

# NONDETERMINISM

## Intersection:

If  $A$  and  $B$  are regular (s.t.  $A = \mathcal{L}(M_1)$  and  $B = \mathcal{L}(M_2)$ ),  
is  $A \cap B$  regular?

Suppose  $A \cap B$  is indeed regular, recognized by a DFA  $M$ .  
What strings does  $M$  accept?

Key idea: Run  $M_1$  and  $M_2$  simultaneously on the input word  
If both accept, then accept, otherwise reject

Do a cross-product of the machines

$$M_1 = (Q_1, \Sigma, \delta_1, q_0^1, F_1)$$

$$M_2 = (Q_2, \Sigma, \delta_2, q_0^2, F_2)$$

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$Q = Q_1 \times Q_2 = \{(q, q') \mid q \in Q_1, q' \in Q_2\}$$

$$q_0 = (q_0^1, q_0^2)$$

$$F = \{(q, q') \mid q \in F_1, q' \in F_2\}$$

$$\delta((q, q'), a) = (\delta_1(q, a), \delta_2(q', a))$$

Exercise: Prove that  $L(M) = L(M_1) \cap L(M_2)$ .

## Union:

If  $A$  and  $B$  are regular (s.t.  $A = \mathcal{L}(M_1)$  and  $B = \mathcal{L}(M_2)$ ),  
is  $A \cup B$  regular?

Suppose  $A \cup B$  is indeed regular, recognized by a DFA  $M$ .  
What strings does  $M$  accept?

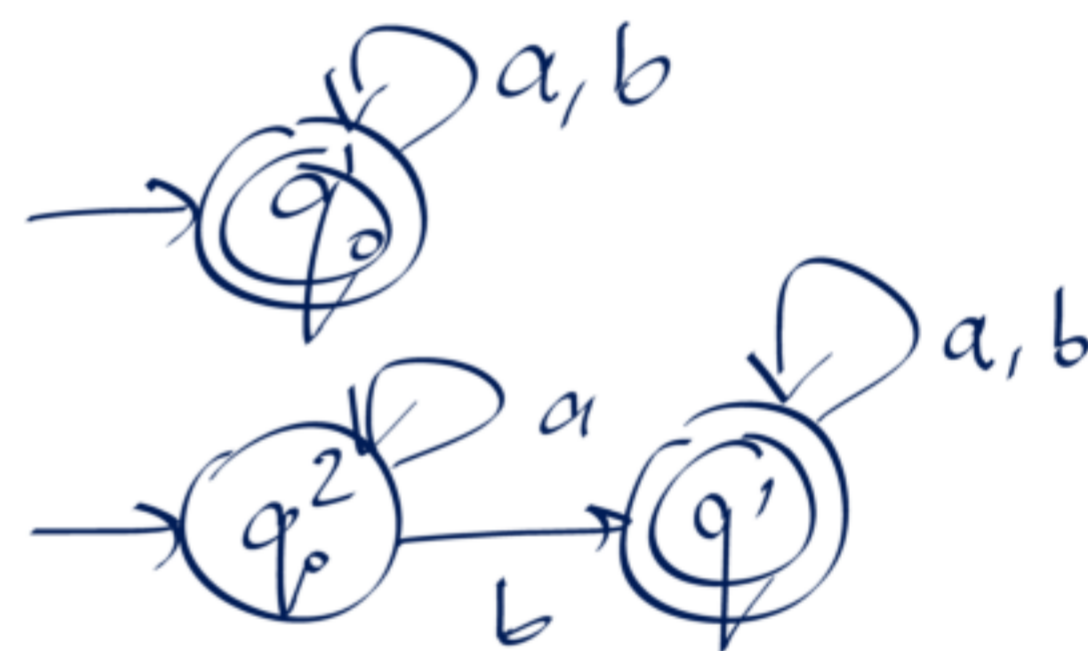
Key idea: Run  $M_1$  and  $M_2$  simultaneously on the input word  
 If either accepts, then accept, otherwise reject

