

Bath Observables with HOPS

Energy Flow in Strongly Coupled Open Quantum Systems

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Situation

Consider an open quantum system

$$H = \underbrace{H_S}_{\text{"small"}} + \underbrace{H_I}_{?} + \underbrace{H_B}_{\text{"big", simple}} \quad (1)$$

with $[H_S, H_B] = 0$.

¹1, 2.

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- ▶ strong coupling: $\langle H_I \rangle \sim \langle H_S \rangle \Rightarrow$ we can't neglect the interaction \Rightarrow thermodynamics?

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- ▶ strong coupling: $\langle H_I \rangle \sim \langle H_S \rangle \Rightarrow$ we can't neglect the interaction \Rightarrow thermodynamics?
- ▶ but what is clear: *need to get access to exact dynamics of H_I, H_B*

¹1, 2.

Is that possible?

Is that possible? Yes.

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Using HOPS :)

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Sneak Peek

We will be able to calculate $\frac{d\langle H_B \rangle}{dt}$ (and $\langle H_I \rangle$).

- ▶ and still more general observables (omitted)

Is that possible? Yes. Using HOPS :)

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- ▶ and still more general observables (omitted)
- ▶ won't call this *heat-flow* because it isn't *the* thermodynamic heat flow
- ▶ nevertheless: may be interesting *qualitative* measure for energy flow

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Standard Model of Open Systems

In the following we will work with models of the form²

$$H = H_S(t) + \sum_{n=1}^N \left[H_B^{(n)} + (L_n^\dagger(t)B_n + \text{h.c.}) \right], \quad (2)$$

where

- ▶ H_S is the System Hamiltonian
- ▶ $H_B^{(n)} = \sum_\lambda \omega_\lambda^{(n)} a_\lambda^{(n),\dagger} a_\lambda^{(n)}$
- ▶ $B_n = \sum_\lambda g_\lambda^{(n)} a_\lambda^{(n)}$.

²Sometimes this is called the “Standard Model of Open Systems”.

What remains of the Bath?

Bath Correlation Function

$$\alpha(t-s) = \langle B(t)B(s) \rangle \left(\stackrel{T=0}{=} \sum_{\lambda} |g_{\lambda}|^2 e^{-i\omega_{\lambda}(t-s)} \right) = \frac{1}{\pi} \int J(\omega) e^{-i\omega t} d\omega$$

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Spectral Density

$$J(\omega) = \pi \sum_{\lambda} |g_{\lambda}|^2 \delta(\omega - \omega_{\lambda})$$

- ▶ in thermodynamic limit \rightarrow smooth function
- ▶ here usually: Ohmic SD $J(\omega) = \eta \omega e^{-\omega/\omega_c}$ (think phonons)

NMQSD (Zero Temperature)

Open system dynamics formulated as a *stochastic* differential equation:

$$\partial_t |\psi_t(\eta_t^*)\rangle = -iH(t) |\psi_t(\eta_t^*)\rangle + \mathbf{L} \cdot \eta_t^* |\psi_t(\eta_t^*)\rangle - \sum_{n=1}^N L_n^\dagger(t) \int_0^t ds \alpha_n(t-s) \frac{\delta |\psi_t(\eta_t^*)\rangle}{\delta \eta_n^*(s)}, \quad (3)$$

with

$$\mathcal{M}(\eta_n(t)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)^*) = \delta_{nm}\alpha_n(t-s), \quad (4)$$

by projecting on coherent bath states.³

System state can be recovered by averaging over η

$$\rho_S(t) = \text{tr}_B [|\psi(t)\rangle\langle\psi(t)|] = \mathcal{M}_{\eta_t^*}[|\psi_t(\eta_t)\rangle\langle\psi_t(\eta_t^*)|]. \quad (5)$$

³For details see: [3]

HOPS

Using $\alpha_n(\tau) = \sum_{\mu}^{M_n} G_{\mu}^{(n)} e^{-W_{\mu}^{(n)} \tau}$ we define

$$D_{\mu}^{(n)}(t) \equiv \int_0^t ds G_{\mu}^{(n)} e^{-W_{\mu}^{(n)}(t-s)} \frac{\delta}{\delta \eta_n^*(s)} \quad (6)$$

and $D^{\underline{\mathbf{k}}} \equiv \prod_{n=1}^N \prod_{\mu=1}^{M_n} \sqrt{\frac{\underline{\mathbf{k}}_{n,\mu}!}{(G_{\mu}^{(n)})^{\underline{\mathbf{k}}_{n,\mu}}} \frac{1}{i^{\underline{\mathbf{k}}_{n,\mu}}} (D_{\mu}^{(n)})^{\underline{\mathbf{k}}_{n,\mu}}}$, $\psi_t^{\underline{\mathbf{k}}} \equiv D^{\underline{\mathbf{k}}} \psi_t$ we find

$$\begin{aligned} \dot{\psi}_t^{\underline{\mathbf{k}}} &= \left[-iH_S(t) + \mathbf{L}(t) \cdot \mathbf{n}_t^* - \sum_{n=1}^N \sum_{\mu=1}^{M_n} \underline{\mathbf{k}}_{n,\mu} W_{\mu}^{(n)} \right] \psi_t^{\underline{\mathbf{k}}} \\ &\quad + i \sum_{n=1}^N \sum_{\mu=1}^{M_n} \sqrt{G_{\mu}^{(n)}} \left[\sqrt{\underline{\mathbf{k}}_{n,\mu}} L_n(t) \psi_t^{\underline{\mathbf{k}} - \underline{\mathbf{e}}_{n,\mu}} + \sqrt{(\underline{\mathbf{k}}_{n,\mu} + 1)} L_n^\dagger(t) \psi_t^{\underline{\mathbf{k}} + \underline{\mathbf{e}}_{n,\mu}} \right]. \end{aligned} \quad (7)$$

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Zero Temperature, One Bath, Linear NMQSD

We want to calculate

$$J = -\frac{d \langle H_B \rangle}{dt} = \langle L^\dagger \partial_t B(t) + L \partial_t B^\dagger(t) \rangle_I. \quad (8)$$

Zero Temperature, One Bath, Linear NMQSD

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...some manipulations ...

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...some manipulations ...

Result (NMQSD)

$$J(t) = -i \mathcal{M}_{\eta^*} \langle \psi(\eta, t) | L^\dagger \dot{D}_t | \psi(\eta^*, t) \rangle + \text{c.c.} \quad (9)$$

with $\dot{D}_t = \int_0^t ds \dot{\alpha}(t-s) \frac{\delta}{\delta \eta_s^*}$.

Zero Temperature, One Bath, Linear NMQSD

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Result (HOPS)

$$J(t) = - \sum_{\mu} \sqrt{G_{\mu}} W_{\mu} \mathcal{M}_{\eta^*} \langle \psi^{(0)}(\eta, t) | L^\dagger | \psi^{\mathbf{e}_{\mu}}(\eta^*, t) \rangle + \text{c.c.} \quad (10)$$

Generalizations

- ▶ finite temperatures
- ▶ nonlinear NMQSD/HOPS
- ▶ multiple baths straight forward
- ▶ interaction energy: “removing the dot”...
- ▶ general “collective” bath observables $O_S \otimes (B^a)^\dagger B^b$ with $B = \sum_\lambda g_\lambda a_\lambda$

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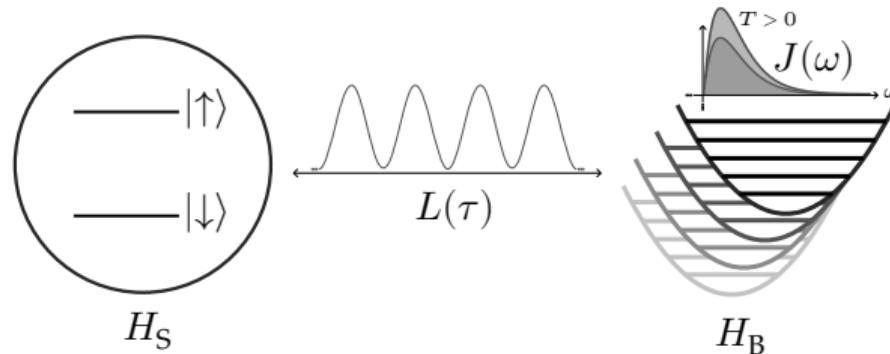
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Model

