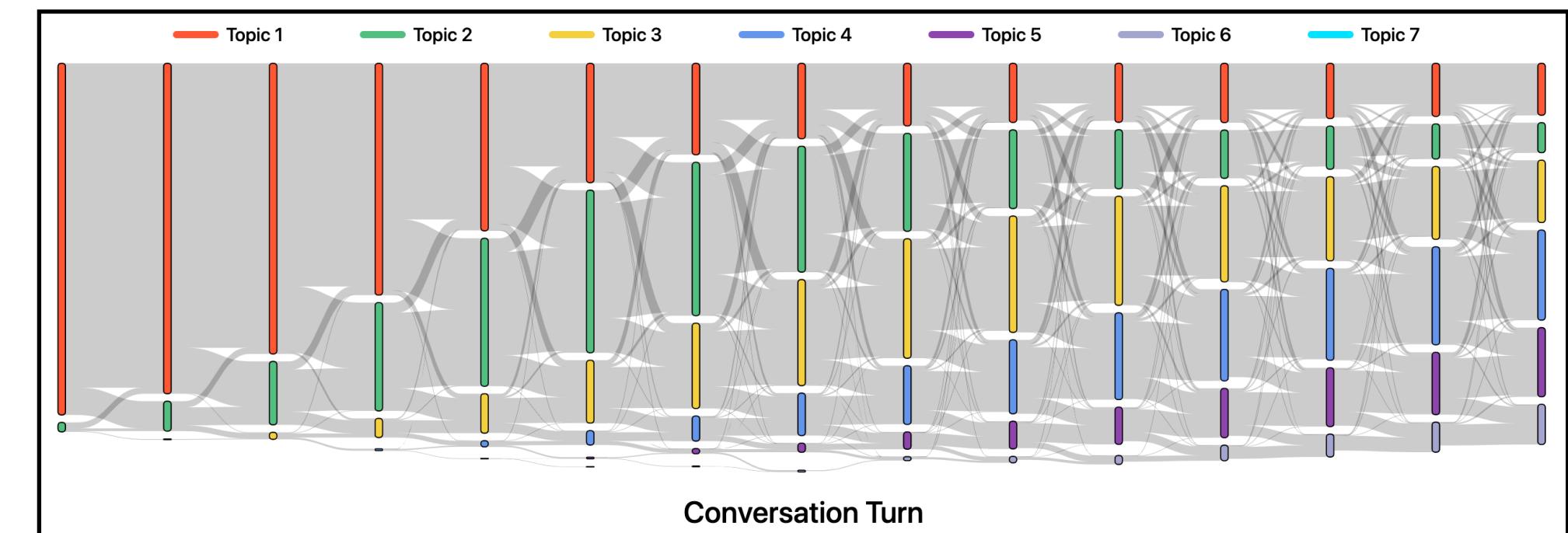
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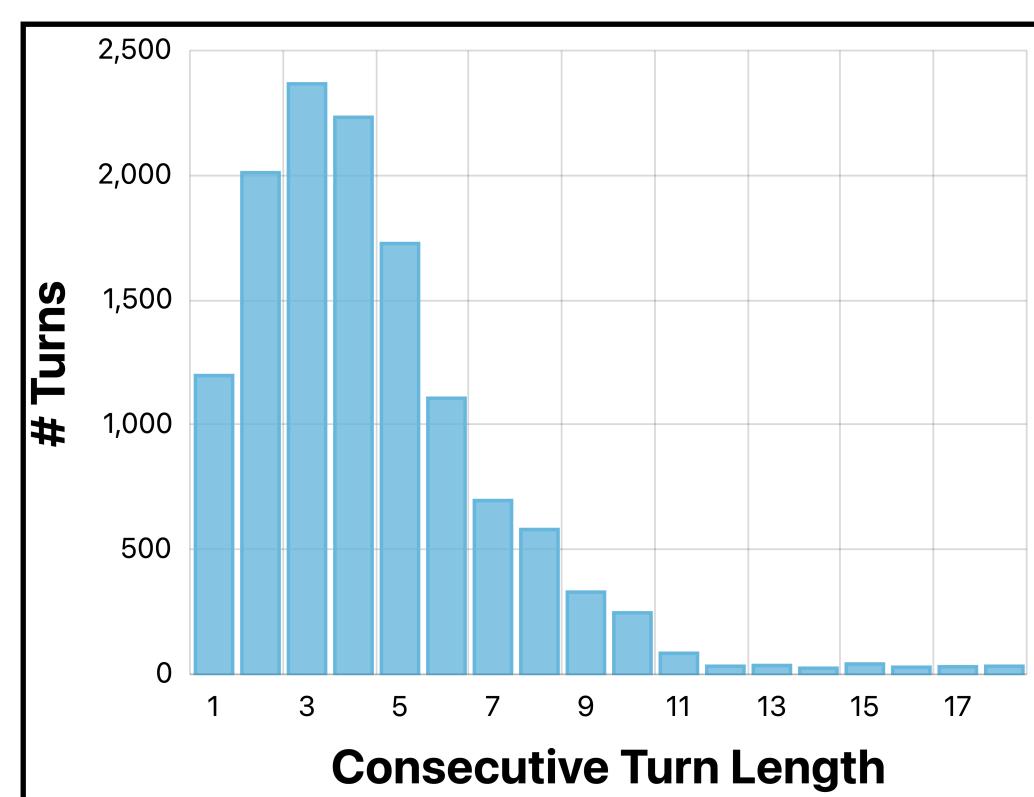
A sample conversation from TopiOCQA

# Dataset Analysis

- How long annotators stay on same topic?
- Do they revisit old topics?
- What prompts a topic switch?
- What type of questions can be expected on switching to a new topic?



