

# Post-Quantum Anonymity of Kyber

Varun Maram  
Applied Cryptography Group  
ETH Zurich



Joint work with Keita Xagawa

[Full version of paper: <https://eprint.iacr.org/2022/1696.pdf>]

*IND-CCA Security  
and*

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APPLIED  
CRYPTO  
GROUP

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# Background

## PQC Standardization Process: Third Round Candidate Announcement

**NIST is announcing the third round finalists of the NIST Post-Quantum Cryptography Standardization Process. More details are included in NISTIR 8309.**

July 22, 2020

It has been almost a year and a half since the second round of the NIST PQC Standardization Process began. After careful consideration, NIST would like to announce the candidates that will be moving on to the third round.

Third Round Finalists	Alternate Candidates
<a href="#">Public-Key Encryption/KEMs</a>	<a href="#">Public-Key Encryption/KEMs</a>
Classic McEliece	BIKE
CRYSTALS-KYBER	FrodoKEM
NTRU	HQC
SABER	NTRU Prime
	SIKE



### ORGANIZATIONS

Information Technology Laboratory

Computer Security Division

Cryptographic Technology Group

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#### 4.A.2 Security Definition for Encryption/Key-Establishment

NIST intends to standardize one or more schemes that enable “semantically secure” encryption or key encapsulation with respect to adaptive chosen ciphertext attack, for general use. This property is generally denoted *IND-CCA2 security* in academic literature.

# Background

## Anonymous, Robust Post-quantum Public Key Encryption

Paul Grubbs<sup>1</sup>, Varun Maram<sup>2</sup>(✉), and Kenneth G. Paterson<sup>2</sup>

<sup>1</sup> University of Michigan, Ann Arbor, USA  
[paulgrub@umich.edu](mailto:paulgrub@umich.edu)

<sup>2</sup> Department of Computer Science, ETH Zurich, Zurich, Switzerland  
[{vmaram,kenny.paterson}@inf.ethz.ch](mailto:{vmaram,kenny.paterson}@inf.ethz.ch) [Eurocrypt'22]

## Anonymity of NIST PQC Round 3 KEMs

Keita Xagawa<sup>(✉)</sup> 

NTT Social Informatics Laboratories, Tokyo, Japan  
[keita.xagawa.zv@hco.ntt.co.jp](mailto:keita.xagawa.zv@hco.ntt.co.jp) [Eurocrypt'22]

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IND-CCA security not sufficient  
for some modern applications.

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IND-CCA security not sufficient for some modern applications.

E.g., applications like  and  require anonymity.

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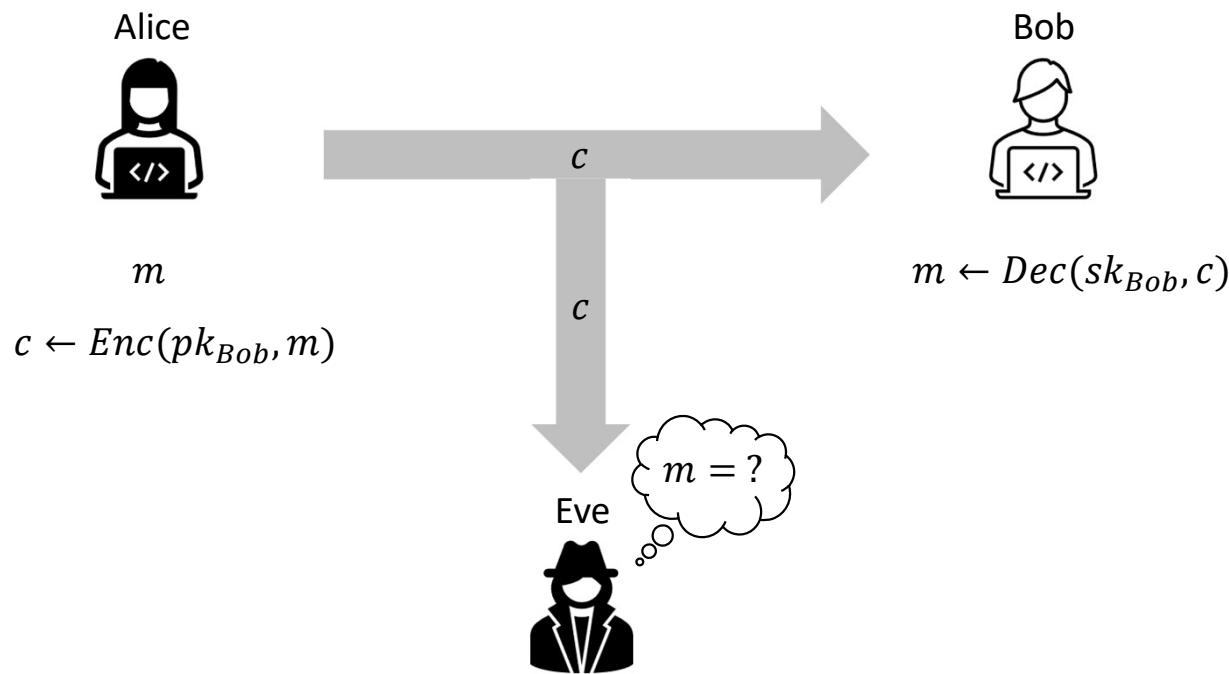
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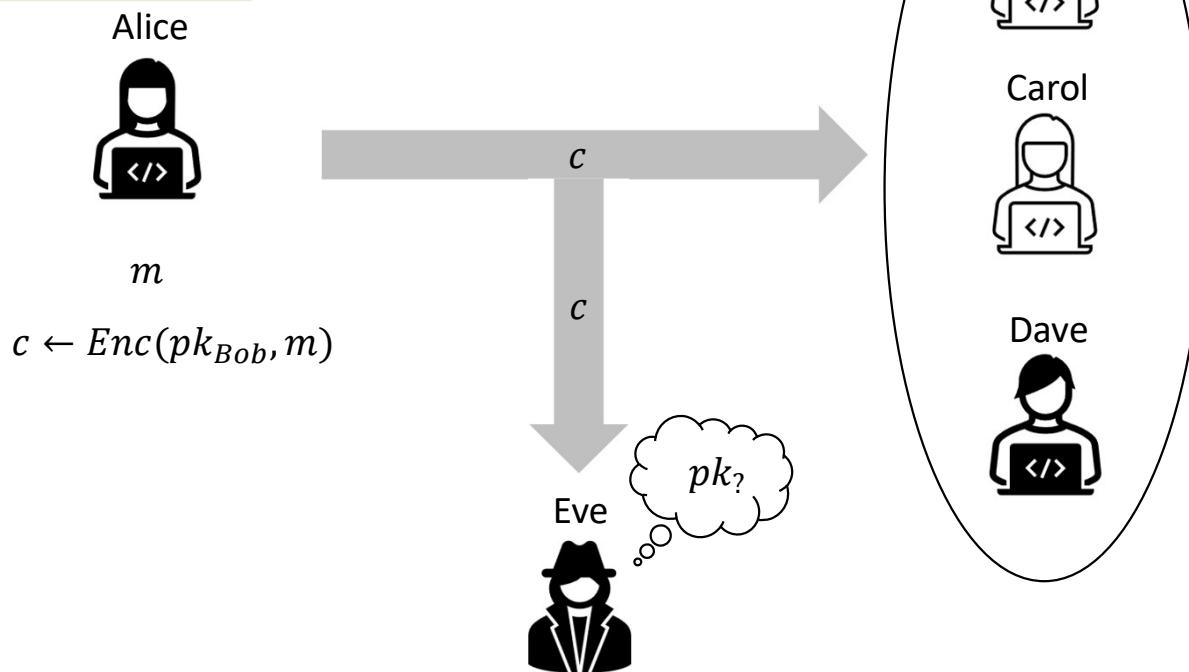
# IND-CCA Security

$$PKE = (KGen, Enc, Dec)$$



# Anonymity (ANO-CCA Security)

Formalized in a public-key setting by  
[Bellare-Boldyreva-Desai-Pointcheval  
@Asiacrypt'01].



# Background

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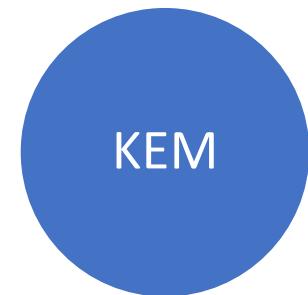
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[Eurocrypt'22]

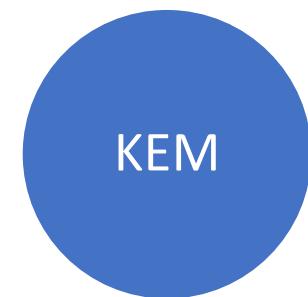
ANO
Classic McEliece
NTRU
Kyber
Saber

# Fujisaki-Okamoto Transformation



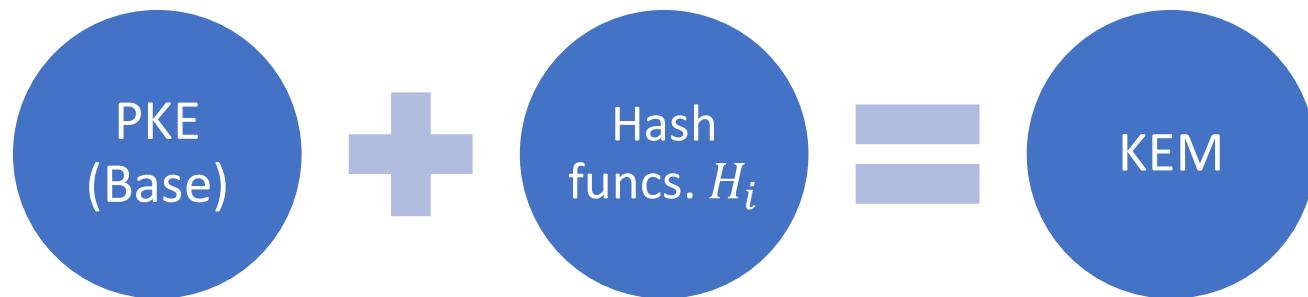
	ANO
Classic McEliece NTRU Kyber Saber	

# Fujisaki-Okamoto Transformation



	ANO
Classic McEliece NTRU Kyber Saber	

# Fujisaki-Okamoto Transformation



	ANO
Classic McEliece	
NTRU	
Kyber	
Saber	

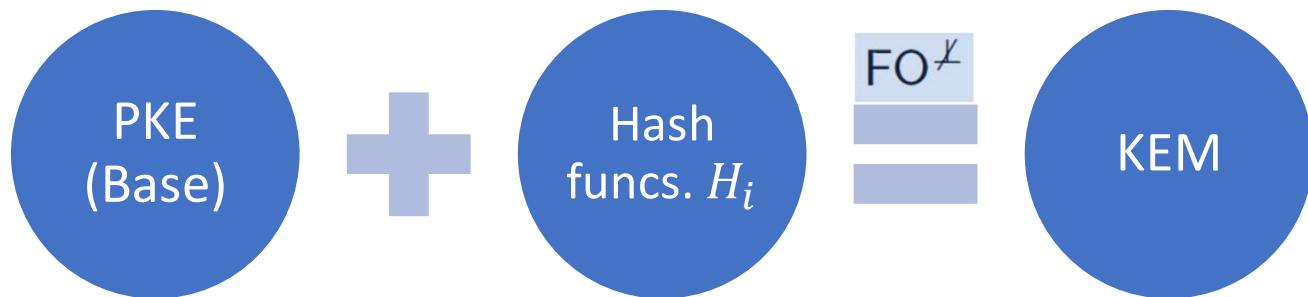
# Fujisaki-Okamoto Transformation

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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$\text{FO}^{\perp}$  [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

