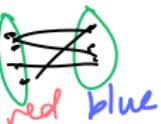


## Chapter 4. Graph Colourings

The main reference for this section is Diestel Graph Theory, Chapter 5.

How many different **radio frequencies** do you need to be able to assign a radio frequency to **each radio station** in such a way that **nearby stations** do not interfere with each other's broadcasts? This question can be answered using **graph colourings**.



A **vertex colouring** (or **colouring**) of a graph  $G = (V, E)$  is a function  $c : V \rightarrow S$  such that  $c(u) \neq c(v)$  whenever  $uv \in E$ .

Here  $S$  is the set of available **colours**, usually  $S = \{1, 2, \dots, k\}$  for some positive integer  $k$ .

A  **$k$ -colouring** of  $G$  is a colouring  $c : V \rightarrow \{1, 2, \dots, k\}$ . Does not need to use all  $k$  colours!

Often we want the **smallest** value of  $k$  for which a  $k$ -colouring of  $G$  exists. This smallest value of  $k$  is called the **chromatic number** of  $G$ , denoted by  $\chi(G)$ . "chi"

If  $\chi(G) = k$  then  $G$  is said to be  **$k$ -chromatic**.

If  $\chi(G) \leq k$  then  $G$  is said to be  **$k$ -colourable**.





The set of all vertices in  $G$  with a given colour under  $c$  is called a **colour class**. Each colour class is an independent set. (Recall, an independent set is a set of vertices which contains no edge of  $G$ .)

So a  $k$ -colouring is a partition of  $V(G)$  into  $k$  independent sets. ←  
For example,  $G$  is 2-colourable if and only if  $G$  is bipartite. ←

The use of colouring terminology arises from the famous Four Colour Theorem for planar graphs: more later.

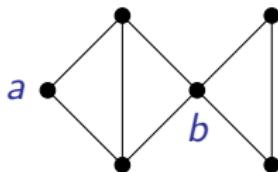
You can check that  $\chi(K_r) = r$ .



A **clique** in a graph  $G$  is a complete subgraph of  $G$ .

The **order** of the largest clique in  $G$  is called the **clique number** of  $G$ , denoted by  $\omega(G)$ .

$$\omega(G) = 3$$
$$\omega(G+ab) = 4$$



Then  $\chi(G) \geq \omega(G)$ .

Recall that  $\alpha(G)$ , the **independence number** of  $G$ , is the number of vertices in a largest independent set in  $G$ .

**Fact:** For any graph  $G$  we have  $\chi(G) \geq n/\alpha(G)$ .

Exercise: see Problem Sheet 4.



An **edge colouring** of  $G$  is a map  $c : E \rightarrow S$  such that  $c(e) \neq c(f)$  whenever  $e$  and  $f$  share an endvertex.

If  $S = \{1, 2, \dots, k\}$  then  $c$  is a  **$k$ -edge-colouring** and  $G$  is  **$k$ -edge-colourable**.

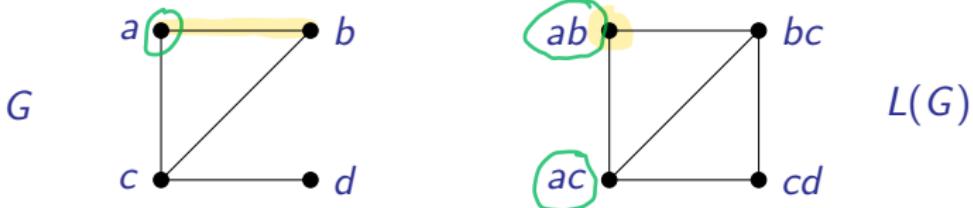
Let  $\chi'(G)$  be the **smallest positive integer  $k$**  for which  $G$  is  **$k$ -edge-colourable**. We call  $\chi'(G)$  the **chromatic index** (or **edge-chromatic number**) of  $G$ .

A **colour class** in an edge colouring is a **matching** of  $G$ . Hence an edge colouring displays  $E(G)$  as a **union of disjoint matchings**.