$$M_1 = (Q_1, \Sigma, \delta_1, q_0^1, F_1)$$

$$M_2 = (Q_2, \Sigma, \delta_2, q_0^2, F_2)$$

$$M = (Q, \Sigma, \delta, q_0, F)$$

$q_0$  same       $Q$  same  
 $\delta$  same



$$F = \left\{ (q, q') \mid \begin{array}{l} q \in F_1 \text{ or } q' \in F_2 \\ \text{and} \quad \text{and} \\ q' \in Q_2 \quad q \in Q_1 \end{array} \right\}$$

Exercise: Prove that  $L(M) = L(M_1) \cup L(M_2)$ .

Now, we can consider two new kinds of ("regular") operations.

### Concatenation:

If  $A$  and  $B$  are regular (s.t.  $A = \mathcal{L}(M_1)$  and  $B = \mathcal{L}(M_2)$ ),  
is  $A \circ B$  regular, where

$$A \circ B = \{ xy \mid x \in A, y \in B \} ?$$

Star: If  $A$  is regular (s.t.  $A = \mathcal{L}(M)$ ), is

$$A^* = \{ \omega_1 \omega_2 \dots \omega_n \mid n \geq 0, \text{ each } \omega_i \in A \} \text{ regular?}$$

Both these operations require the machine to "know" where a "relevant" substring ends, so as to check membership in the appropriate regular language. How can it know that?

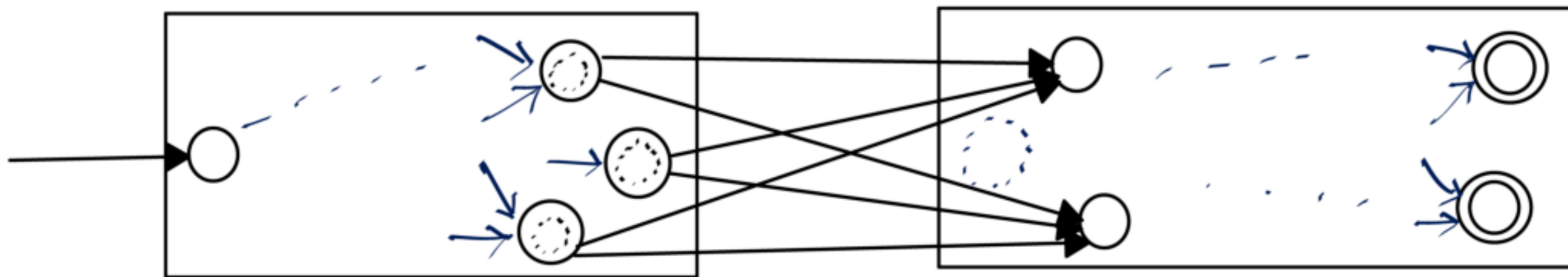
**Reg** is closed under union, intersection, and complementation

### Concatenation:

If  $A$  and  $B$  are regular (s.t.  $A = \mathcal{L}(M_1)$  and  $B = \mathcal{L}(M_2)$ ),  
is  $A \circ B = \{xy \mid x \in A, y \in B\}$  regular?

Suppose  $A \circ B$  is regular.

What does a DFA recognizing  $A \circ B$  look like?



Consider the following languages over  $\Sigma = \{a, b\}$

$L_A$  : all strings containing at least one  $a$  ( $M_1$ )

$L_B$  : all strings containing at least one  $b$  ( $M_2$ )

What do  $M_1$ ,  $M_2$ , and  $M$  look like?

The machine needs to "know" when a "relevant" substring ends, and check membership in the appropriate language accordingly.