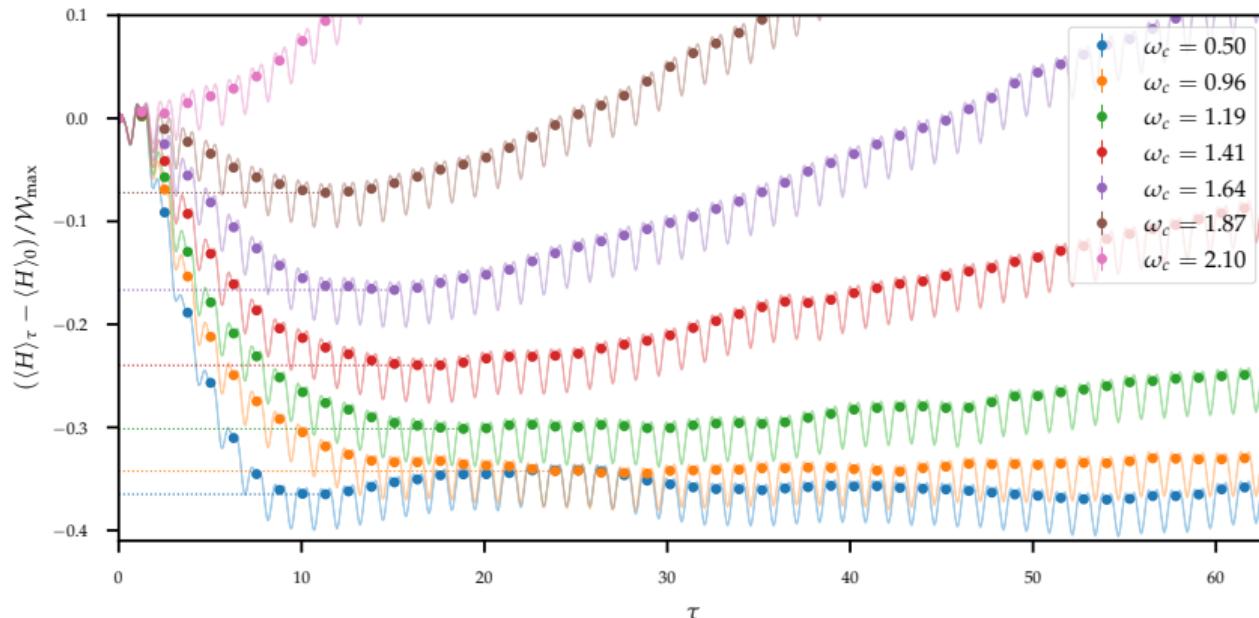


$$H = \frac{1}{2}\sigma_z + \frac{f(\tau)}{2} \sum_{\lambda} (g_{\lambda}\sigma_x^{\dagger}a_{\lambda} + g_{\lambda}^{*}\sigma_xa_{\lambda}^{\dagger}) + \sum_{\lambda} \omega_{\lambda}a_{\lambda}^{\dagger}a_{\lambda}, \quad |\psi_0\rangle_S = |\downarrow\rangle \quad (11)$$

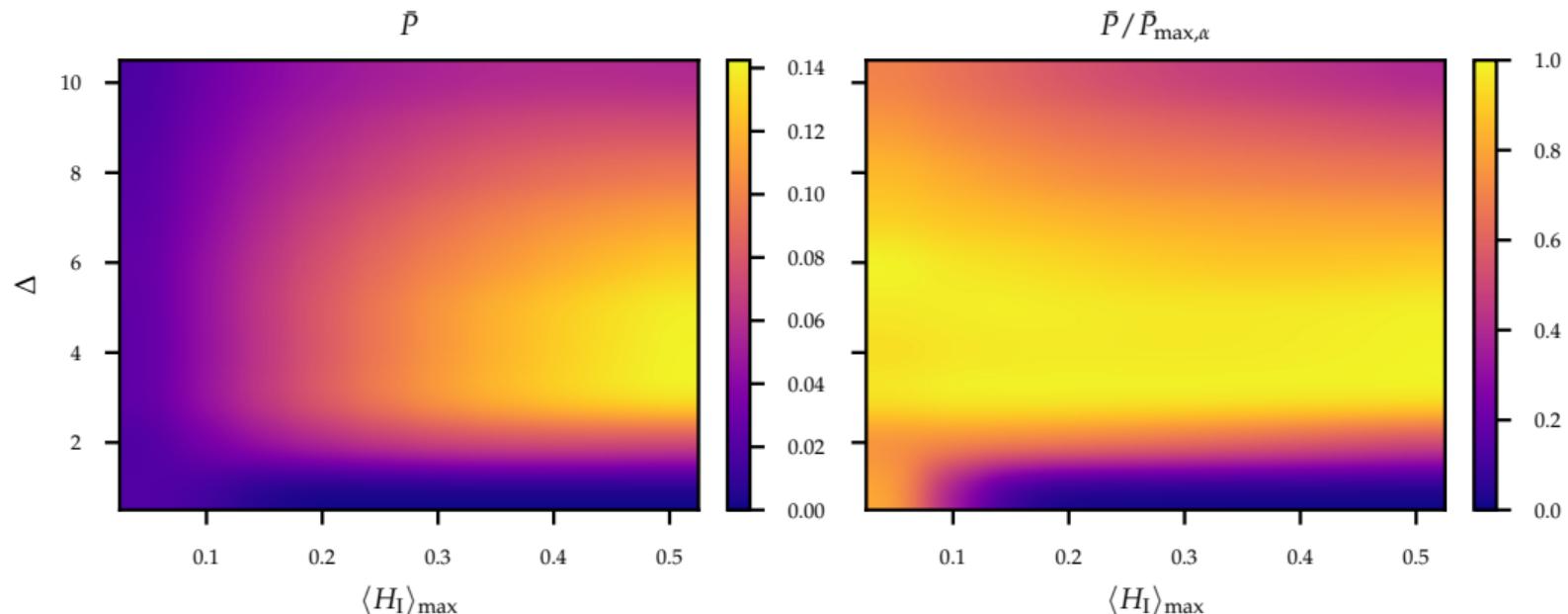
- ▶ $f(\tau) = \sin^2(\frac{\Delta}{2}\tau)$
- ▶ initial state of total system: $\rho_0 = |\downarrow\rangle\langle\downarrow| \otimes \frac{e^{-\beta H_B}}{Z}$
- ▶ Shifted SD for resonance

Extracting Energy from One Bath

► how much energy can be *unitarily* extracted? $\Rightarrow \mathcal{W}_{\max} = \frac{1}{\beta} S(\rho_S \parallel \rho_S^\beta)$



Speed Limit



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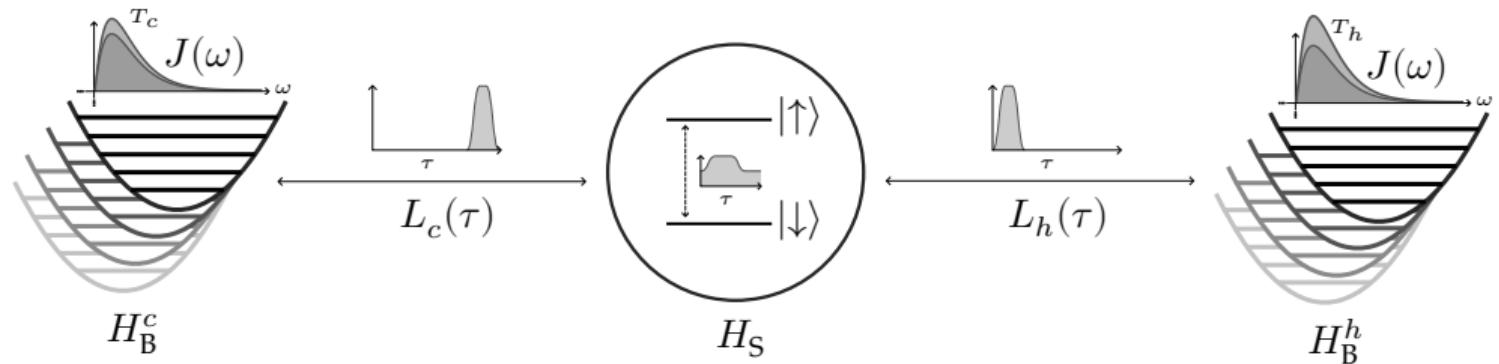
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Quantum Otto Cycle