**Refer to the paper for detailed analysis of TopiOCQA**



# How to model TopiOCQA?

TopiOCQA consists of

- **Gold document** for each turn
- **Set of gold answers** for each turn

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Q<sub>1</sub>: who is lead singer of rage against the machine?

A<sub>1</sub>: Zack de la Rocha

Q<sub>2</sub>: when was it formed?

A<sub>2</sub>: 1991

Q<sub>3</sub>: was it nominated for any award?

---

**GOLD ANSWER:** It has won two Grammy Awards with six nominations altogether. The band has also received three nominations from the MTV Video Music Awards, and another three for Rock and Roll Hall of Fame.

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## **GOLD DOCUMENT**

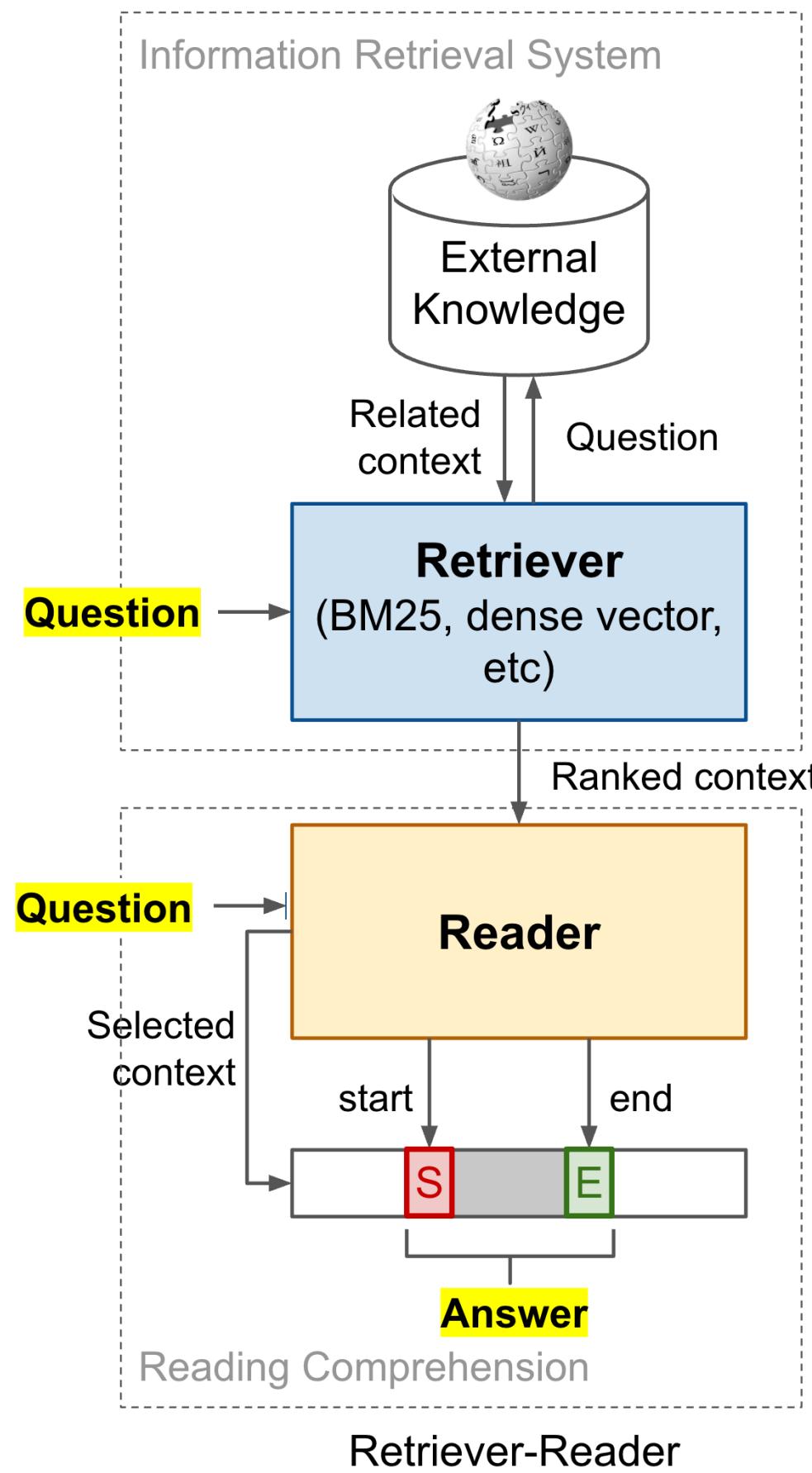
**Title:** Rage Against the Machine

**Section Title:** Awards and nominations

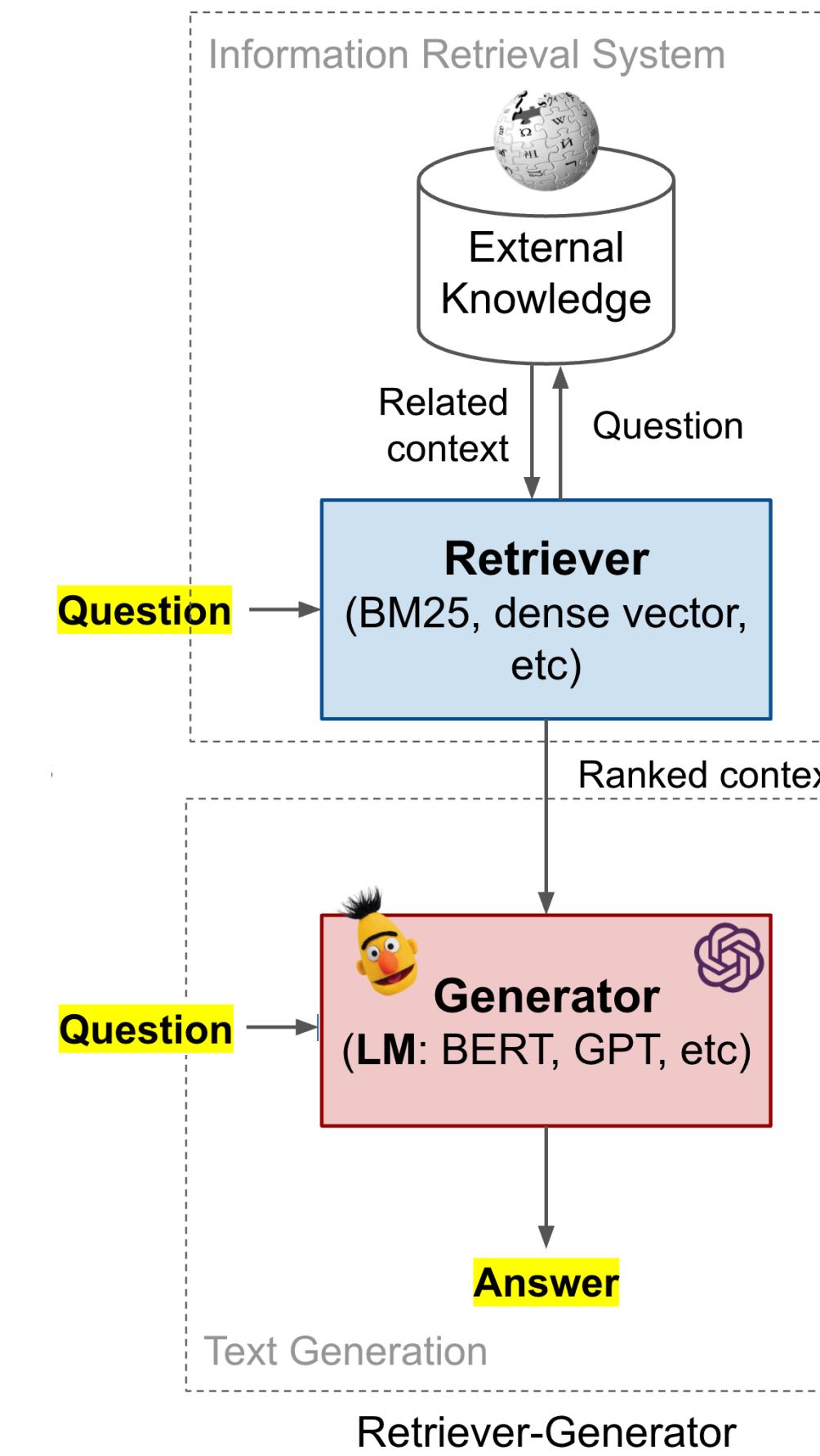
**Content:** Rage Against the Machine has won two Grammy Awards with six nominations altogether. During 2008, the band was also inducted into the Kerrang! "Hall of Fame". As well during 2010, Rage Against the Machine were bestowed with NME's Heroes of the Year Award. The band has also received three nominations from the MTV Video Music Awards, but has yet to win an award. Rage Against The Machine have been nominated for the Rock and Roll Hall of Fame in 2018, 2019 and 2021.

