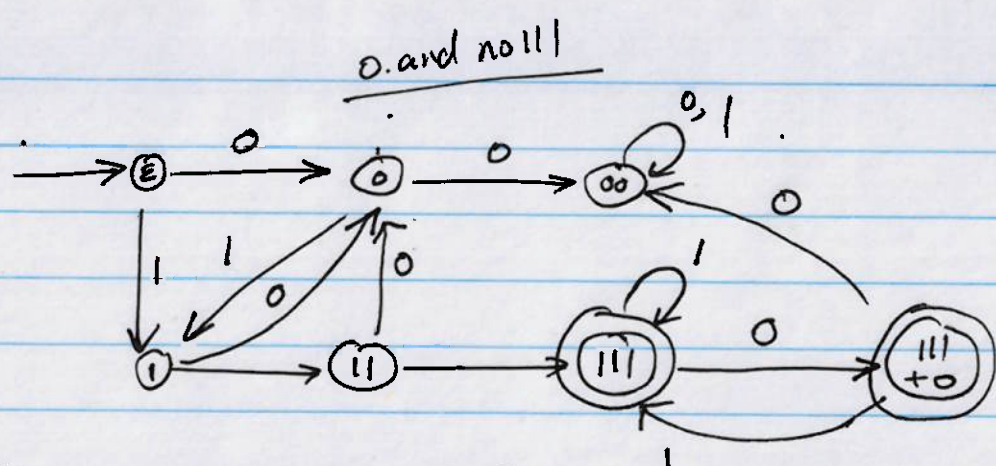
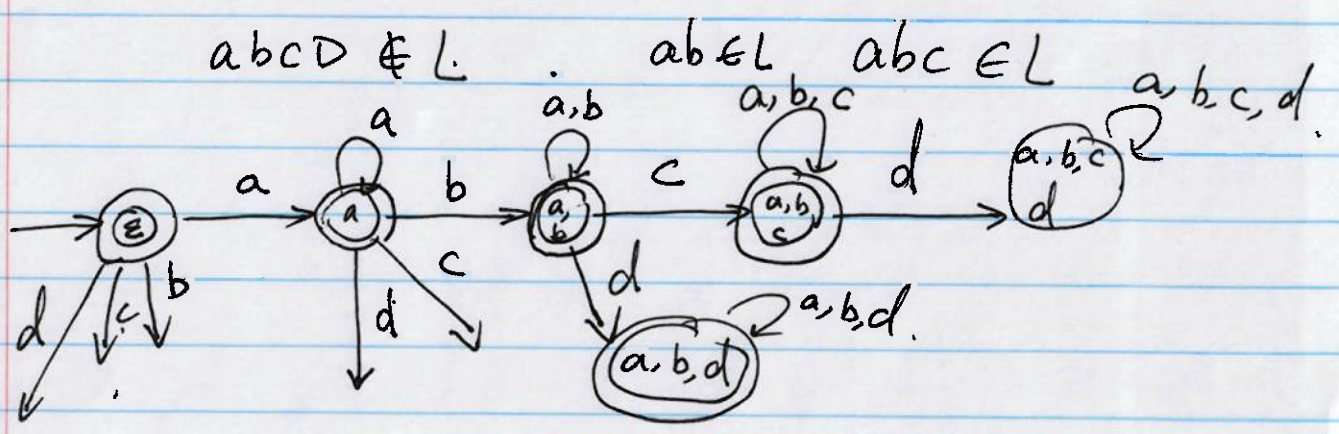


02/03/2025



$L = \{w \in \{a, b, c, d\}^* \mid w \text{ doesn't have all alphabet}\}$



- 5.  $\epsilon, a, b, c, d$
- 6.  $ab, ac, ad, bc, bd, cd$
- 4.  $abd, \dots$
- 1.  $abcd$

DFA: can be complicated

NFA: 2.4.1.

