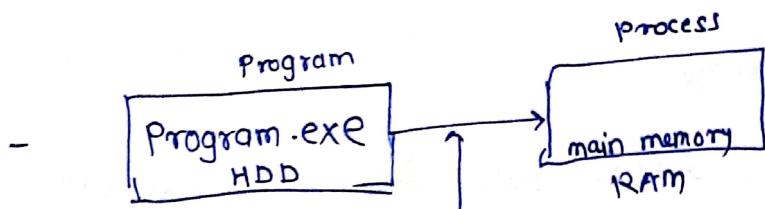


# Operating System

- Interface between User & Hardware
- F'n - Resource Allocatn, Controls program , Resource manager



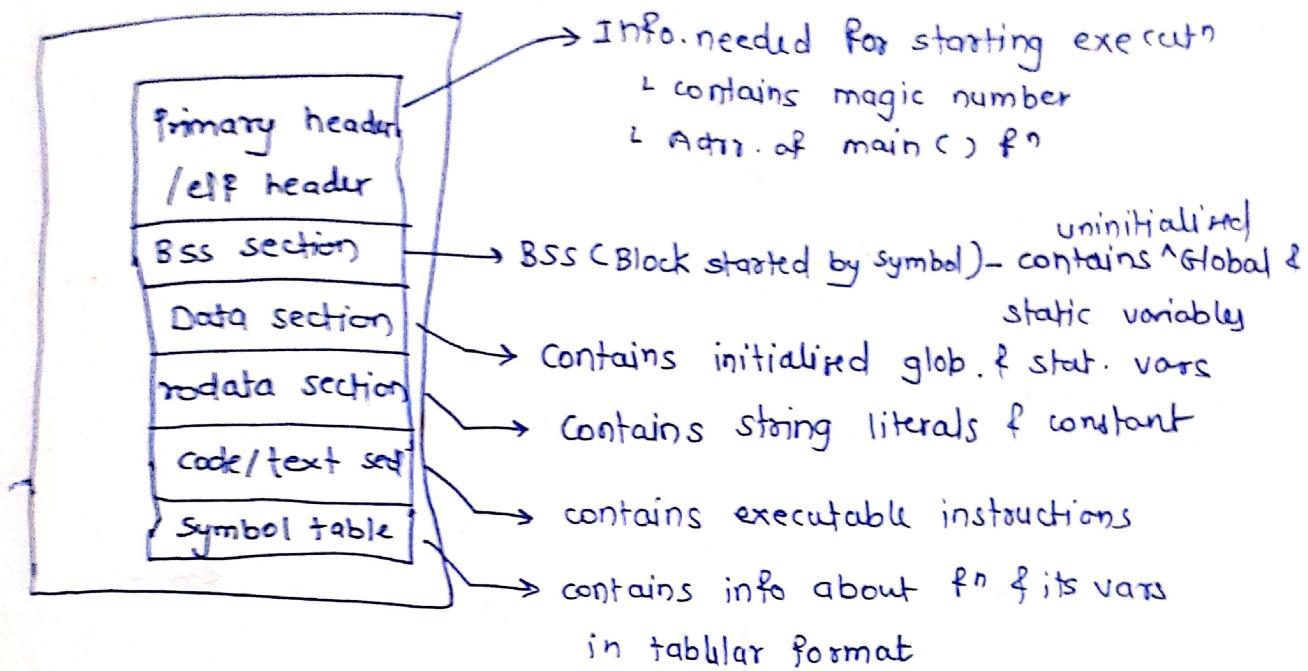
Job is done  
by LOADER  
(Built in program  
of os)

- **Loader** - Loads program from HDD to RAM
- **Dispatcher** - It is system program (os)
  - Loads data & instr'n of program from RAM to CPU
- OS controls executn of all programs & I/O devices
- C program is platform independent
- Compiled file in one m/c cant be executed in other m/c
- file format
  - linux - ELF - Executable & Linkable
  - win - PE - Portable executable
- Loader - It checks file format & then checks magic number

"magic number" - It is constant number generated by compiler i.e. file format specific (Δ OS)

### - structure of an executable file

#### ELF file format in Linux



- OS provides two kind of interfaces for user in program forms

1. "CUI" - Command User Interface / CLI : command line inter.  
↳ User can enter command in text format & interact with user
- Ex: Command prompt - **"cmd.exe"**  
Linux - **"shell"/"terminal"**  
MSDOS - **"command.com"**

2. "GUI" - Graphical user interface

↳ clicking - for minimize, maximize, double click

- windows : "explorer.exe" ; Linux : Gnome/KDE

- OS is simply collection of program in binary format
- 3 main components - 1 **Kernel** - Core program of OS, does basic minimal functionalities, win - ntaskr1.exe
- Eg: Linux - vmlinuz

