

3D Bias Correction with Deep Learning in the Integrated Forecasting System

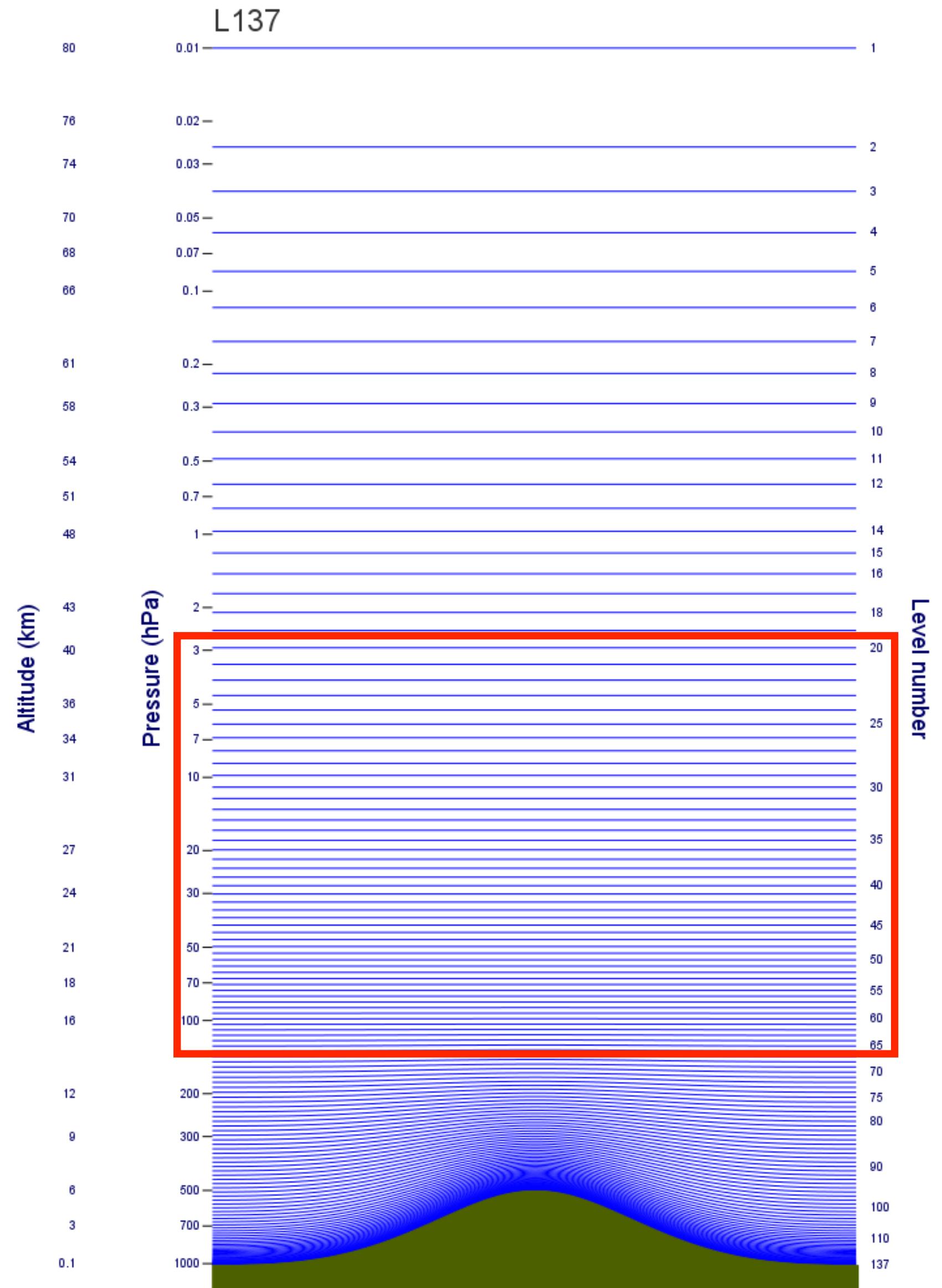
Thorsten Kurth*, David Hall (NVIDIA)
Patrick Laloyaux, Peter Dueben (ECMWF)

Joint IS-ENES3/ESIWACE2 Workshop, 18.03.2021

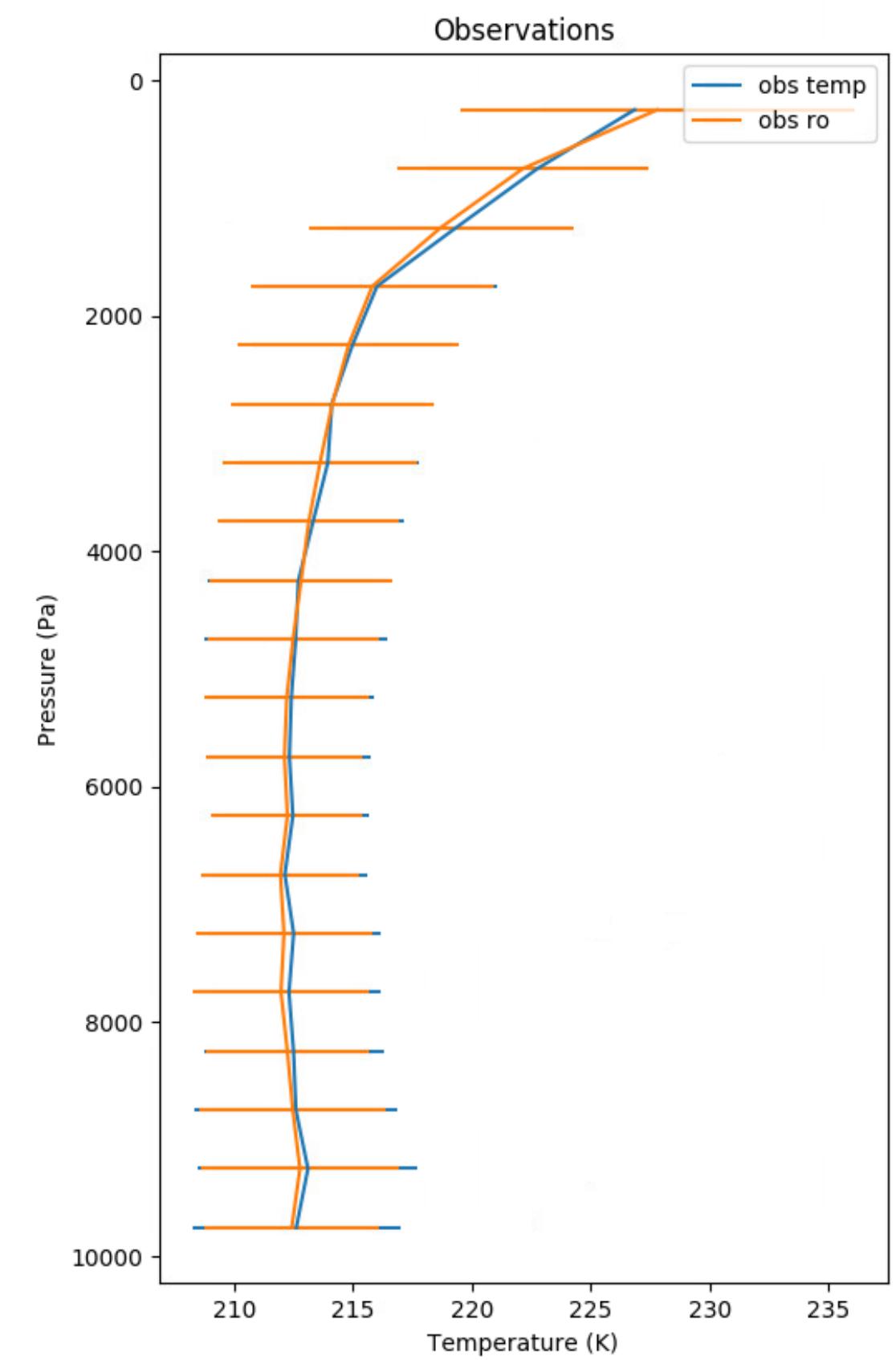
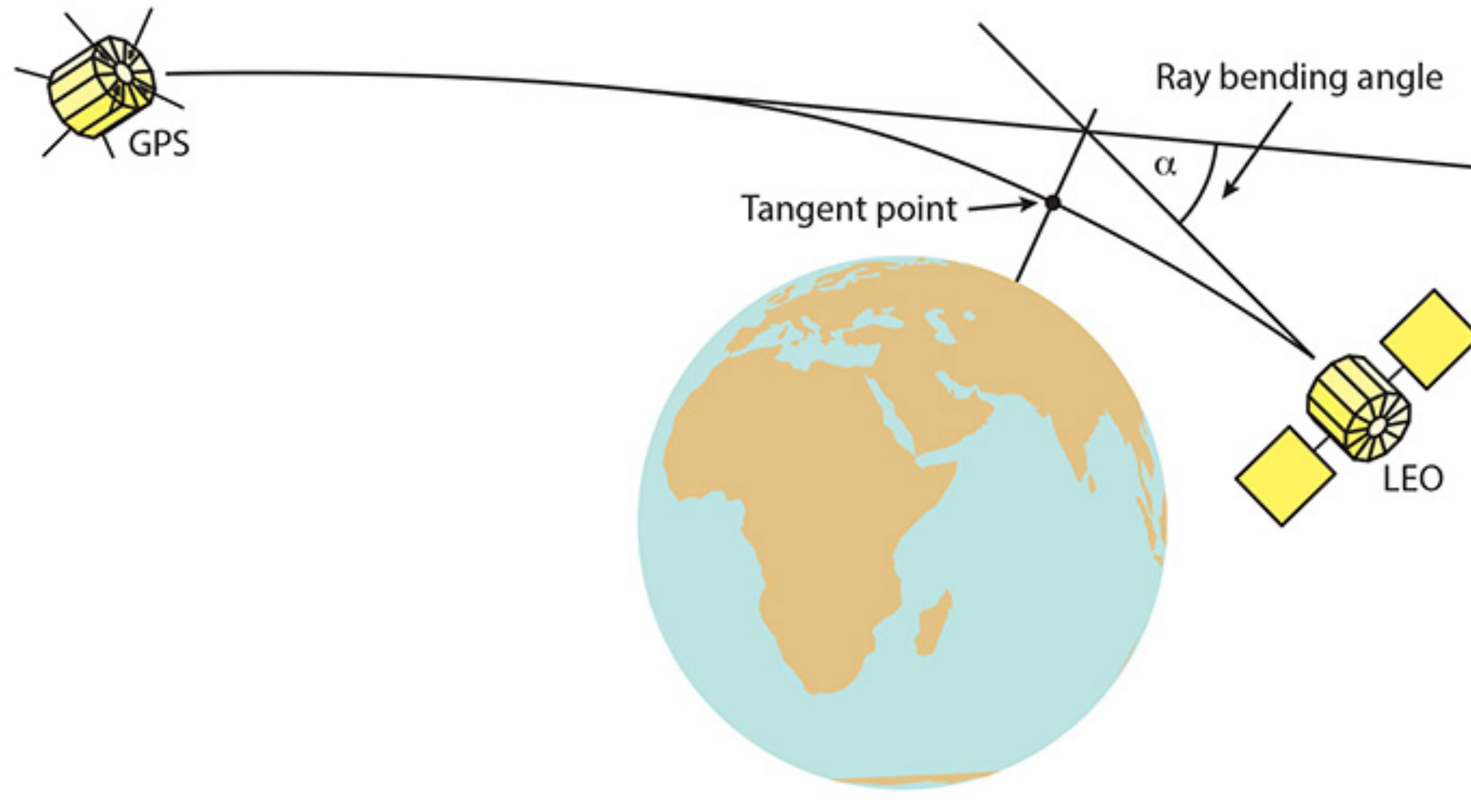