Non-deterministic Finite Automata

1. NFA:  $DFA = \{S, \Sigma, \delta, s_0, S_A\}$   
 = function.

NFA:

(22)

$$NFA = \{S, \Sigma, \Delta, S_0, S_A\}$$

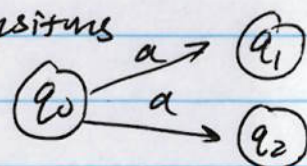
relation.

$$\Delta: (S \times (\Sigma \cup \{\epsilon\})) \times S$$

$$y = \sqrt{x} \quad R^{\geq 0}_{-R}$$

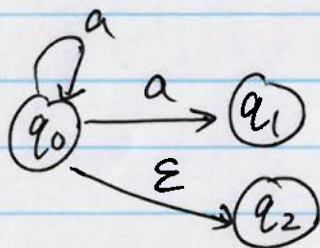
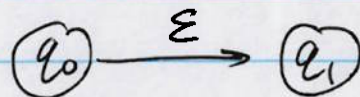
$$y = \pm \sqrt{x}$$

- (1) a state can have multiple transitions on the same character.

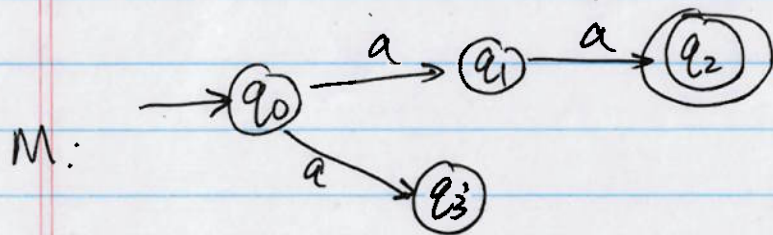


- (2) it can also have no transitions.

- (3) a state can have  $\epsilon$  transitions (transition consumes no input)



A string  $w$  is accepted by a machine  $M$  iff there exists a path from  $S_0$  to an accepting state s.t. the edge labels  $\forall$  spell  $w$ . ignoring  $\epsilon$ 's.



