### VAISHNAVH NAGARAJAN

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### **Professional Career**

• Research Scientist, Google

Fall 2021 - present

• Ph.D. in Computer Science

Fall 2015 - Summer 2021

Carnegie Mellon University (CMU)

Advisor: J. Zico Kolter

Thesis: Explaining generalization in deep learning: progress and fundamental limits [arxiv]

Bachelors in Technology

2011 - 2015

Indian Institute of Technology (IIT) Madras

GPA: 9.88/10.0 (Rank 2)

Advisor: Balaraman Ravindran

Thesis: KWIK Inverse Reinforcement Learning

#### **Conference Publications**

[1] The pitfalls of next-token prediction, [arxiv]

Gregor Bachmann\* and Vaishnavh Nagarajan\*.

International Conference on Machine Learning (ICML 2024)

- Also oral presentation in ICLR 2024 Workshop "How Far Are We From AGI?"
- [2] What do larger image classifiers memorise? [arxiv]
  Michal Lukasik, Vaishnavh Nagarajan, Ankit Singh Rawat, Aditya Krishna Menon, Sanjiv Kumar
  Transactions on Machine Learning Research (TMLR 2024)
- [3] Sharpness-Aware Minimization Enhances Feature Quality via Balanced Learning [openreview]

Jacob Mitchell Springer, Vaishnavh Nagarajan, Aditi Raghunathan International Conference on Learning Representations 2024 (ICLR 2024)

[4] The Cost of Scaling Down Large Language Models: Reducing Model Size Affects Memory before In-context Learning [arxiv]

Tian Jin, Nolan Clement, Xin Dong, Vaishnavh Nagarajan, Michael Carbin, Jonathan Ragan-Kelley, Gintare Karolina Dziugaite

International Conference on Learning Representations 2024 (ICLR 2024)

[5] **Think before you speak: Training language models with pause tokens,** [arxiv] Sachin Goyal, Ziwei Ji, Ankit Singh Rawat, Aditya Krishna Menon, Sanjiv Kumar, Vaishnavh Nagarajan.

International Conference on Learning Representations 2024 (ICLR 2024)

- Also in NeurIPS 2023 Workshop on Robustness of Few-shot and Zero-shot Learning in Foundation Models
- [6] On student-teacher deviations in distillation: does it pay to disobey? [arxiv] Vaishnavh Nagarajan, Aditya Krishna Menon, Srinadh Bhojanapalli, Hossein Mobahi, Sanjiv Kumar.
  - In Advances in Neural Information Processing Systems 36 (NeurIPS 2023)
- [7] ResMem: Learn what you can and memorize the rest [arxiv]
  Zitong Yang, Michal Lukasik, Vaishnavh Nagarajan, Zonglin Li, Ankit Singh Rawat, Manzil
  Zaheer, Aditya Krishna Menon, Sanjiv Kumar
  In Advances in Neural Information Processing Systems 36 (NeurIPS 2023)
- [8] Assessing generalization of SGD via disagreement. [arxiv] Yiding Jiang\*, Vaishnavh Nagarajan\*, Christina Baek and J. Zico Kolter International Conference on Learning Representations 2022 (ICLR 2022) Spotlight paper
  - Also in ICML 2021 Workshop on Over-parameterization: Pitfalls and Opportunities
- [9] Understanding the failure modes of out-of-distribution generalization. [arxiv] Vaishnavh Nagarajan, Anders Andreassen and Behnam Neyshabur International Conference on Learning Representations 2021 (ICLR 2021)
- [10] A learning theoretic perspective on local explainability. [arxiv] Jeffrey Li\*, Vaishnavh Nagarajan\*, Gregory Plumb and Ameet Talwalkar International Conference on Learning Representations 2021 (ICLR 2021)
- [11] Provably safe PAC-MDP exploration using analogies. [arxiv] Melrose Roderick, Vaishnavh Nagarajan and J. Zico Kolter In Proceedings of the 24th International Conference on Artificial Intelligence and Statistics (AISTATS 2021)
- [12] Uniform convergence may be unable to explain generalization in deep learning. [arxiv] Vaishnavh Nagarajan and J. Zico Kolter. In Advances in Neural Information Processing Systems 32 (NeurIPS 2019) Oral paper (0.55% acceptance) and winner of The Outstanding New Directions Paper Award
  - In Workshop on Understanding and Improving Generalization in Deep Learning. (ICML 2019; spotlight talk in workshop)
  - In IAS/Princeton Workshop on Theory of Deep Learning 2019 (spotlight talk)
- [13] Deterministic PAC-Bayesian generalization bounds for deep networks via generalizing noise-resilience. [arxiv]
  Vaishnavh Nagarajan and J. Zico Kolter.
  International Conference on Learning Representations 2019 (ICLR 2019)
- [14] Revisiting adversarial risk. [arxiv]
  Arun Sai Suggala, Adarsh Prasad, Vaishnavh Nagarajan and Pradeep Ravikumar
  In Proceedings of the 22nd International Conference on Artificial Intelligence and Statistics
  (AISTATS 2019)

[15] Geriatrix: Aging what you see and what you don't see. A file system aging approach for modern storage systems

Saurabh Kadekodi, Vaishnavh Nagarajan, Greg Ganger and Garth Gibson Proceedings of the 2018 USENIX Conference on Usenix Annual Technical Conference (ATC 2018)

[16] Gradient descent GAN optimization is locally stable [arxiv]

Vaishnavh Nagarajan and J. Zico Kolter. In Advances in Neural Information Processing Systems 30 (NeurIPS 2017) Oral paper (1.2% acceptance)

[17] Lifelong learning in costly feature spaces. [arxiv] with Avrim Blum and Maria-Florina Balcan.

