Lecture o' - Sets, Functions, Relations...

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Sets

All set?

- "Set" used to be the English word with the most definitions
- A mathematical set is a very simple concept that contains multitudes
- What is a set? A set is often defined as just "a collection of distinct things"
- What things? Animals? People? Other sets? Just about anything!
- $\{1,2,3,4\}$ is a set
- {Alice, Flamingos, Hedgehogs, Playing cards, Cheshire cat} is also a set!

• {e4 e5, e4 c5, d4 d5, c4 e5, c4 c5} is also a set

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- **Set builder notation**: Write a set as $\{x \mid 0 < x \le 5 \text{ and } x \in \mathbb{N}\}$

Some important sets

- U: The universal set (every element we want to talk about is in here)
- {}: The *empty* set (no element belongs to this set; often written as ∅)
- Z: The set of all integers (both positive and negative)
- N: The set of all natural numbers (every integer ≥ 0)
- Q: The set of all rational numbers (expressed as p/q for some $p, q \in \mathbb{Z}$)
- R: The set of all real numbers
- $\mathbb{B} = \{\text{True}, \text{False}\}$: The set of Boolean values

Set membership

- Denote by $x \in S$ the fact that x is an element of the set S (negation: $x \notin S$)
- Each element occurs only once in a set (distinct elements!)
 - We take multiplicity into account for **multisets**
 - {1} is a set consisting of the singleton element 1
 - {1,1,1} is a multiset consisting of the element 1 appearing thrice
- **Set Equality**: Sets S_1 and S_2 are defined to be equal (denoted $S_1 = S_2$) if, for every element x, it is the case that $x \in S_1$ if and only if $x \in S_2$.
 - Reflexive, symmetric, and transitive congruence

Subset operation

- A is a subset of B (denoted $A \subseteq B$) if, for every element x of A, the element x also appears in B
- An element of a set might itself be a set
- A subset of a set **must** be a set
- Chars = {Tintin, Haddock, Calculus, Snowy, {Thomson & Thompson}}
- {Thomson & Thompson} ∈ Chars
- $\{Tintin, Snowy\} \subseteq Chars$
- The **powerset** of a set *S* (denoted 2^{*S*}) is the set of all subsets of *S*
- **Exercise**: For any set *S*, show that $S \subseteq \mathbb{U}$, as well as that $\emptyset \subseteq S$.

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Union and Intersection

- **Union**: $S_1 \cup S_2 = \{x \mid x \in S_1 \text{ or } x \in S_2\}$
- **Intersection**: $S_1 \cap S_2 = \{x \mid x \in S_1 \text{ and } x \in S_2\}$
- Commutativity[†]: $S_1 \circ S_2 = S_2 \circ S_1$
- Associativity[†]: $S_1 \circ (S_2 \circ S_3) = (S_1 \circ S_2) \circ S_3$
- Idempotence[†]: $S \circ S = S$
- Duality between union and intersection
 - Ø is an identity for union and an annihilator for intersection
 - U is an identity for intersection and an annihilator for union
- Distributivity for union and intersection:
 - $S_1 \cup (S_2 \cap S_3) = (S_1 \cup S_2) \cap (S_1 \cup S_3)$
 - $S_1 \cap (S_2 \cup S_3) = (S_1 \cap S_2) \cup (S_1 \cap S_3)$

†: o can be union or intersection

Difference, Complement, and Cartesian product

- **Difference**: $S_1 \setminus S_2 = \{x \mid x \in S_1 \text{ and } x \notin S_2\}$
- **Complement**: $\overline{S} = \mathbb{U} \setminus S$
- **De Morgan's Laws**: $\overline{S_1 \cup S_2} = \overline{S_1} \cap \overline{S_2}$ and $\overline{S_1 \cap S_2} = \overline{S_1} \cup \overline{S_2}$
- $S \cup \overline{S} = \mathbb{U}$ and $S \cap \overline{S} = \emptyset$, and $\overline{\mathbb{U}} = \emptyset$ and $\overline{\emptyset} = \mathbb{U}$
- **Exercise**: Show that $S_1 \setminus S_2 = S_1 \cap \overline{S_2}$
- Cartesian product: $S_1 \times S_2 = \{(x,y) \mid x \in S_1 \text{ and } y \in S_2\}$
- $S \times \emptyset = \emptyset \times S = \emptyset$
- Is the Cartesian product associative?
- $S_1 \times S_2 \times \dots S_n = \{(s_1, s_2, \dots, s_n) \mid s_i \in S_i \text{ for each } i\}$

Cardinality

- The number of elements in a set *S* is called its **cardinality**
- We denote the cardinality of a set S by |S|
- $S = \{0, 1, 2, 3, 4, 5\}$ is a finite set
- The set of real values in the interval [0,1] is infinite
- Sets can be one or more of
 - Bounded
 - Finite
 - Unbounded
 - Infinite
- Do any of these necessarily imply any others?
- Are there combinations which are not possible?

