



U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science



# Progress of the non-Maxwellian extension of the full-wave TORIC v.5 code in the high harmonic and minority heating regimes

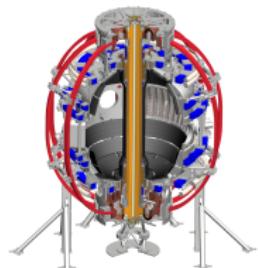
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April 19, 2016



# Outline

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- Motivation
- TORIC v.5: brief code description
- Non-Maxwellian extension of TORIC v.5 in HHFW heating regime
  - Test I: Numerical vs. analytical Maxwellian full hot dielectric tensor
  - Test II: TORIC wave solution: numerical vs. analytical Maxw. case
  - P2F code: from a particles list to a continuum distribution function
  - Test I: TORIC wave solution: particle list + P2F for a Maxw. case
- Initial applications
  - Bi-Maxwellian distribution
  - Slowing-down distribution
  - from a NUBEAM particles list (preliminary & still in progress)
- Conclusions
- Future steps

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## Motivation

- Experiments show that the interactions between fast waves and fast ions can be so strong to significantly modify the fast ion population from neutral beam injection (NBI)
  - The distribution function modifications will, generally, result in finite changes in the amount and spatial location of absorption
  - In NSTX, fast waves (FWs) can modify and, under certain conditions, even suppress the energetic particle driven instabilities, such as toroidal Alfvén eigenmodes (TAEs) and global Alfvén eigenmodes (GAEs) and fishbones ► See Fredrickson et al NF 2015
- Similarly, the non-Maxwellian effects play an important role in the interaction between FWs and ion minority species in the IC minority heating scheme

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## TORIC v.5 code

- The TORIC v.5 code solves the wave equation for the electric field  $\mathbf{E}$ :

$$\nabla \times \nabla \times \mathbf{E} - \frac{\omega^2}{c^2} \boldsymbol{\epsilon} \cdot \mathbf{E} = 4\pi i \frac{\omega}{c^2} \mathbf{J}^A$$

- TORIC-HHFW: High Harmonic Fast Wave regime

- TORIC-PPW: Parallel Propagating Wave regime
  - The  $k^2$  value in the argument of the Bessel functions is obtained by solving the local dispersion relation for PWs

- TORIC: IO minority regime

– TORIC: ECRH heating mode

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prescribed antenna  
current density

- TORIC-HHFW: High Harmonic Fast Wave regime

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dielectric tensor      prescribed antenna current density

The diagram shows the wave equation  $\nabla \times \nabla \times \mathbf{E} - \frac{\omega^2}{c^2} \boldsymbol{\epsilon} \cdot \mathbf{E} = 4\pi i \frac{\omega}{c^2} \mathbf{J}^A$ . A red curved arrow points from the term  $\frac{\omega^2}{c^2} \boldsymbol{\epsilon} \cdot \mathbf{E}$  to a red box containing the text "dielectric tensor". A blue curved arrow points from the term  $4\pi i \frac{\omega}{c^2} \mathbf{J}^A$  to a blue box containing the text "prescribed antenna current density".

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$$\boldsymbol{\epsilon} \equiv \mathbf{I} + \frac{4\pi i}{\omega} \boldsymbol{\sigma} = \mathbf{I} + \boldsymbol{\chi}$$

► More TORIC info

- Two TORIC v.5's versions:

— TORIC-HHFW: High Harmonic Fast Wave regime

— TORIC-IC: Ion Cyclotron minority mode

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— TORIC: IC minority regime

— TORIC-HHFW: HHFW regime

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- Two TORIC v.5's versions:

- TORIC-HHFW: High Harmonic Fast Wave regime
  - Full hot-plasma dielectric tensor employed
  - The  $k^2$  value in the argument of the Bessel functions is obtained by solving the local dispersion relation for FWs
- TORIC: IC minority regime
  - FLR corrections only up to the  $\omega = 2\omega_d$
  - Non-Maxw. extension completed and tested but not shown here

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► Extra slides TORIC-IC

## Standard “Maxwellian” procedure to run TORIC v.5

$$\varepsilon \equiv \mathbf{I} + \frac{4\pi i}{\omega} \boldsymbol{\sigma} = \mathbf{I} + \boldsymbol{\chi}$$

Susceptibility tensor  $\boldsymbol{\chi}[f_0(\mathbf{x}; \mathbf{v})]$ , is a functional of  $f_0$ , which, in general, is non-Maxwellian

### INPUT:

- Density & Temp.  
for each species
- Magnetic  
equilibrium

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- Density & Temp. for each species
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- $\boldsymbol{\chi}(f = f_{\text{Maxw.}}) \iff$  Analytical expression 
- Thermal species  $\implies$  NSTX-U data
- Non-thermal species (fast ions)  $\implies$  NUBEAM

$$T_{\text{FI}} = \frac{2}{3} \frac{E}{n_{\text{FI}}} \text{ (effective temperature)}$$

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Fast ions energy

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### INPUT:

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Wave solver



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### INPUT:

- Density & Temp. for each species
- Magnetic equilibrium

Wave solver

### OUTPUT:

- Wave electric field
- Pow. density profiles for each species
- Total absorbed pow. for each species

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## The susceptibility for a hot plasma with an arbitrary distribution function (Eq. 48 in Stix's book page 255)

Local coordinate frame  $(\hat{\mathbf{x}}, \hat{\mathbf{y}}, \hat{\mathbf{z}})$  with  $\hat{\mathbf{z}} = \hat{\mathbf{b}}$  and  $\mathbf{k} \cdot \hat{\mathbf{y}} = 0$  (Stix)

$$\begin{aligned}\chi_s &= \frac{\omega_{ps}^2}{\omega} \int_0^{+\infty} 2\pi v_\perp dv_\perp \int_{-\infty}^{+\infty} dv_\parallel \hat{\mathbf{z}} \hat{\mathbf{z}} \frac{v_\parallel^2}{\omega} \left( \frac{1}{v_\parallel} \frac{\partial f}{\partial v_\parallel} - \frac{1}{v_\perp} \frac{\partial f}{\partial v_\perp} \right)_s + \\ &+ \frac{\omega_{ps}^2}{\omega} \int_0^{+\infty} 2\pi v_\perp dv_\perp \int_{-\infty}^{+\infty} dv_\parallel \sum_{n=-\infty}^{+\infty} \left[ \frac{v_\perp U}{\omega - k_\parallel v_\parallel - n\Omega_{cs}} \mathbf{T}_n \right]\end{aligned}$$

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$$U \equiv \frac{\partial f}{\partial v_\perp} + \frac{k_\parallel}{\omega} \left( v_\perp \frac{\partial f}{\partial v_\parallel} - v_\parallel \frac{\partial f}{\partial v_\perp} \right) \quad \text{and}$$

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$$\mathbf{T}_n = \begin{pmatrix} \frac{n^2 J_n^2(z)}{z^2} & \frac{in J_n(z) J'_n(z)}{z} & \frac{n J_n^2(z) v_\parallel}{z v_\perp} \\ -\frac{in J_n(z) J'_n(z)}{z} & (J'_n(z))^2 & -\frac{i J_n(z) J'_n(z) v_\parallel}{J_n^2(z) v_\parallel^2} \\ \frac{n J_n^2(z) v_\parallel}{z v_\perp} & \frac{i J_n(z) J'_n(z) v_\parallel}{v_\perp} & \frac{J_n^2(z) v_\parallel^2}{v_\perp^2} \end{pmatrix}, \quad z \equiv \frac{k_\perp v_\perp}{\Omega_{cs}}$$

## Numerical evaluation of $\chi$ needed for arbitrary distribution function: $\chi$ is pre-computed

- The “best” approach for a complete extension of the code is to implement directly the general expression for  $\chi$  (previous slide)
  - Plemelj’s formula  $\rightarrow \frac{1}{\omega - \omega_0 \pm i0} = \wp \frac{1}{\omega - \omega_0} \mp i\pi\delta(\omega - \omega_0)$
- Integrals in the expression for  $\chi$  are computed numerous times in TORIC-HHFW so **an efficient evaluation is essential**
- Precomputation of  $\chi$ :
  - A set of  $N_\psi$  files is constructed, each containing the principal values and residues of  $\chi$  for a single species on a uniform  $(v_{||}, B/B_{\min}, N_\perp)$  mesh, for a specified flux surface  $\psi_j$
  - The distribution,  $f(v_{||}, v_\perp)$ , is specified in functional form at the minimum field strength point  $B(\theta) = B_{\min}$  on  $\psi_j$
  - An interpolator returns the components of  $\chi$

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# Good agreement between numerical and analytical evaluation of the full hot dielectric tensor

Parameters:

$f = 30 \times 10^6$  Hz;  $n_{\text{dens}} = 5 \times 10^{13}$  cm $^{-3}$ ,  
 $N_{\parallel} = 10$ ,  $B = 0.5$  T,  $T_i = 20$  keV

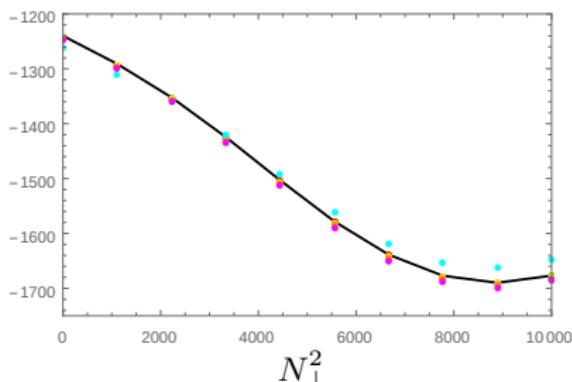
$N_{\text{harmonics}} = 10$

Ion species: Deuterium

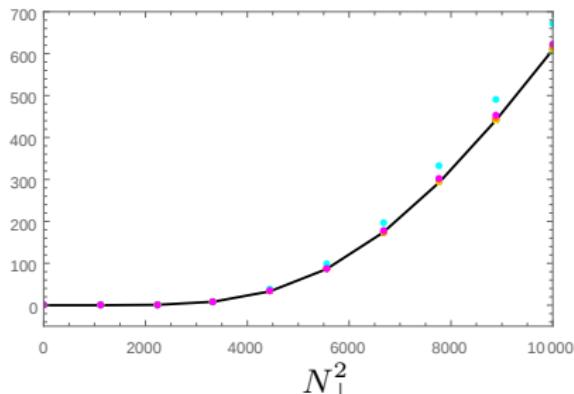
Black curve: analytical solution

	$N_{v_{\parallel}}$	$N_{v_{\perp}}$
●	100	50
●	200	100
●	324	150
●	650	300
●	1300	600
●	2600	1200