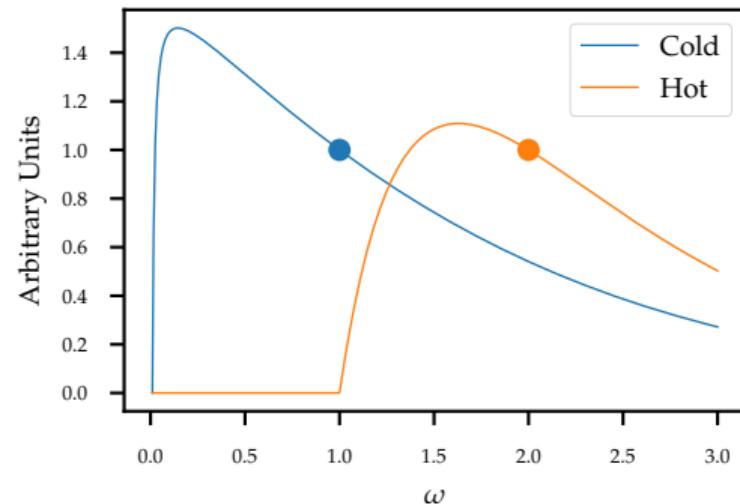
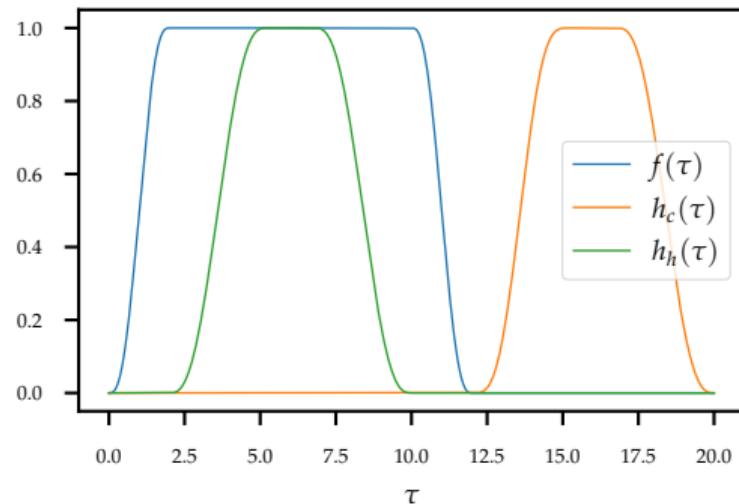
Model

Spin-Boson model with compression of H_S and modulation of L .

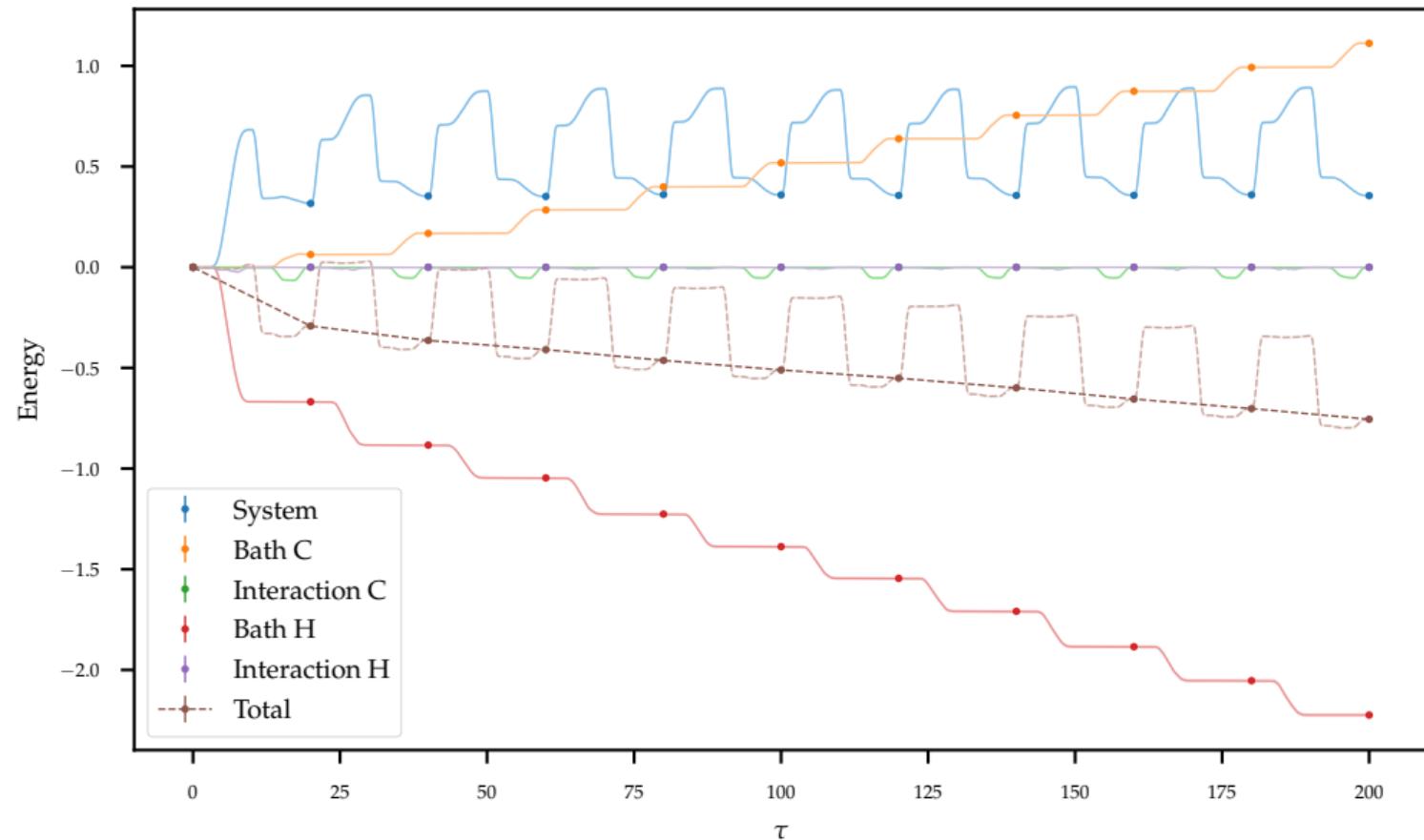
- ▶ classical toy model of the quantum heat engine community⁴

⁴4.