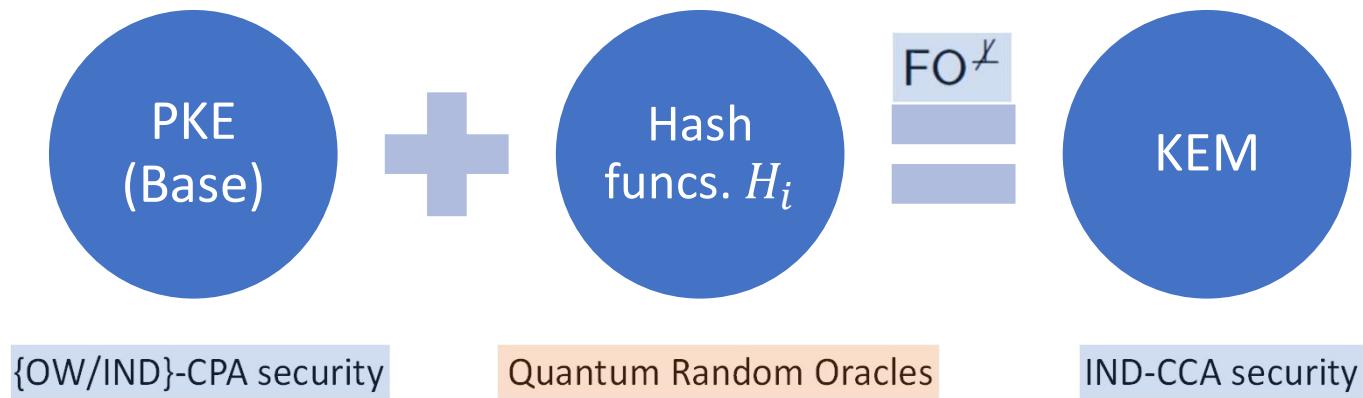
ANO	
Classic McEliece	
NTRU	
Kyber	
Saber	

# Fujisaki-Okamoto Transformation



	ANO
Classic McEliece	
NTRU	
Kyber	
Saber	

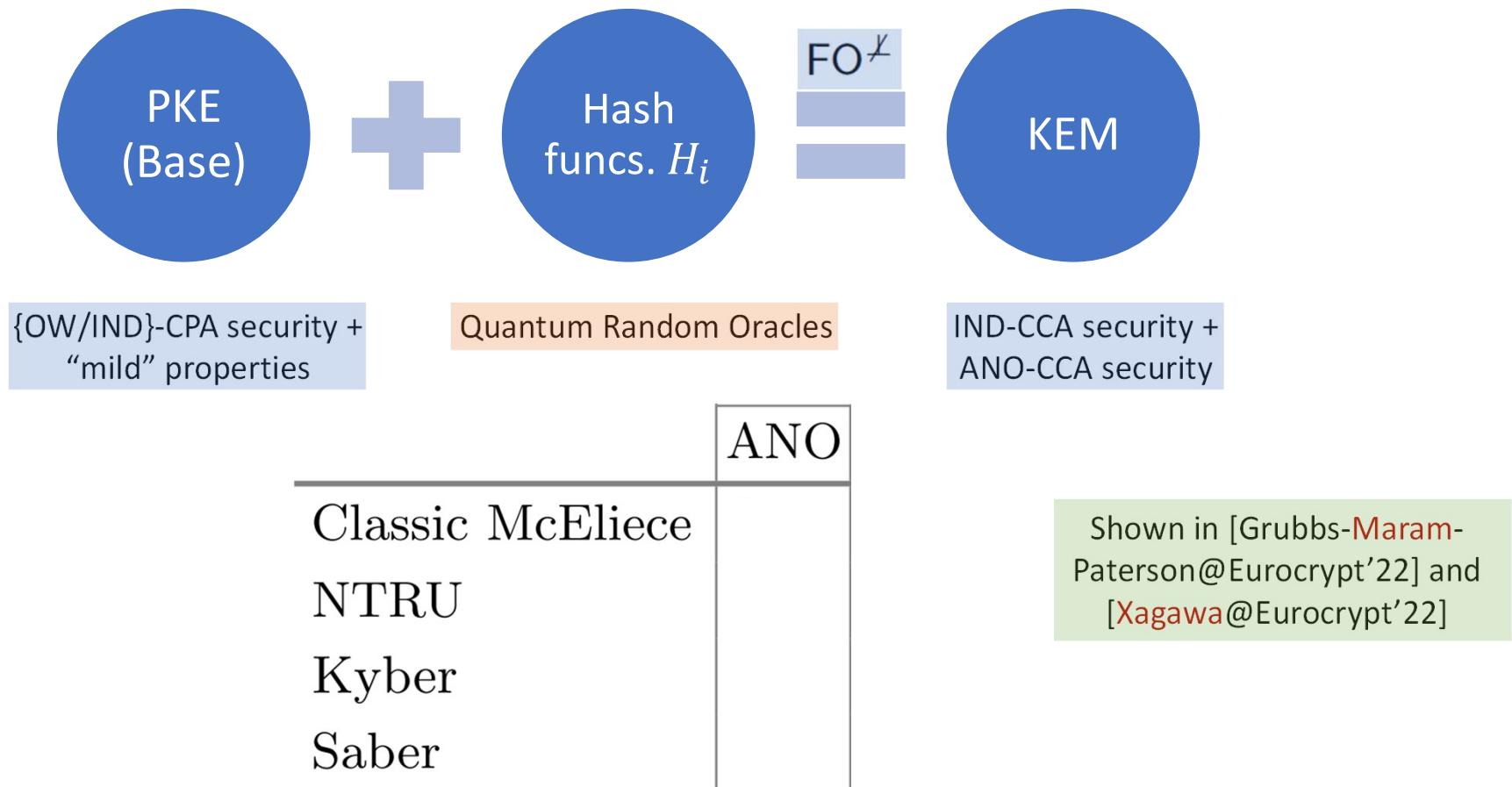
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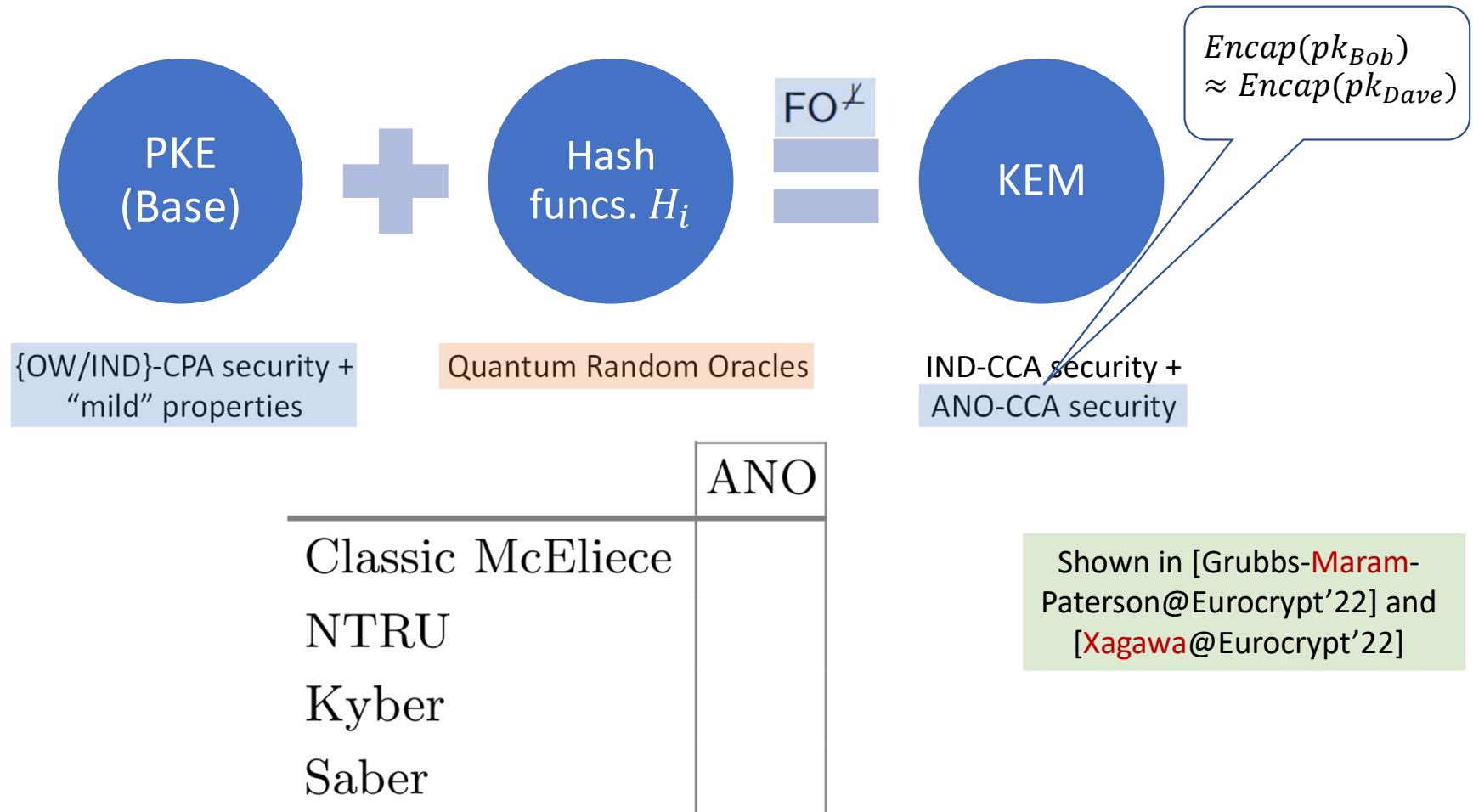
ANO
Classic McEliece
NTRU
Kyber
Saber

Shown in [Jiang-Zhang-Chen-Wang-Ma@Crypto'18]