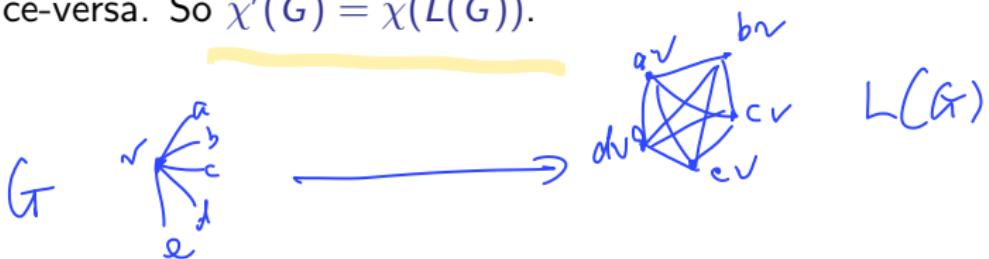
colour class = set of all edges with the same colour

|||||

The **line graph**, denoted  $L(G)$ , has vertex set  $E(G)$  and  $e, f \in E(G)$  form an edge of  $L(G)$  if and only if  $e, f$  share an endvertex in  $G$ .



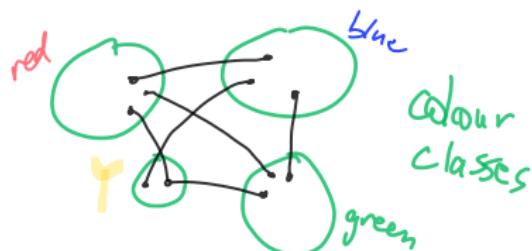
Every edge-colouring of  $G$  is a vertex colouring of  $L(G)$ , and vice-versa. So  $\chi'(G) = \chi(L(G))$ .



## 5.2 Vertex colourings

### Proposition 5.2.1

If graph  $G$  has  $m$  edges then  $\chi(G) \leq \frac{1}{2} + \sqrt{2m + \frac{1}{4}}$ .



Proof. Fix a  $k$ -colouring of  $G$  with  $k = \chi(G)$  colours. Then  $G$  has at least one edge between any two distinct colour classes, or we could merge them to give a colouring of  $G$  with  $\leq k-1$  colours. ["Merge" means colour the union of these two colour classes with a single colour.]

Hence  $m \geq \binom{k}{2} = \frac{1}{2}k(k-1)$ .

Solve for  $k$  to complete the proof



## Greedy algorithm



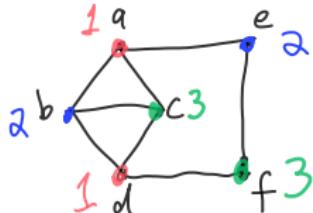
Given a graph  $G$ , fix an ordering  $v_1, v_2, \dots, v_n$  on the vertices of  $G$ , and colour them one by one in this order.

To start with, all vertices are uncoloured. At step  $i$ , where  $i = 1, 2, \dots, n$ , colour vertex  $v_i$  with the first available colour: that is, the least positive integer which has not been used to colour any of the neighbours of  $v_i$  in  $\{v_1, \dots, v_{i-1}\}$ .

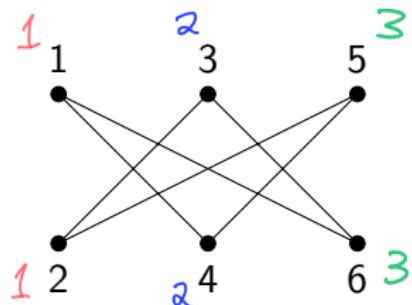
Since  $v_i$  has at most  $\Delta(G)$  neighbours in  $v_1, \dots, v_{i-1}$ , this produces a  $k$ -colouring of  $G$  with  $k \leq \Delta(G) + 1$ . That is,

$$\Delta(G) = 3$$

$$\chi(G) \leq \Delta(G) + 1.$$

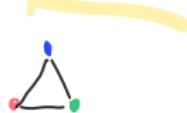


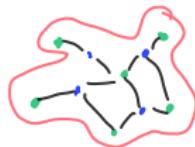
This greedy algorithm can be **wasteful** if your enemy provides a bad **vertex labelling**.



This example can be **extended** to produce a **bipartite graph** for which the greedy algorithm will use  $r$  colours, for any integer  $r \geq 4$ .

**Fact:**  $\chi(G) = \Delta(G) + 1$  if  $G$  is a **complete graph** or an **odd cycle**.  
(See Problem Sheet 4).





### Theorem 5.2.4 (Brooks, 1941)

Let  $G$  be a connected graph. If  $G$  is neither complete nor an odd cycle then  $\chi(G) \leq \Delta(G)$ .

Proof. (Long!! Also, different to Diestel's proof.)

