

# Symbolic Complexity Analysis using Context-preserving Histories

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# Motivating Example

```
1 class Entry {  
2   String key; Action val; Entry next;  
3   public Entry(String key, Action val, Entry next) {  
4     this.key = key; this.val = val; this.next = next;  
5   }  
6 }  
7 Entry findEntry(String o, int n) {  
8   for(Entry e = table[n]; e != null; e = e.next) {  
9     if(e.key.equals(o)) {  
10      return e;  
11    }  
12  }
```

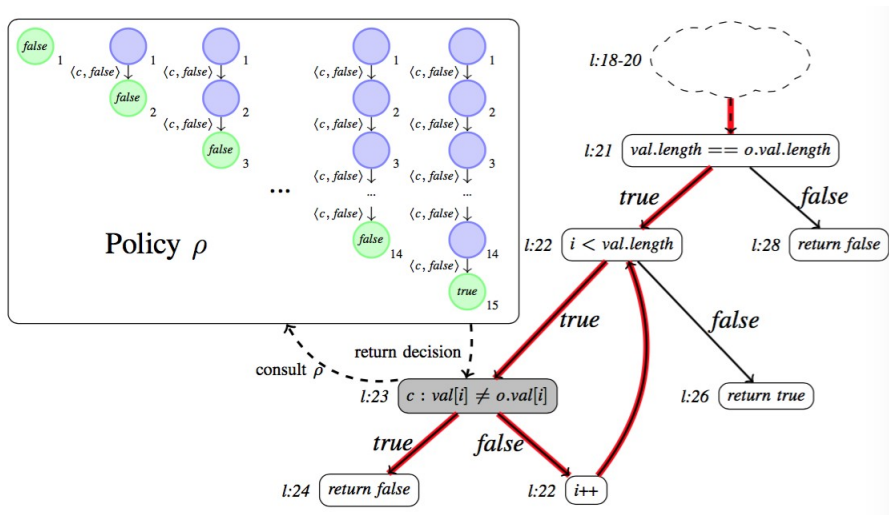
```
13    return null;  
14  }  
15  class String {  
16    char[] val;  
17    // ...  
18    public boolean equals(Object oObj) {  
19      // ...  
20      String o = (String) oObj;  
21      if(val.length == o.val.length) {  
22        for(int i = 0; i < val.length; i++) {  
23          if(val[i] != o.val[i])  
24            return false;  
25        }  
26        return true;  
27      }  
28      return false;  
29    }  
30  }
```

# Motivating Example

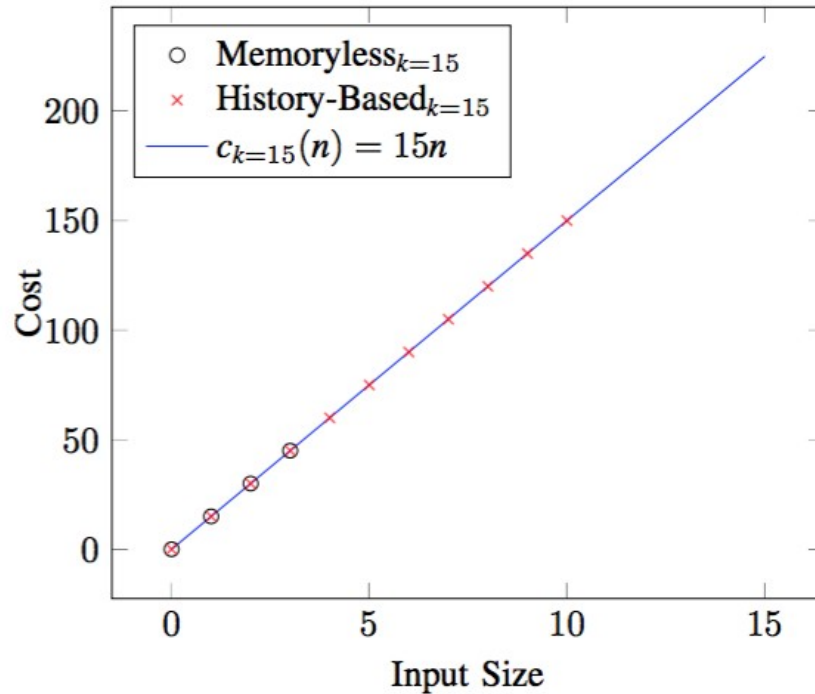
- Worst Case
  - strings: length  $k$ ; Input size: length  $n$ ;
  - $n*k$
- We aim to extract a policy that dictates what branch to take during symbolic execution at any input size

# Motivating Example

- We take into account the history of decisions taken along the worst-case path



# Motivating Example



# Guided Policy

- Policy  $p$ : a policy  $p$  is a function mapping a CFG branch  $c$  to a choice  $b \in \{\{\}, \{\text{true}\}, \{\text{false}\}, \{\text{true/false}\}\}$
- $p$  is deterministic if it contains no  $\{\}$  or  $\{\text{true/false}\}$

# Complexity analysis

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**Procedure 1** Worst-case complexity analysis.

