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## Introduction to graphs

Def :- In this course we'll study about finite graphs.

Graph is defined as a set  $G$ , which is itself a set of set  $V$  and set  $E$ .

i.e.  $G(V, E)$  is called a graph.

where  $V$  is any finite set, which is why we call it finite graph.

&  $E$  is any subset of  $(V \times V)$ ; i.e.  $E$  is relation on  $V$ .

As we already know that

$$V \times V = \{(a, b) \mid a, b \in V\}$$

$\therefore V$  is a finite set, hence we can assume that it consists of  $n$  elements. These elements are known as the nodes or vertices of the graph.

Without loss of generality we may assume

$$V = \{0, 1, 2, \dots, n-1\}$$

It is so b/c even if  $V$  is collection of some other  $n$  numbers, then we know that there is a bijection b/w 2 sets with same cardinality.

So, then  $\phi(0), \phi(1), \dots, \phi(n-1)$

will give us the set of nodes in the graph

$n$  = cardinality of  $V$   
 $m$  = cardinality of  $E$



The elements of  $E$  are known as edges of the graph.

Let  $m$  denote the no. of edges in the graph.

As can be observed  $0 \leq m \leq n^2$ .

When  $(i, j) = e$  is an edge in the graph.

Then we say that  $i$  &  $j$  are adjacent nodes.

a.)  $i$  &  $j$  are adjacent nodes.

b.)  $i$  is adjacent to  $j$ .

c.)  $i$  &  $j$  are neighbors.

d.)  $i$  &  $j$  are incident on edge  $e$ .

The other way to represent the graph is pictorially.

We plot  $V$  all  $i$ 's &  $j$ 's.  $V = V \times V$

each  $(i \& j)$  is connected by an arrow, tail of

arrow being at  $i$ , while head of

$(i_1, j_1), (i_2, j_2), (i_3, j_3)$

For ex:-  $E = \{(0,1), (0,2), (1,2), (1,3), (2,4), (4,1), (4,3)\}$

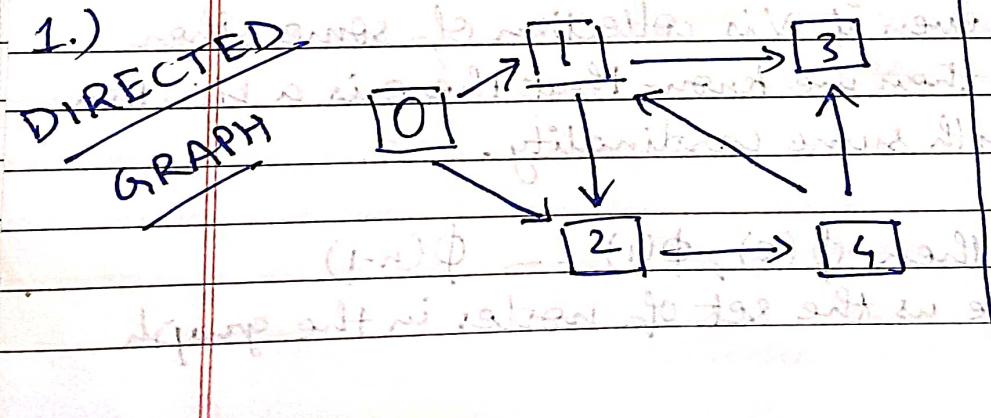
$$n = 5 \text{ & } m = 7$$

The edge relation is not symmetric.

$(a, b)$  is an edge does not mean

$(b, a)$  is an edge.

i.e. direction of the arrow matters



Real

life Examples of such graph can be visualised as:-

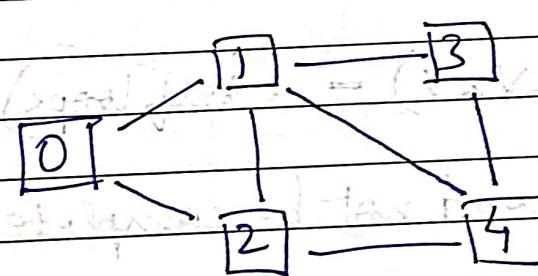
- i) each ~~node~~ node is a place say A, B --- & there is one way traffic defined b/w each place
- ii) relationships b/w persons:- one way relationships such as I know the PM of India but PM of India does not know me.

## 2.) UNDIRECTED GRAPHS

$$E = \{(0,1), (0,2), (1,2), (1,3), (2,4), (4,1), (4,3)\}$$

$n = 5$  &  $m = 7$

The edge relation is symmetric.  $(a, b)$  is an edge means that  $(b, a)$  is an edge.  
 $\Rightarrow$  NO arrows needed.



Real life example:-

facebook friends means if 'a' is a friend of 'b'. It implies 'b' is a friend of 'a'.

## Simple graphs

We'll study simple graphs i.e. graphs without self loops.  
 i.e.  $(a, a)$  is not an edge.

$\therefore$  In :-  
 1.) undirected graphs:  $0 \leq m \leq n(n-1)$

2.) directed graphs:  $0 \leq m \leq n(n-1)$

The ranges given here are CORRECT.

Graphs whose  $m$  are  $\Theta(n^2)$  are called as dense graphs.

~~Complete Graph~~: ~~An undirected graph~~

Graphs for which:

$$E = (V \times V) - \text{(self loops)}$$

$\therefore$  dense graphs need not be complete.

Graphs with  $m$  as  $\Theta(n)$  are called sparse graphs.



## Weighted graph

$(W: E \rightarrow R)$

Graphs whose edges are correspondingly associated with a weight (weighted edges).

Real life example

If all the nodes correspond to some customer or a bank and the weight is defined as the amount of money deposited by a customer.

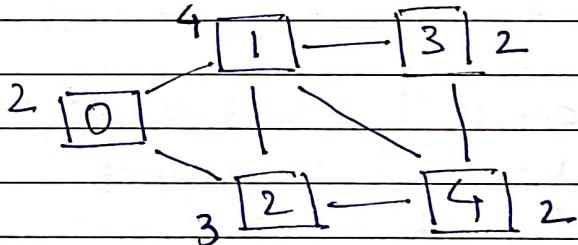
Then the weight becomes positive for that (customer, bank) edge when the customer deposits money and negative when the customer withdraws money or either takes a loan.

Hence, weight can be NEGATIVE.



Degree of a node  $\rightarrow$  The no. of edges incident on the node.

$\Rightarrow$  Undirected Graphs

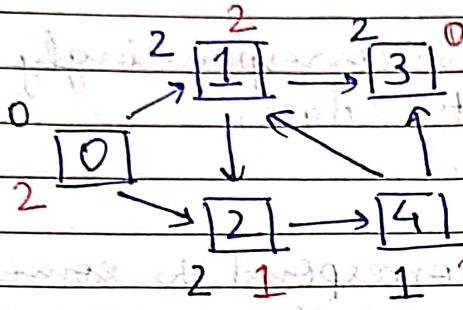


The no. written beside each node is its degree.

Observe -

$$\rightarrow (\text{Sum of degree of nodes in the graph}) = 2 \times (\text{no. of edges in the graph})$$

⇒ Directed Graphs



Incoming

In degree

Out degree

→ In-degree of a node is the number of incoming edges to the node.

→ Out-degree of a node is the number of outgoing edges to the node.

Observe that all nodes follow same path

∴ sum of in-degree nodes = sum of out-degree nodes = m

no. of edges



## Data Structure to store graphs

We'll mainly discuss the following types of data structures:-

- Adjacency matrix representation
- Adjacency list representation.
- Edge list representation

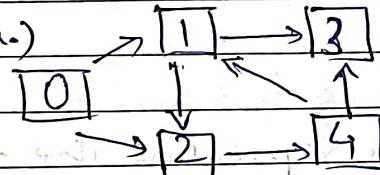
### 1) Adjacency matrix

Assuming  $n$  number of vertices in the graph, we'll take  $(n \times n)$  matrix i.e.  $A[n][n]$

This will be a boolean matrix.

$A[i][j] = \text{true iff } (i, j) \text{ is an edge in the graph.}$

Ex:- a)



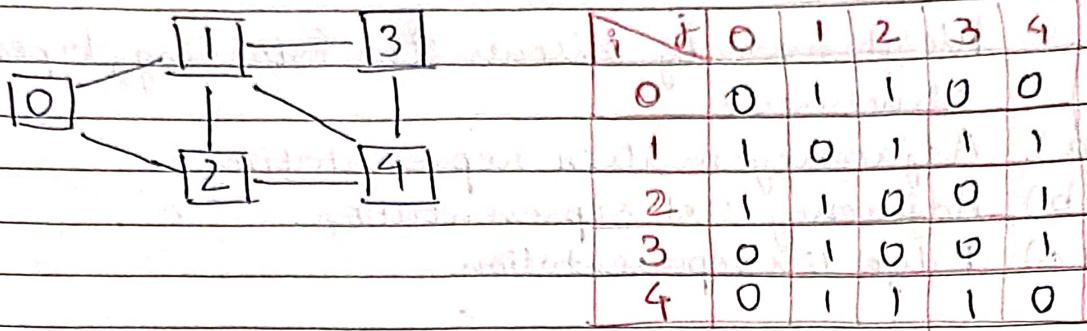
<del>i</del>	0	1	2	3	4
0	0	1	1	0	0
1	0	0	1	1	0
2	0	0	0	0	1
3	0	0	0	0	0
4	0	1	0	1	0

~~DIRECTED GRAPH~~

\*  $\therefore$  we'll deal with simple ~~undirected~~ directed & undirected graphs  $\Rightarrow (i, i)$  will not be an edge.

$\Rightarrow$  The diagonal entries will be zero.

## b.) Undirected Graphs



Observe that in undirected graphs the adjacency matrix is a symmetric matrix.

The disadvantage with this kind of data structure is that it needs  $\Theta(n^2)$  space memory even though

$\Rightarrow$  Real life example

Assuming that the vertices represent the facebook users  $\Rightarrow n \approx 10^9 \Rightarrow$  space needed  $\approx 10^{18}$  even a super computer won't have that much memory. However, most of the entries will be 0 b/c you won't know most of the facebook

Hence, when the graph is dense this may be one of the ways of representing but when the graph is sparse this is not an efficient way of representing it.

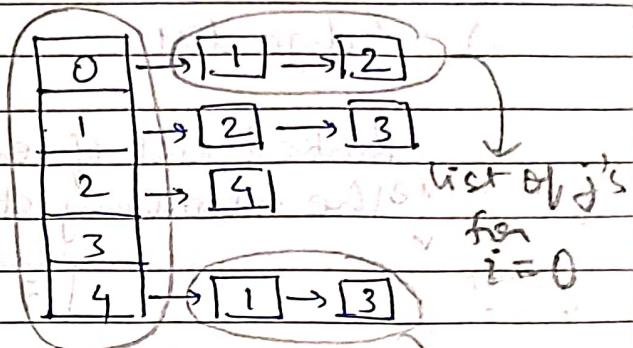
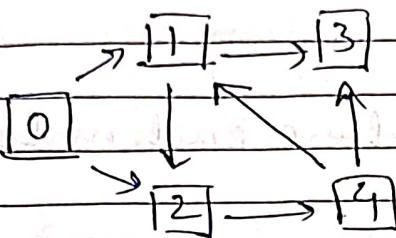
$\rightarrow$  For weighted graph, instead of storing the boolean value, we'll store the corresponding weight of the edge.

## 2.) Adjacency list

In order to overcome the problem mentioned in the prev. pg we have adjacency list representation.

a.)

DIRECTED  
GRAPH

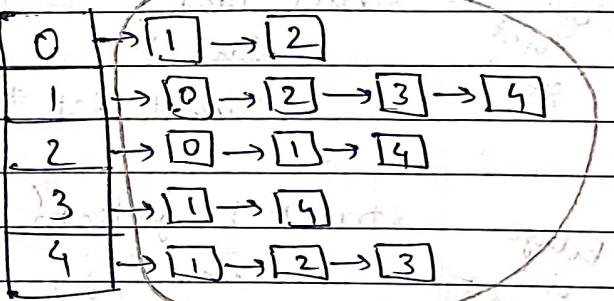
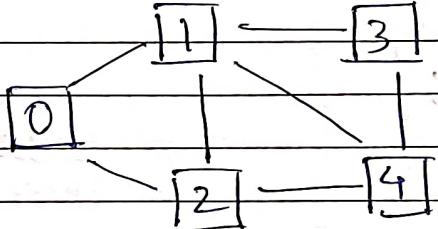


space needed

to store a graph as adjacency list of i's is  $\Theta(n+m)$

b.)

Undirected graph



space needed =  $n+2m = \Theta(n+m)$

for weighted graph each of these nodes will contain an additional parameter i.e. the weight of the corresponding edge.

The disadvantage with this data structure is that if  $m \ll n$ , even then you've to declare an array of size  $n^2$  with most of the entries as NULL.

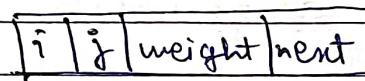
$\rightarrow -$

### 3.) Edge list

Linked list of edges, where each node will have the following struct:-



for weighted graph the struct will be:-



$$\text{Space needed} = \Theta(m)$$

Adjacency  
list  
struct

```
struct List{
```

```
    int i; }
```

```
    struct List *next; };
```

Edge  
list  
struct

```
struct EdgeList{
```

```
    int i, j; }
```

```
    struct EdgeList *next; };
```

Lecture

→ Code to generate random graph

```
→ for (i=0; i<n; ++i)
  {
    for (k=0; k<n/10; ++k)
    {
      j = rand() % n;
      if (!A[i][j])
        A[i][j] = true;
```

Adjacency matrix  $\leftarrow A[i][j] = \text{true};$

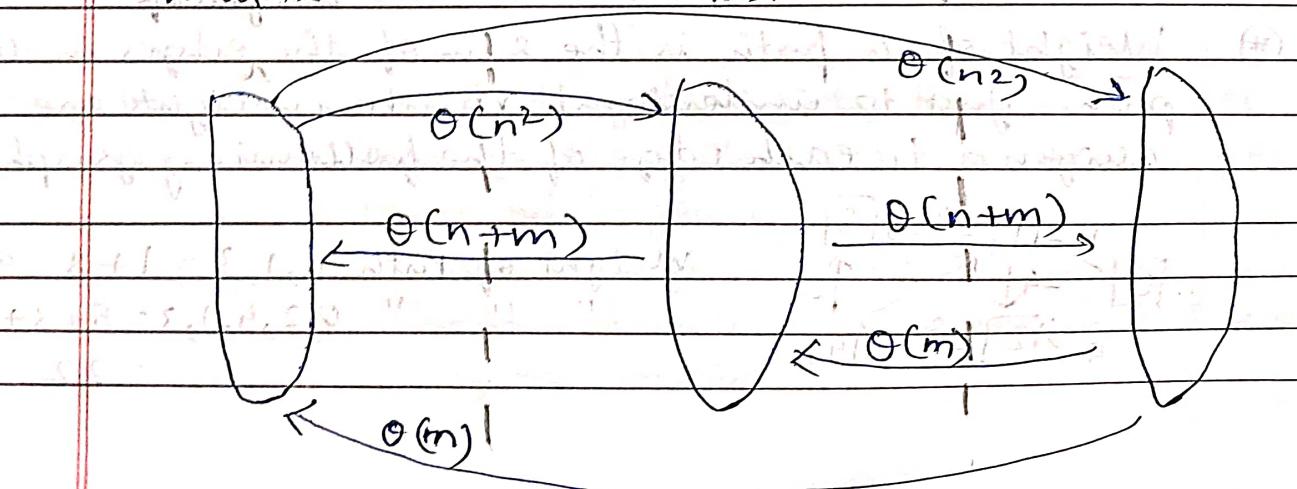
Adjacency list  $\leftarrow \text{list}[i] = \text{newedge}(\text{list}[i], j);$

Edge list  $\leftarrow \text{elist} = \text{newedgelist}(\text{elist}, i, j);$

→ Time complexity for conversion from

data str A to data str B  
= order of space needed to store in data str A.

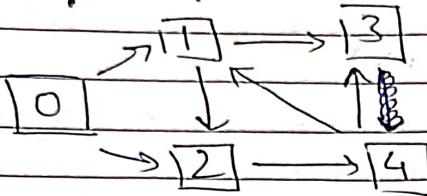
Adjacency matrix  $\rightarrow$  Adjacency list  $\rightarrow$  Edge list



#

Shortest Path Problem

(\*) Def. of Path



Path is a sequence of nodes  $v_1, v_2, v_3, \dots, v_k$  such that if we take any 2 adjacent nodes  $(v_i, v_{i+1})$  then it should be an edge in the graph.

0, 1, 3 is a path

0, 2, 4, 1, 3 is a path

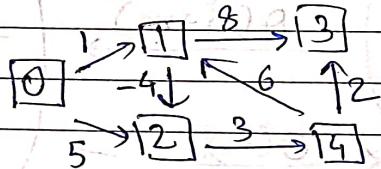
(\*) Cycle is a path, in which the first node is same as the last node.

1, 2, 4, 1 is a cycle.

(\*) Length of a path is the no. of edges in the path.

Length of the path 0, 1, 3 is 2.

(\*) Weight of a path is the sum of the weights of edges in the path. Just to understand, random weights are assigned to each edge of the following graph.



$$\text{Weight of Path } 0, 1, 3 = 1 + 8 = 9$$

$$\begin{aligned} & " " " 0, 2, 4, 1, 3 = 5 + 3 + 6 + 8 \\ & = 22 \end{aligned}$$

exists for both directed and undirected graphs.



## Shortest Path Problem

Given 2 nodes  $s$  &  $t$ , find the shortest weight path

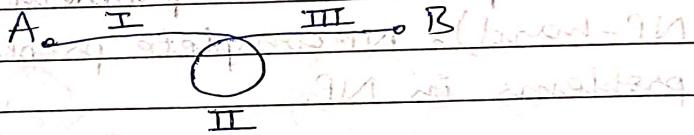
Among all the paths b/w  $s$  &  $t$ ,  
find a path with minimum weight.

shortest path is always a simple path

path which does not contain a cycle.

Q. Why?

Ans:- Let's see through an example. Let the path be as follows with 3 parts, part II being a cycle.



Case 1 weight of cycle is +ve, then to choose the shortest path, it is obvious that you'll leave the cycle

Case 2 weight of cycle is -ve, then you can go through the cycle as many times as you want while going from  $A$  to  $B$ .

∴  $\text{Weight of path} \Rightarrow \text{weight of path can be } -\infty$ .

Hence, shortest path problem is not well defined in this case.



## Longest path problem

Among all the paths b/w s & t, find a path with maximum weight.

Shortest path problem is solvable in polynomial time, but the longest path problem is NP-Hard.

Length of the longest path is  $n-1$  iff there is a Hamiltonian path in the graph.

a path in an undirected or directed graph that visits each vertex exactly once. Determining whether such paths and cycles exist in graphs is the Hamiltonian path problem, which is NP-complete (i.e. a problem which is both NP and NP-hard). NP-complete problems represent the hardest problems in NP.

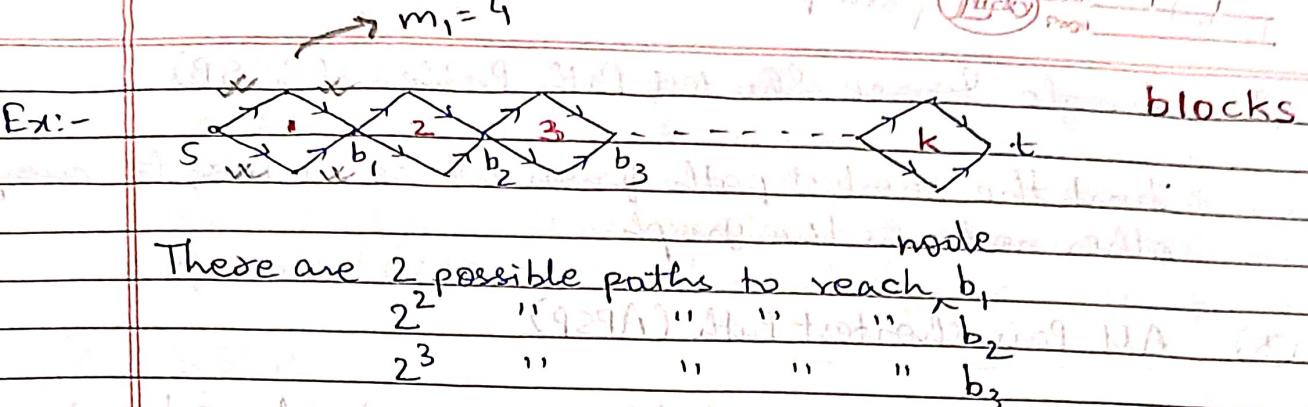


There is no polynomial time brute force method to solve the shortest path problem.

It's so b/c the number of paths in a graph can be exponential in number of nodes and number of edges in the graph.

ex in  
ment  
pg.

To travel along each path, find its weight & find the smallest value among them.



