

## Final Exam

Name: \_\_\_\_\_

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Fall 2024

There are five equally weighted partial credit questions. Please show all your work for full credit, displaying only the final answer will earn you very little, if anything.

Very important: throughout this exam, wherever they appear,  $\alpha = 1$   $\beta = 2$   $\gamma = 3$

1. (a) Determine the internal representation of the decimal number  $\alpha\beta\gamma.9375$  in the normalized floating-point number system of a 32-bit word length microcomputer.

sign of mantissa (1 bit)	exponent (7 bits)	normalized mantissa (24 bits)
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First, state your number:  $\alpha\beta\gamma.9375 = 123.9375 = (1111011.1111)_2 = .1111011111 \times 2^{(1111)}_2$

$$123 \div 2 = 61 + 1$$

$$61 \div 2 = 30 + 1$$

$$30 \div 2 = 15 + 0$$

$$15 \div 2 = 7 + 1$$

$$7 \div 2 = 3 + 1$$

$$3 \div 2 = 1 + 1$$

$$1 \div 2 = 0 + 1$$

$$0.9375 \times 2 = 1 + 0.875$$

$$0.875 \times 2 = 1 + 0.75$$

$$0.75 \times 2 = 1 + 0.5$$

$$0.5 \times 2 = 1 + 0$$

Answer:

0 0000 111 1111 0111 1100 - - 0

→ 3 pts.

(b) Determine the internal representation of the **same** decimal number  $\alpha\beta\gamma.9375$  from part (a) in the IEEE single-precision format

sign (1 bit)	biased exp. $c$ (8 bits)	fractional part $f$ of the normalized mantissa (23 bits)
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$$123.9375 = (1.111011111)_2 \times 2^6$$

$$c - 127 = 6 \Rightarrow c = 133 = (10000101)_2$$

Answer:

0	10000101	11101111100000000000000
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→ 3 pts.

(c) Convert the following (single-precision) IEEE 32-bit machine number to decimal

s	10001e <sub>3</sub> e <sub>2</sub> 1	1m <sub>2</sub> 0m <sub>4</sub> 000000000000000000
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where  $s = 0$ ,  $e_3 = 0$ ,  $e_2 = 0$ ,  $m_2 = 0$ ,  $m_4 = 0$

$$c = (10001001)_2 = 1 \cdot 2^7 + 1 \cdot 2^3 + 1 \cdot 2^0 = 137$$

$$\begin{aligned} \text{Floating-point number} &= (-1)^s \cdot (1.f)_2 \cdot 2^{c-127} \\ &= (-1)^0 \cdot (1.1000)_2 \cdot 2^{137-127} \\ &= 1 \cdot \left(1 + \frac{1}{2}\right) \cdot 2^{10} = 1536 \end{aligned}$$

Answer:

1536

→ 4 pts.

2. (a) Prove that the equation  $\alpha \cos(\pi x) = \beta x^2 + \gamma \ln(x+1)$  has a unique root in the interval  $[0, 1]$ .

$$f(x) = \cos(\pi x) - 2x^2 - 3\ln(x+1) = 0$$

Existence:  $f(0) \cdot f(1) = 1 \cdot (-1 - 2 - 3\ln 2) < 0$

$\Rightarrow$  there exists (at least) one root. 2 pts

Uniqueness:  $f'(x) = -\pi \sin(\pi x) - 4x - \frac{3}{x+1} < 0$  in  $[0, 1]$

$\Rightarrow f \downarrow \Rightarrow$  there exists (at most) one root 2 pts

From Existence & Uniqueness  $\Rightarrow f(x) = 0$  has exactly one root in  $[0, 1]$

(b) Perform the bisection method to determine  $c_1$ , the second approximation to the location of the root.

a / sign of $f(a)$	c / sign of $f(c)$	b / sign of $f(b)$
0 / +	$c_0 = 0.5$ / -	1 / -
0	$c_1 = 0.25$	0.5

Answer:  $c_1 = 0.25$

(c) Estimate the number of iterations necessary to obtain approximations accurate to within  $10^{-3}$ .

$$|x - c_n| \leq \frac{b-a}{2^{n+1}} = \frac{1-0}{2^{n+1}} \leq 10^{-3}$$

$$\Rightarrow 2^{n+1} \geq 10^3 \Rightarrow n+1 \geq \ln(10^3) / \ln 2$$

Answer:  $n+1 = \left\lceil \frac{3 \ln 10}{\ln 2} \right\rceil + 1 = 10$

→ 4 pts.

