

COL-830
Homework 1

Ans.1 To show,

$$H \neq H' \Rightarrow TS_1 \parallel_H (TS_2 \parallel_{H'} TS_3) \neq (TS_1 \parallel_H TS_2) \parallel_{H'} TS_3$$

We will prove the contrapositive of this statement

$$TS_1 \parallel_H (TS_2 \parallel_{H'} TS_3) = (TS_1 \parallel_H TS_2) \parallel_{H'} TS_3$$

$$\Rightarrow H = H'$$

Let $Act(TS)$ represent the action set of a transition system TS .

$$Let Act(TS_i) = A_i \quad \forall i \in \{1, 2, 3\}$$

From the LHS for H' we get

$$H' = A_2 \cap A_3$$

From the RHS, we get

$$\begin{aligned} H' &= Act(TS_1 \parallel_H TS_2) \cap A_3 = (A_1 \cup A_2) \cap A_3 \\ &= (A_1 \cap A_3) \cup (A_2 \cap A_3) \end{aligned}$$

$$\Rightarrow (A_2 \cap A_3) = (A_2 \cap A_3) \cup (A_1 \cap A_3)$$

$$\Rightarrow A_1 \cap A_3 \subseteq A_2 \cap A_3 \quad \text{--- (1)}$$

Similarly from LHS & RHS,

for H , we get

$$A_1 \cap (A_2 \cup A_3) = A_1 \cap A_2$$

$$\Rightarrow (A_1 \cap A_2) \cup (A_1 \cap A_3) = (A_1 \cap A_2)$$

$$\Rightarrow A_1 \cap A_3 \subseteq A_1 \cap A_2 \quad \text{--- (5)}$$

Also, we know that TS composition is commutative.

$$\Rightarrow TS_1 \parallel_H (TS_2 \parallel_{H'} TS_3) = TS_1 \parallel_H (TS_3 \parallel_{H'} TS_2) = (TS_1 \parallel_H TS_3) \parallel_{H'} TS_2 \quad \left. \begin{array}{l} \text{By asso-} \\ \text{ciativity} \\ \text{assumption} \end{array} \right\}$$

$$\Rightarrow A_1 \cap A_2 \subseteq A_2 \cap A_3 \quad \text{--- (6) \&}$$

$$A_1 \cap A_2 \subseteq A_1 \cap A_3 \quad \text{--- (7)}$$

$$\Rightarrow A_1 \cap A_3 = A_1 \cap A_2 \quad (\text{By (6) \& (7)})$$

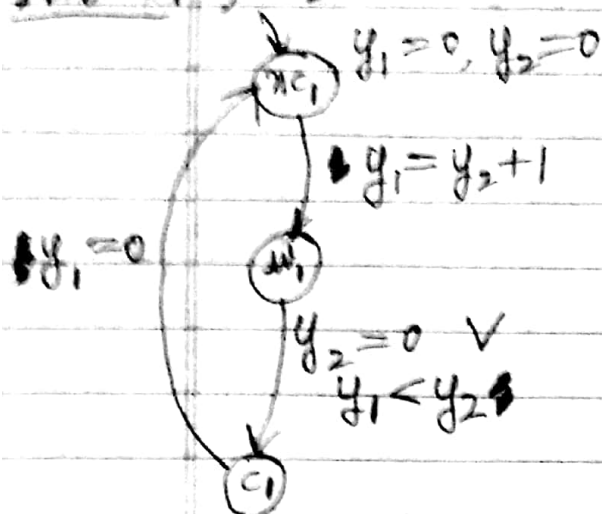
$$\text{Similarly } A_1 \cap A_3 = A_2 \cap A_3$$

$$\Rightarrow A_1 \cap A_2 = A_2 \cap A_3$$

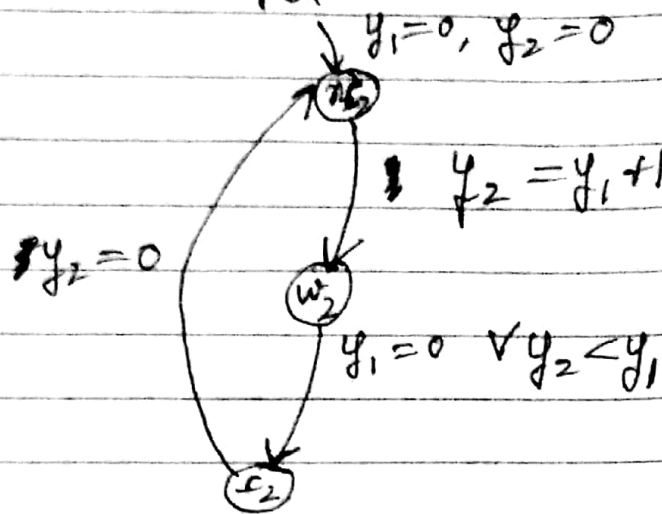
$$\Rightarrow H = H'$$

Hence, Proved.

