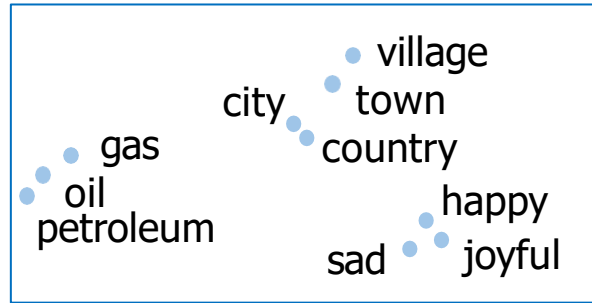


# Word embedding with neural network

- Identify the key concepts of word representations
- Generate word embeddings
- Prepare text for machine learning
- Implement the continuous bag-of-words model

# Some basic applications of word embeddings



Semantic analogies  
and similarity



Sentiment analysis

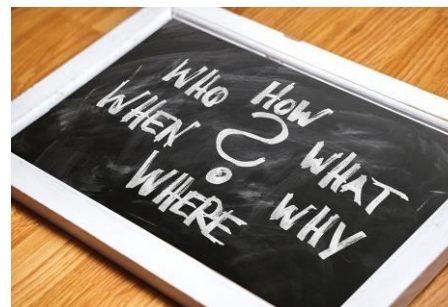


Classification of  
customer feedback

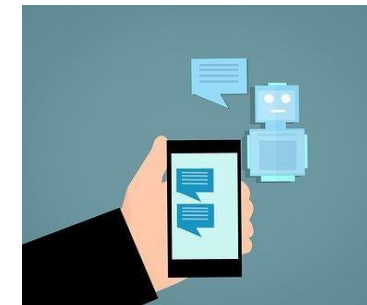
## Advanced applications of word embeddings



Machine translation



Information extraction



Question answering

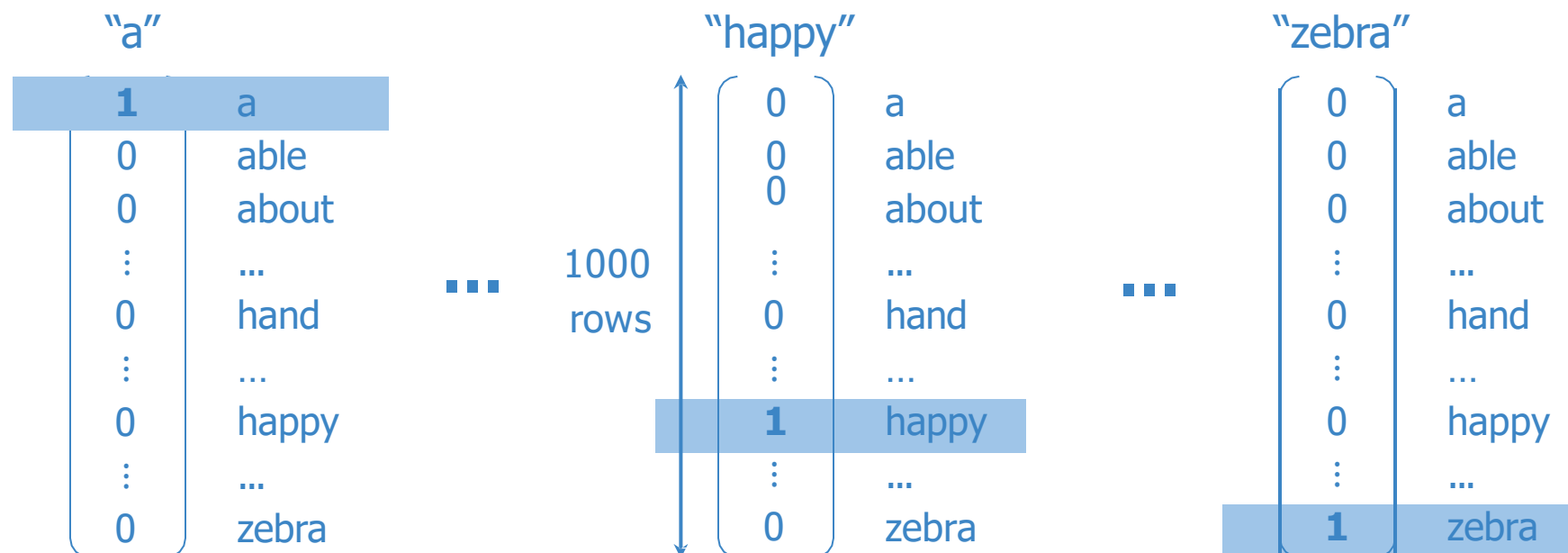
# Basic Word Representations

- Integers
  - One-hot vectors
  - Word embeddings
- Integers
    - + Simple
    - Ordering: little semantic sense

Word	Number
a	1
able	2
about	3
...	...
hand	615
...	...
happy	621
...	...
zebra	1000

**hand** < **happy** < **zebra**  
615    621    1000  
?!    ?!

# One-hot vectors

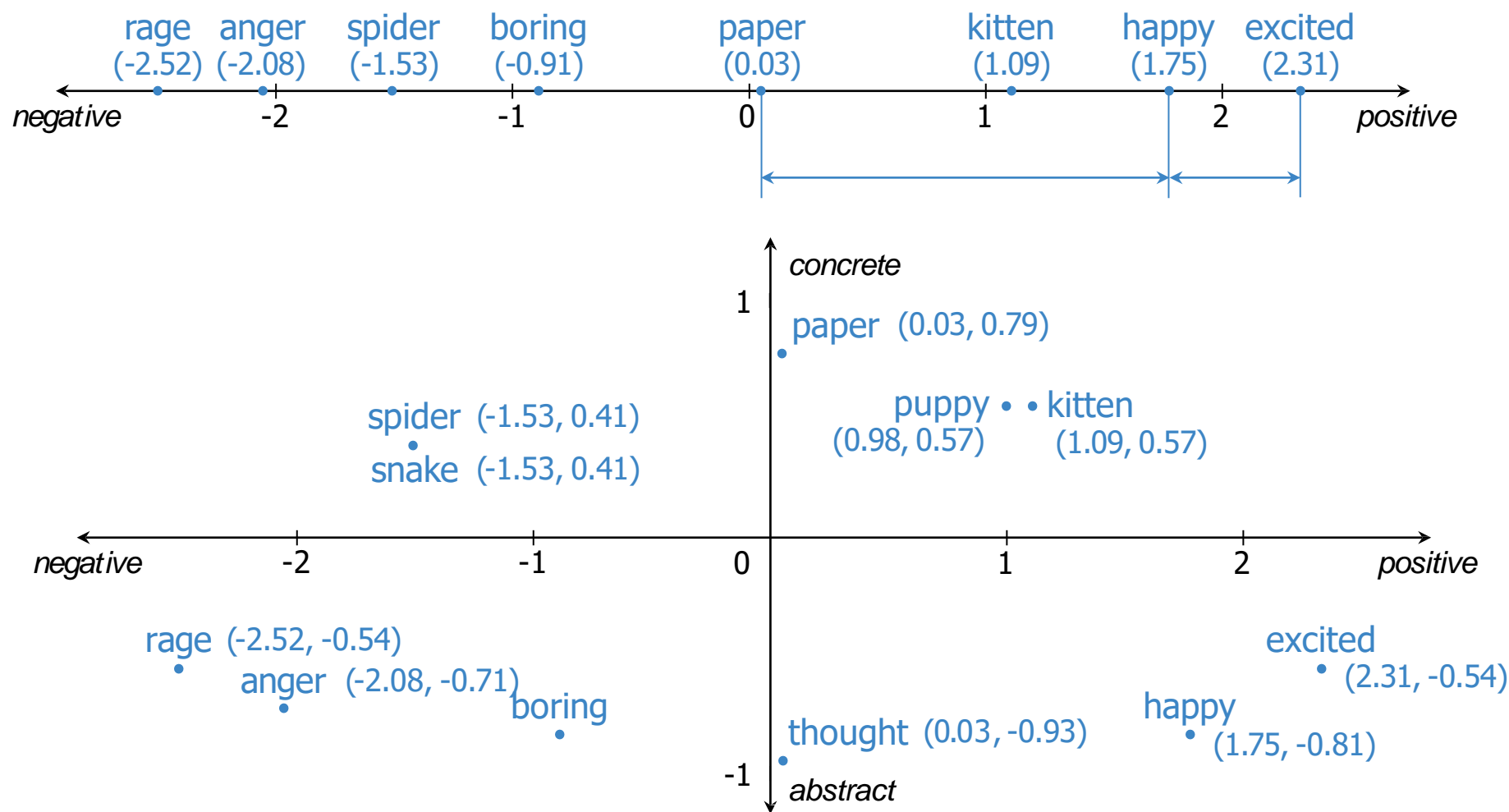


- + No implied ordering
- + Simple
- - Huge vectors
- - No embedded meaning

# One-hot vectors

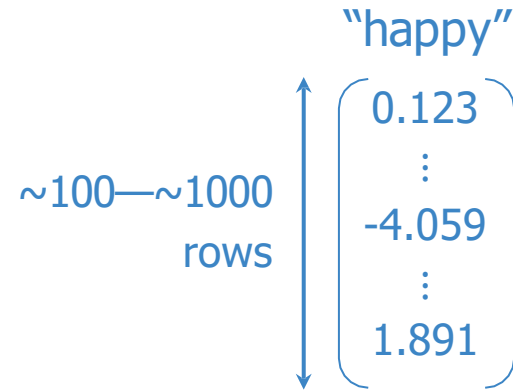
Word	Number			"happy"	
a	1		1	0	a
able	2		2	0	able
about	3		3	0	about
...	...		...	⋮	...
hand	615		615	0	hand
...	...		...	⋮	...
happy	621	↔	621	1	happy
...	...		...	⋮	...
zebra	1000		1000	0	zebra

