

RoadEye

by

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Abstract

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Introduction

This project has been made available by CGI Nederland BV, which is a daughter company of the CGI Group Inc. located in The Netherlands. CGI is a multinational IT (Information Technology) company that provides consulting, systems integration, outsourcing, etc. on a multitude of different technological areas. Because of its affinity with these different kinds of technology, CGI researches various technologies to solve problems facing modern society. As a result of this drive, the idea for this project arose: How can people be given the ability to help track stolen cars, and by extension improve their community? Since everyone nowadays carries a smartphone around in their pocket, there is a lot of untapped processing power which could be used for this purpose. This led to the invitation of a student to research and implement this project as the topic for a bachelor thesis. I chose this project because of its relation with Computer Vision, a computer science topic I have worked with before and find interesting.

Problem

Even nowadays, it is still difficult for law enforcement to follow up on every case of stolen property, e.g. cars, simply because they cannot be everywhere at once. A possible way to improve law enforcement's efficiency would be by including the aid of civilians through the voluntary provision of gathered information from one's environment. This used to be a very difficult issue because it required people to carry dedicated, and often large, hardware devices which made the gathering of information possible. Since the breaking of the smartphone age, a large number of people carry a computer in their pockets. This Internet-connected all-purpose device allows for a whole range of new possibilities and with the right software, gives the user the ability to help his own community and therefore make it a better place. By using the smartphone's camera to capture video images, that information can then be paired with a Computer Vision algorithm to give the user ability to help find stolen cars. This project will therefore be focused on applying the Computer Vision discipline to solve this problem and try to answer the following question: *How can license plate information be gathered from images of a smartphone camera using software?*

But this only concerns the problem of this project in a more general way, without taking any requirements or conditions into account. Because the software must run on a mobile platform it must take all the limitations of such a platform or the environment where it will operate into consideration, i.e. limited processing power, battery life, unstable images or car distance. To keep these conditions in mind while developing the application, the following questions will be answered: *'How can the software be optimized to work in a correct way from within a mobile device?'* and *'What are the limitations of such an application?'*

Objective

The objective of this project consists in creating an Android smartphone software application that is able to locate license plates in video images gathered from the smartphone's camera. From these images, the application must be capable of reading the alphanumeric text displayed on the plates. This information will then be compared to a list of license plate information, which was fetched from a website beforehand. If there is a match, the application must inform a central application of the said match, along with its position when the image was captured and how reliable the recognition is.

Scope

The scope of this project will encompass the development of the Android application which will recognize license plates using the images from the phone's camera, the communication with the web page where the license plate information will be fetched from and the communication with the main application where information over the recognition will be sent to. It will only take into account Dutch rectangular yellow car license plates and might therefore not work with foreign plates. It will take into account, implementation and design wise, privacy concerns according to the Dutch law. The software will only be written and tested for Android version 4.2.2, running on an HTC One X.

Requirements

Even though a general goal and vision are important for guiding the direction the application goes toward, they must be broken down into smaller, more concrete and measurable objectives in the form of requirements. These requirements are used to determine the project's progress and whether it was completed successfully or not. The following requirements were deduced from the project's problem and objective:

- **The application must be able to locate the license plate within an image.**
One of the most important functionalities of the application, without this requirement most of the following requirements can not be accomplished. Must find a plate within an image where a plate is present and ignore everything else if no license plate is present.
- **The application must be able to recognize the text displayed on the license plate.**
The other requirement which ties the whole application together. Once the plate has been located, the text contained in the plate must be parsed so the application possesses information to work further.
- **The application must be able to retrieve a list of license plates from a designated website.**
For the application to be able to provide useful information about a certain vehicle, it must have information about stole vehicles to match the recognize plate text against. Therefore it must be able to retrieve that information from the place where it is available, in the case a website.
- **The application must be able to match the recognised text in the license plate to the retrieved list.**
The application must be able to match the retrieved information of stolen vehicles with the recognized text.
- **The application must be not save any information/images longer than necessary.**
As to minimise the chance any privacy law will be broken, the application will not save any form of data which might be used to identify someone. The reason for this is explained in a later chapter.

