

## UNIT-V

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## Data on External Storage:-

A DBMS stores vast quantities of data. Therefore data is stored on external storage devices like disks and tapes, and fetched into main memory as needed for processing. The unit of information read from or written to disk is a page.

The size of a page is 4KB or 8KB.

Disk are the most important external storage devices. They allow us to retrieve any page at a fixed cost per page. If we read several pages then they are stored physically, the cost can be much less than the cost of reading same pages in random order.

\* Tapes are sequential access devices and force us to read data in a linear fashion. They are mostly used to archive data.

\* Each record in a file has a unique identifier called rid. We can find the disk address of the page id, or rid for short. We can find the disk address of the page containing the record by using rid.

Data is read into memory for processing and written to disk for persistent storage by a layer of software called disk space manager. Space on disk is managed by disk space manager. Data is non-volatile.

Space manager: Space on disk is managed by disk space manager. This manager is a software program that keeps track of free and used disk space and allocates it as needed. It also handles file deletion and recovery.

\* Disks :- It is a permanent storage device. Data is stored in the disk.

i.e. when there is a power loss data will be lost  
It is secondary storage device.

→ Sequential access devices and force us to read one page after other.

## File Organizations and Indexing

A file of records is an important abstraction in DBMS. A file can be created, destroyed and have records inserted to and deleted from it. A file organization can be defined as a process of arranging records in a file when the file is stored in disk. A file is stored in a collection of disk pages.

**Index** - An index is a data structure that organizes data

An index is a data structure that organizes data records on disk to optimize certain kinds of retrieval operations.

An index allows us to efficiently retrieve all records that

satisfies search conditions on the search key fields of index.

We use the term data entry to refer to the records

stored in index file. A data entry with search key value  $k$

denoted as  $k*$  contains enough information to locate data

records with search key value  $k$ .

\* There are 3 main alternatives for what to store as a data

entry in a index.

1. All data entry  $k*$  is an actual data record (with search key value  $k$ )

2. A data entry is a  $\langle k, rid \rangle$  pair, where  $rid$  is the record id

a data record with search key value  $k$ .

3. A data entry is a  $\langle k, rid-list \rangle$  pair where  $rid-list$  is a list of record id's of the data records with search key value  $k$ .

Alternative (1) where each entry  $K^*$  is a data record with search key value  $k$ .

Alternatives (2) and (3), which contain data entries that point to data records, are independent of file organizations.

Alternative (3) offers better space utilization than alternative (2).

### **Clustered Index:-**

When a file is organized so that the ordering of data records is same as ordering of data entries in some index, we say that index is clustered. An index that uses alternative (1) is clustered index.

In practice, files are kept stored since this is too expensive to maintain when the data is updated. So, the clustered index uses alternative (1). It is sometimes referred to as an index using alternative (1) as a clustered file.

### **Primary and Secondary Indexes:-**

An index on a set of fields that includes all the primary key is called as primary index, other indexes are called secondary indexes.

An index that uses Alternative (1) is called primary index and one that uses alternative (2) & (3) is called secondary index.

Primary index cannot contain duplicates where as secondary index contains duplicates.

## Index Data Structures:-

Indexing is used to optimize the performance of database by minimizing the number of disk accesses required when a query is processed. The index is a type of data structure. Two techniques are used for organizing

An index takes search key as input.

- ① Hash-based indexing. efficiently returns data.
- ② Tree-based indexing. collection of matching records.

## Hash-based Indexing:-

It organizes records using a technique called hashing to quickly find records that have a given search key value.

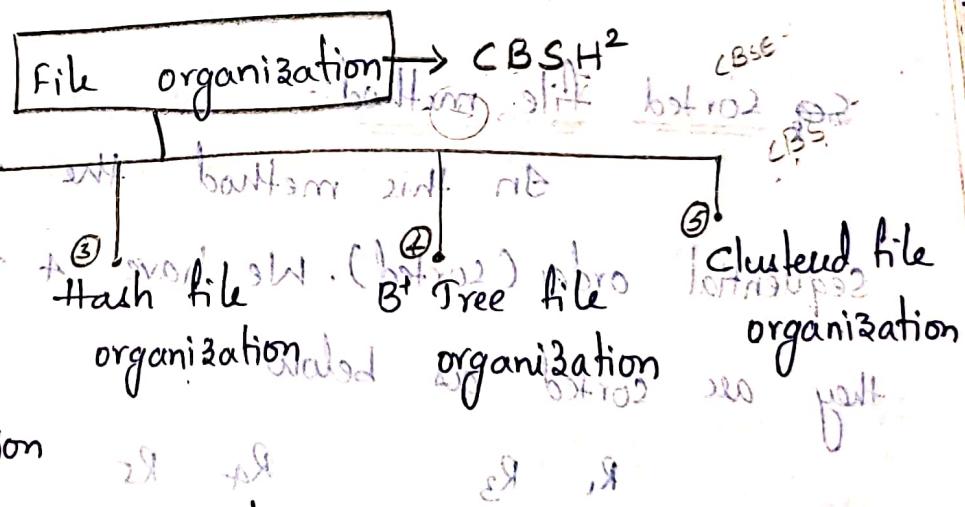
Eg:- If the file of employee records is hashed on the name field we can retrieve all records with the name 'joe'.

In this approach the records are grouped in buckets, where a bucket consists of primary page and possibly additional pages linked in a chain. The bucket to which a record belongs to can be determined by applying special function called hash function.

## \* File Organization and Indexing:-

File organization is a logical relationship among various records. This method defines how file records are mapped into disk blocks. File organization is used to describe the records that are stored in terms of blocks in storage medium.

File is collection of related information that is recorded on secondary storage i.e. magnetic disks, magnetic tapes, optical disks. Various methods have been introduced to organize files. It depends on the programmers to decide which file organization method is required. Some types of file organizations are:-



## \* Sequential file (Method) Organization:-

The easiest method for file organization is Sequential method. In this method the files are stored one after the other in sequential manner. There are 2 ways to implement this

1. Pipe file method
2. sorted " "

## Pipe file method:-

In this method the records are stored in a sequence i.e. one after other in the order in which they are inserted into tables.

R <sub>1</sub>	R <sub>3</sub>	-	R <sub>5</sub>	R <sub>4</sub>
----------------	----------------	---	----------------	----------------

Starting at

End of

file

Insert:- We have 4 records in the sequence. Suppose i want to insert a new record R<sub>2</sub> then it is simply placed at the end of file.

R <sub>1</sub>	R <sub>3</sub>	-	R <sub>5</sub>	R <sub>4</sub>
----------------	----------------	---	----------------	----------------

R<sub>2</sub>

New record

## Seq sorted file method

In this method the records are inserted in sequential order (sorted). We have 4 records R<sub>1</sub>, R<sub>3</sub>, R<sub>4</sub>, R<sub>5</sub> they are sorted as below

R <sub>1</sub>	R <sub>3</sub>	-	R <sub>4</sub>	R <sub>5</sub>
----------------	----------------	---	----------------	----------------

Insert:- If we want to insert new record R<sub>2</sub> then

① If it is inserted at end of the file

R <sub>1</sub>	R <sub>3</sub>	R <sub>4</sub>	R <sub>5</sub>
----------------	----------------	----------------	----------------

R<sub>2</sub>

② Later it will be sorted.

R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>	R <sub>5</sub>
----------------	----------------	----------------	----------------	----------------

## Pros and Cons of Sequential file organization:-

Pros:- It is more popular for small files.

→ Fast and efficient method for huge amounts of data.

→ simple design.

→ Files can be easily sorted.

→ It is cheaper cost.

Cons:- Disadvantages most evident in sequential file organization.

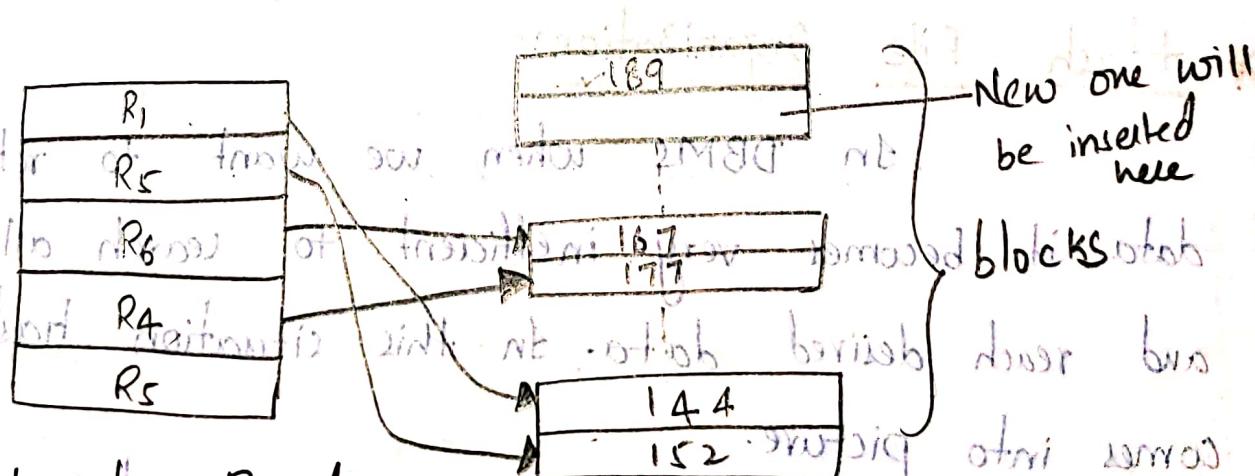
→ Time wastage

→ Takes more space and time.