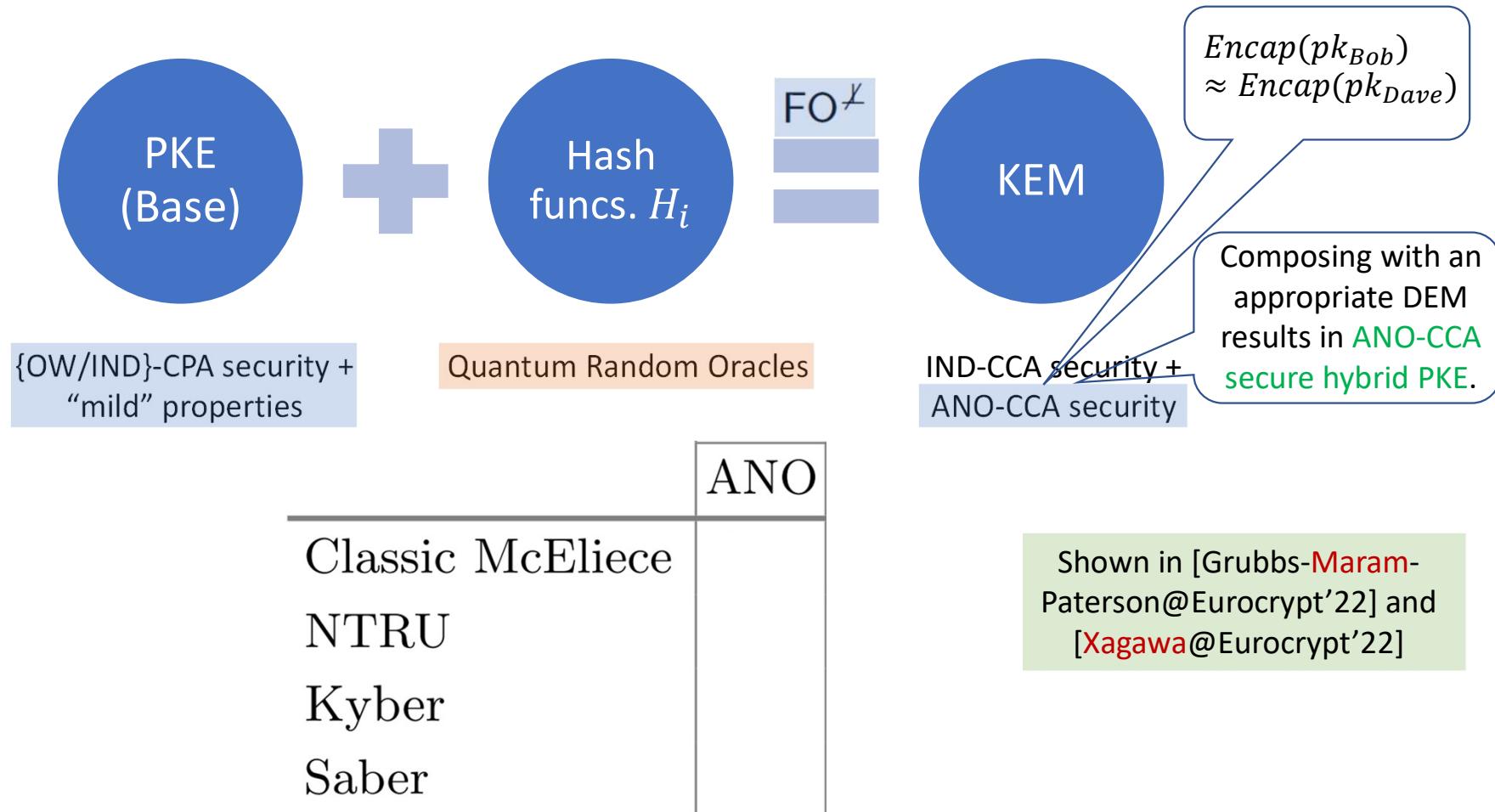
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# Fujisaki-Okamoto Transformation



# Fujisaki-Okamoto Transformation



# Fujisaki-Okamoto Transformation

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FO $^{\perp}$  [Hofheinz-Hövelmanns-Kiltz  
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	ANO
Classic McEliece	
NTRU	
Kyber	
Saber	

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$\text{FO}^{\not\perp}$  [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

Uses  $\text{FO}^{\not\perp}$  transform, but  
with an additional plaintext  
confirmation hash.

	ANO
Classic McEliece	Y
NTRU	
Kyber	
Saber	

Shown in [Xagawa@Eurocrypt'22]

# Fujisaki-Okamoto Transformation

"key  $\leftarrow \text{hash}(m, c)$ "

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FO $^{\perp}$  [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

ANO	
Classic McEliece	Y
NTRU	-
Kyber	
Saber	

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$\text{FO}_m^{\not\perp}$  [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

Uses  $\text{FO}_m^{\not\perp}$  transform,  
starting with a deterministic  
base PKE scheme.

	ANO
Classic McEliece	Y
NTRU	Y
Kyber	
Saber	

Shown in [Yagawa@Eurocrypt'22]

# Fujisaki-Okamoto Transformation

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“key  $\leftarrow \text{hash}(m, c)$ ”

FO $\not\models$  [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

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Classic McEliece	Y
NTRU	Y
Kyber	
Saber	

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1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_s \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, \text{pk}, h, s)$
2 : $s \leftarrow_s \mathcal{M}$	2 : $m \leftarrow H(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{pk}' \leftarrow (\text{pk}, H(\text{pk}))$	3 : $h \leftarrow H(\text{pk})$	3 : $(\bar{k}', r') \leftarrow G_{kr}(m', h)$
4 : $\text{sk}' \leftarrow (\text{sk}, \text{pk}', s)$	4 : $(\bar{k}, r) \leftarrow G_{kr}(m, h)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
5 : <b>return</b> $(\text{pk}, \text{sk}')$	5 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	5 : <b>if</b> $c' = c$ <b>then</b>
	6 : $k \leftarrow H'(\bar{k}, H(c))$	6 : <b>return</b> $H'(\bar{k}', H(c))$
	7 : <b>return</b> $(c, k)$	7 : <b>else return</b> $H'(s, H(c))$

FO<sup>✓</sup> [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

Kyber, Saber

ANO	
Classic McEliece	Y
NTRU	Y
Kyber Saber	

As observed in [Grubbs-Maram-Paterson@Eurocrypt'22] and [Xagawa@Eurocrypt'22]

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3 : $\text{pk}' \leftarrow (\text{pk}, H(\text{pk}))$	3 : $h \leftarrow H(\text{pk})$	3 : $(\bar{k}', r') \leftarrow G_{kr}(m', h)$
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5 : <b>return</b> $(\text{pk}, \text{sk}')$	5 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	5 : <b>if</b> $c' = c$ <b>then</b>
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FO<sup>✓</sup> [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

Kyber, Saber

ANO	
Classic McEliece	Y
NTRU	Y
Kyber	?
Saber	?

This nested hash “ $H(c)$ ” not only acts as a **barrier** towards establishing anonymity ...

As observed in [Grubbs-Maram-Paterson@Eurocrypt'22] and [Xagawa@Eurocrypt'22]

# Fujisaki-Okamoto Transformation

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KGen'	Encap(pk)	Decap(sk', c)
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2 : $s \leftarrow s \mathcal{M}$	2 : $m \leftarrow H(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
3 : $\text{pk}' \leftarrow (\text{pk}, H(\text{pk}))$	3 : $h \leftarrow H(\text{pk})$	3 : $(\bar{k}', r') \leftarrow G_{kr}(m', h)$
4 : $\text{sk}' \leftarrow (\text{sk}, \text{pk}', s)$	4 : $(\bar{k}, r) \leftarrow G_{kr}(m, h)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
5 : <b>return</b> $(\text{pk}, \text{sk}')$	5 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	5 : <b>if</b> $c' = c$ <b>then</b>
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FO $\not\models$  [Hofheinz-Hövelmanns-Kiltz  
@TCC'17]

Kyber, Saber

	ANO	IND
Classic McEliece	Y	Y
NTRU	Y	Y
Kyber	?	?
Saber	?	?

This nested hash “ $H(c)$ ” not only acts as a **barrier** towards establishing anonymity ...

As observed in [Grubbs-Maram-Paterson@Eurocrypt'22] and [Xagawa@Eurocrypt'22]

... but also makes prior IND-CCA security analysis of FO $\not\models$  in the QROM **inapplicable!**

# NIST PQC Updates

## **NIST Announces First Four Quantum-Resistant Cryptographic Algorithms**

**Federal agency reveals the first group of winners from its six-year competition.**

July 05, 2022

**For general encryption**, used when we access secure websites, NIST has selected the [CRYSTALS-Kyber](#) algorithm. Among its advantages are comparatively small encryption keys that two parties can exchange easily, as well as its speed of operation.

# NIST PQC Updates

## Kyber decisions, part 2: FO transform 692 views



Peter Schwabe

to pqc-forum, aut...@pq-crystals.org

Dear all,

This is the second mail about possible tweaks to Kyber as part of the standardization. Kyber as specified in round-3 (and also previous rounds) uses a tweaked Fujisaki-Okamoto transform to build a CCA-secure KEM from a CPA-secure PKE. Specifically, Kyber hashes the hash of the public key into the random coins and the shared key and Kyber hashes the hash of the ciphertext into the shared key. The reasons for those tweaks are the following:

- \* Hashing the (hash of the) public key into the final key makes the KEM "contributory", i.e., the shared key depends on inputs from both parties;
- \* hashing the (hash of the) public key into the random coins gives protection against multi-target attacks exploiting decryption failures; and
- \* hashing the (hash of the) ciphertext into the shared key ensures that this shared key depends on the full transcript.

Through the course of the NIST PQC project, multiple papers considered the FO transform and also the tweaked version used in Kyber. The question for standardization is if the results of these papers should be incorporated into Kyber, or not:

1.) <https://eprint.iacr.org/2021/1351> shows that as a protection against multi-target failure attacks it is not necessary to make random coins dependent on the full public key. It is sufficient to hash in a prefix of the public key, if that prefix has sufficiently high min-entropy. We are not aware of any formal definition of a KEM being "contributory", but intuitively also for this property using such a prefix would be sufficient. Using prefix(pk) instead of H(pk) would require fewer Keccak permutations in Kyber and thus speed up encapsulation. Should the Kyber standard use prefix(pk) rather than H(pk)?

2.) Hashing the (hash of the) ciphertext into the final shared key does not help at all with any formal security property or with proofs. On the contrary, hashing the hash of the ciphertext into the final key complicates QROM proofs as pointed out in <https://eprint.iacr.org/2021/708.pdf>. Removing this tweak simplifies proofs and speeds up encapsulation. Note that decapsulation will still need to compute a hash over the full ciphertext for implicit rejection and, to avoid timing side channels, needs to do so in every decapsulation, not just after a decryption failure. So, there won't be any performance gain in decapsulation. Should the Kyber standard drop hashing the hash of the ciphertext into the shared key?

The obvious disadvantage with both possible changes is that such changes at such a late stage require very careful evaluation. We may have missed some non-standard property that Kyber achieves with these tweaks, but does not without. Also, the modifications are not completely orthogonal to potential modifications of symmetric crypto (see the previous mail), because they require changes to the hashing inside the FO transform with possible consequences for domain separation.

