

# A STUDY OF POSITIVE THOMPSON KNOTS VIA MACHINE LEARNING: THE NUMBER OF CONNECTED COMPONENTS

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ABSTRACT.

## 1. PRELIMINARIES AND NOTATIONS.

There are several equivalent definitions of the Thompson groups  $F$  and of the Brown-Thompson group  $F_3$ . In this section we review the definitions that are most appropriate for our work in this paper, namely the one that use tree diagrams. For further information we refer to [13, 8] and [11].

An element of  $F$  is given by a pair of rooted, planar, binary trees  $(T_+, T_-)$  with the same number of leaves. As usual, we draw a pair of trees in the plane with one tree upside down on top of the other. Similarly, the elements of  $F_3$  admit a description in terms of pairs of ternary trees. Two pairs of ternary trees are equivalent if they differ by a pair of opposing carets, see Figure 1. Thanks to this equivalence relation, the following rule defines the multiplication in both  $F$  and  $F_3$ :

FIGURE 1. Pairs of opposing carets in  $F$  and  $F_3$ .

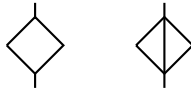


FIGURE 2. The monomorphism  $\iota : F \rightarrow F_3$  is obtained by turning every trivalent vertex of a binary tree diagram into a 4-valent one and connecting the new edges in the only possible planar way.

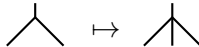
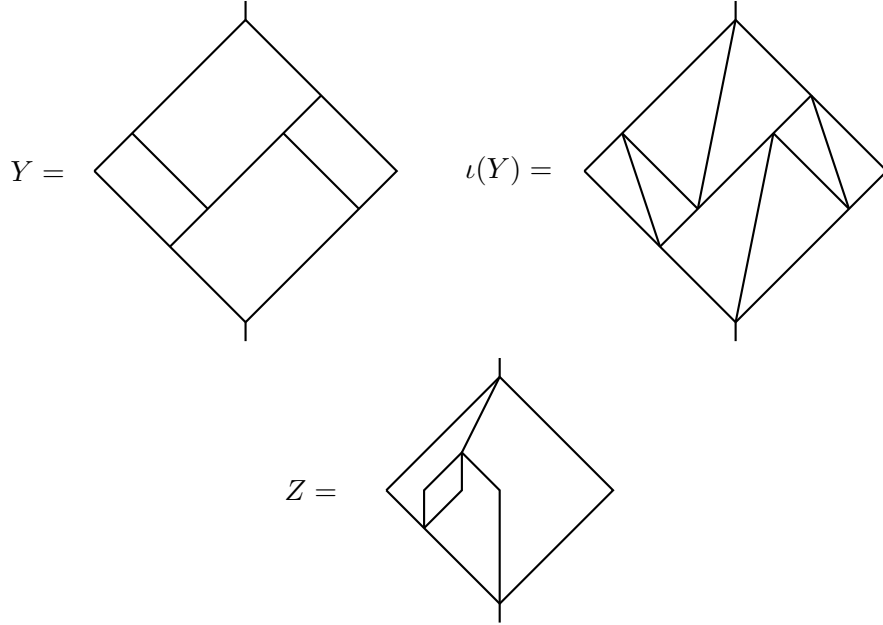


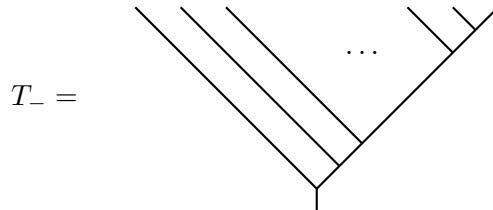
FIGURE 3. A element of  $F$ , its image under the injection  $\iota : F \rightarrow F_3$ , and an element in  $F_3 \setminus \iota(F)$ .