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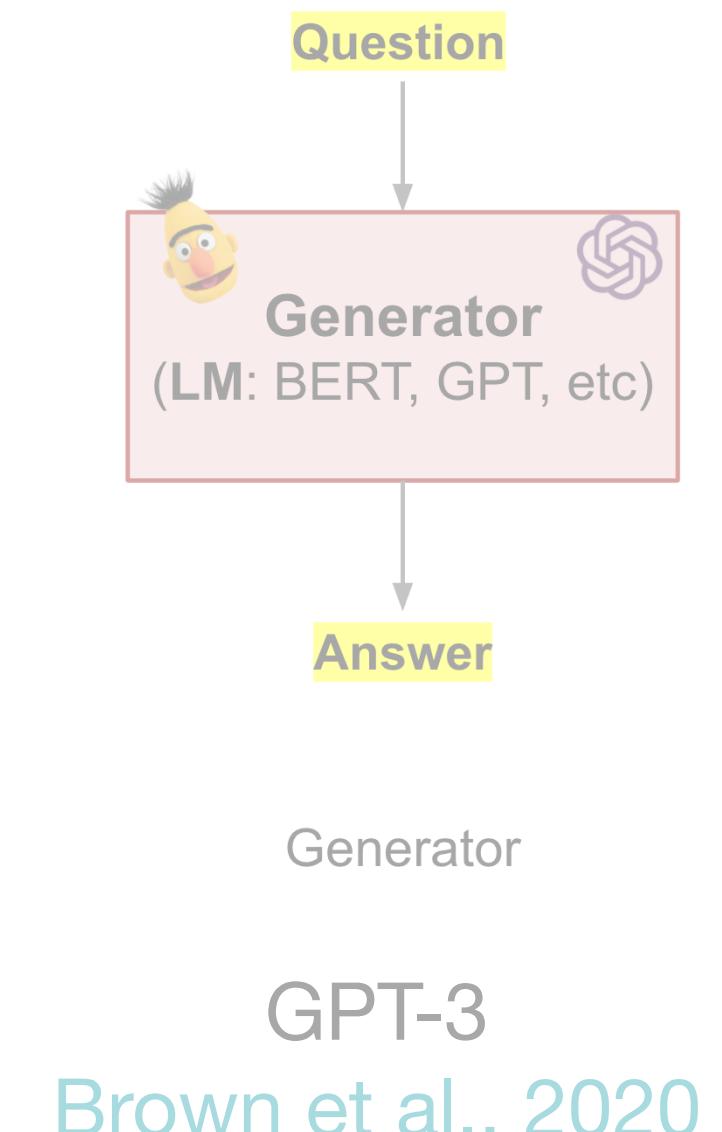
# Open-book Models



Dense Passage Retrieval  
[Karpukhin et al., 2020](#)