There are 2 possible paths to reach  $b_1$

$$2^2 \quad " (9291) " M.4 + 011 b_2 \text{ and } 111 b_3$$

$$2^3 \quad " \quad " \quad " \quad " \quad " \quad b_3$$

$2^k$  possible paths to reach  $t$

for block 1  $m_1 = 4$

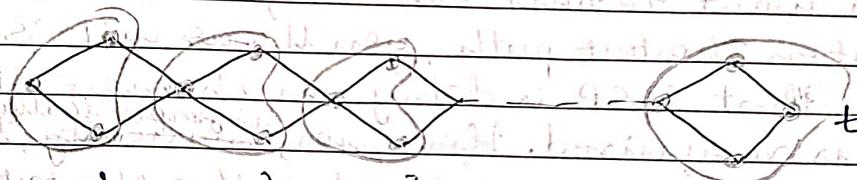
for block 2  $m_2 = 4$

for block 3  $m_3 = 4$

$m = m_1 + m_2 + m_3 + m_k = 4k$

for block  $k$   $m_k = 4$

S



for block 1  $n_1 = 3$

for block 2  $n_2 = 3$

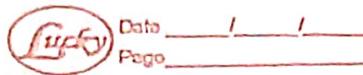
for block 3  $n_3 = 3$

$n = n_1 + n_2 + \dots + n_k = 3k + 1$

for block  $k$   $n_k = 3 + 1$

and the overall total number of nodes in a row will be

Two kinds of algo to solve the shortest path problem.



### Single Source Shortest Path Problem (SSSP)

Find the shortest path from source nodes, to every other node in the graph.



### All Pair shortest Path (APSP)

Find the shortest path b/w every pair of nodes in the graph.



So, if you know the solution to SSSP, one way to solve APSP is to call the SSSP for all the 'n' nodes varying over graph. But we'll see that calling APSP is much more efficient than calling SSSP n number of times in some cases.



If you want to find the single source, single destination shortest path, you'll ~~can~~ call SSSP. But we see that SSSP is doing much more than what is required. However, ~~asymptotically~~, there is ~~no~~ no better way to solve the shortest path problem. You'll have to call SSSP for that

Now, we'll see 3 algorithms, 2 for the SSSP & 1 for the APSP. ~~It's difficult to get a feeling, as to why these algo work correctly. So, the correctness of the algo will be dealt with at some later point of time.~~

In this course, we'll study in detail only one of the 2 SSSP. The rest of the 2 algo are easy to implement and

~~Today~~

it's also easy to compute their complexity. However, for these 2 algo, it's difficult to get a feeling, as to why these algo work correctly. So, the correctness of these 2 algo will be dealt with at some later point of time. (after we've learnt dynamic programming)

⇒ SSSP

1.) Dijkstra's Algorithm → most efficient when

- works only when all the weights are +ve.
- complexity =  $O((n+m) \log n)$
- Uses Adjacency list

2) Bellman-Ford Algo

- complexity =  $O(nm)$
- uses edge list

⇒ APSP

1.) Floyd-Warshall Algo

• complexity =  $O(n^3)$

- Uses adjacency matrix.

→ struct Edgelist {

    int i, j; // Main trouble : soke

    weight w;

}; struct Edgelist \*next;

    edge next;

    edge next;

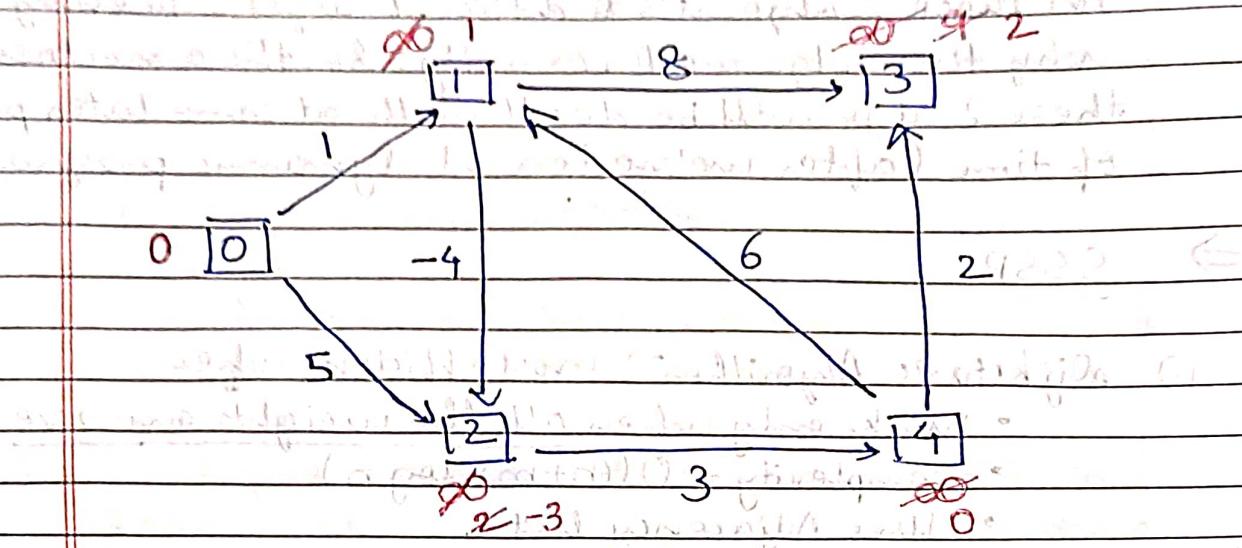
    edge next;

    edge next;

    edge next;

    edge next;

## → How Bellman-Ford Algo works



Make an array  $SD[]$  of size  $n$  (no. of nodes)  
 (will store the shortest distance of corresp. node from source S.)

lets assume that given 'S' is 0

$\therefore$  source 'S' is 0

⇒ initialize  $SD[0] = 0$

& " rest all indices to  $\infty$

⇒  $SD = \{0, \infty, \infty, \infty, \infty\}$

Edges →  $(0, 1) (0, 2) (1, 3) (1, 2) (2, 4) (4, 3) (4, 1)$

We'll run a loop 5 (i.e. n) times for each edge. What we'll do is that

we'll see the current shortest distance of the j of each edge node from the source by using the array SD.

If  $current\_shortest\_dist > current\_shortest\_dist + weight$   
 of j of i of edge

then update

$$\text{current\_shortest\_dist.} = \text{current\_shortest\_dist} + \text{weight}$$

of  $i$

So,

We start with  $(0, 1)$

$$\infty > 0 + 1 : 0 \neq \text{last}$$

$\downarrow$  weight of  $(0, 1)$

$$\text{current\_shortest\_dist.} = \text{current\_shortest\_dist}$$

of  $1$

$$+ 0 = 1$$

$\Rightarrow$  we update current\_shortest\_dist of 1  
to  $0+1=1$

$$\therefore \text{Now, } SD = \{0, 1, \infty, \infty, \infty\}$$

Now, for  $(0, 2)$

$$\infty > 0 + 2 : \infty$$

$\downarrow$  weight of  $(0, 2)$

$$\text{current\_shortest\_dist.} = \text{current\_shortest\_dist}$$

$$+ 2 = 2$$

$\Rightarrow$  we update current shortest dist. of 2  
to  $0+2=2$

$$\text{Now } SD = \{0, 1, 2, \infty, \infty\}$$

Similarly for  $(1, 3) (1, 2) \dots (4, 1)$

5 times, we do this

$$\text{Finally } SD = \{0, 1, -3, 2, 0\}$$

$\Rightarrow$  shortest dist. of 1 from 0 =  $SD[1] = 1$

$$\text{'' } " " 2 " 0 = SD[2] = -3$$

$$\text{'' } " " 3 " 0 = SD[3] \text{ & so on}$$

⇒ Code for Bellman-Ford

→ void BellmanFord (struct Edgelist \*elist,  
float SD, int s, int n)

```
{
    struct Edgelist *temp; int k;
    for (k=0; k < n; ++k)
        SD[k] = INT_MAX;
    SD[s] = 0;

    for (k=0; k < n; ++k) {
        temp = elist;
        while (temp) {
            if (SD[temp->j] > SD[temp->i])
                SD[temp->j] = SD[temp->i] + temp->w;
        }
    }
}
```

$i = 5, j = 0, w = 10$        $temp = temp \rightarrow next;$

$i = 0, j = 1, w = 0$        $temp = temp \rightarrow next;$

$i = 0, j = 2, w = 0$        $temp = temp \rightarrow next;$

$i = 0, j = 3, w = 0$        $temp = temp \rightarrow next;$

see Abdul Bari Vid.



## Floyd-Warshall Algorithm

(K)

an intermediate node

If  $(\text{weight of shortest path from } i \text{ to } j > \text{shortest path} + \text{weight of path from } i \text{ to } k + \text{shortest path from } k \text{ to } j)$

then update

$$\text{weight of shortest path from } i \text{ to } j = \text{weight of shortest path from } i \text{ to } k + \text{weight of shortest path from } k \text{ to } j$$

→ void FloydWarshall (float A[ ][1000],  
float D[ ][1000], int n)

```
{ int i, j, k;
    for(i=0; i<n; i++)
        for(j=0; j<n; j++)
            if(A[i][j] > D[i][j])
                D[i][j] = A[i][j];
    for(i=0; i<n; i++)
        D[i][i] = 0;
    for(k=0; k<n; k++)
        for(i=0; i<n; i++)
            for(j=0; j<n; j++)
                if(D[i][j] > D[i][k] + D[k][j])
                    D[i][j] = D[i][k] + D[k][j]; }
```

This looks similar to matrix multiplication.

Q.) We know that for matrix multiplication, we can put those 3 loop nested loops in any order. Can we do the same thing here?

Soln) No, we can't interchange the loops. The approach of the algo is dynamic programming. This means that during computation only partial sol<sup>n</sup> are determined. Here (in the code in prev. pg), k is an important parameter in the algo. When dealing with k, the shortest paths are computed that are only allowed to pass vertices 1 upto k; the other vertices can only be initial or final. This means that k has a different position than that of i & j.

~~Algo 1~~ ~~Algo 2~~ ~~Algo 3~~ ~~Algo 4~~ ~~Algo 5~~ ~~Algo 6~~ ~~Algo 7~~ ~~Algo 8~~ ~~Algo 9~~ ~~Algo 10~~ ~~Algo 11~~ ~~Algo 12~~ ~~Algo 13~~ ~~Algo 14~~ ~~Algo 15~~ ~~Algo 16~~ ~~Algo 17~~ ~~Algo 18~~ ~~Algo 19~~ ~~Algo 20~~ ~~Algo 21~~ ~~Algo 22~~ ~~Algo 23~~ ~~Algo 24~~ ~~Algo 25~~ ~~Algo 26~~ ~~Algo 27~~ ~~Algo 28~~ ~~Algo 29~~ ~~Algo 30~~ ~~Algo 31~~ ~~Algo 32~~ ~~Algo 33~~ ~~Algo 34~~ ~~Algo 35~~ ~~Algo 36~~ ~~Algo 37~~ ~~Algo 38~~ ~~Algo 39~~ ~~Algo 40~~ ~~Algo 41~~ ~~Algo 42~~ ~~Algo 43~~ ~~Algo 44~~ ~~Algo 45~~ ~~Algo 46~~ ~~Algo 47~~ ~~Algo 48~~ ~~Algo 49~~ ~~Algo 50~~ ~~Algo 51~~ ~~Algo 52~~ ~~Algo 53~~ ~~Algo 54~~ ~~Algo 55~~ ~~Algo 56~~ ~~Algo 57~~ ~~Algo 58~~ ~~Algo 59~~ ~~Algo 60~~ ~~Algo 61~~ ~~Algo 62~~ ~~Algo 63~~ ~~Algo 64~~ ~~Algo 65~~ ~~Algo 66~~ ~~Algo 67~~ ~~Algo 68~~ ~~Algo 69~~ ~~Algo 70~~ ~~Algo 71~~ ~~Algo 72~~ ~~Algo 73~~ ~~Algo 74~~ ~~Algo 75~~ ~~Algo 76~~ ~~Algo 77~~ ~~Algo 78~~ ~~Algo 79~~ ~~Algo 80~~ ~~Algo 81~~ ~~Algo 82~~ ~~Algo 83~~ ~~Algo 84~~ 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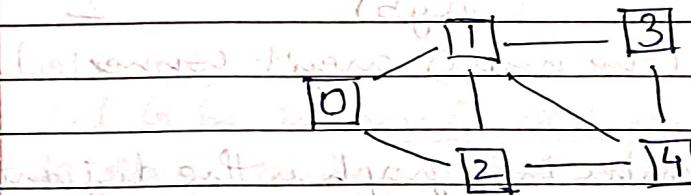
## Minimum / Maximum Spanning Tree (MST)

The shortest path problem was defined for both directed and undirected graphs. However, the MST is defined only for undirected graphs.

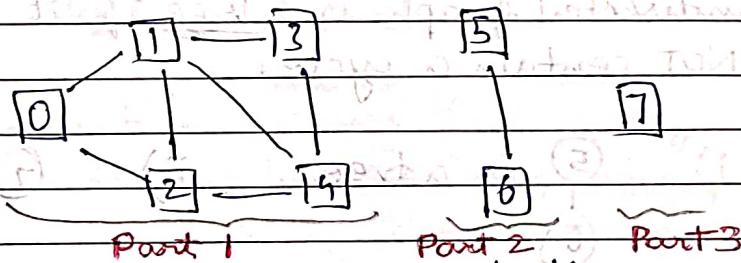
(\*) 2 nodes are connected if there is a path b/w them.

An undirected graph is connected if there is a path b/w every pair of nodes in the graph. In this chapter, whenever we mention graph, we'll mean undirected graph.

Example of a connected graph



Example of a non-connected graph



When the graph is not connected, then we talk about connected component of a graph

(\*) A subgraph  $S$  of a graph  $G_i$  is a graph whose vertex set  $V(S)$  is a subset of the vertex set  $V(G_i)$  [i.e.  $V(S) \subseteq V(G_i)$ ], and whose edge set  $E(S)$  is a subset of the edge set  $E(G_i)$  [i.e.  $E(S) \subseteq E(G_i)$ ].

- (\*) A connected component of  $G$  is a maximal connected subgraph of  $G$ .

In the graph (in prev. pg), there are 3 connected components (depicted as part1, part2 & part3).

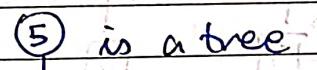
The feature of 2 connected components of a graph is that if you take any node from one component and any node from the other component, you won't be able to find any path that connects those 2 nodes.

Ex:- take any node (say 3) from part 1  
" " " (say 5) " " 2

They are (the nodes) aren't connected.

- (\*) The entire set of nodes in a graph is the disjoint union of set of ~~rooted~~ nodes in the connected components.

- (\*) An undirected graph is a tree if it is connected and does NOT contain a cycle.

Ex:- i)  is a tree      ii)  is a tree

iii)  is not a tree.

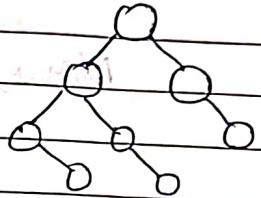
(\*) Forest - It's a collection of trees, ~~that~~ does not contain a cycle, but may not be connected.

Ex:- set of { (5), (7) } is a forest.

(6)

→ The binary trees that we have studied in the course are special kind of Trees/graphs.

$(x, x \rightarrow \text{parent})$  is an edge



Theorem 1 - Let  $G$  be an undirected graph with  $n$  nodes. Any two of the following statements will imply the third.

1.  $G$  is connected

2.  $G$  does not contain a cycle

3.  $G$  has  $n-1$  edges

(\*) By observing Th<sup>m</sup> 1 we see that, every tree will contain  $(n-1)$  edges. It's so b/c the very def. of a tree contains statement 1 & 2 of Th<sup>m</sup> 1. Hence, statement 3 should be true.

→ Before coming to the proof of Th<sup>m</sup> 1, let's look at some observations:

- Let  $G$  be an undirected graph with  $n$  nodes. Let us start with only these isolated nodes and start adding the edges in any order

Note:-

The graph has  $n$  connected components as we've not added any edges at first.



- If we add an edge going across the connected components, then the number of connected components decreases by 1.
- If we add an edge within the connected components, then we'll introduce a cycle in the graph.

Now, we can see the proof.



Proof of Thm 1

Case 1 - (1 & 2 implies 3)

$\therefore G$  does not contain a cycle  
 $\Rightarrow$  each time we add an edge across (& NOT within) the connected components.

$\Rightarrow$  after adding  $k$  edges, we'll have  $n-k$  connected components.

But state. 1 says,  $G$  is connected. So after adding all the edges the graph should be connected

$$\text{i.e. } n-k=1 \Rightarrow k=n-1 \text{ (proved)}$$

Case 2 - (2 & 3 implies 1)

$\therefore G$  does not contain a cycle

$\Rightarrow$  after adding  $k$  edges we'll have  $n-k$  connected components.

But state. 3 says that  $k = n-1$  and from above

$\Rightarrow$  finally there are  $n-k = n-(n-1) = 1$  connected components.

$\Rightarrow$  graph is connected (proved)

Case 3 - (1 & 3 implies 2)

Let's assume that out of  $n$ , only  $k$  edges had gone across (& NOT within) the connected components then we'll have  $n-k$  connected components.

But graph is connected  $\Rightarrow n-k=1 \Rightarrow k=n-1$

$\therefore$  All edges have gone across the connected components, hence no cyclic paths (proved.)



Spanning Tree

Spanning tree  $T$  of a graph  $G$  is a subgraph of  $G$ , which is a tree ~~root~~ with  $n$  nodes.

The nodes of the spanning tree will be same as the nodes of the graph, but will have only  $n-1$  edges of the graph.

(\*) Weight of a spanning tree is defined as the sum of the weights of the edges of the spanning tree.

### (\*) MST

Minimum Spanning Tree (MST) is a spanning tree with minimum weight.

Maximum Spanning Tree (MST) is a spanning tree with maximum weight.

Both the problems are solvable in polynomial time.

- a) Prim's algo.
- b) Kruskal's algo.

Both algo. have same complexity as that of Dijkstra's algo.  $O((n+m)\log n)$ .

We won't look at their proofs. We'll just see their implementations & how they work.

Whenever we mention Graph, we assume it to be an undirected graph



#

### Cayley's Theorem

In this chapter, we may not look at a very formal proof of this Theo. but we'll try to get a feeling of it as much as we can.

Cayley's

$\text{Th}^m$  - The number of distinct spanning trees in a complete graph with  $n$  nodes is  $n^{n-2}$ .

Before we look at the proof of Cayley's  $\text{th}^m$ , we'll look at another  $\text{th}^m$  which is required for the proof of Cayley's  $\text{th}^m$ .

$\text{Theo 1}$  - A tree with  $n$  nodes ( $n > 1$ ) will have at least 2 nodes with degree 1.

To prove  $\text{th}^m$  1, let's first look at a simpler  $\text{th}^m$

$\text{Th}^m$  2 - A tree with  $n$  nodes ( $n > 1$ ) will have at least one node with degree 1.

Proof of Th<sup>m</sup> 2 - Let us assume that the theorem is not true.

$\Rightarrow$  if  $i$  is a node then  $\deg(i) \geq 1$   
 $\Rightarrow \deg(i) \geq 2$ ; for any  $i$

$$\sum_{i=1}^n \deg(i) - (\#i) \geq 2n$$

When we studied the very def. of deg of a node we saw that [sum of deg =  $2m$ ]  
no. of edges

object for a real tree, different from our assumption.

∴ A prop test is false.



Dalo \_\_\_\_\_  
Pego \_\_\_\_\_

$$\Rightarrow \sum \deg(i) = 2m = 2(n-1) = 2n-2$$

$$\Rightarrow 2n-2 \geq 2n \Rightarrow -2 \geq 0, \text{ a contradiction}$$

Hence proved.

Proof of Thm 1 Let us assume that the theo. is not true.

If  $j$  be a node with degree 1 and all other nodes have  $\deg \geq 2$

$$(\sum \deg(i) \quad i \neq j) + \deg(j) \geq 2(n-1) + 1$$

But sum of all degrees =  $2m = 2(n-1)$

$$\Rightarrow 2(n-1) \geq 2n-2+1$$

$$\Rightarrow 2n-2 \geq 2n-2+1 \Rightarrow 0 \geq 1,$$

which is a contradiction.

Hence proved

Just out of curiosity let's see if

If a tree with  $n$  nodes ( $n > 1$ ) will have at least 3 nodes with degree 1?

→ Proof:- Let  $i$  &  $j$  be the only nodes having  $\deg > 1$ .

$$\Rightarrow 2(n-1) = \sum_{k \neq i,j} \deg(k) + \deg(i) + \deg(j) \geq 2(n-2) + 2$$

∴ LHS  $\geq$  R.H.S. & now anti logic is over.

$\downarrow$   
 $2(n-2)$

NOT a contradiction.