Problem Description

- ECMWF is developing their own atmospheric model: Integrated Forecasting System (IFS)
- like all models, it has systematic uncertainties
- systematic uncertainties largest for altitudes 15-40 km (levels ~20-65)
- improve data by observing this part using instruments, e.g. sounding balloons and satellite (radio occultation)



Radio Occultation

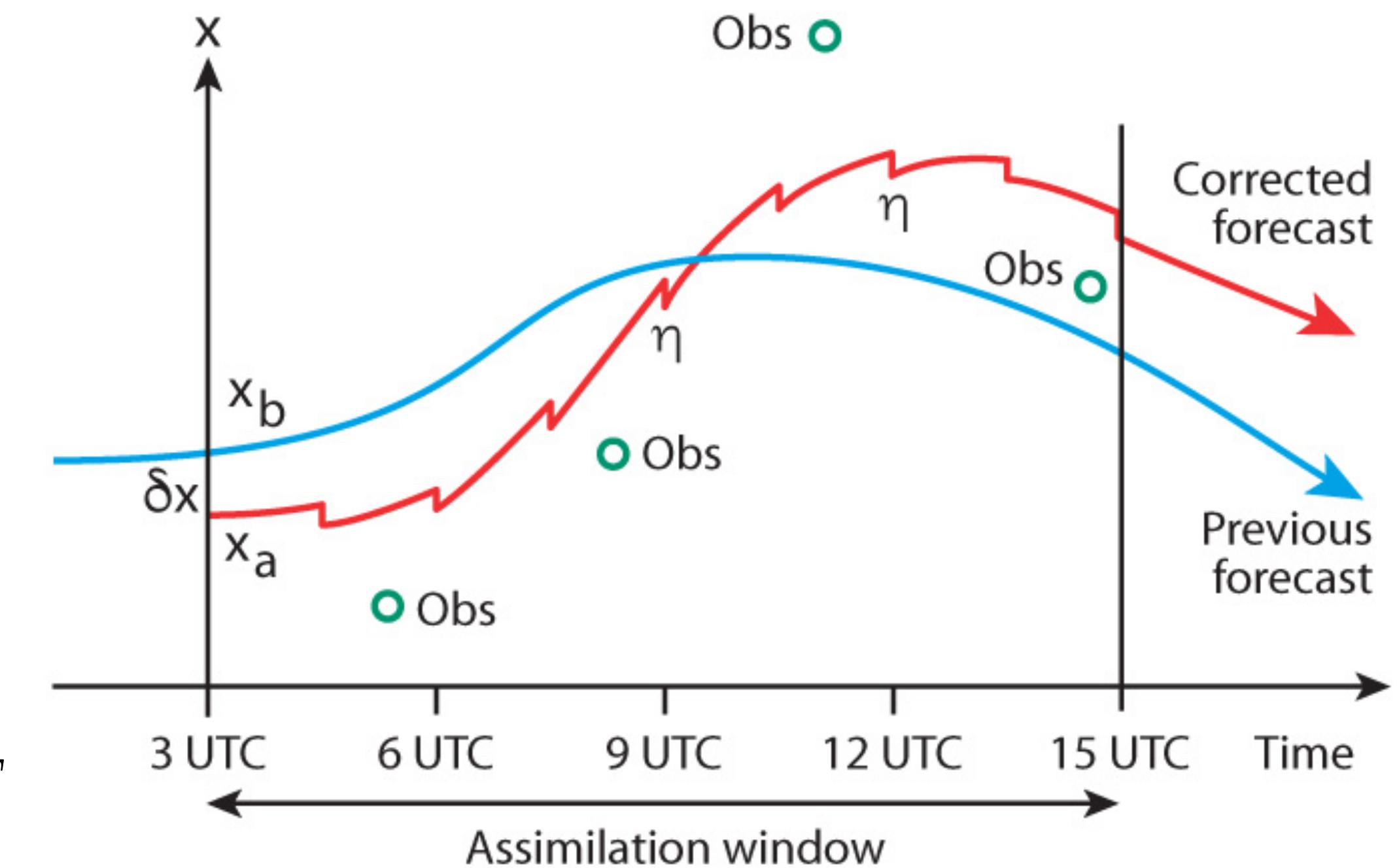


- observe bending of radio signals in atmosphere between GPS satellite and low-earth-orbiting satellite (LEO)
- as LEO moves behind earth, bending profile can be obtained
- infer temperature profile from that bending profile
- accuracy similar to conventional probes but better coverage

Weak-constrained-4D-Var

- correct model using observations
- estimation of bias term main focus of this talk

$$\begin{aligned}
 J(x_0, \beta, \eta) = & \frac{1}{2} (x_0 - x_b)^T \mathbf{B}^{-1} (x_0 - x_b) \\
 & + \frac{1}{2} \sum_{k=0}^K \left(y_k - b(x_k, \beta) - \mathcal{H}(x_k) \right)^T \\
 & \quad \mathbf{R}_k^{-1} \left(y_k - b(x_k, \beta) - \mathcal{H}(x_k) \right) \\
 & + \frac{1}{2} (\beta - \beta_b)^T \mathbf{B}_\beta^{-1} (\beta - \beta_b) \\
 & + \frac{1}{2} (\eta - \eta_b)^T \mathbf{Q}^{-1} (\eta - \eta_b)
 \end{aligned}$$

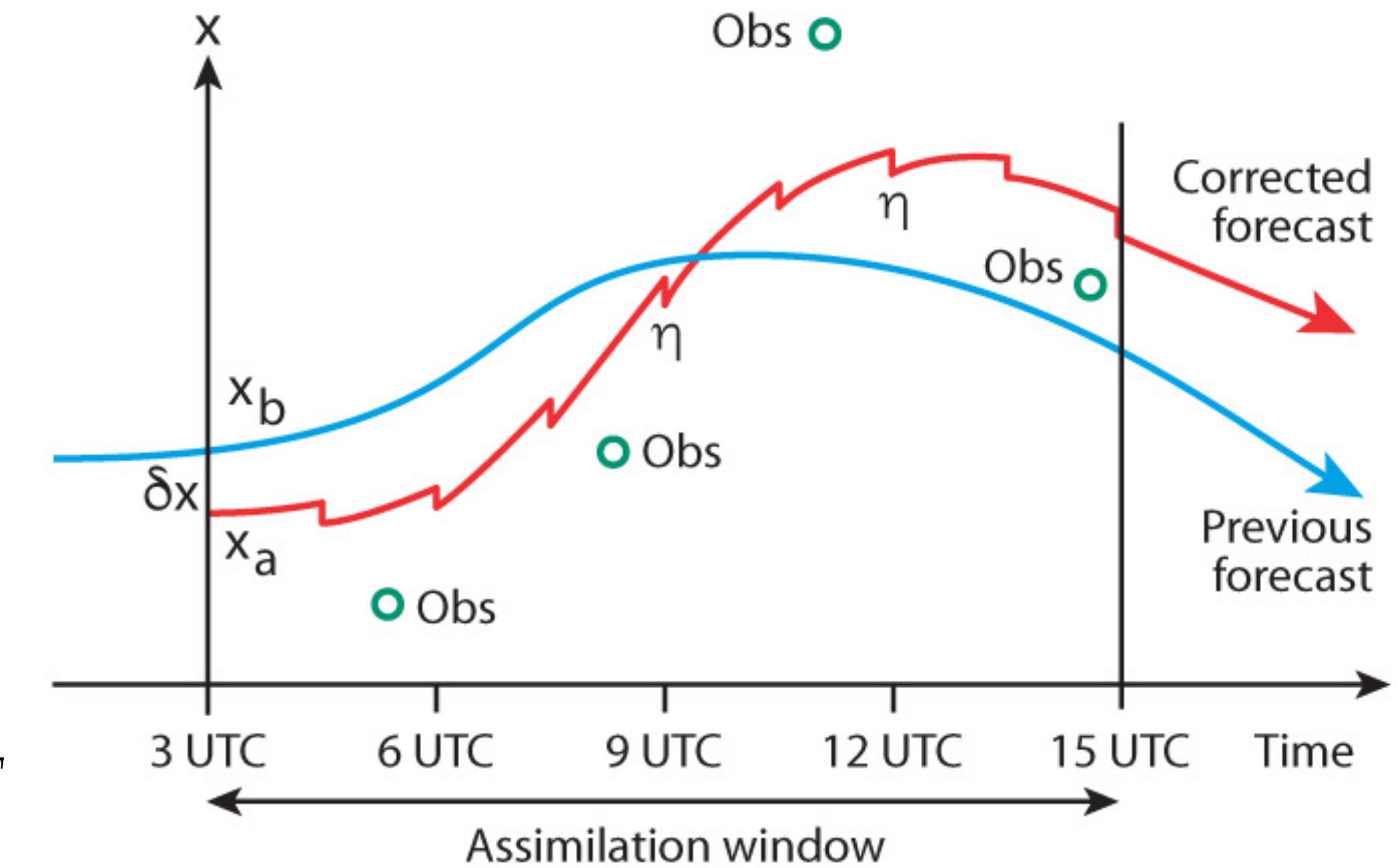


$$x_k = \mathcal{M}(x_{k-1}) + \eta$$

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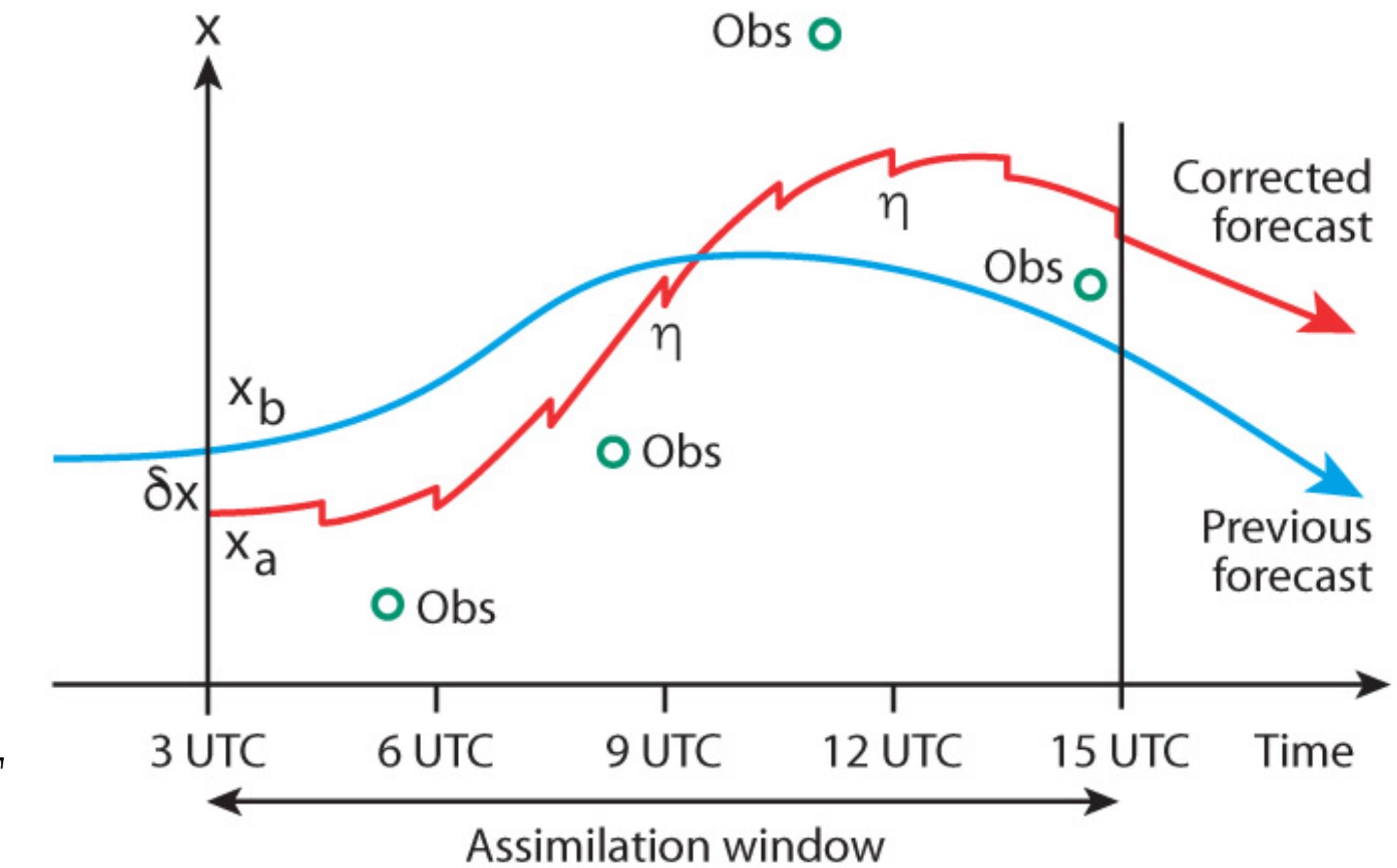
Model bias