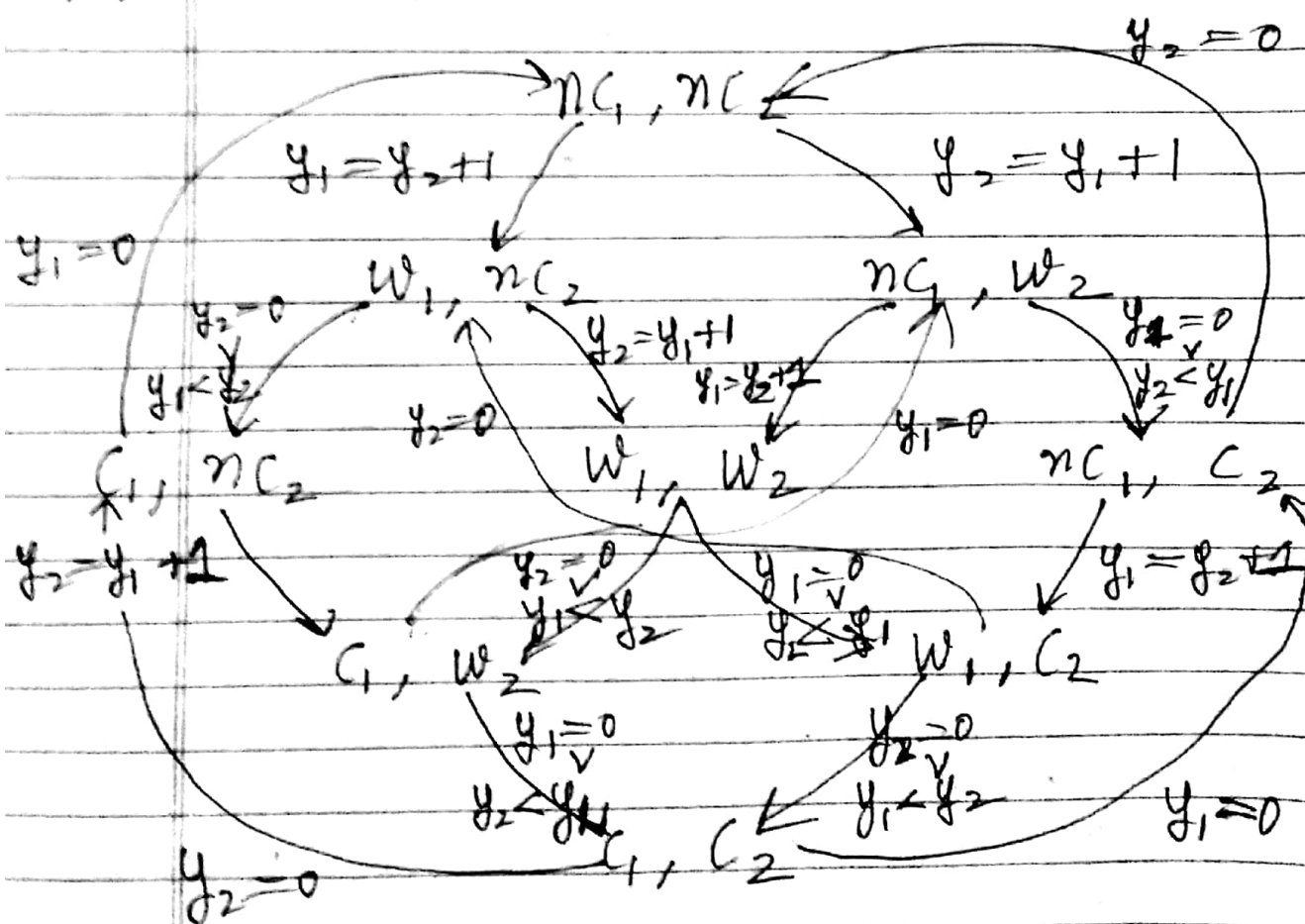
Ans-2 a) P1



P2



b) $P_1 \parallel P_2$



The reachable states in $TS(P_1 \parallel P_2)$ for $y_1 \leq 2$ & $y_2 \leq 2$ are:

$(nc_1, nc_2, y_1=0, y_2=0), (nc_1, w_2, y_1=0, y_2=1),$
 $(w_1, nc_2, y_1=1, y_2=0), (w_1, w_2, y_1=1, y_2=2),$
 $(w_1, w_2, y_1=2, y_2=1), (c_1, nc_2, y_1=1, y_2=0),$
 $(nc_1, c_2, y_1=0, y_2=1), (c_1, w_2, y_1=1, y_2=2),$
 $(w_1, c_2, y_1=2, y_2=1),$ ~~$(c_1, w_2, y_1=1, y_2=2),$~~
 ~~$(w_1, c_2, y_1=2, y_2=1),$~~ $(nc_1, w_2, y_1=0, y_2=2),$
 $(w_1, nc_2, y_1=2, y_2=0), (nc_1, c_2, y_1=0, y_2=0),$
 $(c_1, nc_2, y_1=2, y_2=0)$

c) To ensure mutual exclusion, the TS should not reach a state $(c_1, c_2, y_1=?, y_2=?)$.

Consider $PG1 || PG2$.

We can reach (c_1, c_2) from (c_1, w_2) or (w_1, c_2) .

We will argue for (c_1, w_2) . The argument for (w_1, c_2) will be symmetrical.

To reach ~~the~~ (c_1, w_2) , we would have executed both $y_1 = y_2 + 1$ & $y_2 = y_1 + 1$, either taking

the path, $(n_1, n_2) \rightarrow (w_1, n_2) \rightarrow (w_1, w_2) \rightarrow (c_1, w_2)$
 or
 $(n_1, n_2) \rightarrow (w_1, n_2) \rightarrow (c_1, n_2) \rightarrow (c_1, w_2)$

\Rightarrow both y_1 & $y_2 > 0$ at (c_1, w_2) .

Now, if we reach (c_1, w_2) from (w_1, w_2) then $\therefore y_2 \neq 0$ & $y_1 \neq 0$

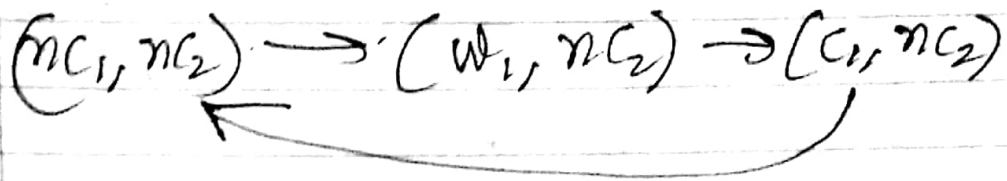
$\Rightarrow y_1 < y_2 \Rightarrow y_2 \neq y_1 \Rightarrow$
 we can't reach (c_1, c_2) .

If we reach from (c_1, n_2) then
 $y_2 = y_1 + 1 \Rightarrow y_2 > y_1 \Rightarrow$
 $y_2 \neq y_1$ & $y_1 \neq 0 \Rightarrow$ we can't
 reach (c_1, c_2) .

Hence, mutual exclusion is ensured.

- d) Two processes will mutually wait for each other if they reach (w_1, w_2) in PG_1 & PG_2 & can't progress further. At (w_1, w_2) both $y_1 = y_2 + 1$ & $y_2 = y_1 + 1$ would have been executed & so $y_1 \neq 0$ & $y_2 \neq 0$ & either $y_1 > y_2$ or $y_2 > y_1$. \Rightarrow either P_1 or P_2 will make progress to c_1 or c_2 respectively.

e) This happens on the path



Here, P2 never gets a chance to enter its critical section & P1 always executes its critical section.

Logical time & Clocks

Ans. 1 Let $VC[1 \dots n]$ be a vector timestamp at event e .