Cardinality of  $V$  is  $n$

" "  $\sqrt{V^2}$  is  $n^2$

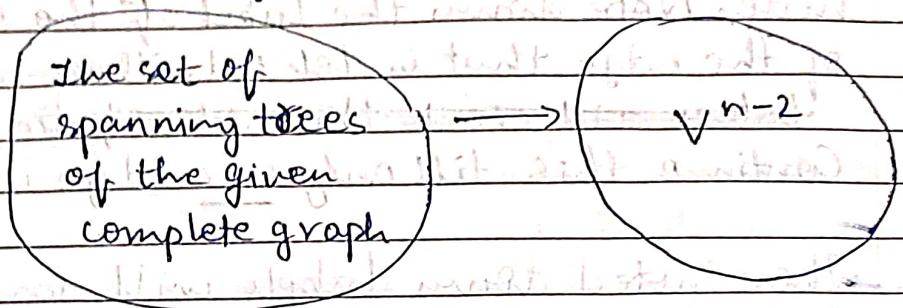
" "  $\sqrt{n^{n-2}}$  is  $n^{n-2}$

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In fact, a degenerate tree has exactly 2 nodes with degree one.

leaf & root  
nodes.

Cayley's Th<sup>m</sup>:- The number of distinct spanning trees in a complete graph with  $n$  nodes is  $n^{n-2}$ .



Showing a bijection b/w the sets will prove the th<sup>m</sup>.

It's so b/c cardinality of  $V^{n-2} = n^{n-2}$

$\Rightarrow$  no. of spanning trees =  $n^{n-2}$

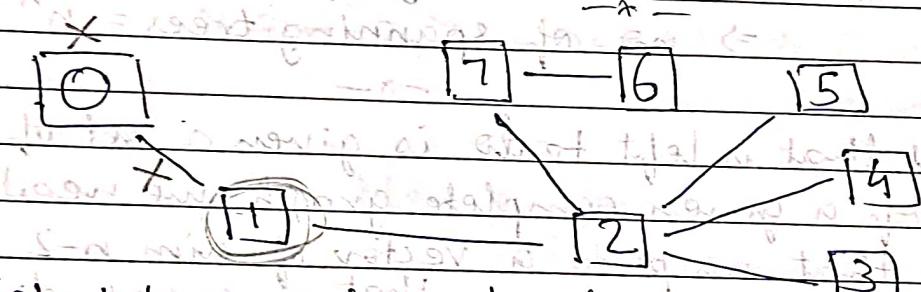
→ So, all that is left to do is given a set of spanning trees of a given complete graph, we need to find a  $f^{in}$  that maps to a vector of dim  $n-2$  & a reverse  $f^{in}$  that maps that vector of dim  $n-2$  to a spanning tree.

→ So, we'll give the algo to produce the mapping & the functions. However we may not prove that the generated  $f^{in}$  is in fact a bijection.

Now, let's see that given a spanning tree with  $n$  nodes, how to map it to a vector of length dim  $n-2$ .

So, pick a degree one node with least label (as we know every node is labeled from 0 to  $n-1$ ). Delete this node and the edge incident on the node. Note down the label of the other end point of the edge that is deleted. These noted down labels will create the vector of dim  $n-2$ . Continue this till only one edge is left. ( $0 \rightarrow 0$ )

These noted down labels will form the vector of ~~to~~ dim  $n-2$ .



Ex:-

lets take a vector  $a \cdot b = (1, )$   
look at the deg 1 nodes; i.e. the leaf nodes.

those are - 0, 3, 4, 5, 6

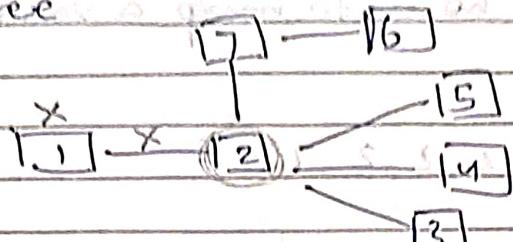
lets pick node with least label 0 and delete 0

then noting few lines sum up next & the edge

notified a note down the label of other end of edge i.e. 1.

$$\Rightarrow b = (1, )$$

New tree is a spanning tree and one edge can't be



leaf nodes

$\rightarrow 1, 3, 4, 5, 6$

delete 1 & 2

and now it's a spanning tree and leaf nodes go to its edge.

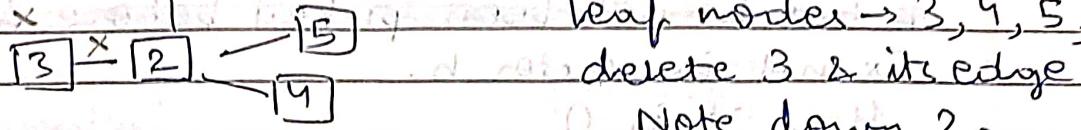
(1, 2, 3, 4, 5, 6) is

Note down 2

update  $b = (1, 2)$

start 1 next  $\boxed{7} \rightarrow \boxed{16}$ . Deleted general attack step and

and now  $x$  is a leaf node so note down  $b$  and leaf nodes  $\rightarrow 3, 4, 5, 6$



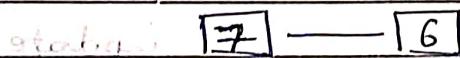
delete 3 & its edge

0 Note down 2.

(1, 2, 3, 4, 5, 6) update  $b = (1, 2, 2)$

keeping intact and 3 is left

Keep on doing this. Finally you'll get  
d want other things attack. I'll do whatever



(1, 2, 3, 4, 5, 6)  $\rightarrow$  the vector corresponding

$n-2=6$   $\downarrow$   $\downarrow$  to the spanning tree  
to be noted that we took.

\* In this algo, the last node to be noted is  $n-1$   
(as  $n-2$  can also be seen in above ex.).

wrong.

It's b/c the highest labeled node will never be deleted.

(1, 2, 3, 4, 5) = d stages  $\boxed{7} - \boxed{16} - \boxed{0}$

so it's not a full attack only sequential

\* Now let's see how to map a vector to a spanning tree

$$b = (1, 2, 2, 2, 2, 7)$$

list of nodes in tree, which we'll create

$$l = (0, 1, 2, 3, 4, 5, 6, 7)$$

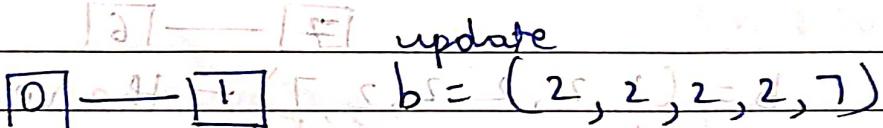
So, pick the least labeled node from l that is not in vector b.

Here it is 0.

$$\text{update } l = (1, 2, 3, 4, 5, 6, 7)$$

$\because 0$  has been picked

Now, connect  $0$  to ~~least labeled node~~ in vector b which is 1 & delete that node from b



again choose the least labelled node in l which is NOT in b  $\Rightarrow 3$

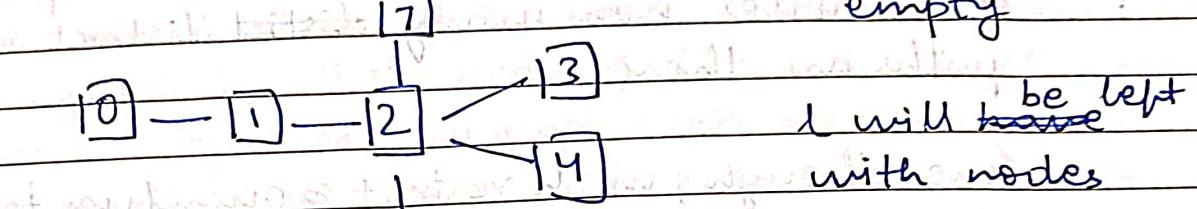
$$\text{update } l = (2, 3, 4, 5, 6, 7)$$

connect 1 to ~~least labeled node in b~~ which is 2.

$$0 - 1 - 2 \quad \text{update } b = (2, 2, 2, 7)$$

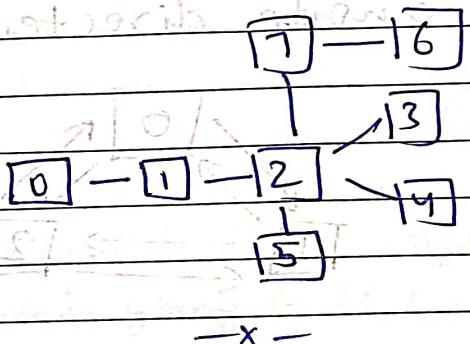
Continue this until b is empty.

$$\lambda = (\checkmark, \checkmark, \checkmark, \checkmark, 2, 3, 4, 5, 6, 7) \text{ and } b = (\checkmark, \checkmark, \checkmark, \checkmark, 2, 2, 2, 7)$$



connected  $n-2$  to  $n-1$

Finally



Hence, we've got a bijection from set of spanning trees to set of vectors of dim  $n-2$ . As mentioned earlier we'll skip the proof for now.

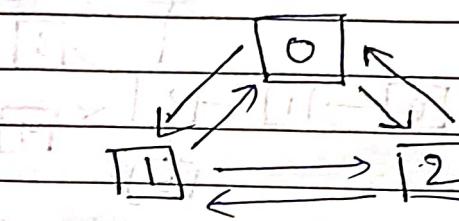
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## Number of Paths

In this chapter we'll see; given a complete graph of  $n$  nodes how many distinct distinct shortest simple paths are there.

Even though, we'll restrict ourselves to directed graphs, similar analysis can be done for undirected graphs as well.

Ex of a 3 node directed complete graph.



i.e. each node will have  $(n-1)$  outgoing &  $(n-1)$  incoming edges

Let  $n_l$  be the number of paths of length  $l$ .

$$\Rightarrow n_l = n_{c_1} \times (n-1)_{c_1}$$

$$n_2 = n_{c_1} \times (n-1)_{c_1} \times (n-2)_{c_1}$$

$$n_3 = n_{c_1} \times (n-1)_{c_1} \times (n-2)_{c_1} \times (n-3)_{c_1}$$

$$\therefore n_{n-1} = n_{c_1} \times (n-1)_{c_1} \times (n-3)_{c_1} \dots \times 1_{c_1}$$

Hence, the total no. of distinct simple paths in a complete graph with  $n$  nodes

$$= \sum_{i=1}^{n-1} n_i = n! \sum_{i=2}^{n-1} \frac{1}{(n-i)!}$$

Lucky

## Graph Traversal

- So, what we mean by traversal is, given a node  $s$ , we want to visit all the nodes reachable from  $s$  in the graph. If all the nodes of the graph are not reachable from  $s$ .  $\Rightarrow$  NOT a connected graph  $\Rightarrow$  apply same algo to all its <sup>connected component</sup>.
- In case of binary trees we knew that given the root node, there were 4 different types of traversals namely:-
- a) Preorder traversal
  - b) inorder
  - c) Postorder
  - d) Levelorder

Similarly we'll see few different ways of traversing a graph. Our focus is going to be on the connected graphs.

The algo that we'll implement will be applied only if the graph is in the form of adjacency list. However, this algo will be indistinct to directed and undirected graphs.

Now, in this chapter we'll only deal with ~~an~~ overview of how to do the traversal, given the graph in the form of an adjacency list. Do not panic if the overview seems ~~very~~ vague. You don't need to understand it completely. Just take a superficial look at it. This overview will get completely clear after you've read the next 2 chapters.

Before starting the overview, there're some things to consider. Just like we used an ~~additional~~ additional data structure (stack & queue) to perform the traversal in Binary tree, similarly we'll use an ~~additional~~ additional data structure (DS) to perform graph traversals.

We'll have a 'Delete fun' which will work A/T the DS we use.

For ex if DS is a stack the 'Delete' will delete the last input, whereas it will delete the 1st input if DS is a queue.

Add(DS, s) will add s to DS.

And also there'll be a ~~phi~~ array  $\Phi_i[]$ . Its  $v$ th index will contain an info corresponding to the  $v$ th labeled node in the graph.

Now, after we've done traversing the graph, we could make a tree whose edges will be  $(\Phi_i[v], v)$  for various  $v$ 's.

For ex when you perform a Breadth first Search (BFS) traversal, you can make a BFS tree using the phi array.

For every ~~every~~ every node, every node is deleted at exactly once from DS. You'll consider all outgoing edges of the deleted nodes, after you delete the node (from DS, NOT from graph). So every edge will be considered at exactly once.

→ source node

$\Phi[s]$  will always be  $-1$

We're ready to see the pseudo code now.

$\rightarrow \Phi[s] = -1; Add(DS, s);$

while ( $DS$ )  $\rightarrow$  while  $DS$  is not empty

I  $[u = Delete(DS);$

For every edge  $(u, v)$

1. if  $v$  is already deleted - do nothing

2. if  $v$  is not added - add  $v$

3. if  $v$  is already in  $DS$  - update

II  $[\Phi[v] = u;$

In the II part for every edge  $(u, v)$

if  $v$  is already in  $DS$  - update

a.) if  $DS$  is queue - do nothing  $\rightarrow$  FIFO

b.) if  $DS$  is stack - Add to stack  $\rightarrow$  LIFO

c.) if  $DS$  is priority queue

$\rightarrow$  Min - do decreasekey

$\rightarrow$  Max - do increasekey

Names of traversals based on the DS used.

- 1.) Queue DS = Queue, then Breadth first Search (BFS)
- 2.) DS = Stack, then Depth first search (DFS)
- 3.) Min Priority Queue = DS, then Dijkstra
- 4.) Priority Queue = DS, then Prims  $\rightarrow$  Min  $\rightarrow$  Min Spanning tree  
 $\rightarrow$  Max  $\rightarrow$  Max Spanning tree

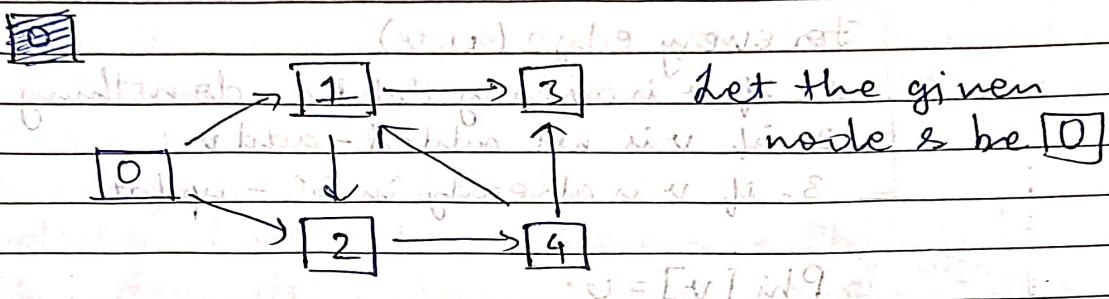
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## Breadth First Search (BFS) using Queue

Let us see how BFS works by taking an example.  
So, we'll maintain a queue ( $Q$ ), Phi array & an array  $V[i]$ , which will store a flag of whether a node has been deleted from  $Q$  or not.  
Remember for  $Q$  it is ~~first in first out~~<sup>first</sup> in first out.

We'll see BFS on directed graph

Ex:-



Ex:-

We initialize all the indices of Phi except index  $s$  to be  $-2$ ;  $\text{Phi}[s] = \text{Phi}[0] = -1$

indices | 0 | 1 | 2 | 3 | 4

Initial values  $\text{Phi}$  | -1 | -2 | -2 | -2 | -2

Final values  $\text{Phi}$  | -1 | 0 | 0 | 1 | 2

indices | 0 | 1 | 2 | 3 | 4

Initial values  $V$  | false | false | false | false | false

Final values  $V$  | true | true | true | true | true

Initially  $Q$  is empty

~~Since  $s$  is 0, we add 0 to  $Q$~~   $\Rightarrow Q = (0)$

then we delete 0  $\Rightarrow V[0] = \text{true}$

~~we added 0 & took at the outgoing nodes of 0 i.e. 1 & 2~~

$\Rightarrow Q = (1, 2)$

~~we got 1 by deleting 0  $\Rightarrow \Phi[1] = 0$~~   
 " " 2 " " 0  $\Rightarrow \Phi[2] = 0$

Now, again we do Delete( $Q$ )

~~i.e. we delete 1  $\Rightarrow V[1] = \text{true}$~~

~~& look at the outgoing nodes of 1 i.e. 3 & 2~~

~~we added  $\Phi[3] = 2$ , but  $\Phi[2] \neq 2 \Rightarrow V[3] = \text{false}$~~

~~$\Rightarrow 2$  is already <sup>picked</sup> in  $Q$  but 3 is not~~

~~∴ we'll only add 3 to  $Q \Rightarrow Q = (2, 3)$~~

~~We added 3 by deleting 1  $\Rightarrow \Phi[3] = 1$~~

Now, again we do Delete( $Q$ ) i.e. we delete 2

~~$\Rightarrow V[2] = \text{true} \Rightarrow Q = (3)$~~

~~& look at the outgoing nodes of 2 i.e. 4~~

~~$V[4] = \text{false}$ , 4 is NOT in  $Q$  picked.~~

~~$\Rightarrow$  we'll add 4 to  $Q \Rightarrow Q = (3, 4)$~~

~~We added 4 by deleting 2  $\Rightarrow \Phi[4] = 2$~~

Again, we delete 3  $\Rightarrow V[3] = \text{true}$ ;  $Q = (4, )$

~~& there are no outgoing nodes from 3~~

~~so do nothing~~

Then we delete 4  $\Rightarrow \forall v\{v\} = \text{true}; Q = ()$

& look at the outgoing nodes from 4 i.e. 1 & 3

$V[1] = \text{true}$   ~~$P_{\text{bin}}[1] + 128 - P_{\text{bin}}[3] + 12$~~

$\& \vee [3] = \text{true}$  |  $\vee \leftarrow \{3\} \cdot \text{not} \{3\} \cdot \text{and}$

$\Rightarrow$  1 & 3 have already been picked once.  
 $\Rightarrow$  do nothing

Now Q is empty so stop.

$$\text{Now } \Phi_i = (-1, 0, 0, 0, 1, 2)$$

S 8 E. 9. we can form a BFS tree

$\therefore \text{Phi}[0] = -1 \Rightarrow 0$  is the root node

$\text{Phi}[1] = 0 \Rightarrow 1$  is an outgoing node of 0

$\phi_{12}[2] = 0 \Rightarrow 2^{\text{nd}} \text{ standard cell} - 0^{\text{th}}$

$$\text{Phi}[3] = 1 \Rightarrow 3 \quad " \quad "$$

(E, 2)  $\Phi_{\text{Hil}}[4] = 2 \Rightarrow$  4 bilinear "values" have been seen "2

上 = effektiv & i praktiskt sinn

Sitztisch zw. Sitzgr. 01 ab am Kettentisch

$E = \{S, T\}$  and  $f(N) \in \{S, T\}$

1. Positiv 2. Negativ

~~(P, S) OKE~~ → ~~at P~~ ~~for~~ ~~new~~

$S = \{F_1\} \cup \{F_2\} \cup \dots \cup \{F_n\}$  ist die Menge der **primären** Mengen.

$(\rho) = 0$  (and  $\text{leg} \neq 0$ ) which are not

Erwartungswertauszählung ist eine Variable

position 3d 32

Code for BFS

$\rightarrow \text{for } (i=0; i < n; i++)$

{  $\Phi[i] = -2$ ;  $V[i] = \text{false}$  }

$\text{Enqueue}(Q, s); \Phi[s] = -1; V[s] = \text{true};$

$\text{while}(Q)$

{

$v = \text{Dequeue}(Q);$

$O(m+n)$

For every edge  $(v, u)$

if ( $V[u] == \text{false}$ ) {

$\text{Enqueue}(Q, u);$

$\Phi[u] = v;$

$V[u] = \text{true};$

}

\*  $\text{while}(Q)$

$v = \text{Dequeue}(Q);$

For every edge  $(v, u)$

Every node is added once. You'll consider all outgoing edges of the deleted node. So every edge will be considered once.

$\text{Enqueue} \rightarrow O(1) \quad \text{Dequeue} \rightarrow O(1)$

Every node-edge is considered once  $\rightarrow$  takes  $O(m)$

$\therefore \text{BFS takes } O(m+n)$

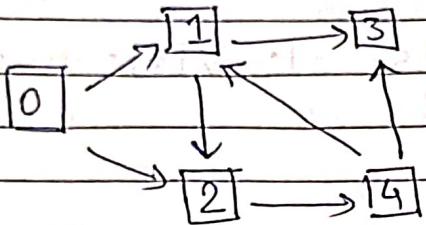
Every node is added once to the Queue  $\rightarrow$  takes  $O(n)$  additional space.

#

## Depth first search (DFS) using stack

Let's look at how DFS works by taking an example.

Ex:-



Let given  $s$  be [0].