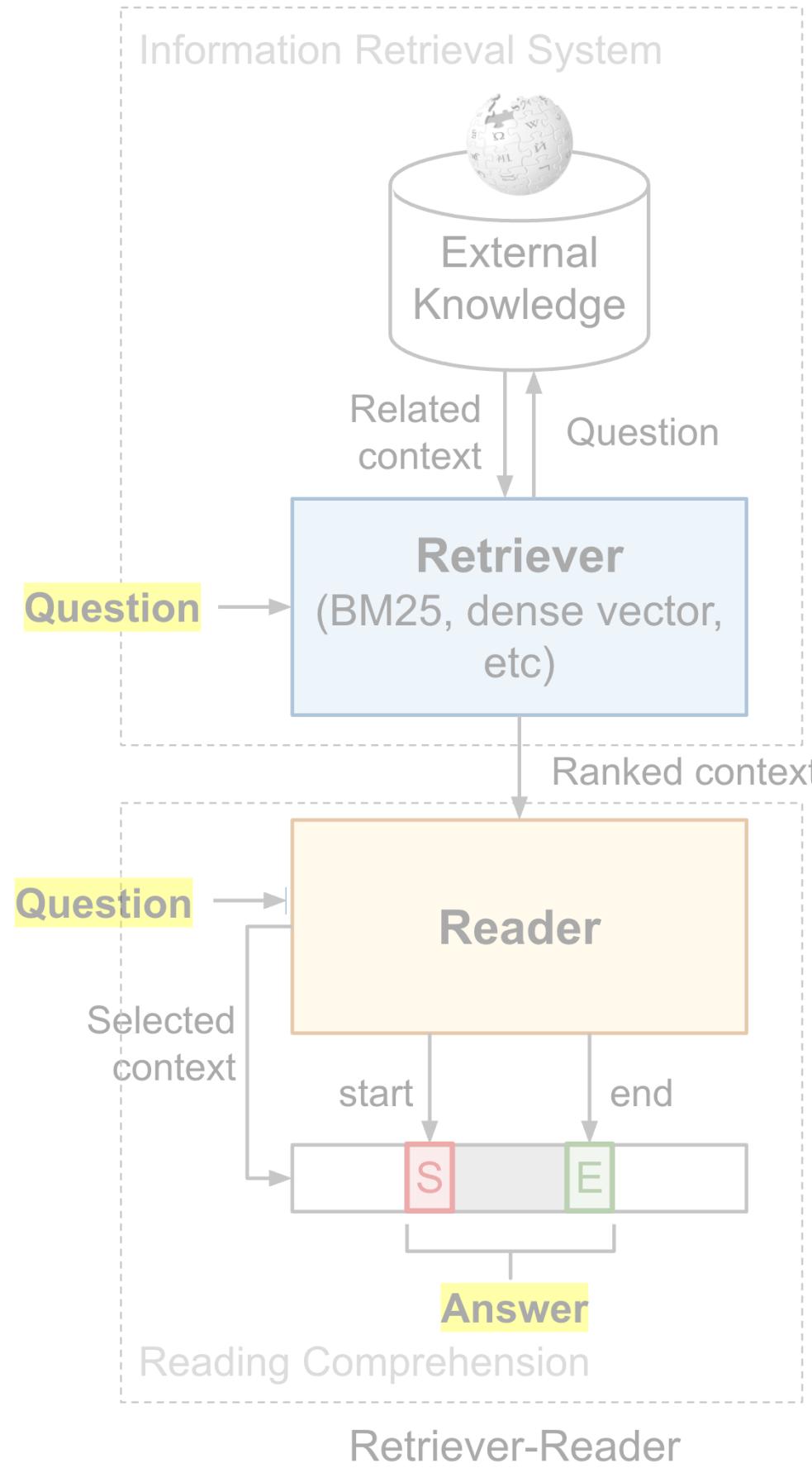


Fusion-in-Decoder  
[Izacard and Grave, 2021](#)

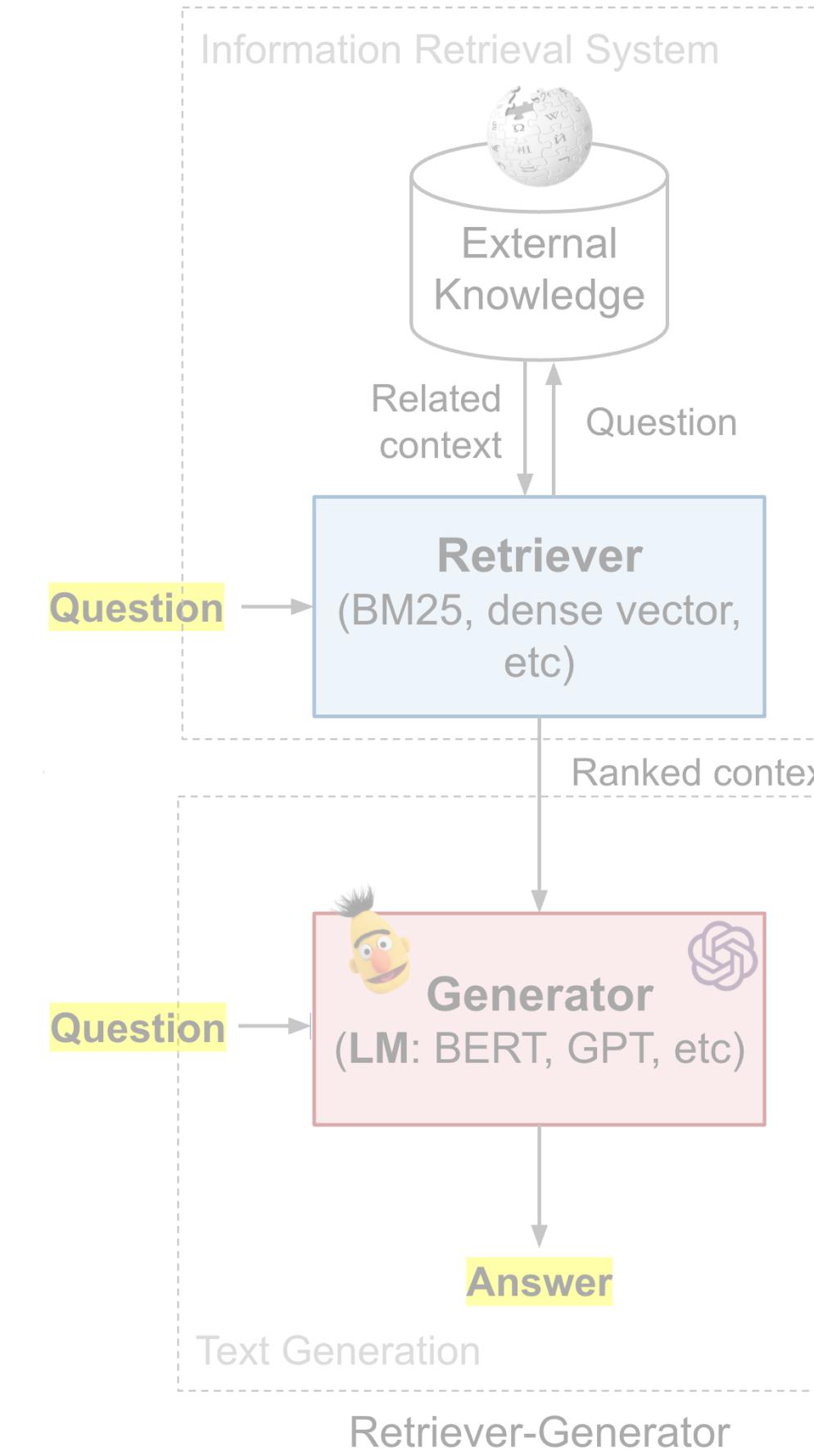


GPT-3  
[Brown et al., 2020](#)