In Proceedings of the 28th International Conference in Algorithmic Learning Theory (ALT 2017)

[18] Learning-theoretic foundations of algorithm configuration for combinatorial partitioning problems. [arxiv]

with Maria-Florina Balcan, Ellen Vitercik and Colin White.

In Proceedings of the 30th Annual Conference on Learning Theory (COLT 2017)

[19] Every team deserves a second chance: Identifying when things go wrong. [PDF] Vaishnavh Nagarajan\*, Leandro S. Marcolino\* and Milind Tambe. In Proceedings of the 14th International Conference on Autonomous Agents and Multi-agent Systems (AAMAS 2015)

### **Journal Publications**

The following are journal versions of conference papers listed above.

[20] Lifelong learning in costly feature spaces. with Avrim Blum and Maria-Florina Balcan. In Theoretical Computer Science (invited) (TCS 2019)

[21] Every team deserves a second chance: An extended study on predicting team performance.

Leandro S. Marcolino, Aravind Lakshminarayanan, Vaishnavh Nagarajan and Milind Tambe. In Journal of Autonomous Agents and Multi-Agent Systems (JAAMAS 2016)

# Workshops/Short papers

[22] Avoiding Spurious Correlations: Bridging Theory and Practice [openreview]
Thao Nguyen, Vaishnavh Nagarajan, Hanie Sedghi, Behnam Neyshabur
NeurIPS 2021 Workshop on Distribution Shifts: Connecting Methods and Applications (2021)

[23] Theoretical insights into memorization in GANs. [PDF]
Vaishnavh Nagarajan, Colin Raffel and Ian Goodfellow.
In Workshop on Integration of Deep Learning Theories (NeurIPS 2018)

- [24] Generalization in deep learning: the role of distance from initialization. [arxiv] Vaishnavh Nagarajan and J. Zico Kolter.

  In Workshop on Deep Learning: Bridging Theory and Practice (NeurIPS 2017) spotlight talk in workshop)
- [25] A reinforcement learning approach to online learning of decision trees. [arxiv] Abhinav Garlapati, Aditi Raghunathan, Vaishnavh Nagarajan and Balaraman Ravindran. In Proceedings of the 12th European Workshop on Reinforcement Learning, International Conference on Machine Learning (EWRL-ICML 2015)
- [26] KWIK inverse reinforcement learning. [PDF]
  Vaishnavh Nagarajan and Balaraman Ravindran.

  The Multi-disciplinary Conference on Reinforcement Learning and Decision Making. (RLDM 2015)

### **Talks**

o ALT 2017

Understanding the failure modes of out-of-distribution generalization.

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o IISA 2022 (invited)	Fall 2022
o CMU Al lunch	Mar 2021
• Uniform convergence may be unable to explain generalization in deep learning.	
<ul><li>CMU Lecture (invited)</li></ul>	Fall 2022
<ul> <li>Google Research (New York) Learning Theory (invited)</li> </ul>	Oct 2020
<ul> <li>Center for Human Compatible AI, UC Berkeley (invited)</li> </ul>	Aug 2020
<ul> <li>Google Brain (Mountain View) Deep Learning Phenomena (invited)</li> </ul>	Jul 2020
<ul> <li>NeurIPS 2019 Oral presentation</li> </ul>	Dec 2019
o CMU AI Lunch	Nov 2019
$\circ \ IAS/Princeton \ University \ Workshop \ on \ Theory \ of \ Deep \ Learning: \ Where \ next?$	Oct 2019
o ICML Workshop: Understanding and Improving Generalization in Deep Learning	Jun 2019
• Generalization in deep learning: The role of distance from initialization	
<ul> <li>NeurIPS Workshop on Deep Learning: Bridging Theory and Practice</li> </ul>	Dec 2017
• Gradient Descent GAN optimization is locally stable.	
<ul> <li>NeurIPS 2017 Oral presentation</li> </ul>	Dec 2017
o CMU Al lunch	Oct 2017
Lifelong learning in costly feature spaces.	

Learning the best algorithm for max-cut, clustering, and other partitioning problems.

