

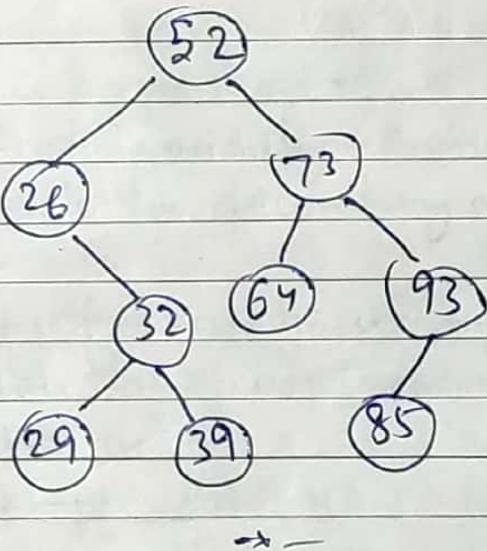
#

BINARY SEARCH TREE

Defn binary search tree is a binary tree in which each node is associated with a key, at each node of the tree the following properties are true:-

- the keys of the nodes in the left subtree are all less than the value of the key
- the keys of the nodes in the right subtree are all greater than the value of the key.

Ex:-



If we're given a subtree, what do we mean by giving a subtree is that we're given the pointer to the root node; then find the ~~longest~~ largest & the smallest no. respectively.

The claim is that if we go on moving to the right child of each node until we reach a node which does not have a right child, then that node will contain the largest value

Similarly, for smallest we'll go on moving to the left child.

Ex:- in the previous BST
to find find largest

$$52 \rightarrow 73 \rightarrow 93$$

93

does not have a right child

93 is the largest value in BST
to find smallest

$$52 \rightarrow 26 \rightarrow$$

26 does not have a left child

26 is the smallest value in BST

⇒ Operations

'h' is height of the tree

1.) Search(x) → [O(h)]

what 'search' will return depends on your need.
It can return a simple boolean value or a pointer
to that node.

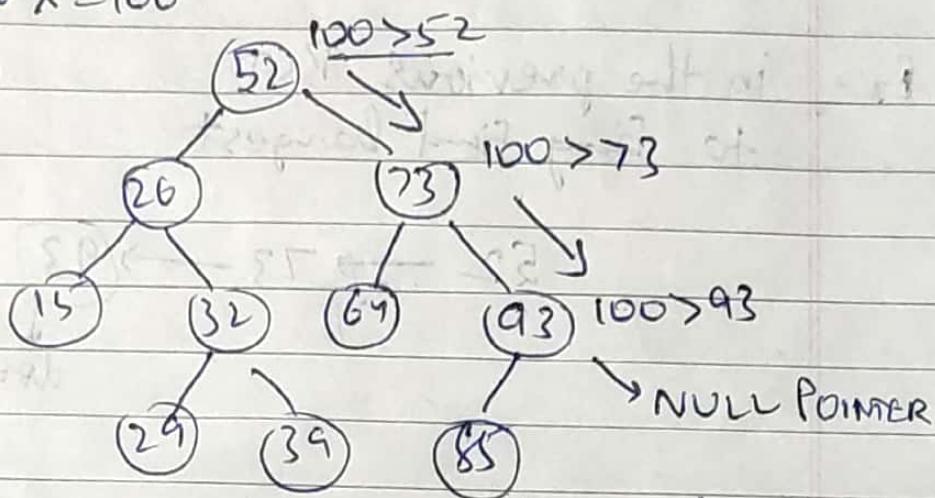
Now, the way a BST is formed, if ~~the~~ X is
greater than the key of a particular node then
Search in the right subtree of the ^{node} key ; else search
in the left subtree of the ^{node} key .

i.e. if $x < \text{node} \rightarrow \text{key} < \text{keys of right subtree}$
else $x > \text{node} \rightarrow \text{key} > \text{keys of left subtree}$

This way of search will lead you to a NULL pointer if x doesn't exist in the BST.

Ex:- Suppose ~~x~~ $x = 100$

2.) INSERT(x)



Do 8. Inorder traversal of SP $\rightarrow 100$ doesn't exist

2.) INSERT(x) $\rightarrow [O(h)]$

will search for x , until you find a NULL ptr. & insert x at that node

For ex:- in prev. ex. 100 will be inserted as the right child of 93.