$\text{Re}(\epsilon_{xx})$



$\text{Im}(\epsilon_{xx})$



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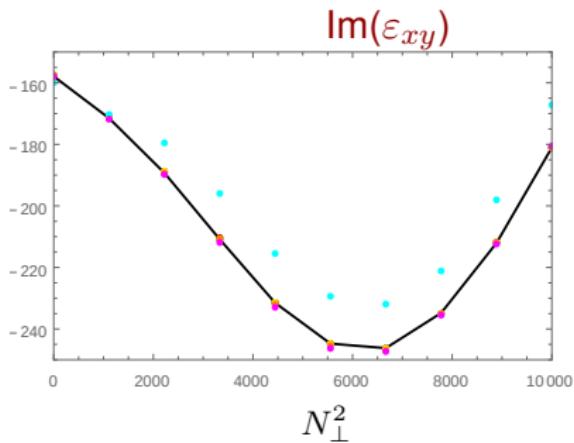
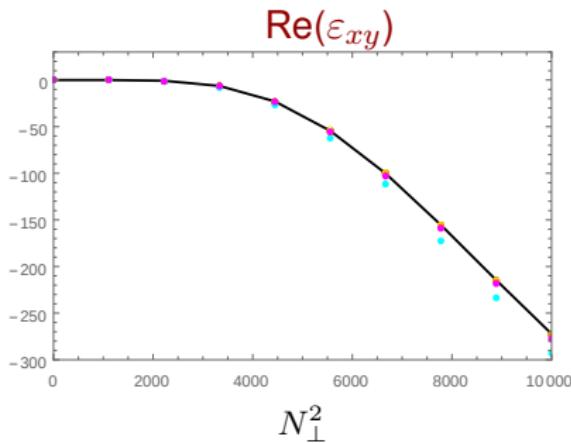
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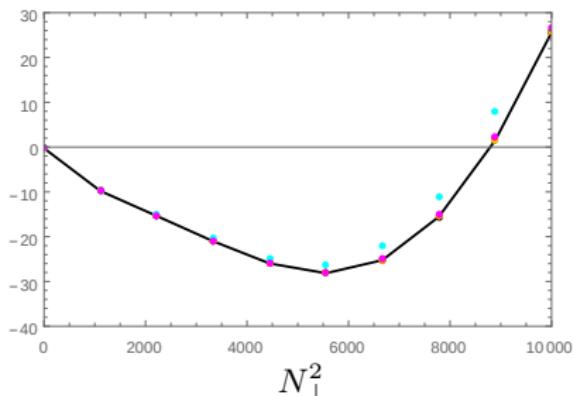
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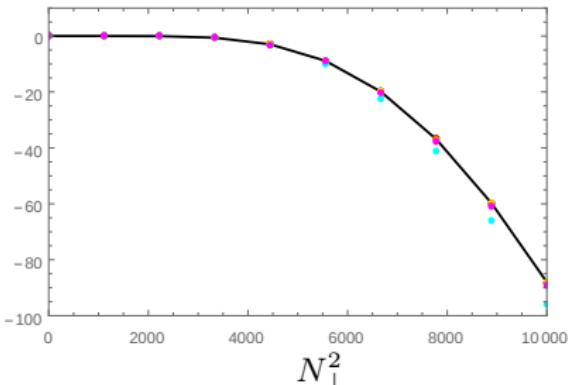
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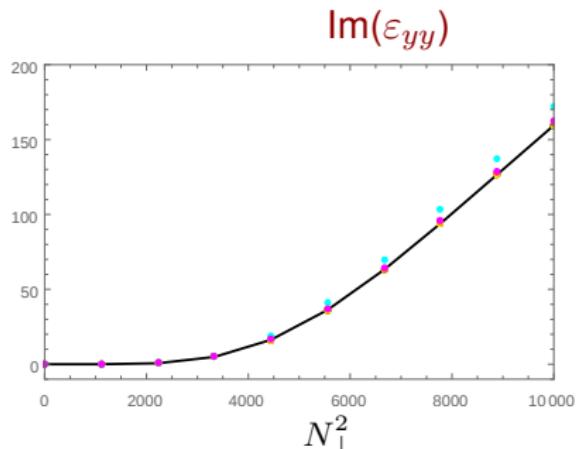
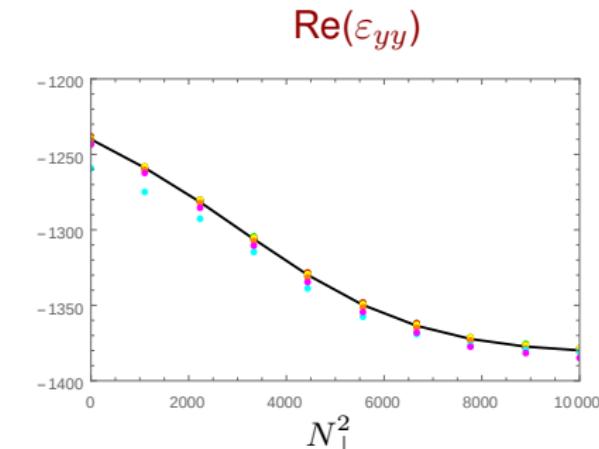
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# Good agreement between numerical and analytical evaluation of the full hot dielectric tensor

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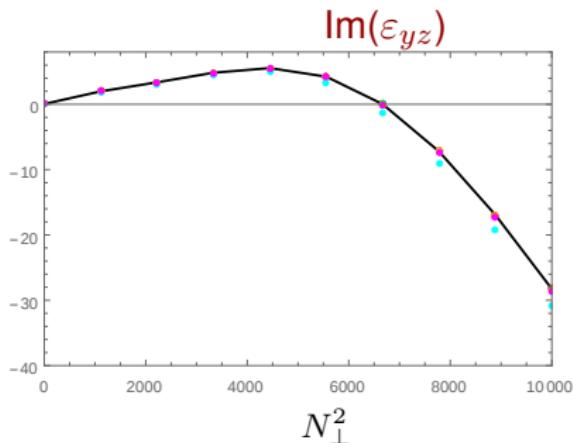
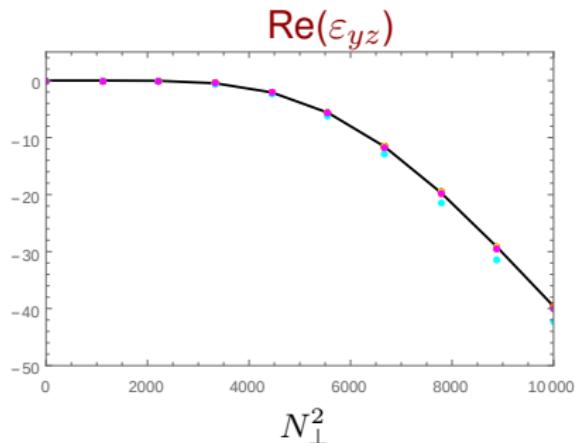
$f = 30 \times 10^6$  Hz;  $n_{\text{dens}} = 5 \times 10^{13}$  cm $^{-3}$ ,  
 $N_{\parallel} = 10$ ,  $B = 0.5$  T,  $T_i = 20$  keV

$N_{\text{harmonics}} = 10$

Ion species: Deuterium

Black curve: analytical solution

	$N_{v_{\parallel}}$	$N_{v_{\perp}}$
100	100	50
200	200	100
324	324	150
650	650	300
1300	1300	600
2600	2600	1200



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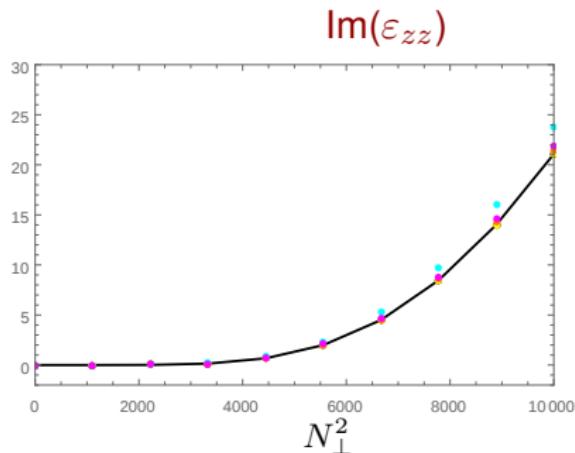
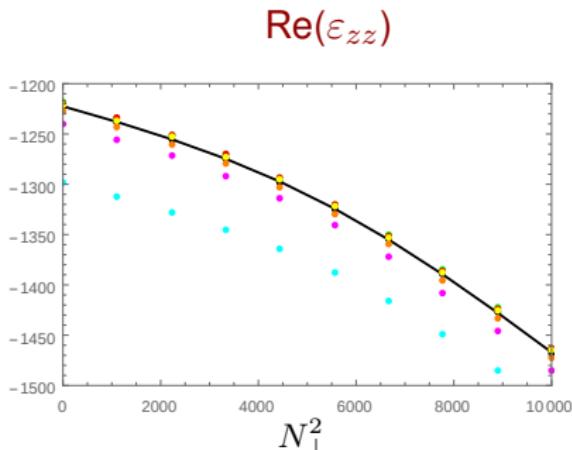
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●	2600	1200