How can it know such a thing? *Magic!*

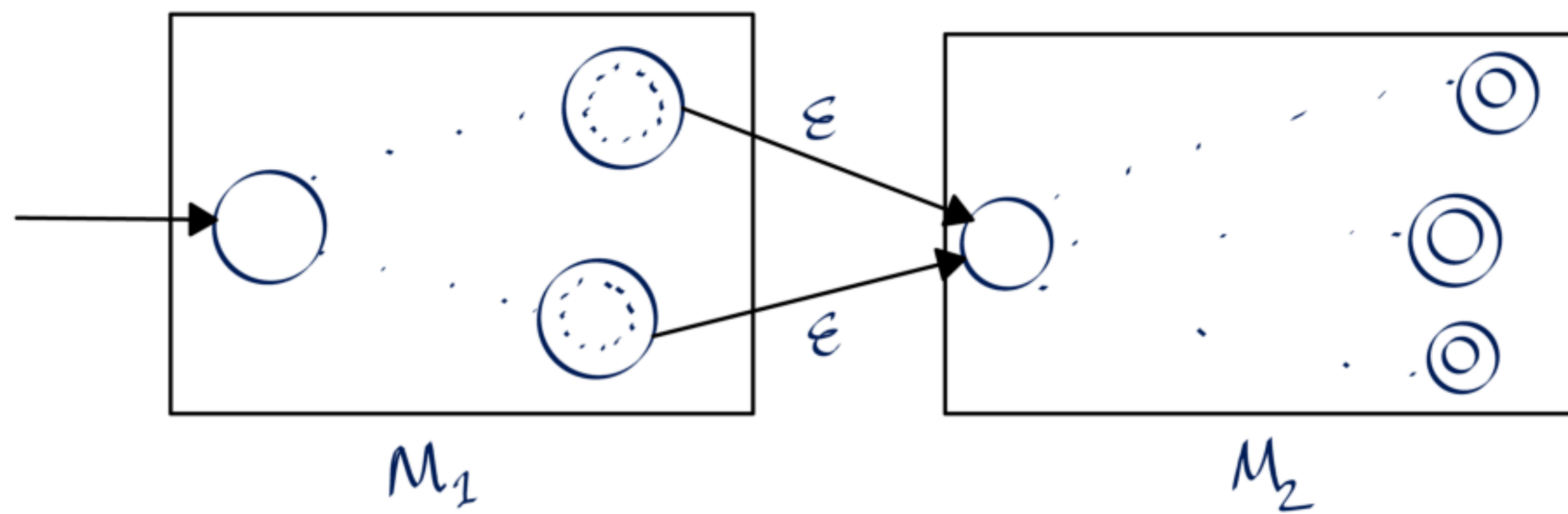
Suppose the machine could correctly *guess* when the substring  $x$  ends and  $y$  begins, s.t.  $x \in A$  and  $y \in B$ .

Then we add the transitions between the "appropriate" states, and done!

The question is: what labels do these transitions take on?

Must not affect the behaviour of  $M_1$  and  $M_2$ ,  
but still allow this "magically correct" guess!

We move, therefore, to an extended model of computation,  
a *nondeterministic finite-state automaton (NFA)*.



## DFA

$$M = (Q, \Sigma, \delta, q_0, F)$$

$Q$ : finite set of states

$\Sigma$ : alphabet

$\delta$ : transition function

$$\delta: Q \times \Sigma \rightarrow Q$$

$q_0$ : initial state  $\in Q$

$F$ : set of accepting states  $\subseteq Q$

$M$  accepts a word  $w$  iff  
the run of  $M$  on  $w$  terminates  
in a final state from  $F$ .

## NFA

$$M = (Q, \Sigma \cup \{\epsilon\}, \Delta, Q_0, F)$$

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$$\Sigma \cup \{\epsilon\} = \Sigma_\epsilon$$

$\Delta$ : transition relation

$$\Delta \subseteq Q \times \Sigma_\epsilon \times Q$$

$Q_0$ : Set of initial states  $\subseteq Q$

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$M$  accepts a word  $w$  iff  
 $M$  has at least one run on  $w$   
which terminates in a state  $\in F$ .