# Meaning as vectors



# Word embedding vectors

- + Low dimension
- + Embed meaning
  - e.g. semantic distance



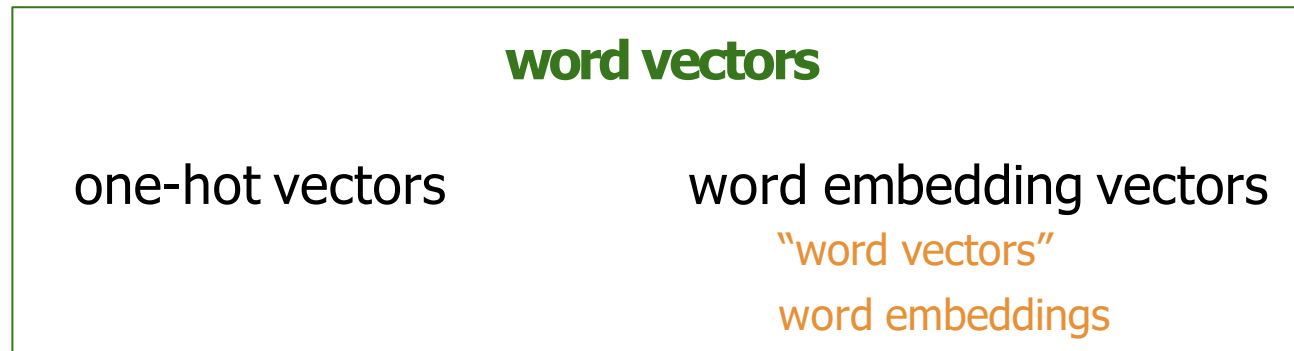
forest  $\approx$  tree    forest  $\not\approx$  ticket

- e.g. analogies

Paris:France :: Rome:?

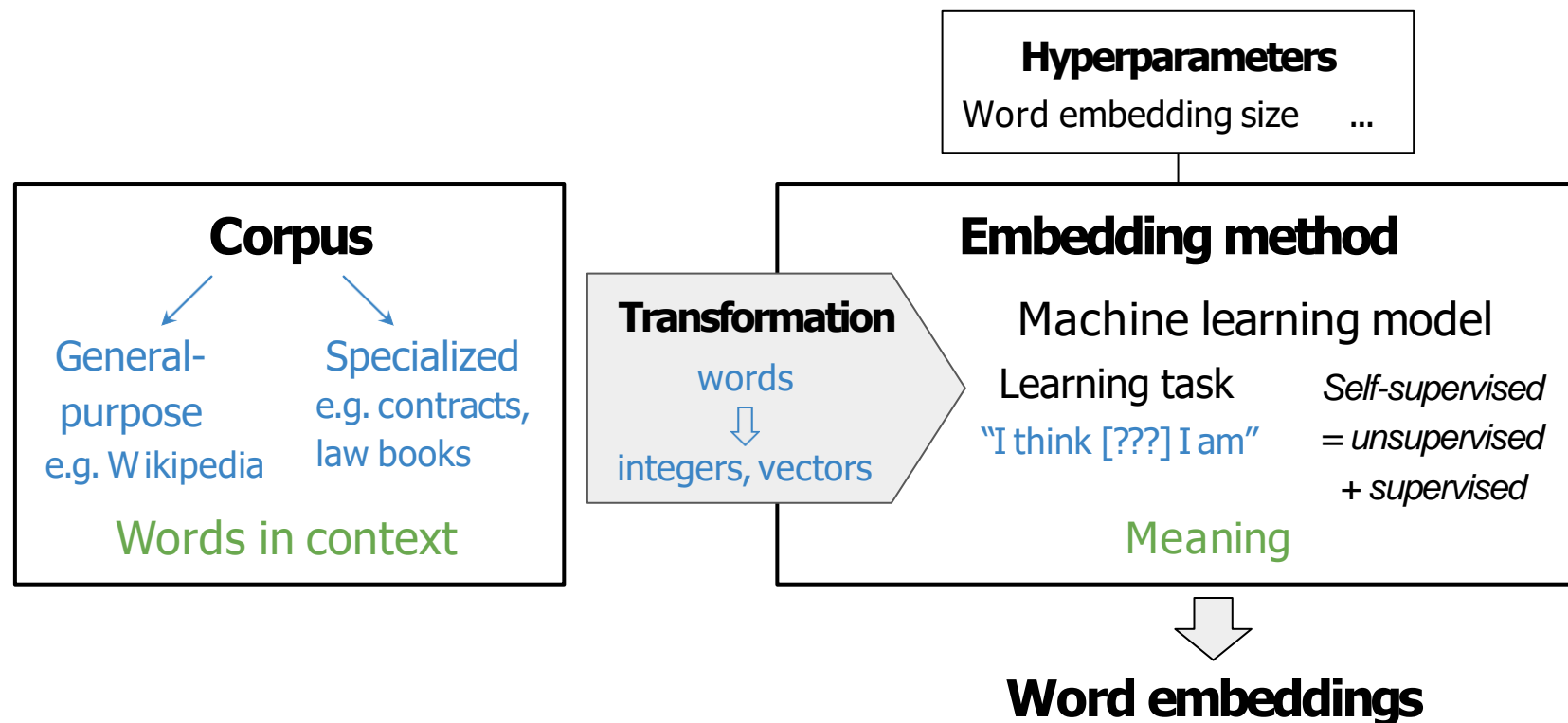
## Terminology

integers



# How to Create Word Embeddings

- Word embedding process





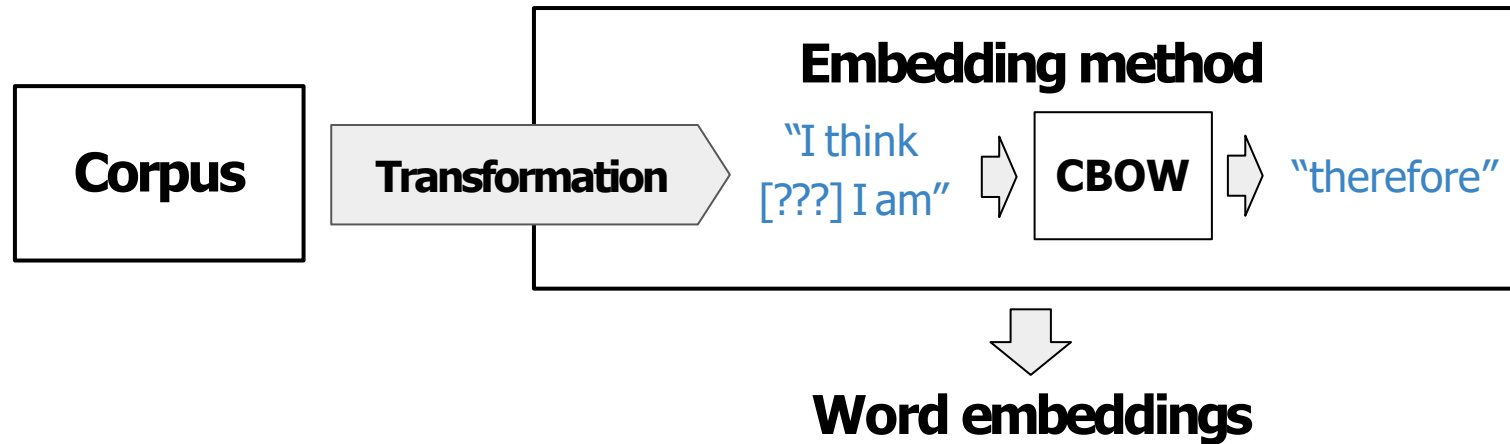
# Word embedding methods

- Basic
  - word2vec (Google, 2013)
    - Continuous bag-of-words (CBOW)
    - Continuous skip-gram / Skip-gram with negative sampling (SGNS)
  - Global Vectors (GloVe) (Stanford, 2014)
  - fastText (Facebook, 2016)
    - Supports out-of-vocabulary (OOV) words
  
- Deep learning, contextual embeddings
  - BERT (Google, 2018)
  - ELMo (Allen Institute for AI, 2018)
  - GPT-2 (OpenAI, 2018)

