



CS 228 : Logic in Computer Science

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CNF Explosion

Consider the formula $\varphi = (p_1 \wedge p_2 \cdots \wedge p_n) \vee (q_1 \wedge q_2 \cdots q_m)$

- ▶ What is the equivalent CNF formula?
- ▶ $\bigwedge_{i \in \{1, \dots, n\}, j \in \{1, \dots, m\}} (p_i \vee q_j)$ has mn clauses
- ▶ Distributivity explodes the formula

Tseitin Encoding : The Idea

- ▶ Introducing fresh variables, Tseitin encoding can give an equisatisfiable formula without exponential explosion.
- ▶ $\varphi = p \vee (q \wedge r)$
- ▶ Replace $q \wedge r$ with a fresh variable x and add a clause which asserts that x simulates $q \wedge r$
- ▶ $(p \vee x) \wedge (x \rightarrow (q \wedge r))$ which is $(p \vee x) \wedge (\neg x \vee q) \wedge (\neg x \vee r)$

Tseitin Encoding

- ▶ Assume the input formula is in NNF (all negations attached only to literals) and has only \wedge, \vee
- ▶ Replace each $G_1 \wedge \cdots \wedge G_n$ just below a \vee with a fresh variable p and conjunct $(\neg p \vee G_1) \wedge \cdots \wedge (\neg p \vee G_n)$ (same as $p \rightarrow G_1 \wedge \cdots \wedge G_n$).

Tseitin Encoding

- ▶ $\varphi = (p_1 \wedge p_2 \cdots \wedge p_n) \vee (q_1 \wedge q_2 \cdots \wedge q_m)$
- ▶ Choose fresh variables x, y
- ▶ $\psi = (x \vee y) \wedge \bigwedge_{i \in \{1, \dots, n\}} (\neg x \vee p_i) \wedge \bigwedge_{j \in \{1, \dots, m\}} (\neg y \vee q_j)$ has $m + n + 1$ clauses
- ▶ φ and ψ are equisatisfiable. Prove.

(DPLL) Davis-Putnam-Loveland-Logemann Method

DPLL

- ▶ DPLL combines search and deduction to decide CNF satisfiability
- ▶ Underlies most modern SAT solvers

Partial Assignments

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- ▶ Under a partial assignment α , the **state** of a variable v is true if $\alpha(v) = 1$, false if $\alpha(v) = 0$, and unassigned otherwise.
- ▶ Let $V = \{x, y, z\}$ and let $\alpha(x) = 1, \alpha(y) = 0$. Then the state of x under α is true, state of y is false, and the state of z is unassigned.

State of a Clause

Assume we have a formula in CNF. Under a partial assignment α ,

- ▶ a clause C is true if there exists a literal ℓ in C whose state is true
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State of a Formula

Under a partial assignment α ,

- ▶ A CNF formula F is true if for each $C \in F$, C is true
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- ▶ The state of $F = (x \vee y \vee z) \wedge (x \vee \neg y \vee z)$ is unassigned
- ▶ The state of $F = (x \vee y \vee z) \wedge (x \vee \neg y)$ is false

Unit Clause and Unit Literal

Let C be a clause and α a partial assignment. Then

- ▶ C is a unit clause under α if there is a literal $\ell \in C$ which is unassigned, and the rest are false.
- ▶ Then ℓ is a unit literal under α .

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- ▶ $C = x \vee \neg y \vee \neg z$ is a unit clause and $\neg z$ is a unit literal

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- ▶ $C = x \vee \neg y \vee \neg z \vee w$ is not a unit clause
- ▶ $C = x \vee \neg y$ is not a unit clause

DPLL

DPLL maintains a partial assignment. To begin, it has an empty assignment.

- ▶ Assigns unassigned variables 0 or 1 randomly
- ▶ Forced to assign 0 or 1 to unit literals appropriately

DPLL Actions

- ▶ DPLL has 3 actions : decisions, unit propagation and backtracking
- ▶ An assignment is either a decision assignment or an implied assignment
 - ▶ Decisions : Decide an assignment for a variable (random choice)
 - ▶ Implied assignments or unit propagation : to deal with unit literals
- ▶ Backtrack when in a conflict

DPLL Algorithm States

- ▶ At any time, the state of the algorithm is a pair (F, α) where F is the given CNF and α is a partial assignment
- ▶ A state (F, α) is **successful** if α sets some literal in each clause of F to be true
- ▶ A **conflict** state is one where α sets all literals in some clause of F to be false

DPLL Algorithm States

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 1. deleting from F , any clause containing a true literal under α , and
 2. deleting from each remaining clause, all literals false under α .