# Outline

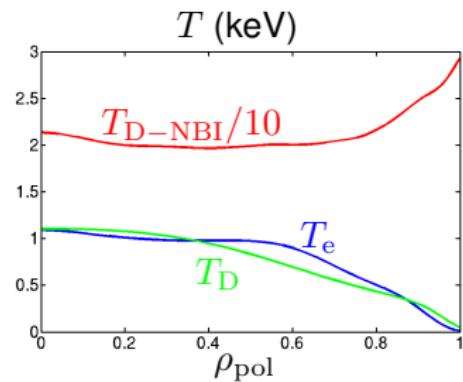
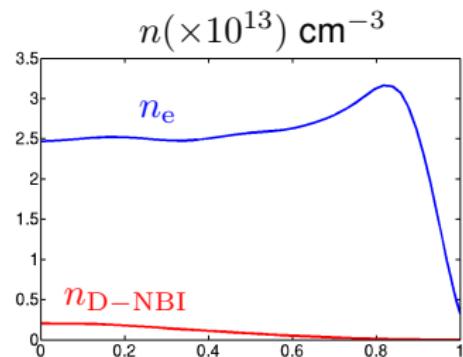
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- TORIC v.5: brief code description
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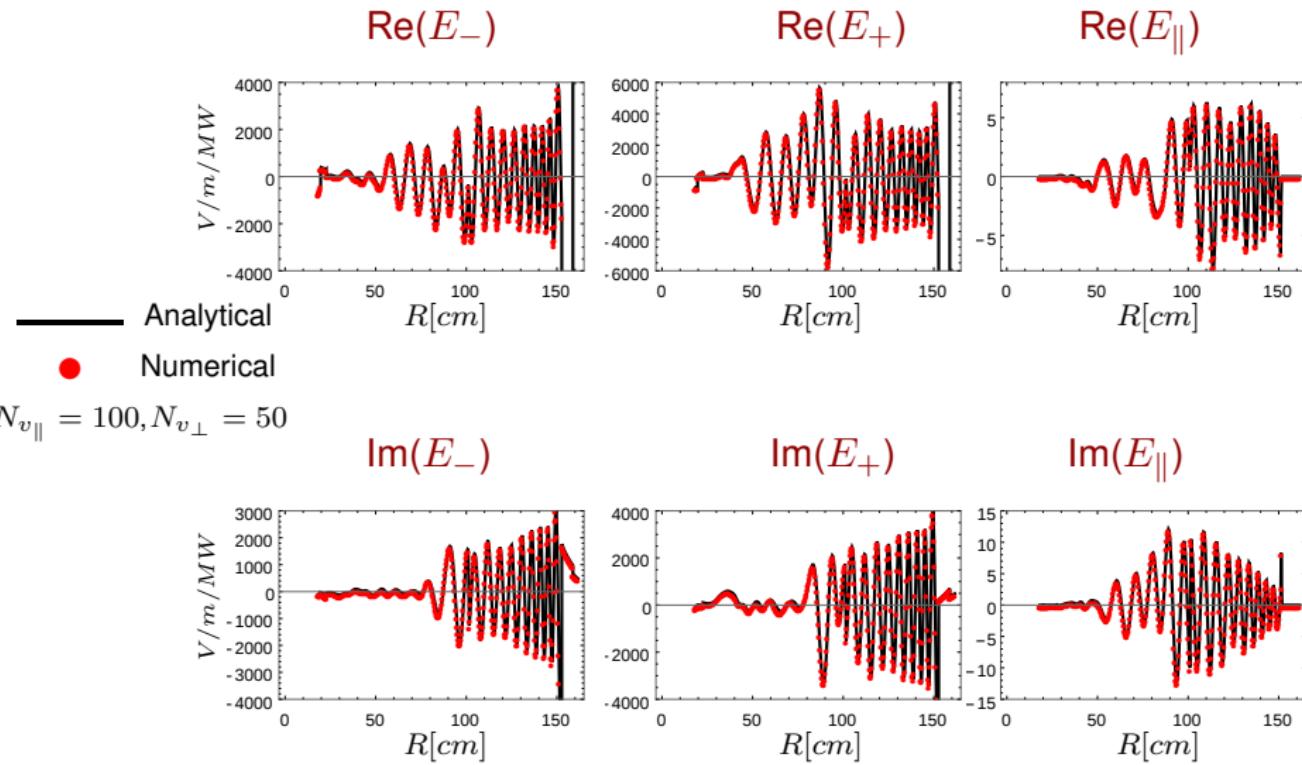
## NSTX case

Main parameters:

- TRANSP Run ID: 134909B01
- Plasma species: electron, D, D-NBI
- $B_T = 0.53 \text{ T}$
- $I_p = 868 \text{ kA}$
- $T_e(0) = 1.09 \text{ keV}$
- $n_e(0) = 2.47 \times 10^{13} \text{ cm}^{-3}$
- $T_D(0) = 1.1 \text{ keV}$
- $T_{D-\text{NBI}}(0) = 21.37 \text{ keV}$
- $n_{D-\text{NBI}}(0) = 2.01 \times 10^{12} \text{ cm}^{-3}$
- TORIC resolution:  $n_{\text{mod}} = 31$ ,  $n_{\text{elm}} = 200$



# Excellent agreement between numerical and analytical evaluation of HHFW fields in the midplane

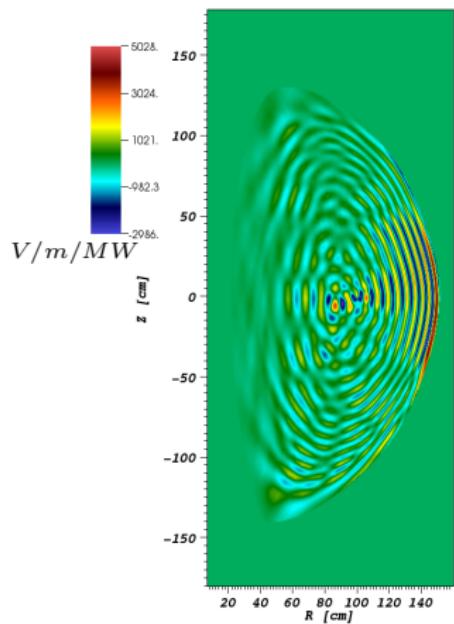


# Excellent agreement between numerical and analytical evaluation of the 2D HHFW fields

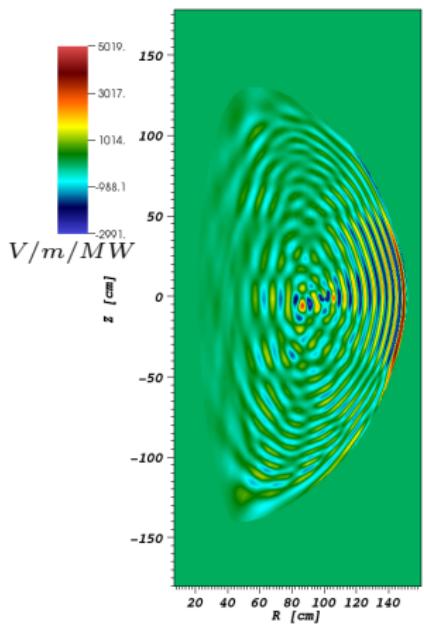
TORIC resolution:  $n_{\text{mod}} = 31$ ,  $n_{\text{elm}} = 200$

Resolution used for  $\chi$ :  $N_{v\parallel} = 100$  and  $N_{v\perp} = 50$

Maxw. analytical:  $\text{Re}(E_-)$



Maxw. numerical:  $\text{Re}(E_-)$

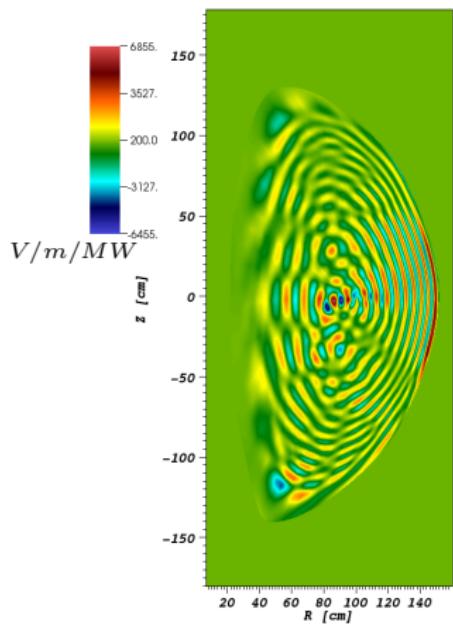


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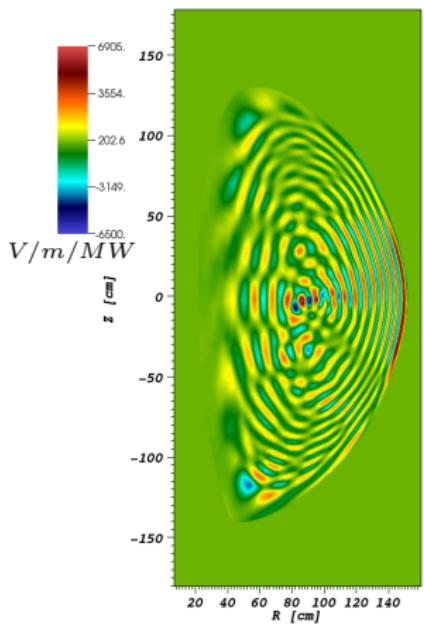
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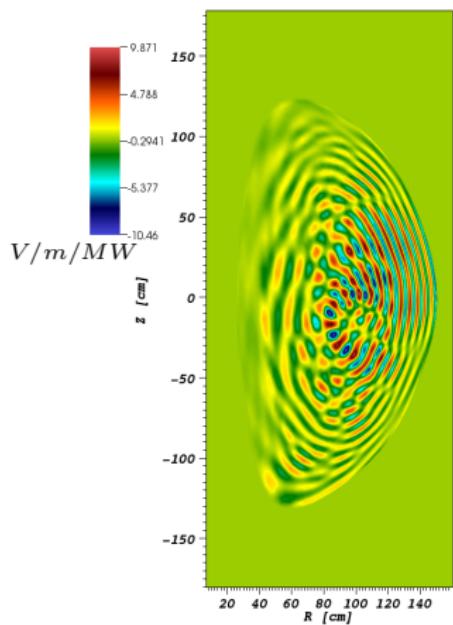


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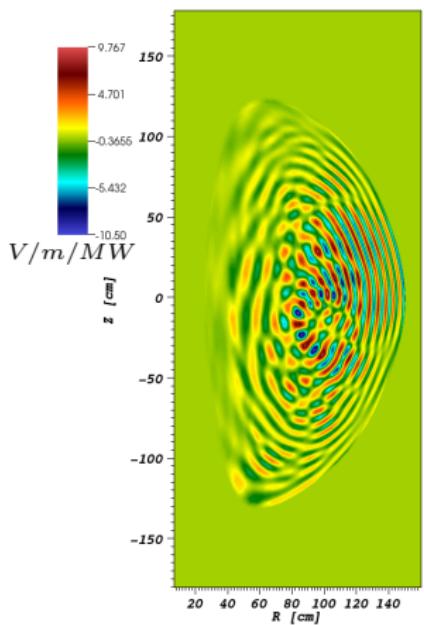
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## Excellent agreement in terms of absorbed power

TORIC resolution:  $n_{\text{mod}} = 31$ ,  $n_{\text{elm}} = 200$

Resolution used for  $\chi$ :  $N_{v_{\parallel}} = 100$  and  $N_{v_{\perp}} = 50$

Absorbed fraction	Maxw. analytical	Maxw. numerical
D		
D-NBI		
Electrons		

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Absorbed fraction	Maxw. analytical	Maxw. numerical
D	0.22 %	
D-NBI		
Electrons		

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Absorbed fraction	Maxw. analytical	Maxw. numerical
D	0.22 %	0.22 %
D-NBI	73.88 %	
Electrons		

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Absorbed fraction	Maxw. analytical	Maxw. numerical
D	0.22 %	0.22 %
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Electrons		

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Absorbed fraction	Maxw. analytical	Maxw. numerical
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Electrons	25.90 %	

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## P2F code: from a particles list to a distribution function

- P2F code was developed by D. L. Green (ORNL)
- P2F takes a particle list and creates a 4D ( $R, z; v_{\parallel}, v_{\perp}$ ) distribution function for use in a continuum code like TORIC
- At present it has essentially three modes:
  - The first really is a straight up 4D histogram giving a noisy distribution
  - The second uses Gaussian shape particles in velocity space to give smooth velocity space distributions at each point in space
  - The third is to distribute each particle along its orbit according to the percentage of bounce time giving even better statistics
- Tested P2F code starting with a particles list representing a Maxwellian:
  - Excellent agreement between the input kinetic profiles and the corresponding ones obtained from the distribution generated by P2F code

▶ P2F test

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## TORIC + non-Maxw. + P2F code: test on Maxwellian case

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Procedure:

1. generate particle list representing a Maxwellian
2. run P2F to obtain a distribution function,  $f$
3. pre-compute  $\chi$  with  $f$  above
4. run TORIC with pre-computed  $\chi$
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$$n_e(\rho = 0) = 2.5 \times 10^{13} \text{ cm}^{-3}$$

$$n_e(\rho = 1) = 2.5 \times 10^{12} \text{ cm}^{-3}$$

$$T_e(\rho = 0) = 1 \text{ keV}; T_e(\rho = 1) = 0.1 \text{ keV}$$

$$n_{\text{FI}}(\rho = 0) = 2.0 \times 10^{12} \text{ cm}^{-3}$$

$$n_{\text{FI}}(\rho = 1) = 2.0 \times 10^{11} \text{ cm}^{-3}$$

$$T_{\text{FI}}(\rho = 1) = 20 \text{ keV}; T_e(\rho = 1) = 5 \text{ keV}$$

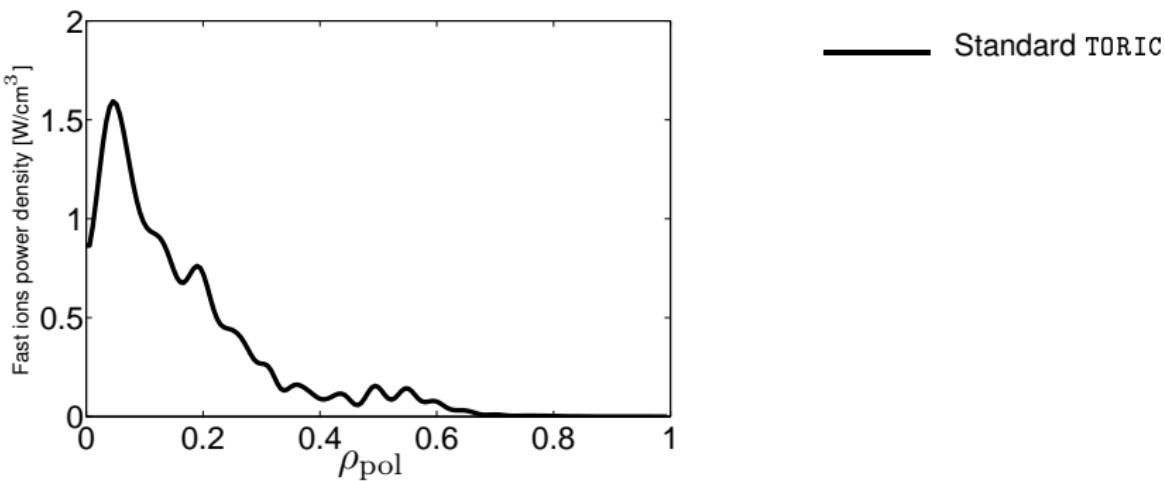
Parabolic profiles for  $n_e$ ,  $T_e$ , and  $n_{\text{FI}}$

$$T_{\text{FI}}(\rho) = (T_{\text{FI},0} - T_{\text{FI},1}) (1 - \rho^2)^5 + T_{\text{FI},1}$$

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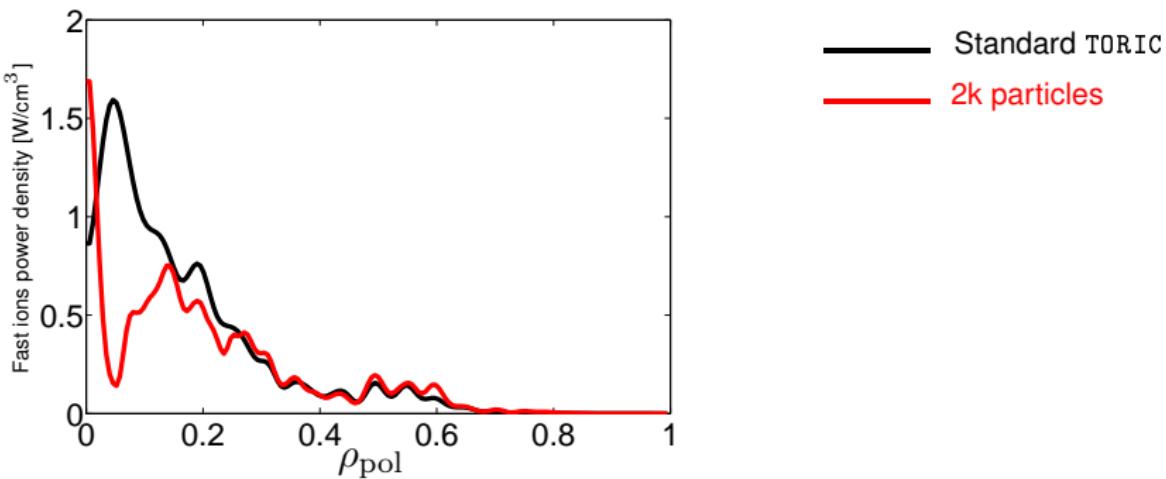
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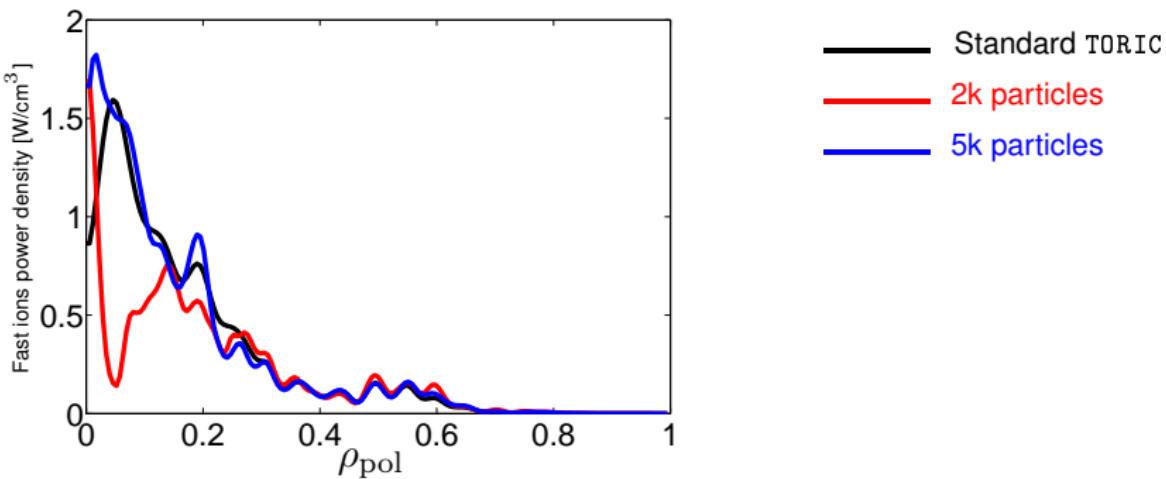
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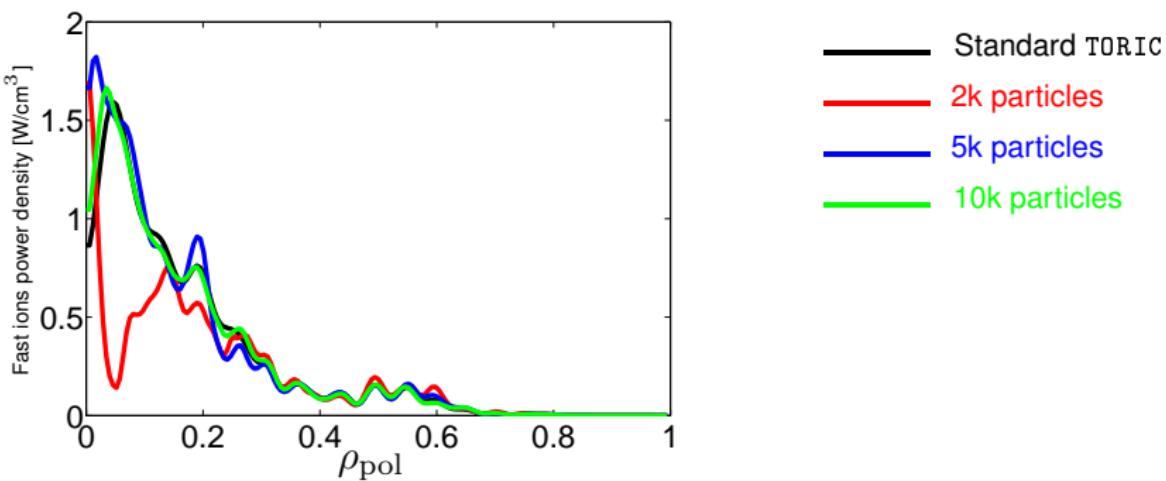
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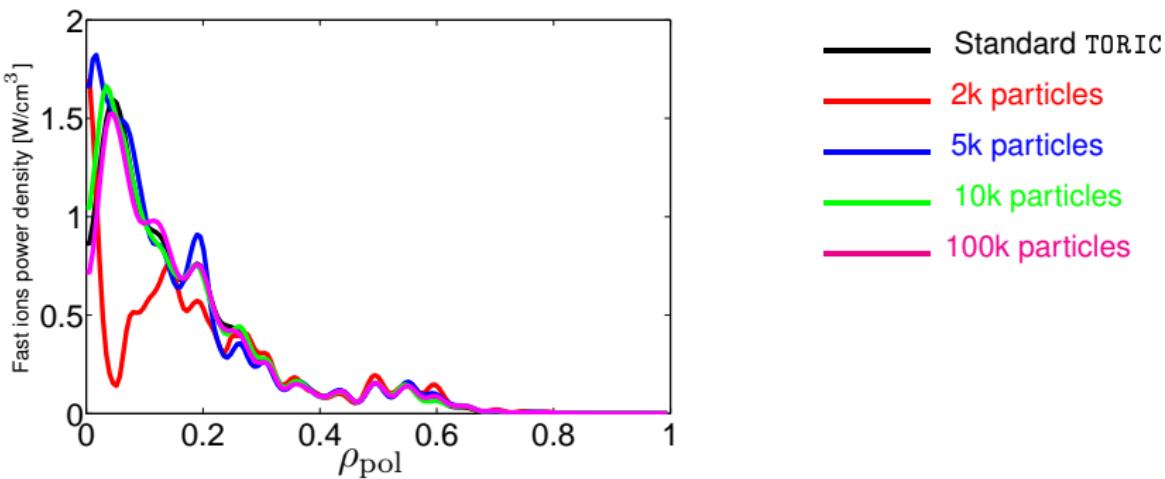
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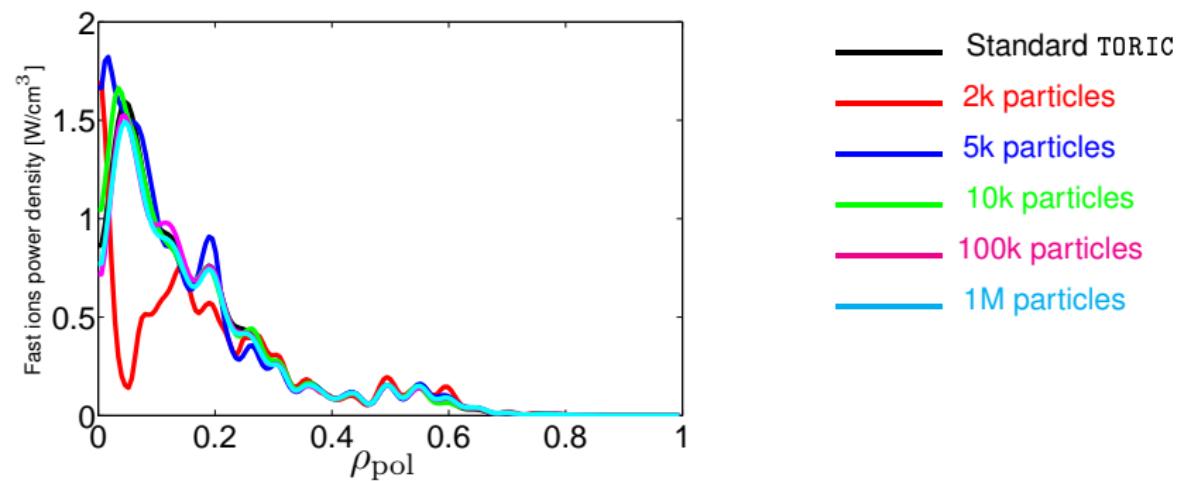
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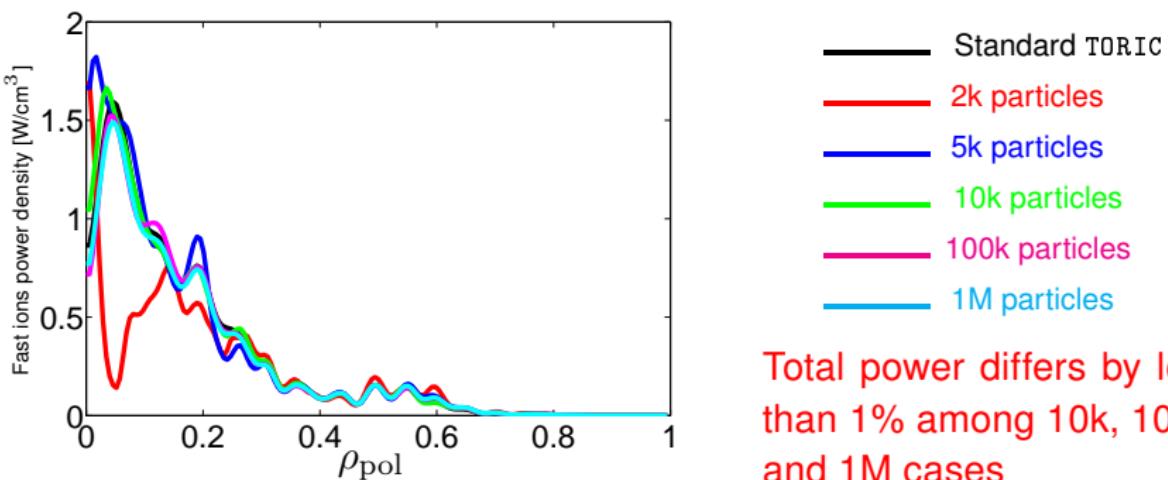
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## Bi-Maxwellian distribution

