



Experiment No.3
To implement the concept of Merkle root
Date of Performance:24/8/23
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**AIM:** To implement the concept of Merkle root

**Objective:** To develop a program to create a cryptographic hash using the concept of merkle tree

**Theory:**

A Merkle tree stores all the transactions in a block by producing a digital fingerprint of the entire set of transactions. It allows the user to verify whether a transaction can be included in a block or not.

Merkle trees are created by repeatedly calculating hashing pairs of nodes until there is only one hash left. This hash is called the Merkle Root, or the Root Hash. The Merkle Trees are constructed in a bottom-up approach

Every leaf node is a hash of transactional data, and the non-leaf node is a hash of its previous hashes. Merkle trees are in a binary tree, so it requires an even number of leaf nodes. If there is an odd number of transactions, the last hash will be duplicated once to create an even number of leaf nodes

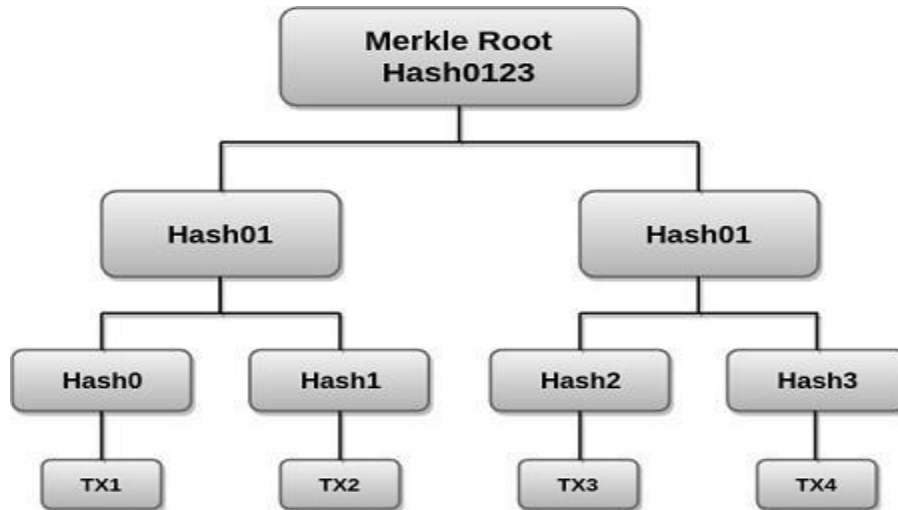


Fig.3.1 Merkle Root Tree Structure

The above example is the most common and simple form of a Merkle tree, i.e., Binary Merkle Tree. There are four transactions in a block: TX1, TX2, TX3, and TX4. Here you can see, there is a top hash which is the hash of the entire tree, known as the Root Hash, or the Merkle Root. Each of these is repeatedly hashed, and stored in each leaf node, resulting in Hash 0, 1, 2, and 3. Consecutive pairs of leaf nodes are then summarized in a parent node by hashing Hash0 and Hash1, resulting in Hash01, and separately hashing Hash2 and Hash3, resulting in Hash23. The two hashes (Hash01 and Hash23) are then hashed again to produce the Root Hash or the Merkle Root.



Merkle Root is stored in the block header. The block header is the part of the bitcoin block which gets hash in the process of mining. It contains the hash of the last block, a Nonce, and the Root Hash of all the transactions in the current block in a Merkle Tree. So having the Merkle root in block header makes the transaction tamper-proof. As this Root Hash includes the hashes of all the transactions within the block, these transactions may result in saving the disk space.