Let there be stack  $S$

Let the adjacency list be

		indices	0	1	2	3	4
0	→ 2 → 1	Initial Phi	-1	-2	-2	-2	-2
1	→ 2 → 3	Final Phi	-1	0	1	1	2
2	→ 4						
3		Initial V	false	false	false	false	false
4	→ 1 → 3	Final V	true	true	true	true	true

We first push [0] in  $S \Rightarrow S = [0]$

then we pop 0 & look at its outgoing nodes

i.e. ~~2 & 1~~. 2 & 1 are marked as false

$$\Rightarrow V[0] = \text{true}$$

~~V[2] = false & V[1] = false~~

~~we pushed 2 & 1 by popping 0~~

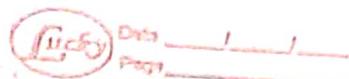
& ~~Phi[2] = Phi[1] = 0~~

~~∴ we pushed 2 & 1 by popping 0~~

Now pop 1 & look at its outgoing edges

i.e. 2 & 3

$$\Rightarrow V[1] = \text{true}$$



$\therefore V[2] = V[3] = \text{false}$

$\Rightarrow$  Push 2 & 3

& print  $\Phi[2] = \Phi[3] = 1$

$\because$  we pushed 2 & 3 by popping 1

$$\Rightarrow S = \begin{array}{|c|} \hline 3 \\ \hline 2 \\ \hline 1 \\ \hline \end{array}$$

Now, pop 3 & look at its outgoing nodes  $\Rightarrow V[3] = \text{true}$

$\because$  there are no outgoing nodes

$\therefore$  do nothing

$$\Rightarrow S = \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array}$$

Now, pop 2

& look at its outgoing nodes i.e. 4

$$\Rightarrow V[2] = \text{true} \quad \text{V[3] = false}$$

$\because V[4] = \text{false}$   $\Rightarrow$  push 4

$\therefore$  we pushed 4 by popping 2  $\Rightarrow \Phi[4] = 2$

$$\Rightarrow S = \begin{array}{|c|} \hline 4 \\ \hline 2 \\ \hline \end{array}$$

Now, pop 4 & look at its outgoing nodes i.e. 1 & 3

~~but~~  $\Rightarrow V[4] = \text{true}$

But  $V[1] = V[3] = \text{true} \Rightarrow$  do nothing  $\Rightarrow S = \begin{array}{|c|} \hline 2 \\ \hline \end{array}$

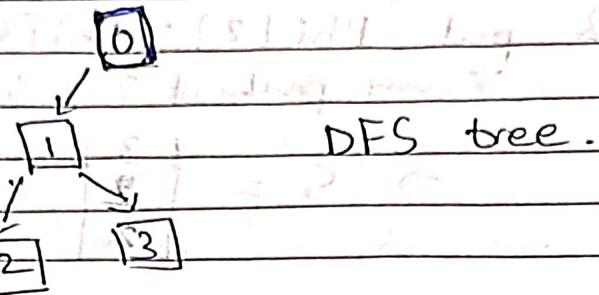
Now we pop 2 but  $V[2]$  is already true

$\Rightarrow$  we do nothing; S becomes empty & we stop.

indices 0 1 2 3 4

$$\Phi_i = \{-1, 0, 1, 1, 2\}$$

1st element 0 (S) is 1st to be processed.



~~(\*) while(S). C-Code for DFS~~

~~U = Pop~~

~~→ for(i=0; i < n; i++) {  
Phi[i] = -2; V[i] = false; }~~

Push(S, s); Phi(s) = -1;  $\Rightarrow O(n)$

~~S = [ ]~~ while(S) {  
~~U = Pop(S);~~  $\Rightarrow O(m)$

~~if(V[U] == false) {~~

~~V[U] = true;~~

~~For every edge (U, V)~~

~~Push(S, V);~~

~~Phi[V] = U; }~~

~~}~~  $\Rightarrow O(n^2)$   $\Rightarrow O(n^2)$

~~end iteration in (S)V to Sgap and with~~

~~ptgmn generated 2 partitions of Sgap~~

\* while( $S \neq \emptyset$ )

$v = \text{Pop}(S)$

for every edge  $(v, u)$

You'll consider all outgoing edges of the popped nodes, after you popped the node for the 1st time.  
So every edge will be considered once

Push  $\rightarrow O(1)$  and Pop  $\rightarrow O(1)$

Total no. of push/pop will be  $O(m)$ .

Every edge is considered at ~~one~~ once take  $O(m)$ .

$\therefore$  DFS takes  $O(m)$

When you consider an edge, the end node may be pushed into the stack  $\rightarrow$  takes  $O(m)$  additional space.

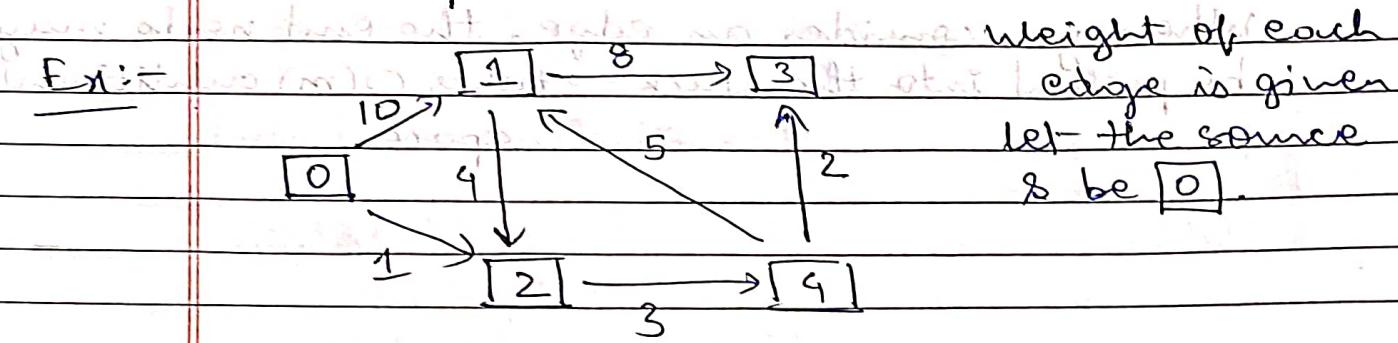
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## Dijkstra's Algorithm for Shortest Paths

For this algo, the weight of each edge should be positive. The data structure that we'll use, is Priority Queue (Ex is binary heap). Minimum

So, for this algo we'll have 3 arrays  $\Phi[i]$ ,  $V[i]$  (these 2 we already know); and  $D[i]$ .  $D$  will store the shortest distance of each node from the source node. And of course we need maintain a Priority Queue ( $PQ[i]$ )

Let us see how Dijkstra's Algo works by taking an example.



indices	0	1	2	3	4
Initial value $\Phi$	-1	-2	-2	-2	-2
Final value $\Phi$	-1	4	0	4	2

Initial value $V$	false	false	false	false	false
Final value $V$	true	true	true	true	true

Min Priority Queue will be maintained on the basis of its corresponding value in the array  $D$ . For instance if nodes  $0, 1, 2, 3$  are to be added in  $PQ$  &  $D = \{0, 5, 2, 3\}$   $\rightarrow D[3] = 1$   
 $\rightarrow PQ = \{0, 2, 3, 1\}$

indices	0	1	2	3	4	5	6	7
initial $D$	0	$\infty$	$\infty$	$\infty$	$\infty$	3	2	1
Final $D$	0	9	1	6	4	3	2	1

We do this by initializing each index of  $D$  to  $\infty$  & then doing DecreaseKey( $s, 0$ ). In this case  $s$  is 0.

Initially  $PQ$  is empty  $\rightarrow PQ = (\ )$   
 Then we add 0 to  $PQ$   $\rightarrow PQ = (0, )$

We do a  $\text{DeleteMin}(PQ)$  i.e. delete 0 & look at its outgoing nodes i.e. 1 & 2.  
 $\rightarrow v[0] = \text{true}$

$v[1] = v[2] = \text{false}$

$\rightarrow$  we add 1 & 2 to  $PQ$ .

Now, we'll check

This process  $\leftarrow$  If  $(D[1] > D[0] + \text{weight}(0, 1))$   
 is called  $\leftarrow$  i.e.  $\infty > 0 + 10$  (which is true)  
edge relaxation  $\rightarrow D[1] = 10$

Similarly for 2  $\leftarrow D[2] > D[0] + \text{weight}(0, 2)$   
 $\rightarrow D[2] = 10$

Now, we add 1 & 2 one by one and update  $PQ$ .  $\rightarrow PQ = (2, 1, )$

After adding 1 to  $PQ$   $\rightarrow$  since  $D[2] < D[1]$

we add 2 to  $PQ$  by deleting 0

$$(0) = 09 \rightarrow \Phi[1] = \Phi[2] = 0$$

Again we do a  $\text{DeleteMin}(PQ)$  i.e. delete 2 &

look at its outgoing node i.e. 4  $\Rightarrow v[2] = \text{true}$

this is exactly the shortest path to reach from 0 to 1 in the graph also.



while (PQ)

$v = \text{DeleteMin}();$

For every edge  $(v, u)$

Every node is deleted once.

You will consider all outgoing edges of deleted node. So every edge will be considered exactly once.

Every node is deleted once.  $\rightarrow \text{DeleteMin}()$

Considered once  $\rightarrow \text{DecreaseKey}()$ .

$\Rightarrow \text{DeleteMin}$  will be called exactly  $n$  times

$\text{DecreaseKey}$  will be called at most  $m$  times.

We've learnt 2 Priority Queues until now:-

1.) Binary heap using  $\text{AVL}$  and  $\text{Heapify}$

- $\text{DecreaseKey} \rightarrow O(\log n)$

- $\text{DeleteMin} \rightarrow O(\log n)$

$(S, P, Q, R, T) = M9$

$\Rightarrow$  Dijkstra using min binary heap takes

$O((n+m)\log n)$

2.) Fibonacci Heap

- $\text{DecreaseKey} \rightarrow O(1)$

- $\text{DeleteMin} \rightarrow O(\log n)$

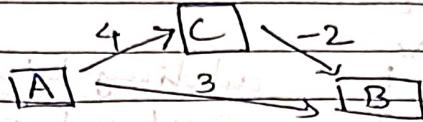
$\Rightarrow$  Dijkstra using fibonacci heap takes  $O(n\log n + m)$ .

## Dijkstra's algo



- (\*) Computes the weight of the shortest path only if the weights are positive.  
→ strictly greater than 0

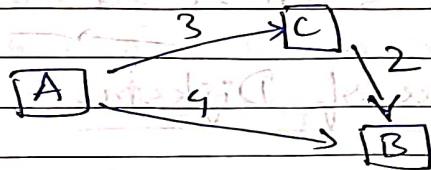
For ex:-



Dijkstra reports that  $A \rightarrow B$  is the shortest path.  
But the shortest path is  $A \rightarrow C \rightarrow B$ .

- (\*) Dijkstra does not compute the weight of the longest path, by using MaxHeap & simply changing the sign of the edge weights.

for ex:-



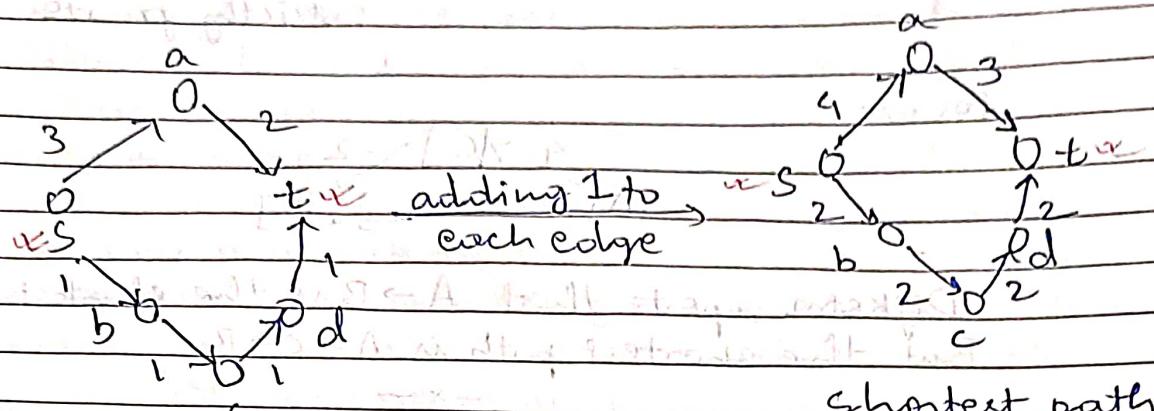
Dijkstra reports that  $A \rightarrow B$  is the longest path. But the longest path is  $A \rightarrow C \rightarrow B$ .

- (\*) It must be tempting to assume that shortest paths don't change if a constant is added to the weight of each edge. B/c in that way we can add a constant to each edge of a graph (which has a -ve edge) so that the edges become +ve ; compute the shortest path for that new graph & claim that the same path is the shortest path for the original graph also.

However, we see that the shortest paths change

if you add a constant to every edge of the graph.

For ex:-



shortest path

shortest path from  $s \rightarrow t$  is  $s \rightarrow b \rightarrow c \rightarrow d \rightarrow t$



### Correctness of Dijkstra AI

Claim:-

$D[v] = \text{weight of the shortest path from } s \text{ to } v$

Proof:-

To prove Dijkstra, we make another claim:- if  $v_1, v_2, v_3, \dots, v_n$  is the order in which the nodes are deleted from the min heap

$$D[v_1] \leq D[v_2] \leq D[v_3] \leq \dots \leq D[v_n]$$

Proof:- By induction on  $j$  (number of nodes deleted)

Base case (i.e. for node  $s$ ) is trivially true.

Let us assume that the claim is true for  $(j-1)$ th node, we need to prove that it's

$\downarrow e \rightarrow$  decrease

$\uparrow e \rightarrow$  increase



true for  $i$ th node also

for contradiction let us assume that there exists  $j$  such that  $j > i$  but  $D[v_j] < D[v_i]$ ; let  $j$  be the smallest such  $j$

When  $i$  was deleted  $D[v_i] \leq D[v_j]$

even in the future, if  $D[v_j]$  yes it can be to  
 $D[v_j] = D[v_k] + w(k, j)$  for some  $k, i \leq k < j$

$$D[v_j] = D[v_k] + w(k, j) \geq D[v_i] + w(k, j) > D[v_i]$$

lets come to our original claim now.

By induction we see

Basecase (for  $s$ ) is trivially true

Let  $v$  be the outgoing node of  $s$

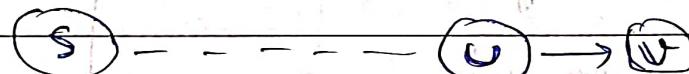
let us assume that

$D[u] =$  weight of shortest path from

$s$  to  $u$ .

As  $w(u, v) > 0$   $D[u] < D[v]$  so  $u$  must be deleted before  $v$

when  $v$  was Deleted, edge  $(v, u)$  should have been considered &  $D[v]$  can't be greater than  $D[v] + w(v, u)$



#

## Prim's Algorithm for MST

Prim's algo for

As we've already seen, MST is defined only for undirected graph. In this ch we'll see Prim's algo for both Min and Max spanning tree. You'll observe that Prim's algo is very similar to Dijkstra's. However, in contrast to Dijkstra's Algo, Prim's algo for minimum spanning tree can be easily modified into max-spanning tree.

The data structure needed for minimum & maximum spanning trees are min & max Priority Queue respectively. We won't look at a formal proof of Prim's algo. But we'll try to get an intuition as to why it works correctly. Arrays used  $\rightarrow \Phi$ ,  $V$ ,  $D$

I

### Min ST

Let us see how the Prim's algo works

	indices					0	1	2	3	4
	Initial $\Phi$					-1	-2	-2	-2	-2
	Final $\Phi$					-1	-2	0	4	2
team	$\Phi$ (0, 1, 2, 3, 4) $\geq$ $\Phi$ (1, 2, 3, 4, 0)									
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Add 0 to PQ  $\Rightarrow$  PQ = (0, )

Do a DeleteMin i.e. delete 0 & look at the nodes connected to it i.e. 1 & 2

$\Rightarrow V[0] = \text{true}$

$V[1] = V[2] = \text{false}$

$\Rightarrow (\text{check if } D[1] > \text{weight}(0, 1))$

b/c  $\infty > 10 \Rightarrow D[1] = \text{weight}(0, 1)$

$\Rightarrow D[1] = 10$

Similarly  $D[2] = 1$

$\therefore D[1] \& D[2]$  got updated by deleting 0

$\Rightarrow \Phi[1] = \Phi[2] = 0$

for 1 & 2 Add & update to PQ  $\Rightarrow$  PQ = (2, 1, )

$\therefore D[2] < D[1]$

Do a DeleteMin i.e. delete 2 & look at the nodes connected to it i.e. 0, 1 & 4

$\Rightarrow V[2] = \text{true} \Rightarrow PQ = (1, 4)$

$\because V[0]$  is already true  $\Rightarrow$  do nothing for 0.

$V[1] \& V[4] = \text{false}$

check if  $(D[1] > w(1, 2))$

$\therefore 10 > 4 \Rightarrow D[1] = 4$

Similarly  $D[4] = 3$

$\Rightarrow \Phi[4] = \Phi[1] = 2$

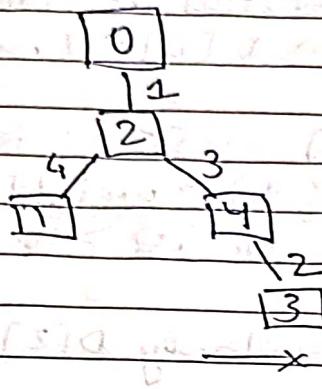
Add 4 & update PQ  $\Rightarrow$  PQ = (4, 1, )

& keep doing so until PQ is empty.

indices - 0 1 2 3 4

Finally  $\Phi = (-1, 2, 0, 4, 2)$

$\Rightarrow$  Min ST formed will be as follows:-



weight of this Min ST  
 $= 1 + 4 + 3 + 2$   
 $= 10$

### (\*) Prim's Algo

- $\rightarrow$  Computes the weight of the ~~Min ST~~ MST.
- $\rightarrow$   $(\Phi[v], v)$  is the set of edges in the MST.

$\rightarrow$  Sum of  $D[v]$  over all the nodes gives the weight of the MST.

### Code

```

for(i=0; i<n; i++)
    if(Phi[i] == -1)
        v = DeleteMin(i);
        for every edge (v, u)
            if(v[u] == false && D[u] > w(v, u))
                D[u] = w(v, u);
                decreasekey(u, w(v, u));
                Phi[u] = v;
}

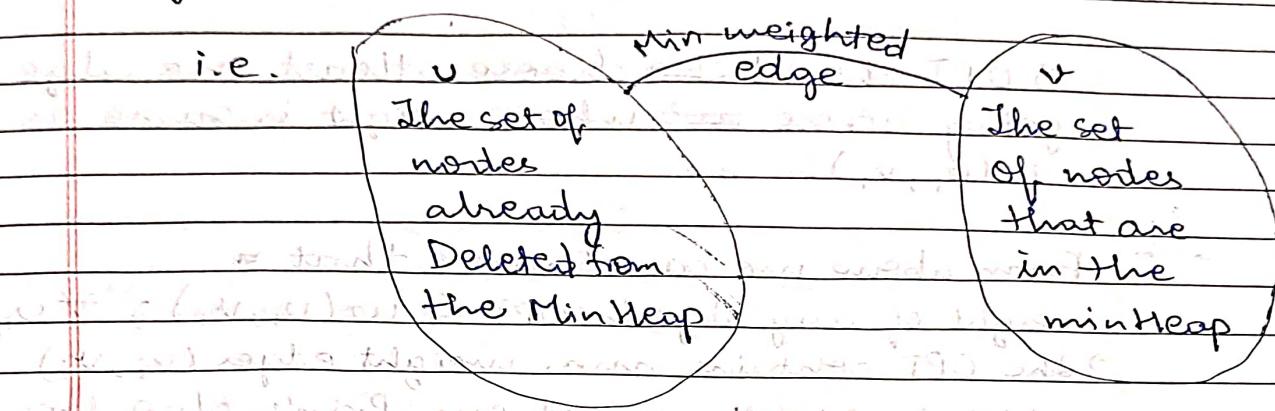
```

warning: it will seem vague both in notes and in video.



### \* Intuition for the correctness of Prim's Algo

What we do in Prim's algo is that we're given 2 sets as shown below ( $U$ ,  $V$  &  $V'$ ). We choose  $v_i$  from set 1 &  $v'_i$  from set 2 such that  $(v_i, v'_i)$  forms a minimum weighted edge. These edges form the MinST.



Now, let us consider for a moment that MinST does NOT have the min weight and another tree namely optimal ST (OPT) has that min weight.

Now, if we add an edge in the OPT, say  $(v_1, v_2)$  then it will form a cycle. Thus, OPT will no longer be a tree anymore. To make it a tree again we will need to remove an edge from the cycle. Let that edge be  $(v_0, v_1)$ .