## \* Heap File Organization:-

Heap file organization works with data blocks.

In this method records are inserted into data blocks. No sorting or ordering is required in this method. If a data block is full the new record will be inserted into some other block. It is responsibility of DBMS to store and manage new records.



Insertion of New Record:- In this method, if a heap file organization has 4 records - R<sub>1</sub>, R<sub>5</sub>, R<sub>6</sub>, R<sub>4</sub> and a new record R<sub>7</sub> has to be inserted in heap then it will be inserted where the block will be empty.

If we want to search, delete or update data in heap file organization then we will traverse the data from beginning of file till we get requested record. If the database is huge searching, deleting or updating the record will take more time.

### Pros and cons of Heap file organization:-

Pros:-

→ Fetching and retrieving is faster than sequential record but only in small databases.

→ When there is a huge number of data it need to be loaded into database at a time then this method of organization is best.

Cons:-

→ Problem of unused memory blocks.  
→ Inefficient for large databases.

### Hash File Organization:-

In DBMS when we want to retrieve a particular data it becomes very inefficient to search all index values and reach desired data. In this situation hashing technique comes into picture.

Hashing is an efficient technique to direct search the location of desired data on the disk without using index structure. Data is stored at data blocks who-

address is generated by using hash function. The memory location where these records are stored is called as data block or data bucket.

## Important Terminologies in Hash file organization:-

### Data Bucket:-

Data buckets are the memory locations where the records are sorted. These buckets are also concerned as Unit of Storage.

Hash Function:- It is a mapping function that maps all the set of search keys to actual record address. Generally, hash function uses primary key to generate hash index.

-address of data block. Hash function can be simple mathematical function to complex mathematical function.

Hash Index:- Prefix of an entire hash value. The prefix of entire hash value is taken as hash index. Every hash index signifies some bits. These bits can address 2^n buckets.

Search keys

101
102
103
104
105

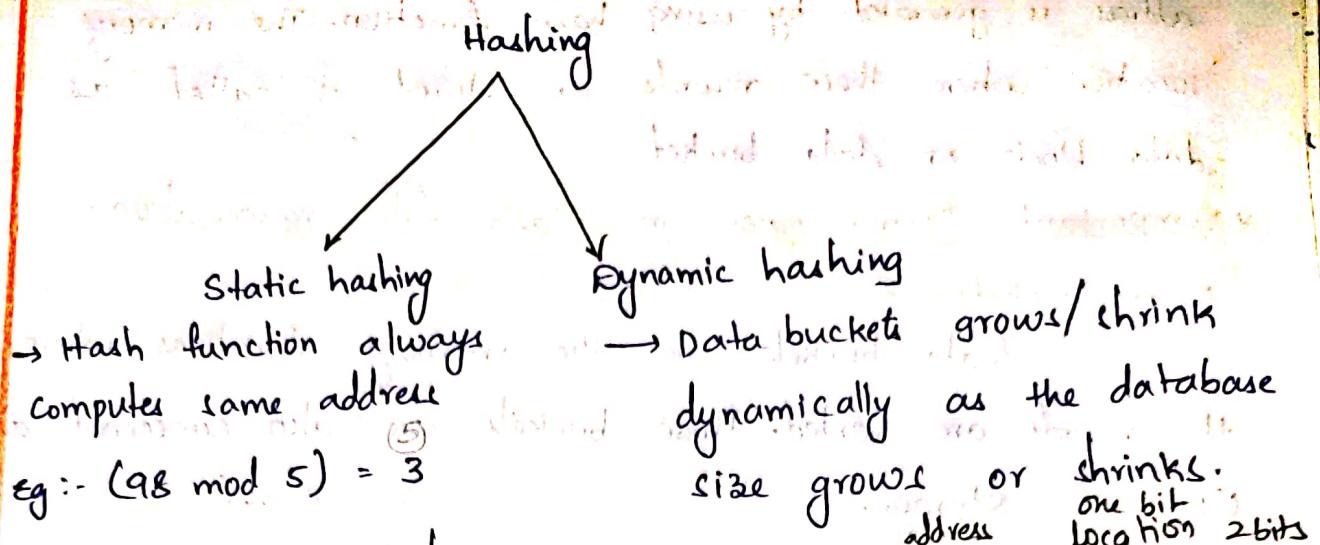
hash function

Data buckets



\* Search key mod 100 → formula

$$101 \text{ mod } 100 = 1$$



→ No. of data buckets

in the memory remains constant.

Problem:- bucket overflow.

$98 \bmod 5 = 3 \rightarrow$  already occupied

group of available position

$93 \bmod 5 = 3 \rightarrow$  again where it

would be stored?

Instead no problem has occurred and principle

**Operations:-**

Insert

update

Delete

Search

\* To overcome the problem at bucket overflow we have

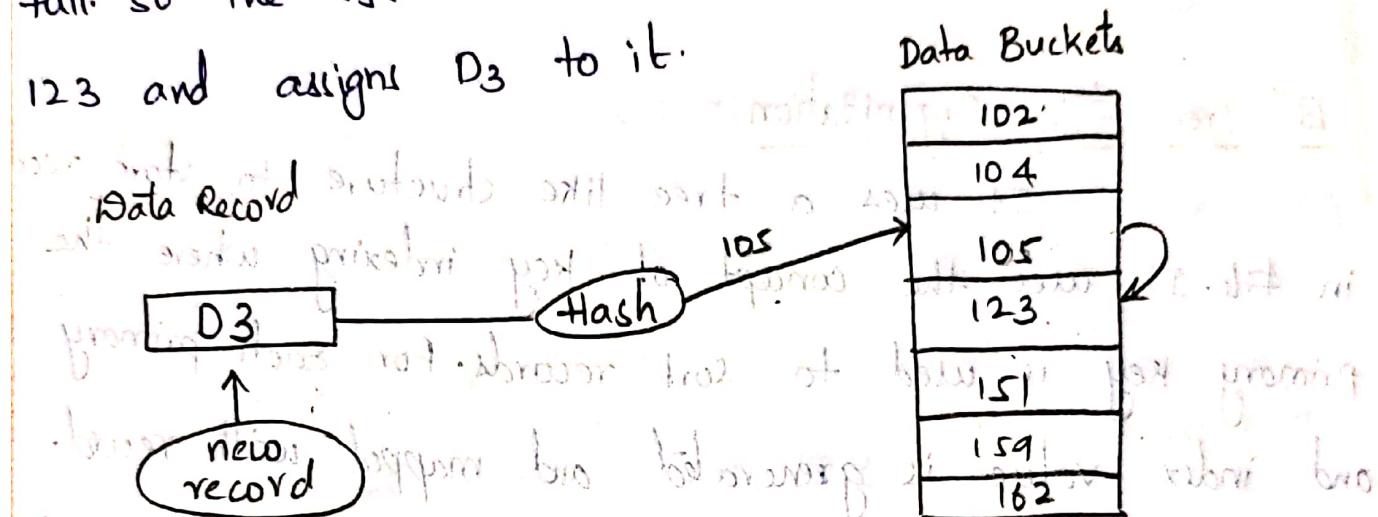
open hashing

closed hashing.

## Open Hashing:-

In this method next available data block is used to enter the new record, instead of overwriting older one. This method is also called as linear probing.

Eg:- D<sub>3</sub> is a new record which needs to be inserted. The hash function generates address as 105. But it is already full. so the system searches next available data bucket 123 and assigns D<sub>3</sub> to it.



## Closed Hashing:-

In this method a new data bucket is allocated with same address, and is linked after the full data bucket. This method is also known as overflow chain.

Eg: We have to insert new record D<sub>3</sub> into the tables. The static hash function generates the data bucket address as 105. But this bucket is full to store new data. In this case new data bucket is added at the end of 105.

For more details about overflow chain see notes below or follow notes below the browser tab.