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**Input:** Program  $P(n)$ , input size bound  $N$ , policy gen. input sizes  $L, H$  s.t.  $L \leq H < N$ , cost model  $\mathcal{C}$ , policy score  $\kappa$

{Phase (i)}

1:  $\rho_{\cup}$  initialized to  $\perp$  for all CFG conditions  $c$

2: **for**  $j \leftarrow L$  **to**  $H$  **do**

3:    $W_e \leftarrow \text{exhaustiveWCA}(P(j), \mathcal{C})$

4:    $\rho_{best} \leftarrow \text{null}$

5:   **for all**  $\langle \phi, \mathcal{C}(\phi), PC \rangle \in W_e$  **do**

6:      $\rho \leftarrow \text{computePolicy}(\phi)$

7:     **if**  $\rho_{best}$  is null **then**

8:        $\rho_{best} \leftarrow \rho$

9:     **else if**  $\kappa(\rho) > \kappa(\rho_{best})$  **then**

10:        $\rho_{best} \leftarrow \rho$

11:    $\rho_{\cup} \leftarrow \rho_{\cup} \cup \rho_{best}$

{Phase (ii)}

12:  $D \leftarrow \emptyset$

13: **for**  $i \leftarrow 1$  **to**  $N$  **do**

14:    $W_g \leftarrow \text{guidedSymExe}(P(i), \rho_{\cup}, \mathcal{C})$

15:    $\text{cost}_i \leftarrow \mathcal{C}(\phi)$  s.t.  $\langle \phi, \mathcal{C}(\phi), PC \rangle \in W_g$

16:    $D \leftarrow D \cup \langle i, \text{cost}_i \rangle$

17:  $\langle f, r^2 \rangle \leftarrow \text{regressionAnalysis}(D)$

18: Output  $\langle f, r^2 \rangle$ , input constraints and solutions.

19: **return**

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# Complexity Analysis

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**Procedure 2** computePolicy

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**Input:** Worst-case path  $\phi$

**Output:** Policy  $\rho$

- 1:  $\rho$  initialized to  $\perp$  for all CFG conditions  $c$
- 2: **for all**  $\pi_k = \langle c, b, \alpha \rangle$  where  $k = 1, \dots, \text{length}(\phi)$  **do**
- 3:    $\rho(c) \leftarrow \rho(c) \cup b$
- 4: **return**  $\rho$

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**Procedure 3** guidedSymExe

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**Input:** Program  $P$ , policy  $\rho$ , cost model  $\mathcal{C}$

**Output:**  $W = \{\langle \phi, \mathcal{C}(\phi) \rangle_1, \dots\}$

- 1: Run symbolic execution on  $P$  and record worst-case paths in set  $W$
  - 2: **for all**  $\pi = \langle c, b, \alpha \rangle$  about to be explored **do**
  - 3:    $choice \leftarrow \rho(c)$
  - 4:   **if**  $choice \neq \perp$  and  $choice \neq \top$  **then**
  - 5:     Explore  $b = choice$  for  $c$  in  $\pi$
  - 6:   **else**
  - 7:     Explore both  $b = true$  and  $b = false$  for  $c$  in  $\pi$
  - 8: **return**  $W$
- 

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**Procedure 4**  $\kappa$ 

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**Input:** Policy  $\rho$

**Output:** Policy rank

- 1:  $rank \leftarrow 0$
  - 2: **for all**  $c$  in  $P(n)$  **do**
  - 3:    $res \leftarrow \rho(c)$
  - 4:   **if**  $res \neq \perp$  and  $res \neq \top$  **then**
  - 5:      $rank \leftarrow rank + 1$
  - 6: **return**  $rank$
-