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def convert( $VC[1 \dots n]$ ):  
    sum  $\leftarrow 0$   
    for  $i$  in 1 to  $n$ :  
        sum  $+= VC[i]$   
    return sum
```

We need a logical clock timestamp to satisfy

$$\forall e_i, e_j \in H, e_i \rightarrow e_j \Rightarrow T(e_i) < T(e_j)$$

where $T(e_i)$ = logical clock timestamp at e_i .

• We know that for vector clock timestamps
 $\forall e_i, e_j \in H, e_i \rightarrow e_j \Rightarrow VC_{e_i} < VC_{e_j}$

$$VC_{e_i} < VC_{e_j} \Rightarrow \bigwedge_R VC_{e_i}[R] < \bigwedge_R VC_{e_j}[R]$$

$$\Rightarrow T(e_i) < T(e_j)$$

Hence, Proved.

Ans-2 Strong clock consistency for vector clocks.

$$\forall l_i, l_j \in H, i \neq j : l_i \rightarrow l_j \Leftrightarrow VC_i < VC_j$$

We will prove this in two parts

a) $l_i \rightarrow l_j \Rightarrow VC_i < VC_j$

b) $l_i \not\rightarrow l_j \Rightarrow VC_i \neq VC_j$

Proof a):

Consider the following relation:

$$R = \{ (a, b) \mid \begin{array}{l} a \text{ just precedes } b \text{ in} \\ \text{a process execution} \\ \text{or} \\ a \text{ is a msg send} \\ \text{from a process } i \text{ to a} \\ \text{process } j \text{ with } b \text{ as} \\ \text{the corresponding} \\ \text{receive} \end{array} \}$$

We use the fact that the "happens before" relation is the smallest relation which contains R & is

irreflexive & transitive.

\Rightarrow "happens before" is a transitive closure of R , & irreflexive.

$$\Rightarrow \rightarrow = R^+ = \bigcup_{i \in \mathbb{N}} R^i$$

Now, we will prove a) on each R^i & show that R^+ satisfies a)

Base Case: let $(e_i, e_j) \in R \Rightarrow$

$VC_{e_i} < VC_{e_j}$ by definition of vector clocks.

If e_i & $e_j \in$ same process, say P , then

$$VC_{e_j}[P] = VC_{e_i}[P] + d$$

$$\& VC_{e_j}[R] = VC_{e_i}[R] \quad (d > 0) \quad \forall R \neq P.$$

If $e_i \in P$ & $e_j \in P'$ & e_i & e_j are send & receive respectively then

$$VC_{e_j}[P'] > VC_{e_i}[P'] + d$$

$$\& VC_{e_j}[R] > VC_{e_i}[R] \quad (d > 0) \quad \forall R \neq P'$$

\Rightarrow a) Holds true for R .

Induction Hypothesis: Consider that
a) holds true for R^N .

Induction Step: Consider R^{N+1} .
 $R^{N+1} = R \circ R^N$

Let $(l_i, l_j) \in R^{N+1}$

$\Rightarrow \exists l_k$ s.t. $(l_i, l_k) \in R \wedge$
 $(l_k, l_j) \in R^N$. (by definition of R^{N+1})

\therefore a) holds true for $R \& R^N$

$\Rightarrow VC_{l_i} < VC_{l_k} \& VC_{l_k} < VC_{l_j}$

$\Rightarrow VC_{l_i} < VC_{l_j}$

$\Rightarrow \forall (l_i, l_j) \in R^{N+1}, VC_{l_i} < VC_{l_j}$

\Rightarrow a) holds for R^{N+1}

\Rightarrow a) holds for R^k s.t. $k \in \mathbb{N}$

\Rightarrow a) holds for R^+ .

\Rightarrow a) holds for \rightarrow .

Proof b)

$$l_i \rightarrow l_j$$

$$\Rightarrow l_j \rightarrow l_i \text{ or } l_j \rightarrow l_i$$

Case 1 $l_j \rightarrow l_i \Rightarrow VC_{l_j} < VC_{l_i}$
 $\Rightarrow VC_{l_i} \neq VC_{l_j}$

Case 2 $l_i \rightarrow l_j \text{ \& } l_j \rightarrow l_i$

$$\Rightarrow l_i \text{ \& } l_j \text{ are concurrent}$$

$$\Rightarrow l_i \in P' \text{ \& } l_j \in P' \text{ \& } P \neq P'$$

Consider $VC_{l_i}[P'] \neq VC_{l_j}[P']$.

If $VC_{l_i}[P'] \geq VC_{l_j}[P']$ then

there has to exist a trail of messages from P' to P which conveys the latest information about P' to P as otherwise P could not have known about the latest information of P' . \Rightarrow that \exists a chain of events with $l_j \text{ \& } l_i \text{ \& } l_j \rightarrow l_i$.

Similarly, if $VC_{l_j}[P] \geq VC_{l_i}[P]$

Then \exists a chain of events which contain a series of messages from P to P' s.t. $l_i \rightarrow l_j$.

$\therefore, l_i \rightarrow l_j \ \& \ l_j \rightarrow l_i \Rightarrow$

$$VC_{l_j}[P'] > VC_{l_i}[P'] \ \&$$

$$VC_{l_i}[P] > VC_{l_j}[P].$$

$$\Rightarrow VC_{l_i} \neq VC_{l_j}$$

$$\Rightarrow l_i \rightarrow l_j \Rightarrow VC_{l_i} \neq VC_{l_j}.$$

$$\Rightarrow VC_{l_i} < VC_{l_j} \Rightarrow l_i \rightarrow l_j.$$

Hence, b) Proved.

Combining a) & b) \Rightarrow

$$\forall l_i, l_j \in H, l_i \rightarrow l_j \iff VC_{l_i} < VC_{l_j}.$$