## 2. Utility programs

- L Disk manager, Firewall, Antivirus

## 3. Application software

- L Apps

- Process to load kernel from HDD into RAM is called **'Booting'**

## **BOOTING**

- L Loading of kernel from HDD to RAM by "bootstrap program"
- 2 steps of booting - 1. machine boot  
2. system boot
- Devices connected through ports to motherboard are peripherals

- (\*)
- BIOS - Basic I/O system (present inside ROM)
  - Performs POST (Power On Self Test)
  - Then BIOS executes Bootstrap loader program
  - Selects bootable device - contains bootable program in its first sector



2. System
- After selection of bootable device Bootloader program executes f names installed number of OS
  - select any one OS
  - Then Bootstrap pro. of that OS revolves
  - searches for kernel
  - Loads it on RAM.
- [interrupt io]

OS

UNIX

- : Uniplexed Info & Computing Services / System
- ↳ 1st multi-user, multi-programming & multi-tasking OS
  - ↳ mother of all OSs.
  - ↳ By Ken, Denies in 1970 at AT & T bell labr.
  - ↳ File - whatever that can be stored

Process →

- All devices are file & divided into two categories
- 1. Character device
    - Data gets transferred character by char.
    - keyboard, mouse, printer
  - 2. Block device
    - Data get transferred block by block
    - storage devices

- Buffer cache - To achieve <sup>max</sup> reboot in min movement
- major subsystems of OS
- 
- ```

graph LR
    BC[Buffer cache] --> F1[File ①]
    BC --> PC[Process control ②]
  
```

System calls (AKA software interrupts/traps)  
↳ functions defined C, C++ & languages  
↳ provides interface of services to users

- [ Unix - 64, Linux - 300, Win - 3000 ]  
(To use kernel function)

- 6 categories of system calls
  - 1. File operatn - open, close, read, write
  - 2. process control - fork, exit, wait
  - 3. Device control - same as file (as all device = file)
  - 4. Accounting info - getpid
  - 5. Interprocess commn - pipe, signal, kill
  - 6. Prot'n & security - chmod(), chown()

### Dual mode operation

- Kernel mode & user mode

1) System mode - CPU executes system defined  
(privileged mode) code instruction

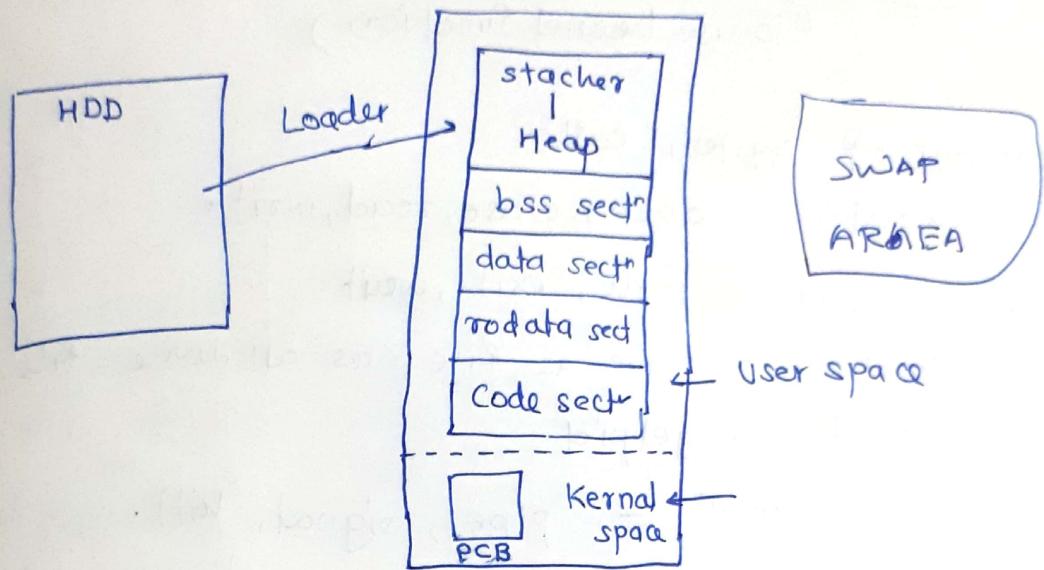
2) User mode - CPU executes user defined code  
(unpriv. mode) instruction

- mode bit (maintained by OS)  
↳ To identify ① | ②  
- Kernel mode - 0  
User mode - 1

User - 1 - 01

System - 0

- Program - Set of instruction given to m/c to perform specific task
- process - Program in execution is process
  - Running program is process