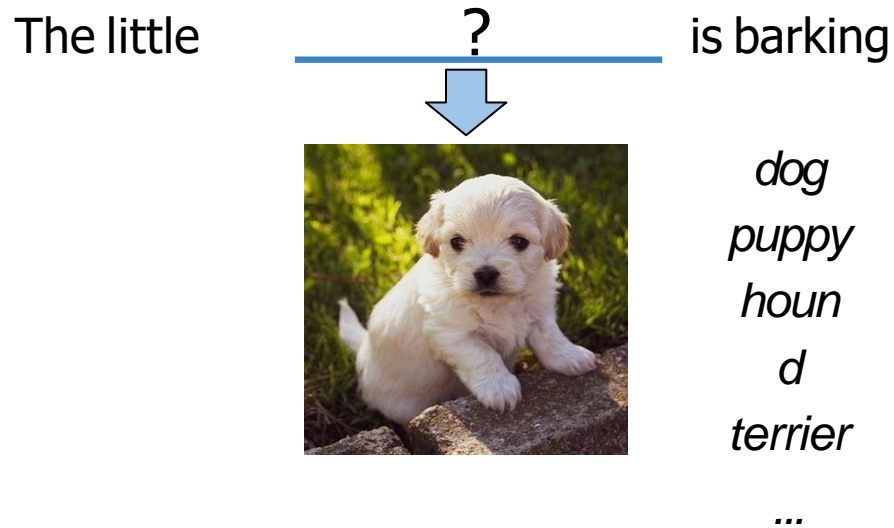
} Tunable pre-trained models available

# Continuous Bag-of-Words Model

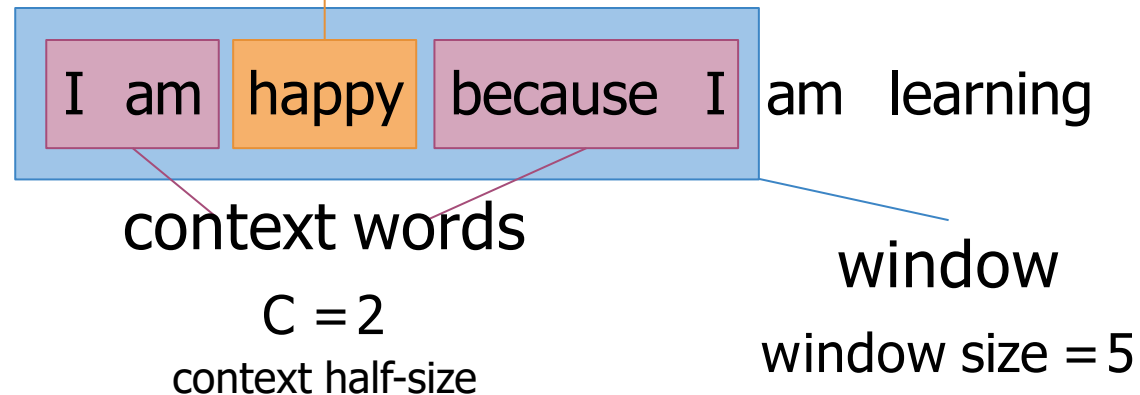
- Continuous bag-of-words word embedding process



# Center word prediction: rationale



Creating a training example    center word

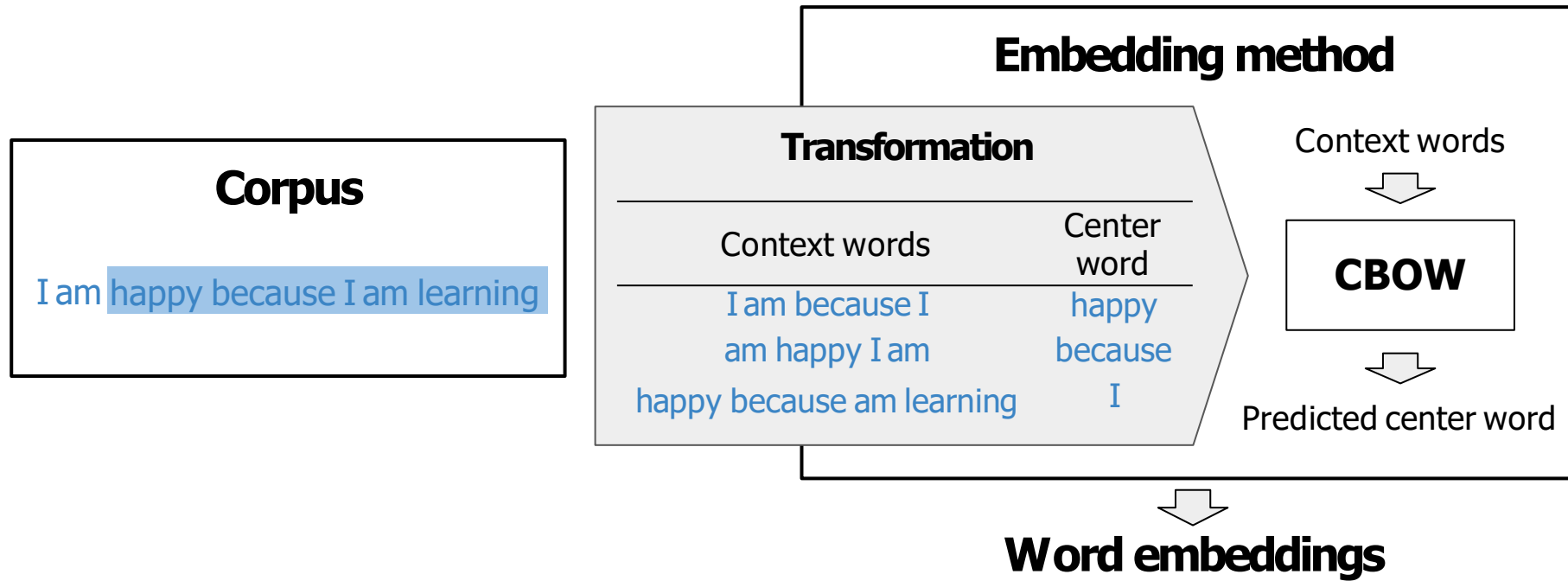


# From corpus to training

Corpus

Transformation


CBOW



# Cleaning and Tokenization

- Letter case "The" == "the" == "THE" → lowercase / upper case
- Punctuation , ! . ? → . " ' « » ' " → ... !! ??? →  
∅
- Numbers 1 2 3 5 8 → ∅ 3.14159 90210 → as is/ <NUMBER>
- Special characters ∇ \$ € § ¶ \*\* → ∅
- Special words 😊 #nlp → :happy: #nlp

# Example in Python: corpus , libraries

Who  "word embeddings" in 2020? I do!!!

emoji      punctuation      number

```
# pip install nltk
# pip install emoji
import nltk
from nltk.tokenize import word_tokenize
import emoji
nltk.download('punkt') # download pre-trained Punkt tokenizer for
English
```

```
corpus = 'Who ❤️ "word embeddings" in 2020? I do!!!'
data = re.sub(r'[,!?;-]+' , '.', corpus)
data = nltk.word_tokenize(data) # tokenize string to words
data = [ ch.lower() for ch in data
        if ch.isalpha()
        or ch == '.'
        or emoji.get_emoji_regexp().search(ch)]
→ ['who', '❤️', 'word', 'embeddings', 'in', '.', 'i', 'do', '.']
```

# Sliding window of words in Python

```
def get_windows(words, C):  
    i = C  
    while i < len(words) - C:  
        center_word = words[i]  
        context_words = words[(i - C):i] + words[(i+1):(i+C+1)]  
        yield context_words, center_word  
        i += 1
```

I	am	happy	because	I	am	learning
0	1	2	3	4	5	6

```
def get_windows(words, C):  
    ...  
    yield context_words, center_word
```

```
for x, y in get_windows(  
    ['i', 'am', 'happy', 'because', 'i', 'am', 'learning'],  
    2):  
    print(f'{x}\t{y}')
```

# Sliding window of words in Python

```
for x, y in get_windows(  
    ['i', 'am', 'happy', 'because', 'i', 'am',  
    'learning'], 2  
):  
    print(f'{x}\t{y}')
```

→ ['I', 'am', 'because', 'I']	happy
['am', 'happy', 'I', 'am']	because
['happy', 'because', 'am', 'learning']	I



# Transforming center words into vectors

Corpus      I am happy because I am learning

Vocabulary    am, because, happy, I, learning

One-hot vector	am	because	happy	I	learning
am	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$
because	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$
happy	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$
I	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$
learning	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 0 \end{pmatrix}$	$\begin{pmatrix} 1 \end{pmatrix}$

# Transforming context words into vectors

Average of individual one-hot vectors

$$\left( \begin{array}{c} \text{I} \\ \text{am} \\ \text{because} \\ \text{happy} \\ \text{I} \\ \text{learning} \end{array} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} + \begin{array}{c} \text{am} \\ \\ \\ \\ \\ \end{array} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} + \begin{array}{c} \text{because} \\ \\ \\ \\ \\ \end{array} \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} + \begin{array}{c} \text{I} \\ \\ \\ \\ \\ \end{array} \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} \right) / 4 = \begin{array}{c} \text{I am because I} \\ \\ \\ \\ \\ \end{array} \begin{bmatrix} 0.25 \\ 0.25 \\ 0 \\ 0.5 \\ 0 \end{bmatrix}$$