Infinite sets

- Not all infinite sets are equally infinite!
- Infinite sets can be **countable** or **uncountable**
- What does it mean to be countable?
- "One can uniquely associate each element with a natural number"
- How does one formally capture such an association? Via functions
- But first, we will talk about **relations**

Relations

- A **binary relation** R between two sets A and B is any subset of $A \times B$
- We will often write xRy to denote that $(x,y) \in R$
- $dom(R) = \{a \in A \mid aRb \text{ for some } b \in B\}$
- $\operatorname{rng}(R) = \{b \in B \mid aRb \text{ for some } a \in A\}$
- $R \subseteq A \times B$ is
 - total if for each $x \in A$, there is a $y \in B$ such that xRy
 - one-one if xRy and zRy implies x = z (any $y \in B$ related to at most one $x \in A$)
 - onto if for each $y \in B$, there is an $x \in A$ such that xRy
- $R \subseteq A \times B$ a graph with edges from elements of A to elements of B

More about relations

- **Identity relation**: $id(S) = \{(x, x) \mid x \in S\}$
- **Relational composition**: If $R_1 \subseteq A \times B$ and $R_2 \subseteq B \times C$, then the relational composition of R_1 and R_2 (denoted $R_1 \circ R_2$) is: $R_1 \circ R_2 = \{(a,c) \mid \text{There is some } b \in R \text{ such that } (a,b) \in R_1 \text{ and } (b,c) \in R_2\}$
- For any $R \subseteq A \times B$, we have $id(A) \circ R = R = R \circ id(B)$
- **Exercise**: For binary relations $R_1, R_1' \subseteq A \times B$ and $R_2, R_2' \subseteq B \times C$ with $R_1 \subseteq R_1'$ and $R_2 \subseteq R_2'$, show that $R_1 \circ R_2 \subseteq R_1' \circ R_2'$.

More about relations

- **Relational inverse**: For $R \subseteq A \times B$, the inverse of R is defined as $R^- = \{(y,x) \mid xRy\}$
- For every set S, $(id(S))^- = id(S)$, and for every relation R, $(R^-)^- = R$
- **Exercise**: Show that for any relations R_1 and R_2 , $(R_1 \circ R_2)^- = (R_2)^- \circ (R_1)^-$
- Reflexivity: $R \subseteq S \times S$ is reflexive if xRx for every $x \in S$.
- Symmetry: $R \subseteq S \times S$ is symmetric if xRy implies yRx.
- Transitivity: $R \subseteq S \times S$ is transitive if xRy and yRz implies xRz.
- Equivalence relation: Reflexive, symmetric, and transitive
- *R* is *functional* (i.e. corresponding to a **function**) if xRy and xRz implies y = z (any $x \in A$ related to at most one $y \in B$)

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Functions

- Consider a functional relation $R_f \subseteq A \times B$.
- We write $f: A \to B$ and f(x) = y whenever $(x, y) \in R_f$ (often denoted $x \mapsto y$)
- A is the pre-domain of f and B is the co-domain of f
- R_f is the graph of f
- dom(f) and rng(f) defined as for R_f
- We call f a total/one-one/onto function if R_f is total/one-one/onto
- *f* is called a **bijection** if it is both one-one and onto
- For a bijective f, there exists a natural inverse f^{-1} (whose graph is R_f^-)
- Sets A and B are said to be in bijection with each other if there is a bijection f such that f: A → B.

Back to infinite sets

- A set *S* is *countable* if there is a function f which maps \mathbb{N} **onto** *S*
- Can use N to "uniquely count" the elements of *S*
- N is countable (obviously!)
- **Exercise**: Show that the set of odd natural numbers is countable, and has cardinality *equal* to that of \mathbb{N} .
- **Exercise**: Show that $\mathbb{N} \times \mathbb{N}$ is countable.
- The countable union of countable sets remains countable.

More infinite sets

- More {infinite sets}:
 - Q is countable
 - **Z** is countable
 - $A^n = \{(a_1, \dots, a_n) \mid a_i \in A \text{ for each } i\}$ is countable
- {More infinite} sets:
 - The powerset of N is **uncountable**
 - R is uncountable: Shown by Georg Cantor using diagonalization
- Basically a proof by contradiction
- If any subset of a set is uncountable, then the set itself must be uncountable

Cantor's diagonal argument

- Assume (0,1) is countable.
- (Countable) set *S* of all non-terminating decimals in (0,1)
 - Each real number has just one representation
 - Each rational has two choose the one with infinitely many trailing 9s
- Some onto function $f: \mathbb{N} \to S$ exists
- Can put each element of S in its own row (element x goes into row i if
 f(i) = x)
- Each element of the form o.d_{io}d_{i1} . . . for every i
- Put $r_i = d_{i0}d_{i1}...$ in the i^{th} row

Cantor's diagonal argument (contd.)

• Consider a non-terminating decimal $r = u_0 u_1 \dots s.t. u_i \neq d_{ii}$.

For example,
$$u_i = \begin{cases} d_{ii} + 1, & \text{if } d_{ii} < 9 \\ d_{ii} - 1, & \text{otherwise} \end{cases}$$

- Essentially: choose an r such that the digit at the i^{th} position is different from that along the diagonal of our enumeration. $r \in S$.
- For every m there is already some r_m in the m^{th} row
- But the m^{th} digit of r was chosen to be different from d_{mm} , so $r \neq r_m$
- There is no $k \in \mathbb{N}$ s.t. f(k) = r, which contradicts the onto-ness of f!