3.) DELETE(x) $\rightarrow [O(h)]$

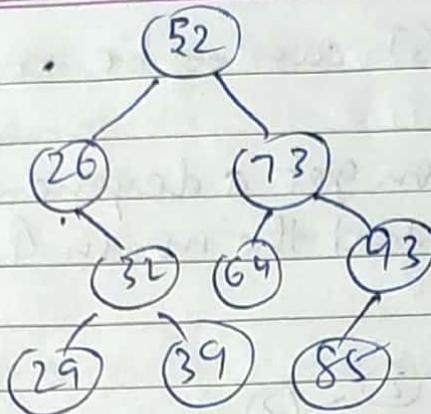
Case 0 :- x is in the leaf node $\rightarrow O(1)$

\rightarrow Just delete it.

Case 1 :- x is in a node, which has a single child $\rightarrow O(1)$

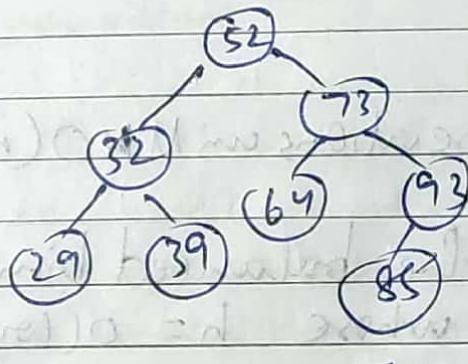
\rightarrow Replace that node with its child.

Qn:-



Delete(26)

\because 26 has one child '39', replace it with its child.



Case 2:- x is in a node, with 2 children $\rightarrow O(h)$

Some definitions to look upon -

a.) inorder predecessor of y is defined as the greatest no. smaller than y . That is the greatest no. in the left subtree of y .

b.) inorder successor of y is defined as the smallest no. greater than y . That is the smallest no. in the right subtree of y .

To delete x , find its (inorder predecessor); replace x with it & then delete the inorder predecessor

If at all the inorder predecessor has a child, it will be a left child.

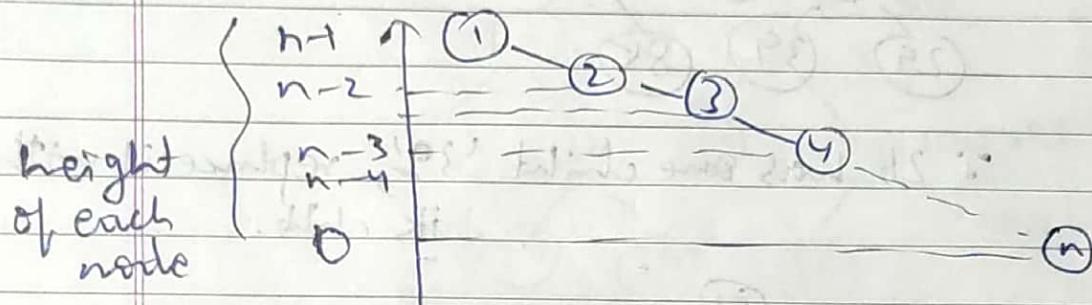
$n \rightarrow$ total no. of nodes

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Height of a BST can be ~~at most~~ at most $n-1$

For ex: ~~how~~ you get a degenerate tree
~~(100-10)~~ if you insert the no. in ~~1~~ing order



Hence, the operations will be $O(n)$.

Here comes the balanced binary search tree (BBST), whose $h = O(\log n)$

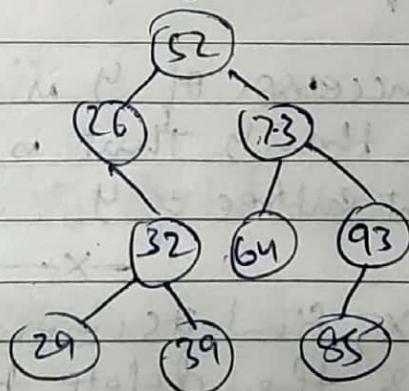
AVL is an example of BBST.



Inorder traversal of BST

Q) What is an inorder traversal?

Ans: You first visit the left subtree, then you visit the node & then you visit the right subtree



Inorder traversal gives $\rightarrow 26, 29, 32, 39, 52, 64, 73, 85, 93$

By observing, we see that it's a sorted sequence!