Methodology

Before starting the development, the methods must be found which will be used to find viable ways of solving the problems presented by the requirements and how to implement them once the correct solutions have been found. For this reason some time was first spent searching for possible research and development methods, and suitable technologies. In the end the following choices were made.

Research method

The method applied for researching the problems of this project and its possible solutions is called *literature review*. This method consists of researching what has already been published, which might be in the form of scientific or engineering papers, journals, thesis, etc., by accredited scientists, scholars or engineers concerning this assignment's topics. This method is applied for searching for potential algorithms which can be used to solve the problems created by the requirements. Once a group of the most suitable algorithms has been found, the best one must be chosen and the reasoning for this choice must be explained. When the choice has been made, the algorithm is then implemented using the chosen development method.

Development method

This project will be developed using the *Iterative Application Development* (IAD) method. This development method works by dividing the project into smaller 'sub-projects', called *cycles*, and incrementing them to past cycles, which will ultimately lead to a complete system. Each cycle consists of three phases, which can be repeated multiple times if necessary, called *iterations*. These iterations are: *definition*, *development* and *deployment*.

During the definition phase the goals, limitations and conditions for the current cycle are examined and described. If a previous cycle has been completed, it will be evaluated during this phase. This phase is intended for thinking towards the completion of the project and to achieve a more clear picture of the system as a whole. After defining the objective for the new cycle, the software will be developed. After finishing, the software is then integrated with the software developed in the previous cycles and becomes therefore part of the general project.

This method of software development brings multiple benefits: The complexity of the project is decreased by breaking down the problem into smaller chunks, which allows for faster and more concrete results and makes it therefore easier to get better feedback or to solve critical bottlenecks by being able to discuss them at the end of each cycle. The project development also becomes more flexible by having the possibility to review the requirements and strategies every cycle.

Each cycle lasts 2 weeks and at the end of each cycle the evaluation of the past cycle and the objective for the coming cycle will be discussed with the organisation's mentor.

Algorithms

When reasoning about what kind of main algorithms would be necessary to develop the application and after researching which software could be used to develop the application, the problem was then broken down into two different categories: localising the plate within an image, because it is a very specific problem which requires a specific algorithm to solve, and parsing the text within the plate, which is a broader case and there are available libraries which can be promptly used to solve this problem. After this realisation and researching the possibilities, the following choices were made.

Plate localization

When searching for possible algorithms which make it possible to find license plates in an image, two main types came forth from the research: feature detection and edge detection.

The feature detection algorithms work by finding so called *features* in a image, which are used to recognize the first image within a second one. These features are segments of an image which must be uncommon, as to reduce the possibility of retrieving a false positive when applying the algorithm, and also consist of something which can be objectively described to a computer. Because of these requirements, the features extracted from images are usually corners since corners usually only match themselves when compared to other segments in an image. This opposed to flat surfaces or lines, which may appear multiple times in multiple places in the same image. Because this algorithm focuses on detecting the uniqueness of an image and using those attributes to detect themselves in different images, it is difficult to use feature detection for the recognition of license plates for the reason that every license plate contains unique text. The considerable collection of diverse shapes that exist in the Latin alphabet create false positives which are often detected in random and incorrect locations. One possible approach to use this algorithm would be by creating a feature database of every possible alphanumeric character and then finding the highest concentration of text as a possible location.

The other possible algorithm is mostly based on edge detection. This kind of algorithm works by applying an edge detection filter to a grey scale version of the image where the car is present. This creates a binary image where the edges of every shape present in the image are displayed. Because of the nature of one of the characteristics common to every license plate, which is the presence of text, an area with a high density of edges is created. Although license plates are not the only objects which might have such a property, e.g. a fence, it is the most common one which might be encountered while driving. By applying this filter to find horizontal edges it is possible to find the vertical location of the plate and then vice-versa to find the horizontal location and by extension the plate itself. Due to little information on the performance of the feature detection algorithm and a healthy amount of information regarding this one, this algorithm was chosen.