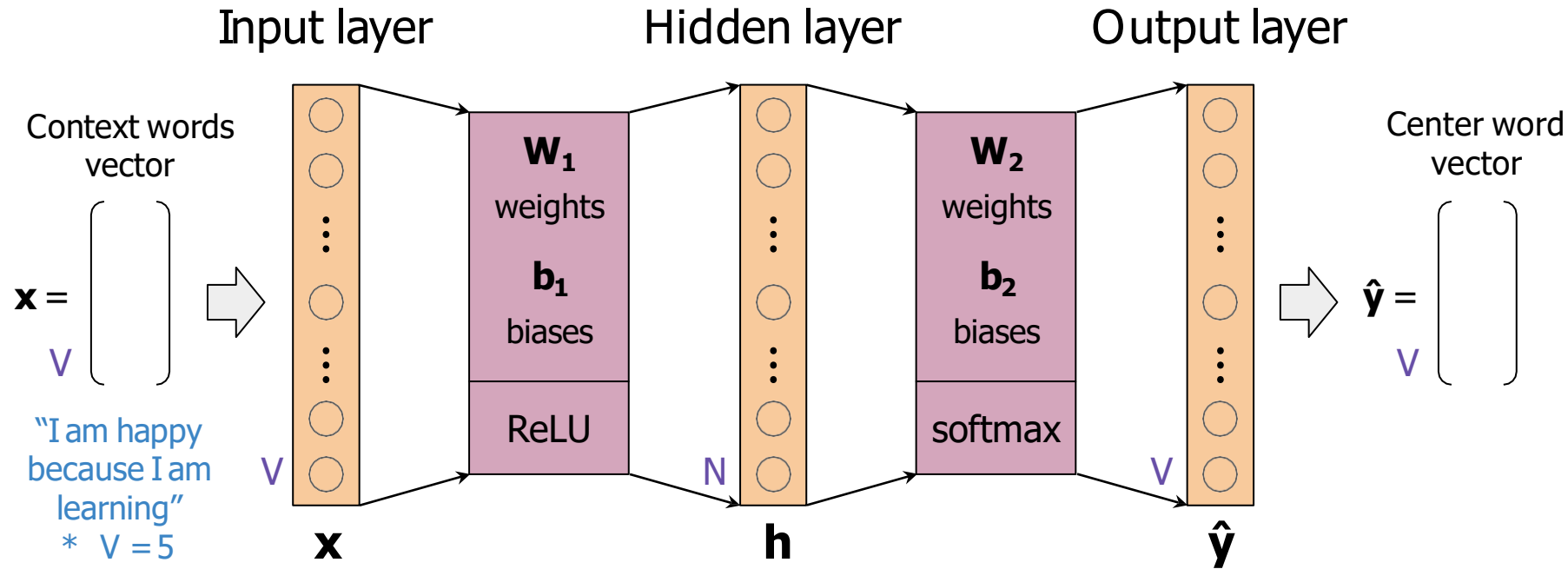
Final prepared training set

<i>Context words</i>	Context words vector	<i>Center word</i>	Center word vector
<i>I am because I</i>	[0.25; 0.25; 0; 0.5; 0]	<i>happy</i>	[0; 0; 1; 0; 0]

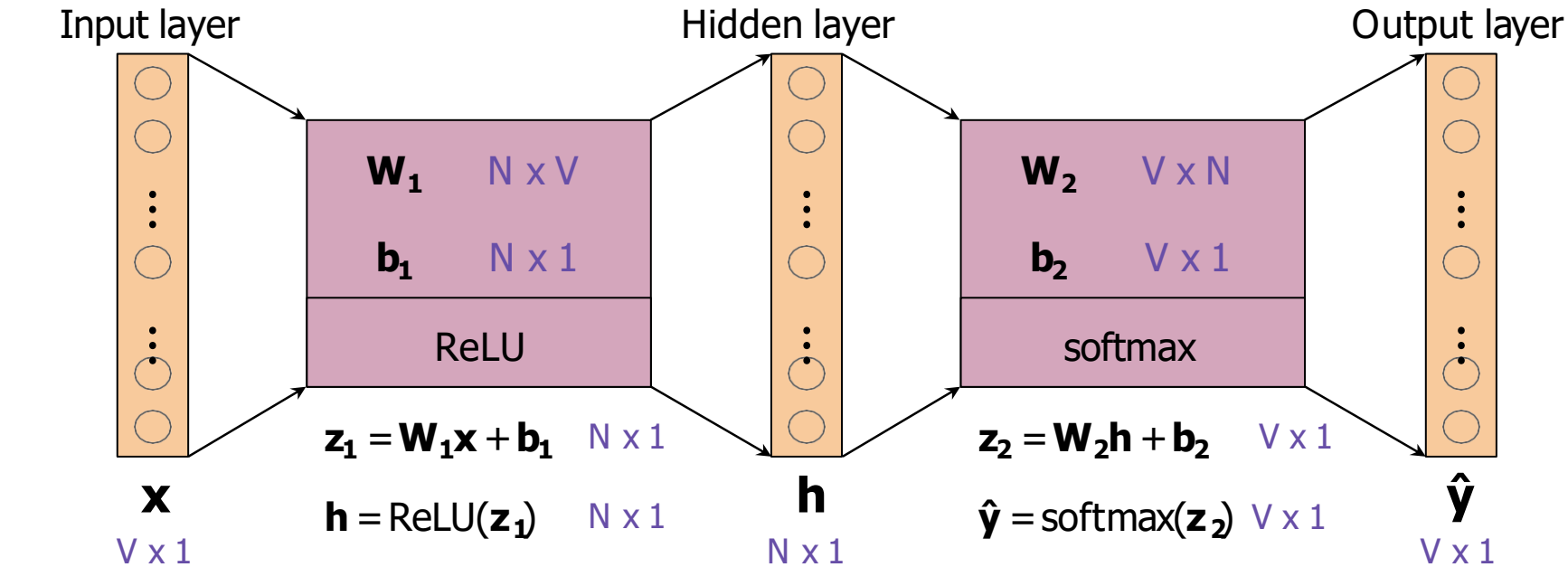
# Architecture of the CBOW model

## Hyperparameters

$N$ : Word embedding size ...



# Dimensions (single input)



Column vectors

$$\mathbf{z}_1 = \mathbf{W}_1 \mathbf{x} + \mathbf{b}_1$$

$$\mathbf{z}_1 = \begin{bmatrix} \\ \\ \end{bmatrix} \quad N \times 1$$

$$\mathbf{W}_1 = \begin{bmatrix} & & \\ & & \\ & & \end{bmatrix} \quad N \times V$$

$$\mathbf{x} = \begin{bmatrix} \\ \\ \end{bmatrix} \quad V \times 1$$

$$\mathbf{b}_1 = \begin{bmatrix} \\ \\ \end{bmatrix} \quad N \times 1$$

Row vectors

$$\mathbf{z}_1 = \mathbf{x} \mathbf{W}_1^T + \mathbf{b}_1$$

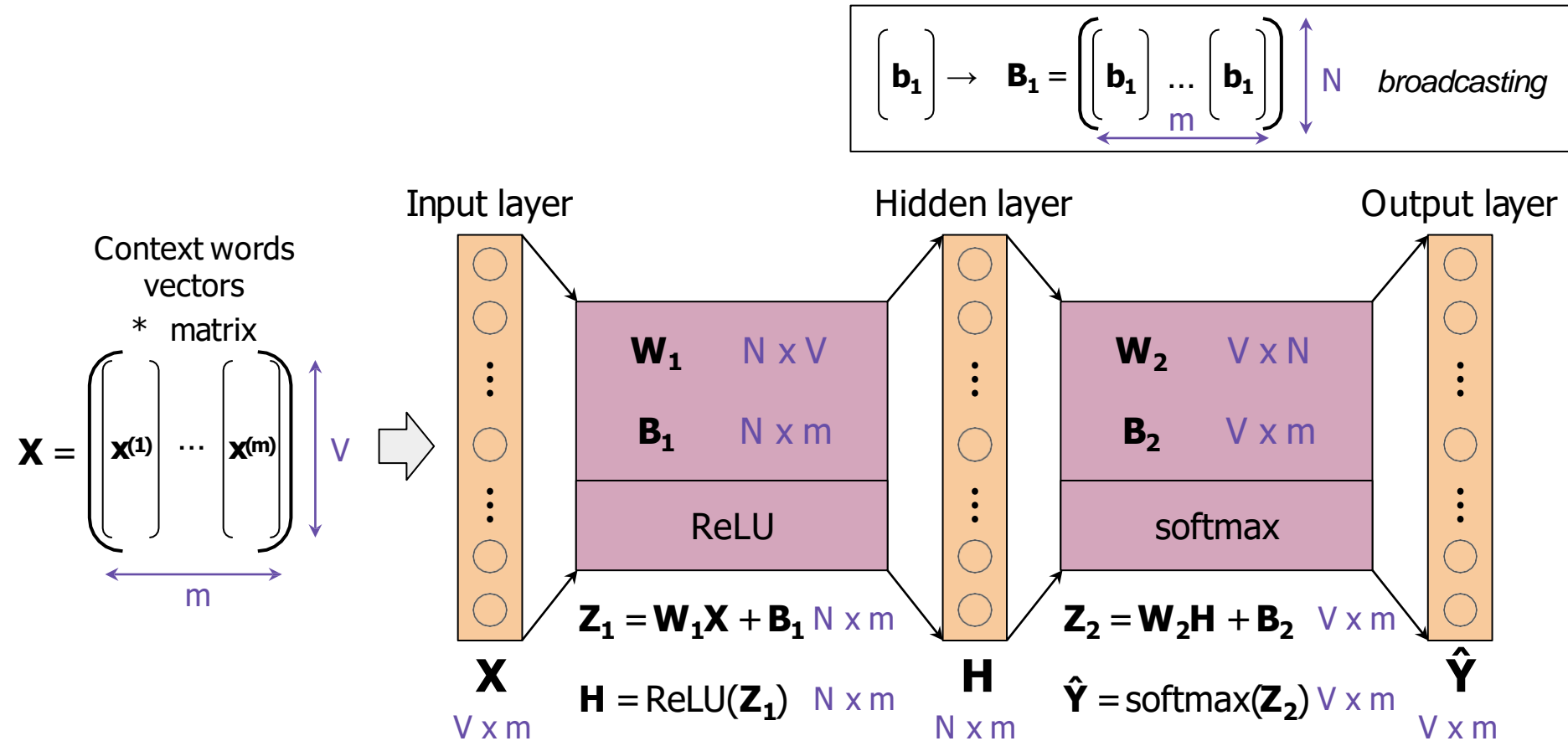
$$\mathbf{b}_1 = \begin{bmatrix} & & \end{bmatrix} \quad 1 \times N$$

$$\mathbf{W}_1 = \begin{bmatrix} & & \\ & & \\ & & \end{bmatrix} \quad N \times V$$