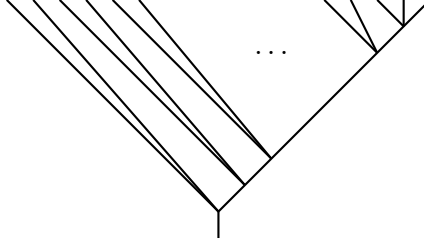
$(T_+, T) \cdot (T, T_-) := (T_+, T_-)$ . The trivial element is represented by any pair  $(T, T)$  and the inverse of  $(T_+, T_-)$  is just  $(T_-, T_+)$ .

There is a natural injection  $\iota : F \hookrightarrow F_3$ . Given  $(T_+, T_-) \in F$ , firstly, add a new leaf to the middle of each vertex (thus turning every trivalent vertex into a 4-valent one, see Figure 2). Then, join the new edges in the only planar way. This yields an element of  $F_3$ . We provide an example in Figure 3.

The positive monoid  $F_+$  of  $F$  consists of the element whose bottom tree may be chosen of the following form

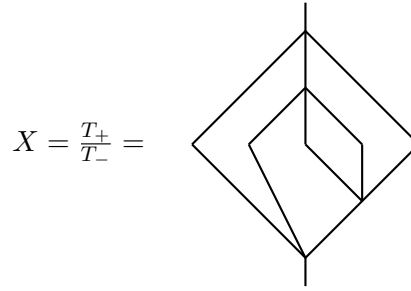


Similarly, the positive monoid  $F_{3,+}$  of  $F_3$  is given by the ternary tree diagrams whose bottom tree is The positive elements of  $F_3$  are those whose bottom tree is of the form

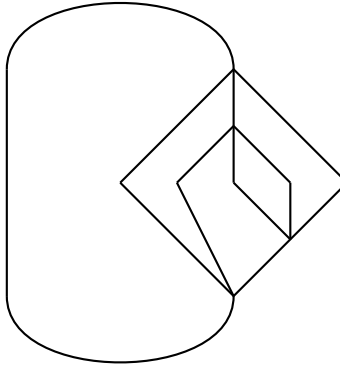


Note that  $\iota(F_+)$  is contained in  $F_{3,+}$ .

We now review Jones's construction of knots from elements of  $F_3$  by giving an explicit example. Consider the element of  $F_3$



Now join the two roots by an edge. Wolog we may suppose that the new edge passes through the point  $(0, 0)$ .

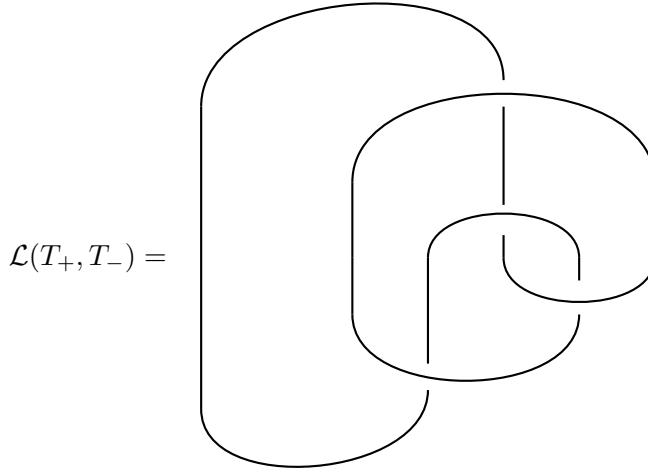


At this stage all the vertices are 4-valent, change them according to the rule displayed in Figure 4 to obtain a knot diagram.

FIGURE 4. The rules needed to turn 4-valent vertices into crossings.