Suppose  $w(v_0, v_1) > w(v_1, v_2)$

Hence, when we remove  $(v_0, v_1)$  then the weight of OPT will become less than the original OPT but

this will make our very assumption  
(as underlined in the prev pg) wrong.

If  $w(v_0, v_0) < w(v_1, v_1)$  then the new  
OPT will have weight greater than the original  
OPT.

$$\Rightarrow w(v_0, v_0) = w(v_1, v_1)$$

$\Rightarrow$  OPT should choose atleast one edge  
going across ~~not~~ whose weight is same as  
 $w(v_1, v_1)$ .

From above we can observe that a  
weight of any edge in OPT  $\leq w(v_1, v_1)$ ;  $\forall v_1, v_1$   
 $\Rightarrow$  The OPT contains min. weight edges  $(v_i, v_j)$   
which is exactly what our Prim's algo does.



### Prim's Algo

while (PQ)

$v = \text{DeleteMin}();$

For every edge  $(v, u)$  in the set PQ

if  $u$  is not yet added then add it

Every node is deleted once. You'll consider all  
outgoing edges of the deleted node. So every  
edge will be considered exactly once

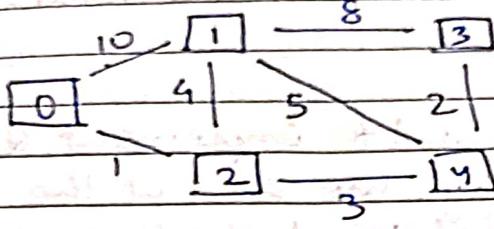
Exactly  $n \cdot \text{DeleteMin}()$  or  $m \cdot \text{DecreaseKey}$   
at most  $m \cdot \text{DecreaseKey}$

Complexity of Prim's using  
 i) MinHeap  $\rightarrow O((n+m)\log n)$   
 ii) Fibonacci Heap  $\rightarrow O(n\log n + m)$

## II Max ST

How Prim's Algo works

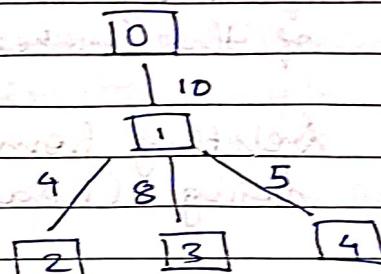
Ex:-



indices	0	1	2	3	4
Initial Phi	-1	-2	-2	-2	-2
Final Phi	-1	0	1	1	1
Initial V	false	false	false	false	false
Final V	true	true	true	true	true
Initial D	0	-∞	-∞	-∞	-∞
	0	10	4	8	5

Assuming s to be 0

Maximum Spanning tree



Sum of  $D[v]$  over all nodes gives the weight of the MST.

CODE

```

for(i=0; i<n; i++)
{Phi[i]=-2; V[i]=false; D[i]=-∞;}
D[s]=0; // IncreaseKey (s, 0)
Phi[s]=-1;
while(PQ){
    v=DeleteMin(); V[v]=true;
    For every edge (v, u)
        if (V[u]==false && D[u]< w(v, u)){
            D[u]=w(v, u); // IncreaseKey (v, w(v, u));
            Phi[u]=v; } }
  
```

## Implementation of BFS, DFS, Dijkstra & Prime

All we need to understand is that:-

In BFS :-

linked list is used to implement Queue instead of array. We add at the head of the LL & delete at the tail of the LL.

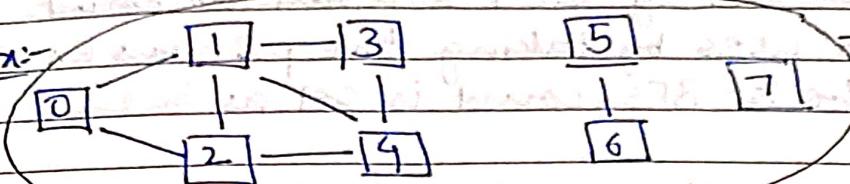
In DFS:-

linked list is used to implement stack instead of array. We add & delete at the ~~head~~ beginning of the linked list.

Deleting from the stack is equivalent to doing (head = head  $\rightarrow$  next)

## Connected Components of an undirected Graph using BFS

Ex:-



NOT a connected graph with 3 connected components.

- A connected component of  $G$  is a maximal connected subgraph of  $G$ .
- The set of nodes is the disjoint union of set of nodes in the connected components.

(\*) How we can use BFS to compute the connected components in an undirected graph.

Let us see that by taking the above graph as an ex.

Set of nodes in the above graph

$$S = \{0, 1, 2, 3, 4, 5, 6, 7\}$$

We choose a node (say 0) & do a BFS. In this way we traverse the nodes 0, 1, 2, 3, 4. We see that nodes 5, 6, 7 are still left.

Hence, we choose a node from the left over nodes (5, 6, 7). Let's say that node is 5 & again do a BFS. Now the nodes traversed are 3, 6. There is still a node left which is 7.

Again we choose 7 & do a BFS. So, we did BFS 3 times to cover all the nodes. Hence, above graph has 3 connected components.

\* Small description of the code that will follow.

We've an array  $C[7]$  & a variable 'count'. Let's see their uses by taking the previous ex. When we do the 1st BFS, count is set as 1

& while visiting each node  $v$  in 1st BFS  
 $C[v]$  is set as  $\text{count} = 1$

$$\left[ \begin{array}{c} \text{Indices: } 0, 1, 2, 3, 4 \\ \Rightarrow C = \{1, 1, 1, 1, 1\} \end{array} \right]$$

i.e. nodes 0, 1, 2, 3 & 4 belong to the 1st connected component.

Similarly for 2nd BFS  $\text{count} = 2$

$$\left[ \begin{array}{c} \text{Indices: } 0, 1, 2, 3, 4, 5, 6 \\ \Rightarrow C = \{1, 1, 1, 1, 1, 2, 2\} \end{array} \right]$$

Nodes 5 & 6 belong to the 2nd connected component.

Finally for 3rd BFS  $\text{count} = 3$

$$\left[ \begin{array}{c} \text{Indices: } 0, 1, 2, 3, 4, 5, 6, 7 \\ \Rightarrow C = \{1, 1, 1, 1, 1, 1, 2, 2, 3\} \end{array} \right]$$

Node 7 belongs to the 3rd connected comp.

→ Code

```
→ for (i=0; i<n; i++)
  { C[i] = 0; }
```

count = 0; *(initial no. of frontier degree = 0)*

```
for (i=0; i<n; i++)
{ deg[i] = 0; } (initial no. of degree = 0)
```

if (C[i] == 0) {

count++;

s = i;

Enqueue(Q, s);

C[s] = count;

while (Q) {

s = Q.front(); v = Dequeue(Q);

For every edge (u, v)

if (C[v] == 0) {

Enqueue(Q, v);

C[v] = count;

} *(local cover)* } *(global cover)*

}

→ Complexity

Given a connected graph, the no. of connected components & labeling the connected component to which a node belongs to can be done using ~~BFS~~ BFS

in  $\mathcal{O}(mn)$  time

*(Complexity for level):  $\mathcal{O}(full\_level)$*



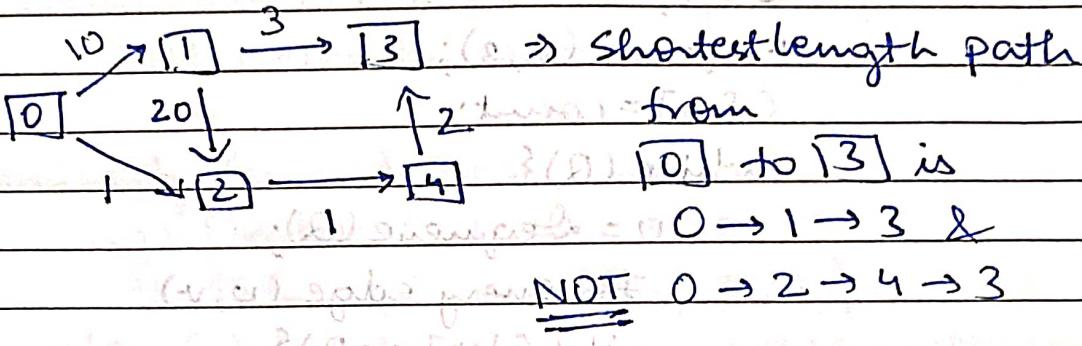
## Computing Shortest length Path using BFS



### Shortest length path

- Given a graph (directed or undirected).
- Given a source node  $s$ , find the shortest length path from  $s$  to every node in the graph.
- Length of a path is the no. of edges in the path

Ex:-



- We can set the edge weight 1 for each edge & use Dijkstra to compute the shortest length path. But it will take  $O(n+m) \log n$ .
- We can complete the shortest length path using BFS in  $O(n+m)$ .

To do this, we'll make a small modification in the code of BFS, which is maintaining an additional array  $L[v]$  where  $L[v] = \text{level of } v\text{th labeled node}$  in the BFS tree which we'll create.

for every edge  $(u, v)$

$$L[v] = L[u] + 1; (\text{level of root node} = 0)$$

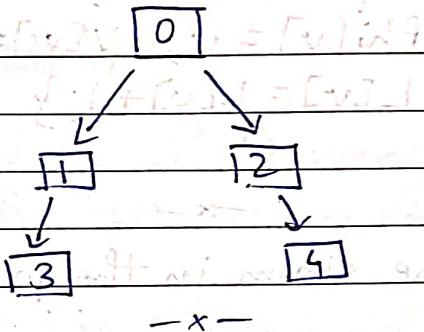
Every time we update  $\Phi[v]$ , we'll update  $L[v]$ .  
Each index of  $L$  will be initialized to  $\infty$  except for the index  $s$ . ( $L[s] = 0$ )

For ex:-

	indices	0	1	2	3	4
Initial $\Phi$	-1	-2	-2	-2	-2	
Final $\Phi$	-1	0	0	1	2	
Initial $L$	0	$\infty$	$\infty$	$\infty$	$\infty$	
Final $L$	0	1	1	2	2	

Let  $s$  be  $0$

BFS tree



→ The claim is that :-

$L[v] = \text{length of the shortest length path from } s \text{ to } v$

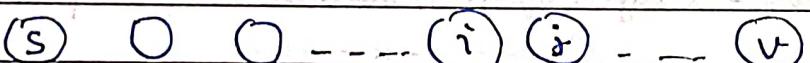
(\*)  $L[v] = \infty$  ( $\text{INT\_MAX}$ ) means node is not reachable

(\*) This shortest length path can be traced by the array  $\Phi[]$ .

CODE → for ( $i=0$ ;  $i < n$ ;  $i++$ )  
 {  $\Phi[i] = -2$ ;  $V[i] = \text{false}$ ;  $L[i] = \text{INT\_MAX}$ ; }  
  
 Enqueue( $Q, s$ );  
 $\Phi[s] = -1$ ;  $V[s] = \text{true}$ ;  $L[s] = 0$ ;  
  
 while ( $Q$ ) {  
 $v = \text{Dequeue}(Q)$ ;  
 For every edge  $(u, v)$   
 if ( $V[v] == \text{false}$ ) {  
 Enqueue( $Q, v$ );  
 $\Phi[v] = u$ ;  $V[v] = \text{true}$ ;  
 $L[v] = L[u] + 1$ ;  
 }
 }

(\*) Proof for the claim in the prev. pg.

Proof is by induction



Base case is trivially true (THE)  $\Rightarrow$   $f(1)$

Let us assume that till  $i$ th node claim is true

Now for edge  $(i, j)$

Phil [j] will be updated to i

&  $L[j]$  will be updated to  $(L[i] + 1)$

$\left\{ \begin{array}{l} \text{shortest length path from} \\ s \text{ to } i \end{array} \right\}$      $\left\{ \begin{array}{l} \text{shortest length} \\ \text{path from } i \text{ to } j \\ (\text{trivial}) \end{array} \right\}$   
 (b/c of our assumption)

∴ of course  $L[j]$  will be the shortest length path from  $s$  to  $j$ .

Hence proved

(\*) Distance b/w 2 nodes is weight of the shortest path b/w the nodes.

(\*) Diameter of a graph is the maximum distance b/w 2 nodes of the graph

Note:- Diameter of a graph is NOT the same as the longest path in the graph.

(\*) Diameter of a graph

→ Can be found in  $O(n^3)$  using Floyd-Warshall's algo. This is the best we can do for a general Graph.

→ However, if all the edges have unit weight then the distance b/w 2 nodes is the length of the shortest length path b/w the nodes.

→ This can be found in  $O((n+m)n)$  time by calling the BFS from each node of the graph.

→ Let  $G$  be an undirected connected graph. (all of its edges are of unit weight).

→ Let  $L$  be the maximum level of any node when applied BFS from a node  $s$ .

→ Let  $d$  be the diameter of the graph and let  $u$  &  $v$  be the nodes such that  $d$  is the length of the shortest length path b/w  $u$  &  $v$ .

$d = \text{length of the shortest path b/w } v \text{ & } u.$

$$\Rightarrow d \leq \emptyset L[u] + L[v]$$

$$L[u] \leq L \text{ & } L[v] \leq L \text{ (if not then } d \leq L)$$

$$\Rightarrow d \leq 2L \text{ & } L \leq d (\because d \text{ is diameter})$$

true only for  
undirected  
graph with  
unit edges

true for both  
directed & undirected  
graphs with unit  
edges.

$\Rightarrow$  for undirected graph with unit edges.

$L \leq d \leq 2L$

$\Rightarrow$  There is a linear time approximation algo  
to compute the diameter of an undirected  
graph (with unit edges).

$c(v)$  denotes the colour of the node labelled  $v$ .

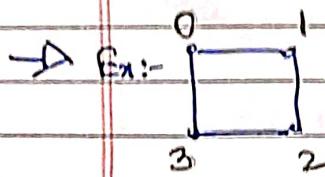
Study Material  
Topic

(17)

## Bipartite Graphs

\*

An undirected graph is said to be a bipartite graph, if the set of nodes can be divided into 2 disjoint sets say  $L \& R$  such that every edge has exactly one end point in  $L$  & the other end point in  $R$ .



is a bipartite graph (nodes 0 & 2 can be put in  $L$  while node 1 & 3 in  $R$ )



is NOT a bipartite graph

\*

## Colorable Graphs

- Let there be a set of  $k$  different colours  $\{1, 2, \dots, k\}$ . An undirected graph is said to be a  $k$  <sup>colorable</sup> coloured graph, if every node can be assigned one of the colours from  $\{1, 2, 3, \dots, k\}$  such that for every edge  $(u, v)$   $c(u) \neq c(v)$ ;  $k > 0$
- If  $k > 2$ , checking if a graph is  $k$  colourable or not is a NP-hard problem.

Ex:-

Ques:- Is the given graph 2-colourable?



## Bipartite Graphs

An undirected graph is Bipartite iff it is a 2-colorable. The proof is nothing but simple observation.

- If the graph is not connected then for it to be Bipartite, its each component should be bipartite.



## König Theorem, 1936

- An undirected graph is 2-colorable iff it does NOT contain an odd cycle.

P - Graph is 2 colorable

Q - It does not contain odd cycle

We need to prove  $P \Leftrightarrow Q$

For this first we'll prove  $P \Rightarrow Q$  or  $\neg Q \Rightarrow \neg P$

$\neg Q \Rightarrow \neg P$  corresponds to: If the graph has an odd cycle then it

is not 2 colorable.

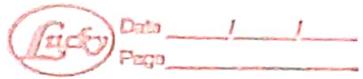
Let  $\neg Q$  be true

Let each node be denoted by either red (R)

or blue (B). So, if we start visiting the colors of the each node, it'll look like:

in the cycle

colors $\rightarrow$	R	B	R	B	-----	R
nodes $\rightarrow$	0	1	2	3		$n-1$



We see that  $c(0) = c(n-1)$   
 but 0 & n-1 are adjacent nodes  
 $\Rightarrow$  it is not a 2-colorable graph.

Now, we'll prove  $Q \Rightarrow P$

$Q \Rightarrow P$  corresponds to:-  
if a graph does not contain odd cycle  
then it is a 2 colorable graph

Let Q be ~~true~~ true

So, fix a node  $v$

Now, we will see Full & Full adder

$L = \{v; \text{there is odd length path from } v \text{ to } v\}$

~~Lemma~~ ~~if there is~~  $R = \{v\}$ ; there is even length path from  $v$  to  $v$

A node can either be in L or R, but NOT both.  
 If it happens so that a node is in both L & R  
 then the graph will contain an odd cycle, which  
 is not possible if Q is true. (Q is assumed)

∴ it is a 2 colorable graph.

✳️ (\*) Code to check if a graph is 2 colorable or NOT

→ Small description:-

Instead of using a  $V[]$ , we'll use only the  $L[]$  to do BFS. This  $L[]$  will do its original job as well as the job of  $V[]$ . We'll initialize each index of  $L[]$  to -1.

At any time if for a node  $v$

$L[v] < 0 \Rightarrow v$  has not been visited yet

$\Rightarrow$  we will add  $v$  to the queue

At last we'll check for each edge  $(u, v)$

if both  $L[u]$  &  $L[v]$  are odd or even

then the given graph is NOT a bipartite graph.

CODE →

```

for(i=0; i<n; i++) {
    if(L[i] == -1) {
        Enqueue(Q, s);
        L[s] = 0;
        while(Q) {
            u = Dequeue(Q);
            for every edge (u, v) {
                if(L[v] < 0) {
                    Enqueue(Q, v);
                    L[v] = L[u] + 1;
                }
            }
        }
    }
}

```

$O(m+n)$

For every edge  $(u, v)$   
 $\text{if } (L[u] \% 2 == L[v] \% 2)$  then the given graph is  
 NOT a bipartite graph.

✳️ We can check if a given graph is a bipartite or NOT in linear time.

#

## Recusive DFS and 27C

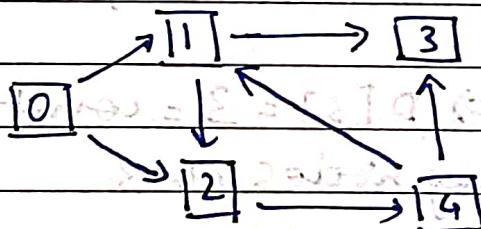
Recursive DFS uses the stack implicitly - You don't need to maintain a stack explicitly. This makes the implementation simpler and easier. When we see this recursive algo., we'll also compute 2 important quantities for each of the nodes in the graph which are known as discovery times and finish times.

This will be in addition to computing  $\Phi[\cdot]$ . Once we learn to compute discovery time and finish time, in later chapters we'll see some interesting applications of DFS using these.

After we've seen the recursive DFS, we'll see how to compute the discovery & finish times using iterative DFS. We'll see both in 27C

To maintain the discovery time of each node, we'll use an array  $D[\cdot]$ . Similarly for finish time  $\rightarrow F[\cdot]$

Let us see how to calc. discovery & finish time by taking an example.

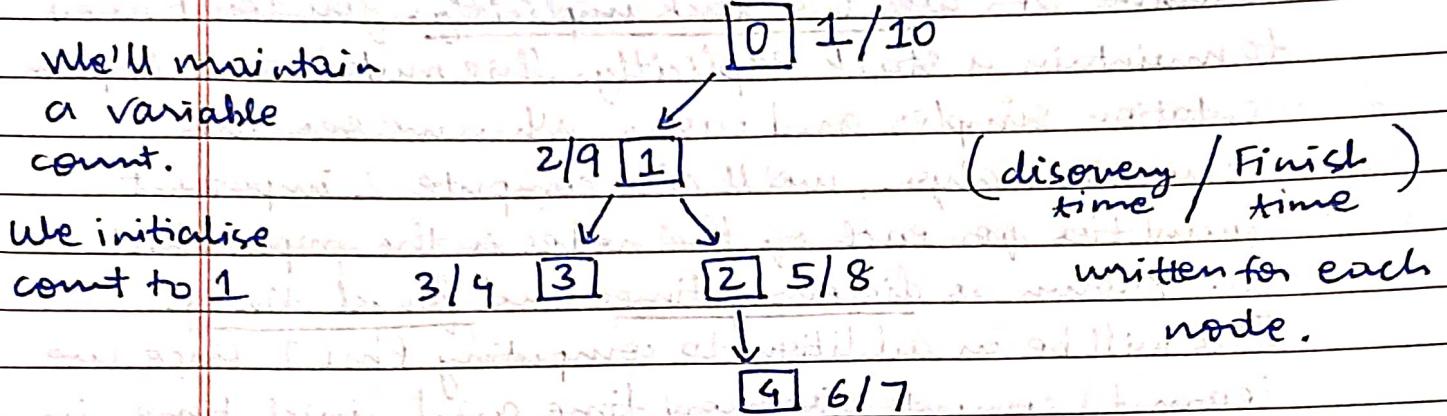


Let's do it step by step:

- Initial state:  $D[0] = 0$ ,  $F[0] = \infty$
- Step 1: Visit node 0.  $D[0] = 0$ ,  $F[0] = 0$
- Step 2: Visit node 1.  $D[1] = 1$ ,  $F[1] = \infty$
- Step 3: Visit node 2.  $D[2] = 2$ ,  $F[2] = \infty$
- Step 4: Visit node 3.  $D[3] = 3$ ,  $F[3] = \infty$
- Step 5: Visit node 4.  $D[4] = 4$ ,  $F[4] = \infty$
- Final state:  $D = [0, 1, 2, 3, 4]$ ,  $F = [0, 3, 2, 4, \infty]$

The DFS tree for the directed graph shown in prev. pg.