Design

Before the application can be built, some sort of blueprint must be created where the functionality, the flow, and a variety of other specifications are incorporated and described. This is called the design of the application and it outlines what each component does, how they are interconnected, and the reasoning behind each choice.

Privacy

Privacy has always been an hot topic within the computer science circle of professions with computers becoming faster each year and all sort of algorithms being created which have the potential to invade the privacy of thousands if not millions of people. As of the writing of this thesis, a chain of events have gotten this kind of controversy to a new all-time high. To prevent that this application will ever create any privacy concerns, some research was done on the Dutch privacy law of personal information as of 2014. According to this law, it is only possible to transgress if, while collecting license plate information, the following three requirements are met. The information must be:

1. **personal information**

The information gathered must qualify as personal information. This means the information must directly link to a certain person, e.g. phone number, address or name but also pictures of people's faces. The only instance which has access to the personal information linked to a license plate is the *Rijksdienst voor het Wegverkeer*, which is a government instance, and therefore the only instance which would have to comply to the privacy law. It is possible to access that information through their services, but you need the information's owner's permission and have to pay a fee, which means accidental transgression of the law impossible is.

2. **processed**

Processing information is a very broad concept, which can be anything from using the information for a website or just matching it against a database.

3. **saved in a file**

This can be a simple spreadsheet or a full-fledged database.

This application will only meet the second point, which means it will not violate the Dutch privacy law. To add some additional certainty, the application will only save the license plate information for as long as necessary, will not save any pictures taken after they have been processed and the user will not have direct access to that information.

Application

The top-level flow of the system consists of three individual components: the website where the license plate information of stole cars is available, this android application which using the information from the website tries to match that information with captured video images, and a central server where, if a match has been found between the previous two stages, the match information is sent to. A diagram of this flow can be seen in Figure 1.



Figure 1: Top-level diagram.

Although all those three components are part of the whole system, this project only focuses on the application itself. A flowchart illustrating the general design and flow of the application is displayed in Figure 2. Within an Android application all components run in the same thread by default, which is also known as the ‘main thread’, and is identified in the flowchart as ‘UI’ because it is used mainly to regulate all the processes related to the user interface. One of those processes, and also one of the most fundamental running in this thread, is the process which captures images from the device’s camera. The process captures image frames at a set rate in order to avoid the unnecessary capture of very similar images and, because image processing algorithms consist of a large number of calculations and therefore might take a long time to finish, to avoid overwhelming the application with too many frames. These images are then pushed into a buffer, called ‘Frames buffer’, where they are kept until they are able to be processed. For the same reasons the camera only captures frames at a specific rate, this buffer has a limit of how many frames can be stored within but with the intention of only preserving images which are as recent as possible, before a new frame is added the oldest remaining frame in the buffer is removed. This process runs until the application is terminated.

As explained before, image processing is rather heavy and it is necessary to use every tool available to increase the application’s performance. One of these tools is multi-threading and apart from the UI thread this application uses two other kinds of threads, up to a total of four threads which is also the number of physical cores in the used device and therefore gives every thread a dedicated core. The processes called ‘Find bands’ and ‘Find plate’ use a type of thread called a *One-Call Thread*, which are threads used for a short period of time and only run when called. The other kind of thread is called a *Permanent thread*. This type of thread is started during the initiation of the application, runs parallel to the UI thread and lives as long as the application itself. This process is responsible for checking whether the bands and plates buffers have available items and for calling the respective processes to process them. Further, if a plate is found then this thread applies text recognition to retrieve the displayed text and tries to match it with the database entries. If there is a match, the application will save the plate information for a defined amount of time, the default being 30 minutes, to prevent sending the same or very similar information about the whereabouts of the vehicle every time the application recognizes the plate. Furthermore, the plate’s information will be bundled together with the current location of the smartphone, the current time and the confidence of the recognition. This bundled message will then be sent to a

central server where it can then be distributed to the correct authorities. After this has been done or if there wasn't a match, the system start again from the beginning.