aa is accepted

$$L(M) := \{ \text{all strings accepted by } M \}$$

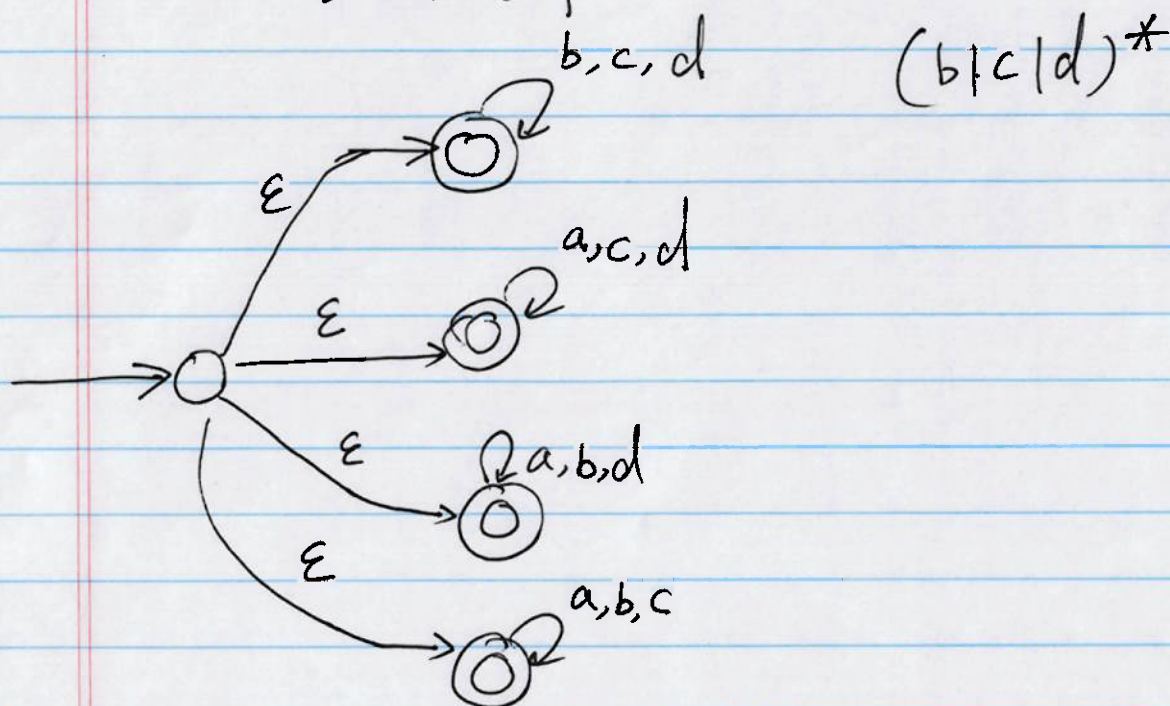


two models for the behavior of NFA.

- ①. The NFA always guesses the transitions correctly.
- ②. each time the NFA must make a choice, NFA clone itself for each possible choice.

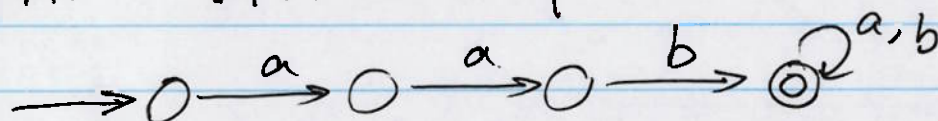
2. example.

ex:  $L = \{ w \in \{a, b, c, d\}^* \mid w \text{ contains at most 3 letters} \}$

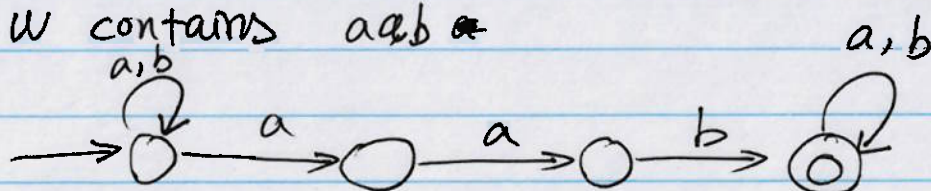


$L = \{ w \in \{a, b\}^* \mid w \text{ starts with } aab \}$

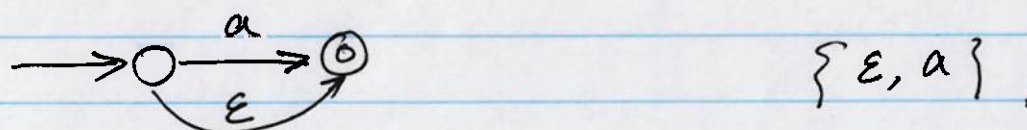
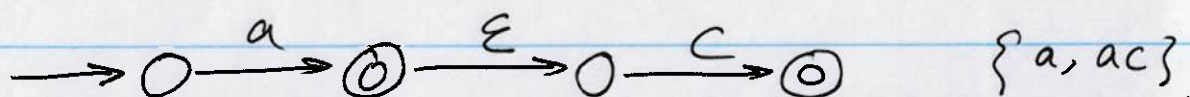
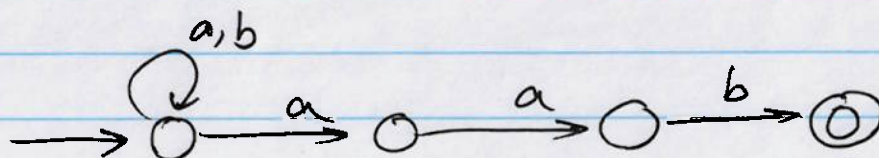
NFA: DFA is a special case of ~~NFA~~ <sup>NFA</sup>.