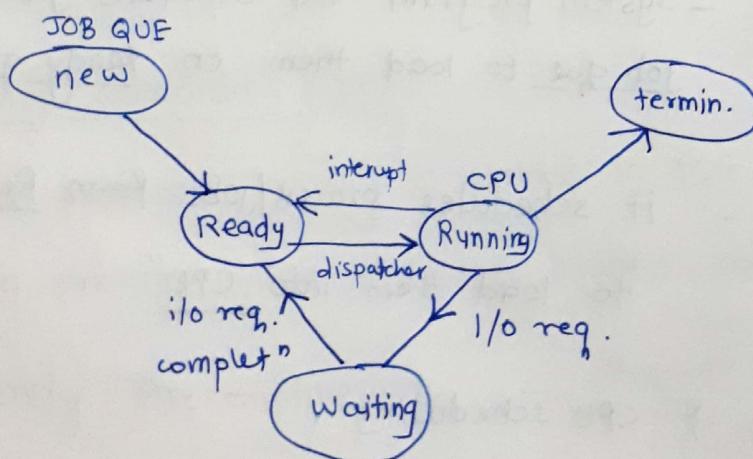
- PCB → Process control Block / process descriptor
  - ↳ All control & executn info can be kept here
  - ↳ PCB is soul of process
- Swap Area - Portion of HDD used by OS as an extension of main memory (here inactive programs are kept for time being)
- Active running program - In PCB + User spac
- Inactive ——— - In Swap Area + ~~PCB~~

- Loader → loader verification (file format, magic number)
- Loader → Then execution starts
- when loader loads program into RAM then structure created inside Kernel space
- For execn & control i.e. in PCB
- per process PCB is created
- stack section → contains fn actn records of called fn
- Heap section → dynamically allocated memory

### States of process

1. New
2. Ready
3. Running
4. Waiting
5. Terminated

1. New - Upon submission / when PCB is created
2. Ready - If process is in RAM & waiting for CPU time
3. Running - CPU executing
4. Waiting - Requesting for I/O devices
5. Terminated - Upon exit process - PCB gets destroyed



- Kernel DS - To keep track of all running programs  
OS maintains data structures

## DS (Kernel DS)

1. Job que - Contains list of PCB of all submitted processes
2. Ready que - list of PCB's of processes in RAM & waiting for CPU time
3. Waiting que / device que - Waiting que for each device / list of PCB requesting for particular device

- Firstly PCB is created & adds into Job que in kernel space.

## Features of OS

- multitasking & multiprogramming  
(time sharing)
- multi-user
- multi-threading
- multi-processor

↳ multithreading → Thread is smallest execution unit of a process
 

- ↳ It is lightweight process
- ↳ smallest indivisible part

- CPU can execute only thread of any one process at a time.

↳ multi-user → multiple users can be logged in at a time

- Job scheduler (AKA long term scheduler) - system program that schedules jobs from Job que to load them on Ready que

- CPU scheduler (AKA short term scheduler) - it schedules process/jobs from Ready que to load them into CPU

↳ 2 types of CPU scheduling

1. "Non-preemptive" - control of CPU released voluntarily

2. "Preemptive" - control of CPU released by process forcefully

- Criterias to select algorithms of scheduling
  - 1. CPU utilization
  - 2. throughput (total WD per unit time)
  - 3. waiting time
  - 4. Response time
  - 5. Turn-around time
  - 6.
- CPU burst time - Total number of CPU cycles for execution
- 4 Algorithms (CPU scheduling)
  - 1) FCFS (First come first serve)
    - ↳ non-preemptive
    - (convoys - Long processes first effect in queue if shorter later but Turn-around time ↑)
  - 2) SJF (shortest job first)
    - ↳ Process with min<sup>m</sup> CPU Burst time, will get first executed
    - Non-preemptive SJF → SNTF (Shortest - Next-Time-First)
    - Preemptive SJF → SRTF (Shortest - Remaining -Time -First)
  - 3) Priority scheduling (PS)
    - Each process's priority is stored in PCB
    - min. priority value indicates highest priority ( $1 > 2 > 3$ )
    - Purely Pre-emptive
      - starvation in  $\begin{cases} \textcircled{2} \text{ SJF} \\ \textcircled{3} \text{ PS} \end{cases}$
    - Ageing - Priority increment technique of Blocked process used by OS to get CPU time

#### 4) Round robin sch. Algo

- Fixed time slice (time quantum decided in advance)
- Purely preemptive
- Ensures minimum response time

- Combinatn of 4 algorithms for CPU schedulers

(Round robin, FCFS, SJF, Priority)

- Types of Proceses

Independent (No data sharing)  
Co-operative (Data sharing)