# History-based policy

- Policy updating

For (1), we update line 3 in Procedure 2 as follows:

$$\rho(c, \downarrow(\mathcal{H}_h(\pi_k))) \leftarrow \rho(c, \downarrow(\mathcal{H}_h(\pi_k))) \cup b$$

- Policy guided search

For (2), we update line 3 in Procedure 3 as follows

$$choice \leftarrow \rho(c, \downarrow(\mathcal{H}_h(\pi)))$$

# Theoretical guarantee

**Theorem 1** *Let  $\rho_{\cup}^{L..H} = \bigcup_{n=L..H} \rho_n$  denote the unification of the policies obtained from the analysis at input sizes  $L..H$ , for same history size  $h$ . Then there exists a sufficiently large  $M$  such that the policy  $\rho_{\cup}^{L..M}$  accurately predicts the worst-case path for any input size that is greater or equal to  $L$ .*

*Proof:* First observe monotonicity of policy generation. We define  $\rho_1 \subseteq \rho_2$  as  $\forall_{i \geq L} \{\Phi_{i,\rho_1} \subseteq \Phi_{i,\rho_2}\}$ , where  $\Phi_{i,\rho}$  is the set of paths explored with policy  $\rho$  at input size  $i$ . Unification of policies leads to increased coverage of program behaviors: if  $\rho_{\cup}^{L..n+1} = \rho_{\cup}^{L..n} \cup \rho_{n+1}$  then  $\rho_{\cup}^{L..n+1} \supseteq \rho_{\cup}^{L..n}$ , since  $\rho_{n+1}$  can only add more behaviours that are allowed. Since the number of choices for the policy is finite and the history size is fixed, there is a finite number of possible policies. Hence, there exists an  $M$  for which  $\rho_{\cup}^{L..M}$  is 'largest' according to  $\subseteq$  and thus includes the worst-case path for any input size that greater or equal to  $L$ . ■

# Evaluation

Benchm.	Set-up			Input Size (N)												Complexity	$r^2$	
	$L=H$	$h$		1	2	3	4	5	10	15	20	30	100	250	1000			
Blogger URI Verifier	Exh.		Paths	55	2213	114533	-	-	-	-	-	-	-	-	-	$\mathcal{O}(n^2)$	0.99986	
			Time	0:02	0:25	26:46	-	-	-	-	-	-	-	-	-			
	1	$m.l.$	Paths	8	57	155	351	743	-	-	-	-	-	-	-			
			Time	0:00	0:02	0:31	4:45	45:09	-	-	-	-	-	-	-			
TextCrunchr ZIP Decompressor	Exh.		Paths	3	4	5	6	7	12	17	22	32	102	252	1002	$\mathcal{O}(n)$	1.0000	
			Time	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:01	0:06			1:27
	1	$m.l.$	Paths	1	1	1	1	1	1	1	1	1	1	1	1			
			Time	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:01	0:06			1:28
Find Entry ( $k = 15$ )	Exh.		Paths	16	376	11656	-	-	-	-	-	-	-	-	-	(too few predictors)	$\mathcal{O}(n)$	1.0000
			Time	0:01	0:07	4:59	-	-	-	-	-	-	-	-	-			
	2	$m.l.$	Paths	16	376	11656	-	-	-	-	-	-	-	-	-			
			Time	0:01	0:07	4:09	-	-	-	-	-	-	-	-	-			
	2	14	Paths	1	1	1	1	1	1	1	1	1	1	1	-			
			Time	0:01	0:01	0:02	0:02	0:02	0:04	0:08	0:12	0:24	6:00	2:00:32	-			
TextCrunchr NGram Score (trigrams)	Exh.		Paths	4	13	40	121	364	88573	-	-	-	-	-	-	$\mathcal{O}(n)$	1.0000	
			Time	0:00	0:00	0:00	0:01	0:02	3:15	-	-	-	-	-	-			-
	2	$m.l.$	Paths	4	13	40	121	364	88573	-	-	-	-	-	-			
			Time	0:00	0:00	0:00	0:01	0:02	5:10	-	-	-	-	-	-			-
	2	2	Paths	1	1	1	1	1	1	1	1	1	1	1	1			
			Time	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:02	0:15			7:07