Data record

D<sub>3</sub>

New Record

Hash

105

102
104
105
123
151
159
102

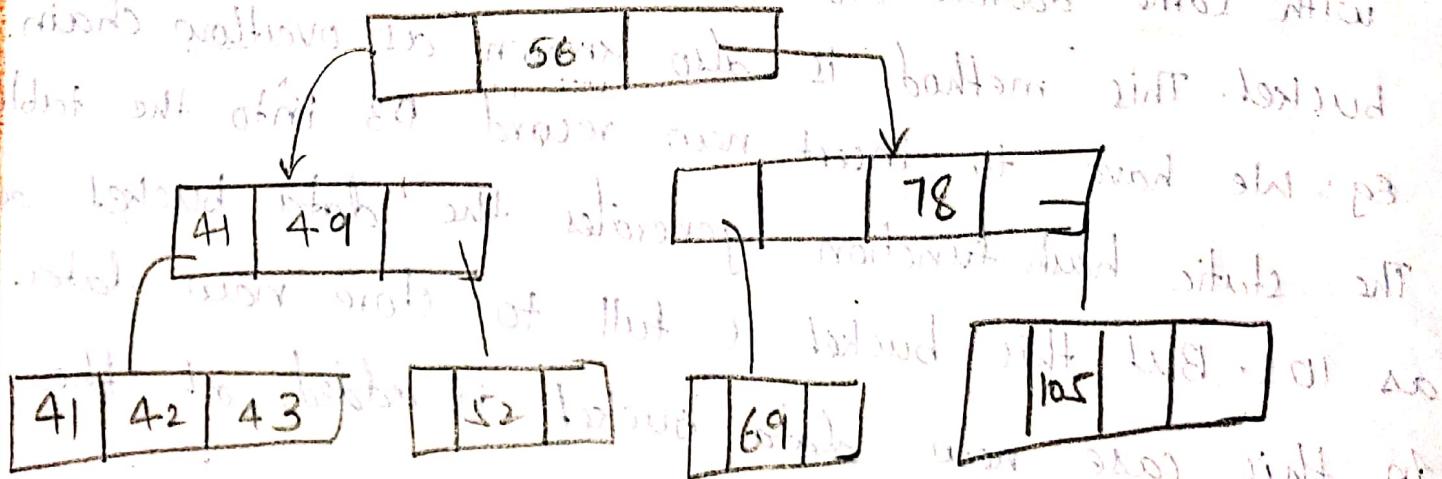
105

### B<sup>+</sup> Tree file Organization:-

It uses a tree like structure to store records in file. It uses the concept of key indexing where the primary key is used to sort records. For each primary key and index value is generated and mapped with record.

B<sup>+</sup> tree is similar to binary search tree, the only difference is binary tree will have just 2 children

B<sup>1</sup> tree can have more than 2.



In this diagram 56 is the root node which is also called main node of tree.

→ The intermediate nodes contain address of the leaf node do not contain actual record. Leaf nodes contain actual

## Pros and cons of B<sup>+</sup> tree

Pros:-

- Tree traversal is easier and faster

- Searching becomes easy as all the records are stored only in leaf node & sorted

- There is no restriction on B<sup>+</sup> tree size. It may grow/shrink as the size of data increases/decreases.

## Cons:

- Inefficient for static tables.

Note:- Searching is easier because all leaf nodes are balanced.

## Cluster File Organization

In this two or more related tables/records are put up bind within begin file known as clusters. stored within a single file. However, it retrieves various records from different files.

Lower the cost of searching and retrieving results.

eid	ename	deptid
1	A	d <sub>1</sub>
2	B	d <sub>2</sub>
3	C	d <sub>3</sub>

Dept	records
deptId	2
deptName	Finance
D <sub>1</sub>	Worrier
D <sub>2</sub>	HR
D <sub>3</sub>	Admin

cluster key	DeptId	Dname	eid	ename
	D <sub>1</sub>	Finance	1	A
	D <sub>2</sub>	HR	2	B
	D <sub>3</sub>	AD	3	C

Join → After joining store this in single file.

## \* Cluster key :-

It is the key with which the joining is performed.

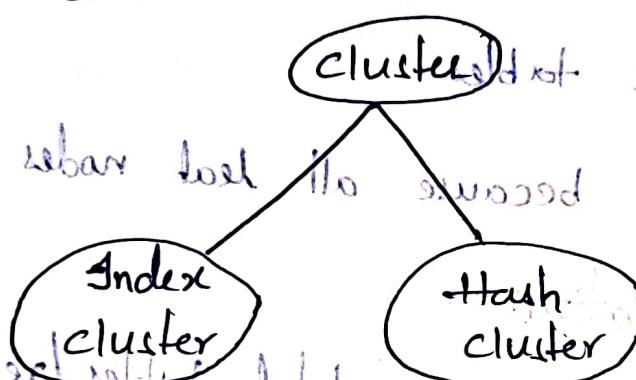
Advantages (Pros):

→ Used when frequent joining of multiple tables is required.

Cons:-

→ Low performance when tables are distributed on different machines.

Types of cluster:



### Index cluster:

In this method, the records are grouped based on the cluster key and stored together.

### Hash cluster:

In this method, we generate hash key value and store the records.

# Indexing:-

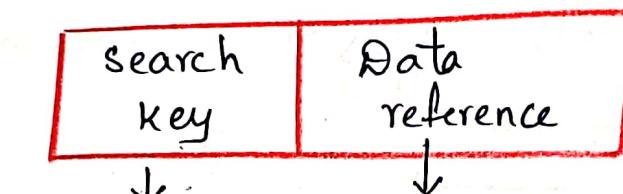
Indexing is a data structure technique which allows you to quickly retrieve records from a database file. An index is a small table having two columns.

1. One column contains search key. Search key may be a primary key or candidate key.
2. Second column contains data reference. It is a pointer holding the address of disk block.

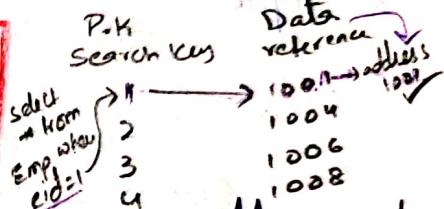
TB → Pages  
DB → Blocks

Structure

of  
index



Primary key / Candidate key.      Pointer holding the address of disk block.  
[Sorted order]



Indexing in database is used to optimize performance by minimizing the no. of disk access required when a query is processed.

→ An index takes a search key as input and returns the efficiently matching records.

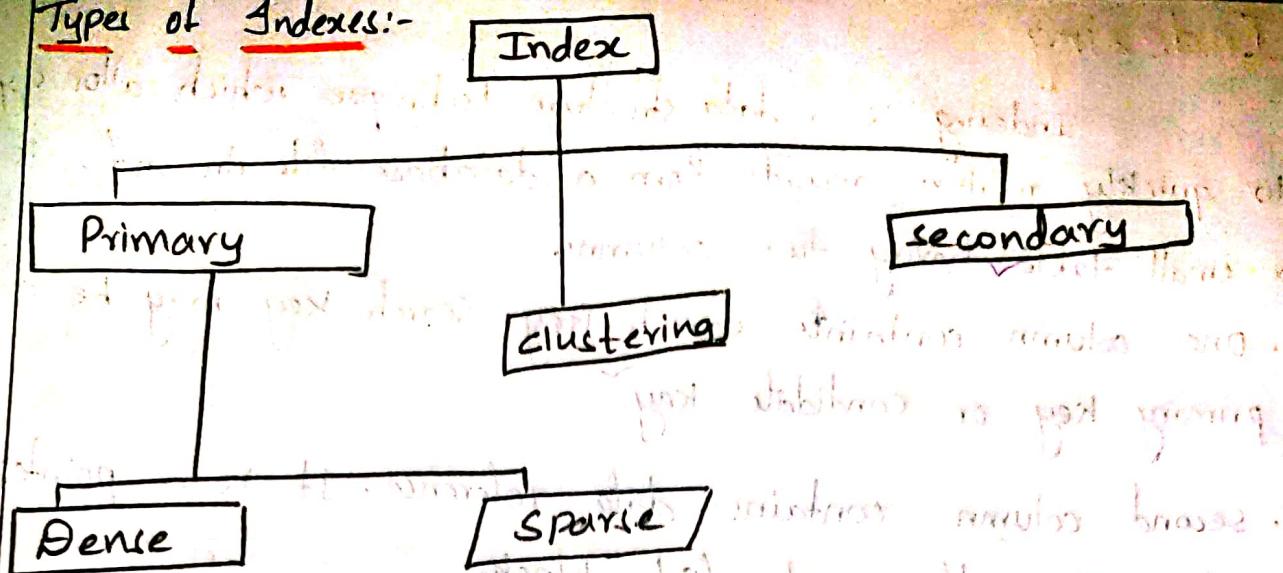
benefit of indexing is defined based on its attributes.