We'll maintain a variable count.



We'll traverse each node using DFS in the above DFS tree.

Note:- The order in which we visit each node in the DFS tree will be the same as the order in which we visit the node in the graph using DFS.

So 1st we visit  $D[0] \Rightarrow D[0] = \text{count} = 1$

Now  $\text{count}++$

then we visit  $D[1] \Rightarrow D[1] = \text{count} = 2$

$\text{count}++ \Rightarrow \text{count} = 3$



then we visit  $D[3] \Rightarrow D[3] = 3 = \text{count}; \text{count}++$   
 " " " outgoing nodes of 3

but  $[3]$  does NOT have any.

$\Rightarrow$  all the outgoing nodes of  $[3]$  have been visited

$\Rightarrow F[3] = 4 = \text{count}; \text{count}++$

Then we come back to 1 & look at its other outgoing node i.e. [2]

$$\Rightarrow D[2] = \text{count} = 5$$

$\text{count}++$  means not

$\text{Count} \approx \text{F}(V) + 1$

Then we look at [4]  $\Rightarrow D[4] = \text{count} = 6$ ;  $\text{count}++$   
 " " " " outgoing nodes of [4]

but [4] does not have any

$$\Rightarrow F[4] = \text{count} = 7$$
;  $\text{count}++$

Then we come back to [2]

All the nodes of [2] have been visited

$$\Rightarrow F[2] = \text{count} = 8$$

$\text{count}++$

Then  $F[1] = 9$  &  $F[0] = 10$ .

→ CODE for recursive DFS

→ DFSvisit(G)

{

for ( $i = 0$ ;  $i < n$ ;  $i++$ )

{  $\text{phi}[i] = -2$ ;  $D[i] = 0$ ;  $V[i] = \text{false}$ ;  $F[i] = 0$  }

$\text{count} = 1$ ;

for ( $i = 0$ ;  $i < n$ ;  $i++$ )

{ if ( $V[i] = \text{false}$ )

{  $\text{phi}[i] = -1$ ;  $\text{DFS}(G, i)$  }

}

}

$O(n+m)$

$\rightarrow \text{DFS}(G, u)$  { initial & final value of  $v$  are same }

$V[v] = \text{true};$

$D[i] = \text{count}++;$   $i \in \{0, 1, 2, 3, 4\}$

For every edge  $(u, v)$

if ( $V[v] == \text{false}$ )

{  $\Phi(v) = u; \text{DFS}(G, v); }$

$F[v] = \text{count}++;$

}

### (\*) How the iterative algo works

	indices	0	1	2	3	4
initial	$\Phi(\text{init})$	-1	-2	-2	-2	-2
Final $\Phi$		-1	0	1	1	2
Initial $V$	False	False	False	False	False	False
Final $V$	True	True	True	True	True	True
Initial $D$	0	0	0	0	0	0
Final $D$	1	2	5	3	6	
Initial $F$	0	0	0	0	0	0
Final $F$	10	19	18	4	7	
Count	1	2	3	4	5	6

First, we push  $s$  into  $S$  i.e  $[0] \Rightarrow D[0] = \text{count} = 1$   
 ~~$\Rightarrow V[0] = \text{false}$~~   $\Rightarrow$  count++

Then we pop  $0$  & look at its outgoing nodes.

i.e.  $2 \& 1 \Rightarrow V[0] = \text{true},$

$\therefore V[2] = V[1] = \text{false}$

$\Rightarrow \Phi[2] = \Phi[1] = 0$

we again push  $0 \&$  then

push  $2 \& 1$  then  $\Rightarrow S = [2, 0] \Rightarrow D[2] = 2$   
 count++ and push  $1 \&$  count++  $\Rightarrow D[1] = 3$

First, we push  $s$  i.e  $|0| \Rightarrow S = \begin{bmatrix} 0 \end{bmatrix}$

then we pop  $0$  & look at its outgoing nodes

i.e.  $1, 2, 3$

$$\Rightarrow V[0] = \text{true}; D[0] = \text{count} = 1$$

update count = 2

Again we push  $0$ .

$$\therefore V[1] = V[2] = \text{false}$$

$$\Phi[1] = \Phi[2] = 0;$$

& then we push  $2$  &  $3$  ~~as it has 2 outgoing nodes~~

$$\Rightarrow S = \begin{bmatrix} 1 \\ 2 \end{bmatrix}$$

Now, we pop  $1$  & look at its outgoing nodes

i.e.  $2, 3$

$$\Rightarrow V[1] = \text{true}; D[1] = \text{count} = 2$$

update count = 3

Again we push  $1$

$$\therefore V[2] = V[3] = \text{false}$$

$$\Rightarrow \Phi[2] = \Phi[3] = 1$$

then we push  $2, 3$  ~~as it has 2 outgoing nodes~~

$$\Rightarrow S = \begin{bmatrix} 2 \\ 1 \\ 2 \\ 0 \end{bmatrix}$$

Now, we pop  $3$  & look at its outgoing nodes

but it doesn't have any

$$\Rightarrow V[3] = \text{true}; D[3] = \text{count} = 3$$

update count = 4

Again we push  $3$

$\because$  no outgoing node

$\Rightarrow$  we do nothing  $\Rightarrow S =$

$$\begin{bmatrix} 3 \\ 2 \\ 1 \\ 2 \\ 0 \end{bmatrix}$$

Again we pop 3  $\because V[3]$  is already true

$\Rightarrow F[3] = \text{count} = 4$   
 $\Rightarrow \text{update count} = 5$

$S = \text{transient}$	$\Rightarrow S =$	$\begin{array}{ c c } \hline 2 & \\ \hline 1 & \\ \hline 2 & \\ \hline 0 & \\ \hline \end{array}$
$\Rightarrow \text{slot} = 150V = 150V$		

Now, we pop 2 & look at its outgoing nodes i.e. 4

$\Rightarrow V[2] = \text{true}; D[2] = \text{count} = 5$   
 $\Rightarrow \text{update count} = 6$

Again we push 2  
 $\because V[4] = \text{false}$

$\Rightarrow \text{Phi}[4] = 2$   
 $\&$  then we push 4  $\Rightarrow S =$

$\begin{array}{ c c } \hline 4 & \\ \hline 2 & \\ \hline 1 & \\ \hline 2 & \\ \hline 0 & \\ \hline \end{array}$
---

Now, we pop 4 & look at its outgoing nodes

$\Rightarrow V[4] = \text{true}; D[4] = \text{count} = 6$   
 $\Rightarrow \text{update count} = 7$

Again we push 4

$\because \text{No outgoing nodes}$   
 $S = \text{transient} = 157 \Rightarrow \text{do nothing}$

$\Rightarrow \text{transient slot} = 157$   
 $\Rightarrow \text{push } 3 \Rightarrow S =$

$\begin{array}{ c c } \hline 4 & \\ \hline 2 & \\ \hline 1 & \\ \hline 2 & \\ \hline 0 & \\ \hline \end{array}$
---

Again, we pop 4 from stack and mark as visited

but  $V[4]$  is already true

if  $F[4] = \text{count}$   $\Rightarrow F[4] = 7 = \text{count}$

so we do nothing  $\Rightarrow$  update count = 8

Now, we pop 2	$\Rightarrow S =$	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>2</td></tr> <tr><td>1</td></tr> <tr><td>2</td></tr> <tr><td>0</td></tr> </table>	2	1	2	0
2						
1						
2						
0						

but  $V[2]$  is already true

$\Rightarrow F[2] = \text{count} = 8$

so we do nothing  $\Rightarrow$  update count = 9

Now, we pop 1	$\Rightarrow S =$	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>1</td></tr> <tr><td>2</td></tr> <tr><td>0</td></tr> </table>	1	2	0
1					
2					
0					

but  $V[1]$  is already true

$\Rightarrow F[1] = \text{count} = 9$

so we do nothing  $\Rightarrow$  update count = 10

so we do nothing  $\Rightarrow$  update count = 10

Now, we pop 2	$\Rightarrow S =$	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>2</td></tr> <tr><td>0</td></tr> </table>	2	0
2				
0				

but  $V[2]$  is already true  
 &  $F[2]$  is also updated  
 $\Rightarrow$  update = [0, 7]  $\cap$  {2} = [2] as  $F[2] \neq 0$

Hence we do NOTHING

$\Rightarrow S =$	<table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td>0</td></tr> </table>	0
0		

At least we pop 0 but  $V[0]$  is already true

$$\Rightarrow F[0] = \text{count} = 10$$

~~update count = 11~~

$$S = \boxed{\quad}$$

$\therefore S$  is empty we STOP;

— X —

(\*) Code

→ DFSvisit( $G$ )

{ visit each node in  $S$  in LIFO order

for ( $i=0$ ;  $i < n$ ;  $i++$ )  $\Rightarrow S[i] \neq \emptyset$

P {  $\Phi[i] = -2$ ;  $D[i] = 0$ ;  $V[i] = \text{false}$ ;  $F[i] = 0$ ; }

count = 1;

Push( $S, \emptyset$ );  $\Phi[\emptyset] = -1$ ;

while ( $S$ ) {

$v = \text{Pop}(S)$ ;  
add  $v$  to  $L$  in  $F[0]V$  and

if ( $V[v] == \text{false}$ ) {

distances to  $v$  = true; Push( $S, v$ );

$D[v] = \text{count}++$ ;

for every edge  $(v, w)$

if ( $V[w] == \text{false}$ ) {

Push( $S, w$ );

add  $w$  to  $L$  in  $F[0]V$   $\Phi[w] = v$ ;

list edges with  $w$  in  $L$  in  $F[0]S$  }

else if ( $F[w] == 0$ )  $F[w] = \text{count}++$ ;

return  $L$  at end of while

}

| 0 | = 2  $\Leftarrow$

— X —

$n = \text{no. of nodes}$



IIT  
BOMBAY



## DFS Properties of finish time

In this chapter we'll look at 2 theorems which exploit the finish time of the vertices/nodes.

The array  $D[\cdot]$  will have  $n$  distinct values  
" " "  $F[\cdot]$  " " " " " "

$\Rightarrow$  Every node is associated with a closed interval  
 $[D[u], F[u]]$



### Observation

Let  $u$  &  $v$  be 2 nodes

such that  $D[u] < D[v]$  then

one of the following should be true.

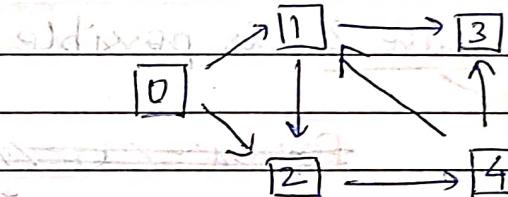
$D[u] \rightarrow 1/10$

$D[v] \rightarrow 2/9$

DFS tree  
for the  
directed  
graph  
given  
below

$1/4 \rightarrow 6/7$

Case 1  $F[u] < D[v]$



In this case neither

$u$  is the ancestor of  $v$  nor  $v$  is the ancestor of  $u$ .

(in the DFS tree).

For ex. nodes 3 & 4 in the given DFS tree.

Case 2  $F[v] < F[u]$

In this case  $u$  is an ancestor of  $v$  (in the DFS tree).

For ex. nodes 1 & 3.

Note :- In the observation given in prev. pg, no other cases are possible

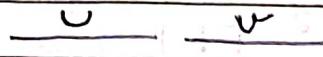


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(\*) The observation in the prev. pg can be rephrased as :-

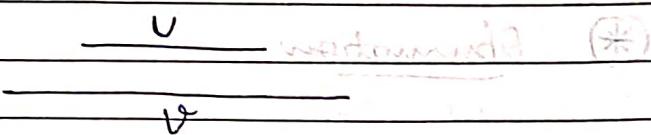
Let  $u$  &  $v$  be 2 nodes such that  $F[u] < F[v]$  then one of the following should be true.

Case 1  $F[u] < D[v]$



Neither  $u$  is ancestor of  $v$  nor  $v$  is ancestor of  $u$  (in the DFS tree).

Case 2  $D[v] < D[u]$



$v$  is the ancestor of  $u$  (in the DFS tree).

NO other cases possible

(\*) In case  $(u, v)$  is an edge in the graph then only case 2 is possible

~~For ex :-  $u$  - node 1 ;  $v$  - node 2~~

~~in the given directed graph.~~

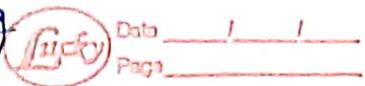
Theo 1 Let  $(u, v)$  is an edge in the graph such that  $F[u] < F[v]$ , then there must be a path in the graph from  $v$  to  $u$ .

Th<sup>m</sup> 2 If there is a path from  $u$  to  $v$  in the graph s.t.

$F[u] < F[v]$  then there must be a path from  $v$  to  $u$ .

(\*) Th<sup>m</sup> 2 is a generalization of Th<sup>m</sup> 1.

This is known as topological sorting



## Topological sort of a DAG

- \* DAG (Directed acyclic graph) is a directed graph which does not contain a cycle.

- \* Apply DFS on  $G_i$ , then list the nodes in the long order of finish times

You can do this by initializing a variable 'c' to 1 in the 'DFSvisit' function (the recursive one)

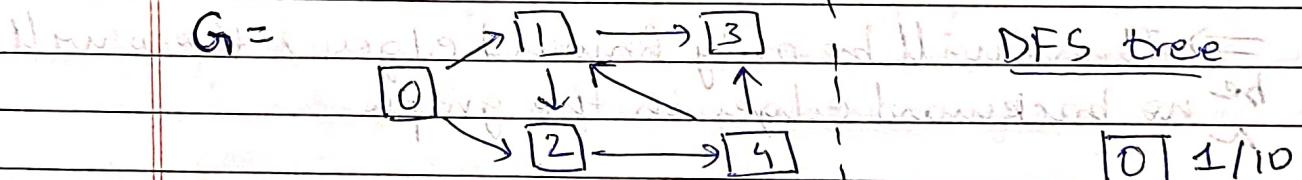
& an array  $L[i]$  to store the final answer

In the recursive 'DFS' fn where we do

$F[u] = \text{count}$ ;  $F[v] > F[u]$

After this line you can add

$L[n-c] = u$ ;  $c++$



$$L = \{0, 1, 2, 4, 3\}$$

Let there be  $i$  &  $j$

such that  $i < j$

& let  $v = L[i]$  &  $u = L[j]$  then  $F[v] < F[u]$

$\Rightarrow$  if there exists a path from  $v$  to  $u$  in the graph then there must be a path from  $u$  to  $v$  in the graph.

That is there must exist a cycle  
in the ex in the prev. pg.

$$v = \boxed{1} \quad u = \boxed{2}$$

& there exist a path from  $\boxed{2}$  to  $\boxed{1}$   
As can be seen there is cycle in the graph.



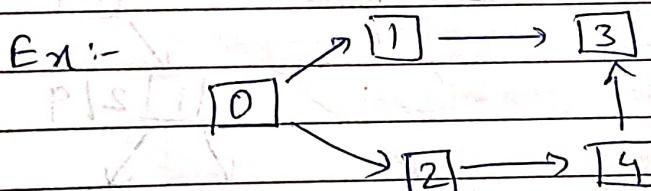
Result of above observation

If we list the nodes of a DAG in the ~~ring~~ order of finish times.

$$i < j ; v = L[i] ; u = L[j]$$

Then ~~can~~ there cannot exist a path from  $v$  to  $u$

→ There will be only forward edges & there will  
be no backward edges in the graph



$$L = \{0, 1, 2, 4, 3\}$$

0 1/10

1 2/9

2 5/8

3 3/4

4 6/7

#

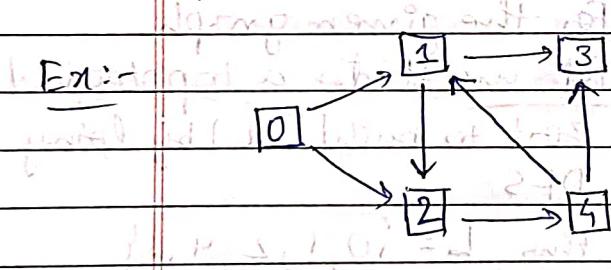
## Strongly Connected Component of a directed Graph.

We talked about connected component in case of undirected graphs. B/c in that case if there exists a path from node  $u$  to  $v$  then there must exist a path from  $v$  to  $u$ . However, it's not the same with directed graphs. Hence, comes the concept of strongly connected components (SCC).

- (\*) A directed graph is disjoint union of strongly connected components.

A SCC of a directed graph is a sub graph such that :-

1. Given  $u$  and  $v$  in  $\text{SCC}$ , there must be a path from  $u$  to  $v$  & a path from  $v$  to  $u$  in the graph.
2. Given  $u$  in  $\text{SCC}$  &  $v$  not in  $\text{SCC}$ , there should not be a 2 way path b/w  $u$  &  $v$ . There may be a one way path b/w them.



SSCs in the given graph

are :-

- i)  $\{0\}$
- ii)  $\{1, 2, 4\}$
- iii)  $\{3\}$

(\*)

## Transpose of a graph

Given  $G(V, E)$

For every edge  $(u, v)$  add an edge  $(v, u)$  in  $E^T$

Then  $G^T(V, E^T)$  is called the transpose of the graph.

Given an adjacency list of  $G$ , adjacency list of  $G^T$  can be formed in following way:

Let us say we look at the outgoing nodes of a node  $v$  in the adjacency list of  $G$ . Let the 1st outgoing node be  $u$ .

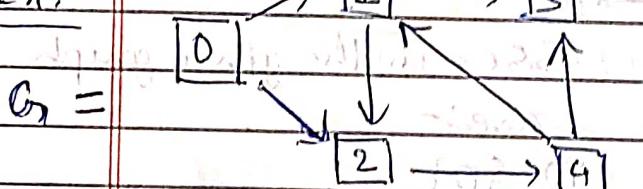
Now, we go to the  $v$ -th index in the adjacency list of  $G^T$  & mark  $u$ . Keep doing this to complete  $G^T$ .

As can be observed, transpose of a graph can be found in  $O(n+m)$ .

**Note:-** Adjacency matrix of  $G^T$  = Transpose of adjacency matrix of  $G$ .

- (\*) SCCs of  $G$  &  $G^T$  are same.
- (\*) How does the algo work to compute the no. of SCCs in a given directed graph.

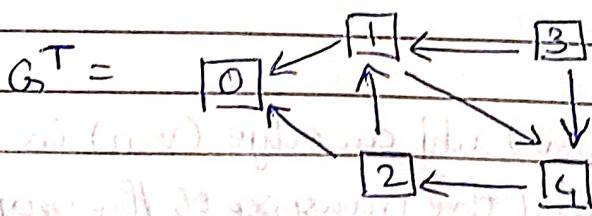
Ex:-



For the given graph  
1st we'll do a topological sort to build  $L$  by doing DFS

For this  $L = \{0, 1, 2, 4, 3\}$

Now we create the transpose of a given graph



Now by using the same  $L$  that we built we will do a DFS for each node in  $G^T$ .