And Inorder traversal takes linear time

→ Given a BST, we can get the sorted sequence in linear time.

Q.) Given a sequence of keys, can we build a BST in linear time?

Ans? → NO Build BST + Inorder traversal = Sorting

→ If we can build BST in linear time, we can sort in linear time, which is not possible.

* BST vs Binary heap

Building a binary heap by calling insert/add n time took $\mathcal{O}(n \log n)$ time, but we can build heap in linear time.

Any algo to build a binary search tree will take $\mathcal{O}(n \log n)$ time due to above analysis

Q.) Given a heap can we build the BST in linear time?

Ans → NO Build bin heap + convert to BST + Inorder = Sorting traversal

If we can convert a bin heap into BST in linear time, we can sort in linear time.

That is BSTs are more complicated & powerful data structure than binary heaps.



BST vs hashing

Search, Insert and delete

hashing: $O(1)$ but in worst case it will take linear time

BBST: $O(\log n)$ even in worst case

Moreover, some operations like Rank(x), can be done in $O(\log n)$ using BBST, but hashing takes linear time

∴ if you want to do only search, insert and delete then you might prefer hashing over BBST.

However, if operations like Rank are also there, then BBST should be your choice

$$\text{Rank}(x) = (\text{no. of no. greater than } x) + 1$$

→ struct bst

{

long long int key;
struct bst *left, *right;

}

→ BST without parent pointer

BST with parent pointer

→ struct bst

{

long long int key;

struct bst *left, *right, *parent;

}

I

Implementation of BST with parent pointer

1.) ~~search(x)~~ Search

~~struct BST *search(x)~~

struct BST *search(struct BST *node, long long int x)

{

while(node)

{

if ($x == \text{node} \rightarrow \text{key}$) return node;

else if ($x < \text{node} \rightarrow \text{key}$) node = node \rightarrow left;

else node = node \rightarrow right;

}

return NULL;

}

Ex

struct BST* newNode(long long int key)

{

struct BST *temp (struct BST*) ~~malloc(sizeof(struct BST))~~

temp \rightarrow key = key;

temp \rightarrow left = temp \rightarrow right = temp \rightarrow parent = NULL;

} return temp;

2) Insert(x)

→ we have to insert key

void insert (struct BST **node, long long int key)

{ if (!*node)

*node = new Node(key);

else

{

bool flag = true; struct BST *temp = *node;

while (flag)

{

if (key < temp->key)

if (temp->left) temp = temp->left;

else

{

temp->left = new Node(key);

temp->left->parent = temp;

flag = false;

}

else if (key > temp->key)

if (temp->right) temp = temp->right;

else

{

temp->right = new Node(key);

temp->right->parent = temp;

flag = false; }

if key already
exists in the
tree

else

flag = false; }

3.) Delete (X)

```
→ void Delete(struct BST **root, long long int X)
{
    struct BST *node = *root;
    while(node)
    {
        if (X == node->key) DeleteNode(&*root, node);
        else if (X < node->key) node = node->left;
        else node = node->right;
    }
}
```

⇒ DeleteNode (node)

```
→ void DeleteNode(struct BST **root, struct BST *node)
{
    if (node->left && node->right) → if the node
    { has 2 children
        struct BST *temp = node->left;
        while (temp->right) temp = temp->right;
        node->key = temp->key;
        node = temp; } → the right
    child may
    or may not be
    there

    struct BST *child, *p = node->parent;
    if (node->left) child = node->left;
    else child = node->right; → handles the
    if (*root == node) *root = child; → case when node is
    if (child) child->parent = p; be deleted as root
    if (p) { if (p->left == node) p->left = child;
    else p->right = child; } free(node); → node
    } }
```

replaces
the key
of
root node
with its
predecessor

handles
the deletion
of nodes
with atmost
one
child

II

BST implementation without parent pointer

- 1.) Search(x) → will exactly be the same as before

For Insert(x) & Delete(x), we'll write recursive functions

- 2.) Insert(x)

```
struct BST* insert(struct BST *node, long long int key)
{
```

```
    if(!node) return newNode(key);
```

```
    if(key < node->key)
```

```
        node->left = insert(node->left, key);
```

```
    else if(key > node->key)
```

```
        node->right = insert(node->right, key);
```

```
    return node;
```

```
}
```