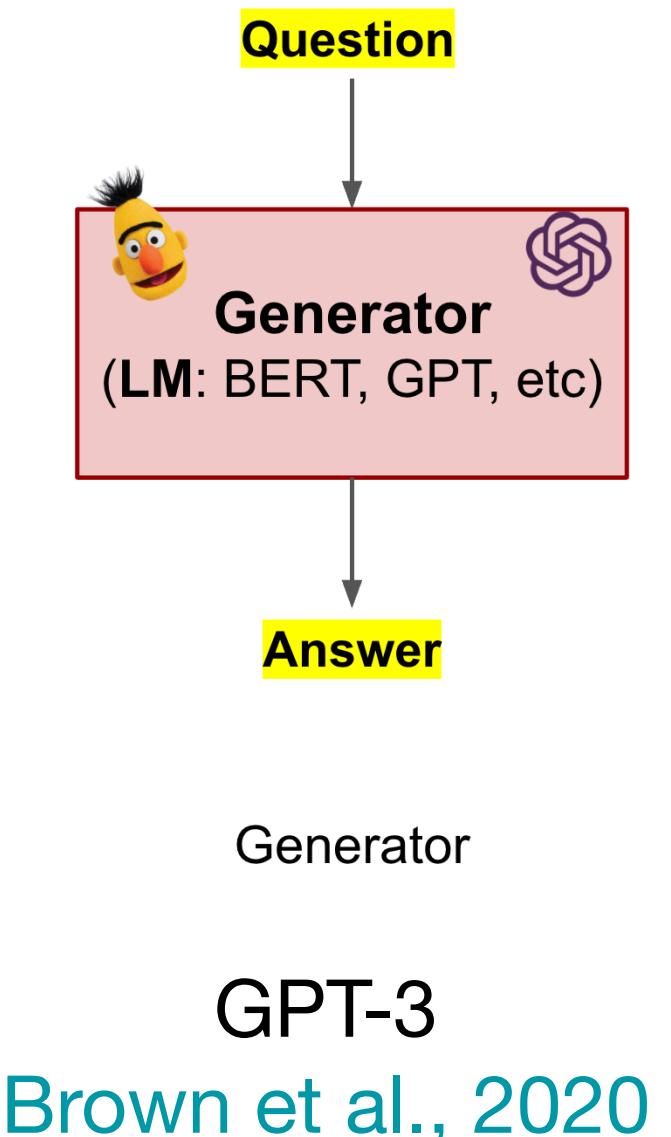
# Closed-book Models



Dense Passage Retrieval  
[Karpukhin et al., 2020](#)



Fusion-in-Decoder  
[Izacard and Grave, 2021](#)



**GPT-3**  
[Brown et al., 2020](#)

# How to represent a conversational question?

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A<sub>2</sub>: 1991

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**ORIGINAL:** was it nominated for any award

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**ORIGINAL:** was it nominated for any award

**ALLHISTORY:** who is lead singer of rage against the machine [SEP] Zack de la Rocha [SEP] when was it formed? [SEP] 1991 [SEP] was it nominated for any award

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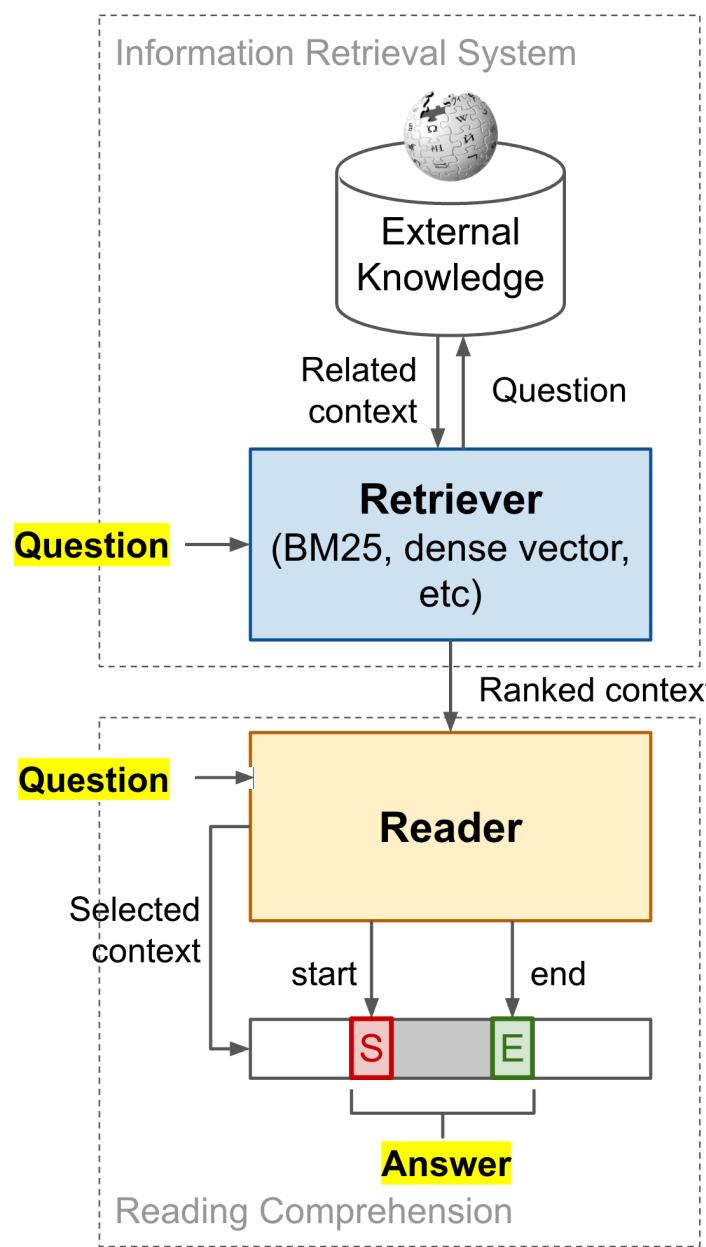
**REWRITES:** was rage against the machine nominated for any award