- Need of communicatn in 2 co-operative processes

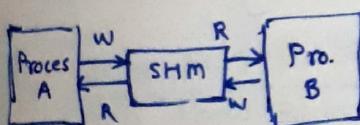
#### Inter process Comm' (IPC)

- os provides medium of comm'

- IPC is service by the kernel for the processy comm'

- 2 IPC models / method

↳ ① shared memory model → 2 processes communicates via reading & writing data into shared memory region i.e. provided by os



#### ② Message passing model

- By sending messages  
- message que system (By os)

- shared memory model is faster

↳ Types - 1. "pipe" - pipe mechanism - unidirect comm'  
- 1 way pathway

2. "message que" - one process can send as well receive message packet

- ↳ one process sends signal
- ↳ OS can send signal to a process but process can send signal to OS.
- ↳ SIGTERM, SIGKILL

#### 4. socket

- Process on one m/c communicate with process running on another m/c
- socket = IP address + Port Number

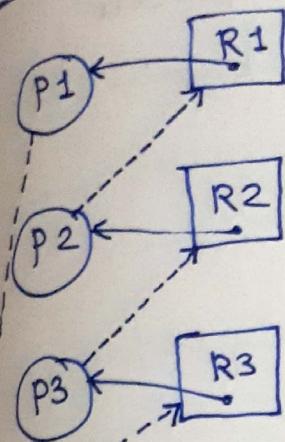
- Race Cond' - 2 or more processes are trying to access same resource at same time
  - ↳ so problem of "data inconsistency" - critical sect' problem
  - ↳ so need of process synchronization/co-ordination
- can be avoided by OS
  - ↳ ① Deciding on order of allocation of resources
  - ② Last process changes prevail

[Synchronization Tools] (for process synchronization)

#### 1. Semaphore

#### 2. Mutex object

## Deadlock



| Process | Resource Assigned | Resource request |
|---------|-------------------|------------------|
| P1      | R1                | R3               |
| P2      | R2                | R1               |
| P3      | R3                | R2               |

- No execution will continue further

- Four necessary & sufficient condition to occur deadlock

1. mutual exclusion - Resource at a time can be only acquired by one process.

2. No preemption - control of resource can't be taken away forcefully

3. Hold & wait - Hold r. & waiting for next R.

4. Circular wait

- Deadlock handling methods

1. Deadlock prevention - By discarding any 1/4 cond'n ↑

2. Deadlock detection & Avoidance

2 Algorithms

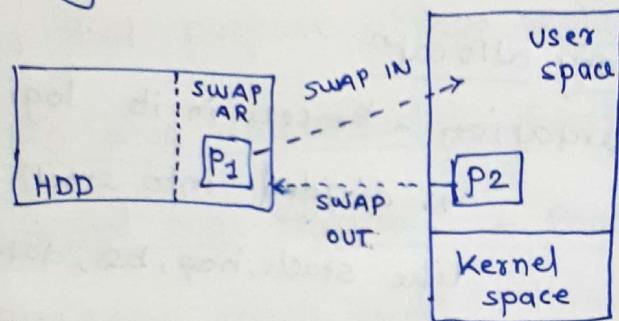
- 1) Resource allocat'n graph algo.  
2) Bankers algo.

3. Deadlock recovery

- 1) Process terminatin  
2) Resourse preemptn

# Memory Management

- Execution of every program occurs on RAM (main memory)
- Swap area - Area in HDD which is used by RAM as a extension of main Area. To keep inactive process running programs are kept temporarily.
- Processes keep swapping (swap in & swap out)
- "Memory manager" - Performs swapping operations



- conventionally size of swap area =  $2 \times \text{RAM}$  memory
- Two ways of memory allocation / two methods
  - I) Contiguous memory allocation
    - process executes when memory allocated in contiguous manner
    - 2.1) Contiguous memory allocation - Fixed size partitioning
      - ↳ User space of RAM divided into fixed partitions (same size)
      - ↳ Process allocated free partition
    - 2.2) Variable size partitioning - Dynamic partitions

- Problem of fragmentation occurs in dynamic partitioning

- External fragmentation - memory gets divided into used & free partitions

↳ memory is available but not in contiguous manner

### Two solutions

① compaction

shifting all  
used & free partitions diff. side.

② Non-contiguous memory allocat<sup>n</sup>

(not practical sol<sup>n</sup>)

② Non-cont. memory allocat<sup>n</sup>

methods - i) segmentation - process in its logical memory  
is divided into small size segments  
like stack, heap, bss, data, rodata

ii) Paging - RAM divided into fix size of partition  
called as frames

### Virtual memory management

↳ manages main memory + swap Area

↳ so processes of size more than RAM can be dealt with

↳ Available - 4 GB RAM + 8 GB swap

- v.m. = Paging + swaping