~~if(node->left & & node->right){~~
 ~~struct BST *temp = node->left;~~
 ~~while(temp->right) temp = temp->right;~~
 ~~node->key = temp->key;~~
 ~~node->left = delete(node->left, temp->key); }~~

~~else~~

~~{ struct BST *child;~~
 ~~if(!node->left) child = node->right~~

3.) Delete(x)

```

struct BST* Delete(struct BST *node, long long int x)
{
    if (!node) return node;

    if (node->key > x) {
        node->left = Delete(node->left, x);
        return node;
    }
    else if (node->key < x) {
        node->right = Delete(node->right, x);
        return node;
    }

    if (node->left && node->right) {
        struct BST *temp = node->left;
        while (temp->right) temp = temp->right;
        node->key = temp->key;
        node->left = Delete(node->left, temp->key);
    }
}

if the node
does not have
a left child
else {
    struct BST *child;
    if (!node->left) child = node->right;
    else child = node->left;
    free(node);
    return child;
}
  
```

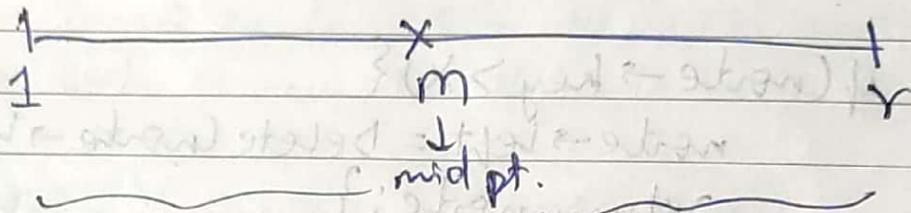
it may or may
not have a
right child



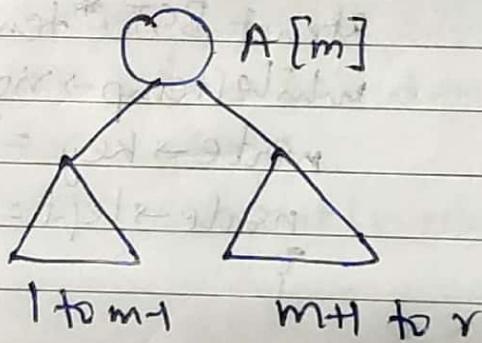
Build a BST

important

Given a sorted sequence in tiny order, build a BST



less than $A[m]$ will go in left subtree
greater than $A[m]$ will go in right subtree



As can be easily observed, we'll need a recursive fn to ~~do~~ build the tree.

~~struct BST*~~

→ CreateBBST (long long int A[], long long int l, long long int r)

{

struct BST *node = NULL;

if ($l \leq r$) {

long long int m = $(l + r) / 2$;

node = (struct BST *)malloc (sizeof (struct BST));

node → key = A[m];

node → left = CreateBBST (A, l, m-1);

node → right = CreateBBST (A, m+1, r);

if (node → right) node → right → parent = node;

if (node → left) node → left → parent = node;

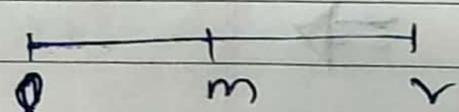
}

return node;

}

→ -

Complexity of above fn :-



★

$$T(n) = O(1) + T(n/2) + T(n/2)$$

$$= O(1) + 2T(n/2)$$

$$= O(n) \text{ (Master's Theo.)}$$

→ -

Height of BBST thus formed

$$\begin{aligned} \star H(n) &= 1 + \max\{H(n/2), H(n/2)\}; \\ &= 1 + H(n/2) \\ &= O(\log n) \end{aligned}$$

- Given a sorted sequence of keys, a BBST can be built in linear time.
- Given a ~~non sorted~~ sequence of keys, a BBST can be built in $O(n \log n)$

* Predecessor & Successor $\rightarrow O(H)$; H is height of the tree

Predecessor(X) = largest no. smaller than X

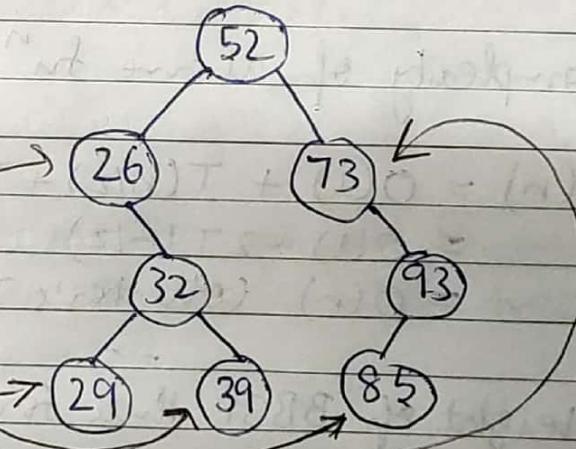
Successor(X) = smallest no. larger than X

