



# **CS 228 : Logic in Computer Science**

Krishna. S

# Checking Satisfiability

---

- ▶ The SAT problem : Given a formula  $\varphi$ , is it satisfiable?
- ▶ Given a formula  $\varphi$ , is it valid?
- ▶ SAT is NP-complete. NP represents non-deterministic polynomial time.
- ▶ Given a witness, it is easy to check if the witness is a valid witness in polynomial time, Finding a witness is not as easy.
  - ▶ Given a valuation  $\alpha$  for the variables  $p_1, \dots, p_n$  of a formula  $\varphi$ , we can check if  $\varphi$  evaluates to true under  $\alpha$  in time polynomial in  $n$ .
  - ▶ Finding whether such a valuation  $\alpha$  exists is not as easy.
- ▶ SAT solvers are tools which implement heuristics to check satisfiability.
- ▶ The input to a SAT solver is a formula in some specified form.

# Normal Forms

---

- ▶ A **literal** is a propositional variable  $p$  or its negation  $\neg p$ . These are referred to as positive and negative literals respectively.

# Normal Forms

---

- ▶ A **literal** is a propositional variable  $p$  or its negation  $\neg p$ . These are referred to as positive and negative literals respectively.
- ▶ A formula  $F$  is in Conjunctive Normal Form (CNF) if it is a conjunction of a disjunction of literals.

$$F = \bigwedge_{i=1}^n \bigvee_{j=1}^m L_{i,j}$$

each  $L_{i,j}$  is a literal.

# Normal Forms

---

- ▶ A **literal** is a propositional variable  $p$  or its negation  $\neg p$ . These are referred to as positive and negative literals respectively.
- ▶ A formula  $F$  is in Conjunctive Normal Form (CNF) if it is a conjunction of a disjunction of literals.

$$F = \bigwedge_{i=1}^n \bigvee_{j=1}^m L_{i,j}$$

each  $L_{i,j}$  is a literal.

- ▶ A formula  $F$  is in DNF if it is a disjunction of a conjunction of literals.

$$F = \bigvee_{i=1}^n \bigwedge_{j=1}^m L_{i,j}$$

each  $L_{i,j}$  is a literal.

# Normal Forms

---

In the following, equivalent stands for semantically equivalent

Let  $F$  be a formula in CNF and let  $G$  be a formula in DNF. Then  $\neg F$  is equivalent to a formula in DNF and  $\neg G$  is equivalent to a formula in CNF.

# Normal Forms

---

In the following, equivalent stands for semantically equivalent

Let  $F$  be a formula in CNF and let  $G$  be a formula in DNF. Then  $\neg F$  is equivalent to a formula in DNF and  $\neg G$  is equivalent to a formula in CNF.

Every formula  $F$  is equivalent to some formula  $F_1$  in CNF and some formula  $F_2$  in DNF.

# CNF Algorithm

---

Given a formula  $F$ , ( $x \rightarrow [\neg(y \vee z) \wedge \neg(y \rightarrow x)]$ )



# CNF Algorithm

---

Given a formula  $F$ , ( $x \rightarrow [\neg(y \vee z) \wedge \neg(y \rightarrow x)]$ )

- ▶ Replace all subformulae of the form  $F \rightarrow G$  with  $\neg F \vee G$ , and all subformulae of the form  $F \leftrightarrow G$  with  $(\neg F \vee G) \wedge (\neg G \vee F)$ . When there are no more occurrences of  $\rightarrow, \leftrightarrow$ , proceed to the next step.

# CNF Algorithm

---

Given a formula  $F$ , ( $x \rightarrow [\neg(y \vee z) \wedge \neg(y \rightarrow x)]$ )

- ▶ Replace all subformulae of the form  $F \rightarrow G$  with  $\neg F \vee G$ , and all subformulae of the form  $F \leftrightarrow G$  with  $(\neg F \vee G) \wedge (\neg G \vee F)$ . When there are no more occurrences of  $\rightarrow, \leftrightarrow$ , proceed to the next step.
- ▶ Get rid of all double negations, and push all negations inside to the level of literals : Replace all subformulae
  - ▶  $\neg\neg G$  with  $G$ ,
  - ▶  $\neg(G \wedge H)$  with  $\neg G \vee \neg H$
  - ▶  $\neg(G \vee H)$  with  $\neg G \wedge \neg H$

When there are no more such subformulae, proceed to the next step.