$$x_k = \mathcal{M}(x_{k-1}) + \eta$$

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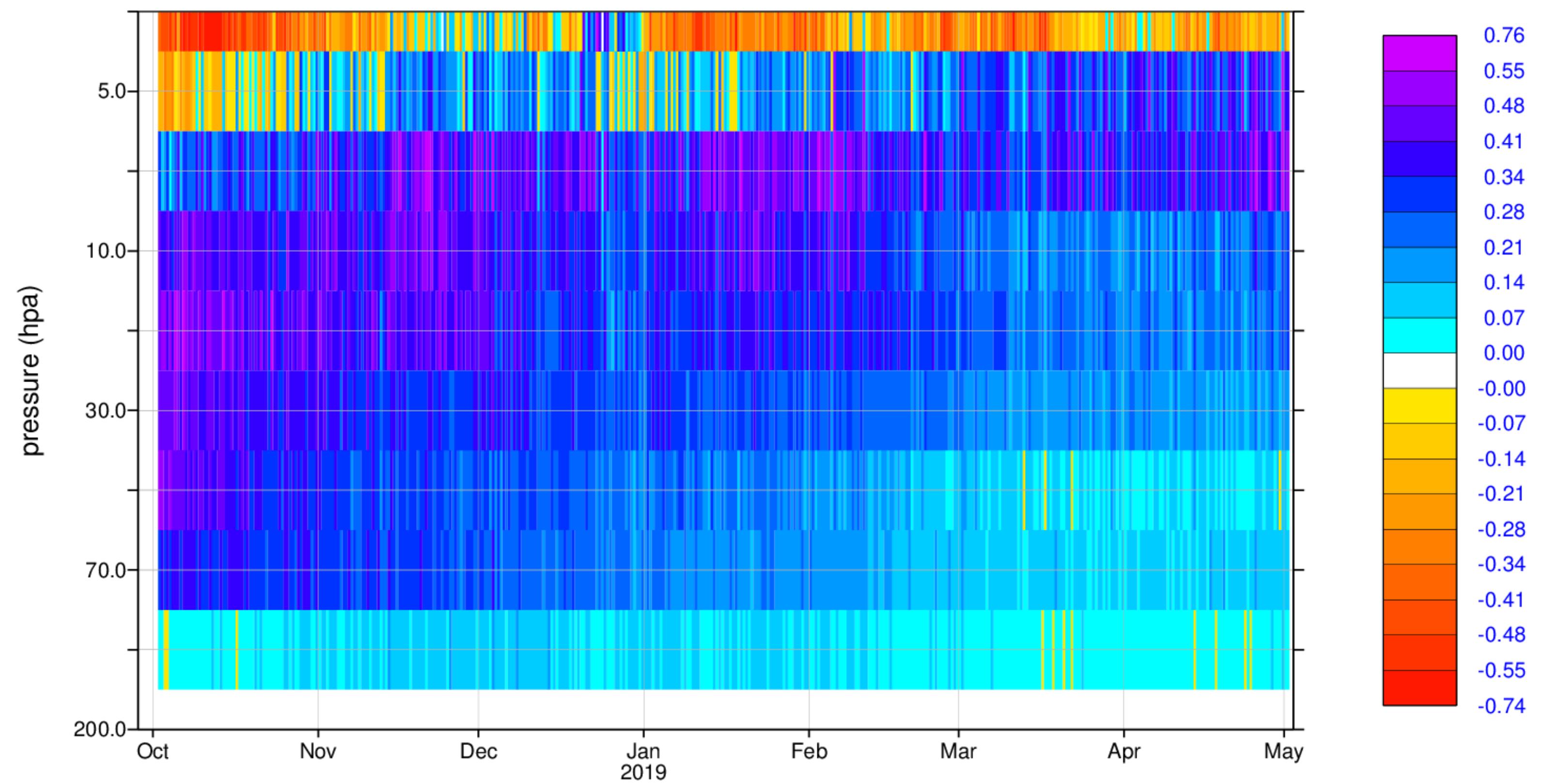
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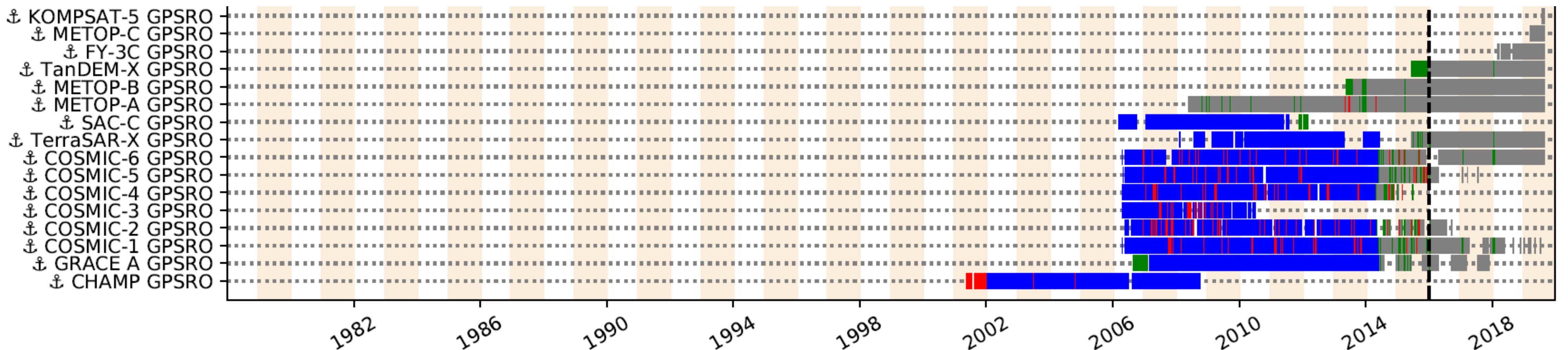
$$x_k = (1 + D_\eta) \circ \mathcal{M}(x_{k-1}) + \tilde{\eta}, \quad |\tilde{\eta}| \ll |\eta|$$

Successful Weak-Constrained-4D-Var

- online learning:
bias reduced over
time
- model improves so
that fit bias is reduced
- goal: train a neural
network which
improves the model
such that fitted bias
will be small



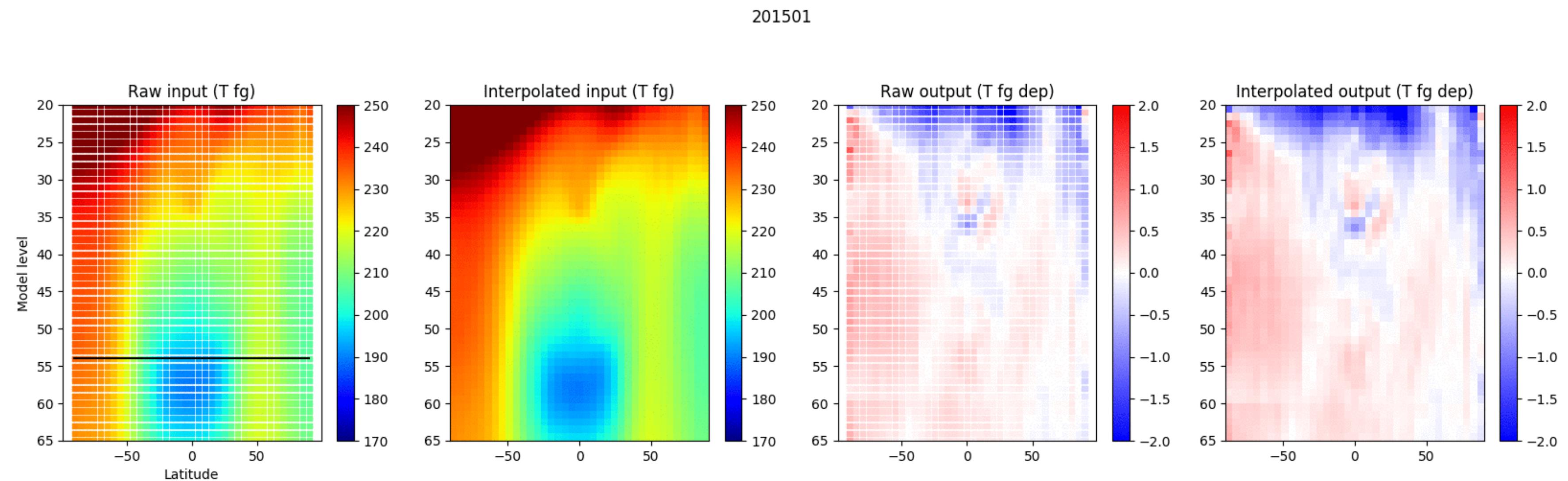
Dataset: GNSS-RO Observations



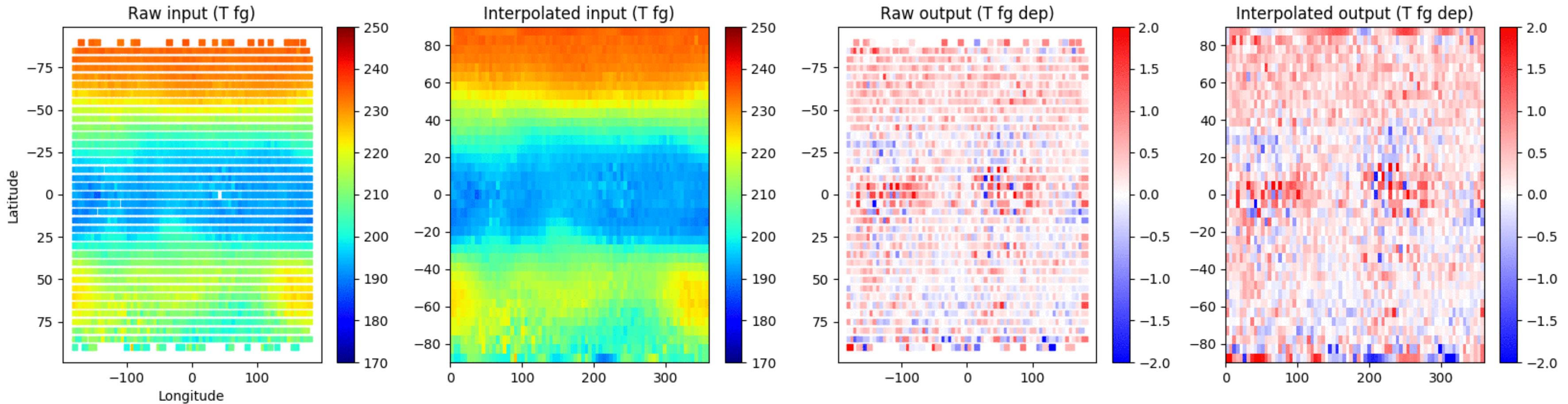
- reliable, high-quality data since ~2007
- use recent data from 2008-2021 (train on 2008-2019, test on 2020+)
- average over 2 or 10 days (hi-res, hi-noise vs lo-res, lo-noise)