First an observation. Let  $G$  be a graph with maximum degree  $\Delta(G) \leq k$ , where  $\{1, \dots, k\}$  will be our set of colours. Suppose that  $G$  is partially coloured (some vertices are uncoloured, some coloured from  $\{1, \dots, k\}$ , no monochromatic edges). Let  $P = v_1 v_2 \dots v_j$  be a path in  $G$  such that all vertices of  $P$  are uncoloured.



Then we can colour vertices  $v_1, v_2, \dots, v_{j-1}$  in this order, since at the moment that we colour  $v_i$  ( $1 \leq i \leq j-1$ ), we know that  $v_i$  has an uncoloured neighbour  $v_{i+1}$ , and hence at most  $\Delta - 1$  coloured neighbours.

We give a proof  
by Mariusz Zajac  
(2018)

Call this procedure  $\text{PATHCOLOUR}(v_1, v_2, \dots, v_{j-1}; v_j)$

Note that This procedure colours  $v_1, \dots, v_{j-1}$  but it leaves  $v_j$  uncoloured

In particular, if  $j=1$  Then  $\text{PATHCOLOUR}(v_1)$  leaves the graph

Theorem (Restatement of Brooks Theorem) "new version" unchanged.

Let  $k \geq 3$  be an integer and let  $G$  be a graph with  $\Delta(G) \leq k$ .

If  $G$  does not contain  $K_{k+1}$  as a subgraph then  
 $G$  is  $k$ -colourable.

Before proving this new version, we show that it implies

Theorem 5.2.4 (Brooks Th<sup>m</sup>, Original) Assume That "new version" is true

Suppose that  $G$  is a connected graph and take  $\Delta(G) = k > 3$

Hence  $G$  is not an odd cycle as  $\Delta(G) \geq 3$  colours.

Also suppose that  $G$  is not complete; so  $G \neq K_{\Delta(G)+1}$ .

But since  $G$  has maximum degree  $\Delta(G)$ ,

$G$  contains  $K_{\Delta(G)+1}$  } if and only if  $G = K_{\Delta(G)+1}$ .  
as a subgraph }

Therefore  $G$  satisfies the conditions of "new version" with  $k = \Delta(G)$ .

Applying this result, we conclude that  $G$  is  $\Delta(G)$ -colourable.

Therefore  $\chi(G) \leq \Delta(G)$ . Hence we obtain Brook's Th<sup>m</sup> (Th<sup>m</sup> 5.2.4) as a corollary to

"new version".

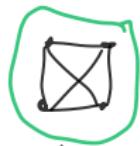
(Also even length cycles are 2-colourable! Trees are 2-colourable!

Unicyclic graphs where the unique cycle has even length are 2-colourable!)

↑  
ie graphs with a  
unique cycle.

⇒ [All bipartite graphs are 2-colourable]

$$\Delta = 3$$



$K_4$

not  
 $G$  complete & max deg 3

Can  $G$  contain  
as a subgraph?

The  $K_4$  would  
have to be a  
component of  $G$

But  $G$  is connected & not complete □

Proof of "new version": Proof by induction on  $n = |G|$ , where  $G$  is a graph with  $\Delta(G) \leq k$  and  $k \geq 3$ .

If  $n \leq k$  then we can  $k$ -colour  $G$  by giving each vertex a distinct colour.

CLAIM: If  $G$  has a vertex of degree  $< k$  (*i.e. G is not  $k$ -regular*) Then  $G$  is  $k$ -colourable.

Proof of claim: Let  $v$  be a vertex of degree  $< k$  and let  $G' = G - v$ . By the inductive hypothesis we can  $k$ -colour  $G'$ . Fix one such colouring  $c$ . Then at most  $k-1$  colours are used by  $c$  on neighbours of  $v$ , so we have an "available" colour which we can use to colour  $v$ . *[not used on a neighbour]*



Now we assume that  $G$  is  $k$ -regular. Let  $v$  be a vertex of  $G$  and consider  $G[v \cup N(v)]$ . Since  $G$  has no subgraph isomorphic to  $K_{k+1}$ , we know that  $v$  has two neighbours  $x, y$  which are not adjacent.



Let  $v_1 = x$ ,  $v_2 = y$ ,  $v_3 = z$

and extend the path  $v_1 v_2 v_3$  to a maximal length path in  $G$

$P = v_1 v_2 v_3 \dots v_r$  which starts  $\leftarrow$

with  $v_1 v_2 v_3$ . Note: all neighbours of  $v_r$  lie on  $P$

Since otherwise we could form a longer path.