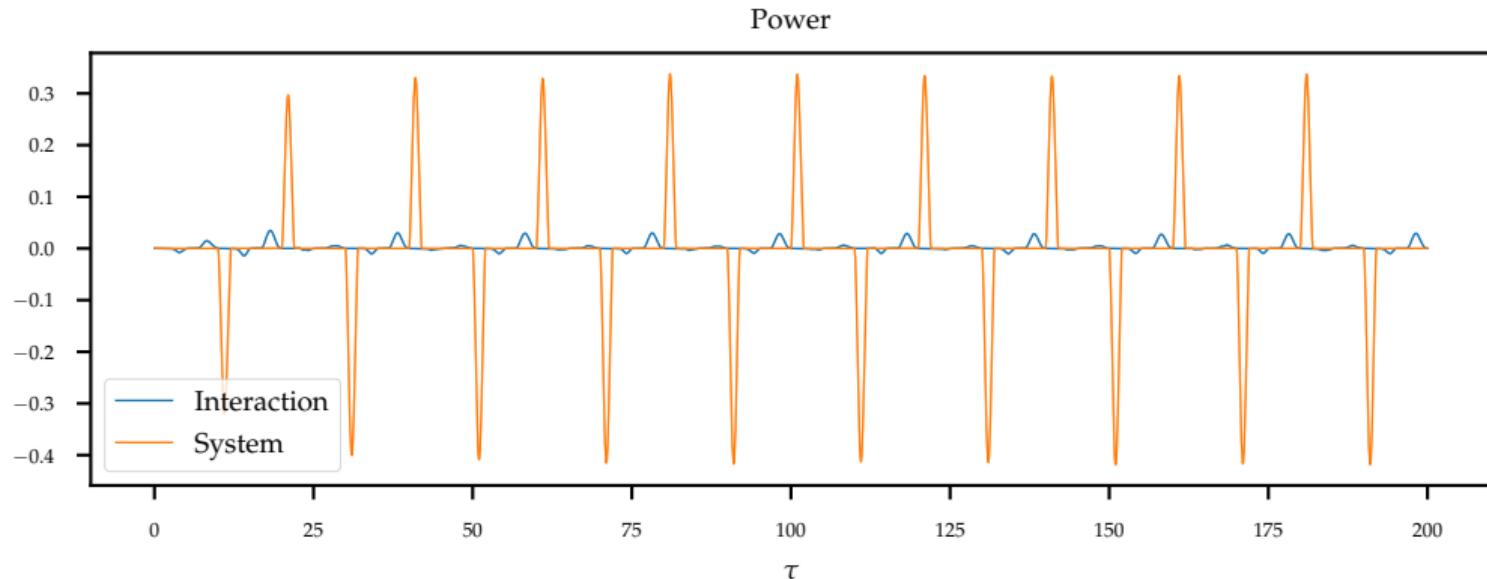
Modulation and Spectral Densities



Full Energy Overview

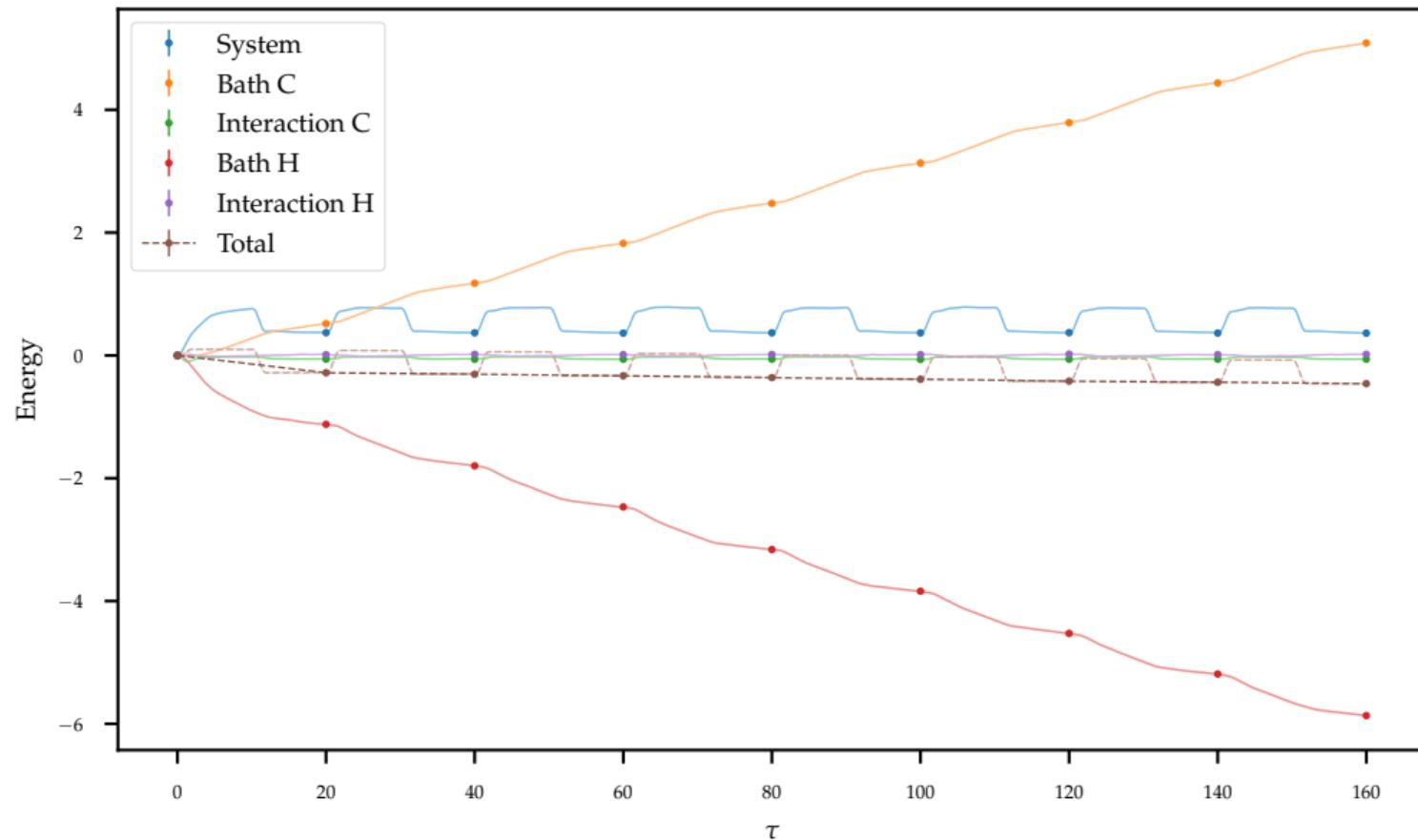


Power Contributions



- ▶ $\bar{P} = .0025$, $\eta \approx 29\%$, $T_c = 1$, $T_h = 20$
- ▶ no tuning of parameters, except for resonant coupling
- ▶ long bath memory $\omega_c = 1$, but weak-ish coupling

Continuously Coupled Version



Current Work

- ▶ better performance through “overlapping” and shifting strokes?
- ▶ stronger coupling any good?
- ▶ non-Markovianity + strong coupling any good?
- ▶ what is the optimal efficiency and power?

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On the “To Do” List

- ▶ verify/falsify weak coupling results in the literature (engines)
- ▶ three-level systems: there is an experimental paper ;)
- ▶ parameter scan of two qubit model
- ▶ filter modes
- ▶ ...

Lessons Learned

- ▶ careful convergence checks pay off
- ▶ surveying literature is important
- ▶ properly documenting observations is a great help and should be done as early as possible
- ▶ applications should be carefully chosen to answer interesting questions
- ▶ numerics are helpful, but physical insights are important
- ▶ comparison with some experiments would have been nice

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Model

$$H = \frac{\Omega}{4}(p^2 + q^2) + \frac{1}{2}q \sum_{\lambda} (g_{\lambda}^* b_{\lambda} + g_{\lambda} b_{\lambda}^\dagger) + \sum_{\lambda} \omega_{\lambda} b_{\lambda}^\dagger b_{\lambda}, \quad (12)$$

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...leading to ...

$$\dot{q} = \Omega p \quad (13)$$

$$\dot{p} = -\Omega q - \int_0^t \Im[\alpha_0(t-s)]q(s) \, ds + W(t) \quad (14)$$

$$\dot{b}_{\lambda} = -ig_{\lambda} \frac{q}{2} - i\omega_{\lambda} b_{\lambda} \quad (15)$$

with the operator noise $W(t) = -\sum_{\lambda} \left(g_{\lambda}^* b_{\lambda}(0) e^{-i\omega_{\lambda} t} + g_{\lambda} b_{\lambda}^{\dagger}(0) e^{i\omega_{\lambda} t} \right)$,
 $\langle W(t)W(s) \rangle = \alpha(t-s)$ and $\alpha_0 \equiv \alpha|_{T=0}$.

Solution through a matrix $G(t)$ with $G(0) = \mathbb{1}$ and

$$\dot{G}(t) = AG(t) - \int_0^t K(t-s)G(s) \, ds, \quad A = \begin{pmatrix} 0 & \Omega \\ -\Omega & 0 \end{pmatrix}, \quad K(t) = \begin{pmatrix} 0 & 0 \\ \Im[\alpha_0(t)] & 0 \end{pmatrix}. \quad (16)$$

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Then

$$\begin{pmatrix} q(t) \\ p(t) \end{pmatrix} = G(t) \begin{pmatrix} q(0) \\ p(0) \end{pmatrix} + \int_0^t G(t-s) \begin{pmatrix} 0 \\ W(s) \end{pmatrix} \, ds. \quad (17)$$

- ▶ “exact” solution via laplace transform and BCF expansion + residue theorem

Result

Solution

$$G(t) = \sum_{l=1}^{N+1} \left[R_l \begin{pmatrix} \tilde{z}_l & \Omega \\ \frac{\tilde{z}_l^2}{\Omega} & \tilde{z}_l \end{pmatrix} e^{\tilde{z}_l \cdot t} + \text{c.c.} \right] \quad (18)$$

with $R_l = f_0(\tilde{z}_l)/p'(\tilde{z}_l)$, f_0, p polynomials, \tilde{z}_l roots of p .