# CNF Algorithm

---

Given a formula  $F$ , ( $x \rightarrow [\neg(y \vee z) \wedge \neg(y \rightarrow x)]$ )

- ▶ Replace all subformulae of the form  $F \rightarrow G$  with  $\neg F \vee G$ , and all subformulae of the form  $F \leftrightarrow G$  with  $(\neg F \vee G) \wedge (\neg G \vee F)$ . When there are no more occurrences of  $\rightarrow, \leftrightarrow$ , proceed to the next step.
- ▶ Get rid of all double negations, and push all negations inside to the level of literals : Replace all subformulae
  - ▶  $\neg\neg G$  with  $G$ ,
  - ▶  $\neg(G \wedge H)$  with  $\neg G \vee \neg H$
  - ▶  $\neg(G \vee H)$  with  $\neg G \wedge \neg H$

When there are no more such subformulae, proceed to the next step.

- ▶ Distribute  $\vee$  wherever possible : that is, replace all  $(F \vee (G \wedge H))$  or  $((G \wedge H) \vee F)$  with  $(F \vee G) \wedge (F \vee H)$ .

The resultant formula  $F_1$  is in CNF and is provably equivalent to  $F$ .

$$[(\neg x \vee \neg y) \wedge (\neg x \vee \neg z)] \wedge [(\neg x \vee y) \wedge (\neg x \vee \neg x)]$$

## Polynomial Time Formula Classes

# Horn Formulae

---

- ▶ A **Horn Formula** is a particularly nice kind of CNF formula, which can be **quickly** checked for satisfiability.
- ▶ Programming languages Prolog and Datalog are based on Horn clauses in first order logic

# Horn Formulae

---

- ▶ A **Horn Formula** is a particularly nice kind of CNF formula, which can be **quickly** checked for satisfiability.
- ▶ Programming languages Prolog and Datalog are based on Horn clauses in first order logic
- ▶ A formula  $F$  is a Horn formula if it is in CNF and every disjunction contains at most one positive literal.

# Horn Formulae

---

- ▶ A **Horn Formula** is a particularly nice kind of CNF formula, which can be **quickly** checked for satisfiability.
- ▶ Programming languages Prolog and Datalog are based on Horn clauses in first order logic
- ▶ A formula  $F$  is a Horn formula if it is in CNF and every disjunction contains at most one positive literal.
- ▶  $p \wedge (\neg p \vee \neg q \vee r) \wedge (\neg a \vee \neg b)$  is Horn, but  $a \vee b$  is not Horn.

# Horn Formulae

---

- ▶ A **Horn Formula** is a particularly nice kind of CNF formula, which can be **quickly** checked for satisfiability.
- ▶ Programming languages Prolog and Datalog are based on Horn clauses in first order logic
- ▶ A formula  $F$  is a Horn formula if it is in CNF and every disjunction contains at most one positive literal.
- ▶  $p \wedge (\neg p \vee \neg q \vee r) \wedge (\neg a \vee \neg b)$  is Horn, but  $a \vee b$  is not Horn.
- ▶ A basic Horn formula is one which has no  $\wedge$ . Every Horn formula is a conjunction of basic Horn formulae.



# Horn Formulae

---

- ▶ Three types of basic Horn : no positive literals, no negative literals, have both positive and negative literals.

# Horn Formulae

---

- ▶ Three types of basic Horn : no positive literals, no negative literals, have both positive and negative literals.
- ▶ Basic Horn with both positive and negative literals are written as an implication  $p \wedge q \wedge \cdots \wedge r \rightarrow s$  involving only positive literals.

# Horn Formulae

---

- ▶ Three types of basic Horn : no positive literals, no negative literals, have both positive and negative literals.
- ▶ Basic Horn with both positive and negative literals are written as an implication  $p \wedge q \wedge \cdots \wedge r \rightarrow s$  involving only positive literals.
- ▶ Basic Horn with no negative literals are of the form  $p$  and are written as  $\top \rightarrow p$ .