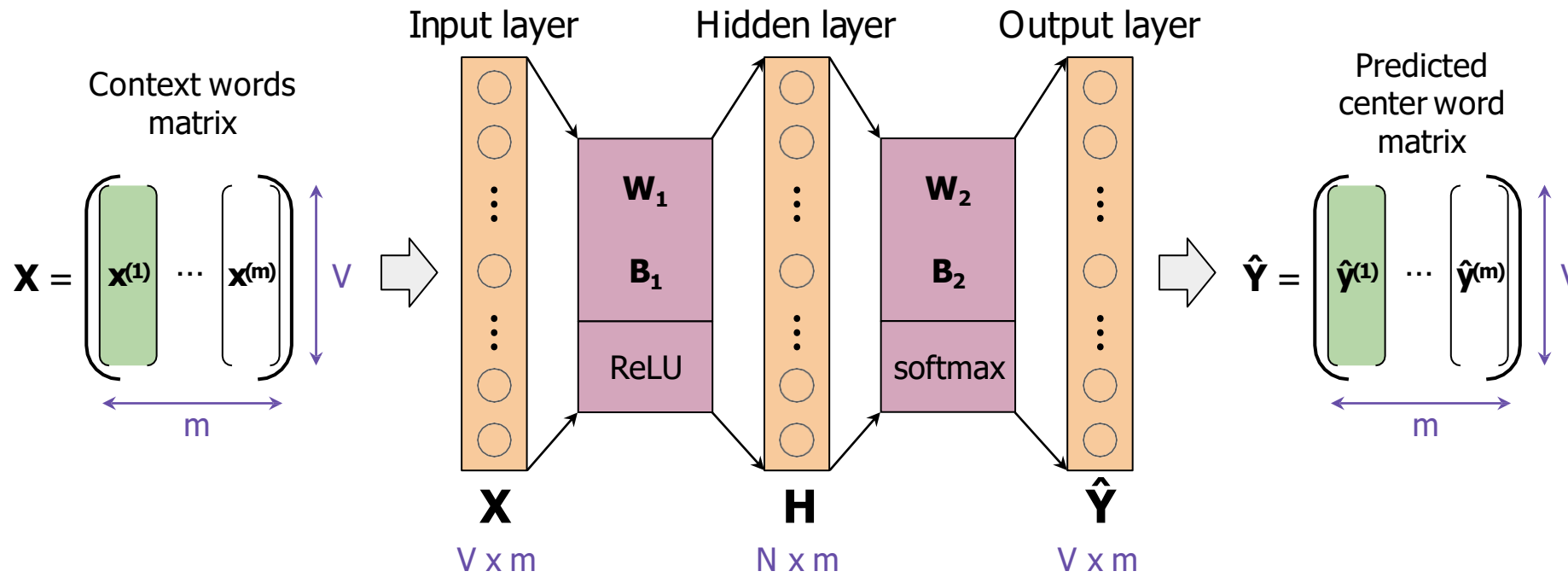
$$\mathbf{b}_1 = \begin{bmatrix} & & \end{bmatrix} \quad 1 \times N$$

$$\mathbf{x} = \begin{bmatrix} & & \end{bmatrix} \quad 1 \times V$$

# Dimensions (batch input)

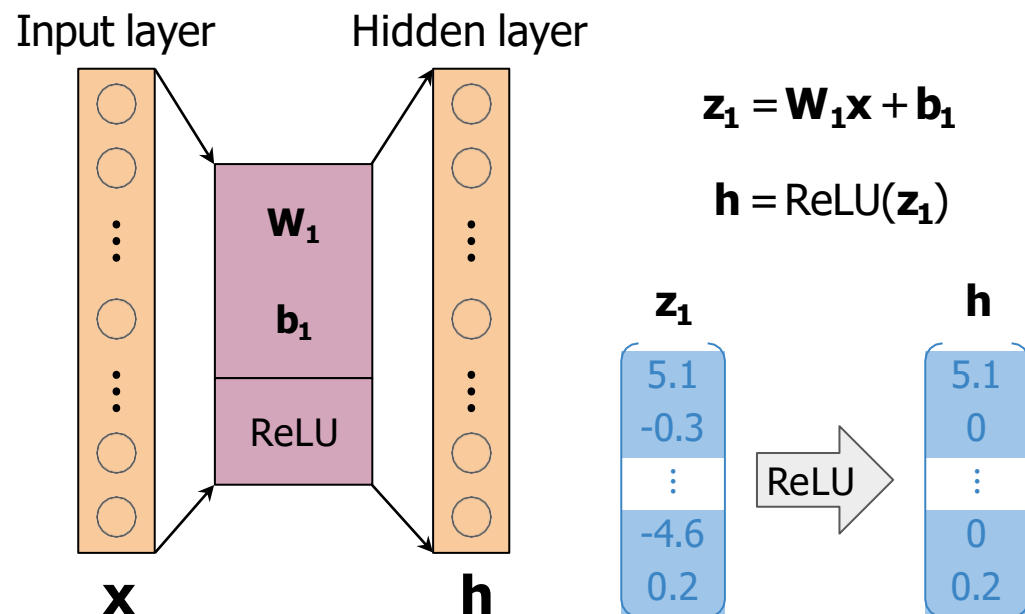


# Dimensions (batch input)

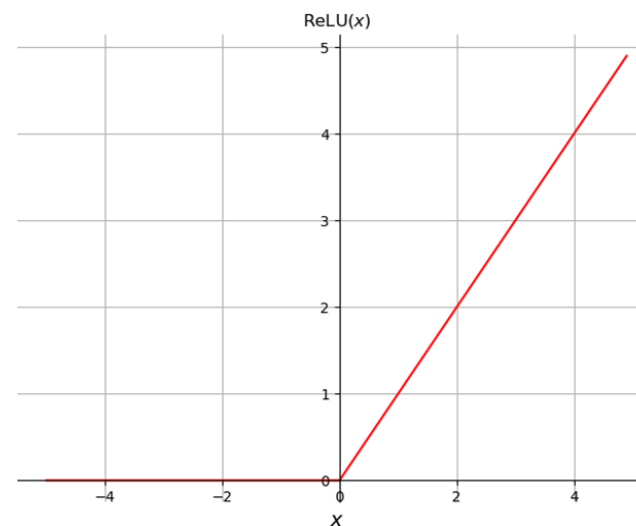


# Activation Functions

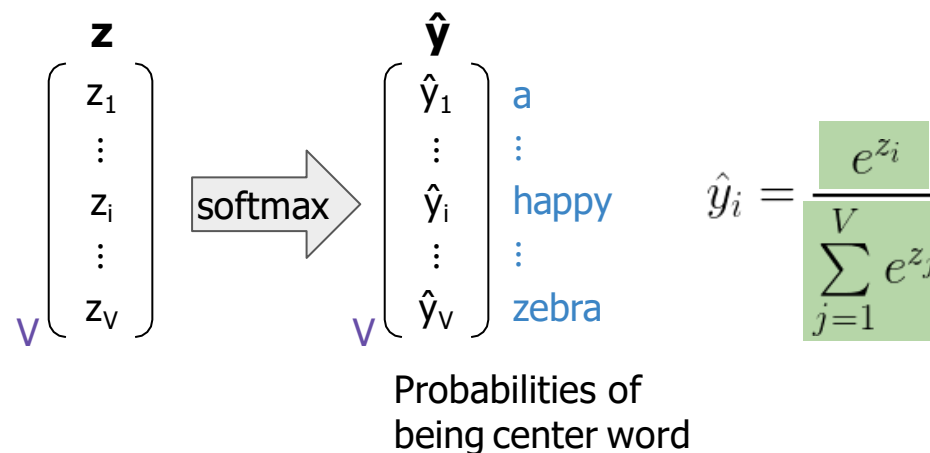
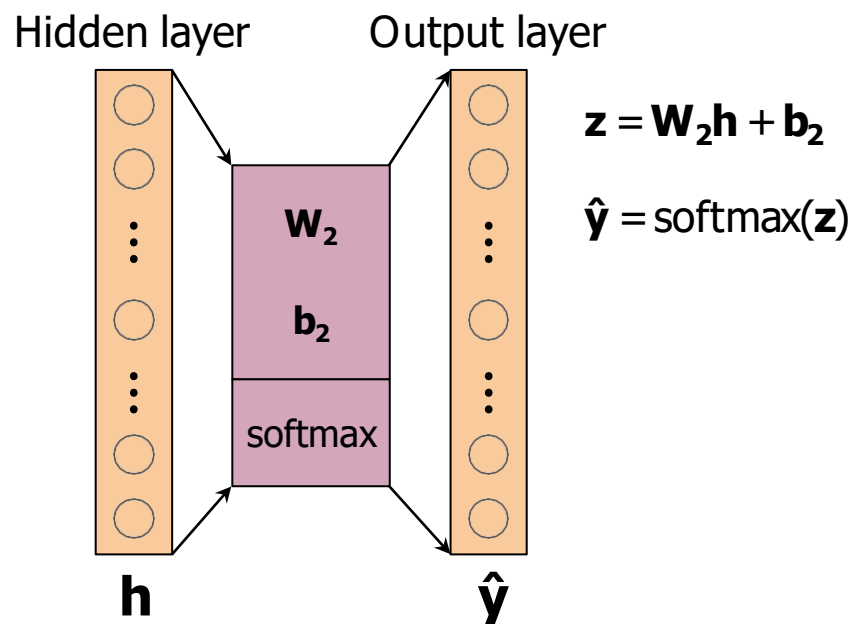
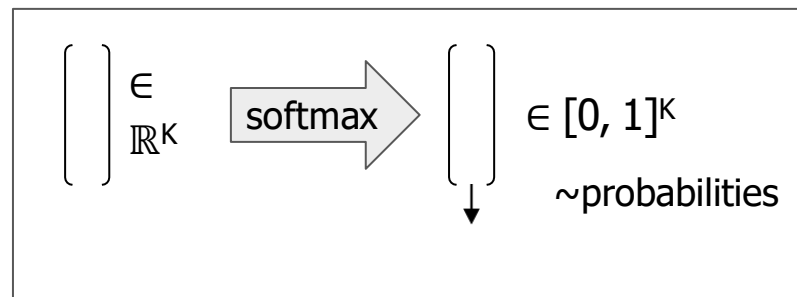
- Rectified Linear Unit (ReLU)