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Solution

$$G(t) = \sum_{l=1}^{N+1} \left[R_l \begin{pmatrix} \tilde{z}_l & \Omega \\ \frac{\tilde{z}_l^2}{\Omega} & \tilde{z}_l \end{pmatrix} e^{\tilde{z}_l \cdot t} + \text{c.c.} \right] \quad (18)$$

with $R_l = f_0(\tilde{z}_l)/p'(\tilde{z}_l)$, f_0, p polynomials, \tilde{z}_l roots of p .

- ▶ note: G doesn't depend on temperature
- ▶ solution very sensitive to precision of the fits and roots

Bath Energy Derivative

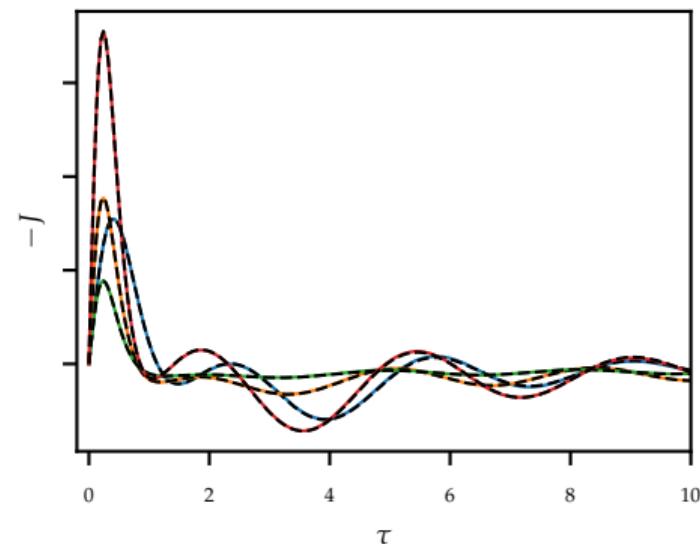
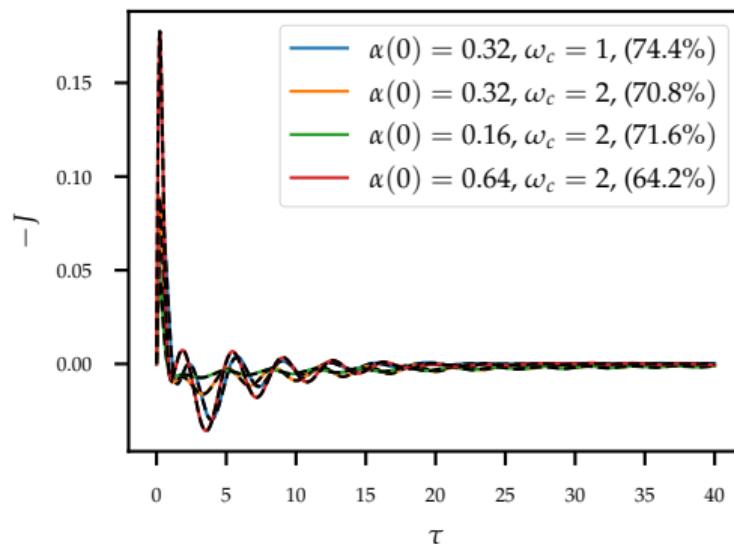
$$\begin{aligned}\langle \dot{H}_B \rangle &= \sum_{\lambda} \omega_{\lambda} (\langle b_{\lambda}^{\dagger} \dot{b}_{\lambda} \rangle + \text{c.c.}) \\ &= -\frac{1}{2} \Im \left[\int_0^t ds \langle q(t)q(s) \rangle \dot{\alpha}_0(t-s) \right] \\ &\quad + \frac{1}{2} G_{12}(t)[\alpha(t) - \alpha_0(t)] - \frac{\Omega}{2} \int_0^t ds G_{11}(s)[\alpha(s) - \alpha_0(s)]\end{aligned}\tag{19}$$

- ▶ becomes huge sum of exponentials (thanks Mathematica)

One Bath, Finite Temperature

Parameters

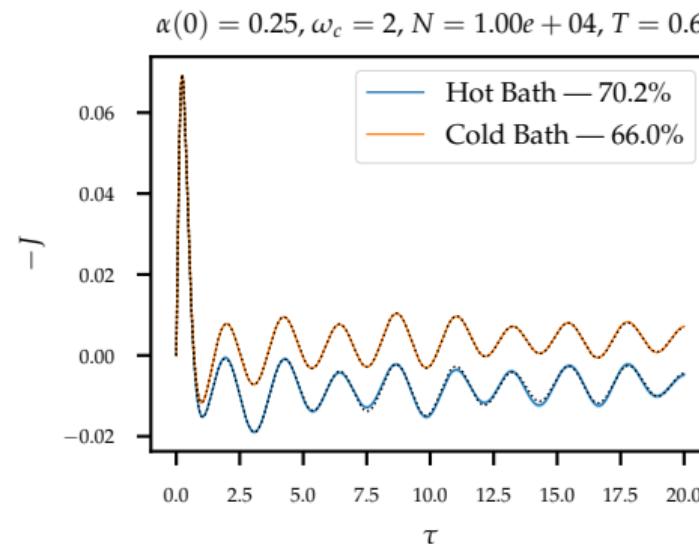
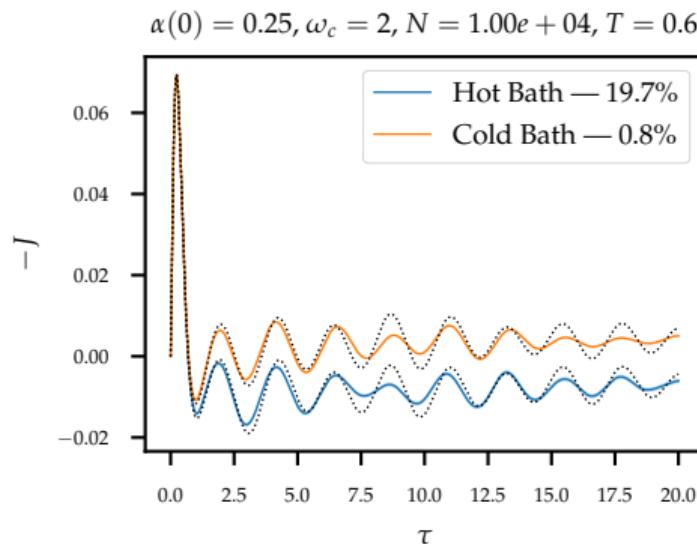
$\Omega = 1$, Ohmic BCF $\frac{\eta}{\pi}(\omega_c/(1+i\omega_c\tau))^2$ with ($\alpha(0) = 0.64, \omega_c = 2$), $N = 10^5$ samples, 15 Hilbert space dimensions, $|\psi(0)\rangle_S = |1\rangle_S$, $T = 1$



Two Baths, Finite Temperature (Gradient)

Parameters

$\Omega = \Lambda = 1$, symmetric Ohmic BCFs with ($\alpha(0) = 0.25$, $\omega_c = 2$), $N = 10^4$ samples, 9 Hilbert space dimensions, $|\psi(0)\rangle_S = |0, 0\rangle_S$, $T = 0.6$, $\gamma = 0.5$



Situation (Longer)

Consider an open quantum system

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with $[H_S, H_B] = 0$.

⁵even in strong coupling equilibrium...

⁶1, 2.

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- ▶ strong coupling: $\langle H_I \rangle \sim \langle H_S \rangle \implies$ we can't neglect the interaction \implies thermodynamics?