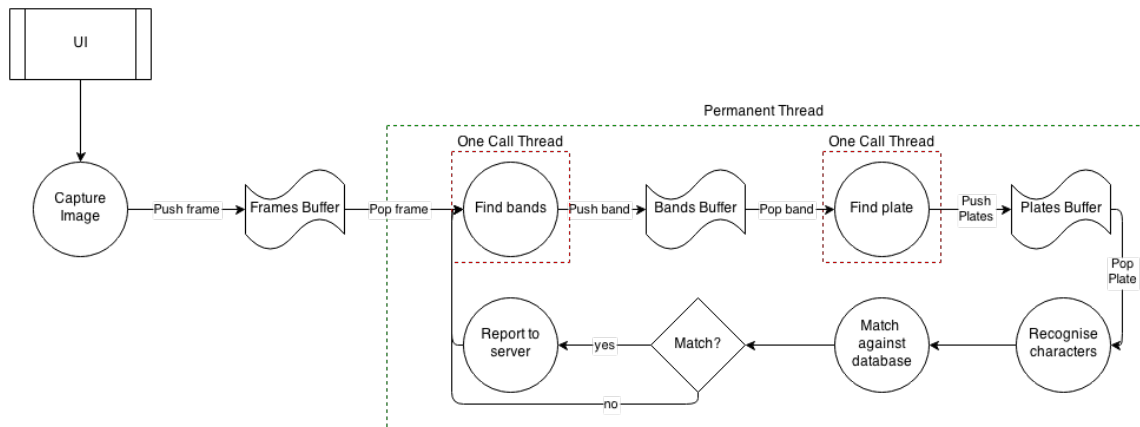


Figure 2: Application flow diagram.

Implementation

The application consists of three main components: the algorithms for finding the vertical and horizontal locations of the license plates, and the text recognition component. Both localization algorithms are based on the work of Martinský Ondrej [1], with some slight modifications, which will be explained in the following chapters, to fit the requirements of this application.

Both algorithms used to find the plate were implemented using Open Computer Vision (OpenCV) library. This library contains optimized state-of-the-art computer vision algorithms. This library is maintained by Intel, a company known for its mathematical knowledge, is completely free, as in beer and as in freedom, and has an Android version. Because of these reasons and prior experience working with this library, OpenCV was chosen. Although the application was implemented using this library, this thesis explains the algorithms usage in a general, OpenCV-agnostic way as to be easier to understand the algorithms without the need for the library.

As for the text recognition component, the Tesseract Optical Character Recognition (OCR) was chosen because it is maintained by Google, a company known for its OCR technology, and it is one of the most accurate free OCR libraries available and also has an Android version. It was chosen because there are no other free library available which can deliver the same type of results. The implementation of the text recognition is Tesseract specific because, as op

The algorithms use the car in Figure 3 to demonstrate how they work.



Figure 3: Source car image. [2]

Bands

The first step to locating a license plate is to find its vertical location, which is also known as a band. Using one of the most characteristic features of a Dutch license plate, which is its yellow colour

and can be seen on Figure 4, it is possible to reduce the area of the image where the license plate might be located through the application of colour segmentation.



Figure 4: Dutch license plate. [3]

Colour segmentation consists of fetching only the areas of an image which fall within a specified colour range and is usually used on an image which uses the HSV colour space representation. As seen in Figure 5, HSV stands for Hue, Saturation, and Value and it is a way of representing colours on a computer. This in contrast to the most widely used colour space, the RGB colour space (Red, Green, Blue) which uses a combination of those very colours to represent a specific colour. The HSV colour space on the other hand uses the H-value to represent the pixel's colour and is defined in degrees (0° - 360°), the S-value to represent how bleak or how colourful the pixel is and is defined with a percentage (0% - 100%), and the V-value to represent its brightness or darkness and is defined the same way as the saturation. This provides a system where it is simple to choose a colour range for the colour segmentation algorithm and is also the standard system for these kind of operations. The range chosen for the license plate lays between 40° and 50° , which is broad enough to take into consideration the deviations in the colour of the plate caused by shadows and reflections.