Password Checker ( $k = 32$ )	<b>Exh.</b>		<b>Paths</b>	33	1057	33825	-	-	-	-	-	-	-	-	-	(too few predictors)			
	2	$m.l.$	<b>Time</b>	0:01	0:04	2:00	-	-	-	-	-	-	-	-	-				
			<b>Paths</b>	33	1057	33825	-	-	-	-	-	-	-	-	-				
			<b>Time</b>	0:00	0:03	2:04	-	-	-	-	-	-	-	-	-			-	
2	31	<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1	-	$\mathcal{O}(n)$	1.0000		
		<b>Time</b>	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:04	-	-				
LawDB Database B-Tree	<b>Exh.</b>		<b>Paths</b>	3	13	75	541	4683	-	-	-	-	-	-	-				
	2	$m.l.$	<b>Time</b>	0:01	0:01	0:01	0:01	0:07	-	-	-	-	-	-	-				
			<b>Paths</b>	2	3	4	3	3	4	5	5	6	8	583	-				
			<b>Time</b>	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:29	06:23	-				
Sorted Linked-List insert	<b>Exh.</b>		<b>Paths</b>	1	2	6	24	120	-	-	-	-	-	-	-				
	3 <sup>†</sup>	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:01	0:01	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			-	
			<b>Time</b>	0:00	0:01	0:01	0:01	0:01	0:01	0:01	0:02	0:04	0:10	58:51	-			-	
Heap insert (JDK 1.5)	<b>Exh.</b>		<b>Paths</b>	1	2	4	12	36	20736	-	-	-	-	-	-				
	2	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:00	0:01	0:46	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			1	
			<b>Time</b>	0:00	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:02	0:09			0:53	
Red-Black Tree search	<b>Exh.</b>		<b>Paths</b>	3	10	42	216	1320	-	-	-	-	-	-	-				
	8	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:01	0:03	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			1	
			<b>Time</b>	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:02	0:25	7:09			-	
Quicksort (JDK 1.5)	<b>Exh.</b>		<b>Paths</b>	1	2	6	24	120	-	-	-	-	-	-	-				
	8	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:00	0:01	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			1	
			<b>Time</b>	0:00	0:01	0:01	0:01	0:01	0:01	0:01	0:02	0:06	0:09	37:42	-			-	
Binary Search Tree search	<b>Exh.</b>		<b>Paths</b>	1	3	13	75	541	-	-	-	-	-	-	-				
	3	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:01	0:02	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			1	
			<b>Time</b>	0:00	0:01	0:01	0:01	0:01	0:01	0:01	0:03	0:05	0:13	-	-			-	
Merge Sort (JDK 1.5)	<b>Exh.</b>		<b>Paths</b>	1	2	6	24	120	3628800	-	-	-	-	-	-				
	7	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:00	0:01	2:06:22	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	251	-	-	-	-	-			-	
			<b>Time</b>	0:00	0:00	0:00	0:00	0:00	0:00	0:02	-	-	-	-	-			-	
	7	1	<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1	-	$\mathcal{O}(n \log n)$	0.99941	
	8	1	<b>Time</b>	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:01	0:11	2:03	-			
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1	1	-		
			<b>Time</b>	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:00	0:11	1:33	-			
Bellman-Ford <sup>‡</sup>	<b>Exh.</b>		<b>Paths</b>	1	2	63	-	-	-	-	-	-	-	-	-				
	2	$m.l.$	<b>Time</b>	0:00	0:00	0:02	-	-	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	-	-	-	-	-			-	
			<b>Time</b>	0:00	0:00	0:00	0:01	0:02	6:19	-	-	-	-	-	-			-	
Dijkstra's <sup>‡</sup>	<b>Exh.</b>		<b>Paths</b>	1	1	4	56	2592	-	-	-	-	-	-	-				
	3	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:00	0:10	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			1	
			<b>Time</b>	0:00	0:00	0:00	0:00	0:00	0:01	0:03	0:11	1:16	-	-	-			-	
Traveling Salesman <sup>‡</sup>	<b>Exh.</b>		<b>Paths</b>	1	1	3	297	-	-	-	-	-	-	-	-				
	3	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:02	-	-	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	-	-	-	-	-	-			-	
			<b>Time</b>	0:01	0:01	0:01	0:02	0:04	-	-	-	-	-	-	-			-	
Insertion Sort	<b>Exh.</b>		<b>Paths</b>	1	2	6	24	120	3628800	-	-	-	-	-	-				
	2	$m.l.$	<b>Time</b>	0:00	0:00	0:00	0:00	0:01	1:37:46	-	-	-	-	-	-				
			<b>Paths</b>	1	1	1	1	1	1	1	1	1	1	1	1			1	
			<b>Time</b>	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:01	0:02	0:05			1:10	