

# Lambda Calculus and category theory

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## 1 Introduction

**Boole** :

- If you consider propositions (no quantifiers) of classical logic :  $A ::= P | A \wedge B | \neg A | A \vee B | \top | \perp$
- Ordered by logical implication  $A \leq B \Leftrightarrow A \Rightarrow B$ ,  $A$  implies  $B$  or  $A \vdash B$

Observation  $A \wedge B \leq A, A \wedge B \leq B$ . moreover if  $C \leq A$  and  $C \leq B$  then  $C \leq A \wedge B$  (for all proprieties)  
Which means that  $A \wedge B$  define a infimum of  $A$  and  $B$  (greatest lower bound, or glb)

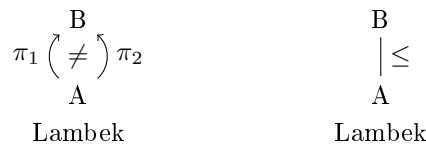
**Definition** –  $A \Rightarrow B = (\neg A) \vee B = \neg(A \wedge \neg B)$ .

Observation :

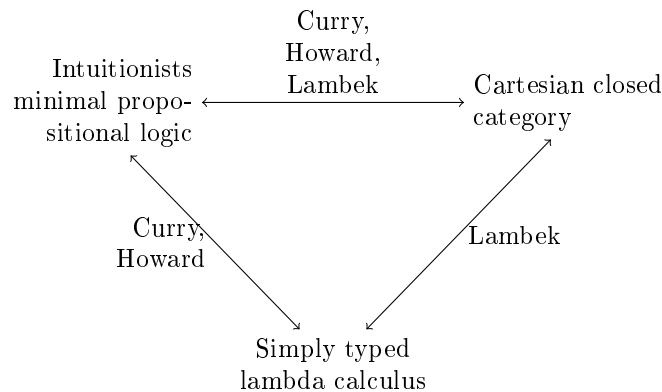
- $A \wedge (A \Rightarrow B) \leq B$
- $A \vee \neg A \leq \text{true}$
- $A \wedge \neg A \geq \text{false}$

**Frege** Ideography (first proof system)

The idea that a mathematical proof is a mathematical object. In particular there may be different proofs of a proposition  $A$  formula.



Lambek understood connection between:



**Definition** – A monoid  $(M, \bullet, e)$  is a set  $M$  equipped with a binary operation  $\bullet : M \times M \rightarrow M$  with a neutral element  $e \in M$  :  $M^0 \rightarrow M$  satisfying two equations :

- (associativity)  $\forall x, y, z \in M, x \bullet (y \bullet z) = (x \bullet y) \bullet z$
- (neutrality)  $\forall x \in M, x \bullet e = x = e \bullet x$

**Example** –  $(\mathbb{N}, +, 0), (\mathbb{Z}, +, 0), (\mathbb{N}, \times, 1)$  and any group.

Free monoid on a set (=alphabet)  $A$ .  $A^*$  contains finite sequences of element  $A$   $w = [a_1 \dots a_n]$

- Binary operation is concatenation.
- Neutral element is the empty word.

## 2 Categories

**Definition** – A category  $\mathcal{C}$  is a graph

- Whose nodes are called objects
- Whose edges are called morphism/maps/arrow.

The objects of  $\mathcal{C}$  form a class of objects.

Every pair of object  $A, B$  comes with a set  $Hom(A, B)$  of morphisms  $A \xrightarrow{f} B, f \in Hom(A, B)$

The graph is equipped with:

- A morphism  $id_A \in Hom(A, A)$  for all object  $A$  of  $\mathcal{C}$
- A composition defined as a function  $\circ_{A,B,C} : Hom(B, C) \times Hom(A, B) \rightarrow Hom(A, C)$  for every objects  $A, B, C$  of  $\mathcal{C}$

It satisfying the following equation :