3. The graph of a polynomial  $p(x) = -2x^3 + a_2x^2 + a_1x + a_0$  passes through the following three points:

	1	2	3
$x$	$\alpha$	$\alpha+1$	$\alpha+2$
$p(x)$	$-2\alpha$	$\beta$	$\gamma$

By imposing the interpolating conditions, you should obtain a system of three linear equations in  $a_2$ ,  $a_1$ , and  $a_0$ . Using the (naive) Gaussian elimination with back substitution, find the coefficients  $a_2$ ,  $a_1$ , and  $a_0$ .

$$\begin{aligned} p(1) &= -2 + a_2 + a_1 + a_0 = -2 \\ p(2) &= -16 + 4a_2 + 2a_1 + a_0 = 2 \\ p(3) &= -54 + 9a_2 + 3a_1 + a_0 = 3 \end{aligned} \Rightarrow \begin{cases} a_2 + a_1 + a_0 = 0 \\ 4a_2 + 2a_1 + a_0 = 18 \\ 9a_2 + 3a_1 + a_0 = 57 \end{cases}$$

$$\begin{bmatrix} 1 & 1 & 1 & 0 \\ 4 & 2 & 1 & 18 \\ 9 & 3 & 1 & 57 \end{bmatrix} \sim \begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & -2 & -3 & 18 \\ 0 & -6 & -8 & 57 \end{bmatrix} \sim$$

↓ 3 pts.

$$\sim \begin{bmatrix} 1 & 1 & 1 & 0 \\ 0 & -2 & -3 & 18 \\ 0 & 0 & 1 & 3 \end{bmatrix} \Rightarrow \begin{cases} a_2 + a_1 + a_0 = 0 \\ -2a_1 - 3a_0 = 18 \\ a_0 = 3 \end{cases} \rightarrow 4 \text{ pts.}$$

Answer:  $a_2 = 10.5$ ,  $a_1 = -13.5$ ,  $a_0 = 3$

→ 3 pts.

4. (a) Find the eigenvalues and corresponding eigenvectors of the matrix.

$$A = \begin{bmatrix} \beta + \gamma & \alpha \\ 0 & \beta - \gamma \end{bmatrix} = \begin{bmatrix} 5 & 1 \\ 0 & -1 \end{bmatrix}$$

$$p(\lambda) = \begin{vmatrix} 5 - \lambda & 1 \\ 0 & -1 - \lambda \end{vmatrix} = (5 - \lambda)(-1 - \lambda) = 0 \Rightarrow \lambda = -1, 5$$

$$\boxed{\lambda = -1} \quad \begin{bmatrix} 6 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Leftrightarrow \begin{cases} 6v_1 + v_2 = 0 \\ v_2 = \text{free} \end{cases} \Rightarrow \begin{cases} v_1 = -\frac{1}{6}v_2 \\ v_2 = \text{free} \end{cases}$$

$$\vec{v} = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} -\frac{1}{6}v_2 \\ v_2 \end{bmatrix} = v_2 \begin{bmatrix} -\frac{1}{6} \\ 1 \end{bmatrix}$$

$$E_{\lambda=-1} = \left\{ c \begin{bmatrix} -1/6 \\ 1 \end{bmatrix}, c \neq 0 \right\} = \left\{ c \begin{bmatrix} -1 \\ 6 \end{bmatrix}, c \neq 0 \right\}$$

$$\boxed{\lambda = 5} \quad \begin{bmatrix} 0 & 1 & 0 \\ 0 & -6 & 0 \end{bmatrix} \sim \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \Rightarrow \begin{cases} v_2 = 0 \\ v_1 = \text{free} \end{cases}$$

$$\vec{v} = \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} v_1 \\ 0 \end{bmatrix} = v_1 \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$E_{\lambda=5} = \left\{ c \begin{bmatrix} 1 \\ 0 \end{bmatrix}, c \neq 0 \right\}$$

→ 4 pts.

$$\text{Answer: } \lambda_1 = -1, v_1 = c \begin{bmatrix} -1 \\ 6 \end{bmatrix}; \lambda_2 = 5, v_2 = c \begin{bmatrix} 1 \\ 0 \end{bmatrix}, c \neq 0$$

(b) Without computing the eigenvalues of the following matrix, can the complex number  $\lambda = (\alpha + \beta + \gamma) - 5i$  be one of them?

$$\lambda = 6 - 5i$$

$$B = \begin{bmatrix} -5 & -1 & 1 & -1 & 1 \\ -2 & -3 & 3 & 0 & 4 \\ 1 & -2 & 0 & -2 & 2 \\ 0 & 2 & 3 & 2 & 1 \\ -1 & 1 & -2 & 4 & 5 \end{bmatrix}$$

4 pts.