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Let $\alpha(x) = 0, \alpha(y) = 1$.

- ▶ For $F = (x \vee y \vee z) \wedge (x \vee \neg y \vee \neg z)$, $F|_{\alpha} = \{\neg z\}$
- ▶ For $F = (x \vee y) \wedge (\neg x \vee \neg y)$, $F|_{\alpha} = \{\}$.
- ▶ For $F = (x \vee \neg y)$, $F|_{\alpha}$ is the empty clause, denoted \perp
- ▶ If (F, α) is successful, then $F|_{\alpha}$ is the **empty set**.
- ▶ If (F, α) is a conflict state, then the **empty clause** is in $F|_{\alpha}$.

The DPLL Algorithm

Input : CNF formula F .

1. Initialise α as the empty assignment
2. While there is a unit clause L in $F|_{\alpha}$, add $L = 1$ to α (unit propagation)
3. If $F|_{\alpha}$ contains no clauses, then stop and output α
4. If $F|_{\alpha}$ contains the empty clause, then apply the learning procedure to add a new clause C to F . If it is the empty clause, output UNSAT. Otherwise, **backtrack** to the highest level at which C is a unit clause, go to line 2.
5. **Decide** on a new assignment $p = b$ to be added to α , goto line 2. p is then called a decision variable.

DPLL Example

$$c_1 = \neg p_1 \vee p_2$$

$$c_2 = \neg p_1 \vee p_3 \vee p_5$$

$$c_3 = \neg p_2 \vee p_4$$

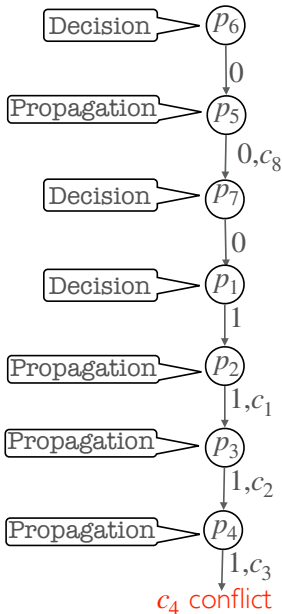
$$c_4 = \neg p_3 \vee \neg p_4$$

$$c_5 = p_1 \vee p_5 \vee \neg p_2$$

$$c_6 = p_2 \vee p_3$$

$$c_7 = p_2 \vee \neg p_3 \vee p_7$$

$$c_8 = p_6 \vee \neg p_5$$



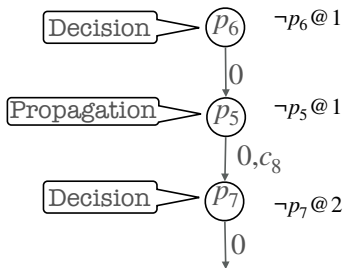
Clause Learning

Run of DPLL

The partial assignment in construction is called a **a run of DPLL**. In the previous slide, the run ended in a conflict.

Decision Level

During a run, the decision level of an assignment $\alpha(p) = b$ is the number of decisions that precede $\alpha(p) = b$. We begin with decision level 1 to avoid terminology of a 0th level decision.



Implication Graphs

During a DPLL run, we maintain a data structure called an implication graph.

Under a partial assignment α , the implication graph $G = (V, E)$,

- ▶ V is the set consisting of the true literals under α , as well as the conflict node
- ▶ E contains all edges $\{(\ell_1, \ell_2) \mid \neg\ell_1, \ell_2 \text{ belong to the same clause and } \alpha(\ell_1) \text{ is involved in forcing unit propagation to make } \ell_2 \text{ true}\}$
- ▶ E also contains edges to a conflict node : in this case, the source node ℓ is such that $\alpha(\ell)$ is responsible for the conflict.

Each node is annotated with the decision level.

$$c_1 = \neg p_1 \vee p_2$$

$$c_2 = \neg p_1 \vee p_3 \vee p_5$$

$$c_3 = \neg p_2 \vee p_4$$

$$c_4 = \neg p_3 \vee \neg p_4$$

$$c_5 = p_1 \vee p_5 \vee \neg p_2$$

$$c_6 = p_2 \vee p_3$$

$$c_7 = p_2 \vee \neg p_3 \vee p_7$$

$$c_8 = p_6 \vee \neg p_5$$