– associativity :

$$\begin{array}{ccc}
 & B & \xrightarrow{g} C \\
 f \nearrow & & \searrow h \\
 A & \xrightarrow{g \circ f} & D \\
 & \xrightarrow{h \circ g \circ f} & 
 \end{array}
 \qquad
 \begin{array}{ccc}
 & B & \xrightarrow{g} C \\
 f \nearrow & & \searrow h \\
 A & \xrightarrow{h \circ g} & D \\
 & \xrightarrow{h \circ g \circ f} & 
 \end{array}$$

$$h \circ (g \circ f) = (h \circ g) \circ f$$

– neutrality :

$$\begin{array}{ccc}
 Id_A & & Id_B \\
 \downarrow & & \downarrow \\
 A & \xrightarrow{f} & B \\
 Id_B \circ f = f = f \circ Id_A
 \end{array}$$

**Definition** – A small category is a category whose class of object is a set. What we defined as a category is called “locally small category”.

**Example** – Ordered Set: Every ordered set  $A$  defines a category.

- Objects: elements of  $A$
- Morphisms :  $a \rightarrow b \Leftrightarrow a \leq b$

$$Hom(a, b) = \begin{cases} \text{singleton} & a \leq b \\ \emptyset & \end{cases}$$

The composition is defined by transitivity:

$$\begin{array}{ccccc}
a & \xrightarrow{\quad} & b & \xrightarrow{\quad} & c \\
& a \leq b & & b \leq c & \\
a & & & & c \\
& & \leq & & \\
a & \xrightarrow{\quad} & & & b
\end{array}$$

**Definition** – An ordered category  $\mathcal{C}$  is a category where  $Hom(A, B)$  is a singleton for all object  $A, B$  of  $\mathcal{C}$ .

**Observation** – An ordered category is the same thing as a pre-order (= trans, refl).

**Example** – Monoid

- A category with one object  $*$ ,  $M = Hom(*, *)$  define a monoid.
  - $\circ : Hom(*, *) \times Hom(*, *) \rightarrow Hom(*, *)$
  - $id_* \in M = Hom(*, *)$  define the neutral element
- Conversely every monoid  $M = (M, \bullet, e)$  defines a category  $\mathcal{B}M$  or  $\Sigma M$  with:
  - One object  $*$
  - $Hom(*, *) = M$
  - Composition defined by  $y \circ x = y \bullet x$  with  $e$ , the neutral element.

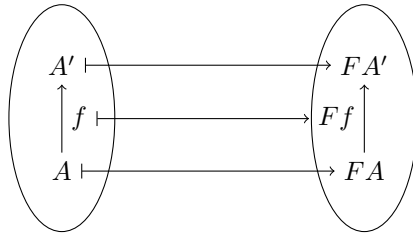
$$\begin{array}{c}
2 = 1 \circ 1 \\
\begin{array}{c} \circlearrowleft \\ 0 \end{array} \\
\downarrow \\
\mathcal{B}(\mathbb{N}, +, 0) \quad * \\
\uparrow \\
\begin{array}{c} \circlearrowright \\ 1 \end{array} \\
3 = 2 \circ 1
\end{array}$$

### 3 Functors

A functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  between category  $\mathcal{A}$  and  $\mathcal{B}$  is a graph homomorphism :

- $F$  associates an object  $FA$  in  $\mathcal{B}$  to every object  $A$  in  $\mathcal{A}$
- $F$  associates a morphism  $FA \xrightarrow{Ff} FA'$  in  $\mathcal{B}$  to every morphism  $A \xrightarrow{f} A'$  in  $\mathcal{A}$ .

It preserves composition and identity



$$\begin{array}{ccc}
A & & FA \\
\uparrow & & \uparrow \\
Id_A & & F(Id_A) = Id_{FA} \\
\downarrow & & \downarrow \\
A & & FA
\end{array}$$

$$\begin{array}{ccc}
A'' & & FA'' \\
g \uparrow & & \uparrow Fg \\
A' & \xrightarrow{g \circ_A f} & FA' \\
f \uparrow & & \uparrow Ff \\
A & & FA
\end{array}
\quad
Fg \circ_b Ff = F(g \circ_A f)$$

**Example** – List of different application :

- A Functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  between order category is a same thing as a monotone (=order preserving) function. The reason is that the preservation of composition and identity holds in  $\mathcal{B}$ .