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- ▶ we do quantum mechanics \Rightarrow can't separate bath and system

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- ▶ strong coupling: $\langle H_I \rangle \sim \langle H_S \rangle \Rightarrow$ we can't neglect the interaction \Rightarrow thermodynamics?
- ▶ we do quantum mechanics \Rightarrow can't separate bath and system, especially not dynamics!

⁵even in strong coupling equilibrium...

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- ▶ strong coupling: $\langle H_I \rangle \sim \langle H_S \rangle \Rightarrow$ we can't neglect the interaction \Rightarrow thermodynamics?
- ▶ we do quantum mechanics \Rightarrow can't separate bath and system, especially not dynamics!
- ▶ no consensus about strong coupling thermodynamics:

⁵even in strong coupling equilibrium...

⁶1, 2.

Situation (Longer)

Consider an open quantum system

$$H = \underbrace{H_S}_{\text{"small"}} + \underbrace{H_I}_{?} + \underbrace{H_B}_{\text{"big", simple}} \quad (20)$$

with $[H_S, H_B] = 0$.

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- ▶ we do quantum mechanics \Rightarrow can't separate bath and system, especially not dynamics!
- ▶ no consensus about strong coupling thermodynamics:
- ▶ but what is clear: *need to get access to exact dynamics of H_I, H_B*

⁵even in strong coupling equilibrium...

⁶1, 2.

Generalizations

Finite Temperature

$$J(t) = J_0(t) + [\langle L^\dagger \partial_t \xi(t) \rangle + \text{c.c.}] \quad (21)$$

with $\mathcal{M}(\xi(t)) = 0 = \mathcal{M}(\xi(t)\xi(s))$, $\mathcal{M}(\xi(t)\xi^*(s)) = \frac{1}{\pi} \int_0^\infty d\omega \bar{n}(\beta\omega) J(\omega) e^{-i\omega(t-s)}$ and
 $J(\omega) = \pi \sum_\lambda |g_\lambda|^2 \delta(\omega - \omega_\lambda)$.⁷

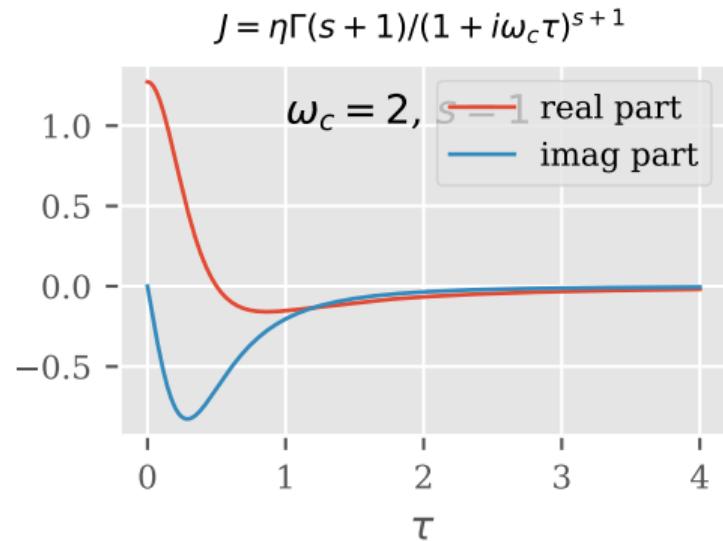
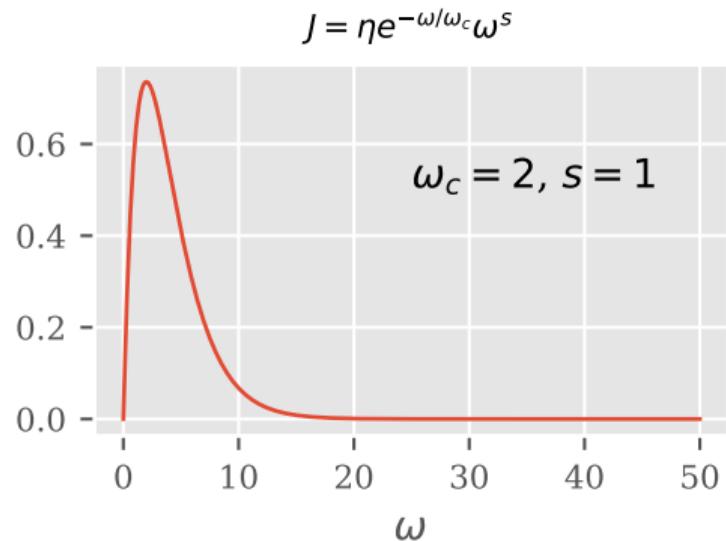
- ▶ finite temperatures
- ▶ nonlinear NMQSD/HOPS
- ▶ multiple baths straight forward
- ▶ interaction energy: “removing the dot”...
- ▶ general “collective” bath observables $O_S \otimes (B^a)^\dagger B^b$ with $B = \sum_\lambda g_\lambda a_\lambda$

⁷ $\partial_t \xi(t)$ exists if correlation function is smooth

More Papers on Thermo

[1, 2, 5–14]

Ohmic SD BCF



NMQSD (Zero Temperature)

Expanding in a Bargmann (unnormalized) coherent state basis [15] $\{|\mathbf{z}^{(1)}, \mathbf{z}^{(2)}, \dots\rangle = |\underline{\mathbf{z}}\rangle\}$

$$|\psi(t)\rangle = \int \prod_{n=1}^N \left(\frac{d\mathbf{z}^{(n)}}{\pi^{N_n}} e^{-|\mathbf{z}|^2} \right) |\psi(t, \underline{\mathbf{z}}^*)\rangle |\underline{\mathbf{z}}\rangle, \quad (22)$$

we obtain

$$\partial_t \psi_t(\mathbf{n}_t^*) = -iH\psi_t(\mathbf{n}_t^*) + \mathbf{L} \cdot \mathbf{n}_t^* \psi_t(\mathbf{n}_t^*) - \sum_{n=1}^N L_n^\dagger \int_0^t ds \alpha_n(t-s) \frac{\delta \psi_t(\mathbf{n}_t^*)}{\delta \eta_n^*(s)}, \quad (23)$$

with

$$\mathcal{M}(\eta_n^*(t)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)) = 0, \quad \mathcal{M}(\eta_n(t)\eta_m(s)^*) = \delta_{nm}\alpha_n(t-s), \quad (24)$$

where $\alpha_n(t-s) = \sum_\lambda |g_\lambda^{(n)}|^2 e^{-i\omega_\lambda^{(n)}(t-s)} = \langle B(t)B(s) \rangle_{I,\rho(0)}$ [16] (fourier transf. of spectral density $J(\omega) = \pi \sum_\lambda |g_\lambda|^2 \delta(\omega - \omega_\lambda)$).

Fock-Space Embedding

As in Ref. [17] we can define

$$|\Psi\rangle = \sum_{\underline{\mathbf{k}}} |\psi^{\underline{\mathbf{k}}}\rangle \otimes |\underline{\mathbf{k}}\rangle \quad (25)$$

where $|\underline{\mathbf{k}}\rangle = \bigotimes_{n=1}^N \bigotimes_{\mu=1}^{N_n} |\underline{\mathbf{k}}_{n,\mu}\rangle$ are bosonic Fock-states.

Now eq. (7) becomes

$$\partial_t |\Psi\rangle = \left[-iH_S + \mathbf{L} \cdot \mathbf{n}^* - \sum_{n=1}^N \sum_{\mu=1}^{M_n} b_{n,\mu}^\dagger b_{n,\mu} W_\mu^{(n)} + i \sum_{n=1}^N \sum_{\mu=1}^{M_n} \sqrt{G_{n,\mu}} (b_{n,\mu}^\dagger L_n + b_{n,\mu} L_n^\dagger) \right] |\Psi\rangle. \quad (26)$$

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\Rightarrow possible to derive an upper bound for the norm of $|\psi^{\underline{\mathbf{k}}}\rangle$ \Rightarrow new truncation scheme

Multiple Baths

- ▶ theory generalizes easily to N baths
- ▶ generalized our HOPS code to N baths
- ▶ solving a model with two coupled HOs is now possible

$$H = \sum_{i \in \{1,2\}} [H_O^{(i)} + q_i B^{(i)} + H_B^{(i)}] + \frac{\gamma}{4} (q_1 - q_2)^2, \quad (27)$$

where $H_O^{(i)} = \frac{\Omega_i}{4}(p_i^2 + q_i^2)$, $B^{(i)} = \sum_{\lambda} (g_{\lambda}^{(i),*} b_{\lambda}^{(i)} + g_{\lambda}^{(i)} b_{\lambda}^{(i),\dagger})$ and $H_B^{(i)} = \sum_{\lambda} \omega_{\lambda} b_{\lambda}^{(i),\dagger} b_{\lambda}^{(i)}$.