$$f_D(v_{\parallel}, v_{\perp}) = (2\pi)^{-3/2} (v_{th,\parallel} v_{th,\perp}^2)^{-1} \exp[-(v_{\parallel}/v_{th,\parallel})^2 - (v_{\perp}/v_{th,\perp})^2]$$

with  $v_{th,\parallel} = \sqrt{2C_{\parallel}T(\psi)/m_D}$ ,  $v_{th,\perp} = \sqrt{2C_{\perp}T(\psi)/m_D}$ , with constants  $C_{\parallel}$  and  $C_{\perp}$

- For  $C_{\perp} = 1$  and  $C_{\parallel} = \{.5, 1., 3., 5.\}$ ,  $P_{D-NBI}$ , varied by less than 1%
  - for small  $C_{\parallel}$ , the absorption profile tends to be localized to the resonant layers

- For  $C_{\parallel} = 1$  and  $C_{\perp} = \{.5, 1., 3., 5.\}$ , the corresponding  $P_{D-NBI} = \{70.06, 73.56, 62.84, 48.48\}$

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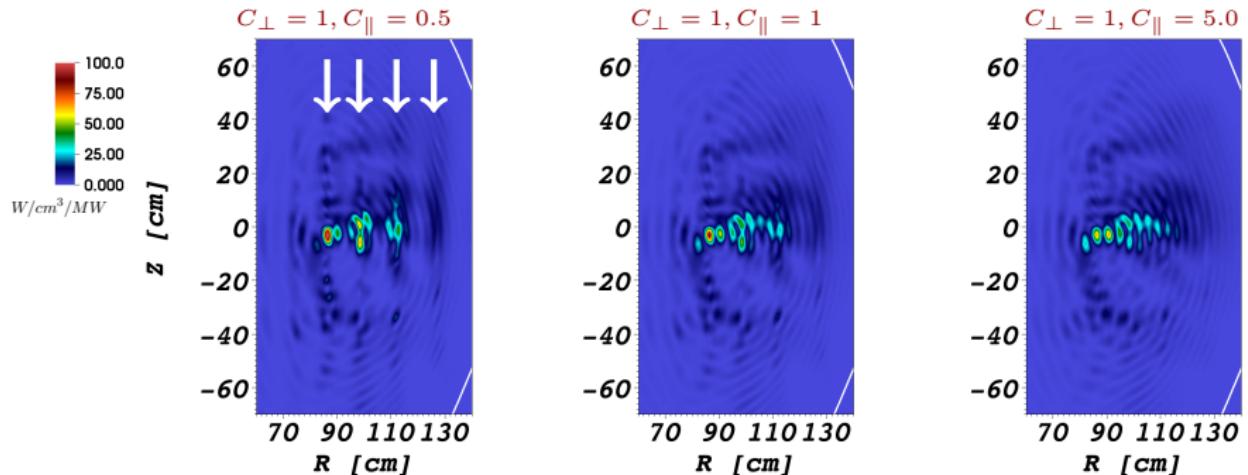
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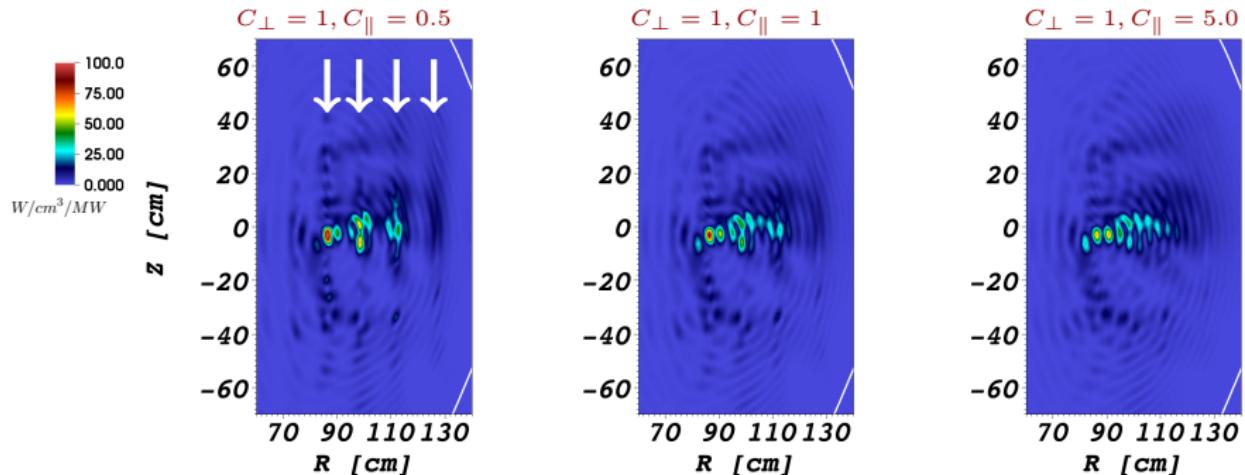
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## Slowing-down distribution

$$f_D(v_{\parallel}, v_{\perp}) = \begin{cases} \frac{A}{v_c^3} \frac{1}{1+(v/v_c)^3} & \text{for } v < v_m, \\ 0 & \text{for } v > v_m \end{cases} \quad v_m \equiv \sqrt{2E_{D-NBI}/m_D}$$

$$A = 3/[4\pi \ln(1 + \delta^{-3})], \quad \delta \equiv \frac{v_c}{v_m}, \quad v_c^3 = 3\sqrt{\pi}(m_e/m_D)Z_{\text{eff}}v_{\text{th}}^3, \quad Z_{\text{eff}} \equiv \sum_{\text{ions}} \frac{Z_i^2 n_i}{n_e}$$

For  $Z_{\text{eff}} = 2$  and  $E_{D-NBI} = 30, 60, 90, 120$  keV  $\Rightarrow P_{D-NBI} = \{77.84\%, 75.85\%, 70.97\%, 64.71\%\}$

- Similar behavior when varied  $G_{\perp}$  in the bi-Maxwellian case
- Fast ion absorption should decrease with something like  $T_{\text{fast ions}}^{-3/2}$  (?)

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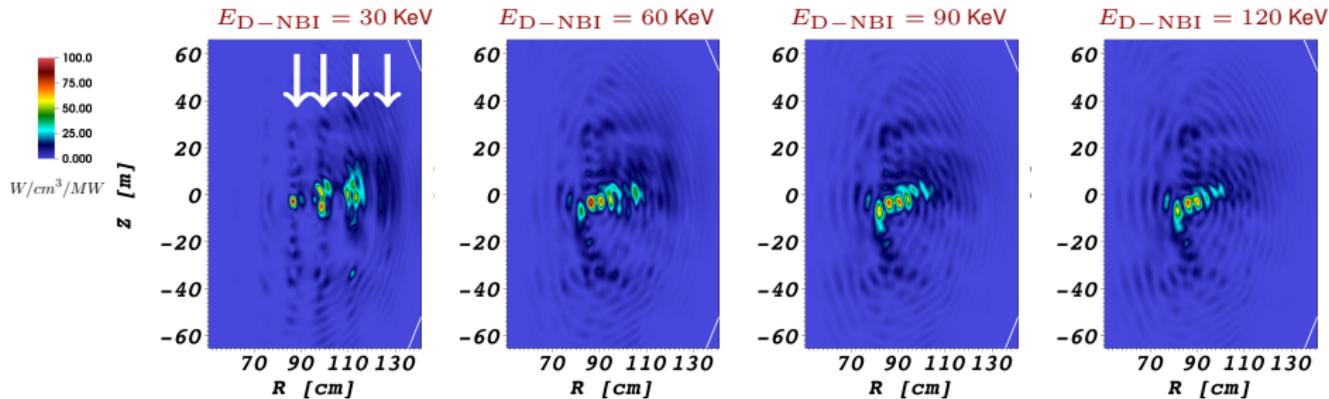
# Slowing-down distribution

$$f_D(v_{\parallel}, v_{\perp}) = \begin{cases} \frac{A}{v_c^3} \frac{1}{1+(v/v_c)^3} & \text{for } v < v_m, \\ 0 & \text{for } v > v_m \end{cases} \quad v_m \equiv \sqrt{2E_{D-NBI}/m_D}$$

$$A = 3/[4\pi \ln(1 + \delta^{-3})], \quad \delta \equiv \frac{v_c}{v_m}, \quad v_c^3 = 3\sqrt{\pi}(m_e/m_D)Z_{\text{eff}}v_{\text{th}}^3, \quad Z_{\text{eff}} \equiv \sum_{\text{ions}} \frac{Z_i^2 n_i}{n_e}$$

For  $Z_{\text{eff}} = 2$  and  $E_{D-NBI} = 30, 60, 90, 120 \text{ keV} \implies P_{D-NBI} = \{77.84\%, 75.85\%, 70.97\%, 64.71\%\}$

- Similar behavior when varied  $C_{\perp}$  in the bi-Maxwellian case
- Fast ions absorption should decrease with something like  $T_{\text{fast ions}}^{-3/2}$  (?)