- A functor  $F : \mathcal{BM} \rightarrow \mathcal{BN}$  between categories with one object is a function  $H \rightarrow M$  which defined monoid homomorphism.

$$F(m \circ_M n) = Fm \circ_N Fn$$

- functor  $F : \mathcal{BM} \rightarrow \mathcal{Set}$  is a same thing as a  $\mathcal{Set} X = F(*)$  equipped with a family of functions  $F_m : X \rightarrow X$  satisfying the equation :

- $Fm \circ Fn = F(m \bullet n)$
- $F(e) = Id_X$

$\hookrightarrow$  Equivalently a function

$$\begin{aligned} M \times X &\mapsto X \\ (m, x) &\mapsto m \bullet x \end{aligned}$$

Where we write  $F_m(x) = m \bullet x$  and action of Mon  $X$  satisfying the equations of  $(m \bullet_M n) \bullet x = m \bullet (m \bullet x)$  and  $e \bullet x$ .

**Example** – Given a finite set  $A$  (alphabet) of letters. We construct the monoid  $A^*$  of finite words on  $A$  equipped with concatenation as composition and empty element as neutral element. We write  $[a_1 \dots a_n] \in A^*$  and  $\epsilon$  : the empty word noted  $[]$ . A functor  $\mathcal{BA}^* \xrightarrow{F} \mathcal{Set}$  is a set  $Q = F(*)$  equipped with an action that it a function :

$$\begin{aligned} A^* \times Q &\rightarrow Q \\ ([w], q) &\rightarrow [w] \bullet q \end{aligned}$$

**Remark** – this action  $A \times Q \rightarrow Q$  is equivalent to the data of a family  $\delta_a : Q \rightarrow Q$  of function called transition function of a deterministic total automata with  $\mathcal{Set}$  of  $Q$  of states.

**Exercise** – Given a functor  $\mathcal{BM} \rightarrow \mathcal{Set}$  construct a category  $\int F$  (Grothendieck construction) whose object are the elements of  $F(*)$ , whose morphisms  $x \xrightarrow{m} y$  are of the form  $x \mapsto m \bullet x$ . Show that  $\int F$  comes with a functors  $\pi : \int F \rightarrow \mathcal{BM}$ .

## 4 Transformation

Suppose given functors  $F, G : \mathcal{A} \rightarrow \mathcal{B}$  we want to “compare”  $F$  and  $G$  in the same way as we compare two monotone functions  $f, g : (A, \leq_A) \rightarrow (B, \leq_B)$  between ordered set.

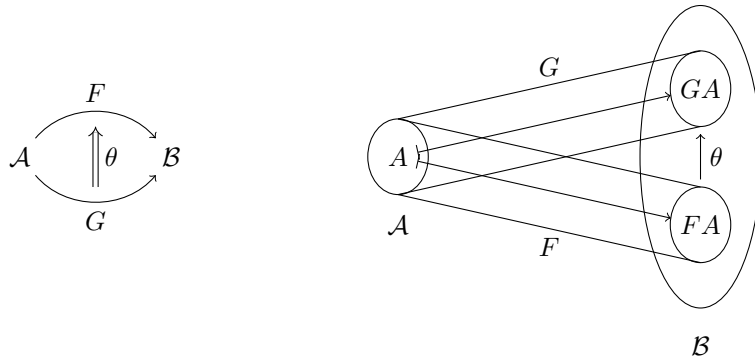
In ordered Set we have :

$$\begin{array}{ccc} & f & \\ A & \xrightarrow{\quad} & B \\ & g & \end{array} \quad \forall$$

$$f \leq_A g \Leftrightarrow \forall a \in A, f(a) \leq_B g(a)$$

**Definition** – A transformation  $\theta : F \Rightarrow G : \mathcal{A} \rightarrow \mathcal{B}$  is a family of morphisms  $\theta : FA \rightarrow GA$  in  $\mathcal{B}$  parametrised by the object  $A$  of  $\mathcal{A}$