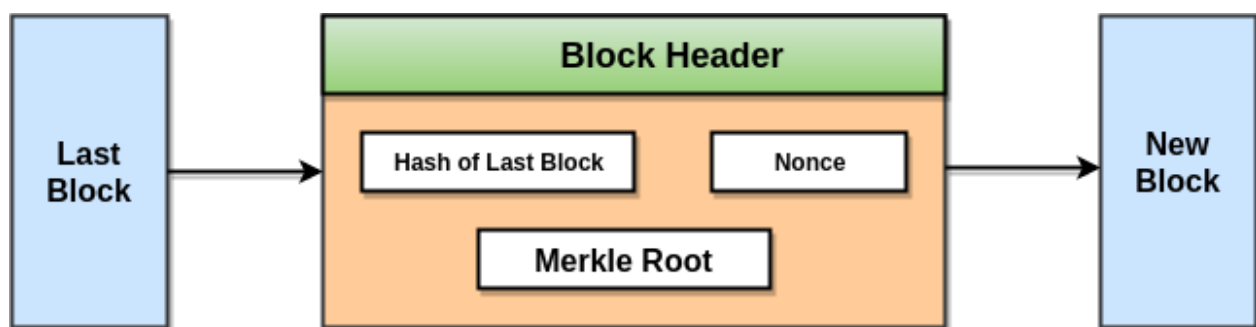


Fig.3.2 Merkle Root in Block

The Merkle Tree maintains the **integrity** of the data. If any single detail of transactions or order of the transaction's changes, then these changes reflected in the hash of that transaction. This change would cascade up the Merkle Tree to the Merkle Root, changing the value of the Merkle root and thus invalidating the block. So everyone can see that Merkle tree allows for a quick and simple test of whether a specific transaction is included in the set or not.

#### Process:

Step 1. The transaction represents the original data blocks which are hashed to produce transaction hashes (transaction id) which form the leaf nodes.

Step 2. The leaf nodes have to be even in number for a binary hash tree to work so if the number of leaf nodes is an odd number, then the last leaf node is duplicated to even the count.

Step 3. Each pair of leaf nodes is concatenated and hashed to form the second row of hashes.



Step 4. The process is repeated until a row is obtained with only two hashes

Step 5. These last two hashes are concatenated to form the Merkle root.

**Code:**

**Merkel tree**

```
package app;

import java.security.MessageDigest;
import java.util.ArrayList;
import java.util.List;

public class MerkleTrees {
    //transaction List
    List<String> txList;
    //Merkle Root
    String root;

    /**
     * constructor
     * @param txList transaction List transaction List
     */
    public MerkleTrees(List<String> txList) {
        this.txList = txList;
        root = "";
        System.out.println("Transaction List"+this.txList);
    }

    /**
     * execute merkle_tree and set root.
     */
    public void merkle_tree() {
```



```
List<String> tempTxList = new ArrayList<String>();

for (int i = 0; i < this.txList.size(); i++) {
    tempTxList.add(this.txList.get(i));
}

List<String> newTxList = getNewTxList(tempTxList);

//Execute the loop until only one hash value is left
while (newTxList.size() != 1) {
    newTxList = getNewTxList(newTxList);
}

this.root = newTxList.get(0);
}

/**
 * return Node Hash List.
 * @param tempTxList
 * @return
 */
private List<String> getNewTxList(List<String> tempTxList) {

    List<String> newTxList = new ArrayList<String>();
    int index = 0;
    while (index < tempTxList.size()) {
        //left
        String left = tempTxList.get(index);
        System.out.print("Left--> \t"+left+ "\t");
        index++;
        //right
        String right = "";
        if (index != tempTxList.size()) {
            right = tempTxList.get(index);
            System.out.print("Right--> \t"+right+"\t");
        }
    }
}
```



```
        System.out.println("");
    }
    //sha2 hex value
    String sha2HexValue = getSHA2HexValue(left + right);
    System.out.println("sha2HexValue \t"+sha2HexValue);
    newTxList.add(sha2HexValue);
    index++;

}

return newTxList;
}

/**
 * Return hex string
 * @param str
 * @return
 */
public String getSHA2HexValue(String str) {
    byte[] cipher_byte;
    try{
        MessageDigest md = MessageDigest.getInstance("SHA-256");
        md.update(str.getBytes());
        cipher_byte = md.digest();
        StringBuilder sb = new StringBuilder(2 * cipher_byte.length);
        for(byte b: cipher_byte) {
            sb.append(String.format("%02x", b&0xff) );
        }
        // System.out.println("appended SHA-256 hash is"+sb.toString());
        return sb.toString();
    } catch (Exception e) {
        e.printStackTrace();
    }

    return "";
}
```



```
}
```

```
/**
```

```
 * Get Root
```

```
 * @return
```

```
 */
```

```
public String getRoot() {
```

```
    return this.root;
```

```
}
```

```
}
```