# Experimental Results on TopiOCQA

- Are **open-book** models better than **closed-book** models?
- How good are current models at **retrieval in conversational settings**?
- Which **question representation** is well-suited for the task?
- For open-book models, is the bottleneck **retriever** or **reader**?

# Which class of models is better?

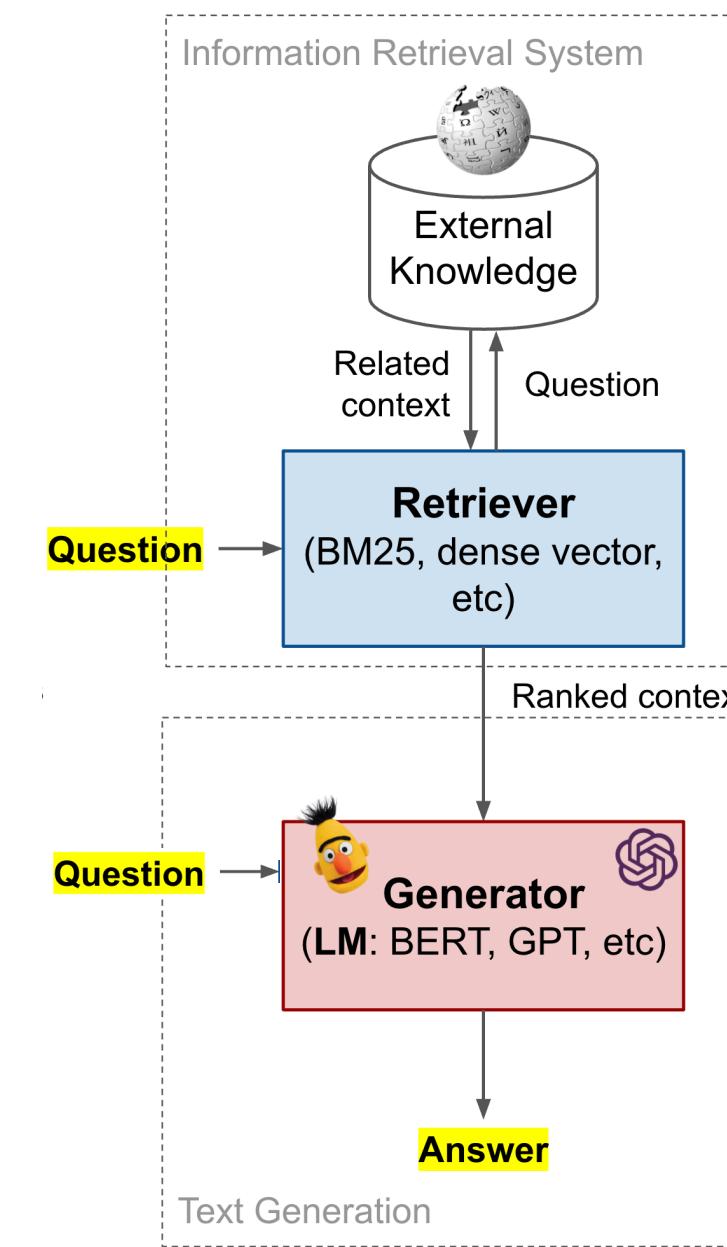
Open-book models outperform closed-book models



Dense Passage Retrieval

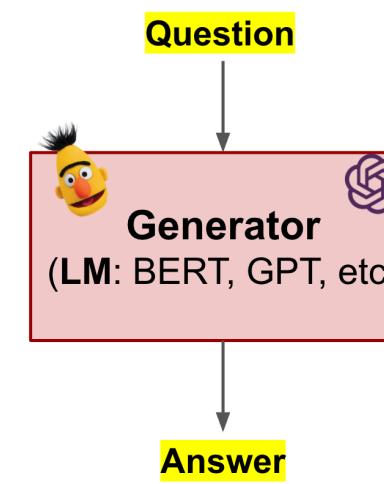
[Karpukhin et al., 2020](#)

26.7 F1 points below H.P.



Fusion-in-Decoder  
[Izacard and Grave, 2021](#)