Case 1: Suppose that  $r=n$ . This means that all vertices of  $G$  lie on  $P$  (we say that  $P$  is a "Hamilton path")

Let  $v_j$  be any neighbour of  $v_2$  other than  $v_1$  and  $v_3$ :

Since  $G$  is  $k$ -regular and  $k \geq 3$  we can choose such a vertex  $v_j$ .

[Strategy: Colour  $v_1 + v_3$  with the same colour;

Colour everything else somehow but leave  $v_2$  uncoloured;  
finally colour  $v_2$ ].

We now describe how to  $k$ -colour  $G$ .

- First, colour  $v_1$  and  $v_3$  with the same colour

- Next, apply PATHCOLOUR( $v_4, v_5, \dots, v_{j-1}; v_j$ ) which colours  $v_4, \dots, v_{j-1}$  and leaves  $v_j$  uncoloured.

• Next, apply

$\text{PATHCOLOUR}(v_n, v_{n-1}, \dots, v_j; v_2)$

which will colour all remaining vertices of  $G$  except  $v_2$ .

- Finally we have an available colour for  $v_2$  since two of its neighbours ( $v_1$  and  $v_3$ ) have the same colour. Colour  $v_2$  with an available colour.



[all vertices of  $G$  lie on  $P$ ]  
 $r=n$

Case 2 Now suppose that  $r < n$ . Recall that all neighbours of  $v_r$  lie on  $P$ . Let  $v_j$  be the neighbour of  $v_r$  with the smallest index. Then  $C = v_j v_{j+1} \dots v_r v_j$  is a cycle in  $G$ .

Let  $G' = G - v(C)$ . We can  $k$ -colour  $G'$ , by induction.

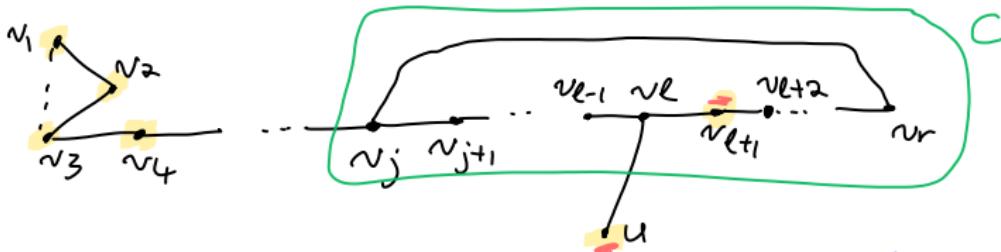
If there is no edge between  $G'$  and  $C$  then we can also  $k$ -colour  $G[v(C)]$ , by induction, and we are done. [This case needs  $j=1$ .]



Otherwise ( $G[V(C)]$  is not a component of  $G$ ):

let  $v_l$  be the vertex on  $C$  with largest index which has a neighbour in  $G^1$ , and let  $u$  be a neighbour of  $v_l$  in  $G^1$ .

Note,  $v_l$  is well defined as  $v_j$  has a neighbour in  $G^1$  if  $j \geq 2$ .



Note,  $l \leq r-1$  since all neighbours of  $v_r$  belong to  $V(C)$ .

Also  $v_{l+1}$  has no neighbours outside  $C$ , by choice of  $v_l$ .

[Strategy: colour  $v_l$  last!] We now describe how to  $k$ -colour

vertices of  $C$ , giving a

- First, colour  $v_{l+1}$

}  $k$ -colouring of  $G$

with the colour assigned to  $u$ .

- Next, apply PATHCOLOUR( $v_{r+1}, \dots, v_r, v_j, v_{j+1}, \dots, v_{l-1}; v_l$ )

which colours all remaining vertices of  $G$  except  $v_l$ .

- Finally, colour  $v_l$  with an available colour, which exists because

...  $v_i$  has two neighbours with the same colour  
Hence in both cases, we created a  $k$ -colouring of  $G$ ,  
so  $G$  is  $k$  colourable.

This completes the proof in case 2, by mathematical induction.  $\square$



Calculating  $\chi(G)$  (or more precisely, determining whether  $\chi(G) \leq k$  for some fixed positive integer  $k$ ) is an NP-complete problem.



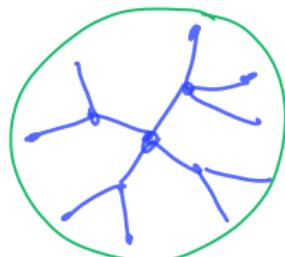
We know that if a graph  $G$  contains a copy of  $K_r$  then  $\chi(G) \geq r$ .

However, having large dense substructures is not a necessary condition for a high chromatic number.