Dataset: Visualization

vertical
x-section



horizontal
x-section



raw data

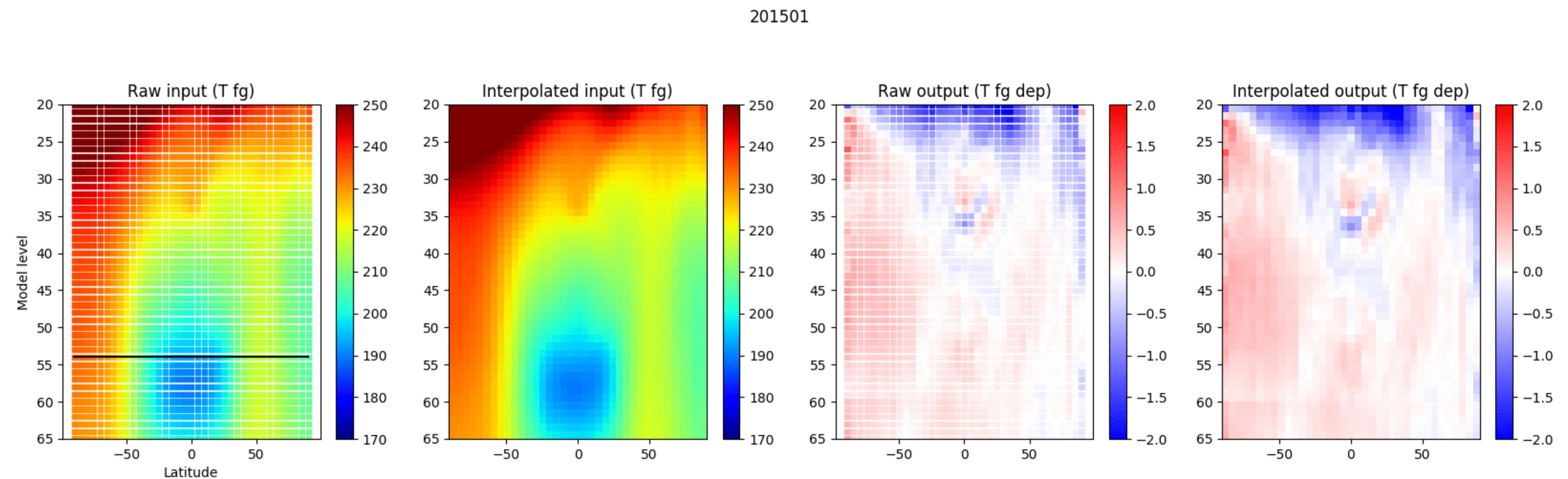
interpolated
input

raw output

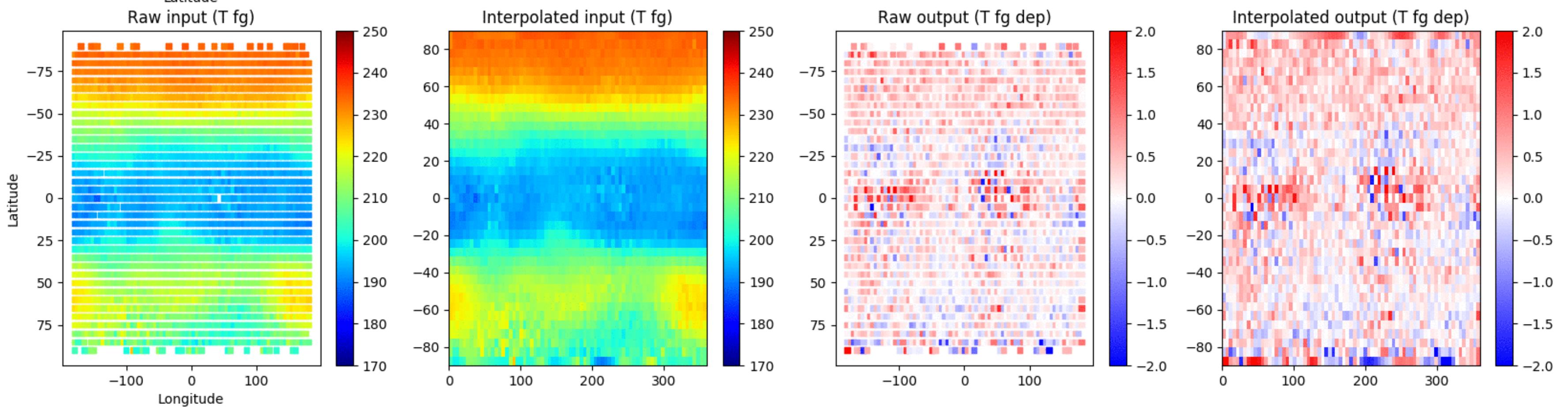
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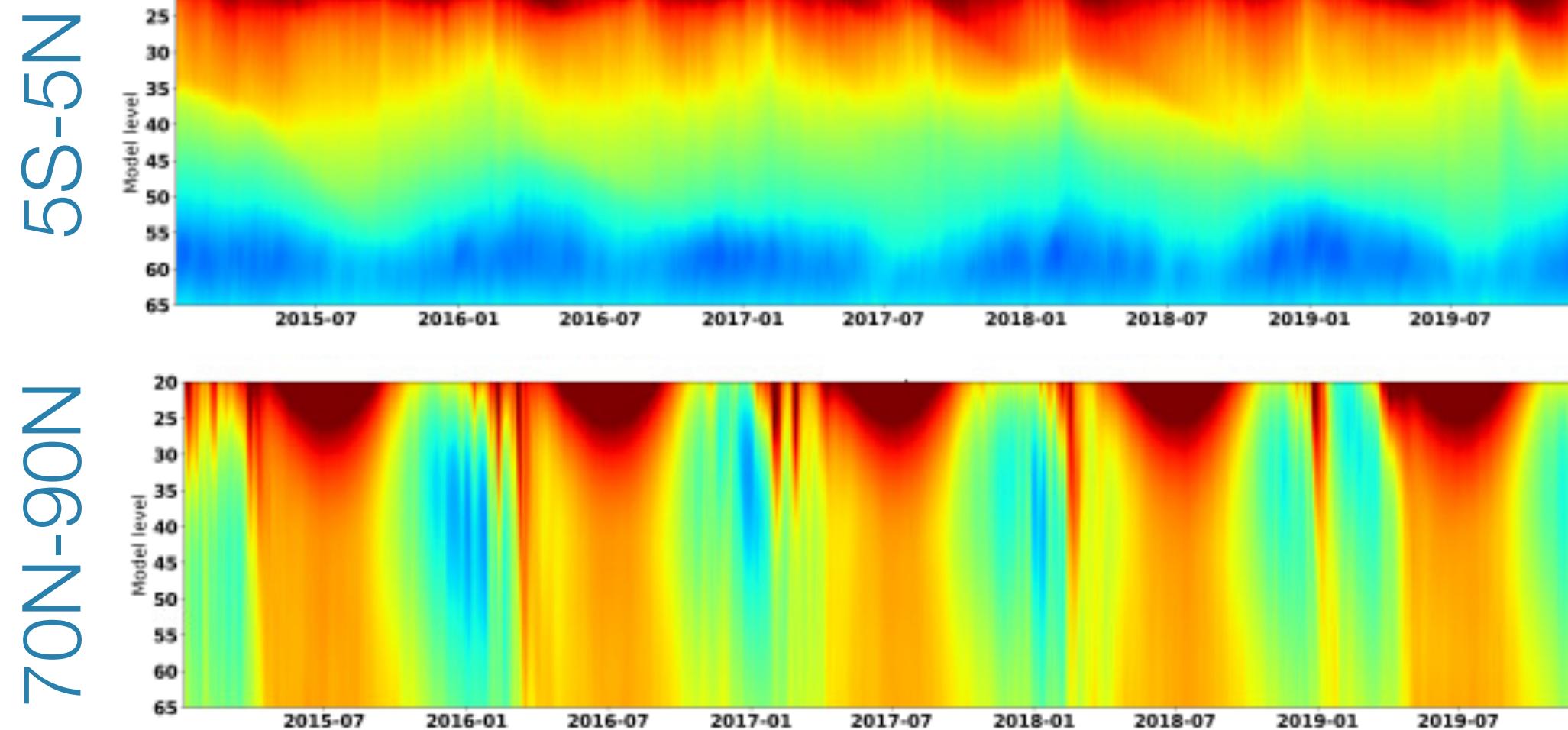
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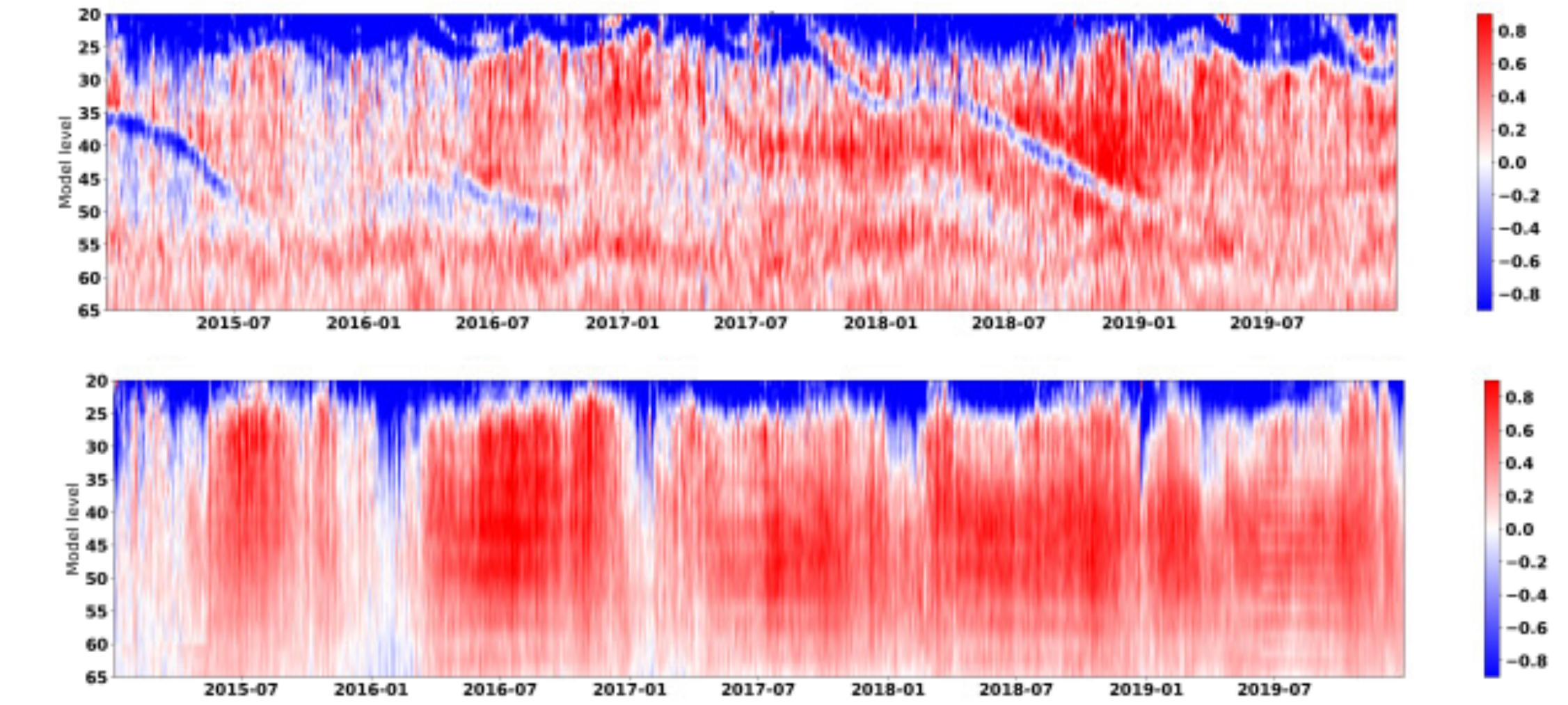
interpolated
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Challenges