$$\text{ReLU}(x) = \max(0, x)$$

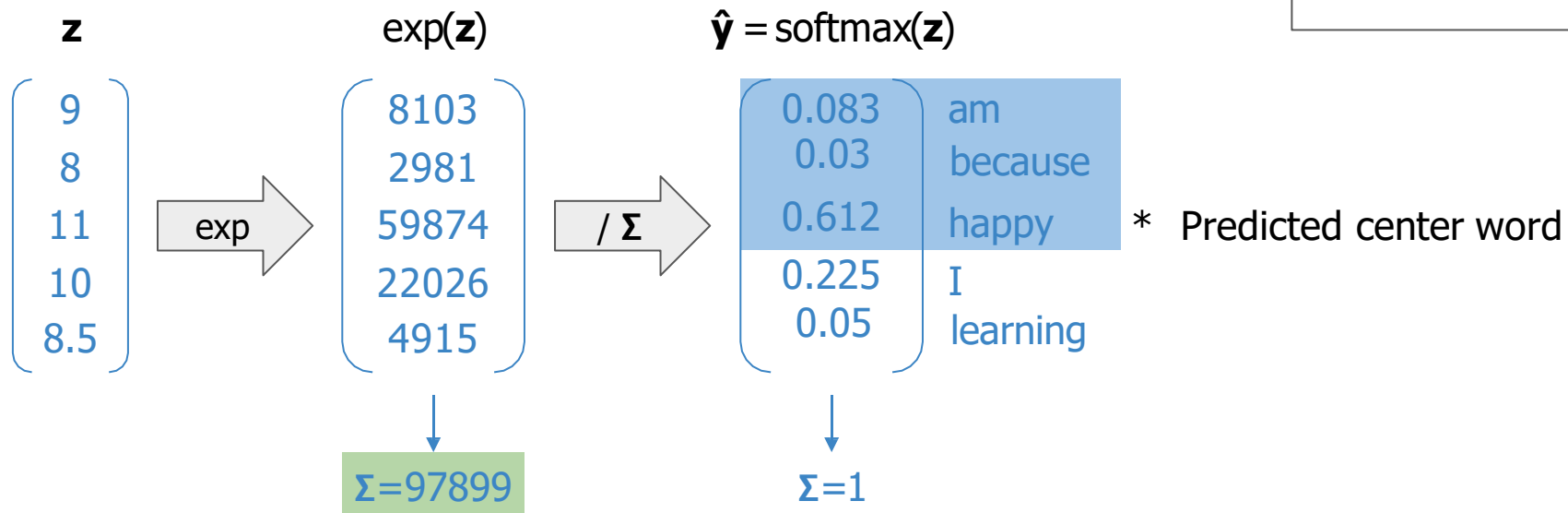


# Softmax





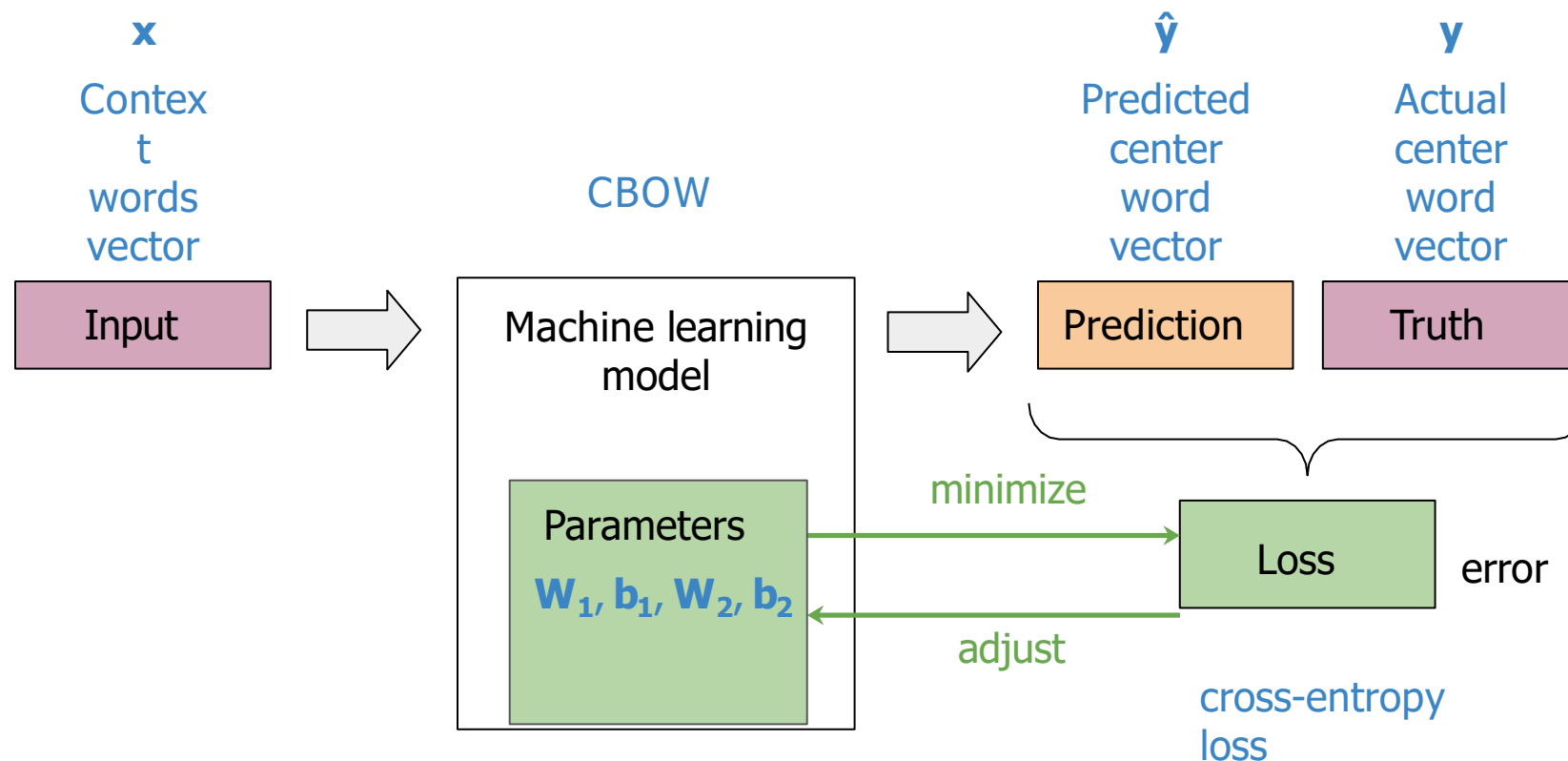
# Softmax: example



$$\hat{y}_i = \frac{e^{z_i}}{\sum_{j=1}^V e^{z_j}}$$

# Cost Function

- Loss

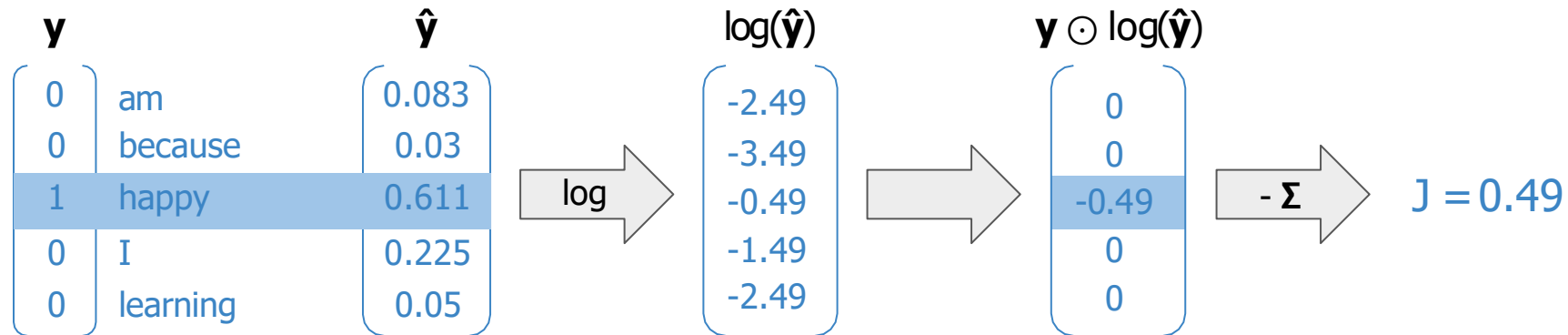


# Cross-entropy loss

$$J = - \sum_{k=1}^V y_k \log \hat{y}_k$$

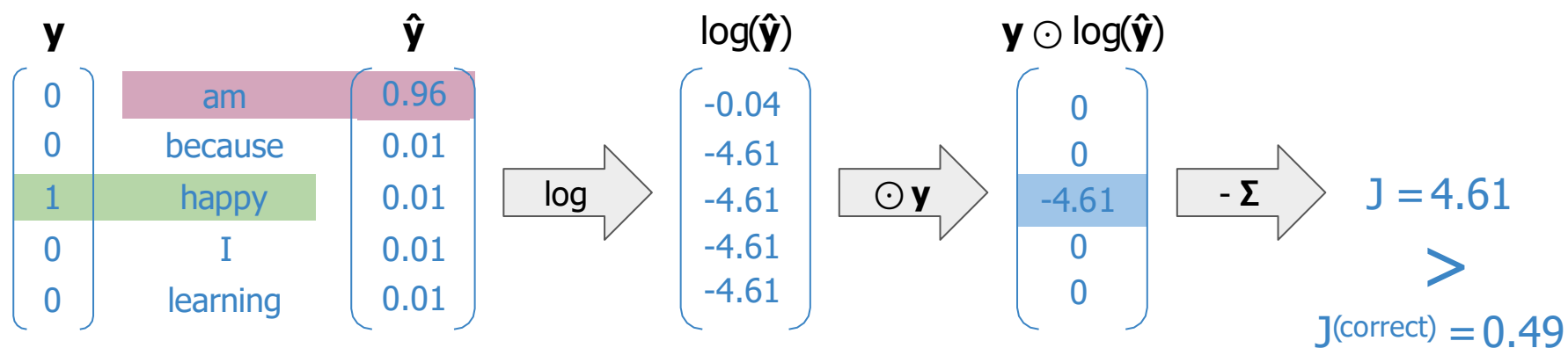
Actual	Predicted
$\mathbf{y} = \begin{bmatrix} y_1 \\ \vdots \\ y_V \end{bmatrix}$	$\hat{\mathbf{y}} = \begin{bmatrix} \hat{y}_1 \\ \vdots \\ \hat{y}_V \end{bmatrix}$

I am happy because I am learning



# Cross-entropy loss

$$J = - \sum_{k=1}^V y_k \log \hat{y}_k$$



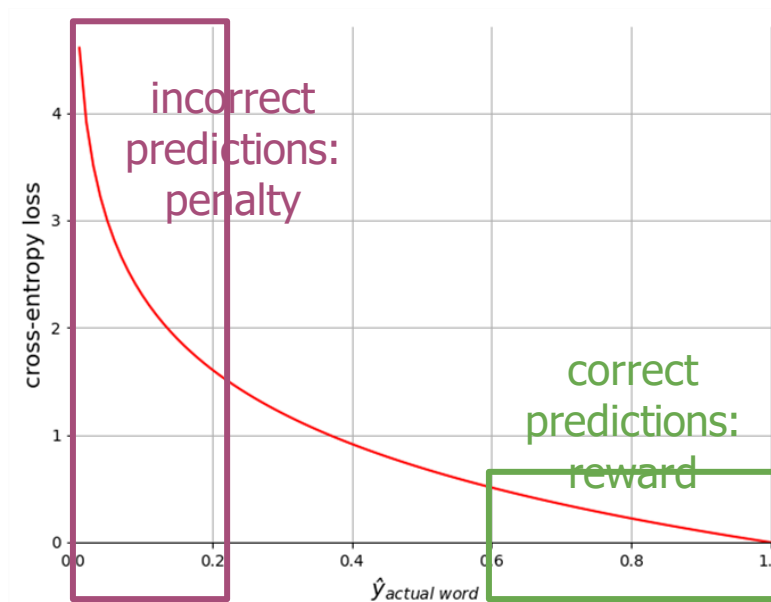
# Cross-entropy loss

$$J = -\log \hat{y}_{\text{actual word}}$$

$\mathbf{y}$		$\hat{\mathbf{y}}$
0	am	0.96
0	because	0.01
1	happy	0.01
0	I	0.01
0	learning	0.01

\*  $J = 4.61$

$$J = -\sum_{k=1}^V y_k \log \hat{y}_k$$



# Training process

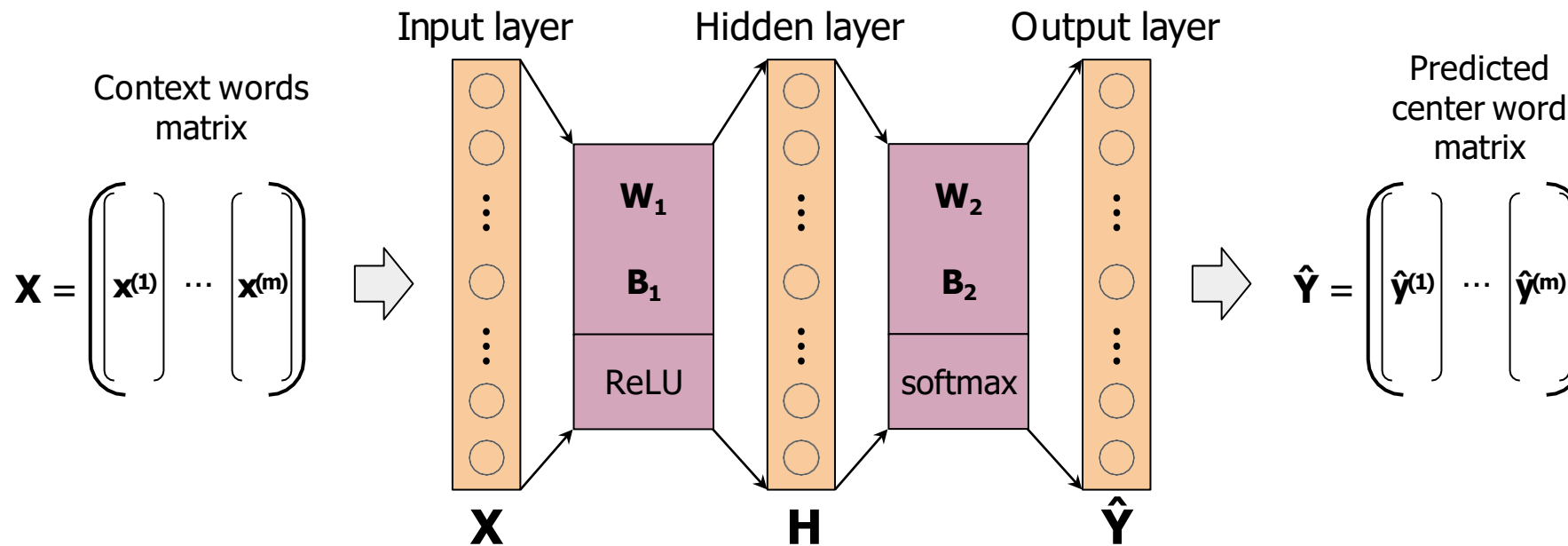
- Forward propagation

$$\mathbf{Z}_1 = \mathbf{W}_1\mathbf{X} + \mathbf{B}_1$$

$$\mathbf{Z}_2 = \mathbf{W}_2\mathbf{H} + \mathbf{B}_2$$

$$\mathbf{H} = \text{ReLU}(\mathbf{Z}_1)$$

$$\hat{\mathbf{Y}} = \text{softmax}(\mathbf{Z}_2)$$



# Cost

$$J = - \sum_{k=1}^V y_k \log \hat{y}_k$$

Cost: mean of losses