One Bath

Other Projects

One Bath, Zero Temperature

Model: Spin-Boson

$$H = \frac{1}{2}\sigma_z + \frac{1}{2} \sum_{\lambda} \left(g_{\lambda} \sigma_x^{\dagger} a_{\lambda} + g_{\lambda}^{*} \sigma_x a_{\lambda}^{\dagger} \right) + \sum_{\lambda} \omega_{\lambda} a_{\lambda}^{\dagger} a_{\lambda}, \quad |\psi_0\rangle_S = |\uparrow\rangle \quad (28)$$

- ▶ how do we check convergence:

One Bath, Zero Temperature

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 - ▶ old: difference of results to some “good” configuration

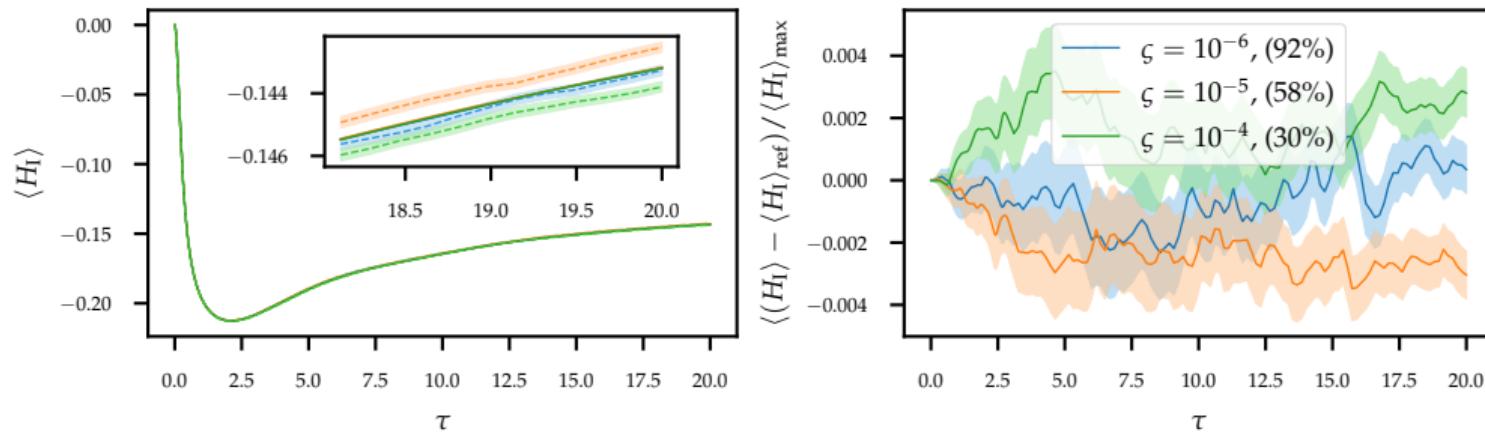
One Bath, Zero Temperature

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- ▶ how do we check convergence:
 - ▶ old: difference of results to some “good” configuration
 - ▶ new: consistency with energy conservation

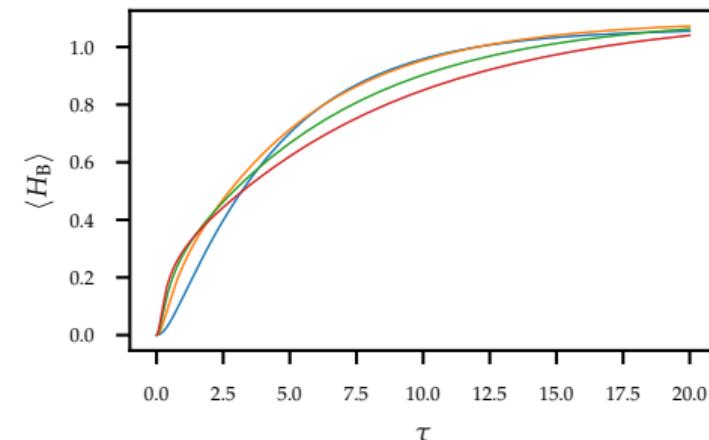
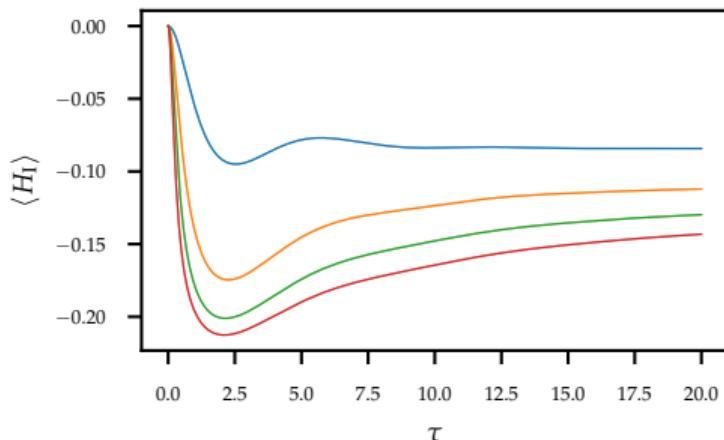
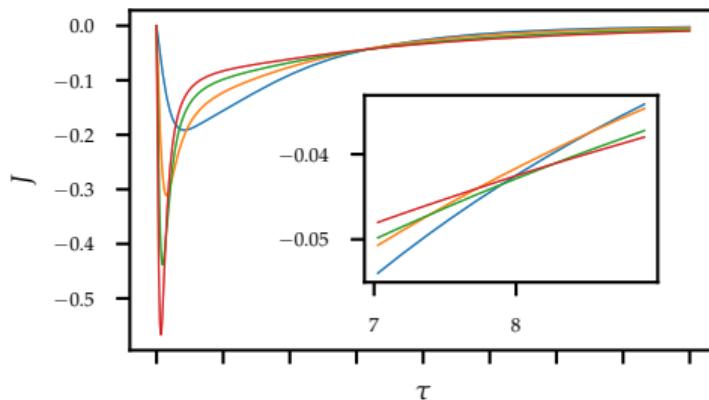
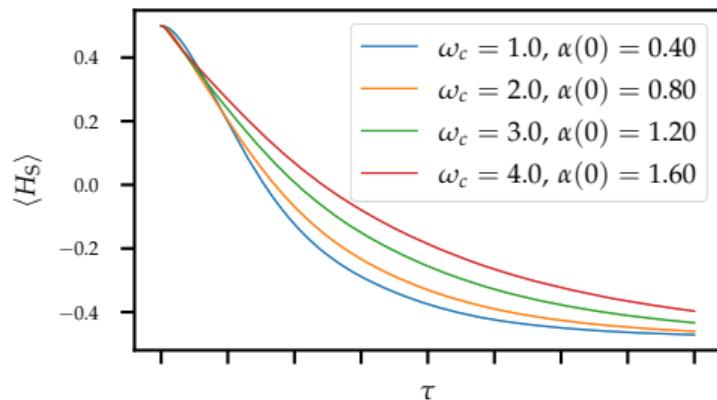
Example: Dependence of the Interaction Energy on Stochastic Process Sampling