Justify your answer.

$$\begin{aligned} C_1 &= \{z \in \mathbb{C} : |z+5| \leq 4\} & |\lambda+5| &= \sqrt{11^2 + (-5)^2} > 4 \text{ No} \\ C_2 &= \{z \in \mathbb{C} : |z+3| \leq 9\} & |\lambda+3| &= \sqrt{9^2 + (-5)^2} > 9 \text{ No} \\ C_3 &= \{z \in \mathbb{C} : |z-0| \leq 7\} & |\lambda-0| &= \sqrt{6^2 + (-5)^2} > 7 \text{ No} \\ C_4 &= \{z \in \mathbb{C} : |z-2| \leq 6\} & |\lambda-2| &= \sqrt{4^2 + (-5)^2} > 6 \text{ No} \\ C_5 &= \{z \in \mathbb{C} : |z-5| \leq 8\} & |\lambda-5| &= \sqrt{1^2 + (-5)^2} < 8 \text{ Yes} \end{aligned}$$

Notice that  $\lambda$  belongs to  $C_5$ , so the answer is: Yes.

Answer: Yes/No?



(c) Without computing the eigenvalues of the matrix  $B$  above, find an upper bound for its spectral radius  $\rho(B) = \max\{|\lambda| : \lambda \text{ eigenvalue of } B\}$ . Justify your answer.

Since  $\sigma(B) \subset \bigcup_{i=1}^5 C_i \Rightarrow |\lambda| \leq 13$  because the point  $z = 13 + 0 \cdot i$  is the most distant point of  $\bigcup_{i=1}^5 C_i$  from the origin.

Answer:

$$\rho = 13$$

2 pts

$$f(x) = \sin(\pi x) + 8x^2 + 6x + 1$$

5. An interpolating polynomial is to be used to approximate  $f(x) = \alpha \sin(\pi x) + 4\beta x^2 + 2\gamma x + 1$  with three equally spaced nodes in  $[0, 1]$ , i.e.,  $x_0 = 0$ ,  $x_1 = 1/2$ , and  $x_2 = 1$ .

(a) Form a divided-difference table and obtain Newton's interpolating polynomial. Then, approximate  $f(2/3)$ .

$$\begin{aligned} x_0 = 0 & \quad f(x_0) = \boxed{1}^{a_0} \\ x_1 = \frac{1}{2} & \quad f(x_1) = 7 \quad f[x_0, x_1] = \boxed{12}^{a_1} \\ x_2 = 1 & \quad f(x_2) = 15 \quad f[x_1, x_2] = 16 \quad f[x_0, x_1, x_2] = \boxed{4}^{a_2} \end{aligned}$$

$$\begin{aligned} p_2(x) &= a_0 + a_1(x - x_0) + a_2(x - x_0)(x - x_1) \\ &= 1 + 12(x - 0) + 4(x - 0)(x - 0.5) = 1 + 12x + 4x(x - 0.5) \end{aligned}$$

$$f\left(\frac{2}{3}\right) \approx p_2\left(\frac{2}{3}\right) = 1 + 12 \cdot \frac{2}{3} + 4 \cdot \frac{2}{3} \left(\frac{2}{3} - 0.5\right) = \dots$$

← 5 pts.    ← 1 pt.

Answer:  $p_2(x) = 1 + 12(x - 0) + 4(x - 0)(x - \frac{1}{2})$ ,  $f(2/3) \approx$

(b) What bound can be placed on the error? Hint: see Section 5.3 (eq. (5.19), page 168) or the course slides on BrightSpace for the theoretical bound. That is, use  $|f(x) - p_n(x)| \leq \frac{\|f^{(n+1)}\|_\infty}{4(n+1)} \left(\frac{b-a}{n}\right)^{n+1}$

$$|f(x) - p_2(x)| \leq \frac{1}{4 \cdot 3} \|f^{(3)}\|_\infty \cdot \left(\frac{1}{2}\right)^3 = (*)$$

$$f'(x) = \pi \cos(\pi x) + 16x + 6; \quad f''(x) = -\pi^2 \sin(\pi x) + 16$$

$$f'''(x) = -\pi^3 \cos(\pi x). \text{ Thus, } \|f^{(3)}\|_\infty = \pi^3$$

Answer:  $(*) = \frac{\pi^3}{96}$  → 4 pts.

1	2	3	4	5	Total