Oct 2017

Learning, Algorithm Design & Beyond Worst-Case Analysis, Simons Institute, Berkeley.
 (invited)

o CMU Theory Lunch Nov 2016

### **Service**

- Reviewer for:
  - o ALT 2021
  - ICLR 2023, 2021 (outstanding reviewer award);
  - NeurIPS 2023, 2021, 2020 (top 10% reviewer), 2019 (top 50% reviewer), 2018 (top 30% reviewer)
  - ICML 2024 (Expert Reviewer), 2023 (Expert Reviewer), 2022, 2021 (Expert reviewer), 2020, 2019 (top 5% reviewer)
  - o COLT 2019
  - o AISTATS 2023 (top reviewer), 2019
  - UAI 2022
  - o JMLR
  - Nature
  - Workshops: ICML PODS 2022, ICML OPPO 2021, ICLR 2023 ME-FoMo, NeurIPS 2023 DistShift
- Area Chair for NeurIPS 2023 R0-FoMo Workshop
- Mentor at Learning Theory Alliance Workshop (invited)

Fall 2022 & 2023

• Fatima Fellowship Mentor.

2022

• Member of CMU Computer Science MS admissions committee.

Spring 2018

- Representative of the Computer Science Department in the SCS4ALL PhD Committee, a student advisory council for the CMU School of Computer Science.
- Organized the Learning Theory Reading group in CMU.

Fall 2016

# Mentorship

- Gregor Bachmann (PhD student at ETH Zürich)
- Sachin Goyal (PhD student at CMU, intern at Google hosted by me)
- Jacob Springer (PhD student at CMU)
- Yuri Galindo (mentee through Fatima Fellowship)
- Marcus Blake (Software Engineer at Google, mentee through Learning Theory Alliance)
- Kimia Hamidieh (now PhD student at MIT)

- Nuredin Ali (now PhD student at the University of Minnesota)
- Thao Nguyen (now PhD student at UW)
- Yiding Jiang (PhD student at CMU)
- Melrose Roderick (now postdoc at Mila)
- Jeffrey Li (now PhD student at UW)

### **Teaching**

• Teaching assistant, 10-715: Advanced Introduction to Machine Learning

Fall 2016

• Teaching assistant, 15-780: Graduate Artificial Intelligence

Spring 2018

# **Internships**

### • PhD Research Internship

Summer 2020

Google X

Host: Behnam Neyshabur

Theoretically explained when and why machine learning models fail to generalize under test-time distribution shifts.

### • PhD Research Internship

Summer 2019

Bosch Center for Al

Host: David Reeb

Developed generalization bounds for high-dimensional linear models that circumvent limitations of uniform convergence bounds.

### • PhD Research Internship

Summer 2018

Google Brain

Host: Colin Raffel, Ian Goodfellow

Explained why Generative Adversarial Networks (GANs) counterintuitively do not memorize their training data. Explored metrics for measuring diversity of GAN samples and developed a theoretically-grounded technique for improving sample diversity.

# • Undergraduate Research Internship

Summer 2014

University of Southern California (USC)

Advisor: Milind Tambe

Identified that a machine learning model can predict the success/failure of an artificial multi-agent team playing Computer Go.

#### • Undergraduate Internship

Summer 2013

Report Bee

Advisor: Madhavan Mukund, Chennai Mathematical Institute

Designed an index that quantifies learning experiences of schoolchildren. Implemented the model within Report Bee's web application.

### **Scholastic Achievements**

- Among **national top** 1% candidates in national level olympiads (2011) in **five different fields**, namely, Informatics, Maths, Physics, Chemistry and Astronomy.
- Directly qualified for the Indian National Math Olympiad (INMO) 2011 based on outstanding performance in the Regional Math Olympiad 2010 and INMO 2010.
- One of the 35 students that qualified further for the national selection camp for International Chemistry Olympiad.
- Secured All India Rank 70 (out of 0.5 million candidates) and State Rank 3 in IIT Joint Entrance Examination 2011, All India Rank 56 (out of 1.1 million candidates) in All India Engineering Entrance Examination 2011 and All India Rank 16 (out of 0.1 million candidates) in Indian Institute of Space Science and Technology Admission Test 2011

### **Honors and Awards**

- Reviewer Award at ICLR 2021 for being an outstanding reviewer.
- Winner of the Outstanding New Directions Paper Award at NeurlPS 2019 (given to only one out of  $\sim 1400$  accepted papers).
- Awarded the ACM-India/IARCS student grant to attend AAMAS 2015 in Istanbul, Turkey.
- One of  $\sim 30$  Viterbi-India scholars selected by Viterbi School of Engineering (USC) and Indo-US Science and Technology Forum for a fully funded research internship in Summer 2014.
- Awarded the prestigious KVPY Fellowship 2009 by the Government of India to attract highly motivated students for pursuing a research career in science.
- Invited participant in the Council of Scientific and Industrial Research Programme on Youth for Leadership in Science 2009.

### Other Activities

- Board member of CMU Indian Graduate Student Association (IGSA). Dec 2015 Dec 2018
- National Service Scheme Volunteer involved in Scientific Toys & Assistive Technology. 2011-12
- Taught basic maths to underprivileged primary school children in villages in India, in association with the NGO, AID India.

  Dec 2011
- Scribe for the students of Vidya Sagar (formerly, the Spastics Society of India). 2008-09