Again, we're looking forward to hear what everybody thinks!

All the best,

The Kyber team

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# Our Contributions

	ANO	IND
Classic McEliece	Y	Y
NTRU	Y	Y
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$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\mathcal{L}}}^{\text{IND-CCA}} + \text{Adv}_H^{\text{CR}}$$

Collision-resistance of nested hash " $H(c)$ ", when modelled as a QRO.

# Our Contributions

Established ANO-CCA security of Kyber and associated “KEM-DEM” hybrid PKE schemes in the QROM.

	ANO	IND
Classic McEliece	Y	Y
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Our above IND-CCA and ANO-CCA security analyses also extends to Saber in the QROM.

# Technical Overview

KGen'	Encap(pk)	Decap(sk, c)
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$\text{FO}_m^\perp$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]

$\text{FO}_m^\perp$  outputs  $G_k(s, c)$ .

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Kyber (simplified)

Ignoring initial hashes  
 $H(m)$  and  $H(pk)$   
in Encap.

# Technical Overview

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Kyber (simplified)



$(pk, sk)$



$(pk, sk')$



$s \leftarrow \$ M$   
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$\text{FO}_m^\perp$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]



$(c, \bar{k})$



$(c, k)$

$$H, H' \\ k \leftarrow H'(\bar{k}, H(c))$$

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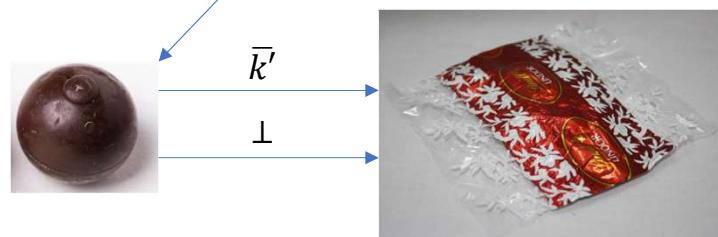
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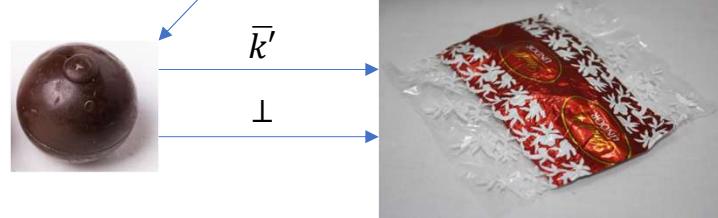
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4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $\bar{k} \leftarrow G_k(m)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : $k \leftarrow H'(\bar{k}, H(c))$	5 : $\bar{k}' \leftarrow G_k(m')$
	6 : <b>return</b> $(c, k)$	6 : <b>if</b> $c' = c$ <b>then</b>
		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(s, H(c))$

Kyber (simplified)

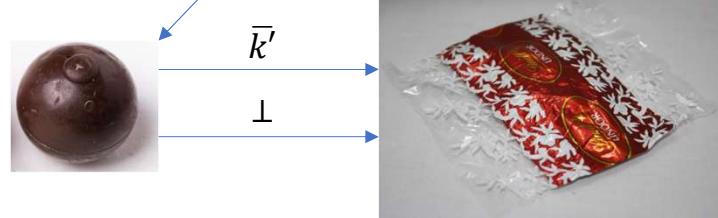


IND-CCA security of  $\text{FO}_m^\perp$  KEMs  
in the QROM  $\Rightarrow$  IND-CCA security of Kyber  
in the QROM

# Technical Overview

KGen'	Encap(pk)	Decap(sk, c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
2 : <b>return</b> $(\text{pk}, \text{sk})$	2 : $r \leftarrow G_r(m)$	2 : $r' \leftarrow G_r(m')$
	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	4 : $\bar{k} \leftarrow G_k(m)$	4 : $\bar{k}' \leftarrow G_k(m')$
5 : <b>return</b> $(c, \bar{k})$	5 : <b>if</b> $c' = c$ <b>then</b>	
	6 : <b>return</b> $\bar{k}'$	
	7 : <b>else return</b> $\perp$	

$\text{FO}_m^\perp$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]



KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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3 : $\text{sk}' \leftarrow (\text{sk}, s)$	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $r' \leftarrow G_r(m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $\bar{k} \leftarrow G_k(m)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
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	6 : <b>return</b> $(c, k)$	6 : <b>if</b> $c' = c$ <b>then</b>
		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(s, H(c))$

Kyber (simplified)



IND-CCA security of  $\text{FO}_m^\perp$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

# Technical Overview

IND-CCA security of  $\text{FO}_m^\perp$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

# Technical Overview

IND-CCA security of  $\text{FO}_m^\perp$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

Non-tight proofs,  
compared to  $\text{FO}_m^\perp$ .

Tighter Proofs of CCA Security  
in the Quantum Random Oracle Model

Measure-Rewind-Measure: Tighter

Nina

Quantum Random Oracle Model Proofs  
for One-Way to Hiding and CCA Security

Veronika Kuchta<sup>1</sup>, Amin Sakzad<sup>1( $\bowtie$ )</sup>, Damien Stehlé<sup>2,3</sup>, Ron Steinfeld<sup>1( $\bowtie$ )</sup>  
and Shi-Feng Sun<sup>1,4</sup>

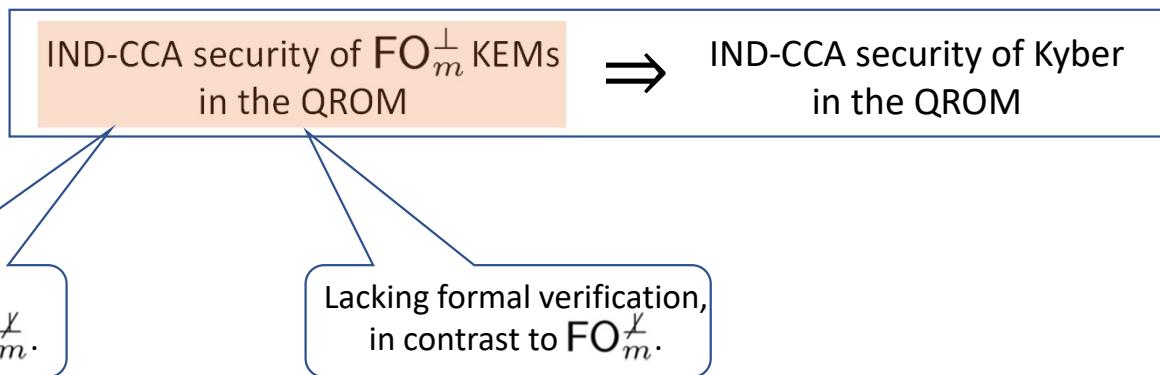
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<sup>4</sup> Data61, CSIRO, Canberra, Australia [Eurocrypt'20]

# Technical Overview



Nina  
Tighter Proofs of CCA Security  
in the Quantum Random Oracle Model  
Measure-Rewind-Measure: Tighter  
Quantum Random Oracle Model Proofs  
for One-Way to Hiding and CCA Security

Veronika Kuchta<sup>1</sup>, Amin Sakzad<sup>1( $\bowtie$ )</sup>, Damien Stehlé<sup>2,3</sup>, Ron Steinfeld<sup>1( $\bowtie$ )</sup>  
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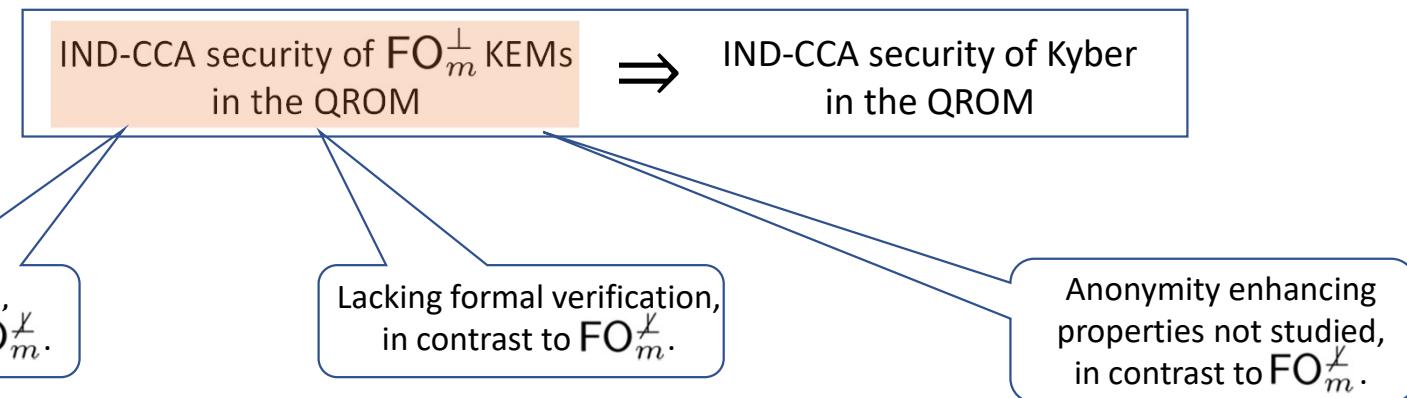
<sup>1</sup> Faculty of Information Technology, Monash University, Melbourne, Australia  
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<sup>3</sup> Institut Universitaire de France, Paris, France  
<sup>4</sup> Data61, CSIRO, Canberra, Australia [Eurocrypt'20]

Post-Quantum Verification  
of Fujisaki-Okamoto

Dominique Unruh<sup>( $\bowtie$ )</sup>  
University of Tartu, Tartu, Estonia  
[unruh@ut.ee](mailto:unruh@ut.ee)

**Abstract.** We present a computer-verified formalization of the post-quantum security proof of the Fujisaki-Okamoto transform (as analyzed by Hövelmanns, Kiltz, Schäge, and Unruh, PKC 2020). The formalization is done in quantum relational Hoare logic and checked in the qrhl-tool (Unruh, POPL 2019). [Asiacrypt'20]

# Technical Overview



Tighter Proofs of CCA Security  
in the Quantum Random Oracle Model  
Measure-Rewind-Measure: Tighter  
Quantum Random Oracle Model Proofs  
for One-Way to Hiding and CCA Security

Nina

Veronika Kuchta<sup>1</sup>, Amin Sakzad<sup>1([✉](#))</sup>, Damien Stehlé<sup>2,3</sup>, Ron Steinfeld<sup>1([✉](#))</sup>  
and Shi-Feng Sun<sup>1,4</sup>

<sup>1</sup> Faculty of Information Technology, Monash University, Melbourne, Australia  
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Post-Quantum Verification  
of Fujisaki-Okamoto

Dominique Unruh<sup>([✉](#))</sup>

University of Tartu, Tartu, Estonia  
[unruh@ut.ee](mailto:unruh@ut.ee)

Anonymous, Robust Post-quantum  
Public Key Encryption

Anonymity of NIST PQC Round 3 KEMs

Keita Xagawa<sup>([✉](#))</sup>

NTT Social Informatics Laboratories, Tokyo, Japan  
[keita.xagawa.zv@hco.ntt.co.jp](mailto:keita.xagawa.zv@hco.ntt.co.jp) [Eurocrypt'22]

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# Technical Overview

IND-CCA security of  $\text{FO}_m^\perp$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

IND-CCA security of  $\text{FO}_m^\not\perp$  KEMs  
in the QROM



?