Suppose you are given a node 'N' such that
 $N \rightarrow \text{key} = X$ then

Predecessor(N) = node which contains predecessor of X

Successor(N) = node which contains successor of X

→



If there exists a left subtree for a node then we already know how to find its predecessor. However, if the node doesn't have a ~~subtree~~ then:-

Case - 1 :- it has a predecessor

Case - 2 :- it does not have a predecessor

when you have the parent pointer

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For cases, when nodes do not have a left subtree :-

go to the parent of the node & check whether the node is a right child or not.

If yes then the parent is the predecessor.

If not then do the same thing with the parent & so on until you find one.

For ex:-

1.) find predecessor of 39

39 is right child of its parent (32)

\Rightarrow 32 is its predecessor

Similarly 52 is predecessor of 73

2.) find predecessor of 29

29 is the left child of 32.

Now we check for the parent

32 is the right child of 26

\Rightarrow 26 is predecessor of 29

Similarly 73 is predecessor of 85.

3.) Find predecessor of 26

26 is left child of 52

Now, we check for the parent.

52 is none's right child. In fact 52 is root

\Rightarrow 26 does not have a predecessor

* Predecessor(node) → BST with parent pointer

struct BST* Predecessor(struct BST *node)

{

if (!node) return node;

if (node → left)

{

node = node → left;

while (node → right) node = node → right;

return node;

}

else {

while (node → parent)

{

if (node → parent → right == node)

return node → parent;

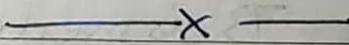
else

node = node → parent; }

return node → parent;

PS } ←

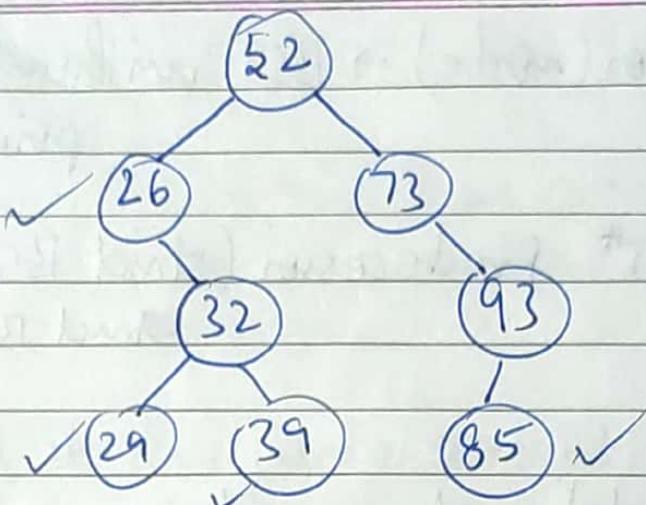
}



Now, we want to see find the predecessor when parent pointer is not given.

→ for nodes which do not have a left subtree: →

Start from the root node and search the given node, keeping in mind to take care of when you go right.



For 85, we start from 52

at 52 we turned right & reach 73

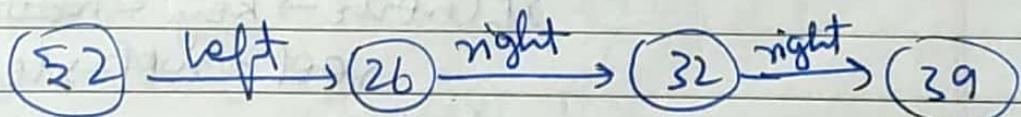
at 73 " again turn right & reach 93

at 93 " turn left & reach 85

∴ the last time we turned right while searching for 85 was at 73

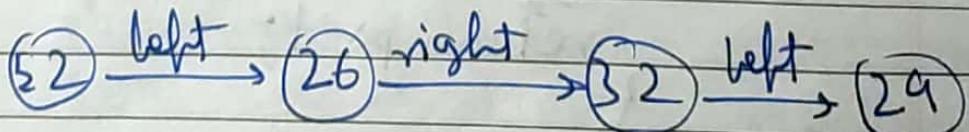
⇒ 73 is predecessor of 85.