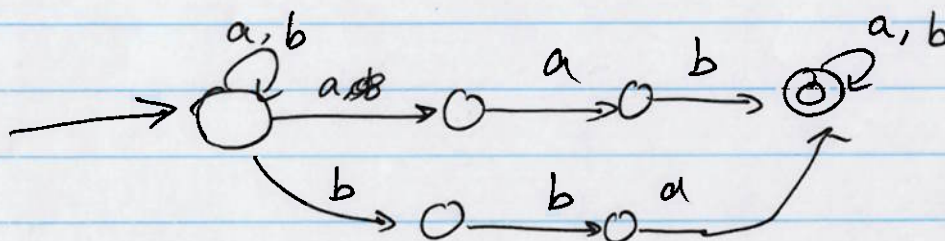
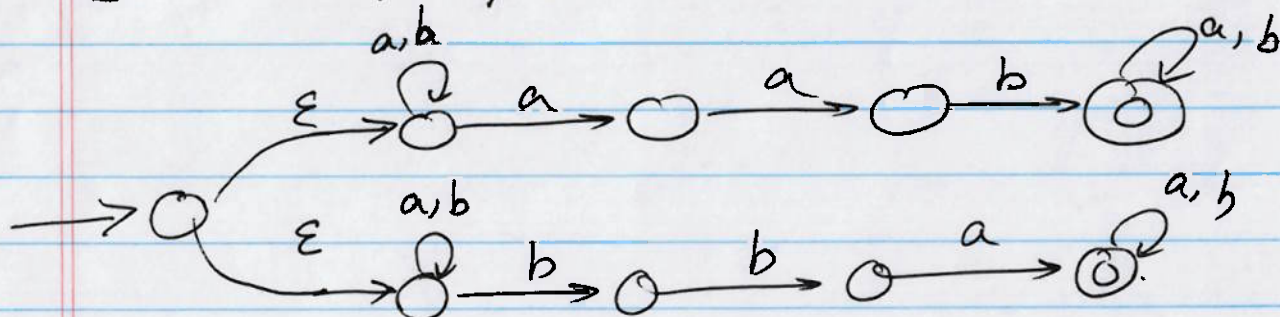
L:  $w$  contains  $aab$



$w$  ends with  $aab$



L:  $w \in \{a, b\}^*$   $w$  contains  $aab$  or  $bba$





## 3. NFA VS DFA.

\* NFA is more intuitive.

0, 1, 2, ... transition

$\epsilon$  transition.

recognized.

\*  $NFA \equiv DFA$ : a language is accepted by a DFA iff it is accepted by an NFA

\* Why study NFA:

scanner

key to automate the RE  $\rightarrow$  DFA construction.

## 4. Automating scanner construction. 2.4.2

- automating
- ① write down RE for the lexical structure of a language
  - ② Build a big simple NFA.
  - ③ systematically construct a minimal DFA.
  - ④ turn it into code.

RE  $\longrightarrow$  NFA

Thompson construction:

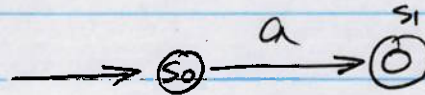
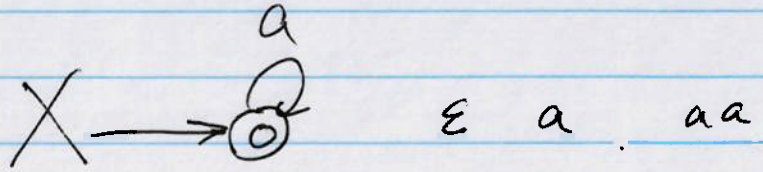
$((a|b)c)^*$

bottom up:

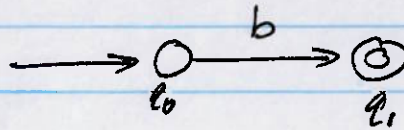
- \* NFA for each symbol.
- \* join NFAs with  $\epsilon$  transition.