### **APP**

```
package app;
```

```
import java.util.ArrayList;
```

```
import java.util.List;
```

```
public class App {
```

```
    public static void main(String [] args) {
```

```
        List<String> tempTxList = new ArrayList<String>();
```

```
        tempTxList.add("a");
```

```
        tempTxList.add("b");
```

```
        tempTxList.add("c");
```

```
        tempTxList.add("d");
```

```
        tempTxList.add("e");
```

```
        MerkleTrees merkleTrees = new MerkleTrees(tempTxList);
```

```
        merkleTrees.merkle_tree();
```

```
        System.out.println("root : " + merkleTrees.getRoot());
```

```
    }
```

```
}
```





### Output:

```
Output
Debugger Console x Run (App1) x

-----< com.mycompany:Appl >-----
Building Appl 1.0-SNAPSHOT
from pom.xml

-----[ jar ]-----

--- resources:3.3.0:resources (default-resources) @ Appl ---
skip non existing resourceDirectory C:\Users\student\Documents\NetBeansProjects\Appl\src\main\resources

--- compiler:3.10.1:compile (default-compile) @ Appl ---
Nothing to compile - all classes are up to date

--- exec:3.1.0:exec (default-cli) @ Appl ---
Transaction List[a, b, c, d, e]
Left-->      a      Right-->      b
sha2HexValue  fb8e20fc2e4c3f248c60c39bd652f3c1347298bb977b8b4d5903b85055620603
Left-->      c      Right-->      d
sha2HexValue  21e721c35a5823fdb452fa2f9f0a612c74fb952e06927489c6b27a43b817bed4
Left-->      e      sha2HexValue  3f79bb7b435b05321651daefd374cdc681dc06faa65e374e38337b88ca046dea
Left-->      fb8e20fc2e4c3f248c60c39bd652f3c1347298bb977b8b4d5903b85055620603      Right-->      21e721c35a5823fdb452fa2f9f0a612c74fb952e06927489c6b27a43b817bed4
Left-->      12a40550c10c6339bf6f271445270e49b844d6c9e8abc36b9b642be532befe94      sha2HexValue  ef5960718ca91ca07e63f1d1cf5320ad3c8f923d481c1f8c873aa987e1d6e1f6
Left-->      3f79bb7b435b05321651daefd374cdc681dc06faa65e374e38337b88ca046dea      Right-->      ef5960718ca91ca07e63f1d1cf5320ad3c8f923d481c1f8c873aa987e1d6e1f6
Left-->      12a40550c10c6339bf6f271445270e49b844d6c9e8abc36b9b642be532befe94      sha2HexValue  ef5960718ca91ca07e63f1d1cf5320ad3c8f923d481c1f8c873aa987e1d6e1f6
Left-->      3b7e1e6ba3b82975d7802511d8c7fabbe7a5d112d0dd112fbcfbb7e6417a3214      Right-->      ef5960718ca91ca07e63f1d1cf5320ad3c8f923d481c1f8c873aa987e1d6e1f6
root : 3b7e1e6ba3b82975d7802511d8c7fabbe7a5d112d0dd112fbcfbb7e6417a3214

BUILD SUCCESS

Total time: 0.607 s
Finished at: 2023-08-01T05:03:14+05:30
```

**Conclusion:** the Merkle root stands as a fundamental pillar in maintaining the integrity of transaction details within a block in blockchain technology. By cryptographically linking all transactions in a block through a hierarchical structure, it offers a reliable and efficient way to verify the authenticity and completeness of data without having to inspect each transaction individually. This not only ensures the security of the blockchain but also enhances its efficiency and scalability. The Merkle root, therefore, plays an indispensable role in upholding the trustworthiness and immutability of blockchain records, a cornerstone for its widespread adoption across various industries and applications.