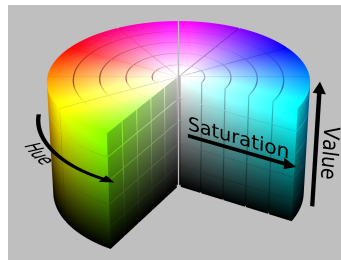


Figure 5: HSV colour space. [4]

As a result of segmenting the yellow colour from the source image, the image in Figure 6a is created, where the plate's location is quite visible. Often the segmented image also contains speckles from various small objects present in the image. These speckles are also visible in the previously mentioned image and might cause invalid results when trying to locate the plate. To prevent this, a morphological closing operation [5] is performed. This operation works by dilating the image, which actually dilates its background and therefore removes small objects but also reduces the area of the plate, followed by eroding the dilated image, which does the inverse of the previous operation and returns the plate to its original size while the small objects are still gone. Further, because there are such things as yellow cars and other yellow objects, an extra step must be taken to decrease the chance of finding random objects. This is accomplished by making use of a characteristic of text and by extension of license plates, which is a high number of edges. By applying an edge detection algorithm, in this case the Sobel operator [6] was used and its output is visible in Figure 6b, we still get a visible area where the plate is located but in case the source image contained large yellow areas, these areas are now mostly gone.

Once that is done, the application can start analysing the previous image for the license plate's possible location. This is done by summing up the intensity of every pixel on each row and therefore creating a graph where the density of edges on each row is displayed. Before making any decisions concerning the plate's location on the graph, a filter must be applied to the data to remove unwanted data and increase the desired area slightly which is necessary for a later stage. This filter is called a *mean-filter* and works by summing up the values of a specific number of the previous and next data values, in the case of this application seven values are used, with the current value and dividing it by the total number of values. The results in the 'smooth' graph displayed in Figure 6c. The next step and last step is finding the potential plate areas and this is done by searching for a number of the highest peaks in the graph, with three being the default. The algorithm tries to find multiple possible locations in case an object in the image happens to have a higher edge density than the plate, and therefore increasing the chance of still finding it. The way the application finds peaks is by finding the highest value in the data set and then searching for the right and left boundary of the peak by iterating towards its respective side until it finds a value which is equal to or smaller than 10% of the peak's value. The coordinates of both boundaries are then saved and the area in between both coordinates is made equal to zero, or *zeroised*. By rinsing and repeating this process for the chosen number of times, the bands displayed on Figure 6c are found.