$\Sigma = \{a, b\}$

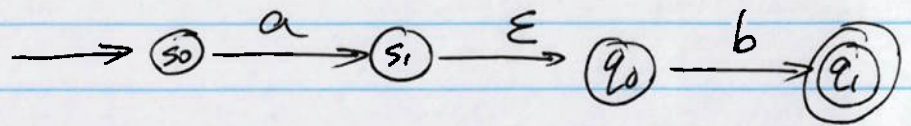
$\{a\}$



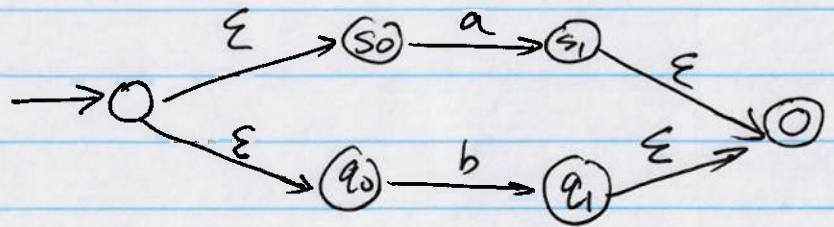
$\{b\}$



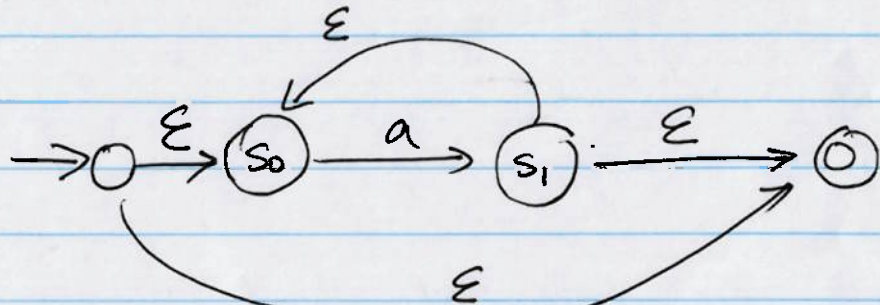
ab



a|b



a\*



properties of NFAs from Thompson's construction.

\* each NFA has one start state

has only one accepting state

\* start state only has outgoing transitions

accepting state only has incoming transitions.



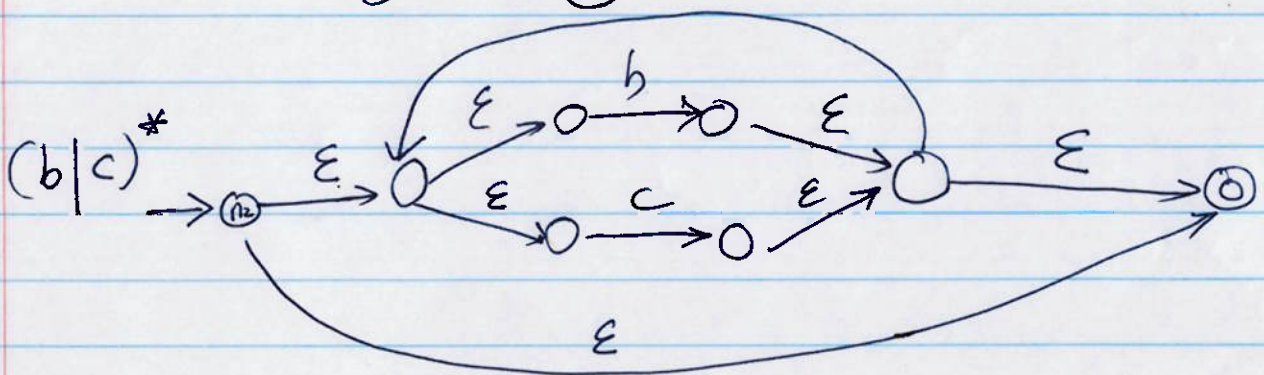
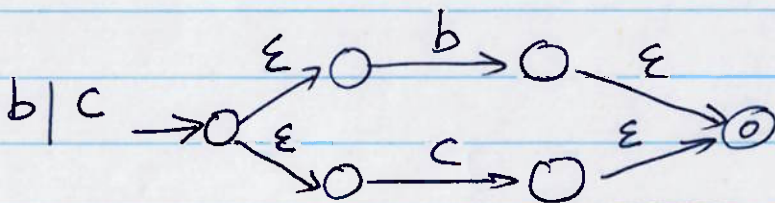
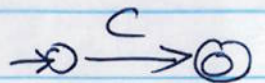
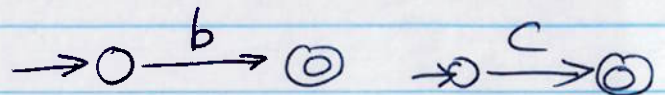
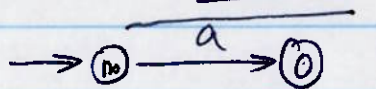
(27)