→ indices → 0, 1, 2, 3, 4 (listed out above)  
 $L = \{0, 1, 2, 4\}$

We start with  $L[0] = 0$  & do a DFS

No other nodes can be visited

$\Rightarrow SCC_1 = \{0\}$

Then we come to  $L[1] = 1$  & do a DFS

→ nodes ~~0, 1, 2 & 4~~ are visited

$\Rightarrow SCC_2 = \{1\}$

~~No wonder, that while doing DFS from node 1, we'll encounter 0, but 0 has already been visited so we do nothing.~~

Now, we come to  $L[2] = 2$  but node 2 is already visited. Similarly for  $L[3] = 4$

When we reach  $L[4] = 3$ , it's not yet visited

Do a DFS → nodes 0, 1, 2, 4 & 3 will be visited

but we've already encountered 0, 1, 2 & 4

earlier → we do nothing

$\Rightarrow SCC_3 = \{3\}$

∴ The given graph has 3 SCCs;

- $\{0\}$
- $\{1, 2, 4\}$
- $\{3\}$



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→ Code to build  $L[\cdot]$  for Graph

→  $\text{DFSvisit}(G)$

```
{  
    // DAG search &  $G = [0 \dots n-1]$  after build  $L[\cdot]$   
    for ( $i=0$ ;  $i < n$ ;  $i++$ ) { initial visit all  
         $\{\Phi[i]=-2$ ;  $D[i]=0$ ;  $V[i]=\text{false}$ ;  $F[i]=0\}$  }
```

$\Rightarrow \text{count} = 1$ ;  $c = 1$ : first visit node  $v$  and  $F[c]$

for ( $i=0$ ;  $i < n$ ;  $i++$ ) { visit  $v$

{ if ( $V[i] == \text{false}$ ) {  $S = S \cup \{i\}$  } }

if ( $S \neq \emptyset$ ) {  $\Phi[i]=-1$ ;  $\text{DFS}(G, i)$ ; }

else  $\Phi[i]=0$ ;  $F[c] = c$ ;  $c++$  }

}

return all  $v$  we visited in  $S$

$\Rightarrow \text{DFS}(G, v)$  { find  $S = [v] \cup \text{visit}_v$  with }

$V[v] = \text{true}$ ;  $S = S \cup \text{visit}_v$  (let's do it)

$D[v] = \text{count}++$ ;

For every edge  $(v, u)$  does  $u$  in  $S$ ?

if ( $V[u] == \text{false}$ ) {  $S = S \cup \{u\}$  } else  $\Phi[u] = 0$ ;

$\Phi[u] = 1$ ;  $\text{DFS}(G, u)$  { visit  $u$  }

return all  $v$  we visited

$F[v] = \text{count}++; \underline{E[n-c]} = v; \underline{c++};$

}

return  $S$  and  $\Phi$  array

if  $(iii - \Phi[u]) \neq 0$  then  $\Phi[u] = 0$ ;

else  $\Phi[u] = 1$ ;

return  $S$  and  $\Phi$  array

→ Code to do a DFS for  $G^T$  & count the no. of SCCs.

→  $\text{DFSvisit}(G^T)$

{

    for( $i=0$ ;  $i < n$ ;  $++i$ )

        {  $V[i] = \text{false}$ ; }

    for( $i=0$ ;  $i < n$ ;  $++i$ )

        if( $V[i] == \text{false}$ )

            {  $\text{SCC}++$ ;  $\text{DFS}(G^T, L[i])$ ; }

→  $\text{DFS}(G^T, v)$  {

$V[v] = \text{true}$ ;

$\text{SCC}[v] = \text{scc}$ ;

    For every edge  $(u, v)$  in  $G^T$

        if( $V[v] == \text{false}$ )

$\text{DFS}(G^T, v)$ ;

(\*) Correctness of algo.

To prove that our algo works correctly, we'll need to prove that what we calculated are actually SCCs. And to prove this we need to show the following 2 conditions:-

- i) Given  $v$  and  $v'$  in  $\text{SCC}$ , there must be a path from  $v$  to  $v'$  & a path from  $v'$  to  $v$  in the graph.
- ii) Given  $v$  in  $\text{SCC}$  &  $v'$  not in  $\text{SCC}$ , there should not be a

path from  $v$  to  $u$  and a path from  $u$  to  $v$  in the graph.

$\rightarrow$

(\*)  $\text{Lucky} \rightarrow$

### Proof for condition 2

(\*)  $\text{Lucky} \rightarrow$

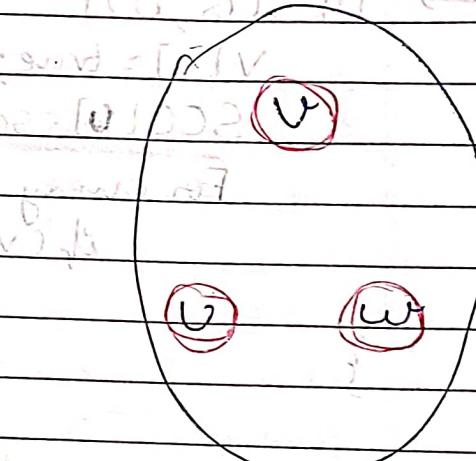
In our algo, we move on to the new SCC only when we can't find any path connecting the nodes which are still not covered. And we don't count the nodes which we've encountered in old SCCs.

i.e. if  $i < j$  then there can't be any edge from  $\text{SCC}[i]$  to  $\text{SCC}[j]$  in the  $G^T$ .

$\rightarrow$  (\*)  $\text{Lucky} \rightarrow$

### Proof for condition 1

Let  $v$  and  $w$  be 2 nodes in the same SCC obtained by applying DFS starting at the node  $v$ .



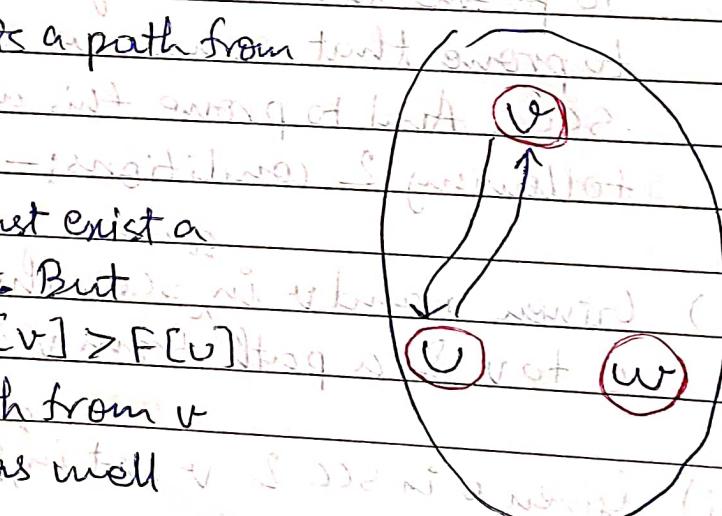
$$\Rightarrow F[v] > F[u] \& F[v] > F[w]$$

In  $G^T$  there exists a path from  $u$  to  $v$ .

so in  $G$  there must exist a path from  $u$  to  $v$ . But we know that  $F[v] > F[u]$ .

$\Rightarrow$  there exist a path from  $v$

to  $w$  in graph  $G$ , as well



↳  $G^T$  has no transitive relations  
↳  $G^T$  has no loops

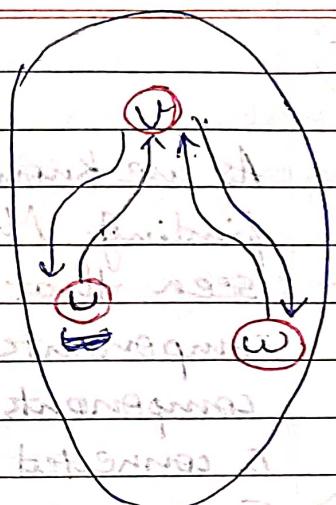
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Similarly, with standard

In  $G^T$  there exists a path from  $v$  to  $w$ .

Again we can't make a cycle with  $v$  and  $w$  because  
there is no self-loop on  $v$  and  $w$ . So in  $G^T$  there exists a path from  $w$  to  $v$ .

But  $F[v] > F[w]$  which means  $v$  is closer to  $u$  than  $w$ .  
 $\Rightarrow$  there exists a path from  $v$  to  $w$  in  $G$ .



Remem-  
ber {

→ CC  
connected component → undirected graph  
SCC → directed graph

Lucky

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## Kruskal's Algorithm

As we know already that this algo. is used for finding Min & Max spanning tree. We've also seen that adding an edge across the connected components reduces the no. of connected components by 1, while adding an edge within a connected component introduces a cycle.

→ For min spanning tree

So, what we do here now is, initially we'll have all the vertices of the graph (but no edges), i.e. initially these vertices are not connected.

Now, we'll arrange the edges of the graph in ~~tiny~~ <sup>tiny</sup> order (A/T their weights). We'll ~~start~~ consider each edge and ask a question whether these end points will go across the CC or within the CC. If it goes across the CC, then we'll add this into the Min spanning tree. However, if they go within the CC, then we won't add it b/c adding it will introduce a cycle which will in turn dissatisfaction the very def'n of a tree.

→ x →

If instead of considering the edges in ~~tiny~~ <sup>tiny</sup> order, we consider the edges in ~~tiny~~ <sup>tiny</sup> order & perform the same operations as above after that, we'll get a max spanning tree.

\* For example

The following are the edges of the graph

given in King order A/F their weights:-

✓ 1.  $(1, 3, -4)$

✓ 2.  $(2, 4, -3)$

✓ 3.  $(1, 2, -2)$

X 4.  $(1, 4, -1)$

X 5.  $(3, 4, 1)$

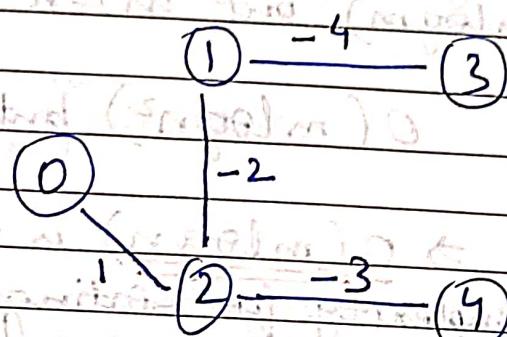
✓ 6.  $(0, 2, 1)$

X 7.  $(0, 4, 3)$

X 8.  $(0, 1, 4)$

X 9.  $(2, 3, 5)$

X 10.  $(0, 3, 6)$



Weight of the min spanning tree thus formed = -8

Now, taking the edges of the same undirected graph in King order.

✓ 1.  $(0, 3, 6)$

✓ 2.  $(2, 3, 5)$

✓ 3.  $(0, 1, 4)$

✓ 4.  $(0, 4, 3)$

X 5.  $(0, 2, 1)$

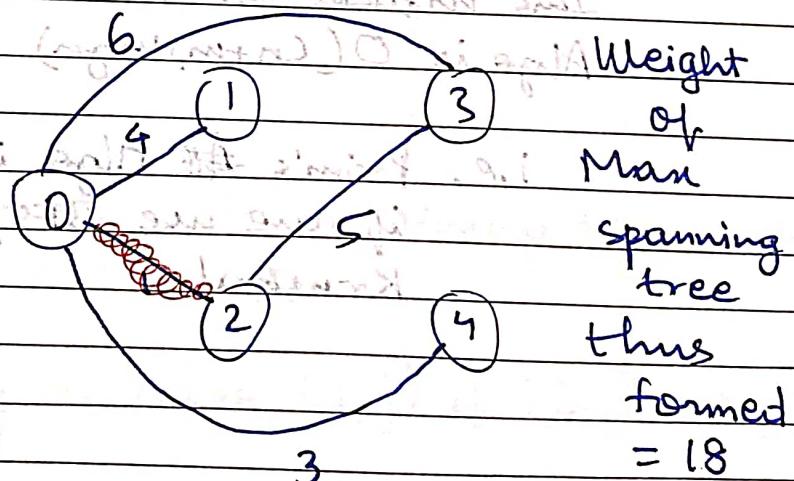
X 6.  $(3, 4, 1)$

X 7.  $(1, 4, -1)$

X 8.  $(1, 2, -2)$

X 9.  $(2, 4, -3)$

X 10.  $(0, 3, 6)$



$(0, 2) \rightarrow$  NOT an edge

→ Kruskal's algo comes under the category of greedy algo. We'll see the correctness of Kruskal's algo when we'll revisit it under greedy algo.

(\*) Sorting the edges based on their weights takes  $O(m \log m)$  but  $m \leq n^2$

$$O(m \log n^2) \text{ but } O(\log n^2) = O(2 \log n) \\ = O(\log n)$$

$\Rightarrow O(m \log n)$  is the complexity for sorting the edges

Q.) How do you check if the given edge  $(u, v)$  goes across the CCs or goes within the CC.

Ans We can apply BFS/DFS from  $v$  on the MST & check if  $u$  is connected to  $v$  or not, with

This makes Kruskal's Algo  $O(mn)$  but Prim's Algo is  $O((n+m)\log n)$

using BFS/DFS

i.e. Prim's Algo is much more efficient.  
if we use BFS/DFS to implement  
Kruskal

spbs in TOW  $\leftarrow (S, 0\right)$



# nodes  
analogous to  
a given graph

## Disjoint Union

In this chapter we'll study a data structure called disjoint union data structure.

Let us assume we're given a universal set

$$U = \{0, 1, 2, \dots, n-1\}; \text{ cardinality of } U = n$$

\* We'll perform 3 operations:-

### 1.) MakeSet

{ It will make  $n$  singleton disjoint sets. Analogous to  $n$  CCs that we start with to form MST where each node is a CC, initially.

### 2.) Disjoint (i, j)

{ It will let us know whether the elements  $i \& j$  belong to the same set.

Analogous to answering whether  $i \& j$  nodes belong to 2 different CCs or same.

### 3.) Union (i, j)

{ It will replace the sets containing  $i \& j$  with the union of these 2 sets.

Analogous to adding an edge  $(i, j)$  if  $i \& j$  belong to 2 different CCs.

For ex:-  $A = \{1, 3, 5\}$   $B = \{2, 7\}$   $C = \{4, 6\}$

$\text{Disjoint}(3, 4)$  returns true  
while  $\text{Disjoint}(3, 5)$  returns false.

$\text{Union}(3, 4)$  does  $A = A \cup C$

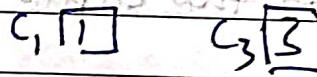
$$\Rightarrow A = \{1, 3, 4, 5, 6\}$$

Now, let us assume that each set is represented by a colour

say,  $C_i$  represents colours of  $S_i$ :

Then given  $U = \{0, 1, 2, 3, 4\}$  i.e. cardinality of  $U = 5$

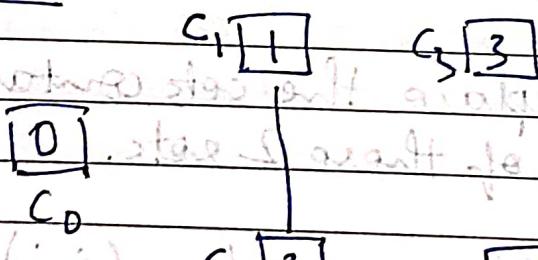
the we if we do  $\text{MakeSet}$ , we get



5 different

elements of  $C_0 : 0$ . So they are disjoint sets.

we do  $\text{Union}(1, 2)$



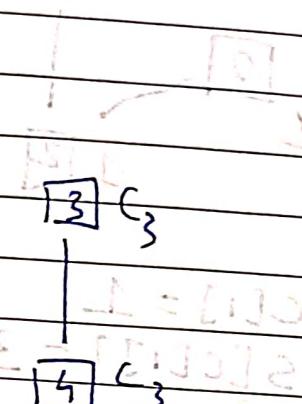
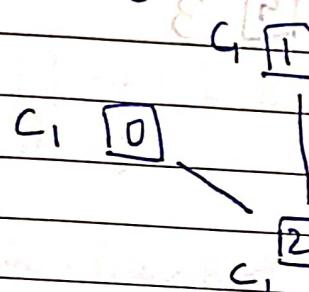
Then we do  $\text{Union}(3, 4)$

the soft set  $C = \{1, 2, 3\}$

$S = \{10, 12\}$

(soft sets w.r.t  $C$ )

Union( $0, 2$ ) gives



We want to make minimum no. of colour changes. To achieve this:-

if we do Union( $a, b$ )  
(such that  $a \in S_1$  &  $b \in S_2$ ) &  $S_1 > S_2$

$\Rightarrow$  we'll merge  $S_2$  to  $S_1$  & NOT  $S_1$  to  $S_2$ ,  
i.e. we'll change the colour of the elements  
in  $S_2$  to colour  $C_1$ ,  
instead of doing it vice versa.

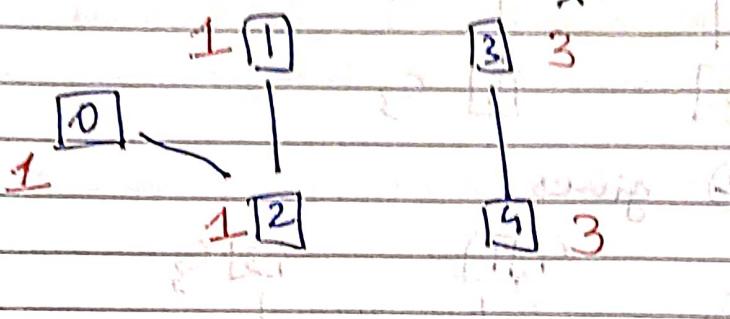
\* To perform these operations, we'll design a data structure which is called 'Disjoint Union' & to implement this we'll use:-

1.)  $C[i]$  - the colour of the element set to which the element of  $i$  belongs to.

2.)  $S[C[i]]$  - no. of elements in the set whose color is  $C[i]$ .

3.)  $\text{List}[C[i]]$  - list of elements in the set whose color is  $C[i]$

for ex:-



Color of the set

to which that

node belongs to  
is written beside  
each node

$$C[1] = 1$$

$$S[C[1]] = 3$$

$$\text{List}[C[1]] = [0, 1, 2]$$

→ Code for MakeSet takes  $n$  as argument

→ For ( $i=0$ ;  $i < n$ ;  $i++$ )  
 $\{ C[i] = i; \quad \text{List}[i] = \text{newnode}([\text{List}[i], i]);$   
 $S[i] = 1;$

rest } Total is  $2$  at  $2$  arrays  $S$  and  $C$

standard diff for insertion diff  $\rightarrow$  towards higher cost

(\*) Disjoint( $i, j$ ) → check if  $C[i] == C[j]$

element  $i \neq j$  belong to same set iff  $C[i] == C[j]$

initially all are disjoint, so it's easy to check

It takes  $O(1)$  to check and will take  $O(n^2)$

$\rightarrow$  Total time complexity of  $O(n^2)$

→ for example add 10 numbers with  $n = 10$  to  $C$   
 of standard  $\{$  for  $i$  from  $1$  to  $n$  add  $i$  to  $C$

so after 102 add all elements to  $C$  on  $\rightarrow [1, 2, 3, 4, 5, 6, 7, 8, 9, 10]$   
 . 1000 at nodes

(\*) Union( $i, j$ )

Take the smaller set & merge it with the bigger set

if ( $S[C[i]] < S[C[j]]$ )

$S[C[j]] += S[C[i]]$ ;

$S[C[i]] = 0$ ;

}

Delete each element in the list  $[C[i]]$  & add it to the list  $[C[j]]$  & change the color of each element in  $C[i]$  to  $C[j]$

(\*) "Union( $i, j$ )" in most case can become  $O(n)$

if  $S[C[i]] = S[C[j]] = n/2$

But this doesn't happen quite often.

(\*) We shall now show that n 'union' takes  $O(n \log n)$  time.

i.e.: Each element can change its color atmost  $O(\log n)$  times.

To prove this, is equivalent to prove the Th<sup>m</sup> given below

— — —

Th<sup>m</sup>: If an element has changed its color  $k$  times, then the size of the set to which it belongs to should be  $\geq 2^k$ .

i.e. to prove  $S[C[i]] \geq 2^k$

Proof is by induction

$$k=0 \quad S[C[i]] \geq 2^0 = 1$$

Assuming Th<sup>m</sup> to be true for  $k-1$  colour changes

$$S[C[i]] \geq 2^{k-1}$$

It will be merged with a bigger set

So after  $k$ th color change

$$\Rightarrow S[C[i]] \geq 2^{k-1} + 2^{k-1} = 2^k \Rightarrow \text{no. of elements} \geq 2^{k-1}$$

no. of elements already there

$$\Rightarrow S[C[i]] \geq 2^k$$

Hence proved

So, Size of the Universal Set is  $n$

So, any element can change its color atmost  $\log n$  times (using above Th<sup>m</sup>)

\*  $\Rightarrow n$  'unions' can take atmost  $O(n \log n)$  time

so, it takes  $O(n \log n)$  time to add the element to the set with  $\log n$  additions

SUMMARY

1. MakeSet  $\rightarrow O(n)$  time.
2. Disjoint(i, j)  $\rightarrow O(1)$  time
3. m Unions  $\rightarrow O(n \log n)$  time

\* Kruskal's Algo makes m calls to Disjoint & n-1 calls to Union.

$\Rightarrow$  Complexity of Kruskal's Algo becomes :-  
 $O(m \log n + m + n \log n)$   
 $= O((n+m)\log n)$

Sorting the edges  
 based on their  
 weights

already sorted and union done directly

shown how allting

is

is

is

0.99

#

## Disjoint Union

\*

We've already seen in prev. chapter :-

1. MakeSet -  $O(n)$  (time)  $\sim O(n)$  (n log n)
2. Disjoint(i, j) -  $O(1)$
3. Union(i, j) -  $O(\log n)$  on average.

→ -

\*

In this chapter we're gonna see:-

1. MakeSet( $O(n)$ )

{ 2. Disjoint(i, j) →
 

- a.)  $O(\log n)$  & then modify it to
- b.)  $O(1)$  → AMORTIZED

3. Union(i, j) -  $O(1)$

→ -

To achieve this, we'll introduce a concept of parent & root. (same as the parent & root we learnt in trees).

Each node will be associated with a parent. while " set will be " " " root.  
 & given a root means given a tree.  
 i.e. each set is represented by a tree.