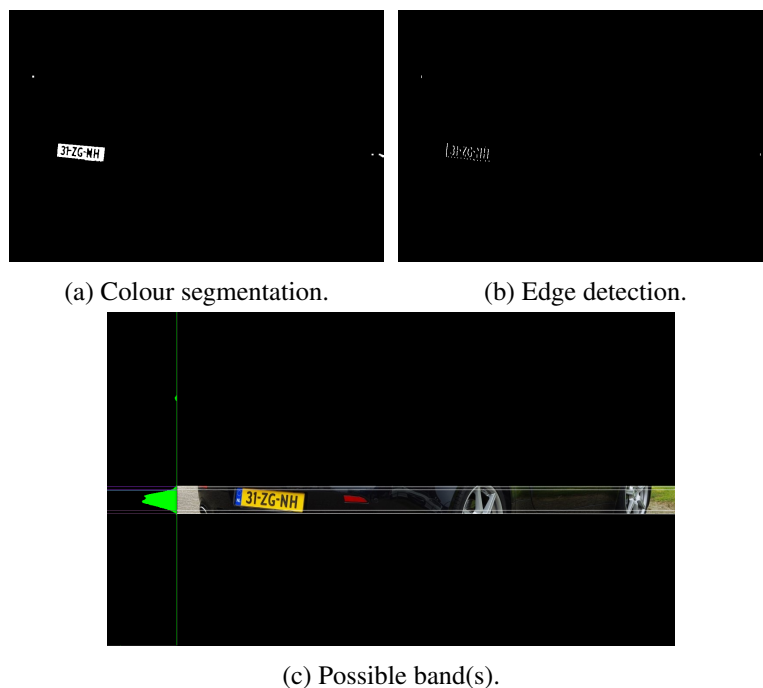


Figure 6: Phases from band localization.

Plates

After finding the bands, these can be further processed to find the exact location of the plate. Using the binary version of the band's image, a graph can be generated just like before, but instead of

summing up all values on each row, the values of each column are now summed. Once the graph has been created, the mean-filter is also applied for the same reason as before. Using the filtered data the plate is then located by searching for the largest cluster of peaks, as shown in Figure 7, which are equivalent to the letters on the plate. The algorithm works by iterating the graph until a data cluster is found, from which the algorithm then saves the start coordinate and keeps iterating the remainder of the graph until it reaches the end of the current cluster. The size of the cluster is then saved and after repeating this process for every data cluster present in the graph, the largest one is chosen as the plate's location.

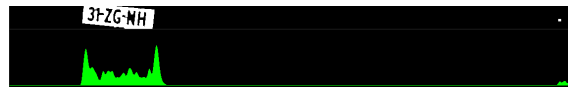


Figure 7: Horizontal localisation of the plate.

This gives an image of the plate with a rather larger border of undesired parts of the image, as shown in Figure 8a. The reason for this is that depending on the angle at which the image was captured at, the plate might be skewed and the text won't therefore be at a 90° angle. This makes it difficult for the OCR software to recognize the text properly. To correct the skewness the bounding box of the plate must be calculated, which is a quadrangle that surrounds the plate's area and from where its four vertices can be retrieved. The box can be seen in Figure 8b. Using these points, the angle at which the plate is skewed can then be calculated and the image can then be de-skewed using the warp perspective algorithm [7] as displayed in Figure 8c.

Finally, only a few last steps are necessary to complete the localisation and processing of the plate before the OCR software takes over. Using the de-skewed plate, a binary image must then be created to allow for the removal of unwanted artefacts still present on the image, e.g. borders. The binary image is created by applying a threshold algorithm onto a greyscale version of the plate, which converts every pixel with an intensity value above a certain threshold to a black pixel and everything else to white. The used threshold algorithm is an adaptive threshold algorithm, which gets its name because of its ability to adapt the threshold value of a pixel according to the neighbouring pixels. In this case the algorithm calculates the mean value of the sum of the pixels around the threshold pixel. This gives a better result than using a fixed value, because the lighting condition is not the same on every image, or a threshold algorithm like Otsu's method [8] which requires a distinct difference between foreground and background, which is not always available in the case of a license plate. After applying the threshold algorithm, a binary image is created containing the background in white while the text and eventually some artefacts are in black. The last step left to take is to discriminate the text from everything else and therefore creating an image fit for text recognition. This is accomplished by applying a contour finding algorithm [9], which finds the outline of every item in the image. By using only the contours which are enclosed within another contour and in turn do not contain other contours within themselves, as is the case of the letters' contours as they are located within the contour of the license plate and contain no further contours inside them. This is possible because the contours algorithm creates a hierarchy list of every contour and whether that contour has a parent and/or child. Once the correct contours have been found they are then drawn on another image, which can be seen in Figure 8e.