- \*. each state has
- ① at most 2 incoming  $\epsilon$  transition.
  - ② and <sup>at most 2</sup> outgoing  $\epsilon$  transitions.
  - ③ at most 1 incoming/entering transition/move on a symbol in the alphabet
  - ④ at most 1 outgoing/exit transition/move on a symbol in the alphabet.

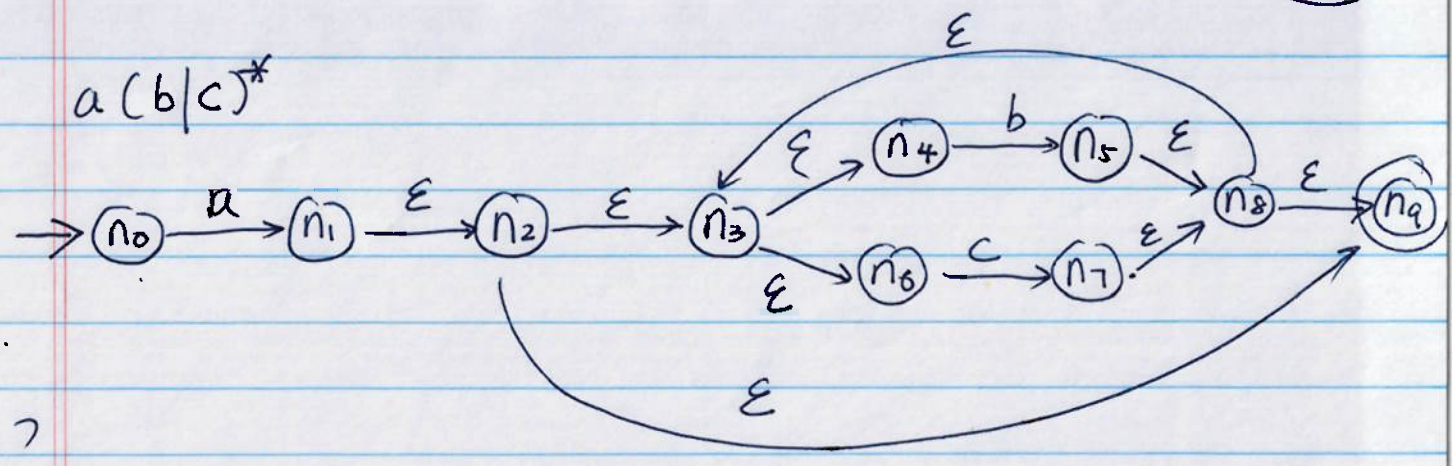
02/05/2025  
example.

$a(b|c)^*$

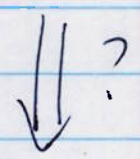
Thompson construction  $\rightarrow$  NFA



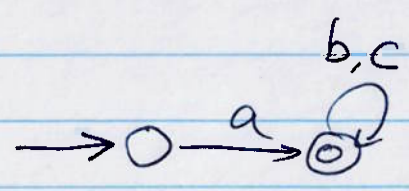
$a(b|c)^*$



NFA.

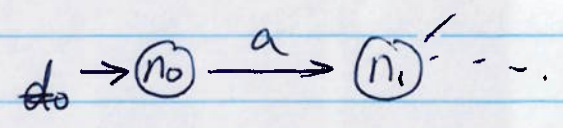


DFA.