# Technical Overview

KGen'	Encap(pk)	Decap(sk, c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
2 : <b>return</b> $(\text{pk}, \text{sk})$	2 : $r \leftarrow G_r(m)$	2 : $r' \leftarrow G_r(m')$
	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	4 : $\bar{k} \leftarrow G_k(m)$	4 : <b>if</b> $c' = c$ <b>then</b>
	5 : <b>return</b> $(c, \bar{k})$	5 : <b>return</b> $G_k(m')$
	6 : <b>else return</b> $\perp$	

$\text{FO}_m^\perp$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
2 : $s \leftarrow_{\$} \mathcal{M}$	2 : $r \leftarrow G_r(m)$	2 : $m' \leftarrow \text{Dec}(\text{sk}, c)$
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	6 : <b>return</b> $(c, k)$	6 : <b>if</b> $c' = c$ <b>then</b>
		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(s, H(c))$

Kyber (simplified)



IND-CCA security of  $\text{FO}_m^\perp$  KEMs  
in the QROM



?

# Technical Overview

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1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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$\text{FO}_m^{\neq}$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]

KGen'	Encap(pk)	Decap(sk', c)
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Kyber (simplified)



IND-CCA security of  $\text{FO}_m^{\neq}$  KEMs  
in the QROM



?

# Technical Overview

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Kyber (simplified)



IND-CCA security of  $\text{FO}_m^{\neq}$  KEMs  
in the QROM



# Technical Overview

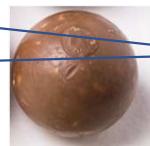
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$\text{FO}_m^{\neq}$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
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		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(s, H(c))$

Kyber (simplified)

$\bar{k}' = G_k(m')?$   
or  
 $\bar{k}' = G_k(s, c)?$



$\bar{k}'$



?



IND-CCA security of  $\text{FO}_m^{\neq}$  KEMs  
in the QROM



?

# Technical Overview

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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KGen'	Encap(pk)	Decap(sk', c)
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		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(s, H(c))$

Kyber (simplified)



IND-CCA security of  $\text{FO}_m^{\neq}$  KEMs  
in the QROM



?

# Technical Overview

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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	5 : <b>return</b> $(c, \bar{k})$	5 : <b>if</b> $c' = c$ <b>then</b>
		6 : <b>return</b> $G_k(m')$
		7 : <b>else return</b> $\overline{H}(H(c))$

$\text{FO}_m^{\cancel{\chi}}$  [Maram-Xagawa  
modified @PKC'23]

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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		6 : <b>if</b> $c' = c$ <b>then</b>
		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(\overline{H}(H(c)), H(c))$

Kyber (simplified)  
modified



IND-CCA security of  $\text{FO}_m^{\cancel{\chi}}$  KEMs  
in the QROM



# Technical Overview

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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	5 : <b>return</b> $(c, \bar{k})$	5 : <b>if</b> $c' = c$ <b>then</b>
		6 : <b>return</b> $G_k(m')$
		7 : <b>else return</b> $\overline{H}(H(c))$

$\text{FO}_m^{\cancel{Y}}$  [Maram-Xagawa  
modified @PKC'23]

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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		6 : <b>if</b> $c' = c$ <b>then</b>
		7 : <b>return</b> $H'(\bar{k}', H(c))$
		8 : <b>else return</b> $H'(\overline{H}(H(c)), H(c))$

Kyber (simplified)  
modified



IND-CCA security of  $\text{FO}_m^{\cancel{Y}}$  KEMs  
in the QROM



?

# Technical Overview

KGen'	Encap(pk)	Decap( $\text{sk}', c$ )
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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3 : $\text{sk}' \leftarrow (\text{sk}, s)$	3 : $c \leftarrow \text{Enc}(\text{pk}, m; r)$	3 : $r' \leftarrow G_r(m')$
4 : <b>return</b> $(\text{pk}, \text{sk}')$	4 : $\bar{k} \leftarrow G_k(m)$	4 : $c' \leftarrow \text{Enc}(\text{pk}, m'; r')$
	5 : <b>return</b> $(c, \bar{k})$	5 : <b>if</b> $c' = c$ <b>then</b>
		6 : <b>return</b> $G_k(m')$
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$\text{FO}_m^{\cancel{Y}}$  [Maram-Xagawa  
modified @PKC'23]

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Kyber (simplified)  
modified



IND-CCA security of  $\text{FO}_m^{\cancel{Y}}$  KEMs  
in the QROM



?

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IND-CCA security of  $\text{FO}_m^{\cancel{\chi}}$  KEMs  
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IND-CCA security of  $\text{FO}_m^{\cancel{Y}}$  KEMs  
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Kyber (simplified)  
modified



IND-CCA security of both KEMs computationally equivalent.



$H'(\bar{k}', H(c))$



?



IND-CCA security of both KEMs computationally equivalent.

IND-CCA security of  $\text{FO}_m^{\cancel{\chi}}$  KEMs in the QROM

# Technical Overview

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Kyber (simplified)  
modified



IND-CCA security of both KEMs computationally equivalent.



$H'(\bar{k}', H(c))$



IND-CCA security of  $\text{FO}_m^{\cancel{\chi}}$  KEMs in the QROM

IND-CCA security of Kyber in the QROM



IND-CCA security of both KEMs computationally equivalent.

# Technical Overview

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$\text{FO}_m^{\neq}$		7 : <b>else return</b> $G_k(s, c)$