→ -

\*

Let us see by taking an example

$$\begin{aligned}
 P[0] &= 0 \\
 P[1] &= 1 \\
 P[2] &= 2 \\
 P[3] &= 3 \\
 P[4] &= 4
 \end{aligned}$$



initially each node is a separate set  
 (i.e. separate tree)

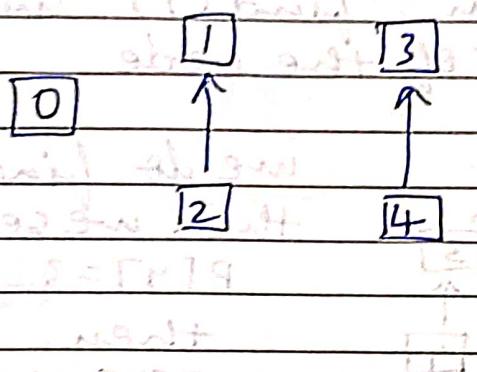
→ each node is a parent of itself  $\Rightarrow P[i] = i$

NOTE:- if  $P[i] = i \Rightarrow$  node  $i$  is a root node at that instant

\* {Union( $i, j$ )} → means we make  $P[\text{root}(j)] = \text{root}(i)$ ,  
if ( $\text{CC of } i > \text{CC of } j$ ) in terms of no. of elements  
we do Union( $i, j$ )

→ we set parent of  $2$  to be  $1$   
 $\Rightarrow P[2] = 1$

similarly union ( $3, 4$ )  $\Rightarrow P[4] = 3$

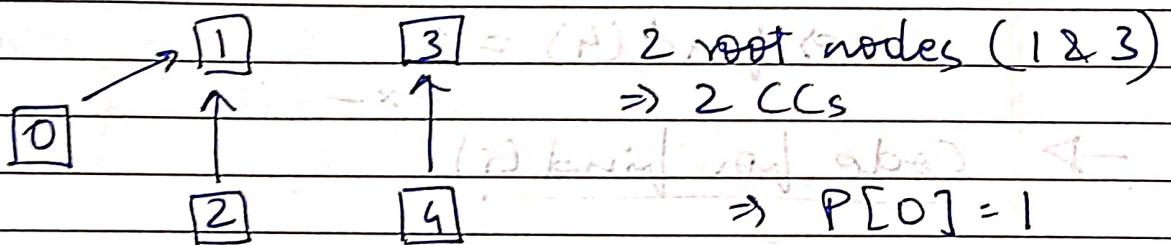


→ Here we've 3

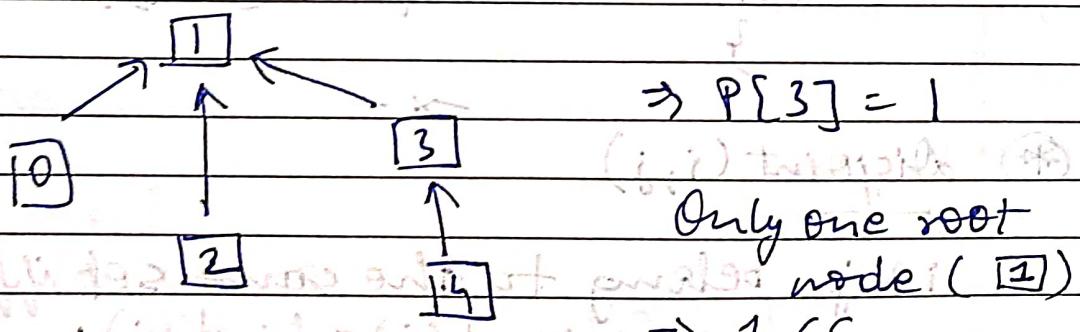
CCs, each CC  
being represented  
by a root node instead  
of color (as we saw  
in prev chapter)

The root nodes in above graph are  $0, 1, 2, 3$

Now, we do union( $0, 2$ )



Again we do union( $4, 2$ )



booklet. Last to do is Union and Find.  $P[i] = \{i\}$  if  $i \in S$ .

$S[i] = \{j | P[j] = i\}$  when  $i \in S$ .  
Initial. Now to merge  $S[1]$  to  $S[2]$  if  $i \in S[1]$  then  $P[i] = 2$ .

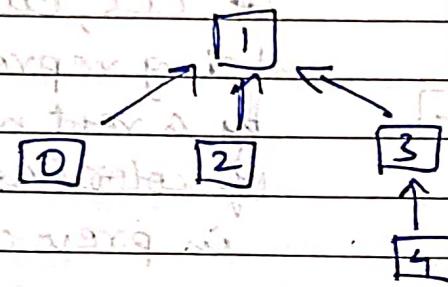


→ Code for MakeSet

→  $\text{for } (i=0; i < n; i++) \text{ do } P[i] = i; \quad P[i] = \{i\}$

(\*) We've a function 'find(i)' which returns the root of the node

For ex:-



we do find(4)

then we see

$$P[4] = 3$$

then

$$P[3] = 1 \text{ then}$$

$$P[1] = 1 \text{ it}$$

⇒ 1 is the root node

Hence we stop. & return 1

∴  $\text{find}(4) = 1$

→ Code for find(i)

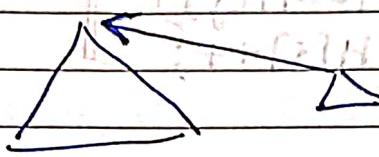
→  $\text{find}(i) \{$   
    if ( $P[i] \neq i$ ) return  $i$ ;  
    else return  $\text{find}(P[i])$ ;  
}

(\*) disjoint(i, j)

(\*)  $i \neq j$  belong to the same set iff  
 $\text{find}(i) = \text{find}(j);$

\* Union( $i, j$ ) can be done in 2 ways:-

- 1.) union by rank :- subtree with fewer no. of elements become the child of a subtree having more no. of elements.



→ Code

$S[i]$  stores the no. of elements i.e. size of the subtree rooted at node  $i$ .

→ MakeSet {  
for each node  
 $\{P[i] = i; S[i] = 1;\}$   
}

union by rank:

if ( $S[i] > S[j]$ )

$\{P[j] = i; S[i] += S[j];\}$

- 2.) union by height :- subtree with smaller height becomes the child of the subtree with bigger height

$H[i] = \text{height}$

→ MakeSet {  
for each node  
 $\{H[i] = 0; P[i] = i;\}$

for each node

$\{\ H[i] = 0; P[i] = i;\}$

✓

union by height works well when  $H[i] > H[j]$

$\text{if } (H[i] > H[j]) \quad P[j] = i;$

In fact a better way is to do union by rank

else

an average of linear method

$P[i] = j; \quad H[i] = H[j];$

$\text{if } (H[i] == H[j])$

$H[i]++;$

}

important to observe

→

\* Here the assumption is that we call union only after we call disjoint, & if they are in 2 different sets.

$a = \text{find}(i);$

$b = \text{find}(j);$

$\text{if } (a \neq b)$

$\text{union}(a, b) \rightarrow$  you always merge roots of the subtree.

Each union is  $O(1)$

Each disjoint is  $\leq 2 \cdot \text{find}()$

Each 'find' is  $O(h)$ ,  $h$  is the height of the tree.

{ We can think of color of a node as the root of the subtree.

→ Write this statement, if you are asked to prove that if an element changes its root  $k$  times then size of set  $\geq 2^k$ , & then



exactly copy the proof of the  $\text{Th}^m$  in the prev. chapter.

Do the above if the union is union by rank.

For union by height

Th<sup>m</sup>: A tree of height  $h$  will have  $\geq 2^h$  elements

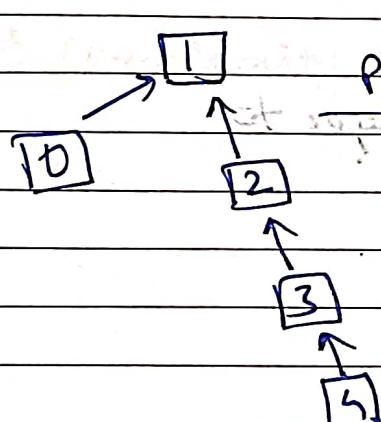
Proof:- By induction, if  $h=0$  then the tree has 1 node.

Height of a tree is only when the height of both the trees to be merged has the same height.

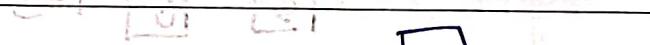
$$\text{no. of elements } \geq 2^{h-1} + 2^{h-1} = 2^h$$



### Path Compression



Path  
Compression

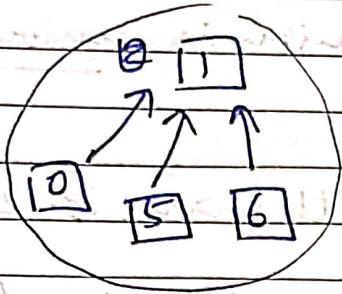


i.e. if we use path compression, we will need to travel much less to infer the root node of the subtree

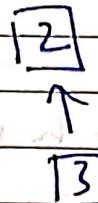
(F19)  $\text{built} = 1:79$

To use path compression, we'll do union like the following :-

Ex:-



Subtree1



if we do

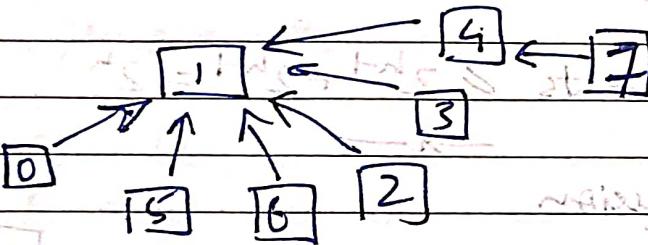
Merge (5, 4)

we will connect

all the nodes

in ST2 with

heights  $\geq$  height of 4



minimized height



code for find(i) changes to

$\rightarrow \text{find}(i) \{$

If  $P[i] = i$

then return  $i$ ;

else return  $\text{find}(P[i])$ ;

}

$\text{return } P[i] = \text{find}(P[i]);$

→ using Path compression

(\*) Now,  $T_{PM}$  (initially) makeset -  $O(n)$  time

~~union, find and path compression~~ Disjoint(i, j) -  $O(\alpha(n))$  time → Amortized

Union(i, j) -  $O(1)$

where  $\alpha(n)$  is the inverse Ackermann function

~~and  $\alpha(n) \leq 4$  for  $n < 10^{600}$~~

i.e.  $\alpha(n) \leq 4$  for practical purposes

Kruskal makes  $m$  calls to Disjoint &  $n-1$  calls to union would take  $O(m+n)$  time

⇒ Complexity for Kruskal

$$(3 + (4 \cdot \alpha)n) = O(m \log n + m)$$

$$Complexity + (1-a) = O(m \log n)$$

→ fastest algorithm for  $m$  edges &  $n$  vertices

## Updating MST

\* In this chapter we'll see how efficiently we can update the min or max spanning tree if the graph is a dynamic graph.

\* Let  $G_1(V, E, w)$  &  $G'_1(V, E, w')$  be 2 graphs

$$i) \quad \boxed{\text{if } w'(v, u) = w(v, u) + c; \quad c \in \mathbb{R}}$$

$\Rightarrow$  MST of  $G_1$  is also MST of  $G'_1$

This is NOT true for shortest path as we've already seen earlier.

It is so b/c no. of edges remain unchanged (i.e.  $n-1$ )

$$\Rightarrow \sum w'(v, u) = \sum (w(v, u) + c)$$

As we can see, minimizing LHS is the same as minimizing RHS

It is so b/c no. of edges in the shortest may or may not change. It is NOT a constant.

ii)  $w'(u, v) = w(u, v) \times c$ ,  $\forall (u, v)$

Case a  $c > 0$

MST for  $G$  is same for  $G'$

Shortest path for  $G$  is same for  $G'$

Case b  $c < 0$

Min SP. for  $G$  becomes the Min ST for  $G'$

& vice-versa.

It's so b/c if ST is any Span. tree

$$\sum w'(u, v) = \sum c \times w(u, v)$$

$$\text{Total weight of } G' = c \times [\sum w(u, v)]$$

i.e.  $c$  comes out as a constant from the summation.



for min MST



Data \_\_\_\_\_  
Prog \_\_\_\_\_

However, can easily be  
modified for max ST

Deleting an edge → However, can easily be modified for max ST

Let  $(u, v)$  be the edge deleted from the graph

If  $(u, v)$  is not an edge of MST, do nothing.

If  $(u, v)$  is an edge of the MST, delete the edge from the MST. It will create 2 CCs. Among all the edges going across, pick an edge of min weight & add it to the MST.

→ Code (using BFS) → If MST is Min ST

BFS →

for ( $i=0$ ;  $i < n$ ;  $++i$ )  
 $v[i] = \text{false};$

Enqueue ( $Q, s$ );

$V[s] = \text{true};$

while ( $Q$ ) {

$v = \text{Dequeue}(Q);$

For every edge  $(v, u)$  in the MST

if ( $V[u] == \text{false}$ ) {

enqueue ( $Q, u$ );

$V[u] = \text{true};$  }

BFS()

Deleting edge

$\rightarrow$  BFS( $v$ );

$\min = \text{INT-MAX};$

For every edge  $(u, v)$  in the graph  
 $\text{if } (v[v] != v[u] \ \& \ w(u, v) < \min)$   
 $\quad \min = w(u, v);$   $\{a = u; b = v;\}$

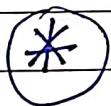
$O(E)$

Add the edge  $(a, b)$  to the MST

\* To be more precise, it's  $O(V+E)$

However, being a connected graph

$\rightarrow$  it becomes  $O(E).$



Adding an edge  $\rightarrow$  for min MST

Let  $(u, v)$  is added to the graph.

Adding  $(u, v)$  edge to the MST, it will create a cycle. Delete the max unweighted edge in the cycle.

$(V) \cup T_M$  without  $((u) \cup (v))$  as it is selected

$T_{u|M} = ((u) \cup (v))$  as it is given

adding

Code for deleting an edge (using BFS)

BFS

```
for (i=0; i<n; ++i){
    V[i] = false; M[i] = INT_MIN;
```

Enqueue(Q, s);

V[s] = true;

T2M.out! off (A, B) nodes out of BA

// M[i] = max weighted edge reachable from s;

while(Q){

  dequeue u = Dequeue(Q); (d, removed)

  For every edge (u, v) in the MST

    if (V[v] == false){

      Enqueue(Q, v);

      V[v] = true; } (as no visit BA)

    if (M[u] < w(u, v))

      { M[v] = w(u, v); A[v] = v; B[v] = u; }

    else (Min. T2M out of edges (u, v) satisfies

    out of edges else min out of edges. Thus a

    { M[v] = M[u]; A[v] = A[u]; B[v] = B[u]; }

}

Add

edge

→ Add the edge (u, v) to MST

BFS(u);

Delete the edge (A[v], B[v]) from the MST || O(v)

Note that  $w(A[v], B[v]) = M[v]$ ;



takes less time i.e. it is easy to update MST.  
However, we don't have such easy ways of com update shortest path or BFS or DFS.

Lucky

Date \_\_\_\_\_  
Page \_\_\_\_\_

### (\*) Updating edge

update  $(v, v, w) \rightarrow$  set  $w(v, v) = w$

If Increase key i.e.  $w > w(v, v)$   
 $\Rightarrow$  it is similar to Deleting an edge  $\Rightarrow O(V)$

If Decrease key i.e.  $w < w(v, v)$   
 $\Rightarrow$  it is similar to Adding an edge  $\Rightarrow O(V)$

### (\*) Adding a node

$G(V, E, w)$  be the graph & MST be its Min ST.

A new node & k weighted edges incident on this node are added to the graph.

We've 2 ways of doing this.

i) Add k edges using the previous algo  
 $\Rightarrow O(kn)$  time

ii) Add k edges to the MST to compute a graph  $G'$  & apply Kruskal's Algo to find MST. This takes  $O(n \log n)$  time.

COURSE  
ENDS



## # Shortest & Longest paths in a DAG

We saw already, that for a general directed as well as undirected graph, shortest path problem is solvable in polynomial time, but longest path problem is NP-hard.

→ However, for DAGs both shortest and longest path problems are solvable in linear time. When we say linear time it's linear in terms of the no. of vertices & no. of edges in the graph using DFS.

In this ch we'll look at how to find shortest & longest from a source node to every node in a graph in linear time.

Just to recap, apply DFS on  $G$ , then list the nodes in the long order of finish times. This is called the topological sort.

We also know that in this list, there will be only forward edges & there will be no backward edges in the graph if the given  $G$  is a DAG.

To find the shortest path & longest path from a source node ( $s$ ) in DAG, we'll need a topological sort of the given DAG.

The topological sort will be stored in array  $T[]$ .

→ After computing  $T[\cdot]$ , we'll walk through each node of  $T[\cdot]$  and then do edge relaxation for all its corresponding edges.

for shortest path

if ( $S[v] > S[u] + \omega(u, v)$ )  
 $\{S[v] = S[u] + \omega(u, v)\}$

for longest path

$$\begin{aligned} \text{if } (L[v] < L[v] + w(u, v)) \\ \{ L[v] = L[v] + w(u, v). \} \end{aligned}$$

## CODE after compilation

~~computing~~

Path(s) {

```
for(i=0; i<n; ++i)
```

$$\{ L[i] = \text{Min}; S[i] = \text{Max}; P_{hi1}[i] = P_{hi2}[i] = -2 \}$$

~~O(m)~~

for(i=0; i<n; ++i) {

$U = T[i]$ ; bzw.  $i = [2]2$

for every edge  $(v, v)$

~~if ( $S[v] > S[u] + w(u, v)$ )~~

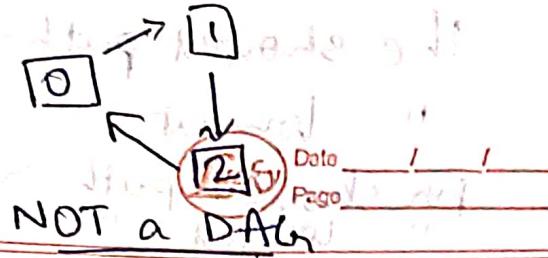
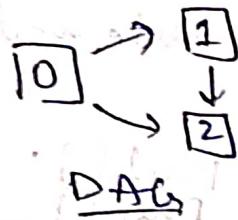
$$\{ S[v] = S[u] + \omega(u, v); \text{Phi1}[v] = u \}$$

if ( $L[v] < L[v] + \omega(u, v)$ )

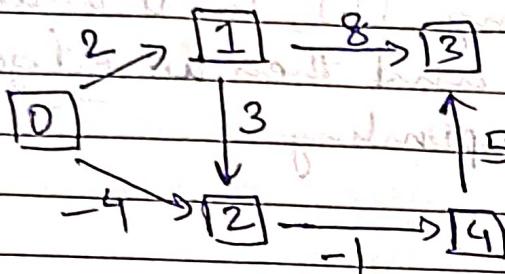
$$\{ L[v] = L[u] + \omega(u, v); \quad \text{Phi}^2$$

Not affine

Mind it.



For ex:-



Creates DAG  
with weight  
written for each  
edge

Do a dry  
run it you  
with.

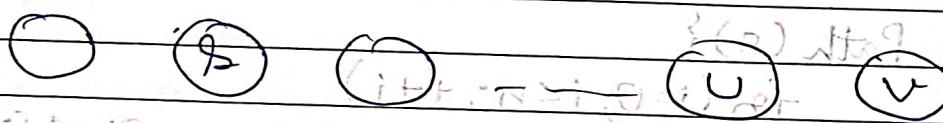
$$T = \{0, 1, 2, 4, 3\}$$

$$S = \{0, 2, -4, 0, -5\} ; L = \{0, 2, 5, 10, 4\}$$

\* Correctness of Algo for shortest path

Proof is by induction

Following are the nodes in order in  $T[1]$



Q. there can be no backward edges.

→ shortest path of nodes prior to  $s$  is  $\infty$   
as they ~~are~~ are NOT reachable from  $s$ .

$$S[s] = \emptyset \text{ trivial}$$

Assuming  $S[v] = \text{shortest path of } v \text{ from } s$

(b/w) if at all  $v$  b/w  $s$  &  $v$ .

→ before looking at the outgoing edges of  $v$ ,  
we'd have considered all the incoming  
edges to  $v$ .

& if at all, at any time we'd find an incoming edge such that

$$S[v] > S[u] + w(u, v)$$

$$\text{we'd update } S[v] = S[u] + w(u, v)$$

Hence  $S[v]$  finally contains the shortest path from  $s$  to  $v$

Similarly, we can do a proof for longest path.

→ —

### (\*) Complexity

$$\begin{aligned} \text{Complexity for finding shortest & longest path in DAG} &= \text{Topological Sort} + \text{Path(s)} \\ &= O(m+n) + O(m) \end{aligned}$$

$$= \underline{\underline{O(m+n)}}$$

NOTE:- Algorithm works even for the -ve edges