Therefore, in our example we get the knot  $\mathcal{L}(T_+, T_-)$



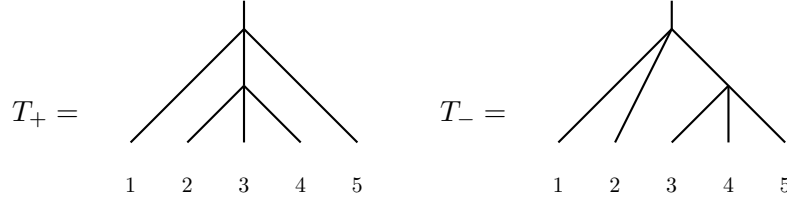
## 2. THOMPSON PERMUTATIONS

In this section we want to assign to each element  $(T_+, T_-)$  of the Brown-Thompson group. First we assign a map from ternary rooted trees to permutations. More precisely, give a tree with  $n$  leaves we construct a permutation of  $S_{n+1}$ , acting on  $\{0, 1, \dots, n\}$ , which is the product of  $n + 1$  transpositions ( $n$  is always odd).

We briefly fix the notation for the permutations, [16, Chapter 3]. Given  $k$  distinct integers  $i_1, \dots, i_k$  in  $\{0, \dots, n\}$ , the symbol  $(i_1, \dots, i_k)$  represents the permutation  $p : \{0, \dots, n\} \rightarrow \{0, \dots, n\}$ , where  $p(i_j) = i_{j+1}$  for  $j < k$ ,  $p(i_k) = i_1$ , and  $p(s) = s$  for all  $s \in \{0, \dots, n\} \setminus \{i_1, \dots, i_k\}$ . When  $k = 2$ , the permutation is called a transposition. A permutation of the form  $(i_1, \dots, i_k)$  is called a  $k$ -cycle. Two cycles are said to be disjoint if they have no integers in common. Every permutation is the product of disjoint cycles.

Given a rooted ternary tree, say with  $2n + 1$  leaves, we show how to construct a permutation on the set  $\{0, \dots, 2n + 1\}$  associated with the tree. We illustrate it with

a couple of examples. Consider the pair of trees



where we numbered the leaves of each tree from left to right (starting from 1). We start with the tree  $T_+$ . We consider each leaf and take a path according to the rules displayed in Figure 5. Each path ends when we meet another leaf or the root (the paths for  $T_+$  are highlighted in red in the figure below).

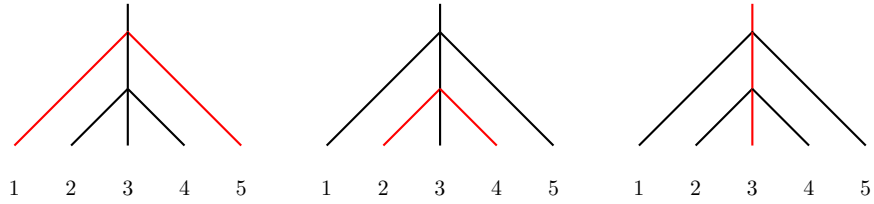
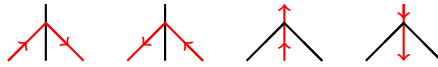
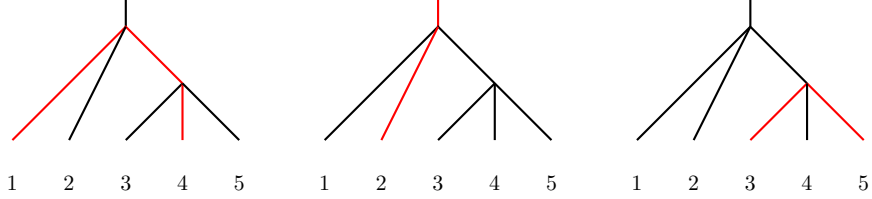


FIGURE 5. Rules for calculating the permutation.