Temperature



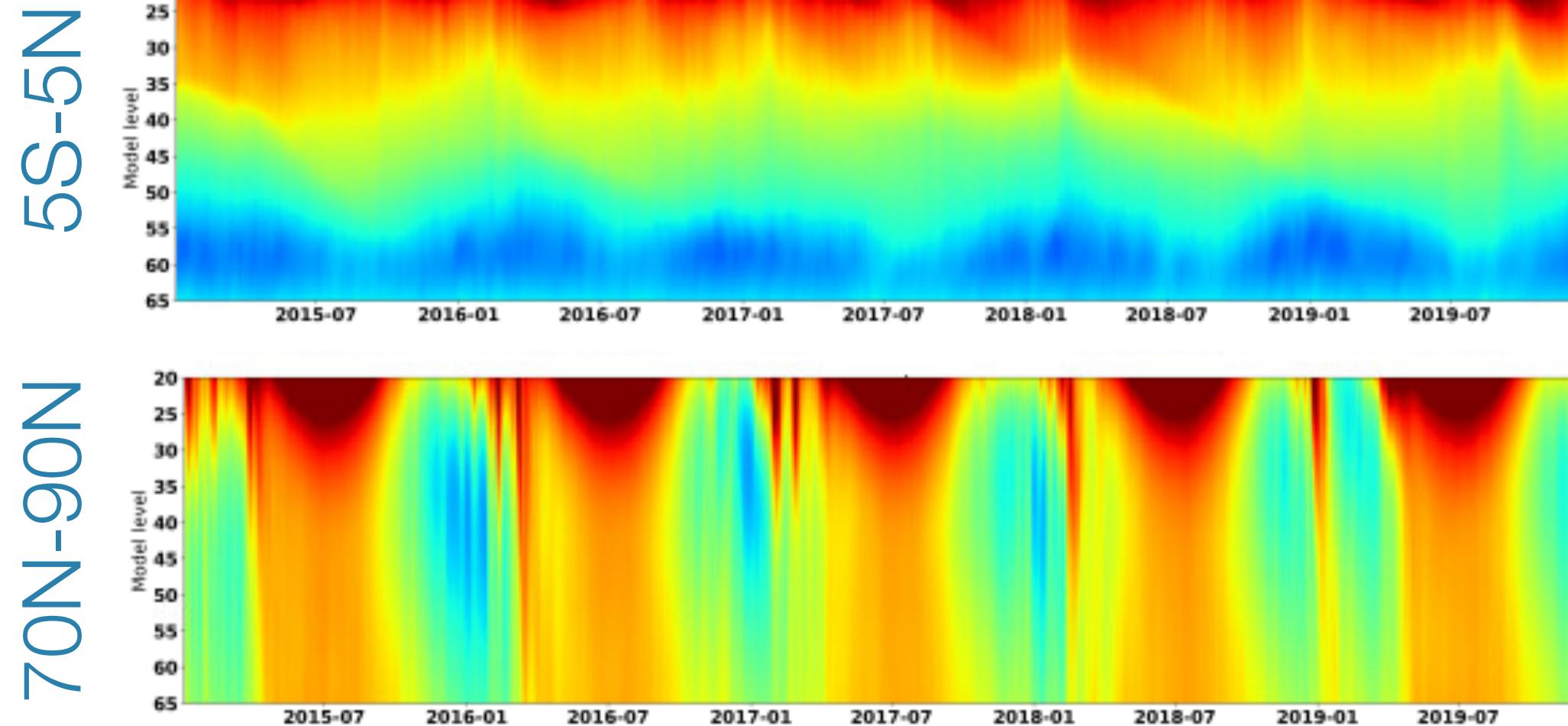
Bias correction



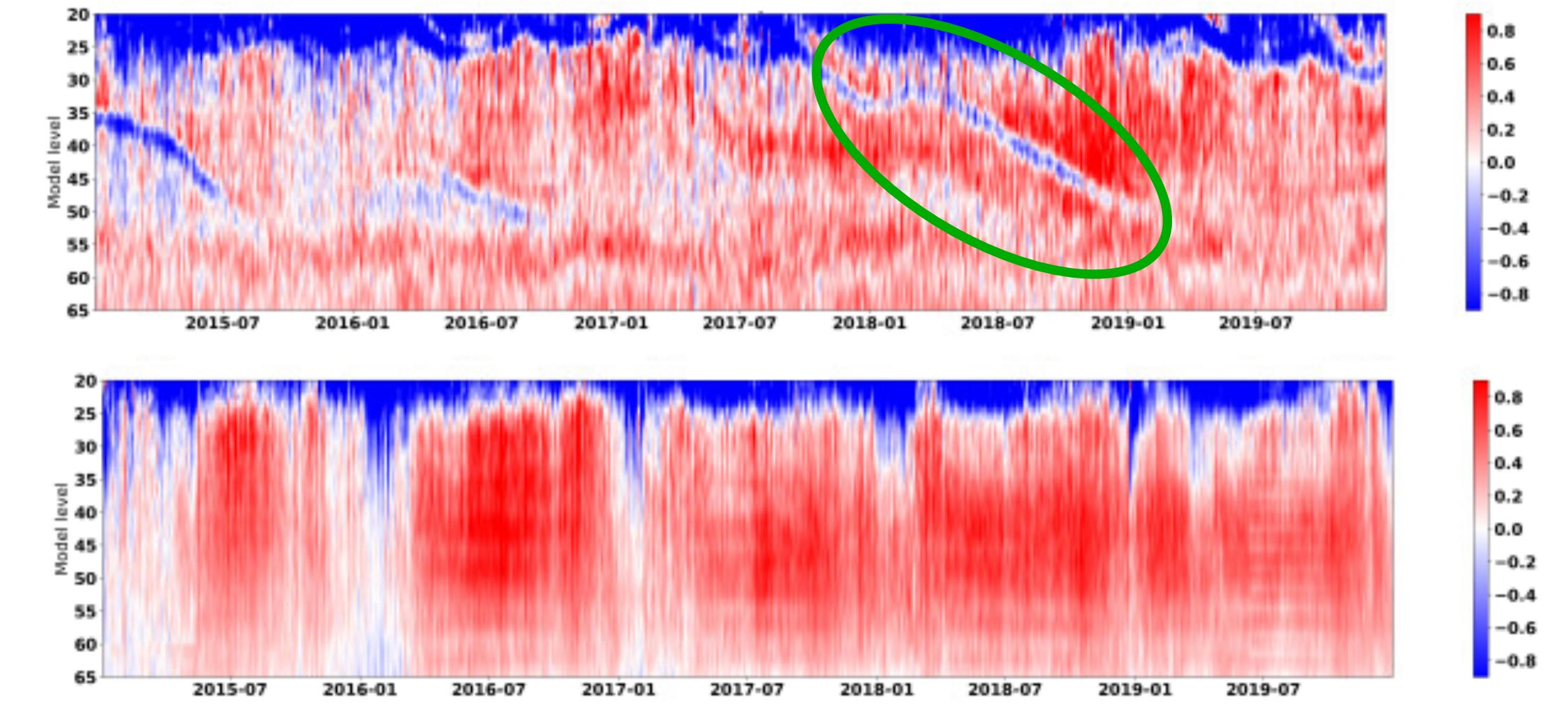
- can a neural network learn and predict features of the bias?
- positive correction below level 30, negative above level 30
- Quasi-Biennial Oscillation (QBO)
- sudden stratospheric warming events (SSW)