Two main types of indexing methods are:

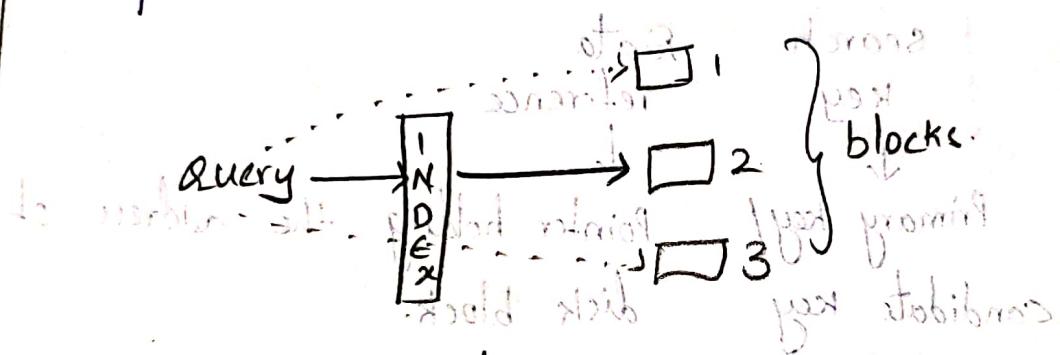
① Primary Indexing

② Secondary Indexing.

## Types of Indexes:-



Example:-



Textbook — Index

↳ Table of contents with page numbers.

→ Index in a data structure which is used to quickly locate and access the data.

Primary Indexing to maintain a sorted relationship between individual data blocks.

Primary Index based on ordered file which is fixed length size with two fields. The first field is the same as primary key and second field is pointed to that specific data block. In the primary index, there is always one to

one relationship between the entries in the index table.

The primary indexing is also further divided into two

types. Now what we can do is we can do it by

going to have Primary Indexing which is used for

stab index and search operation which is going to happen in sequential

order because all the entries should be stored sequentially.

Dense Index

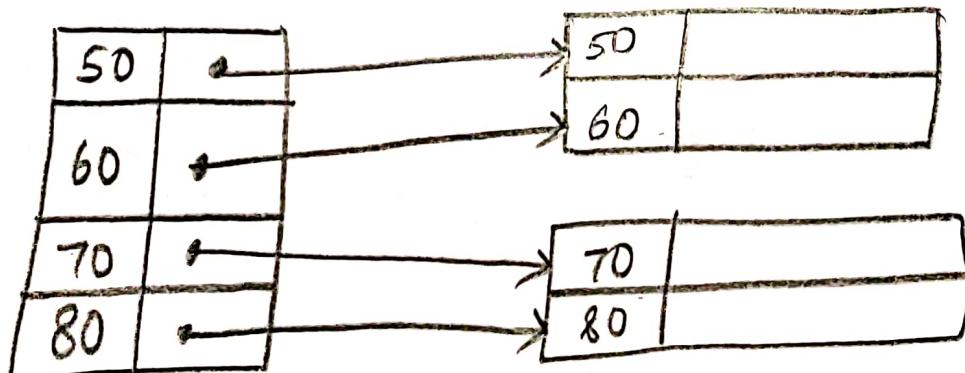
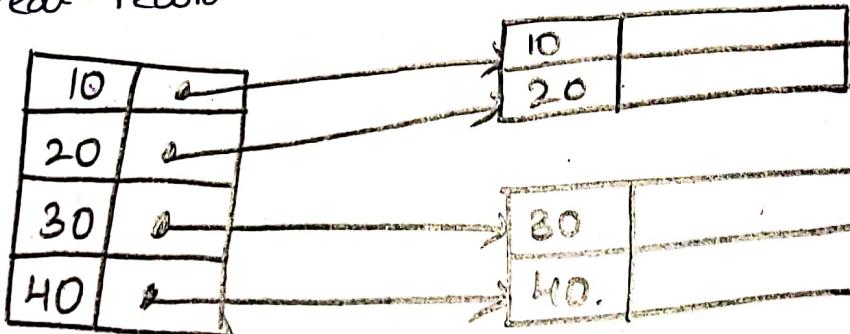
Sparse Index

Primary Index ~~like key & ordered file~~

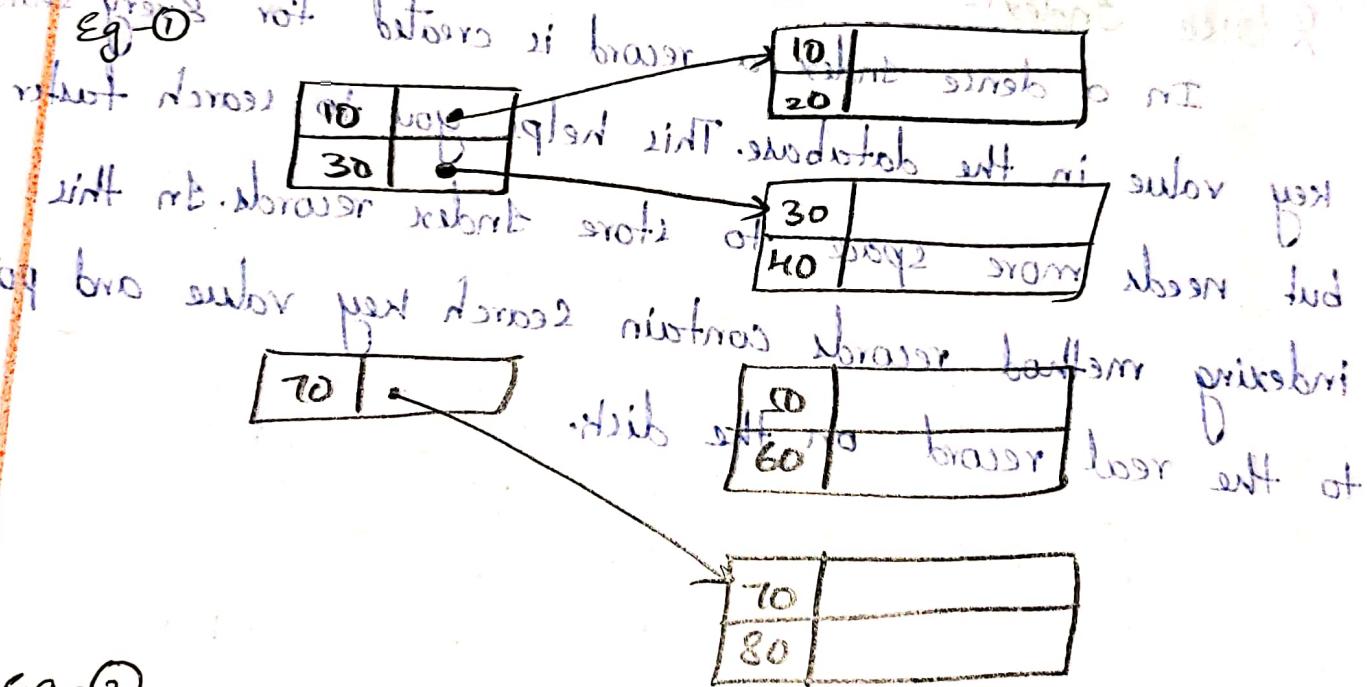
### Dense Index:-

In a dense index, a record is created for every search key value in the database. This helps you to search faster but needs more space to store index records. In this indexing method records contain search key value and points to the real record on the disk.

Search key = records



**Sparse Index:** - stores only associated information  
 It is an index records that appears for only some of the values in the file. Sparse Index helps you to resolve the issues of dense indexing. In this method of indexing technique, a range of index column stores the same data block address and when data needs to be retrieved, the block address will be affected. fetched.  
 It stores index records for only some search key values. It needs less space, less maintenance.



eg - ②

UP	-	UP	Agra
Nepal	-	UCA	Chicago
UK	-	Nepal	Kathmandu

UP	-	UP	Agra
Nepal	-	UCA	Chicago
UK	-	UK	Cambridge

## Secondary Index:-

The secondary index can be generated by a field which has a unique value for each record, it should be a candidate key. It is also known as non-clustering. This two-level database indexing technique is used to reduce mapping size of the first level.

Levele used

• Number of entries in the primary file.