# Outline

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- Motivation
- TORIC v.5: brief code description
- Non-Maxwellian extension of TORIC v.5 in HHFW heating regime
  - Test I: Numerical vs. analytical Maxwellian full hot dielectric tensor
  - Test II: TORIC wave solution: numerical vs. analytical Maxw. case
  - P2F code: from a particles list to a continuum distribution function
  - Test I: TORIC wave solution: particle list + P2F for a Maxw. case
- Initial applications
  - Bi-Maxwellian distribution
  - Slowing-down distribution
  - from a NUBEAM particles list (preliminary & still in progress)
- Conclusions
- Future steps

## NUBEAM particles list (tests in progress)

NSTX shot 117929

$P_{\text{HHFW}} = 2.9 \text{ MW}$

$P_{\text{NBI}} = 2 \text{ MW}$

$I_{\text{P}} = 300 \text{ kA}$

TAE & GAE suppressed   
particles number = 3344

- Need to start a case adding low  $P_{\text{HHFW}}$  and then increase it

# NUBEAM particles list (tests in progress)

## Procedure:

1. get NUBEAM particle list
2. run P2F to obtain a distribution function,  $f$
3. pre-compute  $\chi$  with  $f$  above
4. run TORIC with pre-computed  $\chi$
5. compare TORIC run with standard TORIC

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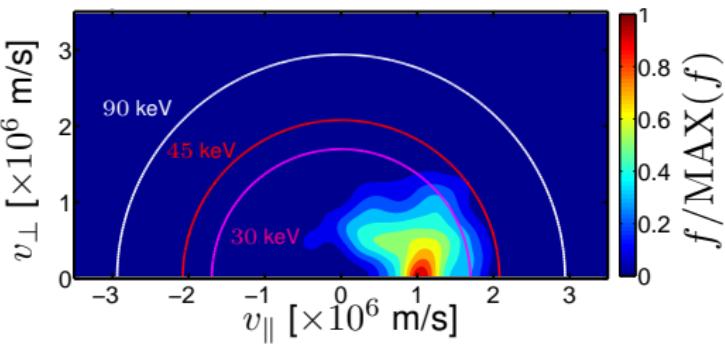
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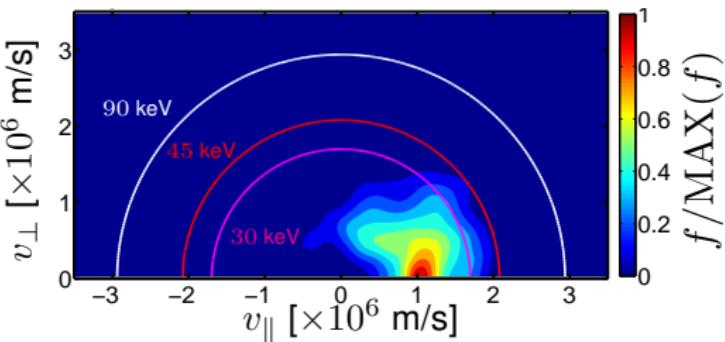
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Abs. fraction	$f$ Maxw.	$f$ non-Maxw.
Electrons	50.85 %	79.40 %
D-NBI	49.13 %	20.57 %

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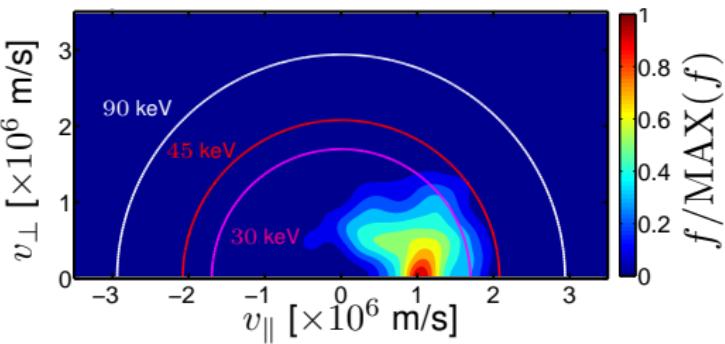
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## Conclusions

- A generalization of the full wave TORIC v.5 code in the high harmonic and minority heating regimes has been implemented to include species with arbitrary velocity distribution functions
- Implementation of the full hot dielectric tensor reproduces the analytic Maxwellian case
- Non-Maxwellian extension of TORIC in HHFW regime reproduces previous simulations with both a specified functional form of the distribution functions and a particle list
- For a bi-Maxwellian distribution, the fast ions absorbed power is insensitive to variations in  $T_{\parallel}$ , but varies with changes in  $T_{\perp}$
- For slowing down distribution, the fast ions absorbed power varies with changes in  $E_{NBI}$ 
  - $P_{D-NBI}$  decreases with increasing  $E_{NBI}$
- First attempts to apply TORIC generalization with a NUBEAM particle list
  - preliminary results with arbitrary distribution functions appears significantly different than Maxw. case results
  - still additional tests/checks needed

## Future steps

For HHFW regime:

- Add options to read a distribution function from CQL3D Fokker-Planck code for HHFW heating regime
  - Use the distribution function from CQL3D to include, for instance, finite orbit effects
  - Possible comparison with FIDA data as done previously by D. Liu & R. Harvey
- Further NSTX/NSTX-U applications/tests using a NUBEAM particle list and comparison with slowing down distribution function
- Attempts to apply this extension in a self-consistent way with the NUBEAM module
  - Need first some tests to the kick-operator implemented in NUBEAM

For IC minority regime (in collaboration with J. Lee, J. Wright, and P. Bonoli )

- the quasilinear diffusion coefficients has been recently derived and implemented in TORIC v. 5 (work done by Jungpyo Lee from MIT)
  - Necessary to couple TORIC v. 5 and CQL3D
  - Able to iterate the extension of TORIC v. 5 with the quasilinear coefficients routine and CQL3D
  - Tests underway on the evaluation of the quasilinear diffusion coefficients

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## TORIC code: additional info

- Spectral ansatz  $\mathbf{E}(\mathbf{r}, t) = \sum_{m,n} \mathbf{E}^{mn}(\psi) e^{i(m\theta + n\phi - \omega t)}$   
 $m \rightarrow$  poloidal mode number;  $n \rightarrow$  toroidal mode number
- For each toroidal component one has to solve a (formally infinite) system of coupled ordinary differential equations for the physical components of  $E^{mn}(\psi)$ , written in the local field-aligned orthogonal basis vectors.
- The Spectral Ansatz transforms the  $\theta$ -integral of the constitutive relation into a convolution over poloidal modes.
- Due to the toroidal axisymmetry, the wave equations are solved separately for each toroidal Fourier component.
- A spectral decomposition defines an accurate representation of the “local” parallel wave-vector  $k_{\parallel}^m = (m\nabla\theta + n\nabla\phi) \cdot \hat{\mathbf{b}}$
- The  $\psi$  variation is represented by Hermite cubic finite elements
- Principal author M. Brambilla (IPP Garching, Germany)

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# The susceptibility for a hot plasma with a Maxwellian distribution function can be evaluated analitically

◀ back

$$\chi_s = \left[ \hat{\mathbf{z}}\hat{\mathbf{z}} \frac{2\omega_p^2}{\omega k_{\parallel} v_{th}^2} \langle v_{\parallel} \rangle + \frac{\omega_p^2}{\omega} \sum_{n=-\infty}^{+\infty} e^{-\lambda} \mathbf{Y}_n(\lambda) \right]_s$$

where

$$\mathbf{Y}_n = \begin{pmatrix} \frac{n^2 I_n}{\lambda} A_n & -in(I_n - I'_n) A_n & \frac{k_{\perp}}{\omega_c} \frac{n I_n}{\lambda} B_n \\ in(I_n - I'_n) A_n & \left( \frac{n^2}{\lambda} I_n + 2\lambda I_n - 2\lambda I'_n \right) A_n & \frac{ik_{\perp}}{\omega_c} (I_n - I'_n) B_n \\ \frac{k_{\perp}}{\omega_c} \frac{n I_n}{\lambda} B_n & -\frac{ik_{\perp}}{\omega_c} (I_n - I'_n) B_n & \frac{2(\omega - n\omega_c)}{k_{\parallel} v_{th}^2} I_n B_n \end{pmatrix}$$

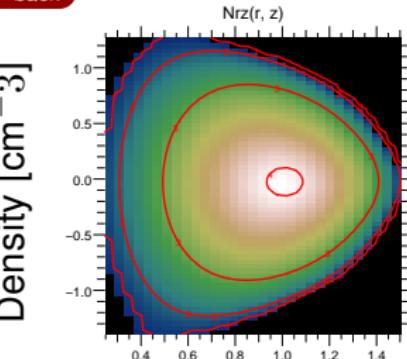
$$A_n = \frac{1}{k_{\parallel} v_{th}} Z_0(\zeta_n), \quad B_n = \frac{1}{k_{\parallel}} (1 + \zeta_n Z_0(\zeta_n)), \quad Z_0(\zeta_n) \equiv \text{plasma dispersion func.}$$

$$\zeta_n \equiv \frac{\omega - n\omega_c}{k_{\parallel} v_{th}}, \quad \lambda \equiv \frac{k_{\perp}^2 v_{th}^2}{2\Omega_c^2}$$