$$J_{batch} = -\frac{1}{m} \sum_{i=1}^m \sum_{j=1}^V y_j^{(i)} \log \hat{y}_j^{(i)}$$

$$J_{batch} = -\frac{1}{m} \sum_{i=1}^m J^{(i)}$$

Predicted  
center word  
matrix

$$\hat{\mathbf{Y}} = \begin{pmatrix} \hat{\mathbf{y}}^{(1)} & \dots & \hat{\mathbf{y}}^{(m)} \end{pmatrix}$$

Actual center  
word matrix

$$\mathbf{Y} = \begin{pmatrix} \mathbf{y}^{(1)} & \dots & \mathbf{y}^{(m)} \end{pmatrix}$$

# Backpropagation and Gradient Descent

- Minimizing the cost

$$J_{batch} = f(\mathbf{W}_1, \mathbf{W}_2, \mathbf{b}_1, \mathbf{b}_2)$$

- Backpropagation: calculate partial derivatives of cost with respect to
- weights and biases

$$\frac{\partial J_{batch}}{\partial \mathbf{W}_1}, \frac{\partial J_{batch}}{\partial \mathbf{W}_2}, \frac{\partial J_{batch}}{\partial \mathbf{b}_1}, \frac{\partial J_{batch}}{\partial \mathbf{b}_2}$$

- Gradient descent: update weights and biases



## Gradient descent

Hyperparameter: learning rate  $\alpha$

$$\mathbf{W}_1 := \mathbf{W}_1 - \alpha \frac{\partial J_{batch}}{\partial \mathbf{W}_1}$$

$$\mathbf{W}_2 := \mathbf{W}_2 - \alpha \frac{\partial J_{batch}}{\partial \mathbf{W}_2}$$

$$\mathbf{b}_1 := \mathbf{b}_1 - \alpha \frac{\partial J_{batch}}{\partial \mathbf{b}_1}$$

$$\mathbf{b}_2 := \mathbf{b}_2 - \alpha \frac{\partial J_{batch}}{\partial \mathbf{b}_2}$$