Although the results seen in the previous images are quite clear, depending on the angle the original image was taken, it is possible that the characters are slanted. This creates situations when the OCR software cannot recognize the character properly and might even confuse the character

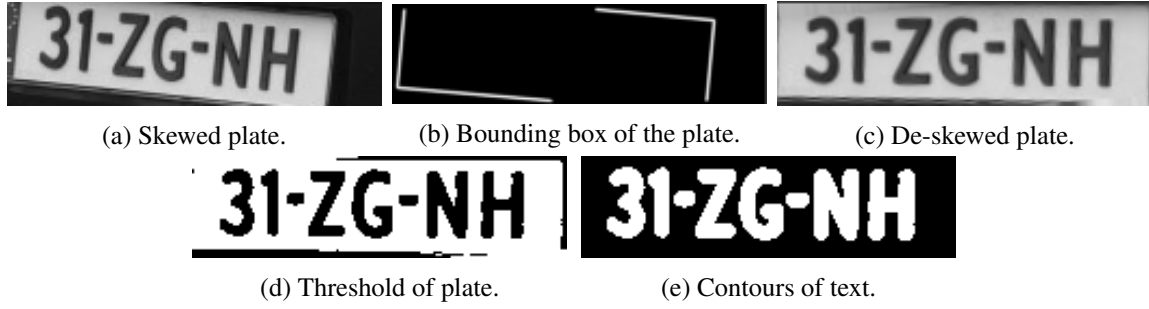


Figure 8: Phases from the plate de-skewing process.

for a different one, causing a false positive. To circumvent this problem, the angle at which the character is slanted must be calculated and rectified. This can be done using an Principal Component Analyser (PCA) algorithm. This kind of algorithm is used to find a linear pattern within a dataset and if that dataset happens to be a character, it calculates the direction it is “pointing” to. Using that information the angle at which the character is slanted can be calculated. In Figure 9 an example is shown of how a PCA algorithm works.

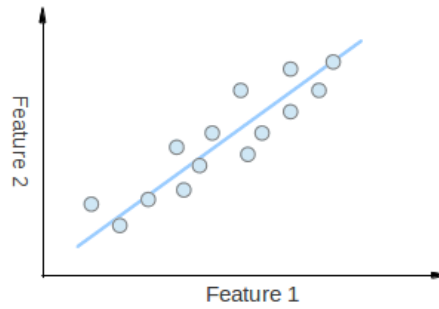


Figure 9: PCA linear pattern. [10]

Using the angle determined with the PCA algorithm, a shear transformation can then be applied to remove the inclination angle. Shear transformations are specifically used to add or remove slant angles to/from objects and are applied through an affine transformation [11]. Affine transformations are mathematical functions which preserve the relations between points, straight line and planes in an image, which means that image can easily be transformed without worrying about if every point will end up on the correct place.

These transformations are applied by multiplying every point in a figure by a matrix built for that specific operation. This method can be used for operations as scaling, translations, rotations and shearing by creating a matrix which specifies each transformation in the following manner: $\begin{pmatrix} x\text{-scaling} & \text{shear-angle} & x\text{-translation} \\ \text{rotation} & y\text{-scaling} & y\text{-translation} \end{pmatrix}$. In this case only shearing will be applied, and that is accomplished by using the matrix displayed in Equation 1.

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} 1 & -a & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \quad (1)$$

The x and y-scaling values are set to 1 to prevent any modification in the size of the image, and

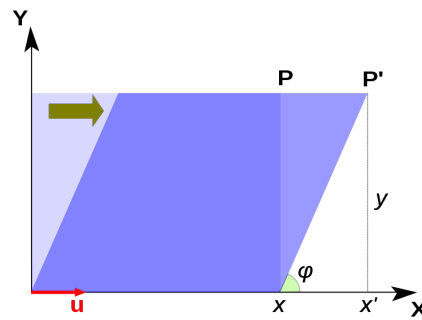


Figure 10: Shear transformation. [12]

the a value is the angle calculated using the PCA algorithm but negative to reverse the slant angle. Applying this affine transformation to the characters of the plate results in Figure **unslanted fig**.