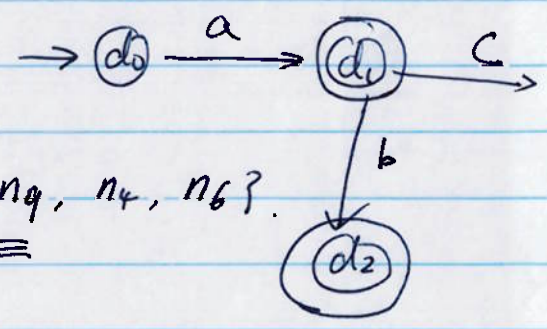
2.4.3 simulating an NFA with DFA : subset construction

NFA. initial state  $n_0$ .



DFA:

$d_0 = \{n_0\}$



$d_1 = \{n_1, n_2, n_3, n_4, n_6\}$   
Core state

$d_2 = \{n_5, n_8, n_7, n_9\}$

each state in DFA corresponds to a subset of states of NFA.

>



2 key functions:

Followepsilon (S):

S: a set of state in NFA.

the set of states reachable from some state of S by  $\epsilon$ -transitions.

$$\text{Followepsilon}(\{n_0\}) = \{n_0\}.$$

$$\text{Followepsilon}(\{n_3\}) = \{n_4, n_6, n_3\}.$$

$$\text{Followepsilon}(\{n_3, n_8\}) = \{n_3, n_8, n_4, n_6, n_9\}.$$

Delta (S, a): a subset of states reachable from some states in S via a transition labeled "a" in NFA.

$$\text{Delta}(\{n_2, n_3, n_4\}, a) = \{\quad\} = \emptyset$$

$$\text{Delta}(\{n_2, n_3\}, b) = \emptyset$$

$$\text{Delta}(\{n_2, n_4\}, b) = \{n_5\}.$$

$$\text{Followepsilon}(\text{Delta}(S, a))$$

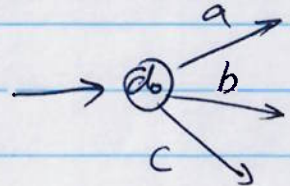
subset construction.

input: NFA  $(N, \Sigma, \delta, n_0, N_A)$

output DFA:  $(D, \Sigma, T, d_0, D_A)$

steps:  $d_0 = \text{Follow}_{\epsilon}(n_0)$

$$D = \{d_0\}$$



$$W = \{d_0\}$$

list of states need to compute transition

if  $d_0 \cap N_A \neq \emptyset$ , add  $d_0$  to  $D_A$ .

while  $(W \neq \emptyset)$

remove a state  $s$  from  $W$

(5)

for each  $\alpha \in \Sigma$

$t \leftarrow \text{Follow}_{\epsilon}(\text{Delta}(s, \alpha))$

$$T[s, \alpha] = t$$

if  $t \notin D$

add  $t$  to  $D$  //  $D = D \cup \{t\}$

add  $t$  to  $W$  //  $W = W \cup \{t\}$

if  $t \cap N_A \neq \emptyset$

add  $t$  to  $D_A$  //  $D_A = D_A \cup \{t\}$



Follow epsilon ( $\Delta(s, \alpha)$ ).

DFA state.

NFA states.

a

b

c

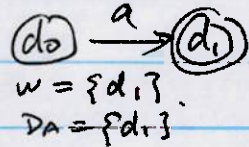
$d_0$

$\{n_0\}$

$\{\underline{n_1}, n_2, n_3, n_4, n_6, n_9\}$

$\emptyset$

$\emptyset$



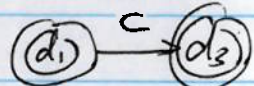
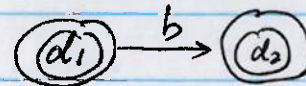
$d_1$

$\{\underline{n_1}, n_2, n_3, n_4, n_6, n_9\}$

$\emptyset$

$\{\underline{n_5}, n_8, n_3, n_9, n_4, n_6\}$

$\{\underline{n_7}, n_8, n_9, n_3, n_4, n_6\}$



$d_2$

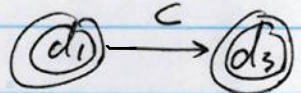
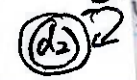
$\{\underline{n_5}, n_8, n_3, n_9, n_4, n_6\}$