**Notation** – We write :

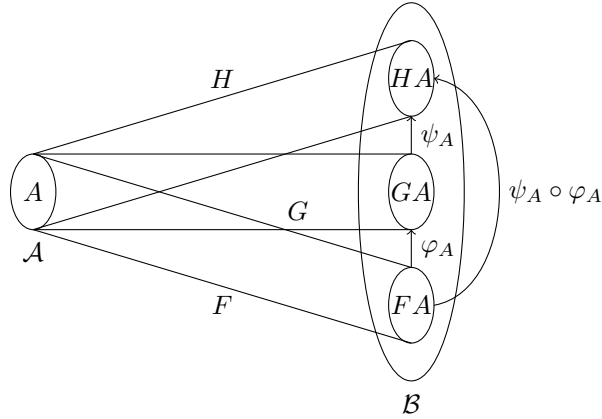


**Fact** – Every pair of categories  $\mathcal{A}, \mathcal{B}$  defined a category  $Trans(\mathcal{A}, \mathcal{B})$  object are functors  $F : \mathcal{A} \rightarrow \mathcal{B}$  and morphism are transformation  $\theta : F \Rightarrow G$ .

$$\begin{array}{c} \psi : G \Rightarrow H \\ \varphi : F \Rightarrow G \end{array} \quad \begin{array}{ccc} & H & \\ \mathcal{A} & \begin{array}{c} \xrightarrow{G} \uparrow \psi \\ \downarrow \varphi \xrightarrow{F} \end{array} & \mathcal{B} \\ & F & \end{array}$$

The composite transposition it's easy to define  $(\psi \circ \varphi)_A = \psi_A \circ \varphi_A$  and the identity is  $Id_F : F \Rightarrow F$   
 $(Id_F)_A = Id_{FA}$ .

We can write this :



#### 4.1 Post-composition

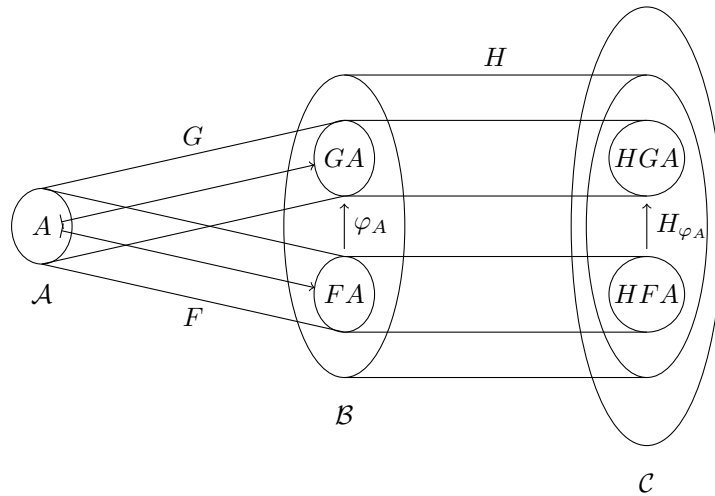
**Suppose** – Given a transformation  $\varphi : F \Rightarrow G : \mathcal{A} \rightarrow \mathcal{B}$  and a functor  $H : \mathcal{B} \rightarrow \mathcal{C}$

$$\begin{array}{ccc} & F & \\ \mathcal{A} & \begin{array}{c} \xrightarrow{\quad} \uparrow \varphi \\ \downarrow \varphi \xrightarrow{\quad} \end{array} & \mathcal{B} \xrightarrow{H} \mathcal{C} \\ & G & \end{array}$$

We define the transformation

$$\begin{aligned} H \circ_l \varphi_A : \mathcal{A} &\mapsto \varphi \\ HFA &\rightarrow HGA \end{aligned}$$

We can represent this transformation like this :



#### 4.2 Pre-composition