Text recognition

Although the Tesseract library works really well most of the time, it requires some training in some specific cases. The text displayed on license plates is one of those cases because it uses an unique font designed specifically to be used on plates. There is no official version of this font publicly available, but using LeFly's [13] interpretation it was possible to train Tesseract to recognize this type of text. The font used for the training can be seen in Figure 11. Not every character has been added to the training text simply because not every character is used in license plates. The reasons for this ranges from characters being reserved for special plates, e.g. the royal family or diplomats, to characters that are too alike, e.g. the 'I' and the '1' or 'O' and the '0'.

BDFGHJKLMNPRSTVWXYZ0123456789

Figure 11: License plate font.

Using the image displayed above and the external tools provided by the Tesseract library, training data can be generated. Tesseract does this by segmenting every item on the image and creating a file with its best guess at which character is represented on the image, its location on the image, and its size. This data is displayed in Figure 12. After this file has been checked for possible mistakes, it can then be used to generated further necessary files where information on the shape of the characters, etc. are stored. Finally all the data is then bundled into a single file which can later be used with the recognition software.

Char	X	Y	Width	Height
B	104	109	20	31
D	130	109	22	31
F	158	109	18	31
G	182	108	21	32
H	209	108	22	32
J	237	108	15	32
K	259	108	21	32
L	287	108	18	32
M	311	108	26	32
N	342	108	22	32
P	371	109	19	31
R	396	109	22	31
S	424	108	21	32
T	450	109	21	31
V	476	108	22	32
W	503	108	22	32
X	530	108	22	32
Y	558	108	21	32
Z	584	109	19	31
O	610	108	22	32
1	638	108	8	32
2	654	108	20	32
3	679	108	18	32
4	703	108	22	32
5	732	108	20	32
6	758	108	21	32
7	785	109	19	31
8	810	108	21	32
9	837	108	21	32

Figure 12: Font data.

Conclusion and recommendations

Conclusies en aanbevelingen moeten verzameld worden in een apart en herkenbaar deel van het verslag. Hoewel in het hoofdverslag op diverse plaatsen conclusies getrokken kunnen worden, moeten de belangrijkste conclusies samengevoegd en samengevat worden.

Belangrijk is dat het verschil tussen objectief controleerbare conclusies en subjectieve aanbevelingen duidelijk wordt aangegeven. Ook is het aan te bevelen om de belangrijkste conclusies conform de opdrachtoomschrijving te formuleren.

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Evaluatie

In de evaluatie reflecteer je over je eigen afstudeerproces. Daarbij moet je vooral letten op de leereffecten. Welke competenties had je nodig? Welke competenties kwam je tekort en moest je zelf verwerven? Waren dit algemene of specifieke competenties? Voldeden de beroepscompetenties aan de standaard van het *HBO-I* (analyseren, adviseren, ontwerpen, realiseren en beheren)? Vielen de algemene competenties in de vijf categorieën van de *Dublin Descriptoren*¹ zoals het verkrijgen van kennis en inzicht, het toepassen van kennis en inzicht, het maken van onderbouwde keuzen (oordeelsvorming), het communiceren (schriftelijk en mondeling) en het verkrijgen van leervaardigheden?

¹Dublin Descriptoren zijn eisen aan de competenties voor de bachelor en master studies aan universiteiten en hogescholen in Europa.

Appendix A

Legends and acronyms

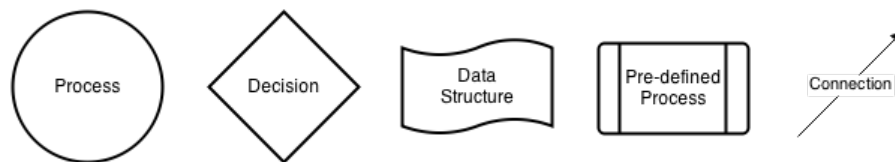


Figure A.1: Flowchart legends.

- **OCR** - Optical Character Recognition
- **IAD** - Iterative Application Development