Similarly for 39



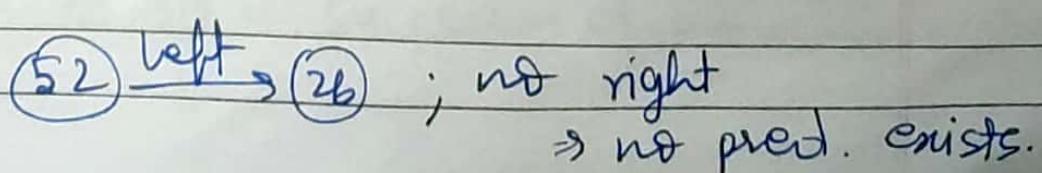
last right was at 32 ⇒ 32 is the pred.

for 29



last right was at 26 ⇒ 26 is the pred.

for 26



(*) Predecessor(node) → BST without parent pointer

→ struct BST* Predecessor (struct BST *node,
 struct BST *root)

{

 if (!node) return node;

 if (node → left)

 { node = node → left; }

 while (node → right) node = node → right;

 return node; }

 else {

 struct BST *pred = NULL;

 while (node != root) {

 if (node → key < ^{root}root → key)

 root = root → left;

 else {

 pred = root;

 root = root → right;

 } }

~~return pred;~~

}

}

\Rightarrow Successor (~~BST~~ BST with parent pointer)

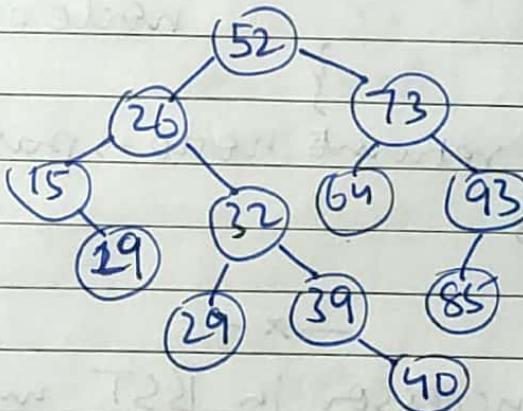
Case A :- the node has a right subtree.
Find the smallest no. in the right subtree.

Case B :- the node doesn't have a right subtree

Go to the parent of the node & check whether the node is left child or not.

If yes then the parent node is the successor.
If not then do the same thing with the parent.
& so on until you find one

Bn:-



Find successor of 40 :-

40 is right child of 39
we go to its parent

39 is right child of 32, go to the parent of 39

32 is right child of 26, go to the parent of 32

26 is left child of 52

\Rightarrow 40 is successor of 52

BST with parent pointer

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→ struct BST* Successor(struct BST *node)

{

if (!node) return node;

if (node → right)

{

node = node → right;

while (node → left) node = node → left;
return node;

}

else {

while (node → parent) {

if (node → parent → left == node)

return node → parent

else

node = node → parent

}

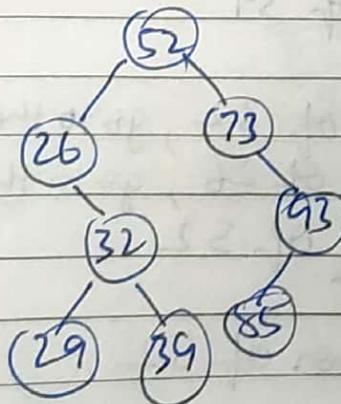
return node → parent

}

}

—x—

(*) finding successor in BST without parent pointer.



Ex:- find successor of 85
Start from root node:-

(52) right → (73) right → (93) left → (85)

last left at 93

⇒ 93 is successor of 85

WITHOUT parent
ptr.

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→ struct BST* Successor(struct BST *node, struct BST *root)

{

if (!node) return node;

if (node → right) {

node = node → right;

while (node → left) node = node → left;

return node; }

else {

struct BST *succ = NULL;

while (node != root)

{

if (node → key < root → key)

{

succ = root;

root = root → left;

}

else

root = root → right;

}

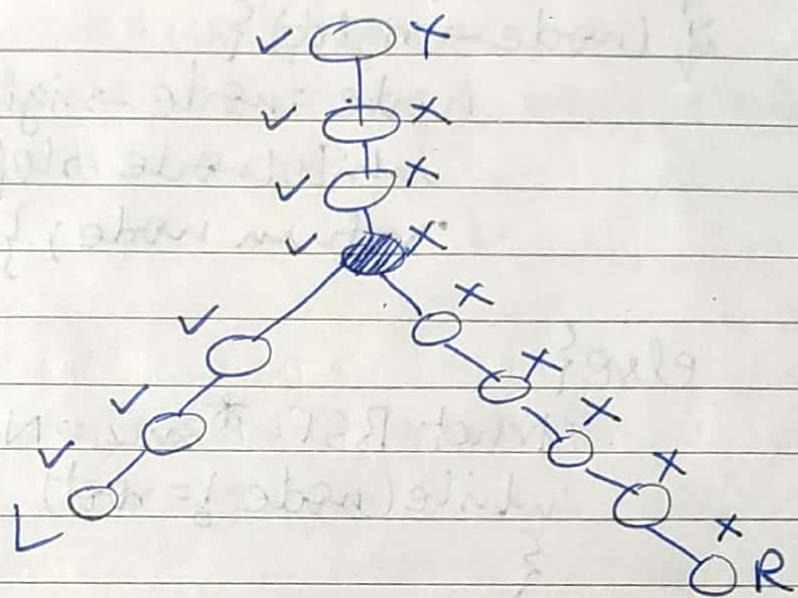
} return succ;

}

}

(#) Least Common Ancestor (LCA) $\rightarrow O(H)$

$LCA(L, R)$ is the node of min. height which is ancestor of both L & R

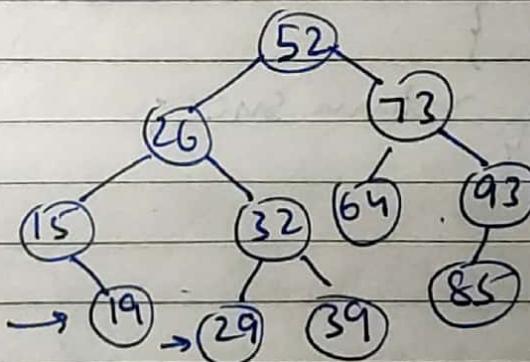


(✓) nodes are all ancestors of L
(✗) nodes " " R

(✓) & (✗) nodes are common ancestors of L & R

(✓) & (✗) & (shaded) node is LCA of L & R.

Ex:-



ancestors of 19 are 15, 26 & 52

" " 29 " 32, 26 & 52

common " " 19 & 29 are 26 & 52
LCA of 19 & 29 is 26

of L & R

That is LCA is the root of the smallest subtree containing L & R.

To find LCA,

we find the node at which L & R get separated.

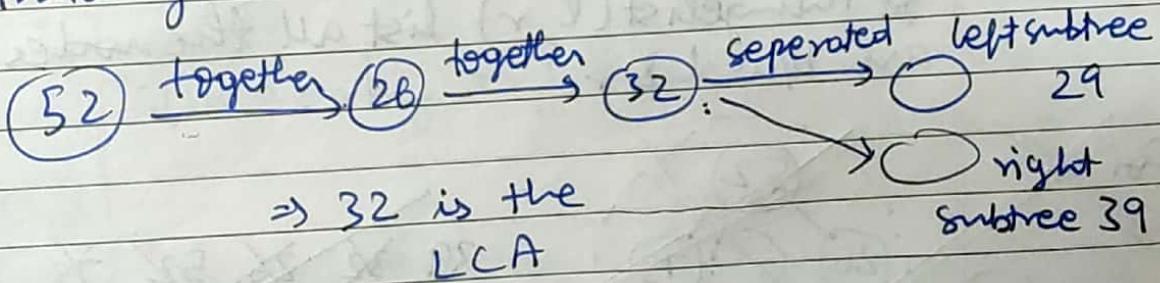
For ex:- we start at root node \rightarrow 52 & we need to find LCA of 19 & 29.

both 19 & 29 lies on left subtree of 52.
then we come to 26

19 lies on left subtree of 26 while
29 lies " right " of 26

i.e. 19 & 29 get separated
 \Rightarrow 26 is the LCA

Similarly for 29 & 39



\Rightarrow 32 is the LCA

k = no. of numbers in the subtree
b/w l & r

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\rightarrow struct BST* LCA(struct BST *node,
 struct BST *l, struct BST *r)

5

if (!node || l->key > r->key)
return NULL;

if ($\text{node} \rightarrow \text{key} > r \rightarrow \text{key}$)
 return LCA($\text{node} \rightarrow \text{left}, l, r$);

if ($\text{node} \rightarrow \text{key} < \ell \rightarrow \text{key}$)
return LCA($\text{node} \rightarrow \text{right}, \ell, r$);

return node;

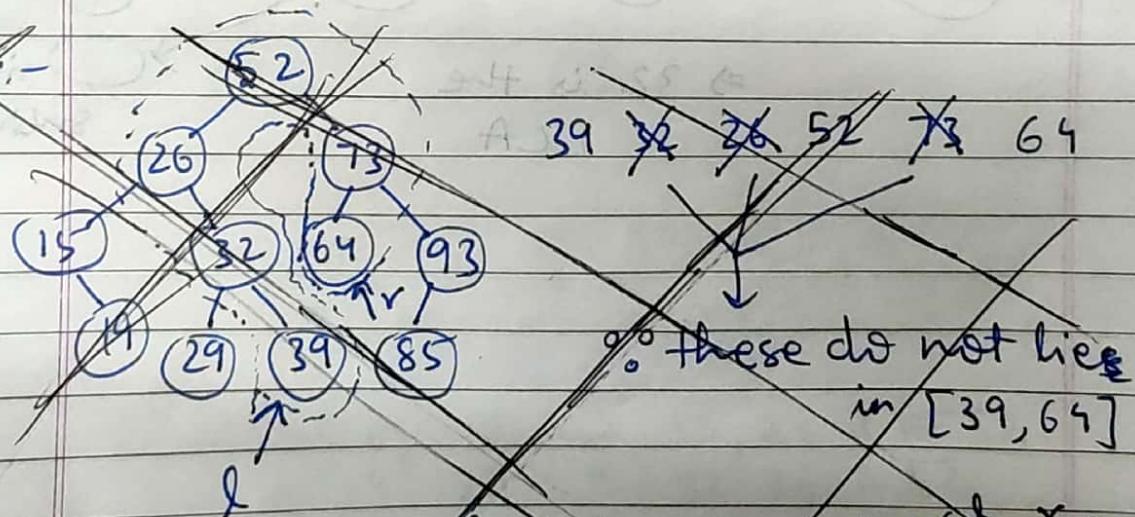
}

14

Rangelist $\rightarrow O(H+k)$

~~#~~ RangeList(l, r) list all the nodes which are b/w l & r.

~~For ex:-~~



~~box key~~ F 59
~~box key~~ F 64

~~so so, here Evangelist (主) (首)
returns [39, 52, 64].~~

~~Rangelist will actually return a linked list whose each node will contain the pointer to the nodes which lie in smallest subtree containing l & r such that the key value of all the nodes lie in $[l \rightarrow key, r \rightarrow key]$~~

→ Struct List

{

struct BST *node;
struct List *next;

};

→ void Rangelist(struct BST *node, long long int l, long long int r, struct List **list)

{

if (node){

 if (node->key > r) Rangelist(node->left, l, r, &*list);

fixing root
the smallest
subtree contain-
ing l & r

else if (node->key < l)

 Rangelist (node->right, l, r, &*list);

else {

 Rangelist (node->right, l, r, &*list);

 struct List *temp = (struct List*)malloc
(sizeof(struct List));

 temp->node = node;

 temp->next = *list;

 *list = temp;

 Rangelist (node->left, l, r, &*list); } } }

visiting right
subtree

adding each
node to the beginning
of the list

visiting left subtree



Rangecount $\rightarrow O(H+k)$

Rangecount(l, r) counts the no. of numbers b/w $l \& r$.

\rightarrow long long int Rangecount (struct BST *node,
long long int l,
long long int r)

{

if ($!node$ or $l > r$) return 0;

if ($node \rightarrow key > r$)
return Rangecount($node \rightarrow left, l, r$);

return 1 + Rangecount($node \rightarrow left, l, r$)
+ Rangecount($node \rightarrow right, l, r$);

}