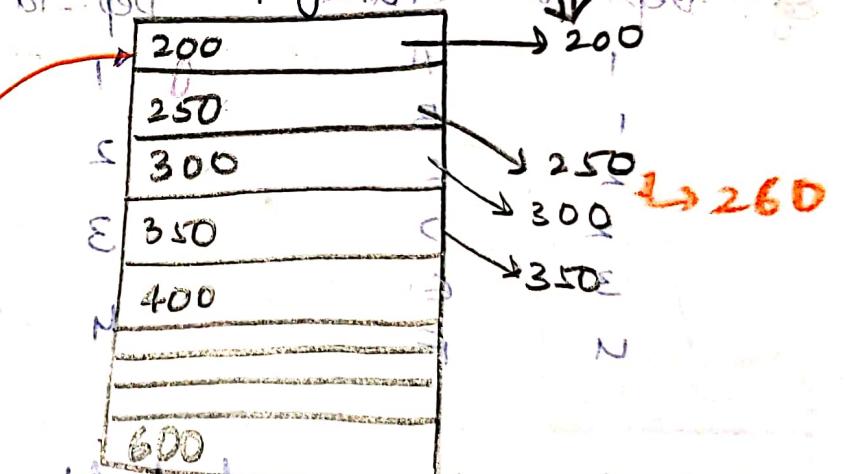
First level or second level.

→ Large range of no.

is selected.

Eg:-

eid	Pointer
200	0 0 0 1
400	0 0 0 1
600	0 0 0 1



Index file

If I want to search eid = 260 then it will go to first level with eid 200 & at second level it goes to the block 200 → 250 → 260

Secondary Index = Key + unordered file  
↓  
Candidate Key

## Clustering Index:-

In a clustered index, records themselves are stored in the index and not pointers. Sometimes the index is created on non-primary key columns which might not be unique for each record. In such situation you can group two or more columns to get values and create an index which is called a cluster file index. In this two or more columns are grouped together to uniquely identify the records.

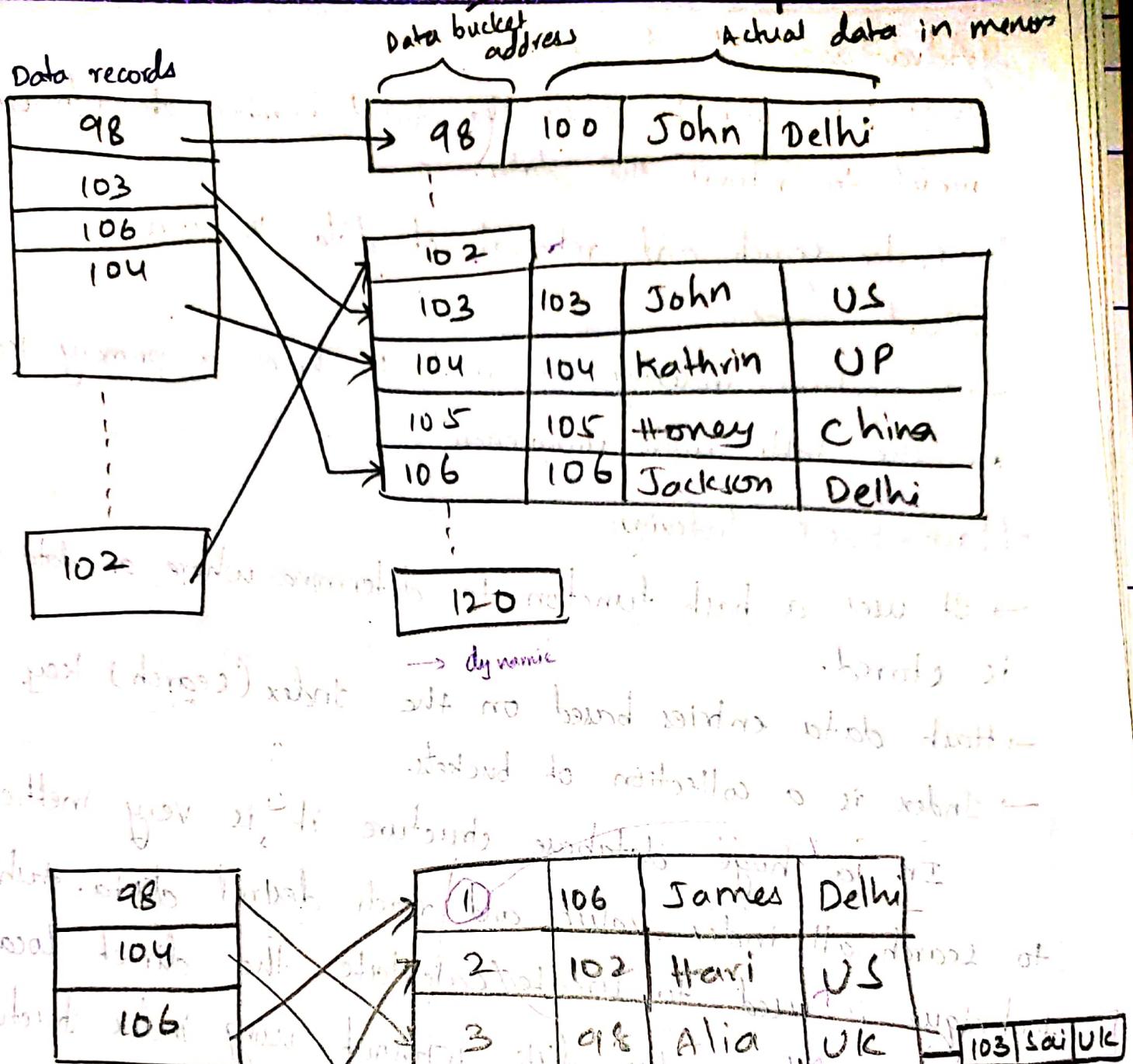
→ Records with similar characteristics are grouped together and indexes are created for these groups.

	Dept-id	ename	Dep-id	pointer		
1		A	1	10 00 → <table border="1"><tr><td>1 A</td></tr><tr><td>1 B</td></tr></table>	1 A	1 B
1 A						
1 B						
1		B	2	10 10 → <table border="1"><tr><td>2 C</td></tr><tr><td>2 D</td></tr></table>	2 C	2 D
2 C						
2 D						
2		C	3	10 20		
2		D	4	10 30		
3		E				
4		F				

Clustered Index = ordered file + Non-key

→ No uniqueness.

With branching + first + starting address



## Advantages Of Indexing:-

- It helps you to reduce the total number of I/O operations needed to retrieve the data.
- Faster search and retrieval of data to user.

## Disadvantages:-

- To perform indexing we need to have a primary key on the table with uniqueness.

## Hash-based Indexing:-

- It uses a hash function to determine where a data entry is stored.
- Hash data entries based on the Index (Search) key.
- Index is a collection of buckets.

In a huge database structure it is very inefficient to search all index value and reach desired data. Hashing technique is used to locate/calculate the direct location of data record on the disk without using index structure.

In this technique data is stored at the data blocks whose address is generated by using the hash function. The memory location where these records are stored is known as

bucket blocks or data bucket.

Hash function uses primary key to generate the address of data block.