14.8 F1 points below H.P.



GPT-3  
[Brown et al., 2020](#)

36.7 F1 points below H.P.

H.P - Human Performance

# How good are current models for conversational retrieval?

Dense retriever performs better than sparse retriever

Model	Question Rep	Dev	
		Top-20	Top-100
BM25	ORIGINAL	5.2	9.1
	ALLHISTORY	23.1	36.8
	REWRITES	32.5	49.2
DPR Retriever	ORIGINAL	9.9	16.5
	ALLHISTORY	<b>70.4</b>	<b>82.4</b>
	REWRITES	49.9	62.4

# Which question representation is well-suited for the task?

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Works best with dense retrievers

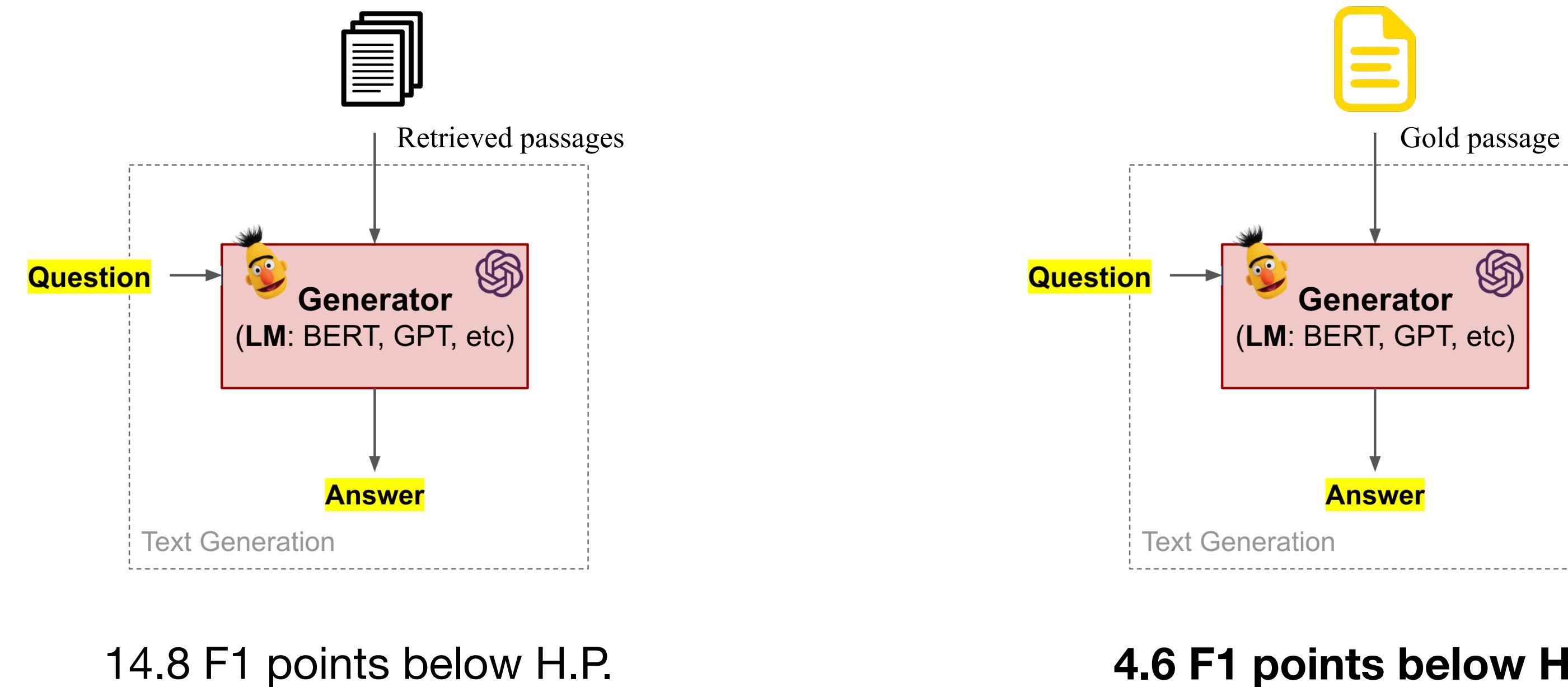
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Works best with sparse retrievers

# What is the bottleneck for open-book models?

Retrieval is the bottleneck



Results correspond to Fusion-in-Decoder model

H.P - Human Performance

# Takeaways

- TopiOCQA: a new challenging dataset for open-domain conversational QA
  - Has free-form answers
  - Incorporates topic switching
  - Has (QA turn, gold passage) and (QA turn, gold answers) pairs

# Takeaways

- TopiOCQA: a new challenging dataset for open-domain conversational QA
  - Has free-form answers
  - Incorporates topic switching
  - Has (QA turn, gold passage) and (QA turn, gold answers) pairs
- Modeling conversational QA
  - Current models significantly fall short of human performance
  - Open-book models perform better than closed-book models
  - Retrieval is the bottleneck for open-book models



<https://mcgill-nlp.github.io/topiocqa>