# Technical Overview

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**FO $^{\neq}_m$**



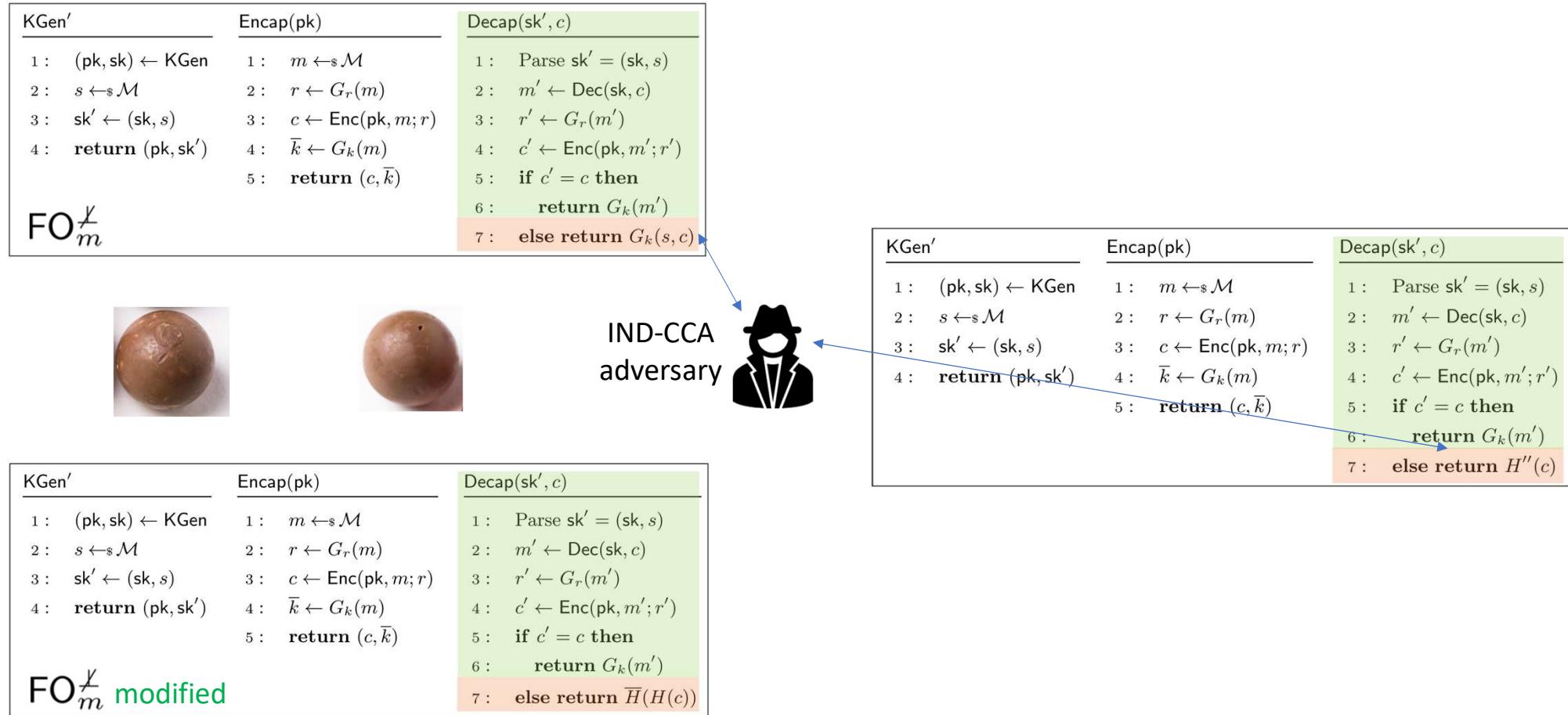
IND-CCA  
adversary



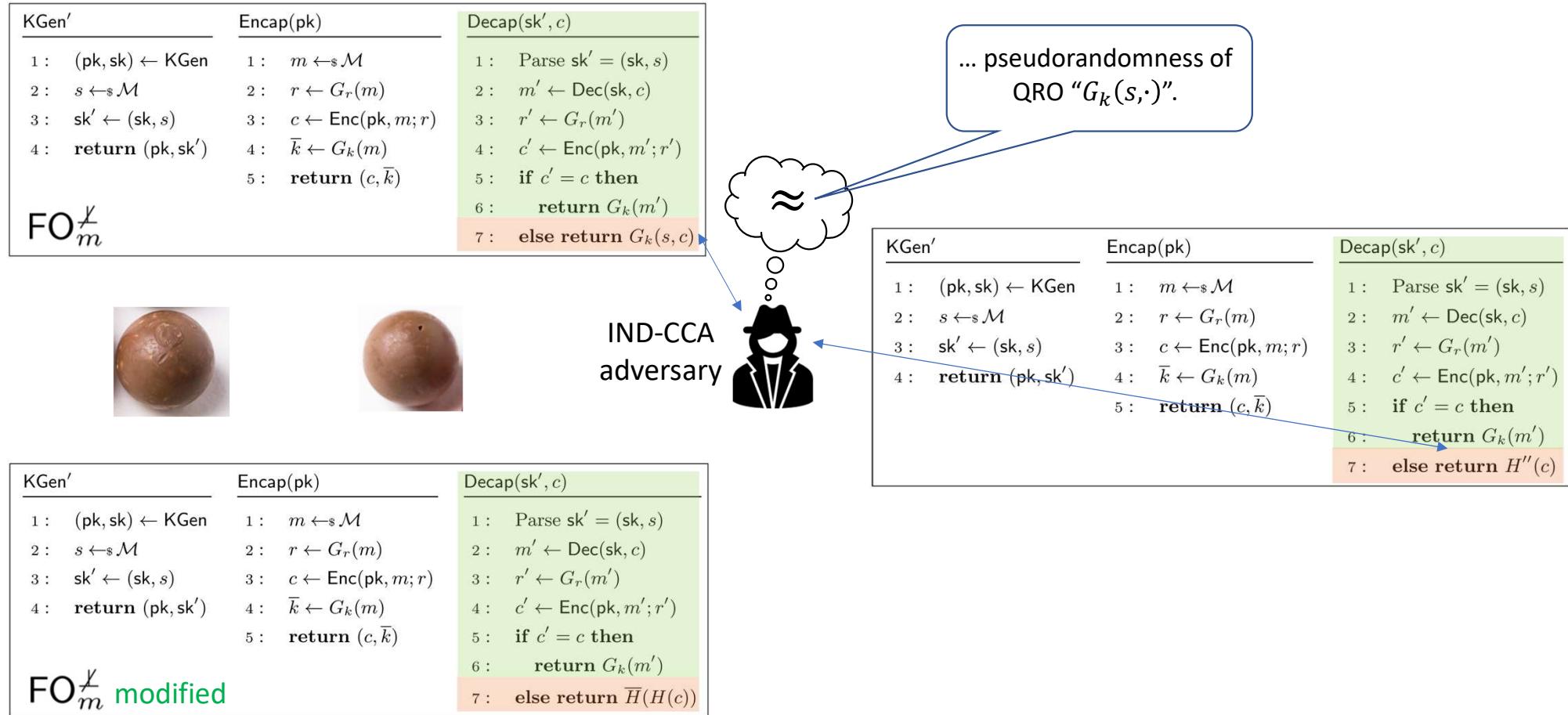
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**FO $^{\neq}_m$  modified**

# Technical Overview



# Technical Overview



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**FO $^{\neq}_m$**



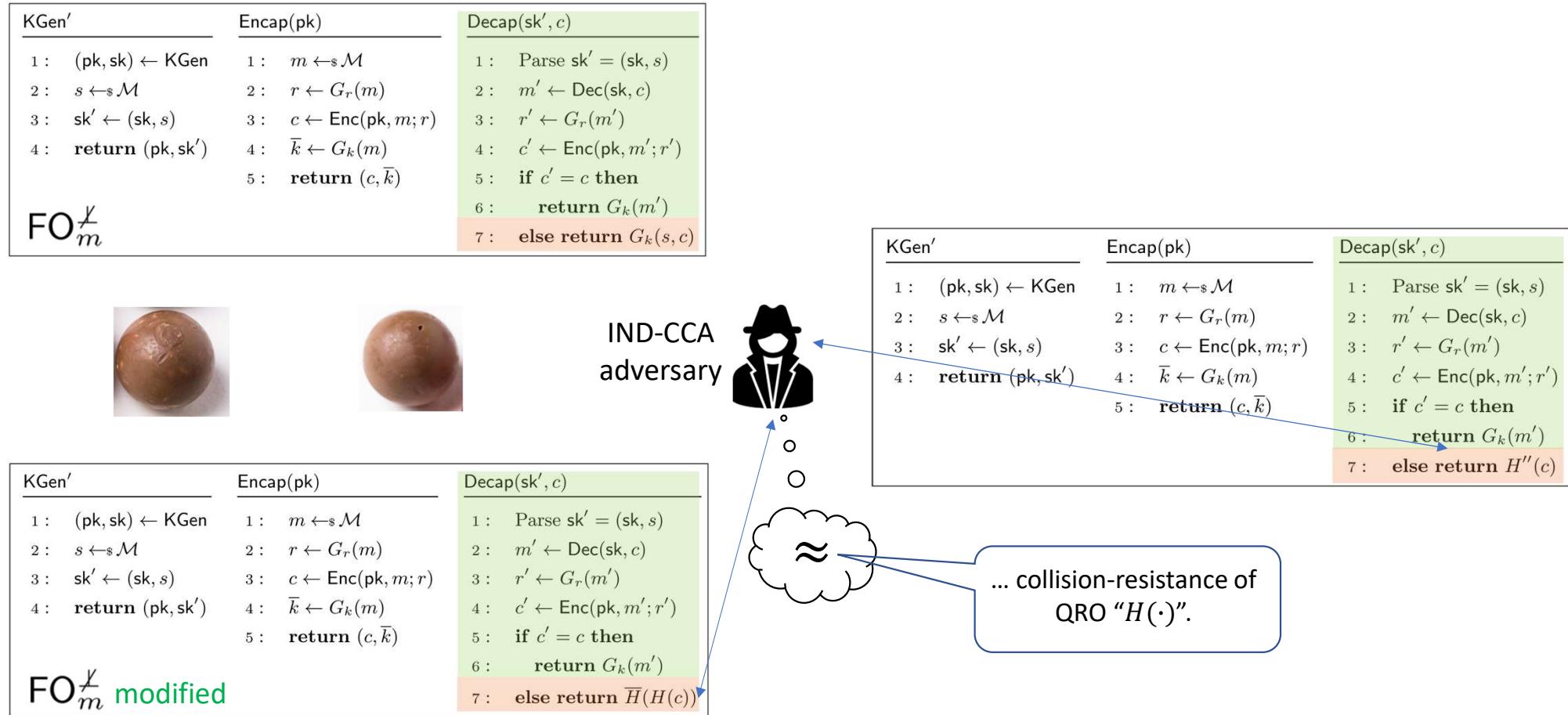
IND-CCA adversary

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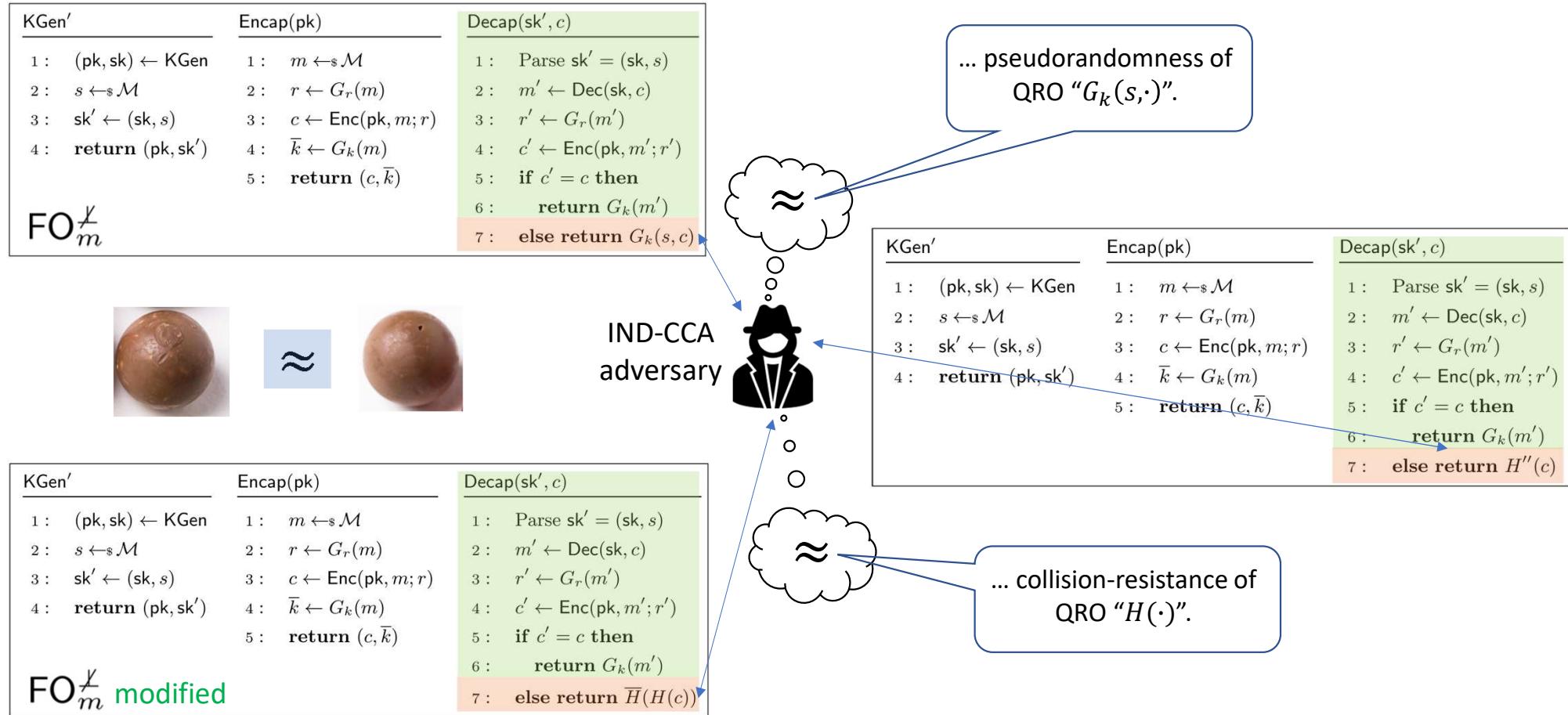
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**FO $^{\neq}_m$  modified**

# Technical Overview



# Technical Overview



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IND-CCA  
adversary

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IND-CCA  
adversary



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(simplified)  
Kyber



IND-CCA  
adversary



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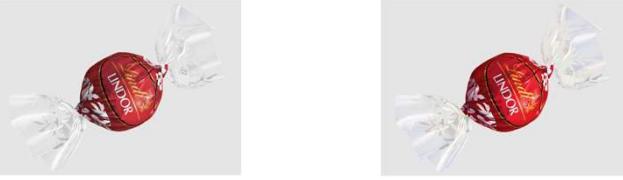
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# Technical Overview

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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**(simplified) Kyber**



**IND-CCA adversary**

KGen'	Encap(pk)	Decap(sk', c)
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		8 : else return $H''(H(c))$

... pseudorandomness of QRO " $H'(s, \cdot)$ ".