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Temperature

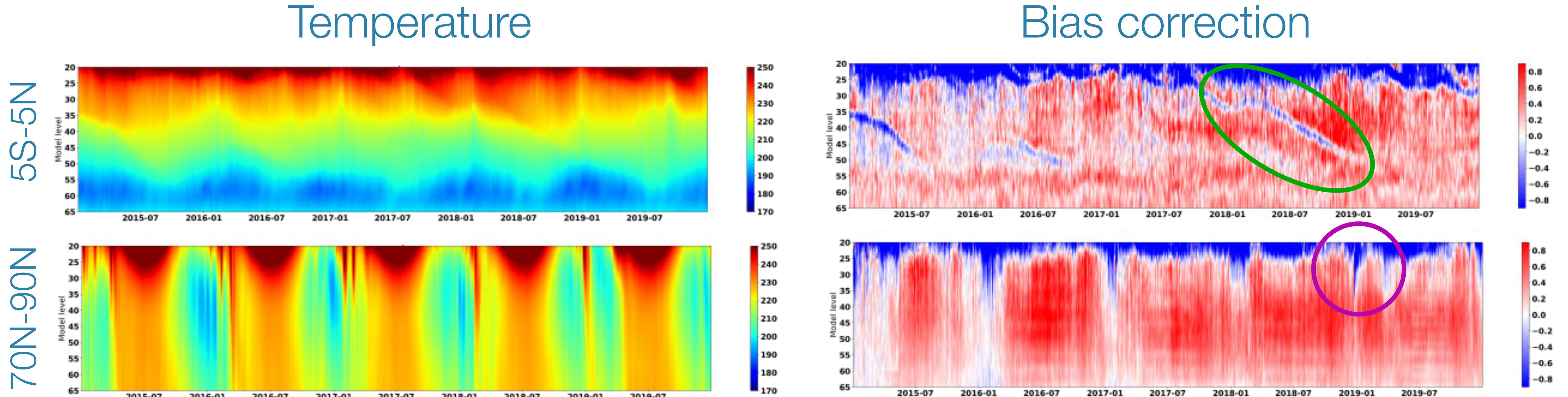


Bias correction



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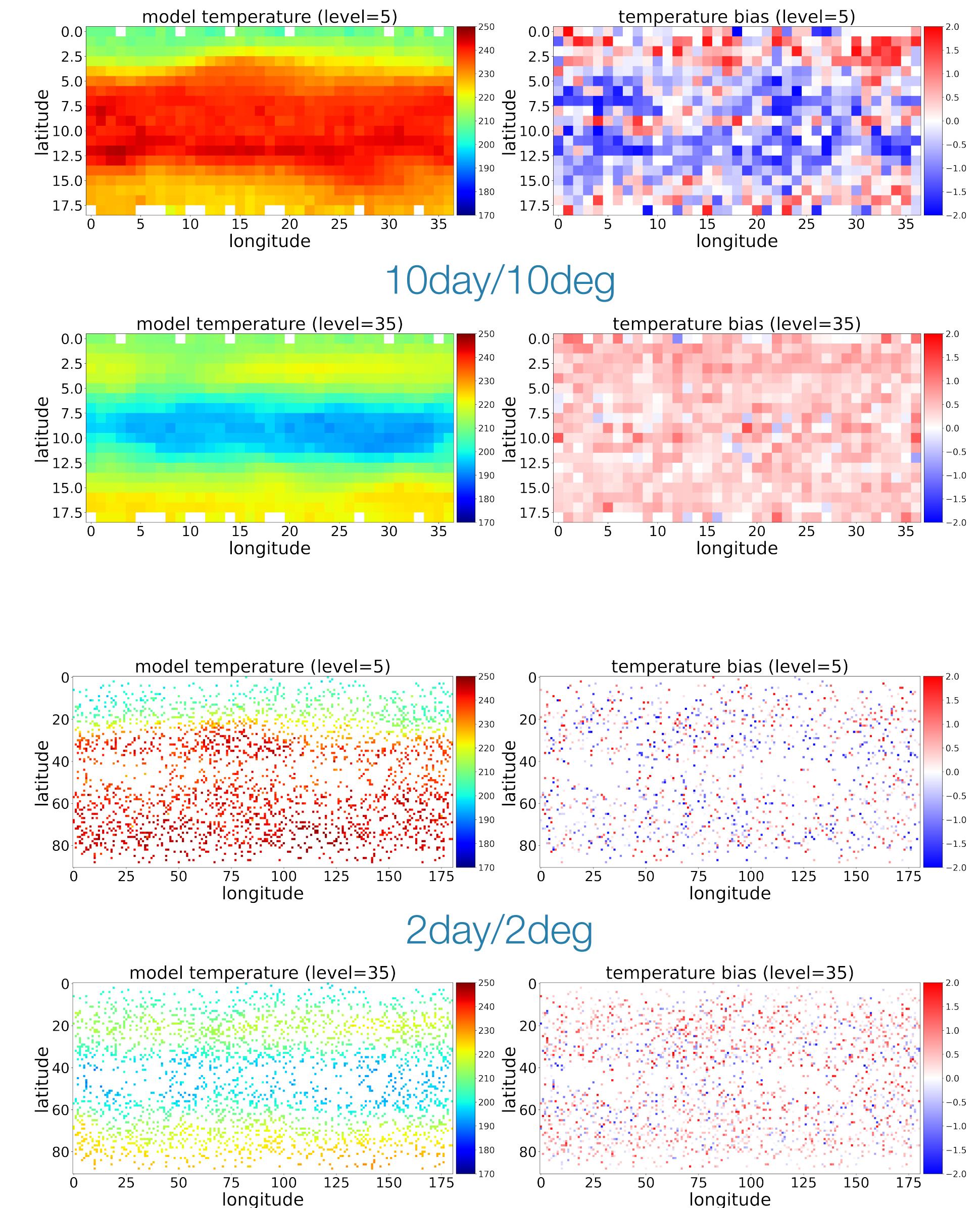
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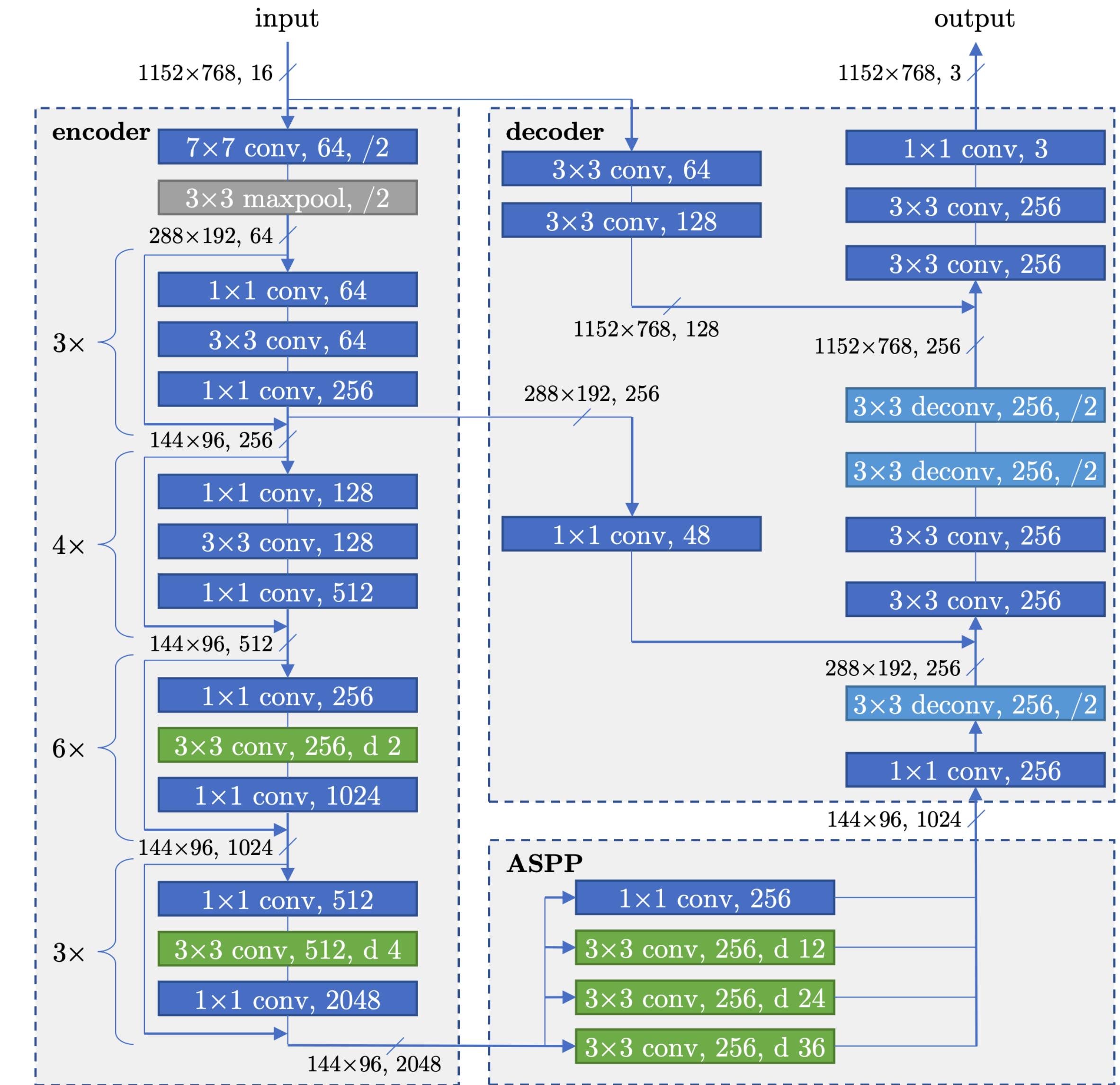
Dataset: Technical Details

- years 2008-2020, numpy format
- resolutions: 10day/10deg and 2day/2deg
- input, output: 19x37x45 or 91x181x45 in FP32
- masks 19x37x45 or 91x181x45 in INT
- average sparsity: ~97% and ~13% respectively
- data interpretation: 3D with 1 feature per pixel
- validation set: first 10 days of each month in 2019
- test set: 2020 and 2021
- training set: remaining data



Network Architecture

- based on DeepLabv3+ w/ Xception backend
- BatchNormalization or InstanceNormalization
- de-convolution decoder
- average pooling in upsampling for checkerboard artifact suppression



Loss Function

- regression problem:
 - L1: good for penalizing small deviations as well as large ones similarly, but derivative not continuous in 0
 - L2: good for enhanced penalty of outliers, less sensitivity for small deviations, smooth everywhere
 - Smooth-L1: same as L2 inside $[-1,1]$ and L1 outside, smooth everywhere
 - weight pixels differently whether they are interpolated or real

$$L = \lambda_v \|(p - t) \circ m\| + \lambda_h \|(p - t) \circ (1 - m)\|$$

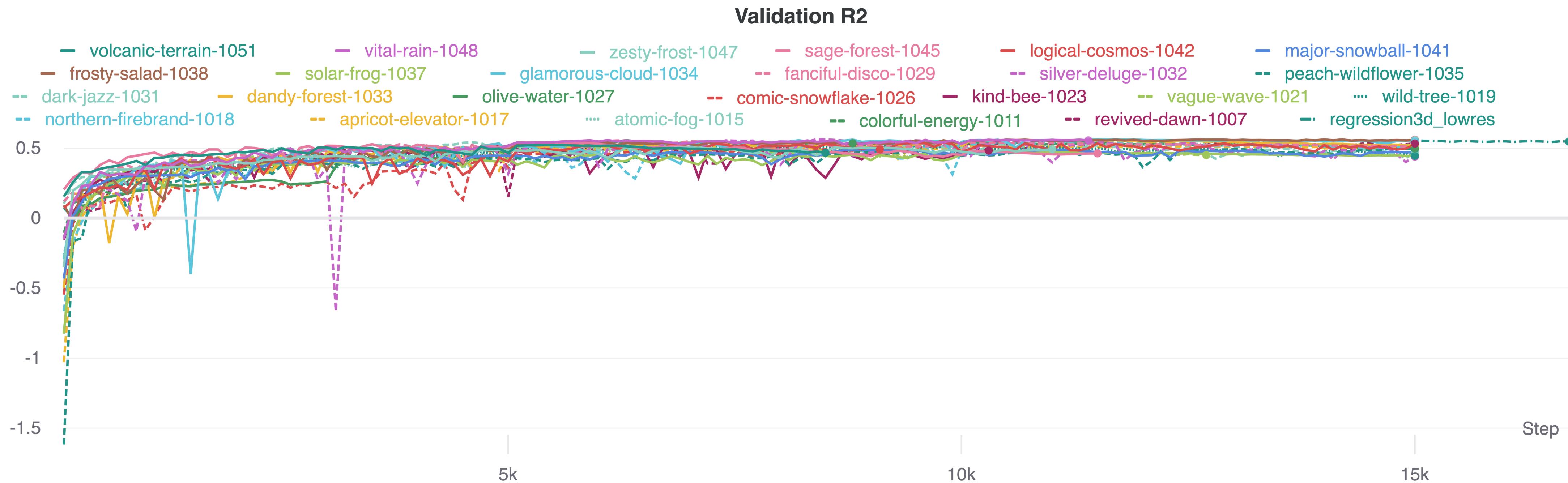
R2-Score

- can be used it to score the quality of the predictions w/ respect to the intrinsic variance
- $R^2 < 0$: low accuracy
- $R^2 \approx 0$: predictions consistent with noise
- $R^2 \approx 1$: high accuracy

$$R^2 = 1 - \frac{\sum_i (\mathbf{y}^i - \mathbf{f}^i)^2}{\sum_i (\mathbf{y}^i - \bar{\mathbf{y}})^2}$$

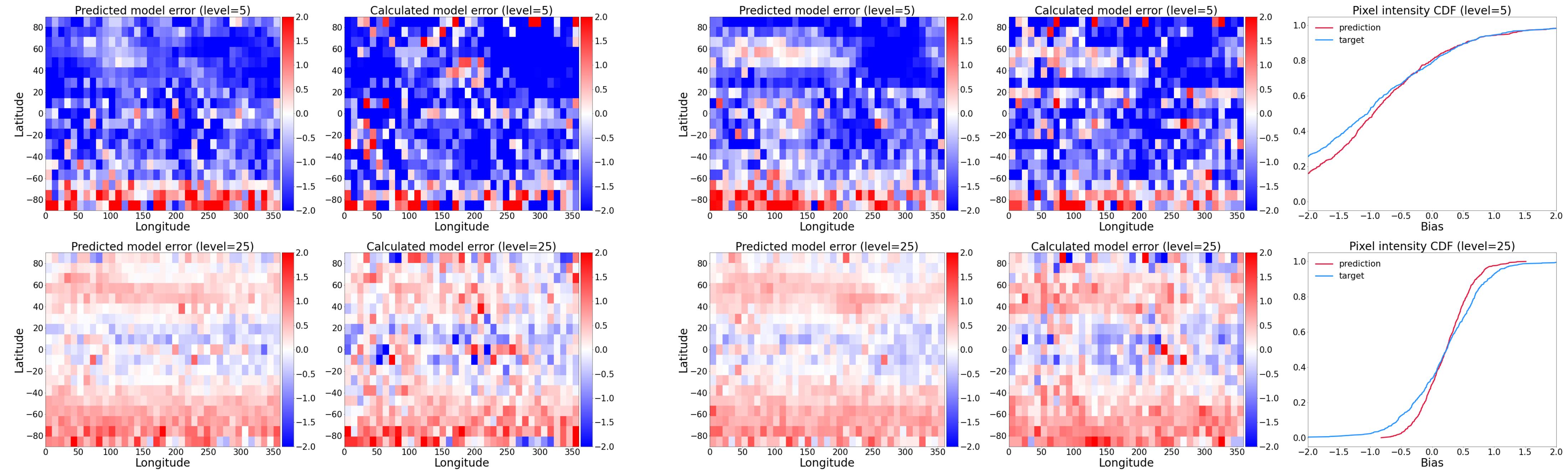
- **used as evaluation metric, not as training criterion**