We note that in every tree there exists exactly one path from a leaf, say  $f$ , to the root. For this path we consider the permutation  $(0, f)$ . For example, in our case we have  $(1, 5)$ ,  $(2, 4)$ ,  $(0, 3)$ ,  $(4, 2)$ ,  $(5, 1)$ . Since all the transpositions (but the one corresponding to the root) occur exactly twice, we set aside only one of each. Now we define the permutation  $\pi(T_+) : \{0, 1, \dots, 2n + 1\} \rightarrow \{0, 1, \dots, 2n + 1\}$  to be the product of all these transpositions. We call  $\pi(T_+)$  the tangled permutation associated with  $T_+$ . In this example, we get  $\pi(T_+) = (1, 5)(2, 4)(0, 3)$ .

For the second tree we follow the same procedure. For  $T_-$  the paths are



and the transpositions are  $(1, 4)$ ,  $(0, 2)$ ,  $(3, 5)$ ,  $(4, 1)$ ,  $(5, 3)$ . Therefore, the permutation associated to  $T_-$  is  $\pi(T_-) = (0, 2)(1, 4)(3, 5)$ . Now, the permutation associated with  $(T_+, T_-)$  is defined as  $\mathcal{P}(T_+, T_-) := \pi(T_+) \circ \pi(T_-)$ . We call  $\mathcal{P}(T_+, T_-)$  the Thompson permutation of  $(T_+, T_-)$ . In our example we have

$$\mathcal{P}(T_+, T_-) = (1, 5)(2, 4)(0, 3)(0, 2)(1, 4)(3, 5) = (1, 4, 2, 0, 3, 5)$$

For  $n \geq 1$ , the symmetric group  $S_n$  is the group of permutation on the set  $\{0, 1, \dots, n-1\}$ . We adopt the cycle notation for permutation. Recall that  $(i_1, i_2, \dots, i_k)$  denotes the permutation mapping  $i_1$  to  $i_2$ ,  $i_2$  to  $i_3$ , and so on.

Here we give a formula for the permutation of the bottom tree of a positive element of  $F_3$ . Here we provide a simple formula for the Thompson permutation associated with the standard ternary bottom tree  $T_-^n$  with  $n$  leaves. Note that  $n$  is necessarily an odd number. For the smallest values of  $n$  it holds

$$\pi(T_-^n) \begin{cases} (0, 2)(1, 3) & \text{if } n = 3 \\ (0, 2)(1, 4)(3, 5) & \text{if } n = 5 \\ (0, 2)(1, 4)(3, 6)(5, 7) & \text{if } n = 7 \end{cases}$$

A simple inductive argument yields the following formula

$$(1) \quad \pi(T_-^n) := (0, 2) \left( \prod_{i=0}^{(n-3)/2} (2i+1, 2i+4) \right) (n-2, n) \in S_n$$

where by convention  $\prod_{i=0}^k g_i := g_1 g_2 \cdots g_k$ .

For the top tree we have the following formula. A ternary tree  $T'$  with  $2n+3$  leaves can be constructed from a tree  $T$  with  $2n+1$  by attaching to it a ternary caret below one of its leaves, say the  $k$ -th leaf. Let  $\pi(T)$  the permutation acting on  $\{0, 2n\}$ . Then  $\pi(T')$  is given by the following formula

$$\pi(T')(j) := \begin{cases} \pi(T)(j) & \text{if } j \leq k-1 \text{ and } \pi(T)(j) \leq k-1 \\ k+1 & \text{if } j \leq k-1 \text{ and } \pi(T)(j) = k \\ \pi(T)(j)+2 & \text{if } j \leq k-1 \text{ and } \pi(T)(j) \geq k+1 \\ k+2 & \text{if } j = k \\ k & \text{if } j = k+2 \\ \pi(T)(j-2)+2 & \text{if } j \geq k+3 \text{ and } \pi(T)(j-2) \geq k+1 \\ k+1 & \text{if } j \geq k+3 \text{ and } \pi(T)(j-2) = k \\ \pi(T)(j-2) & \text{if } j \geq k+3 \text{ and } \pi(T)(j-2) \leq k-1 \end{cases}$$

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