# Technical Overview

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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(simplified)  
Kyber



IND-CCA  
adversary



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(simplified)  
Kyber modified

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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# Technical Overview

KGen'	Encap(pk)	Decap(sk', c)
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(simplified)  
Kyber



IND-CCA  
adversary



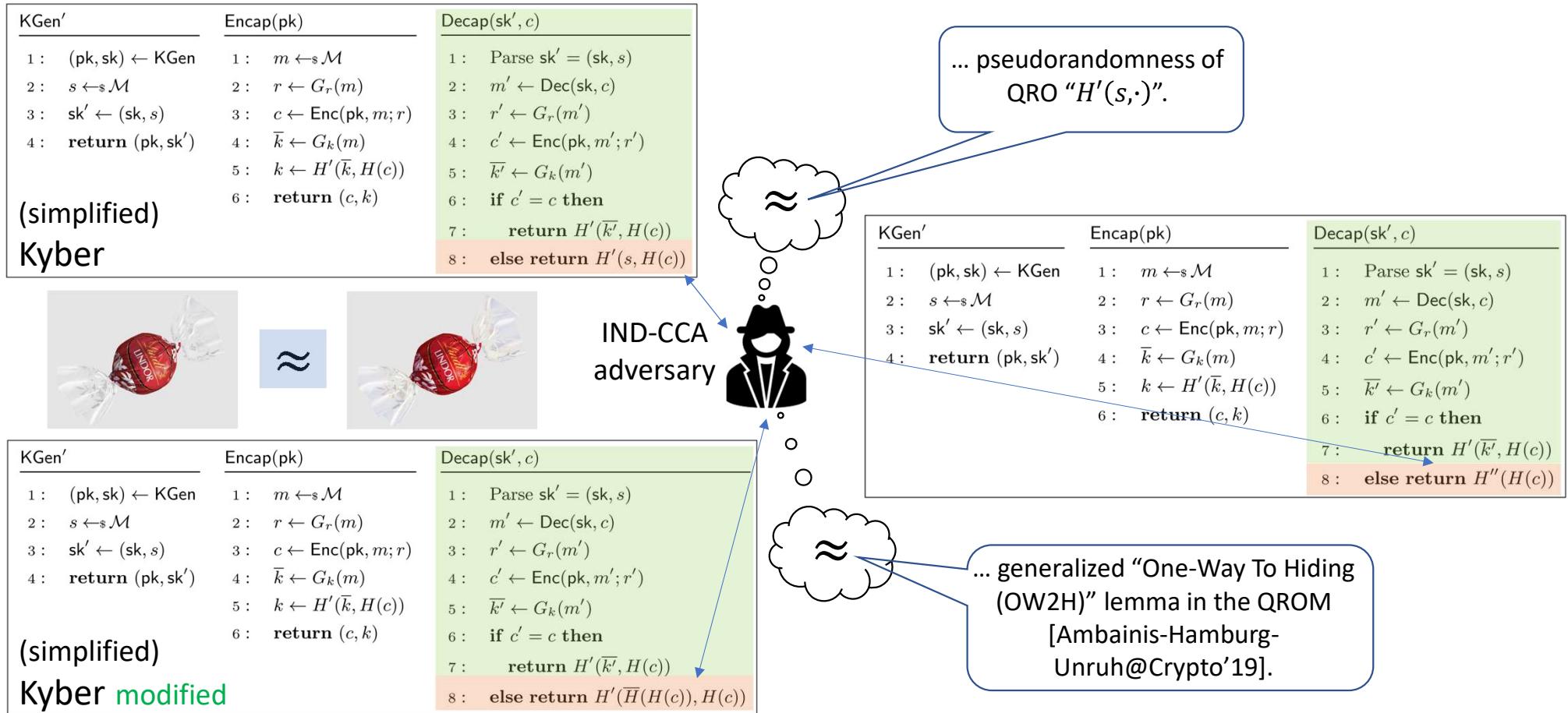
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(simplified)  
Kyber modified

KGen'	Encap(pk)	Decap(sk', c)
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... generalized “One-Way To Hiding  
(OW2H)” lemma in the QROM  
[Ambainis-Hamburg-  
Unruh@Crypto’19].

# Technical Overview



# Technical Overview

IND-CCA security of  $\text{FO}_m^{\not\sim}$  KEMs  
in the QROM  $\Rightarrow$  IND-CCA security of Kyber  
in the QROM

# Technical Overview

IND-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

As shown in

[Xagawa@Eurocrypt'22].

ANO-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM



ANO-CCA security of Kyber  
in the QROM

# Technical Overview

IND-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

ANO-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM

ANO-CCA security of Kyber  
in the QROM

# Technical Overview

IND-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

SPR-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
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As shown in

[Xagawa@Eurocrypt'22].

ANO-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM

ANO-CCA security of Kyber  
in the QROM

# Technical Overview

$(c, k) \leftarrow Encap(pk_{Bob})$ ,  
then  $k$  indistinguishable from  
a random key.

IND-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM  $\Rightarrow$  IND-CCA security of Kyber  
in the QROM

$(c, k) \leftarrow Encap(pk_{Bob})$ , then  
 $k$  and  $c$  indistinguishable  
from a random key and  
random ciphertext, i.e., **strong**  
**pseudorandomness**.

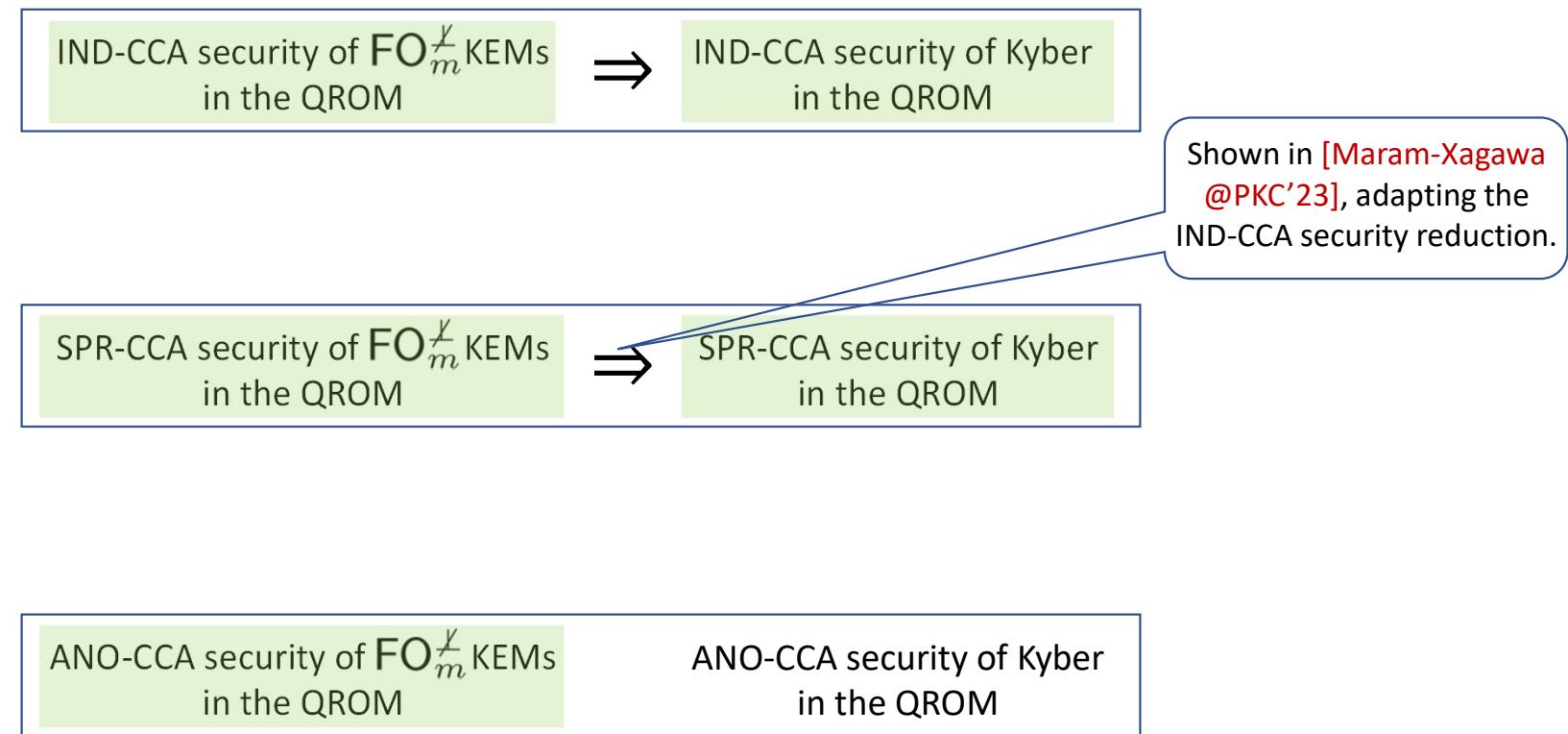
SPR-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
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As shown in  
[Xagawa@Eurocrypt'22].

ANO-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
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ANO-CCA security of Kyber  
in the QROM

# Technical Overview



# Technical Overview

IND-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM



IND-CCA security of Kyber  
in the QROM

SPR-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM



SPR-CCA security of Kyber  
in the QROM



Shown in  
[Yagawa@Eurocrypt'22].

ANO-CCA security of  $\text{FO}_m^{\not\perp}$  KEMs  
in the QROM

ANO-CCA security of Kyber  
in the QROM

# Discussion

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\not\perp}}^{\text{IND-CCA}} + \text{Adv}_H^{\text{CR}}$$

# Discussion

$$\text{Adv}_{\text{Kyber}}^{\text{IND-C}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-C}} + \text{Adv}_H^{\text{CR}}$$