Eg:-

Transaction table TT

TID	last LSN
1	567
2	42
7	67
3	12

Dirty Page Table DPT

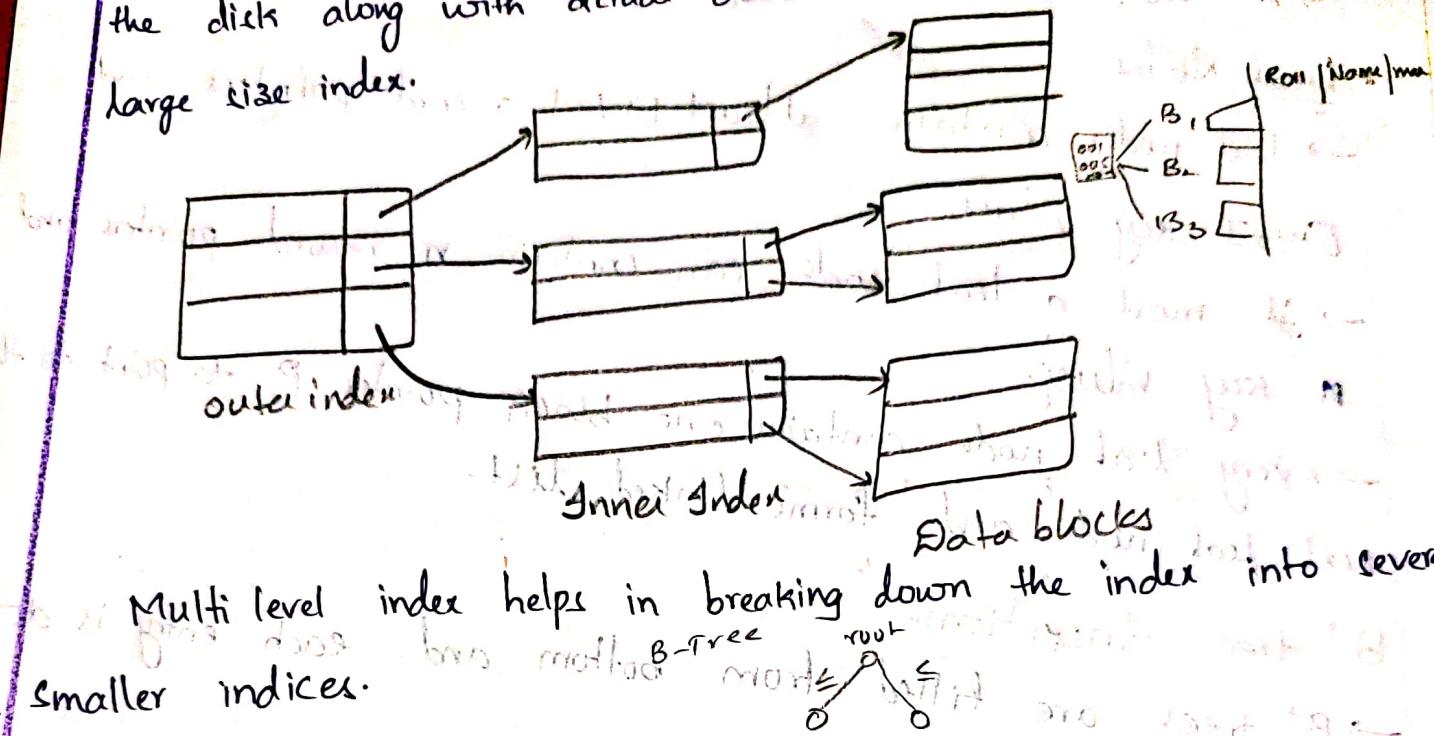
PageID	recovery LSN
42	567
46	568
77	34
3	42

TID :- key of transaction

- Page may contain changes from losers & winners  
i.e two concurrent transaction may operate on same page.

## Tree based Indexing:-

It is a multi level index format which is balanced binary search tree. Multi level index is stored on the disk along with actual database file. It is used for large size index.



Multi level index helps in breaking down the index into several smaller indices.

## B<sup>+</sup> Tree:-

A B<sup>+</sup> tree is a balanced binary search tree that follows a multi level index format. The leaf nodes of B<sup>+</sup> tree denote actual data pointers. B<sup>+</sup> tree ensures that all leaf nodes remain at the same height thus balanced. It can support random access as well as sequential access.

## Structure of B<sup>+</sup> tree:-

every leaf node is at equal p[distance from root node. for every B<sup>+</sup> tree.

B<sup>+</sup> tree is of order n where n is fixed for every B<sup>+</sup> tree.



- Internal nodes:
    - Internal (non-leaf) node contains at least  $\lceil n/2 \rceil$  pointers except the root node.
    - At most internal node can contain  $n$  pointers.
  - Leaf Nodes:
    - leaf nodes contain at least  $\lceil n/2 \rceil$  record pointers and  $\lceil n/2 \rceil$  key values.
    - At most a leaf node can contain  $n$  record pointers and  $n$  key values.
    - Every leaf node contains one block pointer  $P$  to point to the next leaf node and forms linked list.
- B<sup>+</sup> tree Insertion:**
- B<sup>+</sup> trees are filled from bottom and each entry is done at leaf node.
  - If a leaf node overflows:
    - Split node into two parts
    - Partition at  $i = \lceil (m+1)/2 \rceil$
    - First  $i$  entries are stored in one node
    - Rest of entries ( $i+1$ ) are moved to new node
    - $i$ th key is duplicated at parent leaf
  - If a non-leaf node overflows
    - split node into two parts.
    - Partition node at  $i = \lceil (m+1)/2 \rceil$
    - Entries upto  $i$  are kept in one node
    - Rest of them all moved to new node

## B+ Tree Deletion:

→ B<sup>+</sup> tree entries are deleted at leaf nodes.

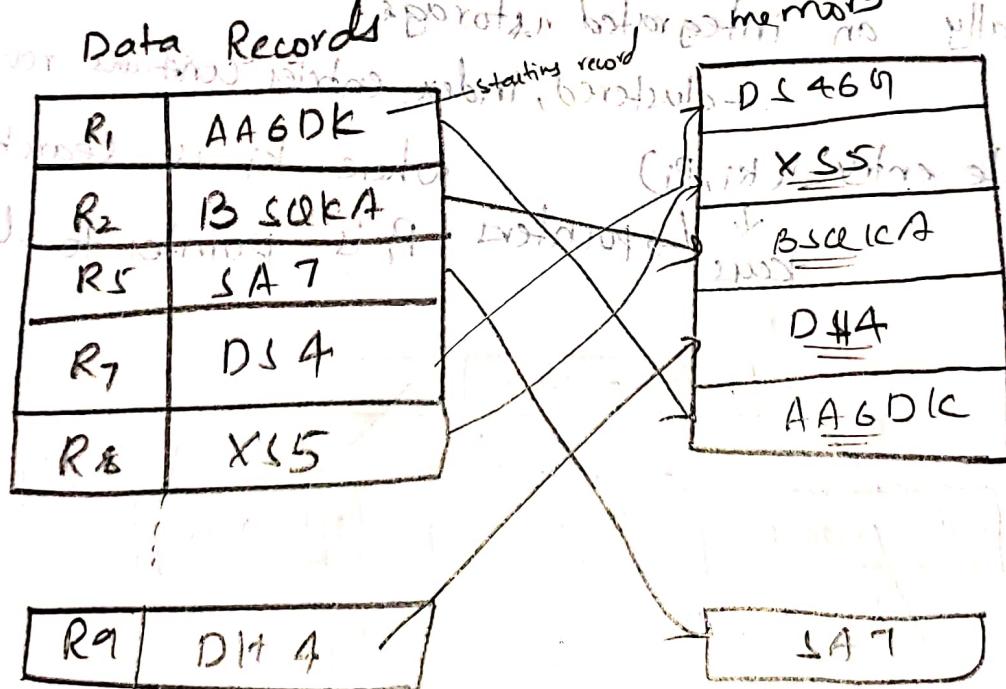
→ Target entry is searched and deleted.

→ After deletion underflow is tested.

## Indexed Sequential Access Method:-(ISAM)

This method is an advanced sequential file organization.

In this method records are stored using a primary key. An index value is generated for each primary key and mapped with record. This index contains the address of the record in file.



No index  
seconds:  
Index-millisecond

Sequential file  
More records  
More time

\* The index is static

→ once the separator levels have been constructed they never change

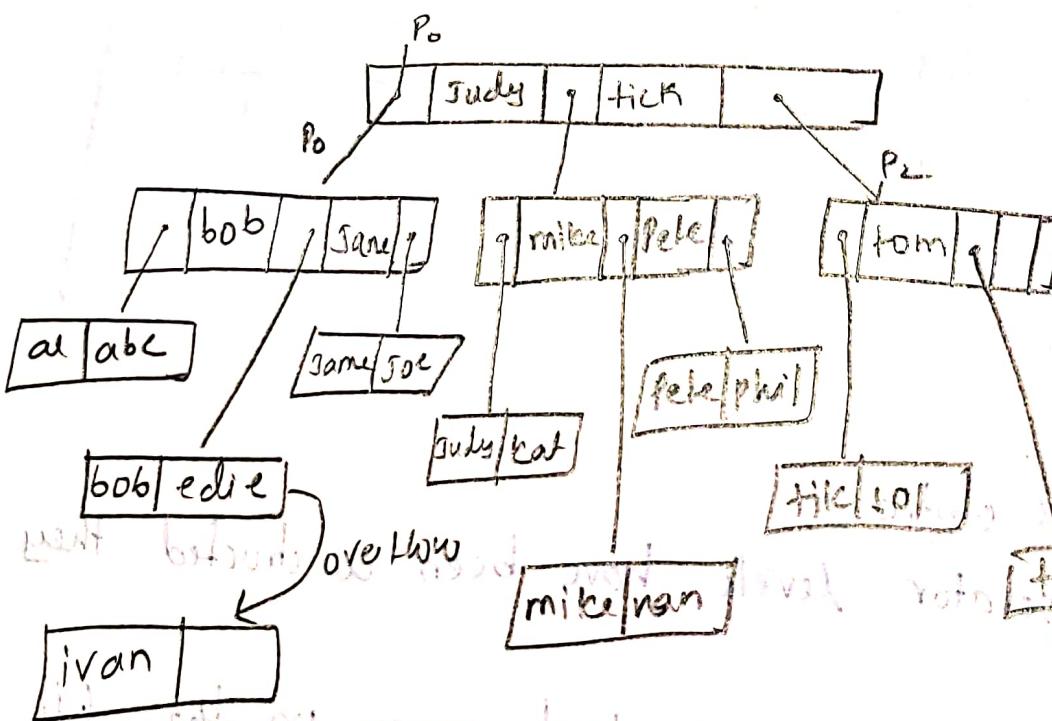
→ Number and position of leaf pages in the file stays fixed.

- \* Good for equality and range searches.
  - leaf pages stored sequentially in table when storage structure is created to support range searches.
- \* Supports multiple attribute search keys and partial key searches
  - contents of leaf page can change
  - Row deletion yields empty spot in leaf page.
  - Row insertion can result in overflow leaf page
  - chain might be long
  - SSAM is inefficient if table is dynamic

\* Generally an integrated storage.

- clustered, index entries contains rows

\* Separate entry =  $(k_i, p_i)$  where  $k_i$  is search key value  
 $\downarrow$   
 keys       $\hookrightarrow$  pointers  $p_i$  is pointer to low level page



- In ISAM the no. of leaf pages are fixed at the time of creation.
- The memory in ISAM is allocated to Data pages → index pages

$p_0$	$k_1$	$p_1$	$k_2$	$p_L$
-------	-------	-------	-------	-------

Pointers → 3

Keys → 2

## Indexes And Performance Tuning

Improvement of system performance

Here, we will discuss when we use indexes in our database how to improve the performance of the database system. The choice of indexes has tremendous impact on system performance and expected workloads using various hardware and machine.

**i) Impact of Workload:-** The amount of work that produces efficient retrieval of data entries from relational database.

that satisfy a given selection condition. We have two kinds of selection: They are

- ① Equality selection: Every optimization is done to minimize the response time at optimum cost.
- ② Range selection: Both tree based execution and hash based cost minimization.

for equality selection.

- Both tree based indexing supports both kinds of selection conditions efficiently.
- Both tree based indexing supports inserts, updates, and deletes efficiently. Tree based indexes offer a superior alternative for maintaining fully sorted files of records.
- ① We can handle insertions and deletions efficiently for a record.
  - ② finding the correct leaf page when searching for a record by search key values.

## Clustered Index Organization:

A clustered index is a file organization for data records. Data records can be large and we should avoid replicating them. So, there can be one clustered index on a given collection of records. On the other hand, we can build several unclustered indexes on a data file.

E.g. Employee records are sorted by age and are stored in a clustered file with search key age. The latter must be an unclustered index.

Clustered index are less expensive to maintain.

When a new record has to be inserted into a full leaf page, a new leaf page must be allocated and some existing records have to be moved to another page. We use index to implement this concept.

In an index only evaluation of a query

is done by not accessing the data records in the files but through relations in the query. A benefit with index is that it works equally efficiently with unclustered indexes. E.g. SELECT E.dno FROM Employees WHERE E.age > 40. We have B-tree index on age, we can use it to retrieve only tuples that satisfy age > 40.

Index depends on condition. If everyone is older than 40 sequential scan is done

### Composite search keys.

The search key for an index can contain composite search keys or concatenated keys.  
eg:- Consider a collection of employee records with fields name, age and sal stored in the sorted order by name.

If search key is composite an equality query is one in which each field in search key is bound to constant.  
eg:- We can retrieve all data entries with age=20 and sal=10. The hashed file organization supports only equality queries, since hash function identifies the bucket containing desired records.

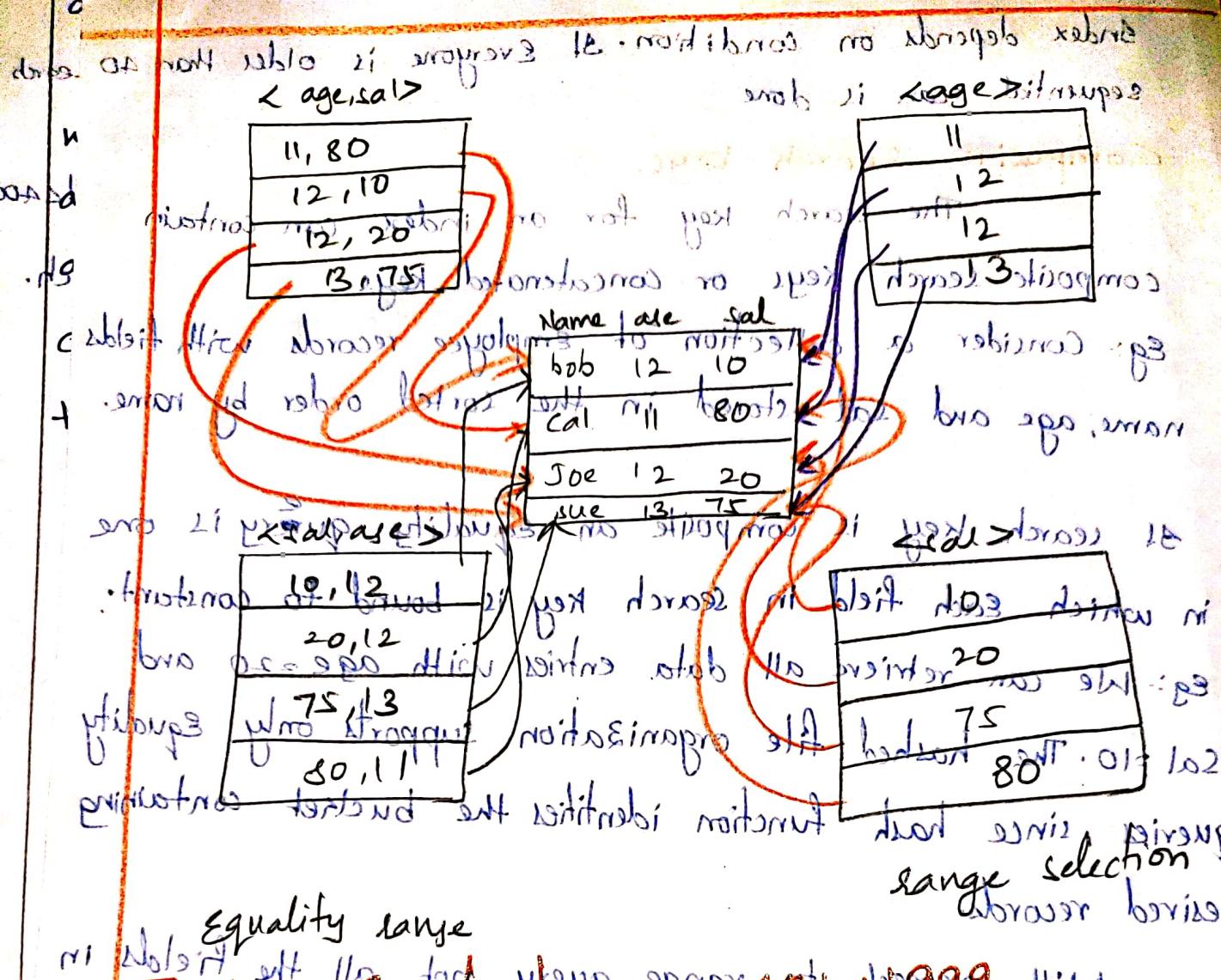
With respect to range query not all the fields in

the search key are bound to constant.  
eg:- We can retrieve all data entries with age<30 and sal>40.

CREATE INDEX ON DEPARTMENT BY (AGE,BASIC)

(AGE,BASIC) = KEY = BLOCK = STRUCTURE

Now consider a set of 20 sort by last with age > 40  
Next do age b/w 20-30 minutes



Equality range

## Index Specification in SQL:1999

The SQL:1999 standard does not include any statement for creating or dropping index structure. DBMS supports one or more kinds of indexes.

e.g. CREATE INDEX Ind\_Age\_Rating ON students WITH

STRUCTURE = BTREE KEY = (age,gpa)

This specifies that B+ tree is to be created with columns age and gpa as key.

## Comparisons Of File Organization

- We now compare the cost of some simple operations for basic file organization on a collection of records. The operations we consider are:
  - ① scan
  - ② search with equality selection
  - ③ search with range selection
  - ④ insert a record
  - ⑤ delete a record.

**• scan:-** Fetch all records in file. Then the pages in the file must be fetched from disk into the buffer. There is also CPU overhead fetched from disk into the buffer.

per record for locating the record on the page.

**• Search with Equality Selection:-** Fetch all records that satisfy an equality selection.

→ Eg:- Find the employee record with age = 30 and salary = 50? Pages that contain qualifying records must be located within retrieved disk and brought to memory.

page is stored in buffer of main memory at D

**• Search with Range Selection:-** Fetch all records that satisfy a range selection.

Eg:- Find all employee records with age greater than 21 = 0

Main memory 001 = H & J  
movement of

- Insert a Record:- Insert a given record into file. We must identify the page in the file into which the new record must be inserted, fetch that page from disk, modify it to include the new record, and then write back the modified page. Depending on the file organization, we may have to fetch, modify and write back other pages as well.