$\emptyset$

$\{\underline{n_5}, n_8, n_3, n_9, n_4, n_6\}$

$\{\underline{n_7}, n_8, n_9, n_3, n_4, n_6\}$

$w = \{d_3\}$



$d_3$

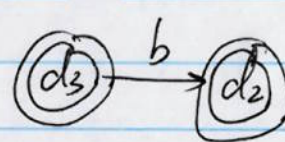
$\{\underline{n_7}, n_8, n_9, n_3, n_4, n_6\}$

$\emptyset$

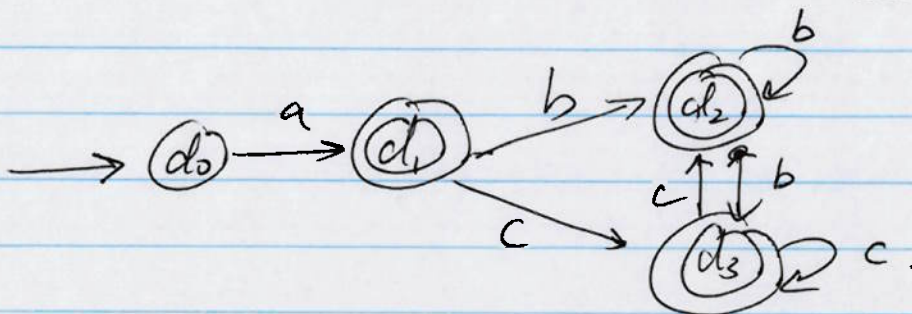
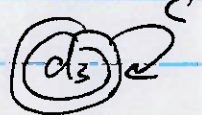
$w = \emptyset$

$D_A = \dots$

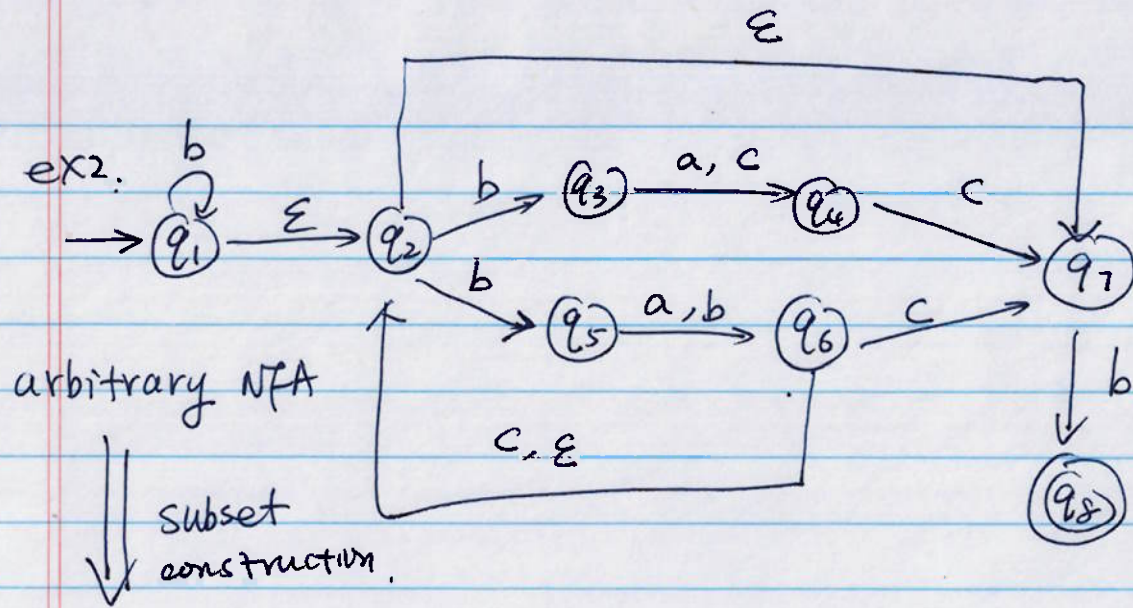
$d_2$



$d_3$



(32)



DFA:

