# LSTM and Named Entity Recognition



- RNNs and Vanishing Gradients
- LSTMs
- Named Entity Recognition

# RNNs and Vanishing Gradients



### RNNs

- Backprop through time
- RNNs and vanishing/exploding gradients
- Solutions

### Advantages

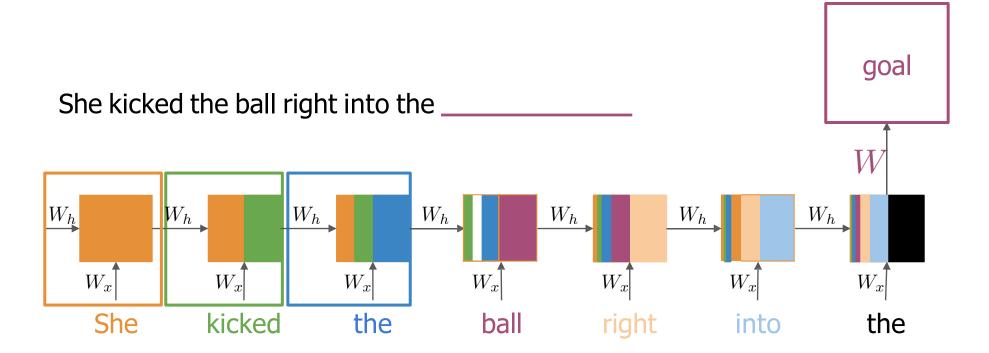
- Captures dependencies within a short range
- Takes up less RAM than other n-gram models

### Disadvantages

- Struggles to capture long term dependencies
- Prone to vanishing or exploding gradients

### **RNN Basic Structure**



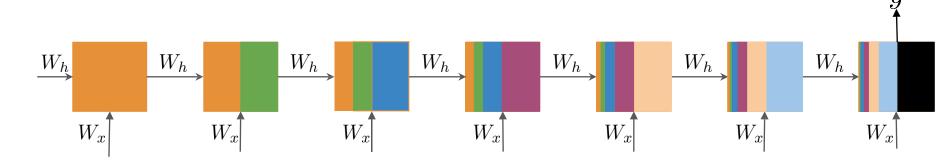


Learnable parameters

### Backpropagation through time



The gradients are calculated using backpropagation through time





$$\frac{\partial L}{\partial W_h} \propto \sum_{1 \le k \le t} \left( \prod_{t \ge i > k} \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W_h}$$

Gradient is proportional to a sum of partial derivative products

## Backpropagation through time



$$\frac{\partial L}{\partial W_h} \propto \sum_{1 \leq k \leq t} \left( \prod_{t \geq i > k} \frac{\partial h_i}{\partial h_{i-1}} \right) \frac{\partial h_k}{\partial W_h} \longrightarrow \text{Contribution of hidden state } k$$

Length of the product proportional to how far **k** is from **t** 

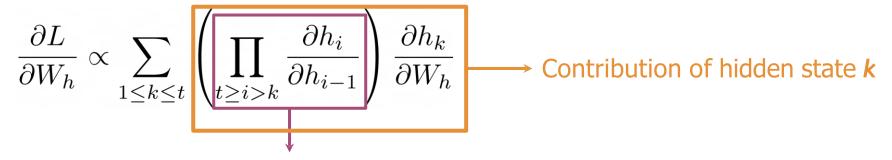
$$\frac{\partial h_t}{\partial h_{t-1}} \frac{\partial h_{t-1}}{\partial h_{t-2}} \frac{\partial h_{t-2}}{\partial h_{t-3}} \frac{\partial h_{t-3}}{\partial h_{t-4}} \frac{\partial h_{t-4}}{\partial h_{t-5}} \frac{\partial h_{t-5}}{\partial h_{t-6}} \frac{\partial h_{t-6}}{\partial h_{t-7}} \frac{\partial h_{t-7}}{\partial h_{t-8}} \frac{\partial h_{t-8}}{\partial h_{t-9}} \frac{\partial h_{t-9}}{\partial h_{t-10}} \frac{\partial h_{t-10}}{\partial W_h}$$

Contribution of hidden state *t-10* 

The contribution to the gradient of a hidden state that is 10 steps away from step t

## Backpropagation through time





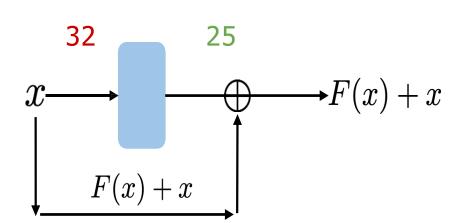
Length of the product proportional to how far *k* is from *t* 

Partial derivatives <1	Contribution goes to 0	Vanishing Gradient
Partial derivatives >1	Contribution goes to infinity	<b>Exploding</b> Gradient

# Solving for vanishing or exploding gradients



- Identity RNN with ReLU activation  $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \longrightarrow -1$
- **Gradient clipping**
- Skip connections



### **LSTMs**



### Outline

- Meet the Long short-term memory unit
- LSTM architecture
- Applications

### Memorable solution

- Learns when to remember and when to forget
- Basic anatomy:
  - A cell state
  - A hidden state
  - Multiple gates
- Gates allow gradients to avoid vanishing and exploding

# LSTMs: Based on previous understanding



Starting point with some irrelevant information

Cell and Hidden States

Discard anything irrelevant

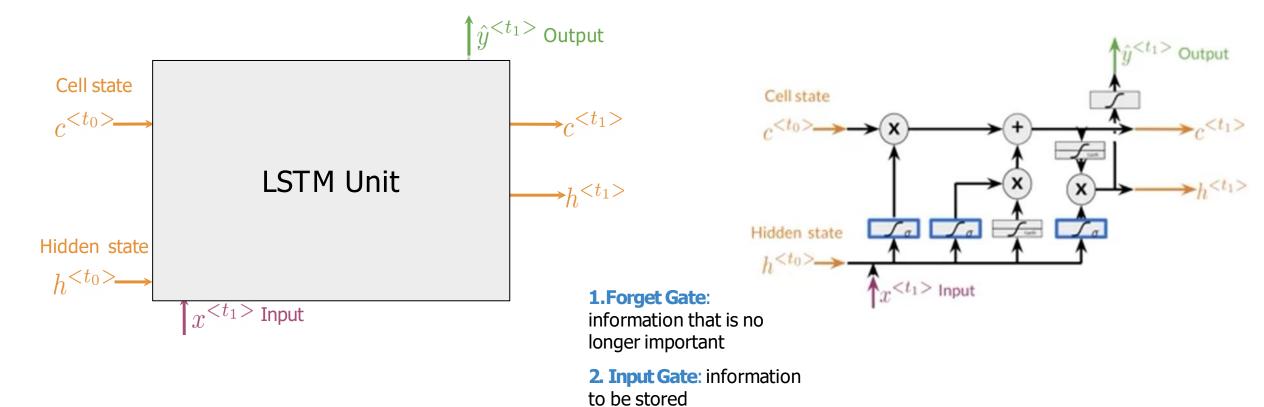
Add important new information 

——— Gates

Produce output

### Gates in LSTM





3.Output Gate:

information to use at current step

# Applications of LSTMs



Next-character prediction

Chatbots



Music composition



Image captioning



Speech recognition



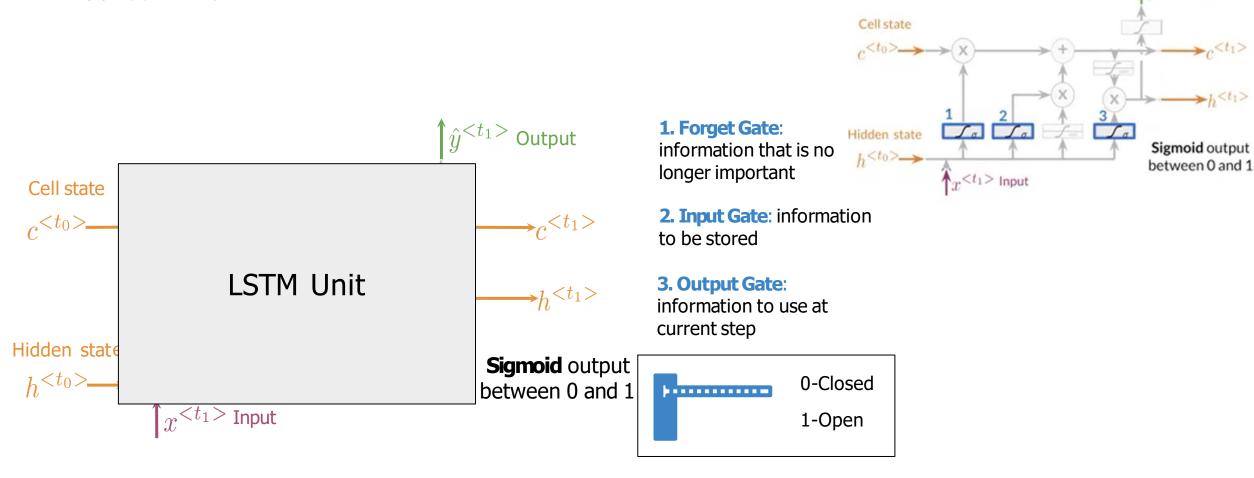
### LSTM Architecture



#### **FPT UNIVERSITY**

 $\hat{\eta}^{< t_1 >}$  Output

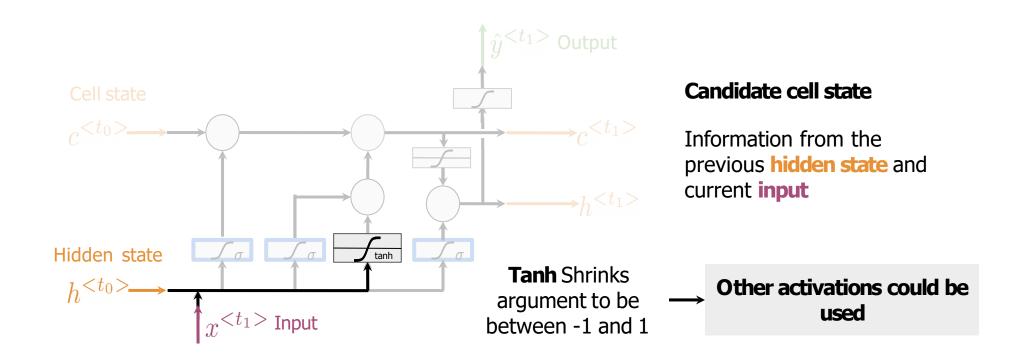
Gates in LSTM



### Candidate Cell State

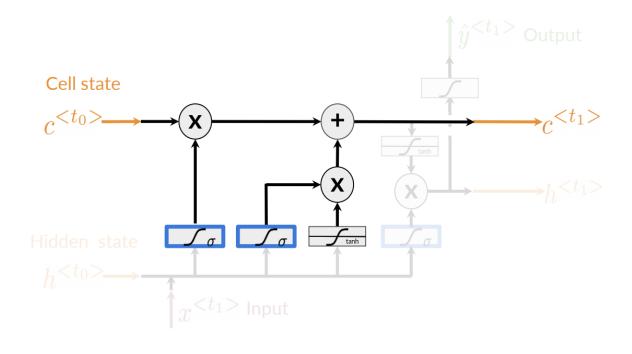


- Transform the information from the previous hidden states and the current inputs
- A hyperbolic tangent activation function shrinks the information to be between -1 and 1



### New Cell State



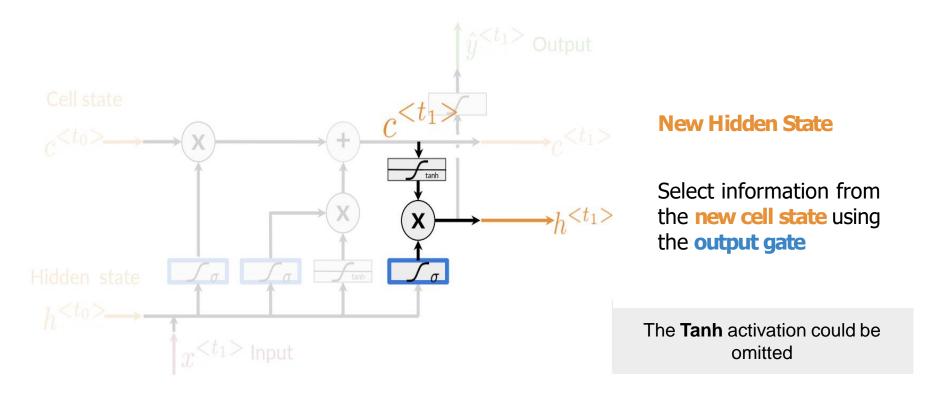


#### **New Cell state**

Add information from the **candidate cell state** using the **forget** and **input gates** 

### New Hidden State





- LSTMs use a series of gates to decide which information to keep:
  - Forget gate decides what to keep
  - Input gate decides what to add
  - Output gate decides what the next hidden state will be

## Introduction to Named Entity Recognition



- What is Named Entity Recognition?
  - Locates and extracts predefined entities from text
  - Places, organizations, names, time and dates
- Types of Entities



Thailand: Geographical



Google: Organization



Indian: Geopolitical

# More Types of Entities









December: Time Indicator

Egyptian statue: Artifact

Barack Obama: Person

## Example of a labeled sentence





- Applications of NER systems
  - Search engine efficiency
  - Recommendation engines
  - Customer service
  - Automatic trading

### Training NERs: Data Processing



- Convert words and entity classes into arrays
- Token padding
- Create a data generator
- Processing data for NERs
  - Assign each class a number
  - Assign each word a number

[42821, 853, 187, 53882, 2894, 73]

B-per O O B-geo O B-tim

# Token padding



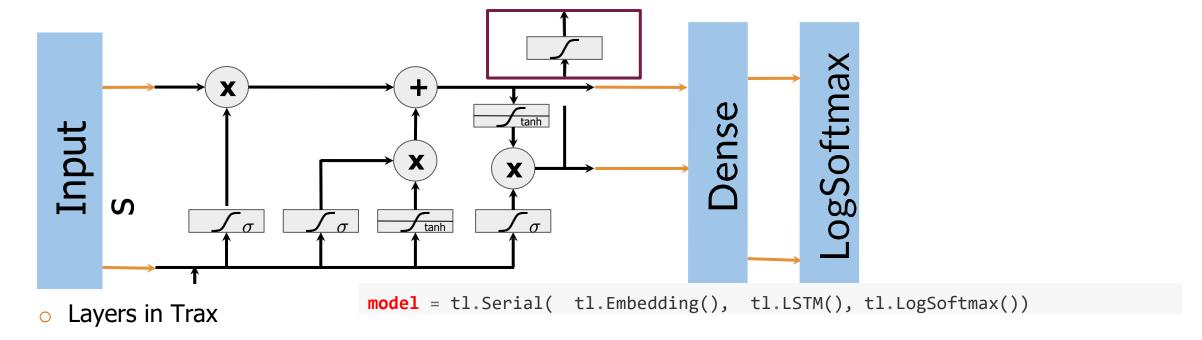
- For LSTMs, all sequences need to be the same size.
- Set sequence length to a certain number
- Use the <PAD> token to fill empty spaces

### Training the NER

- 1. Create a tensor for each input and its corresponding number
- $\circ$  2. Put them in a batch  $\longrightarrow$  64, 128, 256, 512 ...
- 3. Feed it into an LSTM unit
- 4. Run the output through a dense layer
- 5. Predict using a log softmax over K classes

## Training the NER





### Summary

- Convert words and entities into same-length numerical arrays
- Train in batches for faster processing
- Run the output through a final layer and activation

## Computing Accuracy



- Evaluating the model
  - 1. Pass test set through the model
  - 2. Get arg max across the prediction array
  - 3. Mask padded tokens
  - 4. Compare outputs against test labels

## Evaluating the model in Python



```
def evaluate_model(test_sentences, test_labels, model):
    pred = model(test_sentences)
    outputs = np.argmax(pred, axis=2)
    mask = ...
    accuracy =
np.sum(outputs==test_labels)/float(np.sum(mask))
    return accuracy
```

If padding tokens, remember to mask them when computing accuracy