- Delete a Record:-

Delete a record that is specified using its address. We must identify the page that contains the record. It from disk, modify it and write it back to disk.

### Cost Model:-

In comparison of different file organizations we use cost model.

$C_{cost}$  = Estimate the cost of different database operations.

main factors are - execution time, no. of data pages, no. of records.

We use  $R$  to denote no. of records per page.

$R$  to " no. of records

$D$  to average time to read or write a disk.

$C$  to average time to process a record.

values for today are

$$D = 15 \text{ milliseconds}$$

$$C \neq H = 100 \text{ nano seconds}$$

↓  
Hash function

We concentrate on I/O component of cost model.

→ Real systems must consider the costs of the CPU.

We will see the implications of cost model whenever our assumptions effect different profiles.

### Heap Files:

most popular and common browser will first turn the scan mode. The cost is  $B(D + RC)$  because we must retrieve each of  $B$  pages taking time  $D$  per page and after each page process  $R$  records taking time  $C$  per record.

### Search with Equality Selection:

Suppose, we know that exactly one record matches

the equality selection, that is it has candidate key.

For each page we must recheck all records to see which primitive errors have been made. The cost is  $B(D + RC)$ . If no record can be fetched. The cost is  $B(D + RC)$ .

If the record satisfies the selection we must scan entire file.

If the selection is not on candidate key for e.g. "find employees aged 18". We have to scan entire file for each page. We know how many records can be whose age = 18, because we don't know no. of pages.

fetched on this condition we get for each page the number of pages having age 18.

Search with Range Selection: In this case the entire file must be scanned because qualifying records could appear anywhere in the file.

how many qualifying records are there.

Insert:-  
Records are always inserted at the end of file.  
Memory fetch last page in the file then add the  
We must fetch last page in the file then add the  
record and write the whole page. That's cost is  $2D + C$

Delete:-

We must find the record, remove the record from  
memory from the second page (R+C) & then write back. The cost of  
the page band write the modified page back. The cost of  
searching is  $R + D$  and print memory pages

Sorted files:-

Searches are fast work on memory

Scanned traversal is slow (R+RC) because all pages must be  
examined. The cost is  $B(D+RC)$  because all pages must be  
examined. There will be no better from now worse than the  
case of unordered file. It will be best suited for sorted files.

With sorted files from now on with binary search  
is not possible. We assume equality selection

Search with equality selection. We assume equality selection  
should match sorted order (age, sal). Selection condition is  
specified on atleast one field in composite key. If not the  
sort order does not help us and the cost is identical.

We can locate the first page containing the desired record  
with a binary search in  $\log_2 B$  steps. Then sorted files are

stored sequentially.

Grant and Abhiram Pratikumar from C

Search with range (Selection): e.g. 21 rows to 60 rows  
 to-on basis. The range selection matches the composite key. If the first record satisfies the condition and the record is located, the first record satisfies the condition and the record is located. For search with equality, Data pages are sequentially retrieved until a record is found that satisfies the condition. If no record is found for that condition, the search continues to the next address. The cost of retrieving the range selection is the sum of the cost of searching for the first record and the cost of retrieving the set of records that satisfy the search.

Insert: To insert a new record in sort. order we must find the correct position and then add the record, then fetch and rewrite all subsequent pages. Cost is search + write for each page. Delete: If the record is to be removed, we must search the record table of the file and move the modified pages back. We must update all subsequent pages because all records must read and update all subsequent pages. If the record has been removed and fill the free space and then write it back.

## Clustered Files:

In a clustered file, pages occupy 67% of space and physical data page occupies 1.5B

Scan:- The cost of scan is  $1.5B(D+RC)$  because all data pages must be examined. Adjustment is done for increased no. of pages.

Search with Equality Selection: If we search for employee table equality We assume that there is a primary key in the table.

selection matches with search key large, so fetching all pages from root to appropriate leaf. Each step requires comparisons from browser to leaf.

Search with range selection:

Search with range selection matched composite key. First record browser satisfies the selection is located subsequently data pages that satisfies the selection until record  $u_2$  is found that are sequentially retrieved + from browser to leaf.

does not satisfy range selection.

Insert:- To insert a new record, we must find the correct leaf page in the index, reading every page from root to leaf. Then, we can add new record. Most of the time leaf page will have sufficient space occasionally we need to retrieve b-ramodify when the leaf is full.

The cost is cost of search +  $1.5B + C \log_B$

Delete:- We must search for record, remove the record, then the modified page back.

### Comparison of I/O costs

File type	Scan	Equality Search	Range Search	Insert	Delete
Heap file	BD	$0.5 \text{ BD}$	BD	2D	Search + D
Sorted file	BD	$D \log_2 B$	$D \log_2 B + \# \text{ matching pages}$	Search + BD	Search + BD
clustered	$1.5 \text{ BD}$	$D \log_F 1.5 B$	$D \log_F 1.5 B + \# \text{ matching Pages}$	Search + D	Search + D
unclustered tree index	$BD(R + 0.15)$	$D(1 + \log_F 0.15B)$	$D(\log_F 0.15B + \# \text{ matching records})$	$D(3 + \log_F 0.15B)$	Search + 2D
unclustered hash index	$BD(R + 0.125)$	2D	BD	4D	Search + 2D

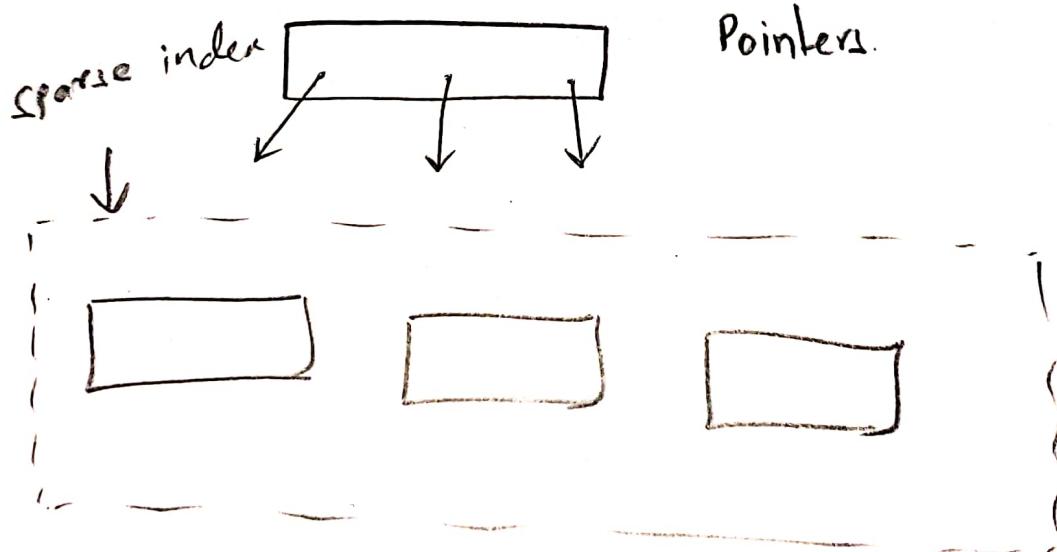
heap file has good storage efficiency and supports fast scanning and insertion of records.

sorted file offers good storage efficiency but insertion and deletion of records is slow. Searching is faster than heap file.

clustered file offers all advantages. Supports insert and delete efficiently. Searches are faster when a large no. of records are retrieved sequentially.

unclustered tree and hash indexes offer fast search insertion & deletion. but scans and range searches are slow.

- **B+ trees** are most suitable for second layer as it supports equality search and range search action.
- **Hash** supports equality search and range search action.
- **Index** is used for secondary index (in separate file) of both nosql & sql databases.
- **Index** entries at one file
- **Data** file at one record.
- Index & data file in same record.
- Responds to dynamic changes in table.



## ISAM: overflow Pages, locking Considerations!

once the ISAM file is created inserts and deletions affect only the contents of leaf pages. A consequence of this is that overflow chain increases as insertions are done on same leaf. This can affect the time to retrieve.

a record because the overflow chain has to be searched.

The fact is that only leaf pages are modified with respect to concurrent access. When a page file is updated it is typically "locked" by the user. To modify a page it must be locked in "exclusive" mode. Locking can lead to queues of users waiting for access to a page.

- hit and miss ratio ↗
- browser → hit ratio ↗
- browser error in hit ratio & cache ↗
- old or new records similarly of response ↗