Using the probabilistic method, Erdős proved in 1959 that there exists graphs with arbitrarily high chromatic number and yet arbitrarily high girth.

We will prove this result at the end of this course.

locally tree-like |





### 5.3 Edge colourings

By considering a **vertex of maximum degree**, we see that the chromatic index  $\chi'(G)$  satisfies  $\underline{\chi'(G) \geq \Delta(G)}$  for all graphs  $G$ .

**Proposition 5.3.1** (König, 1916)

If  $G$  is bipartite then  $\chi'(G) = \Delta(G)$ .

Proof. We prove this by induction on  $m = |E(G)|$

If  $m=0$  then the result is trivially true.

So, assume that  $m \geq 1$  and that the result holds for all bipartite graphs with at most  $m-1$  edges.

Let  $\Delta = \Delta(G)$ . Choose  $xy \in E$  and let  $G' = G - xy$ .

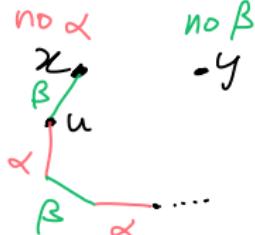
By induction, we can fix a  $\Delta$ -edge-colouring of  $G'$ .

We call edges coloured  $\alpha$ , " $\alpha$ -edges", etc.

In  $G'$ , vertices  $x, y$  both have degree  $\leq \Delta-1$ .

So there are colours  $\alpha, \beta \in \{1, 2, \dots, \Delta\}$  such that  
 $x$  is not incident with an  $\alpha$ -edge, and  
 $y$  is not incident with a  $\beta$ -edge

If  $\alpha = \beta$  then we can colour the edge  
 $xy$  with colour  $\alpha$  to give a  $\Delta$ -edge-colouring  
of  $G$ , and we are done



Now assume that  $\alpha \neq \beta$ .

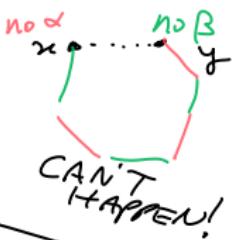
Without loss of generality, we can assume that  
 $x$  is incident with a  $\beta$ -edge  $xu$ . [If not, we could  
colour  $xy$  with colour  $\beta$  in  $G$ .]

Extend the  $\beta$ -edge  $xu$  to a maximal walk  $W$  whose  
edges are coloured  $\alpha, \beta$  alternately. Since no such  
walk can contain a vertex twice,  $W$  is a path.

CLAIM:  $W$  does not contain  $y$ .

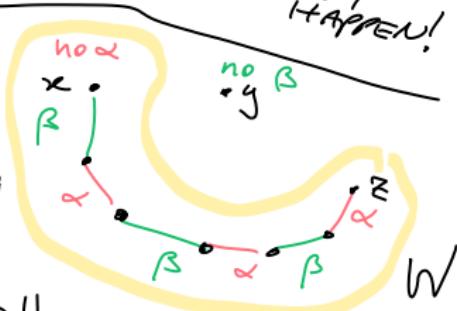
Proof of claim: For a contradiction, suppose that  $y$  lies on  $W$ .  
Then  $y$  must be an endvertex of  $W$ , and the edge of  $W$   
incident with  $y$  must be an  $\alpha$ -edge

Hence  $W$  has even length, and so  
 $W+xy$  is an odd cycle in the  
bipartite graph  $G$ . This is a contradiction!



By maximality of  $W$ , we can swap  
the colours  $\alpha$  and  $\beta$  on all edges  
of  $W$ . This gives a new  $\Delta$ -edge-colouring  
of  $G'$  such that  $\beta$  does not appear  
on any edge incident with  $x$ .

Since  $y$  does not lie on  $W$ , there is still  
no  $\beta$ -edge incident with  $y$ .



Finally we can colour edge  $xy$  with colour  $\beta$  in  $G$ ,  
giving a  $\Delta$ -edge-colouring of  $G$

This completes the proof, by induction  $\square$







### Theorem 5.3.2 (Vizing, 1964)

Every graph  $G$  satisfies

$$\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1.$$

We omit the proof: it uses alternating path arguments very similar to proofs we've already seen.

#### Fact.

It is an NP-complete problem to decide whether an input graph  $G$  has  $\chi'(G) = \Delta$  ( $G$  is “class 1”) or  $\chi'(G) = \Delta + 1$  ( $G$  is “class 2”).

[End of Chapter 4. Try Problem Sheet 4.]