Training Results: convergence



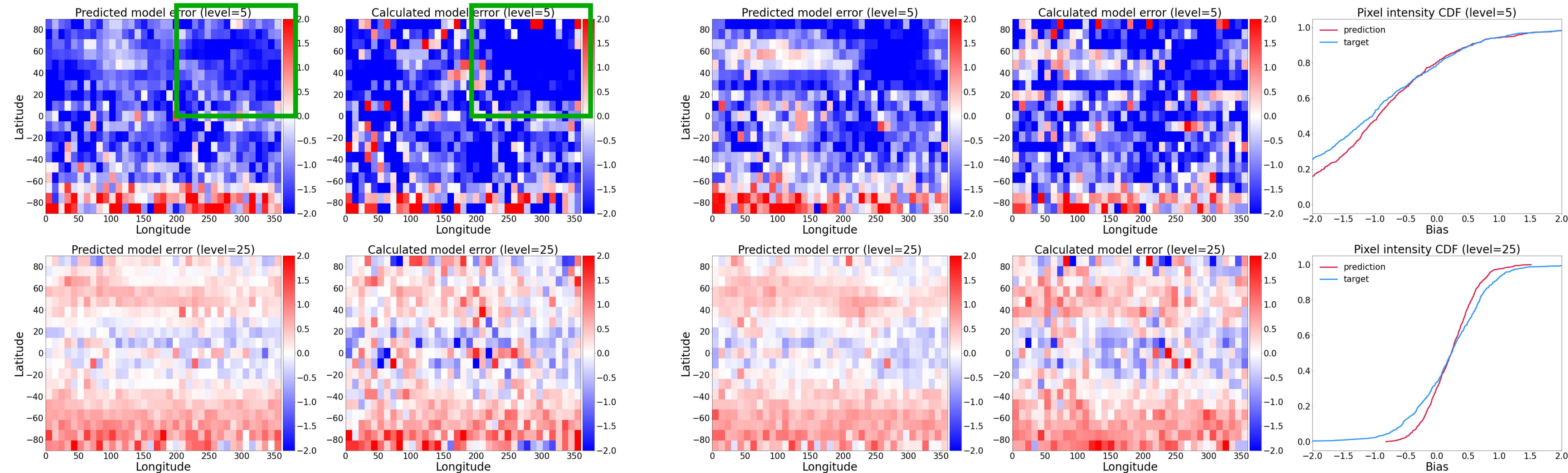
- stable learning for a wide range of hyper-parameters
- only light overfitting although only ~400 training samples available for 10days/10degrees data
- select networks with best performance and most stable convergence curves (qualitative)

Training Results: prediction quality 10days/10degrees



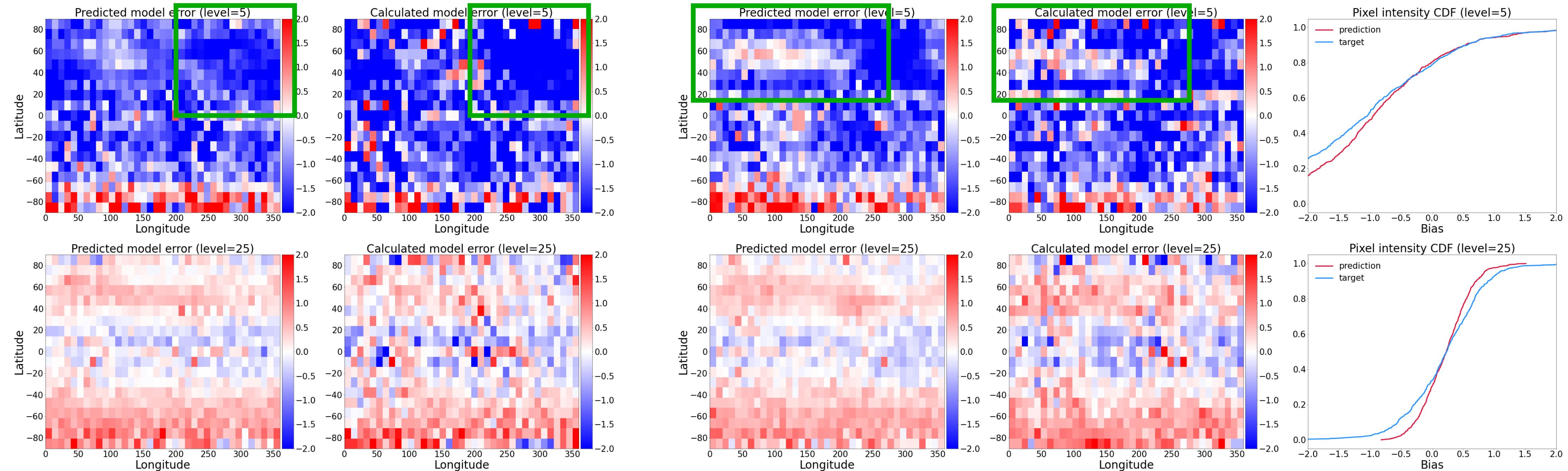
- NN can reproduce important features across levels
- scale of predicted bias matches computed bias

Training Results: prediction quality 10days/10degrees



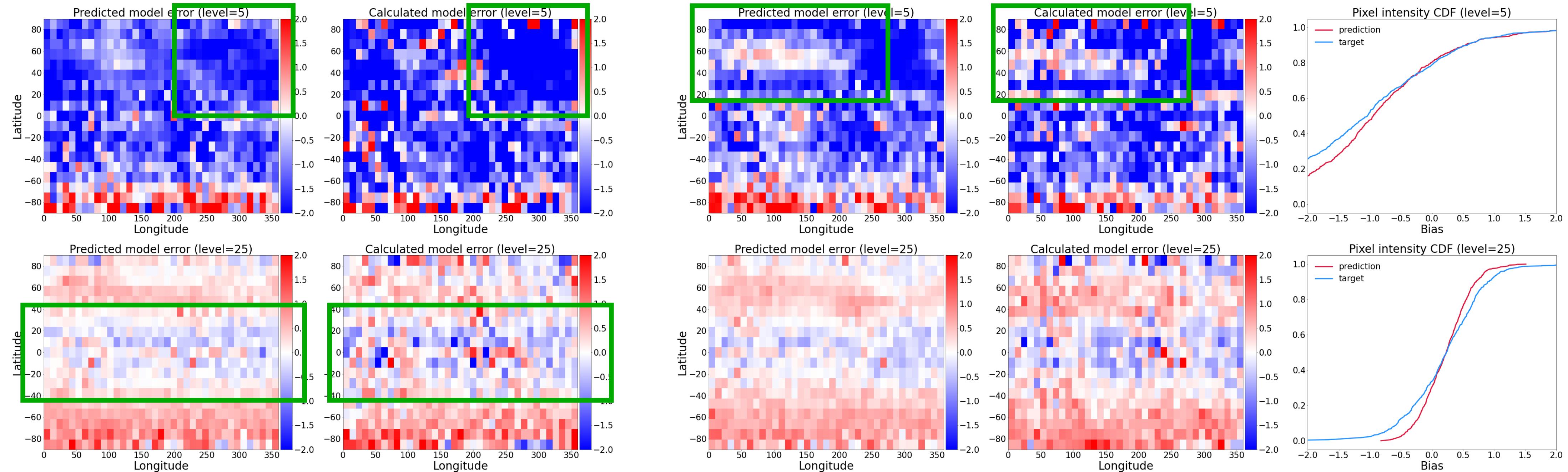
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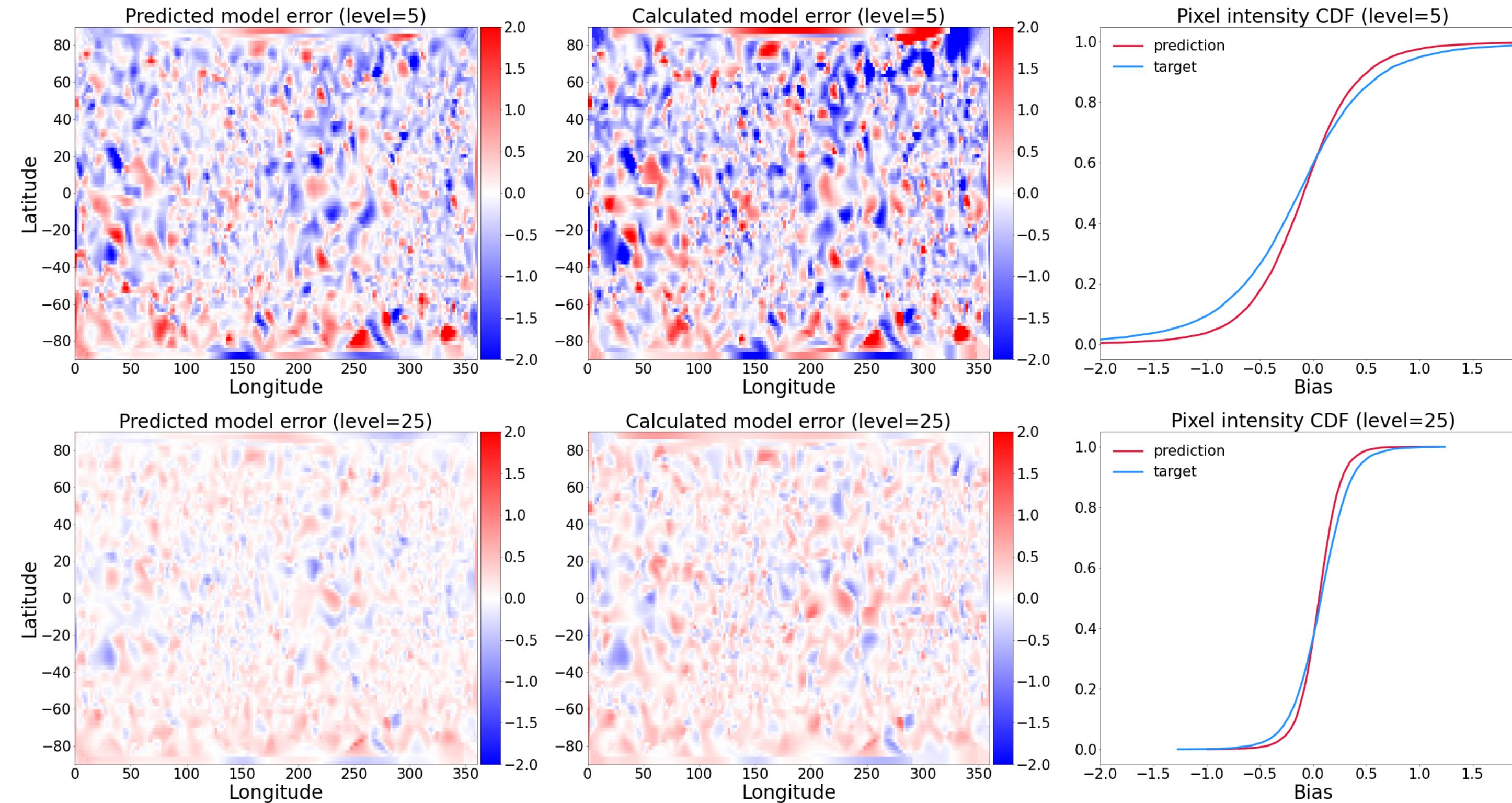
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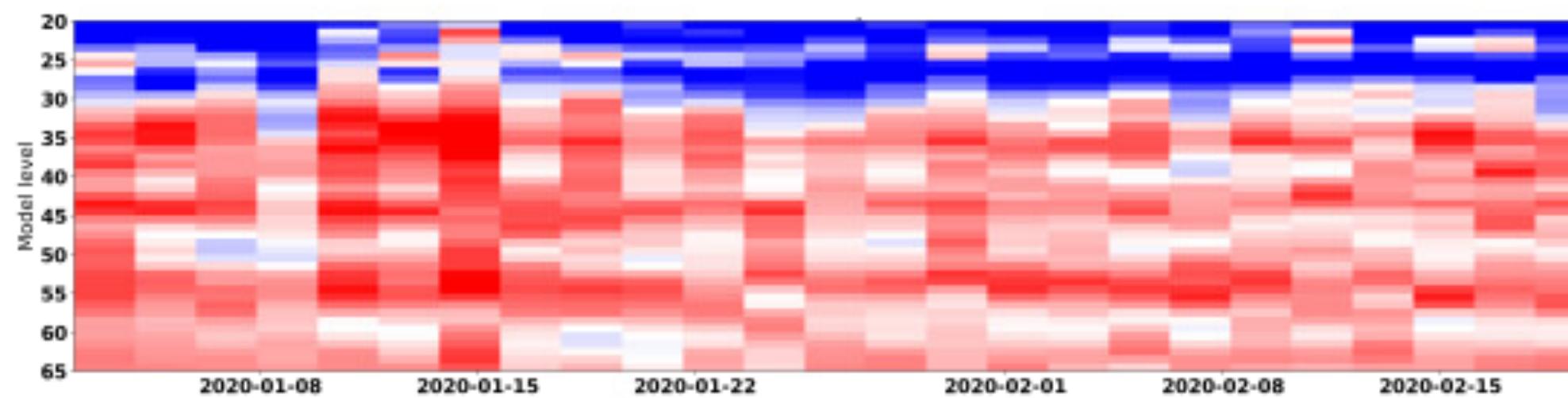
Training Results: prediction quality 2days/2degrees