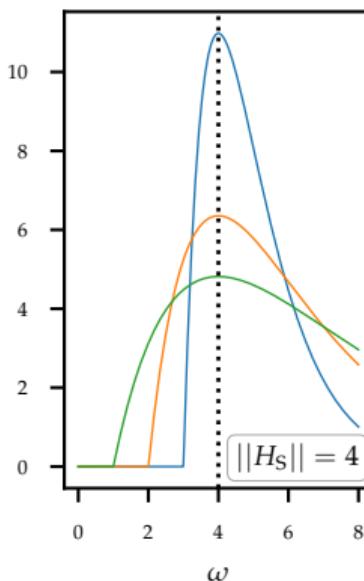
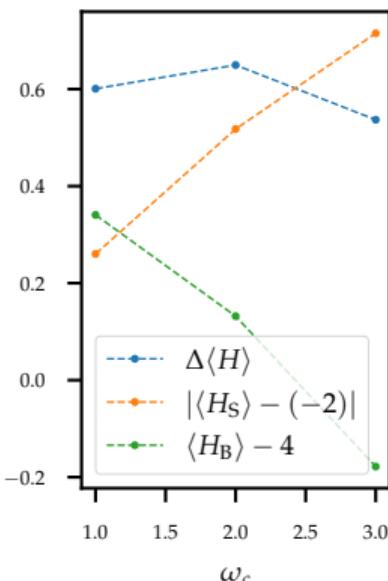
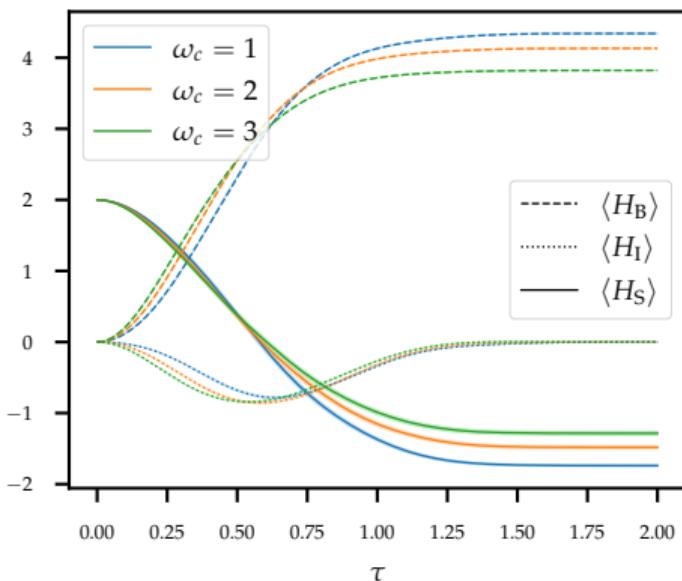
- ▶ $\alpha(0) = 1.6$ and $\omega_c = 4 \implies$ stress HOPS through fast decaying BCF
- ▶ “perfect” results only with very high accuracy⁸ ζ
- ▶ good qualitative results for less extreme configurations (common theme)

⁸smaller ζ is better

Various Cutoff Frequencies

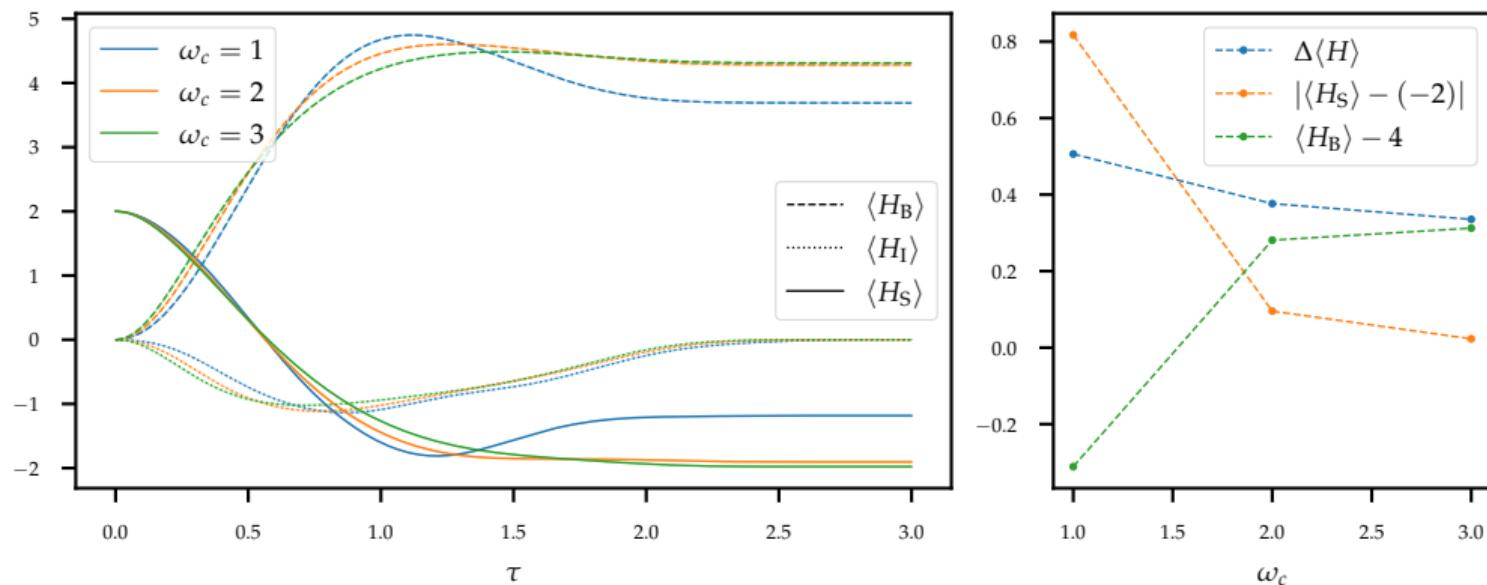


Non-Markovian Dynamics



- ▶ interaction strengths chosen for approx. same interaction energy

Non-Markovian Dynamics



- ▶ interaction strengths chosen for approx. same interaction energy
- ▶ timing important for energy transfer “performance”

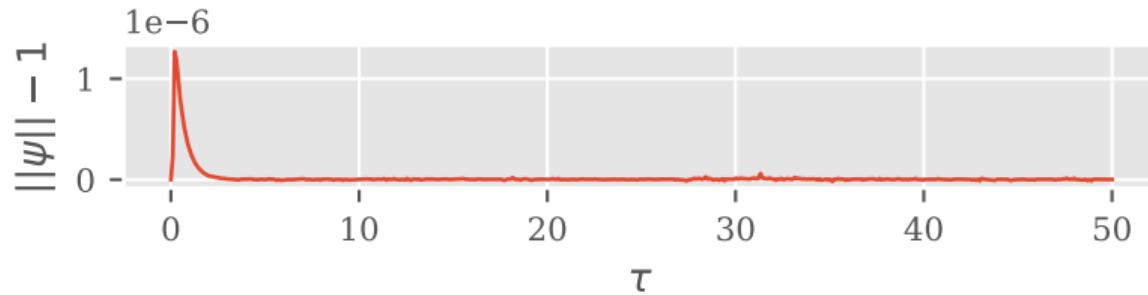
Beware :)

The following is WIP and has not been written up properly yet.

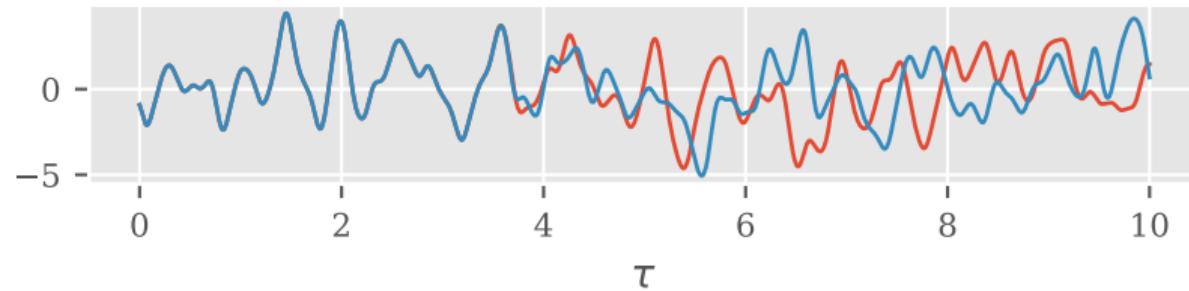
One Bath

Other Projects

- stabilized normalization in nonlinear HOPS



- stochastic process sampling via Cholesky decomposition



► norm based truncation scheme

► promising at “friendly” coupling strengths