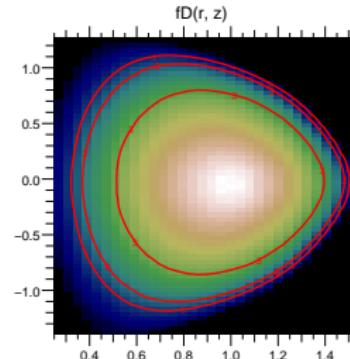
## P2F code: test on Maxwellian case

◀ back

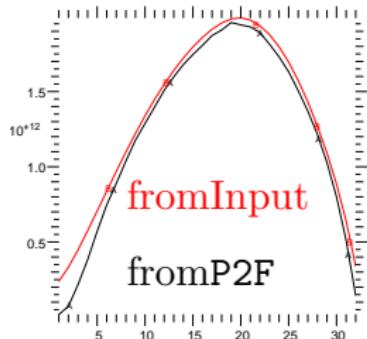
input profiles



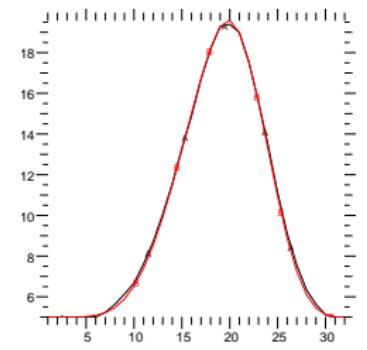
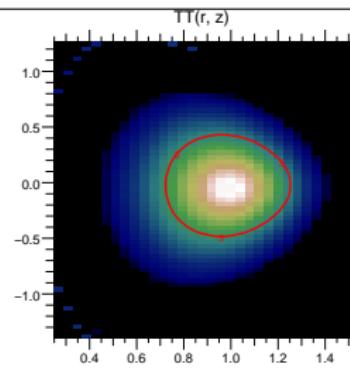
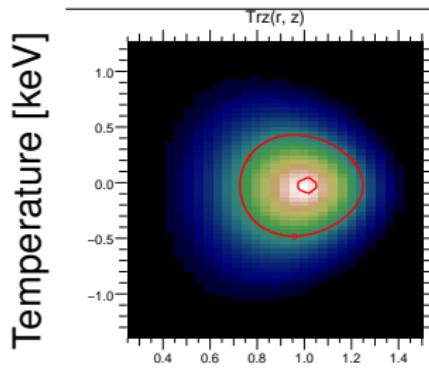
profiles from P2F



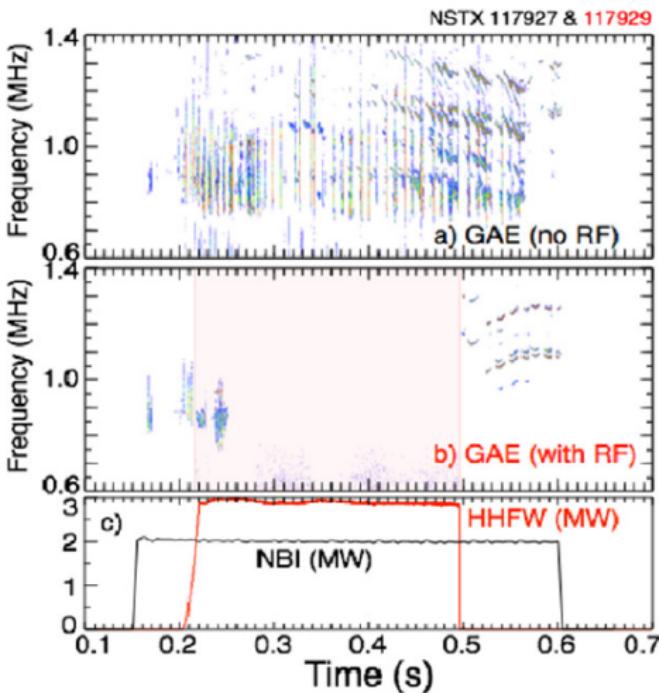
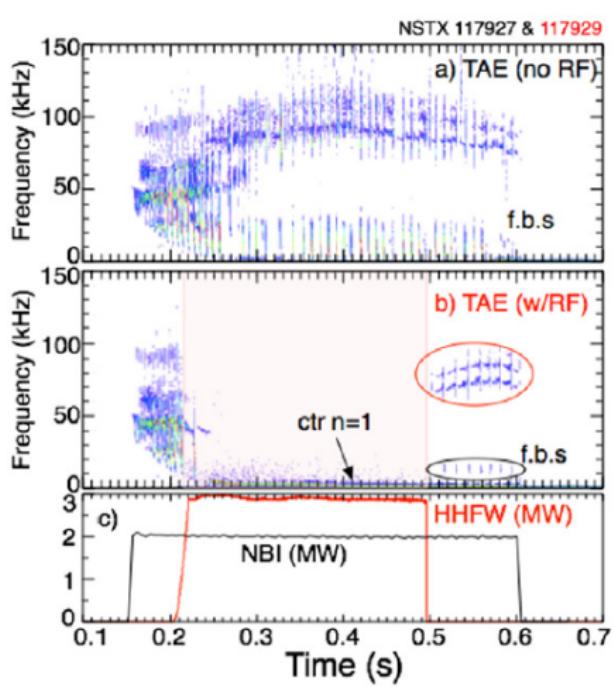
profiles on midplane



Temperature [keV]



# NSTX shot 117929 from Fredrickson et al. NF 2015



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## Finite elements to use to compute the resonant integrals

- We need to evaluate integrals of the form

$$I_k = \int dv \frac{C(v)}{v - v_k}.$$

- Since  $I_k$  is a smooth function of  $v_k$ , evaluate on a uniform mesh  $v_k = k\Delta v$ , and interpolate
- Express smooth integrand  $C(v)$  in terms of (linear) finite elements  $C(v) = \sum_j c_j T_j$ , with  $T_j$  centered at  $v_j$

Then

$$I_k = \sum_j \int dv \frac{c_j T_j}{v - v_k} = \sum_j c_j K_{j-k} = \sum_j c_{j+k} K_j$$

where the kernel is given by

$$K_j = \int_{-1}^1 dv \frac{1 - |v|}{v + j\Delta v} = \begin{cases} \ln\left(\frac{j+1}{j-1}\right) - j \ln\left(\frac{j^2}{j^2-1}\right), & |j| > 1, \\ \pm \ln 4, & j = \pm 1, \\ i\pi, & j = 0. \end{cases}$$

# Beyond Maxwellian

FLR non-Maxwellian susceptibility in a local coordinate (Stix) frame  $(\hat{\mathbf{x}}, \hat{\mathbf{y}}, \hat{\mathbf{z}})$ , with  $\hat{\mathbf{z}} = \hat{\mathbf{b}}$ ,  $\mathbf{k} \cdot \hat{\mathbf{y}} = 0$ , to second order in  $k_{\perp} v_{\perp} / \omega_c$

$$\begin{aligned}\chi_{xx} &= \frac{\omega_{\text{p,s}}^2}{\omega} \left[ \frac{1}{2} (A_{1,0} + A_{-1,0}) - \frac{\lambda}{2} (A_{1,1} + A_{-1,1}) + \frac{\lambda}{2} (A_{2,1} + A_{-2,1}) \right] \\ \chi_{xy} &= -\chi_{yx} = i \frac{\omega_{\text{p,s}}^2}{\omega} \left[ \frac{1}{2} (A_{1,0} - A_{-1,0}) - \lambda (A_{1,1} - A_{-1,1}) + \frac{\lambda}{2} (A_{2,1} - A_{-2,1}) \right] \\ \chi_{xz} &= +\chi_{zx} = -\chi_{yx} = \frac{\omega_{\text{p,s}}^2}{\omega} \left( \frac{1}{2} \frac{k_{\perp}}{\omega} \right) \left[ (B_{1,0} + B_{-1,0}) - \lambda (B_{1,1} + B_{-1,1}) + \frac{\lambda}{2} (B_{2,1} + B_{-2,1}) \right] \\ \chi_{yy} &= \frac{\omega_{\text{p,s}}^2}{\omega} \left[ 2\lambda A_{0,1} + \frac{1}{2} (A_{1,0} + A_{-1,0}) - \frac{3\lambda}{2} (A_{1,1} + A_{-1,1}) + \frac{\lambda}{2} (A_{2,1} + A_{-2,1}) \right] \\ \chi_{yz} &= -\chi_{zy} = i \frac{\omega_{\text{p,s}}^2}{\omega} \left( \frac{k_{\perp}}{\omega} \right) \left[ B_{0,0} - \lambda B_{0,1} - \frac{1}{2} (B_{1,0} + B_{-1,0}) - \lambda (B_{1,1} + B_{-1,1}) \right. \\ &\quad \left. - \frac{\lambda}{4} (B_{2,1} + B_{-2,1}) \right] \\ \chi_{zz} &= \frac{2\omega_{\text{p}}^2}{k_{\parallel} w_{\perp}^2} \left[ (1 - \lambda) B_{0,0} + \int_{-\infty}^{+\infty} dv_{\parallel} \int_0^{+\infty} dv_{\perp} v_{\perp} \frac{v_{\parallel}}{\omega} f_0(v_{\parallel}, v_{\perp}) \right] \\ &\quad + \frac{\lambda}{2} \frac{\omega_{\text{p}}^2}{\omega} \left[ 2 \frac{\omega - \omega_c}{k_{\parallel} w_{\perp}^2} B_{1,0} + 2 \frac{\omega + \omega_c}{k_{\parallel} w_{\perp}^2} B_{-1,0} \right]\end{aligned}$$
$$\lambda \equiv \frac{1}{2} \left( \frac{k_{\perp} v_{\perp}}{\omega_c} \right)^2$$


## Beyond Maxwellian (2)

Evaluations of the FLR susceptibility requires computation of two functions  $A_{n,j}$   $B_{n,j}$ , for  $n = -2 \dots 2$ ,  $j = 0, 1$ , which are  $v_\perp$  moments of resonant integrals of  $f_0(\psi, \frac{B}{B_{\min}}, v_\parallel, v_\perp)$

$$\begin{Bmatrix} A_{n,j} \\ B_{n,j} \end{Bmatrix} = \int_{-\infty}^{\infty} dv_\parallel \begin{Bmatrix} 1 \\ v_\parallel \end{Bmatrix} \frac{1}{\omega - k_\parallel v_\parallel - n\omega_c} \int_0^{+\infty} 2\pi v_\perp dv_\perp H_j(v_\parallel, v_\perp)$$

with

$$H_0(v_\parallel, v_\perp) = \frac{1}{2} \frac{k_\parallel w_\perp^2}{\omega} \frac{\partial f_0}{\partial v_\parallel} - \left(1 - \frac{k_\parallel v_\parallel}{\omega}\right) f_0(v_\parallel, v_\perp)$$

$$H_0(v_\parallel, v_\perp) = \frac{1}{2} \frac{k_\parallel w_\perp^2}{\omega} \frac{\partial f_0}{\partial v_\parallel} \frac{v_\perp^4}{w_\perp^4} - \left(1 - \frac{k_\parallel v_\parallel}{\omega}\right) f_0(v_\parallel, v_\perp) \frac{v_\perp^2}{w_\perp^2}$$

and

$$w_\perp^2 \equiv \int_{-\infty}^{\infty} dv_\parallel \int_0^{+\infty} 2\pi v_\perp dv_\perp^2 f_0(v_\parallel, v_\perp)$$