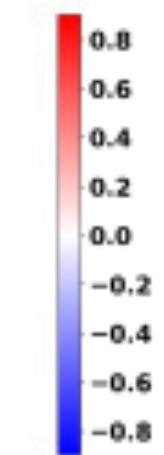
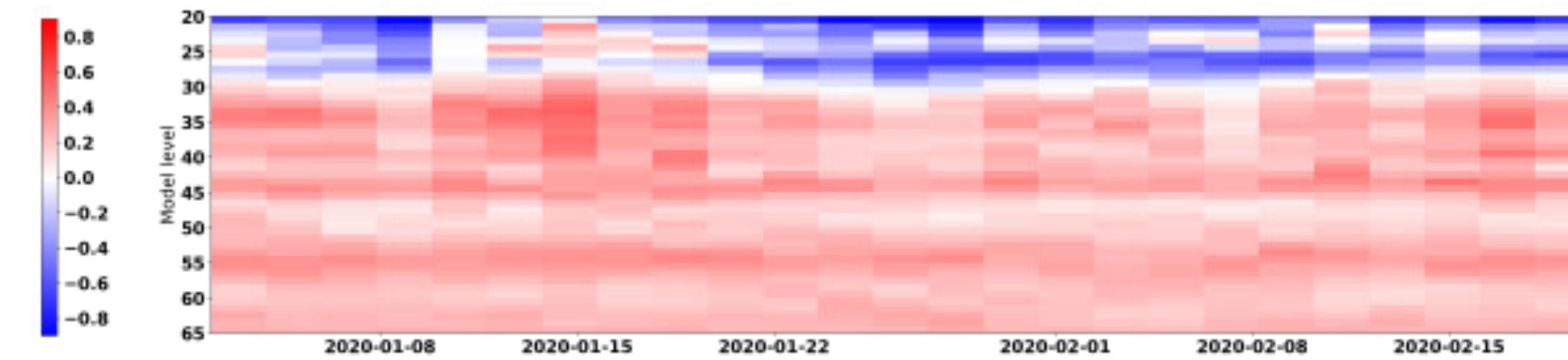
- noticeable features can be reproduced by the NN

Training Results: time series

prediction

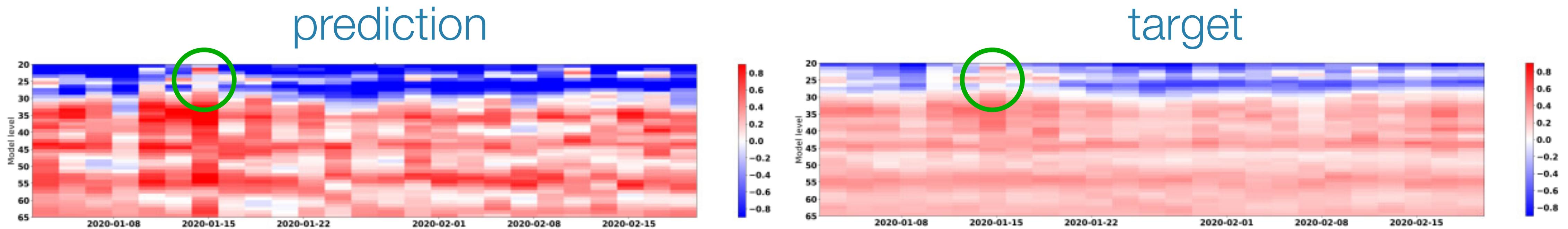


target



- NN picks up relevant features
- increased resolution would be great
- (overall magnitude difference: normalization issue in post-processing, corrected in future plots)

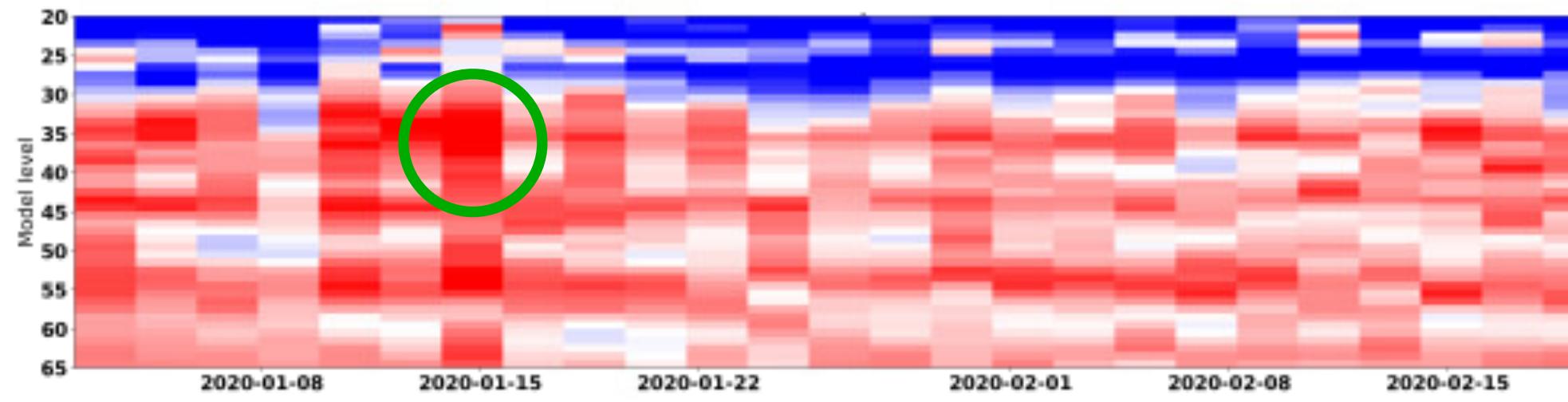
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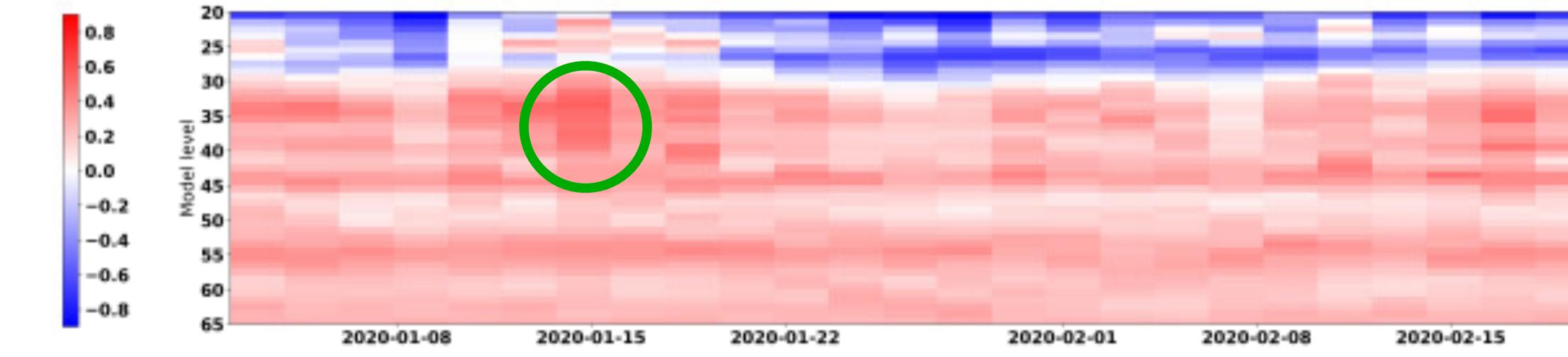
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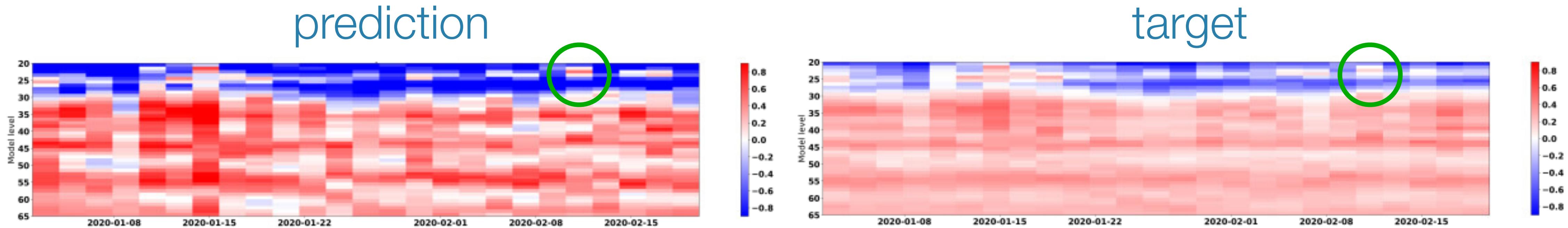


0.8
0.6
0.4
0.2
0.0
-0.2
-0.4
-0.6
-0.8

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0.2
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-0.8

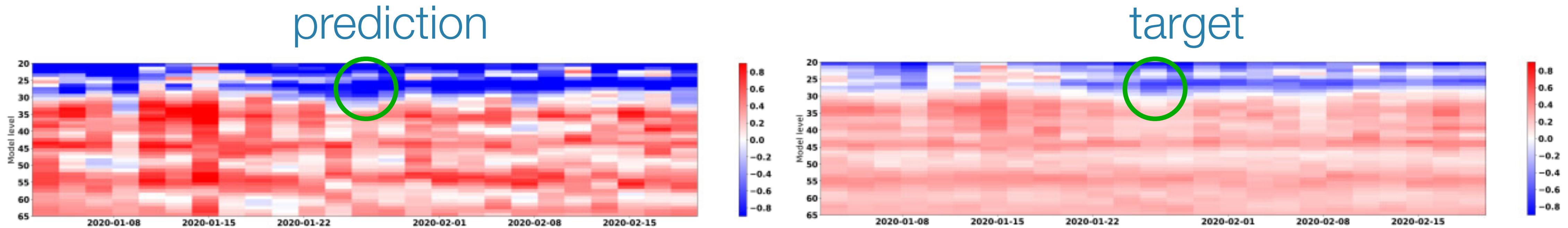
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Summary and Outlook

- proposed neural network is learning model bias, including seasonal features
- bias could be corrected in DA initial conditions
- sensitivity studies could help to learn more about the properties of the model bias itself
- high resolution data more noisy, trade-off between resolution and noise
- sparsity: loss masking works, but for high resolution sparse to dense approaches (graph to image) might be preferable
- next step: investigate how to integrate the NN into the full DA pipeline

Thank You