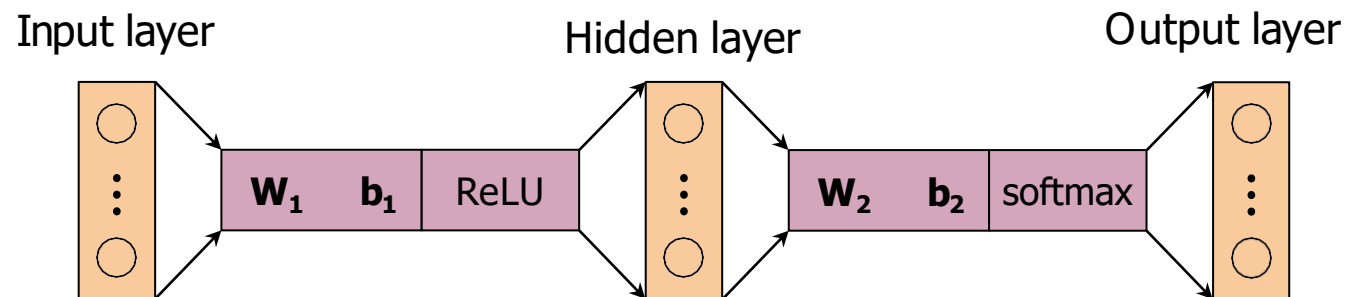
$$\frac{\partial J_{batch}}{\partial \mathbf{W}_1} = \frac{1}{m} \text{ReLU} \left( \mathbf{W}_2^\top (\hat{\mathbf{Y}} - \mathbf{Y}) \right) \mathbf{X}^\top$$

$$\frac{\partial J_{batch}}{\partial \mathbf{W}_2} = \frac{1}{m} (\hat{\mathbf{Y}} - \mathbf{Y}) \mathbf{H}^\top$$

$$\frac{\partial J_{batch}}{\partial \mathbf{b}_1} = \frac{1}{m} \text{ReLU} \left( \mathbf{W}_2^\top (\hat{\mathbf{Y}} - \mathbf{Y}) \right) \mathbf{1}_m^\top$$

$$\frac{\partial J_{batch}}{\partial \mathbf{b}_2} = \frac{1}{m} (\hat{\mathbf{Y}} - \mathbf{Y}) \mathbf{1}_m^\top$$

# Extracting word embedding vectors: option 1,2,3



$$\begin{aligned}
 \mathbf{W}_1 &= \begin{pmatrix} \text{am} \\ \mathbf{w}^{(1)} \\ \vdots \\ \mathbf{w}^{(N)} \end{pmatrix} \quad \begin{matrix} \text{am} \\ \vdots \\ \text{learning} \end{matrix} \quad \begin{matrix} \vdots \\ N \end{matrix} \\
 \mathbf{x} &= \begin{pmatrix} \text{am} \\ \text{because} \\ \text{happy} \\ \text{I} \\ \text{learning} \end{pmatrix} \quad \begin{matrix} \vdots \\ V \end{matrix} \\
 \mathbf{W}_2 &= \begin{pmatrix} \mathbf{w}^{(1)} \\ \vdots \\ \mathbf{w}^{(N)} \end{pmatrix} \quad \begin{matrix} \text{am} \\ \vdots \\ \text{learning} \end{matrix} \quad \begin{matrix} \vdots \\ V \end{matrix} \\
 \mathbf{x} &= \begin{pmatrix} \text{am} \\ \text{because} \\ \text{happy} \\ \text{I} \\ \text{learning} \end{pmatrix} \quad \begin{matrix} \vdots \\ V \end{matrix} \\
 \mathbf{W}_3 &= 0.5 (\mathbf{W}_1 + \mathbf{W}_2^T) = \begin{pmatrix} \mathbf{w}_3^{(1)} \\ \vdots \\ \mathbf{w}_3^{(N)} \end{pmatrix} \quad \begin{matrix} \vdots \\ V \end{matrix}
 \end{aligned}$$

# Evaluating Word Embeddings

- Intrinsic evaluation
  - Intrinsic evaluation methods assess how well the word embeddings inherently capture the semantic(meaning) or syntactic(grammar) relationships between the words.
  - Test on semantic analogies
    - Using a clustering algorithm to group similar word embedding vectors, and determining of the cluster's capture related words

Test relationships between words

Analogies

Semantic analogies

"France" is to "Paris" as "Italy" is to <?>

Syntactic analogies

"seen" is to "saw" as "been" is to <?>

⚡ Ambiguity

"wolf" is to "pack" as "bee" is to <?> → swarm? colony?

# Intrinsic evaluation

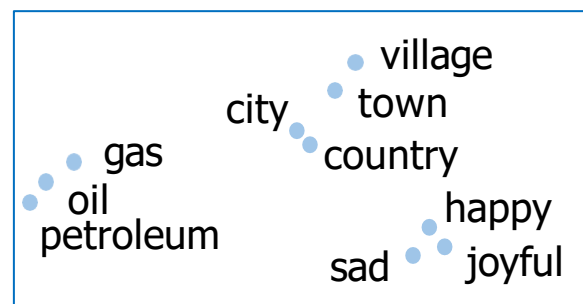
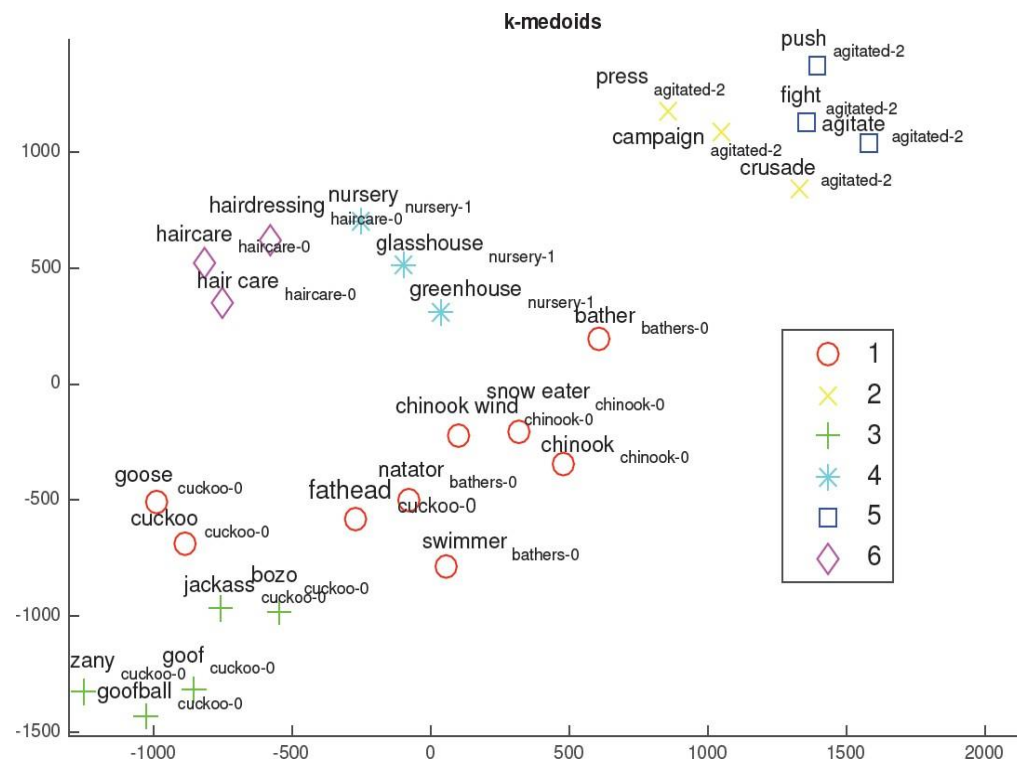
- Test relationships between words
- Analogies

Relationship	Example 1	Example 2	Example 3
France - Paris	Italy: Rome	Japan: Tokyo	Florida: Tallahassee
big - bigger	small: larger	cold: colder	quick: quicker
Miami - Florida	Baltimore: Maryland	Dallas: Texas	Kona: Hawaii
Einstein - scientist	Messi: midfielder	Mozart: violinist	Picasso: painter
Sarkozy - France	Berlusconi: Italy	Merkel: Germany	Koizumi: Japan
copper - Cu	zinc: Zn	gold: Au	uranium: plutonium
Berlusconi - Silvio	Sarkozy: Nicolas	Putin: Medvedev	Obama: Barack
Microsoft - Windows	Google: Android	IBM: Linux	Apple: iPhone
Microsoft - Ballmer	Google: Yahoo	IBM: McNealy	Apple: Jobs
Japan - sushi	Germany: bratwurst	France: tapas	USA: pizza

# Intrinsic evaluation

- Test relationships between words

- Analogies
- Clustering
- Visualization



# Extrinsic evaluation

- Test the word embeddings to perform an external task, Named Entity recognition, POS tagging
- Evaluate this classifier on the test set with some selected evaluation metric, such as accuracy or the F1 score.
- The evaluation will be more time-consuming than an intrinsic evaluation and more difficult to troubleshoot.
- Test word embeddings on external task
  - e.g. named entity recognition, parts-of-speech tagging
- Evaluates actual usefulness of embeddings
  - Time-consuming
  - More difficult to troubleshoot