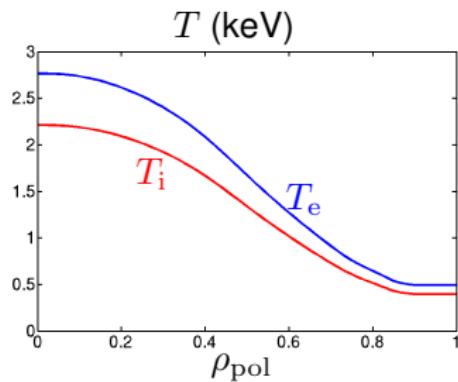
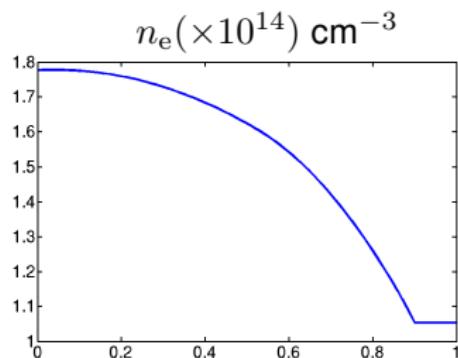
◀ back

# Alcator C-Mod case

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Main parameters:

- Plasma species: electron, D, and minority H (4%)
- $B_T = 5 \text{ T}$
- $I_p = 1047 \text{ kA}$
- $q(0) = 0.885$
- $q$  at plasma edge = 4.439
- $T_e(0) = 2.764 \text{ keV}$
- $n_e(0) = 1.778 \times 10^{14} \text{ cm}^{-3}$
- $T_{D,H}(0) = 2.212 \text{ keV}$
- TORIC resolution:  
 $n_{\text{mod}} = 255, n_{\text{elm}} = 480$

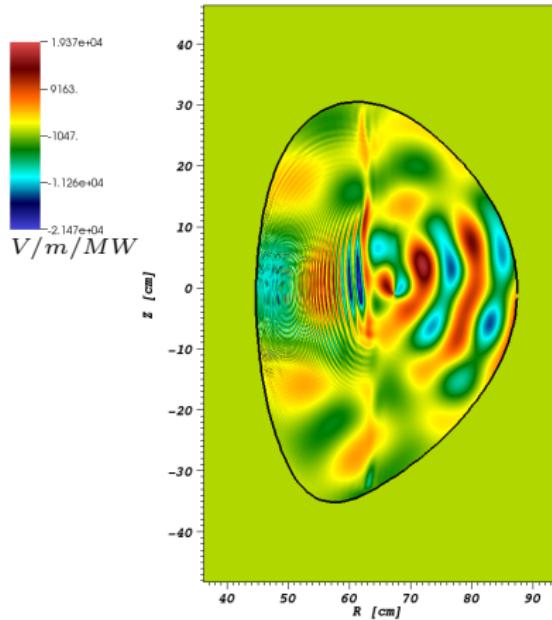


# Excellent agreement between numerical and analytical evaluation of the electric field

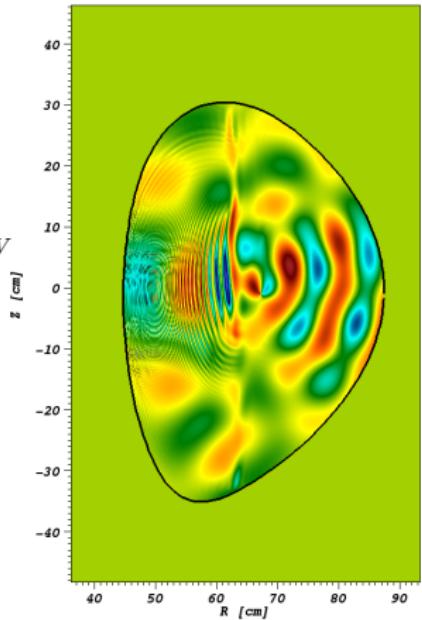
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TORIC resolution:  $n_{\text{mod}} = 255, n_{\text{elm}} = 480$

Maxw. analytical:  $\text{Re}(E_-)$



Maxw. numerical:  $\text{Re}(E_-)$

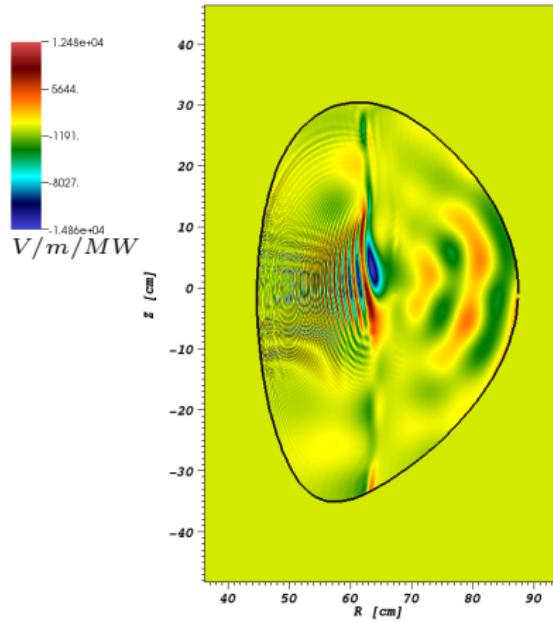


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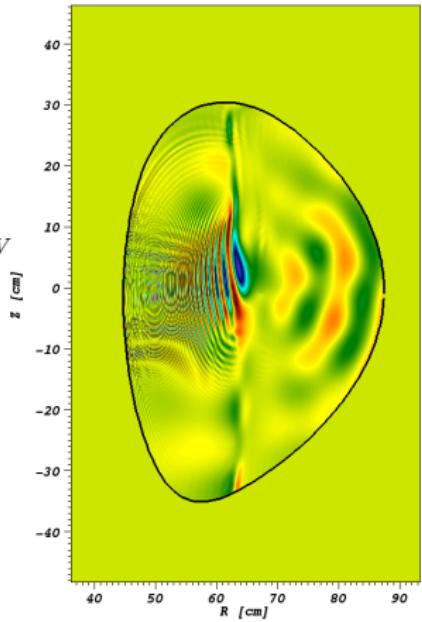
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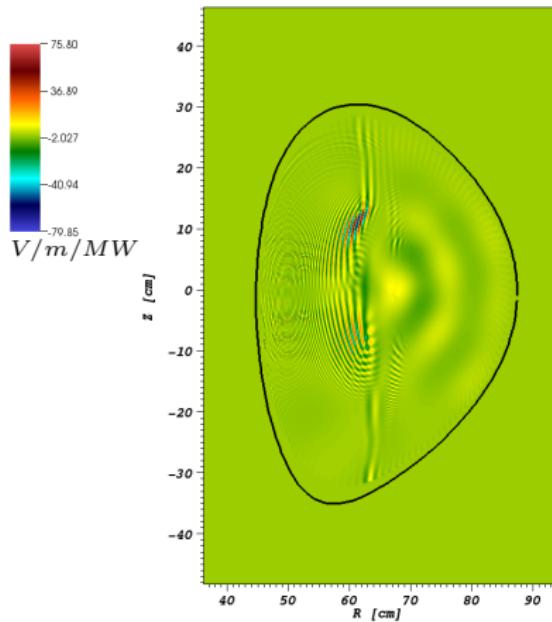


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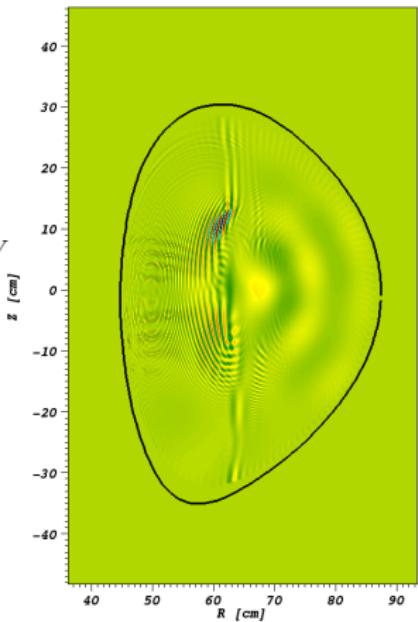
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TORIC resolution:  $n_{\text{mod}} = 255, n_{\text{elm}} = 480$

Maxw. analytical:  $\text{Re}(E_{||})$



Maxw. numerical:  $\text{Re}(E_{||})$



## Excellent agreement in terms of absorbed power

TORIC resolution:  $n_{\text{mod}} = 255$ ,  $n_{\text{elm}} = 480$

Absorbed fraction	Maxw. analytical	Maxw. numerical
2nd Harmonic D	10.18	10.28
Fundamental H	69.95	68.81
Electrons - FW	11.35	11.91
Electrons -IBW	8.53	9.00

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# Bi-Maxwellian distribution

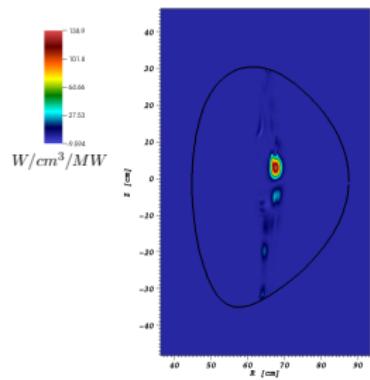
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$$f_H(v_{\parallel}, v_{\perp}) = (2\pi)^{-3/2} (v_{th,\parallel} v_{th,\perp}^2)^{-1} \exp[-(v_{\parallel}/v_{th,\parallel})^2 - (v_{\perp}/v_{th,\perp})^2]$$

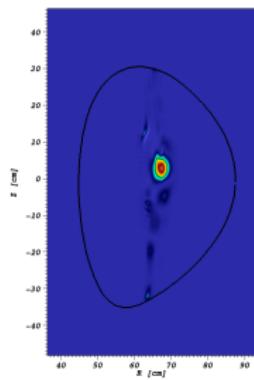
with  $v_{th,\parallel} = \sqrt{2C_{\parallel}T(\psi)/m_H}$ ,  $v_{th,\perp} = \sqrt{2C_{\perp}T(\psi)/m_H}$ , with constants  $C_{\parallel}$  and  $C_{\perp}$

- For  $C_{\parallel} = 1$  and  $C_{\perp} = \{.5, 1., 3., 5.\}$ ,  $P_H$ , varied by less than 2%
- For  $C_{\perp} = 1$  and  $C_{\parallel} = \{.5, 1., 3., 5.\}$ , the corresponding  $P_H = \{61.27\%, 70.50\%, 90.46\%, 94.18\%\}$ 
  - for small  $C_{\parallel}$ , the absorption profile is localized to the resonant layer
  - for large  $C_{\parallel}$ , the absorption profile is significantly broadened radially

$$C_{\perp} = 1, C_{\parallel} = 0.5$$



$$C_{\perp} = 1, C_{\parallel} = 1$$



$$C_{\perp} = 1, C_{\parallel} = 5.0$$