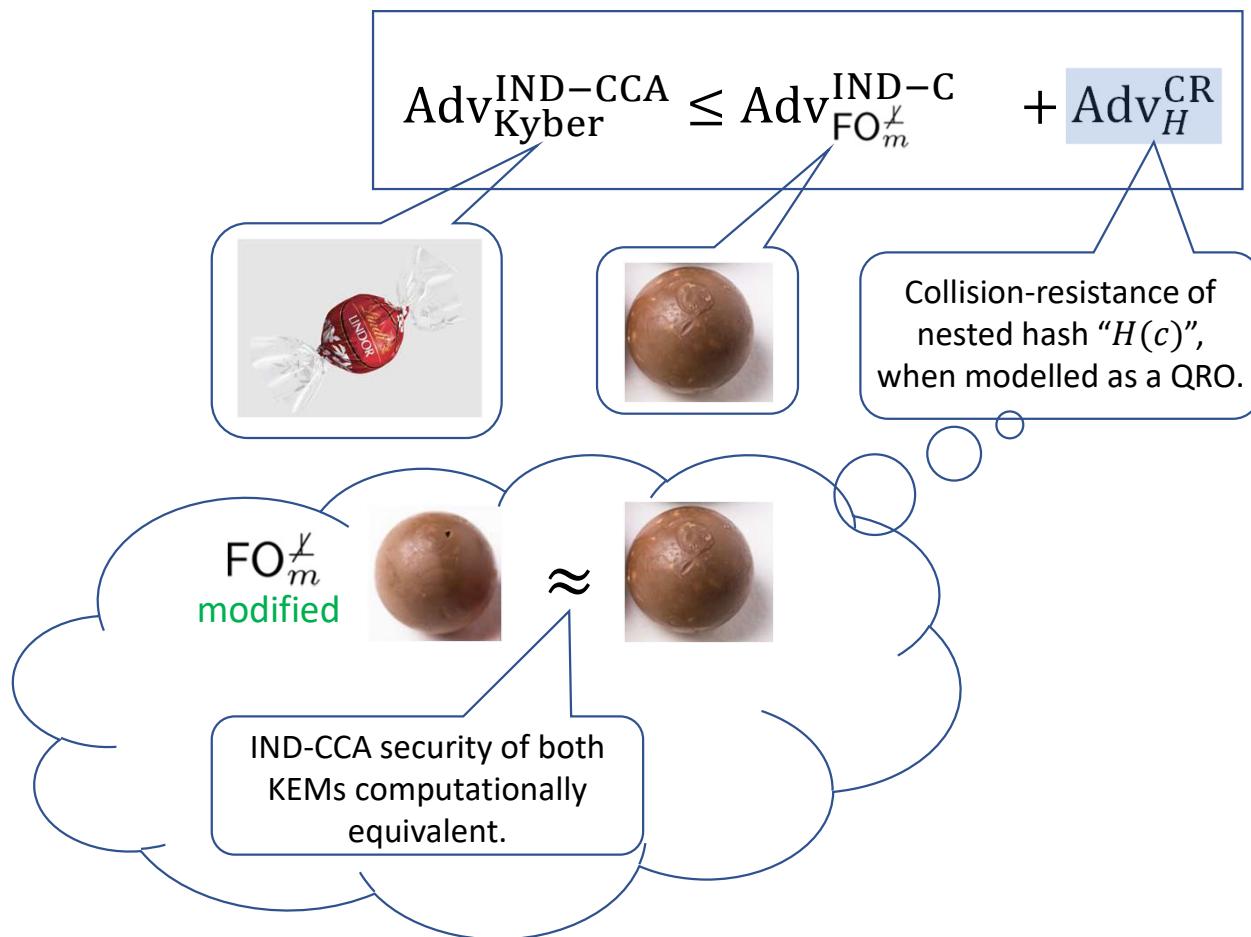
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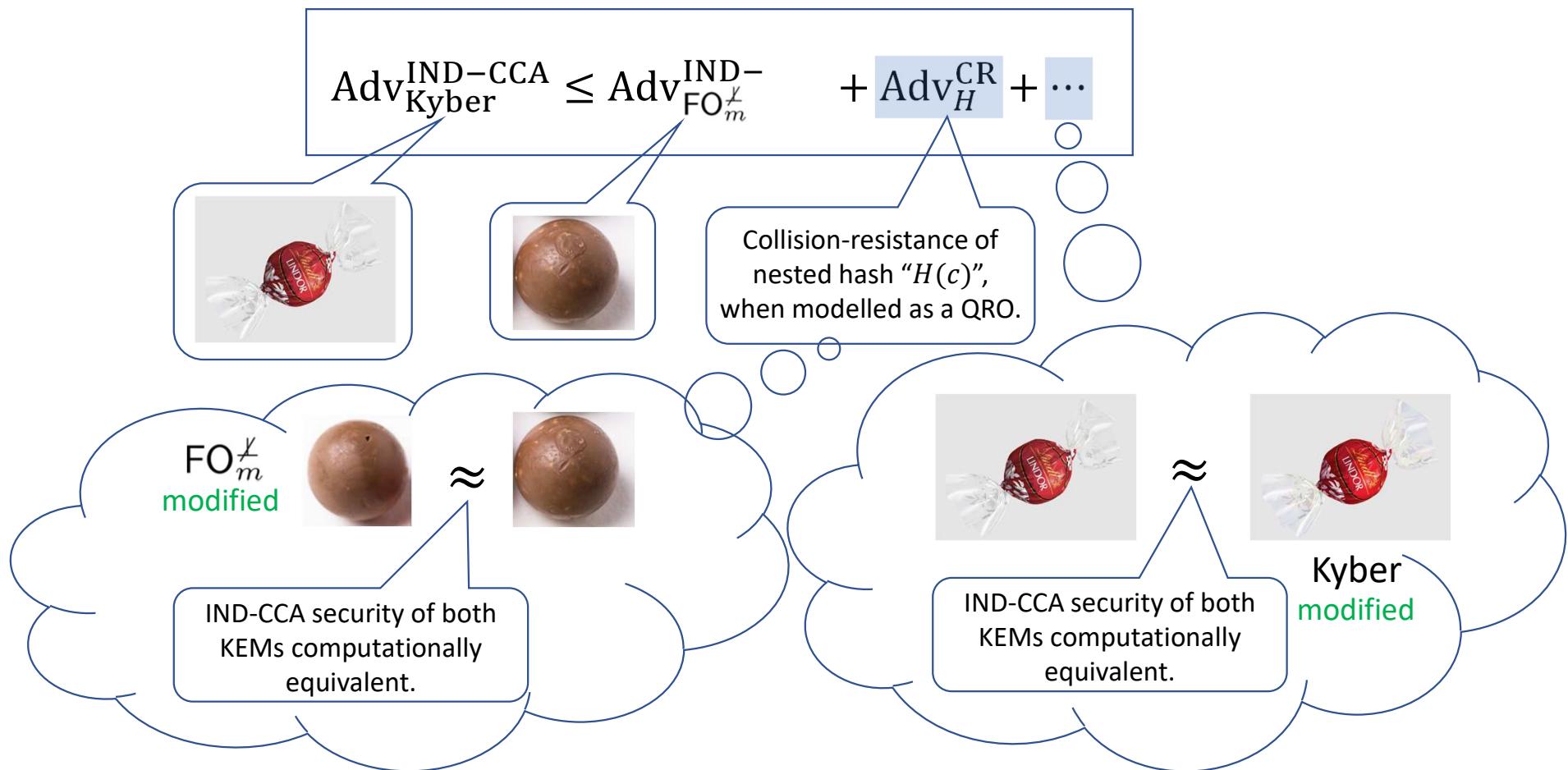


Collision-resistance of  
nested hash " $H(c)$ ",  
when modelled as a QRO.

# Discussion



# Discussion



# Discussion

[Maram-Xagawa  
@PKC'23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-C}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

Bound on number of QRO queries by the IND-CCA adversary.

Collision-resistance  
of QROs,  
as proven in  
[Zhandry@QIC'15]

# Discussion

[Maram-Xagawa  
@PKC'23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

Bound on number of QRO queries by the IND-CCA adversary.

[Zhandry  
@Crypto'19]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^2}{2^{128}}$$

“key  $\leftarrow \text{hash}(m)$ ”   “key  $\leftarrow \text{hash}(\text{hash}(m), \text{hash}(c))$ ”

“Indifferentiability loss”.



$\approx$



# Discussion

[Maram-Xagawa  
@PKC'23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

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“Indifferentiability loss”.

[Chen-Lu-Jia-Li  
@Inscrypt'22]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-C}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \sqrt{\frac{q^3}{2^{128}}} + k_2 \frac{q^3}{2^{256}}$$

Loss incurred w.r.t. simulating random invertible permutations in the QROM.

# Discussion

[Maram-Xagawa  
@PKC'23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-C}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

Bound on number of QRO queries by the IND-CCA adversary.

[Zhandry  
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$$\text{Adv}_{\text{Kyber}}^{\text{IND-C}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^2}{2^{128}}$$

Collision-resistance of QROs.

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[Maram-Xagawa  
@PKC'23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

Bound on number of QRO queries by the IND-CCA adversary.

[Zhandry  
@Crypto'19]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^2}{2^{128}}$$

$$q \leq 2^{86}$$

“Indifferentiability loss”.

[Chen-Lu-Jia-Li  
@Inscrypt'22]

$$\text{Adv}_{\text{Kyber}}^{\text{IND}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-C}} + k_1 \sqrt{\frac{q^3}{2^{128}}} + k_2 \frac{q^3}{2^{256}}$$

$$q \leq 2^{43}$$

Loss incurred w.r.t. simulating random invertible permutations in the QROM.

# Discussion

[Maram-Xagawa  
@PKC'23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

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Loss incurred w.r.t. simulating random invertible permutations in the QROM.

Use the “compressed oracle technique” of [Zhandry @Crypto'19].

# Discussion

Uses the “One-Way To Hiding (OW2H) lemma” of [Ambainis-Hamburg-Unruh@Crypto’19].

[Maram-Xagawa  
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$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-C}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

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# Discussion

Uses the “One-Way To Hiding (OW2H) lemma” of [Ambainis-Hamburg-Unruh@Crypto’19].

Amenable to formal verification!  
[Unruh@Asiacrypt’20]

[Maram-Xagawa  
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## Discussion

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-C}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

- Formal verification?

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Amenable to formal verification!  
[Unruh@Asiacrypt’20]

[Maram-Xagawa  
@PKC’23]

## Discussion

$$\text{Adv}_{\text{Kyber}}^{\text{IND-}\mathcal{C}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

- Formal verification?
- Optimality of our above bounds?

# Discussion

Uses the “One-Way To Hiding (OW2H) lemma” of [Ambainis-Hamburg-Unruh@Crypto’19].

Amenable to formal verification!  
[Unruh@Asiacrypt’20]

[Maram-Xagawa  
@PKC’23]

$$\text{Adv}_{\text{Kyber}}^{\text{IND-CCA}} \leq \text{Adv}_{\text{FO}_m^{\neq}}^{\text{IND-CCA}} + k_1 \frac{q^3}{2^{256}} + k_2 \frac{q}{2^{128}}$$

Collision-resistance of QROs.

- Formal verification?
- Optimality of our above bounds?
  - Tighter proof of IND-CCA security for Kyber without relying on collision-resistance of QROs?

# Discussion

Uses the “One-Way To Hiding (OW2H) lemma” of [Ambainis-Hamburg-Unruh@Crypto’19].

Amenable to formal verification!  
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Collision-resistance of QROs.

- Formal verification?
- Optimality of our above bounds?
  - Tighter proof of IND-CCA security for Kyber without relying on collision-resistance of QROs?
  - Matching attack on IND-CCA security of Kyber in the QROM by finding collisions in the nested hash “ $H(c)$ ”?

# Extra Slides

# Discussion

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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	5 : <b>return</b> $(c, \bar{k})$	5 : <b>if</b> $c' = c$ <b>then</b>
		6 : <b>return</b> $G_k(m')$
		7 : <b>else return</b> $G_k(s, c)$

$\text{FO}_m^{\neq}$  [Hofheinz-Hövelmanns-Kiltz@TCC'17]

KGen'	Encap(pk)	Decap(sk', c)
1 : $(\text{pk}, \text{sk}) \leftarrow \text{KGen}$	1 : $m \leftarrow_{\$} \mathcal{M}$	1 : Parse $\text{sk}' = (\text{sk}, s)$
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Kyber (simplified)

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