# Horn Formulae

---

- ▶ Three types of basic Horn : no positive literals, no negative literals, have both positive and negative literals.
- ▶ Basic Horn with both positive and negative literals are written as an implication  $p \wedge q \wedge \cdots \wedge r \rightarrow s$  involving only positive literals.
- ▶ Basic Horn with no negative literals are of the form  $p$  and are written as  $\top \rightarrow p$ .
- ▶ Basic Horn with no positive literals are written as  $p \wedge q \wedge \cdots \wedge r \rightarrow \perp$ .

# Horn Formulae

---

- ▶ Three types of basic Horn : no positive literals, no negative literals, have both positive and negative literals.
- ▶ Basic Horn with both positive and negative literals are written as an implication  $p \wedge q \wedge \cdots \wedge r \rightarrow s$  involving only positive literals.
- ▶ Basic Horn with no negative literals are of the form  $p$  and are written as  $\top \rightarrow p$ .
- ▶ Basic Horn with no positive literals are written as  $p \wedge q \wedge \cdots \wedge r \rightarrow \perp$ .
- ▶ Thus, a Horn formula is written as a conjunction of implications.

# A Decision Problem

---

Horn SAT : The Horn Satisfiability Problem

Given a Horn formula, is it satisfiable?

# A Decision Problem

---

## Horn SAT : The Horn Satisfiability Problem

Given a Horn formula, is it satisfiable?

## The class **P**

An algorithm is polynomial time if there exists a polynomial  $p(x)$  such that given the input size  $n$ , the algorithm terminates with the correct answer in  $\leq p(n)$  steps. The class of all problems which can be solved by a polynomial time algorithm is denoted **P**.

Horn SAT is in **P**

# The Horn Algorithm

---

Given a Horn formula  $H$ ,

- ▶ Mark all occurrences of  $p$ , whenever  $\top \rightarrow p$  is a subformula.



# The Horn Algorithm

---

Given a Horn formula  $H$ ,

- ▶ Mark all occurrences of  $p$ , whenever  $\top \rightarrow p$  is a subformula.
- ▶ If there is a subformula of the form  $(p_1 \wedge \cdots \wedge p_m) \rightarrow q$ , where each  $p_i$  is marked, and  $q$  is not marked, mark  $q$ . Repeat this until there are no subformulae of this form and proceed to the next step.

# The Horn Algorithm

---

Given a Horn formula  $H$ ,

- ▶ Mark all occurrences of  $p$ , whenever  $\top \rightarrow p$  is a subformula.
- ▶ If there is a subformula of the form  $(p_1 \wedge \cdots \wedge p_m) \rightarrow q$ , where each  $p_i$  is marked, and  $q$  is not marked, mark  $q$ . Repeat this until there are no subformulae of this form and proceed to the next step.
- ▶ Consider subformulae of the form  $(p_1 \wedge \cdots \wedge p_m) \rightarrow \perp$ . If there is one such subformula with all  $p_i$  marked, then say **Unsat**, otherwise say **Sat**.

# An Example

---

$$(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$$

# An Example

---

$$(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$$

►  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$

# An Example

---

$$(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$$

- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$
- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$

# An Example

---

$$(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$$

- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$
- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$
- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$

# An Example

---

$$(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$$

- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$
- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$
- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$
- ▶  $(\top \rightarrow A) \wedge (C \rightarrow D) \wedge ((A \wedge B) \rightarrow C) \wedge ((C \wedge D) \rightarrow \perp) \wedge (\top \rightarrow B).$

# The Horn Algorithm

---

The Horn algorithm concludes **Sat** iff  $H$  is satisfiable.



# Complexity of Horn

---

- ▶ Given a Horn formula of length  $n$ , Horn SAT takes at most  $n^2$  steps to conclude.
- ▶ Read once marking all  $\top \rightarrow p$  clauses.
- ▶ Inspect and mark all clauses  $(p_1 \wedge \dots p_j) \rightarrow Q$  (at most  $n$  times)
- ▶ Check one final time

# 2-CNF

---

- ▶ 2-CNF : CNF where each clause has at most 2 literals.

$$(\neg p \vee q) \wedge p \wedge (r \vee \neg q) \wedge (\neg r \vee p)$$

# Discussion on Consistency

---